

## 1 Question 1

In a message passing layer, the embedding of a node  $v_i$  is updated by aggregating information from its own embedding and the embeddings of its neighbors  $\mathcal{N}(v_i)$ . Formally, the embedding  $z_i^{(t+1)}$  at layer  $t + 1$  is computed as:

$$z_i^{(t+1)} = \text{Aggregate}\left(z_i^{(t)}, \{z_j^{(t)} \mid j \in \mathcal{N}(v_i)\}\right),$$

where:

- $z_i^{(t)}$  is the embedding of node  $v_i$  at layer  $t$ ,
- $\text{Aggregate}(\cdot)$  is a function (attention-weighted sum in the lab).

In our model, the embedding of a node  $v_i$  is updated in a message-passing layer using attention scores. Specifically, the embedding  $z_i^{(t+1)}$  at layer  $t + 1$  is computed as:

$$z_i^{(t+1)} = \sum_{j \in \mathcal{N}(v_i)} \alpha_{ij}^{(t+1)} W^{(t+1)} z_j^{(t)},$$

where:

- $W^{(t+1)}$  is a trainable weight matrix,
- $\alpha_{ij}^{(t+1)}$  is the attention score assigned to neighbor  $v_j$  of  $v_i$  at layer  $t + 1$ .

The embedding of  $v_1$  at the second layer is:

$$z_1^{(2)} = \alpha_{12}^{(2)} W^{(2)} z_2^{(1)} + \alpha_{13}^{(2)} W^{(2)} z_3^{(1)}.$$

The embedding of  $v_4$  at the second layer is:

$$z_4^{(2)} = \alpha_{42}^{(2)} W^{(2)} z_2^{(1)} + \alpha_{43}^{(2)} W^{(2)} z_3^{(1)} + \alpha_{45}^{(2)} W^{(2)} z_5^{(1)} + \alpha_{46}^{(2)} W^{(2)} z_6^{(1)}.$$

Since  $z_1^{(1)} = z_4^{(1)}$ , the initial embeddings of  $v_1$  and  $v_4$  are identical. Additionally:

- For  $v_1$ , the neighbors are  $v_2$  and  $v_3$ , contributing embeddings  $z_2^{(1)}$  and  $z_3^{(1)}$ .
- For  $v_4$ , the neighbors are  $v_2, v_3, v_5, v_6$ . Since  $z_2^{(1)} = z_6^{(1)}$  and  $z_3^{(1)} = z_5^{(1)}$ , the embeddings  $z_5^{(1)}$  and  $z_6^{(1)}$  replicate the information already provided by  $z_2^{(1)}$  and  $z_3^{(1)}$ .

However, the attention scores  $\alpha_{ij}^{(t+1)}$  are computed based on the pairwise embeddings of nodes, and the additional neighbors  $v_5$  and  $v_6$  for  $v_4$  could affect the weighting of  $z_2^{(1)}$  and  $z_3^{(1)}$ . As a result, the aggregated embeddings for  $v_4$  may not be identical to those of  $v_1$ .

In conclusion, while  $v_1$  and  $v_4$  share equivalent node embeddings at layer 1 and have overlapping neighbor contributions, the presence of additional neighbors for  $v_4$  introduces differences in attention weighting. Thus:

$$z_1^{(2)} \neq z_4^{(2)}.$$

## 2 Question 2

The embedding update equation for the first layer of the GNN is given by  $Z^{(1)} = f((A \odot T^{(1)})XW^{(1)})$ , where  $X$  is the node feature matrix,  $W^{(1)}$  is a trainable weight matrix,  $T^{(1)}$  contains the attention coefficients, and  $f$  is a non-linear activation function.

GNNs rely on a combination of node features and graph structure to learn meaningful representations. If  $X$  is uniform, the initial embeddings for all nodes will be identical, and the message-passing process will fail

to produce sufficiently diverse node embeddings to differentiate between classes. In this scenario, the GNN relies entirely on the graph structure, represented by the adjacency matrix  $A$ , to compute node embeddings. However, the adjacency matrix alone does not encode class labels or the relationship between nodes and their respective classes. Without distinct features, the model cannot leverage node-level information. The GNN model we implemented employs a graph attention mechanism, which computes attention coefficients  $\alpha_{ij}$  for edges between nodes  $v_i$  and  $v_j$ . These coefficients are determined based on the feature vectors of the connected nodes. If all node features are identical, the attention mechanism cannot differentiate between neighbors, resulting in uniform attention scores:

$$\alpha_{ij} = \alpha_{ik}, \quad \forall j, k \in \mathcal{N}(v_i).$$

This uniformity eliminates the ability of the attention mechanism to selectively aggregate meaningful information from neighbors, significantly reducing the model's expressiveness. Thus, the GNN's performance will be severely degraded in this scenario because the model cannot distinguish nodes based on their features.

### 3 Question 3

The rows of the given matrix  $Z$  correspond to nodes of three graphs. Specifically:

- Rows 1, 2, 3 belong to graph  $G_1$ ,
- Rows 4, 5, 6, 7 belong to graph  $G_2$ ,
- Rows 8, 9 belong to graph  $G_3$ .

