

# OpenAS2 Server Application

Server Documentation

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# 1. Introduction

The OpenAS2 application enables you to transmit and receive AS2 messages with EDI-X12, EDIFACT, XML, or binary payloads between trading partners. The AS2 implementation conforms with [RFC4130](#) supporting the 1.1 specification.

This document describes how to install, configure and use OpenAS2. An appendix provides information on upgrade procedures as new versions of the application are released.

In this document a partner can be either your own company or a company you will be exchanging data with using AS2. A partner can receive and/or send documents to the other partner and each direction is controlled by a separate partnership configuration setup.

The sample configurations in this document are based on Unix type OS but in general the only significant difference is that it may be necessary to use “\” instead of “/” for folder name separators on Microsoft Windows based machines but because the application is Java it should work fine leaving the “/” for the most part as Java will do the conversion if necessary.

# 2. License

As of version 4.0.0 the default license is a GPL V3 license. If you wish to resell OpenAS2 either as a standalone service or embedded within your own product then you must apply for a commercial license from Uhuru Technology. You are of course permitted to continue using versions prior to 4.0.0 which were distributed under the BSD license.

# 3. Glossary

**EDI** – Electronic Data Interchange

**MDN** - Message Disposition Notification

**JCE** - Java Cryptography Extension

**UI** – User Interface

**Partner** – a definition of an entity that can receive or send AS2 messages to any other partner. A **partner** is a logical entity meaning you can have multiple **partner** entries defined for your own organisation as well as for other organisations you wish to exchange AS2 messages with

**Partnership** – a definition of how a **sending partner** connects to a **receiving partner**. For given partners A and B requiring both way transfers, there must be a separate partnership defined for each transfer direction.

## 4. Java Compatibility

The package downloaded from Sourceforge will only run on Java 11 and up. If you require Java 8 then it will require some additional work but you will not be able to use the web based configuration UI.

Currently the core OpenAS2 package is compiled on Java 8 but other supporting libraries used by the UI configuration API are compiled with Java 11 so to use Java 8 you will need to remove the API packages.

To do this remove all jar files in the “lib” folder that match the following patterns:

- \*ws\*
- grizzly\*
- jersey\*

Make sure you have not enabled the REST API command processor in the config.xml if you remove these files.

NOTE: The configuration UI and associated API is deprecated and will be removed in the next major release.

## 5. Basic Functional Overview

The OpenAS2 application provides the following mechanisms for sending and receiving files with a vanilla deployment:

- Files to be sent are placed in folders that are detected by directory polling modules and sent to the relevant destination AS2 partner using the AS2 protocol. These polling modules are configured in XML and provide the ability to determine the target partner either based on the file being in a specific folder that is specifically designated for a target partner or a generic folder where the file name contains the relevant information to determine the target partner.  
If configured for an MDN response, the MDN response from the partner is stored in a folder defined by the MDN storage module
- Received files from partners are placed in a location defined the message storage module. The default configuration for OpenAS2 creates dedicated inbox folders per partnership.  
If configured for an MDN response, the application will automatically send an MDN that is by default signed.

All sent and received files are tracked in a database tracking system that updates the progress of the message state in the database at key points in the life cycle of message.

# 6. Installing OpenAS2

## 6.1. System Requirements

To be able to run the OpenAS2, you will need:

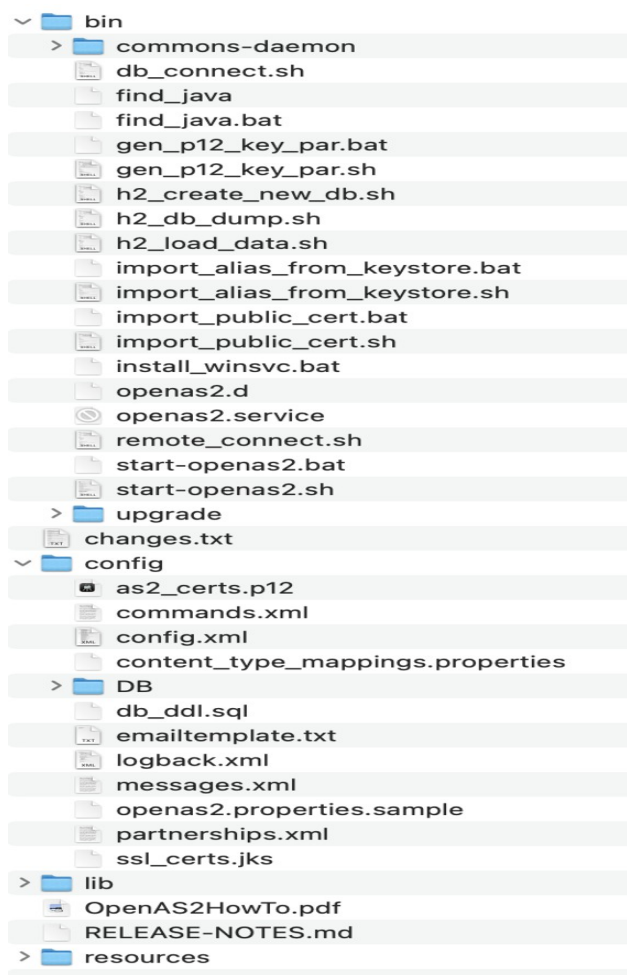
1. Java™ installed on the machine you intend to run the OpenAS2 server on – refer to the compatibility notes for the minimum version of Java supported. Currently it is Java 11 or higher.
2. The OpenAS2 package version you wish to use. The downloadable packages can be found here: <https://sourceforge.net/projects/openas2/files>

## 6.2. Installing Application

The following steps will provide an installed app on a target machine:

1. Unzip the downloaded OpenAS2 package into a suitable location, which we will refer to as `<install_dir>`.  
NOTE: Typical values for `<install_dir>` locations are `/opt/OpenAS2` under Linux®/Unix or `C:\OpenAS2` under Microsoft® Windows®. You can include the version number of the installed package in the folder name for ease of identifying the deployed version.
2. If on a Unix based system you may need to run this command to make shell scripts executable but most systems these days respect the file permissions embedded in the ZIP file:  
**`chmod 755 <install_dir>/bin/*.sh`**

The file structure will look something like the figure below without the data and logs folders which are created automatically by the server when it starts based on configuration if they do not exist.



## 6.3. Tuning Java

The default settings for the Java virtual machine in the startup script (`start_openas2.sh` or `start_openas2.bat`) will work for installations on most machines for low volume/small file size transfers. However, if your system will be transferring large files or you want to use parallel processing mode for sending files to achieve very high throughput (see Directory Polling Configuration Attributes), you will need to increase memory allocation. If you expect to support very high inbound AS2 traffic you will need to increase memory allocation and possibly tune the garbage collector to get reasonable performance.

NOTE: See the section on splitting large files – it will be much more performant for very large files.

How much you can increase memory allocation to Java will depend on how much RAM is installed on the system running OpenAS2 and how many other processes will be running concurrently that will also require memory. Most systems deploy with at least 8GB RAM these days so increasing memory allocation from the default amount in the startup script should not cause adverse affects to the system.

To increase memory allocation you need to increase the heap space. This is set using the `-Xmx` option. You could increase this from the 384m (m = MB) default setting to 1g or 2g to get good performance for larger files or busy systems and for very large files given enough RAM you can set it to 6g or 8g. Search for “`-Xmx`” in the startup script and adjust accordingly.

For garbage collection you may want to allocate a more appropriate garbage collector than the default parallel collector that is the default in Java. In Java 7 and up, the G1 collector is ideal if you use large

heap space allocation. To enable it add this to the command line parameter:

`-XX:+UseG1GC`

Based on basic internal testing and user feedback, the following are guidelines for setting your heap space (Xmx):

- files up to 50MB – 384m
- files up to 150MB – 756m
- files up to 300MB - 2g
- files up to 500MB – 3g
- files up to 750MB - 4g

## 7. Configuration Overview

**NOTE:** The recommended way to configure OpenAS2 is to use the properties file method as described in the section OpenAS2 Configuration Properties below. However, a fuller description of how OpenAS2 configuration works is provided in the next few sub sections allowing more advanced setup and customisation if desired.

### 7.1. Key Configuration Concepts

This section explains the details of the configuration files and how they link together.

The OpenAS2 server uses four files to configure and execute:

1. **config.xml** – configures the application such as the types of modules that are started, the logging systems, command processors and global properties. This is the key file for starting OpenAS2 and must be passed in either as a command line option or as an environment variable. If the application cannot find the file it will fail to start.

NOTE: This is the default name for the file and is referred to in this document by that name but you can use any name you like since it is passed in from the command line by the invoking batch script or can be set as an environment variable. The file path can be passed in as an environment variable named “OPENAS2\_CONFIG\_FILE” or you can directly modify the shell script. For example in the \*nix shell script it is this line that determines the configuration file name and location and assumes the default folder structure is not changed when installing OpenAS2:

```
EXTRA_PARMS="$EXTRA_PARMS -Dopenas2.config.file=${binDir}/../config/config.xml"
```

The Microsoft batch file has a similar line.

Most values that may need to be modified for customized deployments or setting sensitive values such as passwords are extracted to properties at the top of the config.xml file which in turn can be overridden by an external properties file as described later in this document and is the preferred method of configuring the OpenAS2 server.

2. **partnerships.xml** – configures the partners and partnerships. Provides the ability to specify different signing and encryption algorithms, message compression, MDN handling etc

NOTE: This is the default name for the file and is referred to in this document by that name but



you can use any name you like – see the Partner Configuration section below for how to set a different name.

3. **as2\_certs.p12** – a PKCS12 keystore that stores the SSL certificates used to secure the messages for all partners. It contains the primary key for your own company as well as the public keys for all your trading partners

NOTE: This is the default name for the file and is referred to in this document by that name but you can use any name you like – see the AS2 Certificate Configuration section below for how to set a different name.

4. **commands.xml** – the application provides a way to enter commands to control and configure the system whilst it is running either via the console or a remote tool (configured in the config.xml file above). This file stores the commands that the application will support. ***This file should not be modified.***

## 7.2. Dynamic Configuration Changes

At startup, the application caches the configuration from the config.xml, partnerships.xml and the as2\_certs.p12 files. The system will monitor the partnership configuration file and the AS2 certificates file for any changes to the files after the application has started. If a change is detected in either of the files, the system will automatically refresh the partnership definitions or the certificates from the changed files as appropriate.

IMPORTANT: Currently the **config.xml** file and associated properties file are NOT monitored for changes and will require a restart of the application for any changes in those files to be picked up.

## 7.3. “home” Configuration Parameter

The folder containing the **config.xml** file defines the **home** configuration parameter that can be used to reference other files on the file system relative to a known base folder in the app. This is done by encapsulating **home** in percentage signs (**%home%**). All files can be referenced relative to this parameter and it is how the default **config.xml** file defines the location of other configuration and data file locations used by the OpenAS2 application.

Therefore the default home location for %home% with the current default OpenAS2 folder structure will be:

**[InstallDir]/config**

## 7.4. Setting Configuration Values

The application supports 3 ways of passing in configuration parameters that can be used in the modify the config.xml file default settings without having to change the config.xml file. Using one or more of these methods instead of modifying the config.xml file makes it easier to upgrade to new releases.

### 7.4.1. OpenAS2 Configuration Properties

This is the recommended approach to configuring OpenAS2.

All properties in the config.xml can be overridden using a properties file placed anywhere on the file system and made available to the OpenAS2 configuration engine by passing in the path to the file in an environment variable named “`OPENAS2_PROPERTIES_FILE`” and you would define it something like this in a Nix system:

```
export OPENAS2_PROPERTIES_FILE=/opt/OpenAS2/config/openas2.properties
```

Running the OpenAS2 startup script will then add the value from that environment variable to the Java invocation so that the configuration engines uses the properties from that file to override the default values in the config.xml file. A sample file with the current properties is provided in the config directory:

```
<install dir>/config/openas2.properties.sample
```

It is best to only put the values you are changing in the properties file defined in the `OPENAS2_PROPERTIES_FILE` environment variable so it is easy to know what you have changed from the default provided by the OpenAS2 package and will make upgrades simpler and for the most part seamless since generally OpenAS2 tries to remain fully backwards compatible.

Any properties added into this file will become available in the OpenAS2 configuration engine and will override any other values with matching property names within the engines context which includes all properties in the `<properties>` section of the config.xml file. If you want to configure your partnerships using properties then you can add any additional properties you want to use into the properties file and you can reference them using this format in the partnerships.xml file attribute values.

For instance, adding this to the properties file:

```
some_prop_name.enc_alg=MyPreferredEncryptionAlgorithm
```

... can then be referenced in the XML file like this:

```
<attribute name="encrypt" value="$properties.some_prop_name.enc_alg"/>
```

## 7.4.2. Environment Variables

Using environment variables avoids exposing sensitive information in the execution environment. Any property defined in the file discussed in the previous section or any attribute value in the config.xml file can be changed to source its value from an environment variable by setting the value of the property or parameter to include a string in this format as part of the value:

```
$ENV{env var name}
```

For instance, the password for the certificates file could be set like this in the external properties file:

```
as2_keystore_password=$ENV{AS2_CERTIFICATE_PWD}
```

... or like this in the `<properties>` element of the config.xml:

```
as2_keystore_password="$ENV{AS2_CERTIFICATE_PWD}"
```

Then set the password as an environment variable as below before running the startup script:

```
export AS2_CERTIFICATE_PWD=my_secret_password
```

### 7.4.3. System Properties

Properties can be passed into the application using the Java command line format:

-D<property name>=<property value>

The properties passed in this way will NOT overwrite any existing configuration properties in either the openas2.properties file of the properties section of the config.xml so this mechanism is only useful for new properties you want to use for custom configuration.