We compute the representations  $z_{G_1}, z_{G_2}, z_{G_3}$  for each graph using the following readout functions:

#### (i) Sum

The sum of node features for each graph is:

$$z_{G_1} = \sum_{i=1}^3 Z[i, :] = [2.2 + 0.2 + 0.5, \quad -0.6 + 1.8 + 1.1, \quad 1.4 + 1.5 - 1.0] = [2.9, \quad 2.3, \quad 1.9]$$

$$z_{G_2} = \sum_{i=4}^7 Z[i, :] = [3.4, \quad 1.9, \quad 4.3], \quad z_{G_3} = \sum_{i=8}^9 Z[i, :] = [1.8, \quad 1.2, \quad 1.6]$$

#### (ii) Mean

The mean of node features for each graph is:

$$z_{G_1} = \frac{1}{3} \sum_{i=1}^3 Z[i, :] = \left[ \frac{2.9}{3}, \quad \frac{2.3}{3}, \quad \frac{1.9}{3} \right] = [0.97, \quad 0.77, \quad 0.63]$$

$$z_{G_2} = \frac{1}{4} \sum_{i=4}^7 Z[i, :] = [0.85, \quad 0.48, \quad 1.08], \quad z_{G_3} = \frac{1}{2} \sum_{i=8}^9 Z[i, :] = [0.9, \quad 0.6, \quad 0.8]$$

#### (iii) Max

The maximum of node features for each graph is:

$$z_{G_1} = \max_{i=1}^3 Z[i, :] = [\max(2.2, 0.2, 0.5), \quad \max(-0.6, 1.8, 1.1), \quad \max(1.4, 1.5, -1.0)] = [2.2, \quad 1.8, \quad 1.5]$$

$$z_{G_2} = \max_{i=4}^7 Z[i, :] = [2.2, \quad 1.8, \quad 1.5], \quad z_{G_3} = \max_{i=8}^9 Z[i, :] = [2.2, \quad 1.8, \quad 1.5]$$

The **Sum** and **Mean** readout functions are the best choices for distinguishing these graphs because they provide unique representations for each graph ( $G_1, G_2, G_3$ ). However, the **Max** function produces the same representation for all graphs, failing to distinguish between them.

Among these, the **Sum** function is particularly effective because it preserves more variance across the graph representations, making it more suitable for tasks where distinguishing between graphs is critical.

## 4 Question 4

We have two graphs,  $G_1$  and  $G_2$ , where  $G_1$  is a cycle with 4 nodes ( $C_4$ ), and  $G_2$  is a cycle with 8 nodes ( $C_8$ ). The augmented adjacency matrices for these graphs are  $\tilde{A}_{G_1}$  and  $\tilde{A}_{G_2}$ , where  $\tilde{A} = A + I$ , given as follows:

$$\tilde{A}_{G_1} = \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{bmatrix}, \quad \tilde{A}_{G_2} = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}.$$

The initial node features  $X$  are defined as  $X = \mathbf{1}_{n_{\text{nodes}} \times 1}$ , where  $n_{\text{nodes}}$  is the number of nodes in the graph.

### First Message Passing Layer

The output of the first layer is given by  $Z^{(1)} = \text{ReLU}(\tilde{A}XW^{(1)})$ , where  $W^{(1)}$  is a learnable matrix of size  $d_{\text{input}} \times d_{W^{(1)}}$ .

1. For  $G_1$ : Each node in the cycle  $C_4$  is equivalently connected. Since the initial features are identical, the contribution of each node to  $Z_{G_1}^{(1)}$  will be the same. As a result, all rows of  $Z_{G_1}^{(1)}$  are identical.
2. For  $G_2$ : The structure is an extension of  $G_1$  to  $C_8$ . Each node in  $C_8$  plays the same role as those in  $C_4$ , leading to  $Z_{G_2}^{(1)}$  containing blocks similar to  $Z_{G_1}^{(1)}$ , repeated due to the doubled number of nodes.

### Second Message Passing Layer

The output of the second layer is given by:  $Z^{(2)} = \text{ReLU}(\tilde{A}Z^{(1)}W^{(2)})$ , where  $W^{(2)}$  is a learnable matrix of size  $d_{W^{(1)}} \times d_{W^{(2)}}$ .

- For  $G_2$ , the propagation through  $\tilde{A}_{G_2}$  replicates the behavior of  $G_1$ , but the contribution accumulates across the duplicated structure. This results in  $Z_{G_2}^{(2)}$  being essentially a doubled version of  $Z_{G_1}^{(2)}$ .

### Readout Function

The final representation for a graph  $G$  is given by  $z_G = \sum_{i=1}^{n_{\text{nodes}}} Z_i^{(2)}$ , where  $Z_i^{(2)}$  is the  $i$ -th row of  $Z^{(2)}$ .

- For  $G_1$ : Summing the rows of  $Z_{G_1}^{(2)}$  produces a vector  $z_{G_1}$  of dimension  $1 \times d_{W^{(2)}}$ .
- For  $G_2$ : Due to the extended structure of  $G_2$ , every row of  $Z_{G_2}^{(2)}$  contributes identically, and the overall sum is doubled compared to  $G_1$ . Thus,  $z_{G_2} = 2 \times z_{G_1}$ .