## 8. Dynamic Variables

Dynamic variables can be used in configuration files for run time replacement of strings. It allows using values that are determined either at startup or in real time at the time an action is taken in one of the modules supporting things like:

- referencing a common value across partnerships or modules using properties
- creating folder structures with names based on message identifiers, dates etc
- identifying the partners in an AS2 transaction
- changing the name of the file sent to the partner
- adding custom headers to the HTTP message

Some variables are specific to certain processor modules and not supported for all situations where dynamic variables can be used. The variables used in the configuration files are as follows:

- ***\$properties.xxx\$*** - uses the value of a property ***xxx*** defined in the “properties” section of the config.xml  
*where xxx is any valid attribute name and can contain periods*  
*for example: \$properties.jakarta.mail.properties.file\$* - gets the file for email configuration
- ***\$date.xxx\$*** - create date strings in a defined format  
where ***xxx*** is any valid character formatting string defined in [java.text.SimpleDateFormat](#)  
for example: *\$date.YYYY\$* gets the 4 digit year  
*\$date.MM\$* gets the 2 digit month  
*\$date.dd\$* gets the 2 digit day of month

***\$msg.xxx.yyy\$*** - accesses various information contained in the AS2 message.

Typically used by file modules to configure the name of files used to persist the message payload.

The “xxx” part can be any one of the following:

- ***sender*** – accesses the “sender” element of the partnership in use for the current message. “***yyy***” can be any attribute name within the “sender” element  
eg. *\$msg.sender.as2\_id\$* - retrieves the AS2 ID of the sender of the message
- ***receiver*** - accesses the “receiver” element of the partnership in use for the current message. “***yyy***” can be any attribute name within the “receiver” element  
eg. *\$msg.receiver.as2\_id\$* - retrieves the AS2 ID of the receiver of the message
- ***attributes*** – accesses any attributes on the message. The attribute name is used in place of “***yyy***”

The 2 generally available attributes are:

- ***filename*** – this is the name of the file that will be sent as part of the AS2 message payload. This is how to access it as a dynamic variable:

### **\$msg.attributes.filename\$**

- **original\_filename** – the name of the file as it was when received by the OpenAS2 server for sending. This name can be changed by various mechanisms supported by AS2 so that the name of the payload sent to the partner is different to what it was when received by OpenAS2. Use it like this:

### **\$msg.attributes.original\_filename\$**

There are various ways to set additional attributes into the message context (\$msg) so that you can then use those in dynamic string replacement.

- **headers** - accesses any headers on the message. The header name is used in place of “yyy”. The following accesses the content type header for the AS2 message:

#### **\$msg.headers.content-type\$**

\$msg.headers.message-id\$ accesses the Message-ID header for the AS2 message

- **content-disposition** - used to access any content-disposition attribute in the received message content disposition where the attribute identifier is used in place of “yyy”.

The following accesses the name of the file received from the partner:

#### **\$msg.content-disposition.filename\$**

**\$mdn.zzz\$** for message mdn parameters, used by EmailLogger and MDNFileModule

where **zzz** can be any of the following values:

- **msg** – requires “zzz” to be in the form “xxx.yyy” and can access data points as defined for \$msg.xxx.yyy\$ format dynamic variables above
- **sender** – gets the as2\_id of the sender
- **receiver** – gets the as2\_id of the receiver
- **text** - gets the text portion of the MDN
- **attributes** – requires “zzz” to be in the form “xxx.yyy” and can access data points as defined for \$msg.xxx.yyy\$ format dynamic variables above
- **headers** – requires “zzz” to be in the form “xxx.yyy” and can access data points as defined for \$msg.xxx.yyy\$ format dynamic variables above

eg.: **\$mdn.text\$** gets the text portion of the MDN

**\$rand.zzz\$** can be used on most strings to produce random strings.

Produces a random UUID or a 0 padded random number of a defined number of digits where zzz can be any string of any number of characters

- if “zzz” is “UUID” or “uuid” (e.g \$rand.UUID\$) then it produces a random UUID
- for any other string of characters other than UUID, the number of characters in the string determines the number of digits in the random number that is generated and will be zero padded

e.g **\$rand.1234\$** - creates a 4 digit random number between 0000 and 9999

**\$rand.ax1fg4c5\$** - creates an 8 digit random number between 00000000 and 99999999

**\$exception.xxx\$** -used by EmailLogger

where **xxx** can be any of the following

- **name** - retrieves name of the exception
- **message** – retrieves the exception message
- **trace** – retrieves the trace log for the exception

eg.: **\$exception.trace\$** gets the trace log of the exception

**\$component.xxx\$** -used in module configuration

where **xxx** can be any of the attribute names specified above the attribute in the same module element. Can be used to simplify setting hard coded strings into a concatenated string used by the server module. See the DB tracking module definition for an example.

## 9. Application Configuration

The file named “config.xml” configures the modules that will be activated by the AS2 server when it starts up. This file can be located anywhere within the disk subsystem on which the OpenAS2 application runs as it is passed into the application as a startup parameter.

Some of the key configuration settings in the config.xml file are:

- define the modules to be activated in the OpenAS2 application
- override module default classes in the AS2 code base
- enhance or change behaviour of modules and the inputs and outputs of the modules.
- define the location of the certificates keystore and password
- define the location of the partnerships configuration file
- specify the listening ports
- enable support for high availability/load balanced environments
- change system behaviour via properties

See appendices for a detailed definition of the config.xml file structure.

There are 2 component groups configured in the config.xml that control plugin components for those groups. All the plugin components can be enabled/disabled by setting the appropriate “enabled” property in a properties file explained in the previous section and generally the enabled value is available to be set in the properties at the head of the config.xml file if you want to change the config.xml file.

The component groups are :

### 1. Command processors

- The <commandProcessors> element is the parent element for all command processor plugins and each command processor is defined in a <commandProcessor> element.
- Command processors support configuration and system setting query commands and some of these command processors are disabled by default.
- The “enabled” attribute in the <commandProcessor> element is required and can be either “true” or “false”

### 1. Certificates

- The <certificates> element is the parent element for all certificate handlers
- There is one required handler for AS2 certificates and a 2<sup>nd</sup> that is disabled by default for the self signed certificate trust keystore

### 2. Modules

- The <processor> element is the parent element for all module plugins and each module is defined in a <module> element.

- Modules support a variety of functions in OpenAS2 such as directory polling, receivers for AS2 and MDN messages, file system persistence and health checks. Some modules are disabled by default.
- The “enabled” attribute in the <commandProcessor> element is **NOT** required and can be either “true” or “false”. If the attribute is not present on the <module> element then it will default to “true”

There are 2 listening ports for inbound connections (see partnerships.xml config for outbound connections) used for:

1. receiving messages and synchronous MDN's – default port number 10080
2. receiving asynchronous MDN's - default port number 10081

The port numbers are arbitrary and defaulted to a number above 1024 that does not require root access to listen on (normally on Unix type systems any port below 1024 requires root access). The port values are important to the partner you will be communicating with if they will be sending AS2 messages to your system. For outbound only systems, it is only necessary to have a listener for asynchronous MDN's if using that mechanism for MDN's.

Each module has a number of attributes that can be configured using properties to control and change how the module behaves.

All network modules that listen for inbound HTTP requests can be configured to bind to a specific IP address (or host name) on the server using the “address” attribute. These modules by default will bind to localhost (127.0.0.1).

## 9.1. Sending Files

OpenAS2 has a directory polling module that scans configured directories for files and will send the file to a partner. The directory scanner will check each file it finds for 2 consecutive poll cycles and if the size has not changed then it will push the file into the send queue.

The destination partner for the files is determined in 2 possible ways:

1. Configuring a specific folder to be mapped to a specific partnership configuration.  
This is the preferred mechanism for sending files. All files dropped in a specified folder will be sent to the same partner. Each partnership entry must explicitly be enabled to have a poller.
2. A single generic directory for files destined to any partner configured in the config.xml file.  
This mechanism will process files for all partnerships in series unless you enable parallel processing and can make it much harder to debug when things go wrong. There is a sample configuration provided in the installation package config.xml. This requires the name of the file to be in a very specific format. See the next sub section for details on how this works.

### 9.1.1. Directory Polling Configuration Attributes

Common attributes for the polling module defined in the config.xml are:

- **outboxdir** – a text string that can contain dynamic variables that will be evaluated at startup to produce a file path that specifies the directory to scan for files to send.
- **errordir** - specifies the directory to put files in when something goes wrong trying to send the file
- **interval** - specifies how many seconds between each scan of the directory
- **sendfilename** - specifies that the sent message must include the file name for the remote partner
- **mimetype** – sets the mime type in the header for the file in the message sent to the partner
- **process\_files\_in\_parallel** – by default each detected file is processed in series which has a significantly lower throughput. The *default value* for this is “**false**”. Typically it will process a 10K file in just under a second meaning if files arrive at a slightly faster rate there will be a growing backlog. Set this to “**true**” to process files in parallel.  
IMPORTANT NOTE: Parallel processing is likely to consume more memory (RAM) so make sure your server has sufficient RAM to cope. If you are consistently transferring very large files (>100MB) in high volume then parallel processing may not be a good idea.
- **max\_parallel\_files** – if parallel processing is enabled for the poller then this attribute will limit how many files are processed in parallel simultaneously. The *default value* for this is “**20**”. The bigger the average size of the files, the smaller you should make this value to avoid memory issues that could significantly slow down the processing speed.

For all poller attributes, the following dynamic variables can be used within value string for all forms of poller configuration:

- \$properties.\*\$
- \$date.\*\$
- \$random.\*\$

For the pollers configured in the partnership, an additional property can be used to access partnership and associated partner attributes using the following format:

- \$partnership.name\$ - gets the partnership “name” attribute value
- \$partnership.sender.XXX\$ - gets the an attribute value from the partner node associated as the sender in the partnership
- \$partnership.receiver.XXX\$ - gets the an attribute value from the partner node associated as the receiver in the partnership

In the above, the XXX can be any of:

- name
- as2\_id
- x509\_alias

See the section on partnerships defined pollers below for an example.

### 9.1.2. Partnerships XML Defined Directory Poller

This poller is configured as a child element of the partnership element that requires a poller in the partnerships.xml file. Only partnerships that must SEND files should have this configuration.

The advantage of this poller configuration is that the directory poller is automatically removed if the partnership is disabled or removed and retains a cleaner configuration over time.

When the OpenAS2 server detects a change to the partnerships.xml file it will automatically clear all existing partnerships defined pollers and reload all partnerships, starting any pollers for the partnerships configured to load a poller module.

The base configuration for these pollers is stored in the config.xml file in the “`pollerConfigBase`” element. Each attribute in this base element can be overridden in the partnership. The base configuration defines the outboxdir to include the name of the partnerships receiver AS2 ID allowing it to be used in any partnership element without requiring an override. If the base configuration outboxdir is changed, be aware that this can adversely affect existing deployed partnerships using the partnership enabled poller.

The minimum required setting in a partnership to enable a poller is shown below. Insert the shown element as a child of the <partnership> element to enable a poller for that partnership:

```
<pollerConfig enabled="true"/>
```

If the “enabled” attribute is set to any value other than “true” or the attribute is not present then a poller will not be added for that partnership.

The below properties define the default values for partnership configured pollers:

```
pollerConfigBase.outboxdir="$properties.storageBaseDir$/outbox/$partnership.receiver.as2_id$"  
pollerConfigBase.errorordir="$properties.storageBaseDir$/outbox/error/$date.YYYY$-$date.MM$-$  
date.dd$/$partnership.receiver.as2_id$"  
  
pollerConfigBase.interval="5"  
  
pollerConfigBase.defaults="sender.as2_id=$partnership.sender.as2_id$,  
receiver.as2_id=$partnership.receiver.as2_id$"  
  
pollerConfigBase.sendfilename="true"  
  
pollerConfigBase.mimetype="application/EDI-X12"  
  
pollerConfigBase.process_files_in_parallel="false"  
  
pollerConfigBase.max_parallel_files="20"
```

Do not change the “**defaults**” attribute unless you know what you are doing – it is specified to retrieve the sender and receiver AS2 ID’s from the partnership that the poller is configured in and changing it will cause the poller to fail to know where to send files to or send them to the incorrect destination. The file name sent to the partner will be exactly the name of the file as it is when detected by the directory polling module unless there is some other configuration to override the file name as defined in the “File Name Parsing” section further down in this document.

If you want to override any of the **pollerConfigBase** properties values on a per partnership basis, put the relevant attribute you want to override into the **pollerConfig** element in the partnership.

For example, to override the **outboxdir** attribute, you would have this in the pollerConfig element:



```
<pollerConfig enabled="true"
  outboxdir="/my/specific/base_dir/$partnership.receiver.as2_id$"/>
```

The rest of the values will use the **pollerConfigBase** .\* values for that partnership.

### 9.1.3. Generic Send Directory Poller

This uses a generic directory for receiving files to send to a partner defined by the “**outboxdir**” attribute and relies on the file name to be in a specific format to extract the sender and receiver ID’s. In the example config.xml file, there is a directory polling module configured with the below XML:

```
<module classname="org.openas2.processor.receiver.AS2DirectoryPollingModule"
  outboxdir="$properties.storageBaseDir$/toAny"
  errordir="$properties.storageBaseDir$/toAny/error"
  interval="5"
  delimiters="-."
  mergeextratokens="false"
  sendfilename="true"
  format="sender.as2_id, receiver.as2_id, attributes.filename"
  process_files_in_parallel="false"
  max_parallel_files="20"
  mimetype="application/EDI-X12" />
```

- **delimiters** - attribute specifies how to split the file name into multiple parts.
- **format** - defines the variables that will be set based on the parsed file name. Using the value set in the example above, the first three parts of the split file name set the sender, receiver and filename.
- **mergeextratokens** – forces any extra tokens from splitting the file name using the delimiters to be merged into the final token from the “format” attribute

So for this example, a file name of the form:

MyCompany-YourCompany-TheEdiFileNameToBeSent.edi

would send a message from the AS2 ID “MyCompany” to AS2 ID “YourCompany” and send the file name as “TheEdiFileNameToBeSent”. If you wanted to include the “.edi” extension in the file name to be sent then the “**delimiter**” attribute must NOT contain the “.” character OR set the “**mergeextratokens**” attribute to “true”. See the File Name Parsing section further down in this document for more details.

### 9.1.4. Restricting Directory Files By Extension Or Name

The directory polling module can be configured to only use files with a specific extension and/or exclude files with a specific extension and/or exclude files based on a regular expression match on the entire file name.

The attributes allow multiple extensions to be defined using a comma as separator that can contain spaces before or after the comma. This is configured adding either or both of the following attributes for either of the above examples for a directory polling module although it does not make sense to use both at the same time:

```
fileextensionfilter="doc, docx, txt, edi"
fileextensionexcludefilter="tmp"
filenameexcluderegexfilter=".+Tmp[.]{1}."+
```

The attributes above are evaluated as an AND function so if any of the filters

excludes a file it ignores the other filters and excludes the file from processing.

Using the above attributes, files with “.tmp” on the end of the file name or with “**Tmp.**” in the file name will be ignored and only files with “.doc” “.docx”, “.txt”, “.edi” will be processed.

NOTE: Using both “fileextensionfilter” and “fileextensionexcludefilter” at the same time is generally redundant since the “fileextensionfilter” does NOT include “tmp” extensions so the “.tmp” will be ignored with just the “fileextensionfilter” option in this example.

### 9.1.5. Auto Splitting Large Files

Configuration can be added per partnership definition to automatically split files into smaller files for **sending** to a partner. This only works for line based files where the file contains information that is split up into multiple lines and the splitter reads the input file line by line calculating the size of each line and adding to a running total until it the total exceeds the specified maximum size. Typically this is used for very large CSV files.

The following attributes can be added to the partnership definition that defines sending a file from your location to your partner:

```
<attribute name="split_file_threshold_size_in_bytes" value="1073741824"/>
<attribute name="split_file_contains_header_row" value="true" note="If true,
the header row will be repeated in each file"/>
<attribute name="split_file_name_prefix" value="SF" note="Each split file name
will be prefixed with the string specified here if set"/>
```

The attributes have the following effect:

- split\_file\_threshold\_size\_in\_bytes – specify in bytes the threshold size of the file to be sent. The actual size will at most be the specified threshold size plus the length of line that crosses the threshold so if your lines could be very long and the file size is critical, specify a smaller size to accommodate.
- split\_file\_contains\_header\_row - If set to “true”, the header row will be repeated in each file. If omitted or set to any other value, this attribute has no effect.
- split\_file\_name\_prefix" - Each split file name will be prefixed with the string specified here. if set. If omitted or set to the empty string, this attribute has no effect.

Each new file will be prefixed with a zero padded incrementing counting reflecting the files being created from the source file.

Using the example attributes above, files will be split at 1GB into multiple files where if the source file name is a 3GB file named **my\_test\_data.csv**, the resulting files sent to the partner would be **SF01-my\_test\_data.csv**, **SF02-my\_test\_data.csv**, **SF03-my\_test\_data.csv** and each file would have the first row from the original source file repeated in each file sent.

NOTE: The partner will receive **multiple files** where the file name will be prefixed with a unique zero padded identifier to give the split files a unique reference as explained above.

## 9.2. Receiving Files

In order to receive and persist files over AS2 from a partner you must have the following configured:

1. A network receiver module – receives files over the network from a partner. There can be multiple receiver modules listening on different ports and these can be configured to use HTTPS as defined in the “Inbound Transfers” section for using HTTPS. This module extracts the file from an AS2 message and then searches for a module that can process the extracted file

2. A file handler module – this module is responsible for persisting the file extracted from the received AS2 message or handing it off for further processing.

The default configuration provided with the OpenAS2 installer for receiving and storing files in the config.xml file properties section is shown below.

```
module.MessageFileModule.enabled="true"
module.MessageFileModule.filename="$properties.storageBaseDir$/msg.sender.as2_id$-
$msg.receiver.as2_id$/inbox/$msg.headers.message-id$"
module.MessageFileModule.header="$properties.storageBaseDir$/msg.sender.as2_id$-
$msg.receiver.as2_id$/msgheaders/$date.yyyy-MM-dd/$msg.headers.message-id$"
module.MessageFileModule.tmpdir="$properties.storageBaseDir$/temp"
module.AS2ReceiverModule.http.enabled="true"
module.AS2ReceiverModule.http.port="10080"
```

### 9.2.1. Overriding Storage Location Per Partnership

The “filename” attribute for the message storage module determines where the received file will be stored and in which directory. This can be overridden per partnership so that file storage locations can be completely different to the default location. To do this, add a store\_received\_file\_to attribute to the partnership

```
<attribute name="store_received_file_to"
value="$properties.storageBaseDir$/inbox/$msg.receiver.as2_id$/inbox/$msg.sender.as2_id$-
$rand.12345$-$msg.content-disposition.filename$"/>
```

The above adds the sender AS2 ID into the file name and stores it in a folder specific to the receiver AS2 ID.

### 9.2.2. Providing Default File Name

Normally the sender will send the name of the file in the payload headers but is not required in AS2 so you can set a default filename for this case.

It is set in the config.xml file properties section using attribute as2\_receive\_message\_filename\_fallback as shown below. It supports \$msg, \$date and \$rand style dynamic parameters.

```
as2_receive_message_filename_fallback="$rand.shortUUID$"
```

To override this set the property in the openas2.properties file.

## 9.3. AS2 Message State Tracking To Database

### 9.3.1. Default Database Message State Logging

As of version 2.1.0 the system will track key events in the message transmission and reception process and invokes any configured action handlers that can then process the information in some way. The default deployment of OpenAS2 supports a database tracking module that will write the message state to an embedded H2 database. As an AS2 message is processed, key points are logged to the database for a given message as a single record. As the message reaches the next state, the system overwrites the previous state.

The database tracking uses the module "org.openas2.processor.msgtracking.DbTrackingModule".

Configuration parameters for the database tracking functionality are shown in the table below.

Function	Attribute Name	Default Value
Database name	db_name	openas2
Database user name	db_user	sa
Database password	db_pwd	OpenAS2
Database table name **	table_name	msg_metadata
Database file directory – used for embedded database	db_directory	%home%/config/DB
Use Embedded Database	use_embedded_db	true
Escape character for SQL strings	sql_escape_character	' (single quote)
JDBC connect string	jdbc_connect_string	jdbc:h2:\$component.db_directory/\$component.db_name\$
JDBC Driver – not necessary if using at least JDBC 4.0	jdbc_driver	org.h2.Driver
Force loading of the JDBC driver class. Use if there are issues with JDBC	force_load_jdbc_driver	false
Provide JDBC access to H2 via TCP	tcp_server_start	true
H2 Listening port for the JDBC access	tcp_server_port	9092
H2 Password for the TCP server	tcp_server_password	openas2

**\*\* IMPORTANT:** Using a different table name requires ensuring that the database schema has the same table name. See the appendices for information on creating the schema.

### 9.3.2. Managing the Default Embedded H2 Database

The user name and password for the H2 database can be changed using either a JDBC connection or the command line tool as described below. It is recommended that a readonly user is added for reading data from the database.

To connect to the H2 database whilst OpenAS2 is running use this JDBC connect string:

```
jdbc:h2:tcp://localhost:9092/openas2
```

To query the database from the command line, you must have OpenAS2 running then use this command:

```
java -cp [path to OpenAS2 install]/lib/h2-1.4.197.jar org.h2.tools.Shell -user sa -password OpenAS2 -url  
jdbc:h2:tcp://localhost:9092/openas2
```

There is a script in the bin folder named **db\_connect.sh** that will do the above for you.

There is a file named db\_ddl.sql file located in the config folder that can be used to create the necessary table structure if your DB becomes corrupted.

Run the command found in the “bin” directory of your install in the order defined to recreate the DB if you are on a unix based system or use the “No script option” if you are on Microsoft Windows. Run the command with “-h” option to get the command line options:

- h2\_create\_new\_db.sh -P <your DB password>  
**No script option:** java -cp <path to install dir>\lib\h2-<version of H2 lib>.jar org.h2.tools.RunScript -user sa

```
-password <password> -url jdbc:h2:<path to install dir>/config/DB/openas2 -script <path to install dir>/config/db_ddl.sql
```

The other way to recreate the database table is using this command whilst OpenAS2 is running:

```
java -cp [path to OpenAS2 install]/lib/h2* org.h2.tools.RunScript -user sa -password OpenAS2 -url jdbc:h2:tcp://localhost:9092/openas2 -script [path to OpenAS2 install]/config/db_ddl.sql
```

NOTE: The version of H2 deployed with the application only works in Java 7 or higher. Download the older version of H2 that was compiled with support for Java 1.6 if you wish to use Java 6:

<https://storage.googleapis.com/google-code-archive-downloads/v2/code.google.com/h2database/h2-2014-01-18.zip> (Replace the jar in the OpenAS2 lib folder with that version and change the startup script to include the replaced version of H2, delete the files in <installDir>/config/DB, restart OpenAS2 server and recreate the database using the command referenced above).

See appendixes for information on creating external database DDL statements and updating the existing database if the schema is changed in a new release.

### 9.3.3. Using An External Database For Message State Tracking

Use of an external database can be configured for any database that has a JDBC driver such as Oracle, MySql, MariaDB, SQLServer or Postgresql.

To use an external database:

- put the appropriate JDBC driver jar for the SQL system you want to use into the “lib” folder of the OpenAS2 install
- the “**use\_embedded\_db**” attribute must be set to “**false**” and the appropriate settings changed in the database tracking module properties.

Below is a sample properties configuration for using a Postgresql database in the openas2.properties file:

```
msg_tracking.use_embedded_db="false"
msg_tracking.force_load_jdbc_driver="false"
msg_tracking.db_user="sa"
msg_tracking.db_pwd="OpenAS2"
msg_tracking.db_name="openas2"
msg_tracking.table_name="msg_metadata"
msg_tracking.jdbc_connect_string="jdbc:postgresql://db.url.com:5432/$component.db_name$"
msg_tracking.sql_escape_character=""
```

### 9.3.4. Optimising External Database Performance

When using an external database, the Hikari connection pool is used to improve performance. The pool can be optimised using the Hikari configuration properties as defined here:

<https://github.com/brettwooldridge/HikariCP/blob/dev/README.md#gear-configuration-knobs-baby>

Add a file named **hikari.properties** in the **config** folder with settings for the properties you want to optimise.

## 9.4. Overriding Certificate Store Password

The certificate store password is stored as the property with key name “**ssl\_keystore\_password**”.

The following mechanisms to avoid storing a password in a file on the file system are supported for improved password security:

1. Using the system property “**org.openas2.cert.Password**”.  
This can be passed into the application by adding the following to the java command:  
**-Dorg.openas2.cert.Password=myCertificateStorePassword**
2. Setting an environment variable with the password then overriding the password property in the `openas2.properties` file referencing the environment variable something like this:  
**ssl\_keystore\_password=\$ENV{MY\_AS2\_PWD\_ENV\_NAME}**

## 9.5. Resend Retry Configuration

When failures occur transferring a message to a trading partner, the system will automatically try to resend the message. By default the system will retry indefinitely.

The following properties control the resending of messages:

- **module.DirectoryResenderModule.enabled=true** --- Handles resending AS2 messages if there are any failures in sending the AS2 message include failure to receive an MDN.
- **module.DirectoryResenderModule.resenddelay=60** --- How long in seconds before the resender module attempts to resend a failed transmission of an AS2 message.
- **resend\_on\_ssl\_exception=true** --- if set to “true” then when an SSL exception occurs trying to connect to a partner, the message will go into a retry loop. The default is “false”.

When using asynchronous MDN responses, the system will try to resend the asynchronous MDN using the same logic in terms of how many times it will retry sending it.

**IMPORTANT: An AS2 message (or asynchronous MDN) that is put into the retry queue will use exactly the same parameters as when it was first sent. Therefore any changes to partnership such as destination URL, Async MDN response URL, signing algorithm etc. for that message after the first attempt to send the message will NOT be picked up by the message. The message must be deleted from the resend queue if changes were needed in the partnership definition and the message resent by passing in the file it was supposed to send again.**

Restricting the retry attempts can be done at the processor level (applies to all partnerships configured on the server) and at the partnership level. Partnership configuration will override processor settings.

To define the processor level retry count, set the “**processor.resend\_max\_retries**” property in the OpenAS2 properties to a valid integer value.

Example snippet:

```
processor.resend_max_retries="10" – in the config.xml
```

`processor.resend_max_retries=10` – in the OpenAS2 properties file if used

To define the partnership level retry count, set an attribute element on the partnership with **name** attribute value as “**resend\_max\_retries**” and a **value** attribute element to a valid integer.

Example snippet:

```
<partnership name="MyCompany-to-PartnerA">
  <attribute name="resend_max_retries" value="3"/>
</sender name="MyCompany"/>
```

## 9.6. Asynchronous MDN Response Wait Time

In the case of asynchronous MDN responses, the application will wait for a fixed amount of time (default is 4560 seconds) for the partner to respond with an asynchronous MDN and then produce a fail message and move the sent file to the error directory as specified in the configuration.

To change the wait time before the application decides the partner will not respond can be done using a property in the `openas2.properties` file using the property key name `as2_mdn_response_max_wait_seconds`.

For example:

```
as2_mdn_response_max_wait_seconds=600
```

## 9.7. File Name Parsing

The name of the file passed into the OpenAS2 application for sending to a remote partner can be used to provide information to the AS2 handler that can be used to affect various aspects of how the file is handled.

When the file is picked up for processing by the directory poller, it will look for an attribute named “**format**” on the AS2DirectoryPollingModule component for that directory in the `config.xml` file. This attribute is used to break the actual file name into multiple parts and the values assigned to the relevant objects defined in the “**format**” attribute.

This poller attribute is required in the single poller that extracts the target AS2 ID from the file name. It uses this format in the `config.xml`:

```
format="sender.as2_id, receiver.as2_id, attributes.filename"
```

The above format will set the AS2 **sender** and **receiver** ID as well as the name of the file sent in the AS2 message if configured to send a file name in the payload. It does this by tokenizing the name of the file found in the polling directory using delimiters defined in the “**delimiter**” attribute. The delimiters for tokenizing defaults to “-.” so the actual name of the file as picked up from the file system will be parsed and split into tokens using either a dash (-) or a period (.) and therefore using the above format, the name of the actual file would have to be in the format XXX-YYY-ZZZ or XXX.YYY.ZZZ or any combination of dash or period and then the AS2 sender would be set to XXX, AS2 receiver to YYY and the name of the file as ZZZ. Any extra string tokens will be discarded so for instance a file name of “X-Y-Z.edi” parsed against the format string above would simply discard the “.edi” part of the file name. If you want the file name sent to the remote partner to be Z.edi then the delimiter attribute value would just be “-” OR use the “**mergeextratokens**” parameter which would merge all trailing tokens from the file name into the



last token specified in the “**format**” attribute.

The file name can also be configured to be parsed on a per partnership basis if a partner requires a different file name format to be sent or custom headers added to the AS2 message using partnership attributes as defined in section 10.9.2. Dynamic Header Values From File Name.

## 9.8. Using A Proxy Server

The application uses the `java.net.HttpURLConnection` class for HTTP communication and as such should automatically use a proxy server if the appropriate system properties are set.

As of version 2.3.0, OpenAS2 also supports proxy server authentication and the setting of the proxy server host, port, username and password via properties either in the `config.xml` or in the external `openas2.properties` file.

The following properties control proxy functionality in OpenAS2:

- `http.proxyHost`
- `https.proxyHost`
- `http.proxyPort`
- `https.proxyPort`
- `http.proxyUser`
- `http.proxyPassword`

To bypass the proxy for certain destination hosts you will need to use the relevant system properties.

This is an example of a proxy server configuration using the `openas2.properties` file for HTTP connections and is the recommended way to do it:

```
http.proxyHost=192.168.1.1
http.proxyPort=1099
http.proxyUser=acme
http.proxyPassword=secret
```

This is an example directly in the `config.xml`:

```
<openas2>
  <properties
    http.proxyHost="192.168.1.1"
    http.proxyPort="1099"
    http.proxyUser="acme"
    http.proxyPassword="secret"
  />
  <certificates classname="...
  ....
  ....
</openas2>
```

This article provides the basics on proxy functionality in Java:

<https://docs.oracle.com/javase/8/docs/technotes/guides/net/proxies.html>

## 9.9. Health Check For High Availability Deployment

There are 2 ways to implement a health check.



1. Use a specific URI on the existing AS2 receiver HTTP listener – provides a simple HTTP 200 response but does not do any checking of application status
2. Use a dedicated healthcheck module that runs on a separate HTTP port and provides extended checking of the status of the application and its modules.

### 9.9.1. Healthcheck URI On Existing AS2 Listener

This mechanism simply provides validation that the application is listening on the specified socket and does not provide any verification of the health of other components of the application.

The AS2 receiver module can be configured to recognize a specific URI as a health check request instead of a normal AS2 message. By default, the healthcheck URI is set to “/healthcheck”. The full URL would be the combination of host name/ip address and port number with the URI as suffix.

e.g `http://localhost:10080/healthcheck`

### 9.9.2. Dedicated Healthcheck Module

As of version 2.4.0, a health check module is included in the OpenAS2 deployment.

This module adds a listener on a specified HTTP or HTTPS port that can be invoked by a load balancer or other application to check if the application is running.

It is invoked by any GET request on the specified host and port irrespective of URI

e.g `http://localhost:10080/` or `http://localhost:10080/some/random/uri`

Currently the module will invoke a health check method for all active modules and returns HTTP 200 OK if there are no errors. It checks all configured listeners that the socket is active and responding as well as relevant health checks for other modules such as the database tracking module, resender modules and directory polling modules.

If errors are detected, it will return HTTP 500 Internal Error with a list of errors detected in the body of the response.

This allows you to configure the load balancer to send an HTTP request to the configured port and will return a 200 OK response.

To enable the module you need to uncomment the definition at the bottom of the config.xml file setting the port as appropriate for your environment. Below is the default properties in the config.xml file:

```
module.HealthCheckModule.enabled="false"
module.HealthCheckModule.address="localhost"
module.HealthCheckModule.port="10099"
```

If desired you can bind the healthcheck module to a specific IP address using the “address” property:

```
module.HealthCheckModule.address="10.0.0.1"
```

HTTPS transport can be configured as per section SSL Certificates - Configuring HTTPS Transport in this document.

See the appendix for notes on deploying OpenAS2 in a clustered/load balanced environment.

### 9.9.3. HTTP User Agent Header

By default, the “User-Agent” header sent in the HTTP requests will contain the application title and version along with the module name sending the request. This can be overridden using the property name “`http.user.agent`” in the `openas2.properties` file. For example:

```
http.user.agent=AS2 1.1 Compliant Server
```

## 10. Partner Configuration

The file named `partnerships.xml` configures all the information relating to the partners you will be exchanging data with. See the appendix for information on the structure of this file.

The “partnerships” element in the application configuration file (described in section Application Configuration above) causes the partnerships to be loaded by the application. The default entry in the application configuration file for this element is as below:

```
<partnerships classname="org.openas2.partner.XMLPartnershipFactory"
  filename="%home%/partnerships.xml"
  interval="120"/>
```

The “interval” attribute specifies the number of seconds between each check for a changed partnership file. If a change of the partnership file is detected, the partnership file will be automatically reloaded.

It is important to keep in mind that the word **partner** refers to any entity specified as a recipient or sender of AS2 messages and includes your own company that you might be configuring the application for.

Each partner will require the following entries in the file:

- a **<partner>** element – key information defining the partner
- a **<partnership>** element - key information for defining a partnership between 2 partners  
Separate **<partnership>** elements are required for inbound and outbound data for a specific partner pairing.

### 10.1. Partner Definition

The **<partner>** element requires 3 attributes to enable AS2 partner identification:

1. **name** – the partner “name” as an identifier string – this is the key to connect partnerships to a partner definition
2. **as2\_id** – the AS2 identifier that uniquely identifies the partner in the AS2 protocol – this is the key for identifying the target/source partner and is included in AS2 message headers to allow the receiving partner to identify the source of the message and verify the target partner for the AS2 message. It is also used by the general directory polling module to look up the partner names and hence the partnership definition where the `as2_id` of the sender and receiver are part of the transferred file name.

3. **x509\_alias** – the X.509 certificate alias. It identifies the alias of the certificates for this partner in the keystore. The encryption and decryption of messages requires the partners public or private key as appropriate.

Note: You can verify these codes with the server using the 'cert list' command. The certificate names listed MUST match the X.509 certificate alias in partnerships.xml

## 10.2. Partnership Definition

The <partnership> element identifies a **specific direction** of AS2 message transfer **from** one partner **to** another. The “name” attribute on the <partnership> element is not important but should be used to clearly identify the intended use of the partnership definition. It is suggested the name value uses the names of the source and destination partners something like xxx-to-yyy.

The <partnership> element encapsulates a number of child elements that are necessary to properly configure a partnership:

- <sender name=”xxx”> - identifies the sending partner definition such that xxx must match the “name” attribute of a <partner> element
- <receiver name=”yyy”> - identifies the receiving partner definition such that yyy must match the “name” attribute of a <partner> element
- <as2\_url> - a fully qualified URI that provides the connection string to the remote partner for sending AS2 messages. If sending to another OpenAS2 server then the port number must match the value configured in the config.xml file of the remote OpenAS2 server.  
NOTE: This attribute supports } and can be used to target modify the URL dynamically. See the section Dynamic AS2 Url Configuration for more information.
- <as2\_mdn\_to> - necessary if an MDN response is required and can be any random string but is most commonly configured with an email address

The partnership element attribute values supports using a key value string that can be used to reference other attributes within the same partnership element to ensure consistent configuration. The value field in an attribute can use the format **\$attribute.XXX\$** to reference the value of the attribute name “XXX”. The value in the attribute value containing the reference to another attribute will be replaced at load time with the referenced attributes value.

For instance you can have :

```
<attribute name="some_attrib_name" value="My value: $attribute.other_attrib"/>
<attribute name="other_attrib" value="bingo"/>
```

The value for "some\_attrib\_name" will be "My value: bingo" after the application starts up.

For an implemented example see the MDN MIC Algorithm section below.

NOTE: This feature does cascade the replacement. ie it will NOT replace values in attribute values that themselves contain references to other attributes. It may appear to cascade depending on the order in which attributes are processed but the processing order is not guaranteed so will not produce a reliable result

### 10.2.1. Signing

Signing is controlled by the “sign” attribute in the “partnership” element. Remove this element from the partnership to send a message without signing.

Supported signing algorithms are: md2, md5, sha-1, sha-224, sha-256, sha-384, sha-512

The above algorithm identifier strings are RFC5751 standard. If your partner uses a signing algorithm that is in the form “sha256”, “sha2\_256”, “sha2-256”, “sha1”, “sha2-384” etc. and they cannot use the standard algorithm identifier, you must set your partnership “sign” attribute to match theirs. OpenAS2 will automatically attempt to convert the algorithm identifier to the RFC5751 standard.

### 10.2.2. Encryption

Encryption is controlled by the “encrypt” attribute in the “partnership” element. Remove this element from the partnership to send a message without encryption.

Supported algorithms are: 3des, cast5, rc2\_cbc, aes128, aes192, aes256

### 10.2.3. MDN MIC Algorithm

The MDN must be signed using the same algorithm that the sent message was signed with. The recipient partner is told the signature algorithm via a field in the “as2\_mdn\_options” attribute and the value uses the *\$attribute.sign\$* dynamic variable to ensure it matches the “sign” attribute as shown in the example below:

```
<attribute name="as2_mdn_options"
           value="signed-receipt-protocol=optional, pkcs7-signature; signed-
receipt-micalg=optional, $attribute.sign$"/>
```

If you receive errors something like “mismatched Message Digest” or similar messages then ensure you have this attribute set correctly.

If you need to send an unsigned MDN then set the attribute on the partnership as below:

```
<attribute name="as2_mdn_options" value="none"/>
```

### 10.2.4. Reject Unsigned Messages

The AS2 protocol allows messages to be sent unsigned but this can be a security risk. OpenAS2 supports being able to reject any messages that are sent unsigned by setting an attribute on the partnership or for global applicability, as a parameter.

The default setting is to enforce signing of messages. To remove enforcement for a specific partner, add the following to the partnership that is defined for receiving files from your partner:

```
<attribute name="reject_unsigned_messages" value="false"/>
```

To allow unsigned messages globally set the property in the openas2.properties files to false:

```
reject_unsigned_messages="false"
```

You can then override the global setting in the partnership with a value of “true” if needs be on a per partnership basis.

### 10.2.5. Dynamic AS2 Url Configuration

The target URL for sending messages to your partner (the “as2\_url” attribute in the partnership element) can be dynamically set using message attributes set from dynamic variables. Typically this can be used to change the URI part of the URL (ie the part after the host name) but can be used to set the entire URL if desired. The names of the dynamic variables can be any alphanumeric that makes sense to you.

There are 3 ways to set message attributes that can be used in the for dynamic URL’s:

1. Parsed from the file name that is passed into OpenAS2 for sending (see the section File Name Parsing for more details on how this is done). This is typically used for a generic directory polling module.
2. Explicitly set in the directory polling modules “defaults” attribute. Using this mechanism you would need different directories (ie multiple DirectoryPollingModule instances) per URL you want to use.
3. A combination of the 2 methods above.

For example assume there is a partnership with the “as2\_url” parameter as follows:

```
<partnership name="MyCompany-to-PartnerA">
  <sender name="MyCompany"/>
  <receiver name="PartnerA"/>
  <attribute name="protocol" value="as2"/>
  ...
  <attribute name="as2_url"
value="http://as2.company.com/AS2Routing/$msg.attributes.as2_url_suffix$"/>
  ...
  <attribute name="as2_mdn_to" value="edi@myCompany.com"/>
</partnership>
```

If you are using a generic polling module and want to use the file name as the source for replacing `$msg.attributes.as2_url_suffix$` then use a DirectoryPollingModule as below:

```
<module classname="org.openas2.processor.receiver.AS2DirectoryPollingModule"
  outboxdir="$properties.storageBaseDir$/toAny"
  errordir="$properties.storageBaseDir$/toAny/error"
  interval="5"
  delimiters="-"
  mergeextratokens="true"
  sendfilename="true"
  format="sender.as2_id, receiver.as2_id, attributes.as2_url_suffix, attributes.filename"
  mimetype="application/EDI-X12" />
```

Any file in the format <YourCompanyAS2\_ID>-<YourPartnerAS2\_ID>-<SomeUrlSuffix>-<SomeFilenameToSend> would replace the `$msg.attributes.as2_url_suffix$` with the text extracted matching <SomeUrlSuffix>. For instance, a file name of “MyCompany\_OID-PartnerA\_OID-Shipping-222222-invoice.msg” would send a message from “MyCompany\_OID” partner to “PartnerA\_OID” partner using URL <http://as2.company.com/AS2Routing/Shipping> with file name “ 222222-invoice.msg”.

If you use a dedicated DirectoryPollingModule as the source for replacing `$msg.attributes.as2_url_suffix$` then use a DirectoryPollingModule configured as shown below:

```
<module classname="org.openas2.processor.receiver.AS2DirectoryPollingModule"
```

```

        outboxdir="$properties.storageBaseDir$/toPartnerA"
        errordir="$properties.storageBaseDir$/toPartnerA/error"
        interval="5"
        defaults="sender.as2_id=MyCompany_OID, receiver.as2_id=PartnerB_OID,
attributes.as2_url_suffix=Invoicing"
        sendfilename="true"
        mimetype="application/EDI-X12" />

```

Any file picked up by this polling module would replace the `$msg.attributes.as2_url_suffix$` in the “as2\_url” attribute with “Invoicing”. For instance, a file name of “AST-222222.msg” would send a message from “MyCompany\_OID” partner to “PartnerA\_OID” partner using URL `http://as2.company.com/AS2Routing/Invoicing` with file name “AST-222222-invoice.msg”.

It is also possible to use 2 sources for building the URL. Given a “as2\_url” attribute set as shown below:

```

<attribute name="as2_url"
value="http://as2.company.com/$msg.attributes.as2_url_base$/$msg.attributes.as2_url_suffix$"/>

```

Set up a dedicated DirectoryPollingModule as shown below:

```

<module classname="org.openas2.processor.receiver.AS2DirectoryPollingModule"
        outboxdir="$properties.storageBaseDir$/toPartnerA"
        errordir="$properties.storageBaseDir$/toPartnerA/error"
        interval="5"
        defaults="sender.as2_id=MyCompany_OID, receiver.as2_id=PartnerB_OID,
attributes.as2_url_base=Shipping/"
        sendfilename="true"
        delimiters="-"
        mergeextratokens="true"
        sendfilename="true"
        format="attributes.as2_url_suffix, attributes.filename"
        mimetype="application/EDI-X12" />

```

A file name of “AST-222222.msg” would send a message from “MyCompany\_OID” partner to “PartnerA\_OID” partner using URL `http://as2.company.com/Shipping/AST` with file name “222222-invoice.msg”.

### 10.2.6. Allow Expired Partner Certificates For Signature Verification

By default, the system will not allow signature verification with an expired partner certificate.

To allow the use of expired partner certificates for signature verification, set the property in the `openas2.properties` file (or the properties section of the `config.xml` file) to false:

```
as2_sign_allow_expired_certificate="true"
```

## 10.3. Example Multi-Partner Configuration

The default `partnerships.xml` shows a configuration for your own entry and one partner.

The below shows a configuration for your own company configuration and 2 partners. The **MyCompany-to-PartnerA** partnership uses Synchronous MDN whilst the **MyCompany-to-PartnerB** partnership uses Asynchronous MDN.

```

<partnerships>
  <partner name="MyCompany"
    as2_id="MyCompany_OID"
    x509_alias="mycompany"
    email="as2msgs@openas2.com" />

```

```

<partner name="PartnerA"
  as2_id="PartnerA_OID"
  x509_alias="partnera"
  email="as2msgs@partnera.com"/>

<partner name="PartnerB"
  as2_id="PartnerB_OID"
  x509_alias="partnerb"
  email="as2msgs@partnerb.com"/>

<partnership name="MyCompany-to-PartnerA">
  <sender name="MyCompany"/>
  <receiver name="PartnerA"/>
  <attribute name="protocol" value="as2"/>
  <attribute name="content_transfer_encoding" value="binary"/>
  <attribute name="compression_type" value="ZLIB"/>
  <attribute name="subject" value="File $attributes.filename$ sent from $sender.name$ to
$receiver.name$"/>
  <attribute name="as2_url" value="http://as2.partnera.com:4080"/>
  <attribute name="as2_mdn_to" value="edi@myCompany.com"/>
  <attribute name="as2_mdn_options"
    value="signed-receipt-protocol=optional, pkcs7-signature; signed-receipt-
micalg=optional, $attribute.sign$"/>
  <attribute name="encrypt" value="3DES"/>
  <attribute name="sign" value="SHA-256"/>
  <attribute name="resend_max_retries" value="3"/>
  <attribute name="prevent_canonicalization_for_mic" value="false"/>
  <attribute name="remove_cms_algorithm_protection_attrib" value="false"/>
</partnership>
<partnership name="PartnerA-to-MyCompany">
  <sender name="PartnerA"/>
  <receiver name="MyCompany"/>
  <attribute name="resend_max_retries" value="3"/>
</partnership>

<partnership name="MyCompany-to-PartnerB">
  <sender name="MyCompany"/>
  <receiver name="PartnerB"/>
  <attribute name="protocol" value="as2"/>
  <attribute name="content_transfer_encoding" value="8bit"/>
  <attribute name="compression_type" value="ZLIB"/>
  <attribute name="subject" value="File $attributes.filename$ sent from $sender.name$ to
$receiver.name$"/>
  <attribute name="as2_url" value="https://as2.partnerb.com:8443"/>
  <attribute name="as2_mdn_to" value="edi@myCompany.org"/>
  <attribute name="as2_mdn_options"
    value="signed-receipt-protocol=optional, pkcs7-signature; signed-receipt-
micalg=optional, $attribute.sign$"/>
  <attribute name="as2_receipt_option" value="$properties.as2_async_mdn_url$"/>
  <attribute name="encrypt" value="3DES"/>
  <attribute name="sign" value="SHA-1"/>
  <attribute name="resend_max_retries" value="3"/>
  <attribute name="prevent_canonicalization_for_mic" value="false"/>
  <attribute name="remove_cms_algorithm_protection_attrib" value="false"/>
</partnership>
<partnership name="PartnerB-to-MyCompany">
  <sender name="PartnerB"/>
  <receiver name="MyCompany"/>
  <attribute name="resend_max_retries" value="3"/>
</partnership>
</partnerships>

```



## 10.4. Configuring the AS2 Message ID

The message ID used for uniquely identifying the message sent to a partner defaults to the following format:

```
OPENAS2-$date.ddMMyyyyHHmmssZ$-$rand.UUID$@$msg.sender.as2_id$_$msg.receiver.as2_id$
```

To change this globally for all partnership definitions, change the property in the config.xml file to the desired format string using the attribute name “**as2\_message\_id\_format**” in the “**properties**” element.

```
<properties
  log_date_format="yyyy-MM-dd HH:mm:ss.SSS"
  sql_timestamp_format="yyyy-MM-dd HH:mm:ss.SSS"
  as2_message_id_format="&lt;OPENAS2-$date.ddMMyyyyHHmmssZ$-$
$rand.UUID$@$msg.sender.as2_id$_$msg.receiver.as2_id$>"
/>
```

The message ID that is generated can be overridden on a partnership by partnership basis. To set it for a particular partnership, add an attribute named “**as2\_message\_id\_format**” to the partnership definition and use any dynamic parameters as specified in the dynamic parameters section of this document.

Something like this:

```
<partnership name="MyCompany-to-PartnerA">
  <sender name="MyCompany"/>
  <receiver name="PartnerA"/>
  <attribute name="protocol" value="as2"/>
  <attribute name="content_transfer_encoding" value="binary"/>
  <attribute name="as2_message_id_format" value="ACME-$date.yyyyMMddHHmmssZ$-$
$rand.UUID$"/>
```

In some cases, the choice of the Message ID will not be suitable for generating an MDN message Id because the parameter strings will not have a value value such as when the message ID references some parts of the parsed file name and would return a “null” value in the MDN context. In this case you can specify a different format for the MDN message ID that is generated and this can be set at partnership or system level as above.

```
<properties
  log_date_format="yyyy-MM-dd HH:mm:ss.SSS"
  sql_timestamp_format="yyyy-MM-dd HH:mm:ss.SSS"
  as2_mdn_message_id_format="&lt;OPENAS2-MDN-
$rand.UUID$@$msg.sender.as2_id$_$msg.receiver.as2_id$>"
/>
```

To set it for a particular partnership, add an attribute named “**as2\_mdn\_message\_id\_format**” to the partnership definition and use any dynamic parameters as specified in the dynamic parameters section of this document.

Something like this:

```
<partnership name="MyCompany-to-PartnerA">
  <sender name="MyCompany"/>
  <receiver name="PartnerA"/>
  <attribute name="protocol" value="as2"/>
  <attribute name="content_transfer_encoding" value="binary"/>
  <attribute name="as2_mdn_message_id_format" value="ACME-MDN-$date.yyyyMMddHHmmssZ$-$
$rand.UUID$"/>
```



## 10.5. Setting Content Type

### 10.5.1. Configuration Sources

OpenAS2 provides 6 ways to set the Content-Type header for each sent AS2 message:

1. Set the same default for all partnerships as a system property – set the property named “`content_type`” to the value you want as the default in your property overrides file  
`content_type=application/octet-stream`
2. Set the partnership default - set the attribute with name “`content_type`”.  
An example of specifying an EDIFACT AS2 payload is shown below:  
`<attribute name="content_type" value="application/EDIFACT"/>`
3. Set the poller default – set the property named “`pollerConfigBase.mimetype`” in your property overrides file for all pollers:  
`pollerConfigBase.mimetype=application/octet-stream`
4. Set it in a specific partnership poller by adding “`mimetype`” as an attribute on the “`pollerConfig`” element in the partnership. This **will override** the `pollerConfigBase.mimetype` setting above for that specific partnership:  
`<pollerConfig enabled="true" mimetype="application/edi-x12"/>`
5. Retrieve dynamically determined value based on the filename extension of each file being sent for all configured partnerships. The software will extract the filename extension from the file being sent and use that to lookup the Content-Type to use for that payload using a predefined set of mappings. The mapping from filename extension (eg. “`xml`” in “`somefilename.xml`”) to Content-Type is set in a properties file. The `content_type_mappings.properties` file in the config directory that comes with the OpenAS2 install package can be used as an example. To get the system to load the mappings, set the property named “`content_type_mapping_file`” to the name of the file on the file system you want to use at system level. For example:  
`content_type_mapping_file=$properties.config_dir$/content_type_mappings.properties`
6. Apply the same functionality as the system level dynamically determined value based on the filename extension described in the previous point but only for a specific partnership instead of system wide. To get the partnership to load the mappings, set the attribute named “`content_type_mapping_file`” to a value containing the name of the file on the file system you want to use at partnership level. For example:  
`<attribute name="content_type_mapping_file" value="$properties.config_dir$/content_type_mappings.properties"/>`

Any combination of the above 6 mechanisms is valid but it is important to understand how each property overrides others.

### 10.5.2. Configuring Dynamic Content-Type Lookup

Enabling the dynamic lookup requires a flag to be set either at system level or at partnership level.

For system level set the property named “`use_dynamic_content_type_mapping`” to to “`true`” in your

property overrides file. Any other value other than “true” or the lack of this property will disable system level dynamic mapping. For example:

`use_dynamic_content_type_mapping=true`

For partnership level dynamic lookup set the attribute named “`use_dynamic_content_type_mapping`” to “`true`” in your partnership definition. Any other value other than “true” or the lack of this property will disable partnership level dynamic mapping. For example:

`<attribute name="use_dynamic_content_type_mapping" value="true"/>`

Setting the “`use_dynamic_content_type_mapping`” value to any value other than “`true`” for the partnership will disable the dynamic lookup even though the system level setting is enabled.

For both system and partnership level dynamic mapping, you must set either the system level map (point 5 Configuration Sources section above) or the partnership level map (point 6 in the Configuration Sources section above).

If both system and partnership level maps are provided then the mapping used will be the merged result of the partnership level map into the system level map – partnership level entries override system level entries. As an example see the table below given a system level and partnership level mapping and what the resulting mapping would be that is used in the lookup.

System Level Map	Partnership Level Map	Merged Map Used For Partnership
xml=application/xml edi=application/edifact txt=text/plain	edi=application/edi-x12 json=application/json	xml=application/xml edi=application/edi-x12 json=application/json txt=text/plain

### 10.5.3. Lookup Algorithm Explanation

The algorithm to determine the Content-Type to use for an AS2 message works as follows:

1. Get the **default Content-Type** by checking in each of the locations below in the shown order until it finds a value then goes to point 2:
  - i. check for a “`content_type`” on the partnership (2 above)
  - ii. check for a system default in property “`content_type`” (1 above)
  - iii. check for a poller default in the attribute “`mimetype`” on the partnership poller (a combination of 3 and 4 above will produce this value).
2. Check if partnership is enabled for dynamic Content-Type lookup:
  - i. Get the partnership attribute value for “`use_dynamic_content_type_mapping`” if there is a value other get the value from the system property “`use_dynamic_content_type_mapping`”
3. If dynamic mapping is not enabled, return the default value from step 1 (**exit lookup**)

4. Get the mapping from the dynamic mapping configuration
5. Lookup Content-Type mapping the for the payload filename extension
6. Value found in mapped lookup → return the mapped value (**exit lookup**)
7. Return the default value retrieved in step 1 (**exit lookup**)

## 10.6. Content Transfer Encoding

As of version 1.3.7, the default content transfer encoding uses “**binary**” if not explicitly overwritten in the configuration. The default can be changed using the “**content\_transfer\_encoding**” attribute in the `partnership.xml` file. If you experience issues with failing to verify a partners AS2 inbound message because the message contains CR/LF data in it then you should switch to using “binary” for the transfer encoding. The sample partnership file sets the transfer encoding to “binary” for both partners.

IMPORTANT NOTE: The Content-Transfer-Encoding header is a restricted HTTP header and will not be sent in the HTTP headers but some systems will fail if not sent – see the trouble shooting section for restricted HTTP headers to manage this

## 10.7. Supported Encoding Algorithms

The currently supported encoding algorithms are:

- MD5
- SHA-1
- SHA-224
- SHA-256
- SHA-384
- SHA-512
- CAST5
- 3DES
- IDEA
- RC2\_CBC
- AES128 (CBC mode)
- AES192 (CBC mode)
- AES256 (CBC mode)
- AES256\_WRAP

## 10.8. Message Compression

The application supports inbound compression automatically. There is no configuration for this option. To enable outbound compression requires setting “**compression\_type**” attribute on the partnership definition for the outbound configuration. The only supported compression/decompression at this time is “**ZLIB**”. The default is no compression of sent messages.

By default compression will occur on the message body part prior to signing. The compression can be configured to occur after signing using the “**compression\_mode**” attribute on the partnership definition for the outbound configuration. Set the attribute to “**compress-after-signing**” to enable this.

See partnership.xml appendix for configuration details.

## 10.9. *Custom Mime Headers*

Mime headers can be added to the outermost Mime body part for outbound messages and additionally added to the HTTP headers. The outermost Mime body part will depend on configuration of the partnership and could be the compressed, signed or encrypted part. In the case of the encrypted part being the outermost mime body part, the HTTP headers will not be visible until after decryption of the body part since encryption protects the content and the headers.

### 10.9.1. Static Header Values

Custom headers can be added as statically defined name/value pairs in a partnership attribute where the name and the value are separated by a colon. Multiple static headers are added using a semi-colon separated list between each name/value pair. The attribute name for this is “**custom\_mime\_headers**” and a sample entry of 2 static headers is shown below:

```
<attribute name="custom_mime_headers" value="X-CustomRoute: X1Z34Y ; X-CustomShape:oblong"/>
```

Note that spaces before or after the “;” and “:” separators will be excluded.

### 10.9.2. Dynamic Header Values From File Name

Dynamic headers require 2 attributes to configure their behaviour and there are 2 different modes of operation for extracting the value(s) for the defined header(s) from the file name:

1. delimiter mode
2. regular expression mode

Delimiter mode is relatively simple and does not require any special knowledge but regular expression mode may require someone with regular expression skills. Regular expression mode provides far greater flexibility for extracting the value(s) from a file name where specific character sequences or character counts are required.

Both modes use an attribute named “**custom\_mime\_header\_names\_from\_filename**” to enter the list of header names but the format for the two are slightly different. The second attribute required has a different name for each of the modes,

“**custom\_mime\_header\_name\_delimiters\_in\_filename**” for delimiter mode and  
“**custom\_mime\_header\_names\_regex\_on\_filename**” for regular expression mode.

IMPORTANT: if both delimiter mode and regular expression mode attributes are entered into a partnership then delimiter mode will be chosen irrespective.

#### 10.9.2.1. Delimiter Mode

In delimiter mode, the values in the file name are separated by specifying one or more delimiters and the entire file name is parsed into a list of values using the delimiter(s) defined. In order to accommodate file names that have more than just the values required for the custom headers, the list of header names are defined with a prefix that designates if the value in the list will be used as

a header value or not. For an entry to be added as a header it must have the prefix “header.”. Any other prefix will cause that entry to be ignored. There must be as many header names defined as there are string sequences that would result from splitting the file name string by the delimiter(s) otherwise the system will throw an error.

Below is an example of a delimiter based configuration.

```
<attribute name="custom_mime_header_names_from_filename"
  value="header.X-Header1,header.Y-Header2, junk.extraStuff"/>
<attribute name="custom_mime_header_name_delimiters_in_filename" value="-_"/>
```

Using this configuration, given a file name **ABC-123-INVOICES.csv** there would be 2 headers added as:

X-Header1 value ABC

Y-Header2 value 123

If the file name was **ABC-123-H4FT\_INVOICES.csv** the system would throw an error as there would be 4 string sequences extracted so you could fix this by appending “junk.moreStuff” to the “**custom\_mime\_headers\_from\_filename**” attribute.

Another example of delimiter mode in the partnership:

```
<attribute name="custom_mime_header_names_from_filename"
  value="header.X-Header1, other.string1,header.Y-Header2"/>
<attribute name="custom_mime_header_name_delimiters_in_filename" value="-_"/>
```

Using this configuration, given a file name **ABC-123\_TEST-INVOICES.csv** there would be 2 headers added as:

X-Header1 value ABC

Y-Header2 value INVOICES

#### 10.9.2.2. Regular Expression Mode

Regular expression based mode uses Java regular expressions and requires that the regular expression is constructed in grouping mode where the number of groups in the regular expression exactly matches the number of header names in the

“**custom\_mime\_header\_names\_from\_filename**” attribute. The regular expression will be used to parse the file name to extract the values for the defined names in the attribute named “**custom\_mime\_header\_names\_regex\_on\_filename**”. Regular expressions can become extremely complex and this document will show some simple examples but there are many sites that provide regular expression tutorials if you need a complicated solution.

An example for a regular expression mode configuration is shown below:

```
<attribute name="custom_mime_header_names_from_filename" value="X-Header1,Y-Header2"/>
<attribute name="custom_mime_header_names_regex_on_filename" value="([^-]*)-([^.]*).csv"/>
```

Using this configuration, given a file name **ABC-123-INVOICES.csv** there would be 2 headers added as:

X-Header1 value ABC

Y-Header2 value 123-INVOICES

If the file name was **ABC-123-H4FT\_INVOICES.csv** there would be 2 headers added as:

X-Header1 value ABC

Y-Header2 value 123—HFT\_INVOICES

If the file name was **ABC-123-H4FT\_INVOICES.txt** or **ABC\_123.csv** the system would throw an error since there would be no match.

Another example for a regular expression mode configuration is shown below:

```
<attribute name="custom_mime_header_names_from_filename" value="X-Header1,Y-Header2"/>
<attribute name="custom_mime_header_names_regex_on_filename" value="([^-]*)-([^.]*).csv"/>
```

Using this configuration, given a file name **ABC-123-INVOICES.csv** there would be 2 headers added as:

X-Header1 value ABC  
Y-Header2 value 123-INVOICES

### 10.9.3. Adding Custom Headers To HTTP

The following attribute set to a value of “true” will additionally add the headers to the HTTP headers for both static and dynamic header mechanisms:

```
<attribute name="add_custom_mime_headers_to_http" value="true"/>
```

## 10.10. Setting Dynamic Attributes From File Name

Partnership attributes can be added to the partnership definition based on parsing the file name of the document to be sent using a regular expression. Dynamic attributes require 2 partnership attributes to configure their behaviour for extracting the value(s) for the defined attribute(s) from the file name.

1. “**attribute\_names\_from\_filename**” - when added to a partnership it must contain a list of comma separated attribute names
2. “**attribute\_values\_regex\_on\_filename**” - defines the regular expression

The extracted name/value pairs can then be referenced in config using the format:

\$attributes.<attribute name>\$

Regular expressions uses Java regular expressions and requires that the regular expression is constructed in grouping mode where the number of groups in the regular expression exactly matches the number of attribute names in the “**attribute\_names\_from\_filename**” attribute. Regular expressions can become extremely complex and this document will show some simple examples but there are many sites that provide regular expression tutorials if you need a complicated solution.

An example for a regular expression mode configuration is shown below:

```
<attribute name="attribute_names_from_filename" value="X-attribute1,Y-attribute2"/>  
<attribute name="attribute_values_regex_on_filename" value="([^-]*)-([^.]*).csv"/>
```

Using this configuration, given a file name **ABC-123-INVOICES.csv** there would be 2 attributes added as:

X-attribute1 value ABC  
Y-attribute2 value 123-INVOICES

If the file name was **ABC-123-H4FT\_INVOICES.csv** there would be 2 attributes added as:

X-attribute1 value ABC  
Y-attribute2 value 123—HFT\_INVOICES

If the file name was **ABC-123-H4FT\_INVOICES.txt** or **ABC\_123.csv** the system would throw an error since there would be no match.

Another example for a regular expression mode configuration is shown below:

```
<attribute name="attribute_names_from_filename" value="X-attribute1,Y-attribute2"/>  
<attribute name="attribute_values_regex_on_filename" value="([^-]*)-([^.]*).csv"/>
```

Using this configuration, given a file name **ABC-123-INVOICES.csv** there would be 2 attributes added as:

X-attribute1 value ABC  
Y-attribute2 value 123-INVOICES

The above attributes could be referenced in config to set a more dynamic subject using something like

this:

```
<attribute name="subject" value="Target product: $attributes.X-attribute1$ Sequence Count: $attributes.Y-attribute2$"/>
```

This would produce a subject looking like this:

*Target product: ABC Sequence Count: 123-INV0ICES*

## 10.11. HTTP Authentication

For partners that require HTTP authentication when connecting to their system use the following parameters in the partnership:

- http\_user – the name of the HTTP user for authentication
- http\_password – the name of the HTTP password for authentication

For sending files this is in the partnership where the partner is the receiver.

For asynchronous MDN the parameters must be in the partnership where the partner is the sender.

eg.

```
<attribute name="http_user" value="myhttpuser"/>
<attribute name="http_password" value="some_secret"/>
```

# 11. AS2 Certificate Configuration

IMPORTANT: This section does NOT cover SSL certificates. SSL certificates are a completely separate set of certificates to the AS2 certificates – see the appropriate section elsewhere in this document for how to manage SSL (for HTTPS) certificates.

## 11.1. Certificate Usage Overview

There are 2 different sets of certificates used in OpenAS2 and they are stored separately because they are used independently of each other. The AS2 protocol supports using an X.509 certificate for encryption and signing of messages sent and received with trading partners. This encryption and signing is independent of any communication protocol encryption at the transport layer such as using SSL for HTTP (otherwise known as HTTPS).

This section only covers the certificates used for AS2 encryption and signing. See the SSL Certificates - Configuring HTTPS Transport section for details on SSL/HTTPS setup.

An excellent open source visual keystore manager that will run on any OS and will allow importing and managing certificates in your keystore can be found here: <http://portecle.sourceforge.net/>

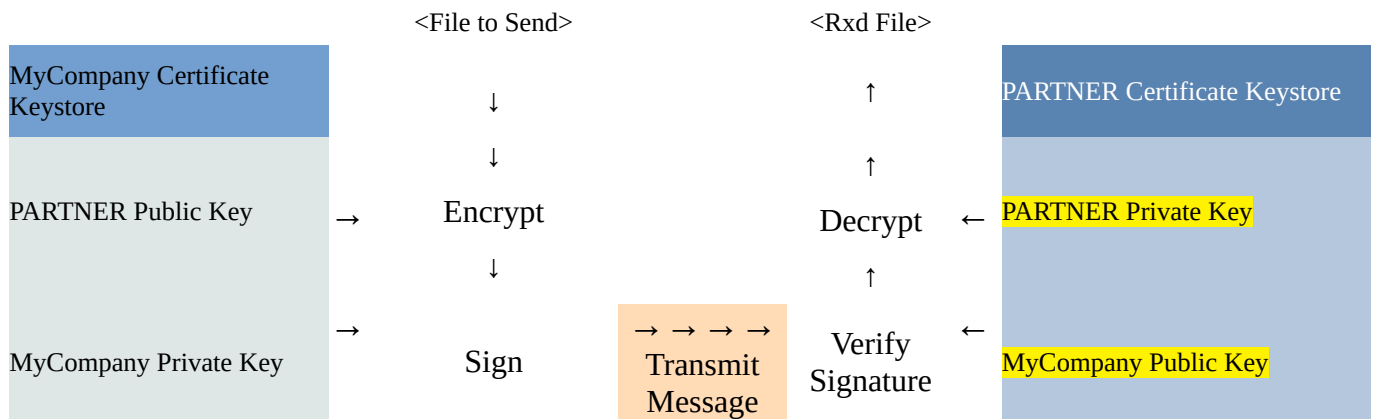
AS2 uses an X.509 certificate for encryption and signing - this can be a self signed certificate or a certificate that has been signed by a CSR. However, *a CSR signed certificate does not increase security in the AS2 use case*. When generating a certificate you end up with a public and private key for your certificate that is identified by a certificate **alias** in the keystore. The alias is defined at the time of creating the certificate.

You import the private and public keys and associated certificate into the OpenAS2 keystore and share the public key with the partner(s).

All trading partners will need to send you their public certificate that must be imported into the AS2 certificate keystore under a unique **alias** that identifies the particular trading partners public key in your keystore.

Encryption is done using the public key of an X.509 certificate and signing uses a private key. All certificates are stored in a single PKCS12 keystore and identified by their unique **alias**.

The usage for public and private keys in the AS2 process flow is shown below.



On the MDN sending side, the process is identical if using encryption and signing but the MDN does not have to be encrypted though is normally signed. The process when returning a signed MDN from the Partner looks like this:

[MDN] --> Sign with THEIR Private Key -> (Send MDN back) -> Verify signature with PARTNER Public Key

Who has which key for sending and receiving AS2 messages?

For both receiving and sending messages, the certificate store will need:

1. Private key and certificate in your local PKCS12 keystore
2. Partners public key for their certificate

The first step is to set up your own certificate(s) public and private keys.

Once you have your own certificates set up then you can import the partner public key(s).

The certificate store used by default is a PKCS12 key store and stores all X.509 certificates for all trading partners.

If you list the contents of your keystore using keytool you will see that certificates have either "PrivateKeyEntry" or "trustedKeyEntry". You must have at least one "PrivateKeyEntry" which will be your own public and private keys under some alias. You will have at least one "trustedCertEntry" per partner containing their public key.

Below is the sample output from the OpenAS2 certificate keystore:

```
OpenAS2/config > keytool -list -keystore as2_certs.p12 -storepass testas2 -storetype PKCS12
Keystore type: PKCS12
```



Keystore provider: SunJSSE

Your keystore contains 2 entries

*partnera*, 07-Sep-2018, *publicKeyEntry*,  
Certificate fingerprint (SHA1): 2D:4B:42:05:56:80:9B:5D:0E:63:4D:4A:23:3D:9A:39:C3:8D:51:21  
*mycompany*, 07-Sep-2018, *PrivateKeyEntry*,  
Certificate fingerprint (SHA1): 1E:16:65:9B:7A:F2:59:EA:B7:B7:4F:E5:EB:D3:CF:89:3A:0F:89:CA

## 11.2. Certificate Keystore Configuration

The AS2 certificates keystore is specified in the “**certificates**” element in the application configuration file (described in section Application Configuration above). At startup of the OpenAS2 application, the certificates stored in the keystore configured within a certificates component are loaded and cached by the application.

The default properties for controlling the AS2 certificates keystore in the application configuration file for the “**certificates**” element is as below:

```
as2_keystore=$config.dir$/as2_certs.p12
as2_keystore_password=testas2
as2_keystore.refresh_interval=300
```

Where:

- **as2\_keystore** - specifies the path and name of the keystore file containing AS2 certificates
- **as2\_keystore\_password** - specifies the password to open the keystore.
- **as2\_keystore.refresh\_interval** - specifies the number of seconds between each check for a changed certificate file. If a change of the certificate file is detected, the certificate file will be automatically reloaded.

The application supports certificate management via the command interface but the functionality is limited and you will need to use 3<sup>rd</sup> party tools for creating and manipulating certificates to a format that can be used in the OpenAS2 command interface.

## 11.3. Managing Certificate Keystore

There are three strategies that can be used to manage certificates:

1. Command line scripts provided with the OpenAS2 install package in the bin folder. The scripts are listed below and there is an equivalent *.bat* file for each to use on the Microsoft Windows platform. Each script can be run without arguments to show a usage listing:
  - i. `import_public_cert.sh` – will import a public key from a base64 (ASCII) encoded PEM format text file into the specified PKCS12 keystore
  - ii. `import_alias_from_keystore.sh` – requires a source PKCS12 with any number of certificates stored in it. Each invocation of the script will import all keys stored within the specified alias into the target keystore

2. Use a third party certificate manager such as Portecle, OpenSSL or Java Keytool – these tools have the advantage that you can do the ongoing management of the certificates as you onboard new partners in a keystore outside the application. You would either maintain a master keystore or copy the existing one in active use from your OpenAS2 deployment, make the changes to the keystore as needed and then overwrite the active keystore in your OpenAS2 deployment. The application will automatically reload the certificates when it detects a changed keystore file. So for example you would follow this set of steps:
  - a) copy the `%home%/as2_certs.p12` file to `as2_master.p12`
  - b) import/delete your certificates in this file as required
  - c) copy `as2_master.p12` to `%home%/as2_certs.p12`
3. Use the OpenAS2 certificate management commands provided in the console command processor or via the remote command processor – this mechanism is sufficient but does not provide certificate verification functionality at this time and only supports a few basic commands. When running the OpenAS2 application as a daemon/service the console command processor is not accessible (and should be disabled for this reason) and you will need to use the remote command processor.

## 11.4. My Certificates

You can have multiple certificates per trading partner (each partner is sent a unique certificate and there is a matching private and public key for each certificate in your keystore). Alternatively you can have a single certificate for all trading partners (all partners are sent the same public key and there is only one private key in your keystore to match). For increased security it is highly recommended you use multiple certificates.

Your own certificate(s) will always be imported from a keystore that contains both the private and public keys and the certificate.

NOTE: SHA1 certificates are no longer supported and are rapidly being phased out so you should use SHA-256 for all partners that do support SHA-256 certificates.

### 11.4.1. Creating Certificates

There are many tools for creating certificates. This document focusses on using openssl but it can be done using the Java keytool application in a similar way.

There is a shell script to help generating certificates that comes with the OpenAS2 install package. This script uses the Java keytool command and can be found here:

```
<installDir>/bin/gen_p12_key_par.sh --- nix shell script  
<installDir>/bin/gen_p12_key_par.bat --- windows DOS shell script
```

Running the script without arguments will show a usage description for the list of options you provide for the script and then run it in a unix shell or DOS shell as appropriate.

Alternatively, the following steps will create an X509 self signed certificate using OpenSSL:

```
openssl req -x509 -newkey rsa:4096 -keyout priv.key -out selfcert.crt -days 3650 -sha256
```

This creates a certificate file named `selfcert.crt` and a private key file named `priv.key`. To create a PKCS12 keystore with the certificate and public/private keys use this command:

```
openssl pkcs12 -export -in selfcert.crt -inkey priv.key -out certs.p12 -name my_new_alias
```

The file named **certs.p12** is now a PKCS12 keystore containing the public and private key and the certificate with the alias set as **my\_new\_alias**.

### 11.4.2. Creating Public Key For Sending To Partner

Most systems will support a base64 (ASCII) encoded PEM format. If your partner needs a different format there are numerous methods to convert certificates to other formats including using openssl but that is not covered in this document.

The public key must be exported from PKCS12 keystore that you created when generating a self signed certificate as described in the previous section. You can export the public key using this command against the keystore:

```
openssl pkcs12 -clcerts -nokeys -out <output file> -in <keystore file>
```

This should be run against the temporary keystore containing your certificate that you intended to use for the trading partner you want to send the public key to. You can run the command against the active keystore in the OpenAS2 deployment at any time after having imported other certificates to it but it will export all certificates in the keystore and you will have to edit the file to extract only the one you are interested in.

If you only intend to use one certificate for all trading partners then you should store this public key somewhere so you do not have the problem of having to export it every time you get a new trading partner.

### 11.4.3. Importing Into OpenAS2 Keystore

See the earlier section on managing keystores for the 2 different strategies. For using the OpenAS2 command processor, the import command for certificates contained in a PKCS12 keystore would be in this format:

```
cert import <alias> <path+filename> <keystore password>
```

The command would import all certificates and keys contained in **<path+filename>** into the active PKCS12 keystore running in the OpenAS2 deployment under the alias **<alias>**. The **<keystore password>** is the password for the **<path+filename>** file.

If you are replacing your existing keystore completely using the third party keystore manager strategy then simply delete the existing keystore and copy the new one into the same folder with the same name as the old one (of course this will delete any partner certificates you may have imported to the keystore you are overwriting).

NOTE: It is important to use ".p12" as the extension when importing certificates from a PKCS12 keystore as the importer requires the ".p12" extension to detect that you are not importing a certificate directly but rather the certificates in a PKCS12 keystore.

### 11.4.4. Supporting Multiple Certificates

In the case where you need to support multiple certificates such as when one partner needs SHA-1 and another needs SHA-256 or when you want to set up different certificates per partner, follow these steps below.

The key to supporting multiple certificates is ensuring you use a separate **as2\_id** and **x509\_alias** attribute.

In the `partnership.xml` you would add another `partner` element pointing to a different certificate.

If for example you have a `<partner>` element definition for your company as below:

```
<partner name="MyCompany" as2_id="MyCompany_OID" x509_alias="MyCompanyCert"
email="me@MyCompany.com"/>
```

For each additional certificate you support, you then add another `<partner>` element. If for instance you have SHA1 already deployed and working with existing partners and you create a SHA-256 certificate to support a new partner, you add a new `<partner>` element something like this:

```
<partner name="MyCompany256" as2_id="MyCompany2_OID" x509_alias="MyCompanyCert256"
email="me@MyCompany.com"/>
```

In your `partnership` definition for the partners using the SHA-256 certificate you set the `"sender"` and `"receiver"` attribute as appropriate to point to the correct partner definition (`"MyCompany256"` per the example above) along with changing the SHA-1 to SHA-256 in the other relevant attributes as shown in the snippet below.

```
<partnership name="MyCompany256-to-MyPartner256">
  <sender name="MyCompany256"/>
  <receiver name="MyPartner256"/>
  <attribute name="protocol" value="as2"/>
  ...
</partnership>
```

Import the new certificate into the existing p12 keystore using the *alias* as defined in the `x509_alias` attribute above ( `"MyCompany2Cert256"` ) and send the partner the matching public key for the new certificate along with the `as2_id` `"MyCompany256_OID"` that they will need to use so you can differentiate your target definition in the `partnership` file containing the SHA1 certificate from the SHA-256 certificate. See the previous section for importing certificates into your existing keystore.

#### 11.4.5. Overlapping Old And New Certificates When Changing

When your own certificate for one of your own partner definitions needs changing, you can import the new certificate with a different x509 alias into the same keystore as the existing certificate that will be replaced. Then define the new certificate as the fallback certificate. This allows you to set up the new certificate before your partner has installed and switched over to your new certificate. The configuration on your partner definition would be as shown below with the additional `"x509_alias_fallback"` attribute:

```
<partner name="MyCompany256" as2_id="MyCompany2_OID" x509_alias="MyCompanyCert256"
x509_alias_fallback="MyNewCompanyCert256" email="me@MyCompany.com"/>
```

The partner can install and enable the new certificate at any time and OpenAS2 will automatically try the default certificate and fall back to the fallback certificate defined by the `"x509_alias_fallback"` attribute. When the server detects that the sender is using the fallback certificate, it will log a warning in the log file that will have the text:

Partner has updated our certificate. Switch the fallback alias and remove the X509 fallback for the partner: `<partner name>`

NOTE: Using this mechanism only works if you are RECEIVING files from your partner. It does incur a processing time cost and the fallback should be removed as soon as the switchover on your partners end is complete and you see the message above in your log files. If you are sending files then you will need to synchronise the switchover time of the new certificate with your partner as the system cannot encrypt with 2 different certificates.

## 11.5. Partner Certificates

The certificate(s) that you will obtain from your partner(s) will need to be imported into the same keystore you have created for your own certificate (defined by the “**as2\_keystore**” property). Your partner should send you the public key for their certificate and should be a single file usually with a “.cer” or “.der” extension. If they send you multiple certificates it is probably because they have used a third party signed certificate and may include the trust chain which you have no need for. The most common and easily supported formats for the partner public key is DER and PEM encoded.

The partner certificates must be imported with unique aliases so that they can be uniquely referenced from the partnership configuration in the same way described in the section above for your own certificates.

In the same way as described in the section above for dealing with your own certificates, you can either use the OpenAS2 command interface or a 3<sup>rd</sup> party tool to import partner public keys into the keystore.

### 11.5.1. Importing New Or Replacing Existing Public Keys

The same script supports importing a new certificate or replacing an existing one. If your partner certificate has expired or is about to expire and they send you a new certificate, you will need to overwrite the existing one in the keystore when importing the new one.

You can use a GUI based keystore manager or the keytool or openssl command tool if you are familiar with them. There is a simple shell script to help importing/replacing partner certificates that comes with the OpenAS2 install package. The script will do both importing a new certificate and replacing an existing certificate by providing the appropriate command line parameters.

The script uses the Java keytool command and can be found here:

<installDir>/bin/import\_public\_cert.sh --- nix shell script

<installDir>/bin/import\_public\_cert.bat --- windows DOS shell script

Running the script without arguments will show a usage description for the list of options you provide for the script and then run it in a unix shell or DOS shell as appropriate.

The scripts will support importing any file type that the keytool command supports. It has been tested using PEM and DER encrypted formats.

## 11.6. Possible Issues With Older Certificates

With the latest version of cryptographic libraries it is possible that importing older certificates will cause a failure relating to certificates with something like this in the error:

***Caused by: java.lang.IllegalArgumentException: invalid info structure in RSA public key***

See this discussion for more information: <https://github.com/OpenAS2/OpenAs2App/issues/98>

To solve this problem if you have to use an older certificate, add the following option to the startup script (in the latest scripts it is in the file but commented out):

**`-Dorg.bouncycastle.asn1.allow_unsafe_integer=true`**

## 11.7. Suggested Steps For Certificate Setup

Do the “My Certificates” process first followed by the “Partner Certificates” when you receive partner certificates.

If you intend to use a single certificate for all partners then you will only do the “My Certificates” section once but it is recommended that you create separate certificates per trading partner as this makes it much easier to manage upgrades when the certificate expires as you will only have to coordinate with 1 partner at a time.

You will have to do the “Partner Certificates” for every partner you trade with.

### 11.7.1. My Certificates

The below is a summary of the steps to get set up with OpenAS2 certificates where each partnership has a unique set of certificates. If you wish to use a single certificate then this process only needs to be done once. For DOS based execution replace all paths using “/” with the DOS “\”. Assuming your company name is “MyCompany” and your trading partner is “PartnerX”:

1. Open a Unix shell or DOS window
2. Change to the folder containing the certificates: <installDir>/config
1. Run the gen\_p12\_key\_par.sh script (.bat version for windows). For this example we use:  
`gen_p12_key_par.sh as2_certs mycompany SHA256 "CN=as2.mycompany.com, OU=QA, O=PartnerA, L=New York, S=New York, C=US"`

NOTES:

- You should set the certificate valid duration and optionally the validity start date – run script without command line parameters to see usage details
  - If you intend to use single certificate for all partners then instead of using “**mycompany\_partnerx**” as a certificate alias you a generic name. e.g **mycompany\_cert**
3. Files generated will be:
    - mycompany\_partnerx.p12** – the new keystore containing your self signed certificate with **public and private keys**
    - mycompany\_partnerx.cer** – the **public key** to send to your partner(s)
  4. If this is a new install:
    - Delete the existing AS2 certificates file: <installDir>/config/as2\_certs.p12
    - Copy the newly generated keystore to: <installDir>/config/as2\_certs.p12
  5. If this is an additional certificate for another partner and an as2\_cert.p12 already exists with some other partner certificate(s) in it, run the script to import the entire new new certificate key set into

the as2\_certs.p12:

- `<installDir>/bin/import_alias_from_keystore.sh mycompany_partnerx.p12 mycompany_partnerx as2_certs.p12 mycompany_partnerx`

NOTE: You can change the alias of the source keystore to something else if you want. Run the above script without command line parameters to see usage.

6. In the partnerships.xml, make sure there is a `<partner>` entry for your company with the x509\_alias set to “mycompany\_partnerx”

```
<partner name="MyCompanyPartnerX" as2_id="MyCompanyPartnerX_OID"
x509_alias="mycompany_partnerx" email="as2msgs@mycompany.com"/>
```

NOTE: If you use a single certificate for all partnerships then you can just have a single partner entry in the partnerships file for your company.

### 11.7.2. Partner Certificates

Assume your trading partner sends their public key in a file named “partnera.cer”. The below is a summary of the steps to install a trading partners certificate into the OpenAS2 certificate keystore. For DOS based execution replace all paths using “/” with the DOS “\”:

1. Open a Unix shell or DOS window
2. Change to the folder containing the certificates: `<installDir>/config`
3. Delete the existing AS2 certificates file: `<installDir>/config/as2_certs.p12`
4. Run the `import_public_cert.sh` script (.bat version for windows). For this example we use:  
`import_public_cert.sh partnera.cer as2_certs.p12 partnera`
5. The keystore will now have an additional certificate under the new alias “**partnera**”.
6. In the partnerships.xml, make sure there is a `<partner>` entry for the new trading partner with the x509\_alias set to “**partnera**”

## 12. Logging System

OpenAS2 uses the SLF4J logging facade and the default installation uses the Logback framework. Loggers are configured in the **logback.xml** file in the **config** folder.

The default deployment For more complex logging configurations see the logback documentation: <https://logback.qos.ch/manual/introduction.html>

You can change the logging framework by removing the logback jar files from the library along with the **logback.xml** file in the config folder and replacing it with the appropriate framework jars and configuration injection.

### 12.1. Log Output Targets

The default configuration provides the logging output can be directed to to multiple destinations including:

- System console
- File system log files

IMPORTANT: Disable the Console appender when deploying to production.

An email appender example is included in the logback.xml but is commented out.

There are many other possible appenders some of which are provided by the Logback implementation:

<https://logback.qos.ch/manual/appenders.html>

You can also add other appenders developed by 3<sup>rd</sup> party providers including a Sentry appender for Logback:

<https://docs.sentry.io/platforms/java/guides/logback/usage/advanced-usage/>

By default the OpenAS2 system deploys with the console and file system loggers enabled.

## 12.2. Log Level Configuration

The root logger is set to use INFO level logging by default.

To change this either change the “level” attribute value or set an environment variable named

“[OPENAS2\\_LOG\\_LEVEL](#)” to one of the following supported by the Logback framework in order of lowest(finest) to highest:

"TRACE", "DEBUG", "INFO", "WARN", "ERROR"

The logging levels are turned off by specifying the level you want on and all other levels higher than that level will also be turned on.

Other options are:

“ALL”, “OFF”

The default level is INFO and therefore WARN and ERROR are also turned on by default.

By setting the level value to DEBUG will result in DEBUG logging being enabled along with INFO, WARN and ERROR

The same can be achieved by adding a system property to the Java start command:

-D [OPENAS2\\_LOG\\_LEVEL](#)=DEBUG

## 12.3. Log Date Format Configuration

The default format for the timestamp prefixed to all log entries is the international standard including millisecond precision as of version 2.3.1 (yyyy-MM-dd HH:mm:ss.SSS).

The format can be changed by setting the attribute named “log\_date\_format” in the “properties” element of the config.xml with the desired format. The format string must comply with the Java

SimpleDateFormat specification (e.g for Java 8 -

<https://docs.oracle.com/javase/8/docs/api/java/text/SimpleDateFormat.html>)

## 12.4. Suppressing Invalid HTTP Request Message

The informational HTTP message when an invalid AS2 request is detected can be suppressed by adding the following property to the <properties> element in the config file with a value of “false”:

```
log_invalid_http_request="false"
```

This is useful if you have a healthcheck function that pings the HTTP interface and want to avoid logging the message that is expected in that situation.



# 13. MDN Configuration

MDN's can be sent or received synchronously or asynchronously.

- For sending MDN's, OpenAS2 will automatically use the mode requested by the sending partner.
- For receiving MDN responses from your partner, the default configuration for a partnership will use the synchronous MDN mode. To set a particular partnership to request the receiver partner to respond with an asynchronous MDN, the **as2\_mdn\_options** and **as2\_receipt\_option** attributes must be set on the partnership:

```
<attribute name="as2_mdn_options" value="signed-receipt-protocol=optional,pkcs7-signature;signed-receipt-micalg=optional,$attribute.sign$"/>
```

```
<attribute name="as2_receipt_option" value="$properties.as2_async_mdn_url$"/>
```

the example above for **as2\_receipt\_option** uses a property from the config.xml to facilitate centralised management of the URL that your async MDN receiver module runs on but can be hard coded (see below for async MDN receiver module configuration).

Per the AS2 specification, an MDN will only be sent on receipt of an AS2 message if the “**Disposition-Notification-To**” header is present in the received message with a non-empty value. Although this value is specified to be configured with an email address, it is not utilized for any purpose in the AS2 protocol other than to indicate an MDN is required so can in fact be any random string. To set the “**Disposition-Notification-To**” header in an outbound message, the “**as2\_mdn\_to**” attribute must be set on the partnership.

The other attribute that must be set is the “**as2\_mdn\_options**”. This defines the encryption algorithm and other MDN settings as specified by the AS2 protocol and the value entered for this attribute will be sent in the “**Disposition-Notification-Options**” header of the AS2 message. Generally changing the encryption algorithm to suit the trading partner should be sufficient on this attribute.

## 13.1. Asynchronous MDN Receiver Configuration

In order to specify an asynchronous MDN response from a partner requires setting the following attribute on the partnership element in the partnership configuration:

- **as2\_receipt\_option** – set to the URL of the asynchronous MDN receiver to target the asynchronous MDN receiver module configured in the config file (ie. this is the URL that the partner will send the MDN to). The value set in this attribute will be sent in the “**Receipt-Delivery-Option**” header of the AS2 message to the trading partner. For testing using the default config file that comes with the OpenAS2 installation package, set this to: <http://localhost:10081>

Receiving an asynchronous MDN requires enabling either the HTTP or HTTPS MDN receiver module. Below are the default values for the HTTP and HTTPS modules:

```
module.AS2MDNReceiverModule.http.enabled="true"
module.AS2MDNReceiverModule.http.port="10081"
module.AS2ReceiverModule.https.enabled="false"
module.AS2ReceiverModule.https.port="10443"
module.AS2MDNReceiverModule.https.enabled="false"
module.AS2MDNReceiverModule.https.port="10444"
```

There is the possibility that the partner fails to respond to a sent message with an Async MDN (due to a configuration error in the `as2_receipt_option` attribute on the partnership or some problem on the partner side). There is a task that checks for failed acknowledgements at predefined intervals. The default interval is 4560 seconds (76 minutes) and can be changed using the following property value in the `openas2.properties` file:

```
as2_mdn_response_max_wait_seconds="10444"
```

## 13.2. MDN Sender Configuration

Sending an asynchronous or synchronous MDN requires the “*MDNSenderModule*” module to be enabled. It is enabled by default:

```
module.MDNSenderModule.enabled="true"
```

# 14. SSL Certificates - Configuring HTTPS Transport

IMPORTANT: This section does NOT cover AS2 certificates. SSL certificates are a completely separate set of certificates to the AS2 certificates – see the appropriate section elsewhere in this document for how to manage AS2 certificates.

Please read the document from this link if you have authentication issues with SSL:

<https://docs.oracle.com/en/java/javase/17/security/java-secure-socket-extension-jsse-reference-guide.html#GUID-7D9F43B8-AABF-4C5B-93E6-3AFB18B66150>

HTTPS transport using SSL is configured separately for inbound and outbound connectivity.

You can have both HTTP and HTTPS running concurrently but they must be configured on different ports.

If you are sending messages to your partner or your partner is using Asynchronous MDN to send messages to you, you do NOT need to obtain SSL certificates from your partner for HTTPS transport – most SSL certificate providers have their trusted certificates installed in the trusted security keystore that comes with your Java installation. The exception is if the partner uses authenticated SSL in which case you must read the information in the link above for Java keystores.

In some situations, the certificates your partner uses may be from a little known or new provider in which case you will need to manually add their trust chain of certificates to your trust keystore. See the section on troubleshooting HTTPS issues troubleshooting section further down in the document for solutions if you encounter problems after following these configuration guides.

## 14.1. SSL Certificates – Inbound Connections

In order to support inbound HTTP connections over HTTPS to the OpenAS2 application you will need to set up the SSL certificates. There are 3 possible inbound connections that can be made to OpenAS2 that require SSL certificates added to your OpenAS2 installation if the connection must be over HTTPS:

1. Partner sends files – the request is initiated by the partner and connects to your server
2. You send files to a partner but request an ASYNC MDN response in which case the partner initiates the MDN connection to your server to return an MDN after receiving your AS2 message
3. You use the REST API over HTTPS for a GUI based configuration and monitoring interface.

If you are NOT using any of the above 3 connection mechanisms requiring HTTPS then you do not need any of your own SSL certificates.

If you are connecting to a partner that uses self signed SSL certificates for HTTPS then you will need to see the section on supporting self signed certificates below.

The following properties control the SSL certificates keystore:

```
ssl_keystore=$config.dir$/ssl_certs.jks  
ssl_keystore_password=testas2
```

Where:

- ssl\_keystore – the full path including file name of the file where your SSL certificates are stored. This can be a JKS or PKCS12 keystore
- ssl\_keystore\_password – the password to access the keystore

Whilst it is possible to use self signed certificates for HTTPS it is not advisable because the way security for HTTPS works makes self signed certificates inherently less secure than signed certificates.

You must generate a Java compatible keystore for SSL certificates. The default one used by OpenAS2 is JKS. There are many tutorials for creating Java based keystores with SSL certificates and most certificate issuers have tutorials on their websites for generating them and getting them signed so this is not covered in this document. Below are 2 options that provide Java based information:

<https://www.ssldesk.com/keystore-jks-keytool-csr-generation-ssl-installation-guide/>

<https://www.digicert.com/csr-ssl-installation/tomcat-keytool.htm>

Once you have obtained the certificate from your issuer you have 2 ways to install the certificates into OpenAS2:

1. Replace the existing JKS keystore (the default value is `$config.dir$/ssl_certs.jks`) with the one that is created from the certificate creation process. Just copy the new file over the existing `ssl_certs.jks` file.
2. Place the new keystore in your preferred location with preferred name and set the “`ssl_keystore`” property to point to it.

## 14.2. Inbound Transfers

Configurations for supporting inbound HTTPS requests are disabled in the config.xml file by default. The key properties that configure HTTPS for **AS2ReceiverModule** or **AS2MDNReceiverModule** are:

- `module.AS2ReceiverModule.https.enabled=false`
- `module.AS2ReceiverModule.https.port=10443`
- `module.AS2MDNReceiverModule.https.enabled=false`

- `module.AS2MDNReceiverModule.https.port=10444`

The port for AS2ReceiverModule and AS2MDNReceiverModule must be different.

To enable HTTPS, set the following property in your `openas2.properties` file to “true”:

- `module.AS2ReceiverModule.https.enabled=true`

If you are not intending to receive AS2 files over HTTP then disable that module by setting the property that controls it to “false”:

- `module.AS2ReceiverModule.http.enabled=false`

The requirements for receiving AS2 files using HTTPS are:

- JKS or PKCS12 keystore containing the SSL certificate as set up in the previous section
- an appropriately configured **AS2ReceiverModule** or **AS2MDNReceiverModule** module element

## 14.3. Outbound Transfers

The partnership definition for the connection URL to your partners AS2 receiver will also have to be set to the appropriate URL with their host name and prefixed with https.

The attribute that configure HTTPS for sending to a partner using HTTPS is the `as2_url`:

- `as2_url` – set this to the URL that the partner provides you with (eg. `https://edi.partnerdomain.com/as2`)

If you are requesting an asynchronous MDN response from your partner(s) then you must enable the https version of the **AS2MDNReceiverModule** and change the property to enable it in `config.xml` to “true”:

- `module.AS2MDNReceiverModule.https.enabled=true`

If you are not intending to receive MDN responses over HTTP then disable that module by setting it to “false”:

- `module.AS2MDNReceiverModule.http.enabled=false`

The attribute that tells the partner to return an asynchronous MDN using HTTPS is the `as2_mdn_to` attribute:

- `as2_mdn_to` (only if MDN is required) – set this to the URL your MDN receiver is listening on (eg. <https://as2.mydomain.com:10444>)

## 14.4. Outbound Transfers – Self Signed Partner SSL

If the partner system being connected to uses self signed certificates, there are 2 options for supporting the SSL connection because by default, HTTPS implementations require CA signed SSL certificates.

### 14.4.1. Simple Host Name Check

The less secure mechanism uses a command line property passed into OpenAS2 at startup. OpenAS2 simply verifies that the certificates Canonical Name (CN) value in the Distinguished Name (DN) entry matches a value in the system property.

The system property will have to be passed as a comma separated list (no spaces before or after comma) of the “Common Name” (CN) in the self signed certificate that will be returned by the target system:

```
-Dorg.openas2.cert.TrustSelfSignedCN=<Common.Name1>,<Common.Name2>,...
```

This method will require restarting OpenAS2 every time you add or remove a value from this system property.

#### 14.4.2. Host Name Check And Certificate Fingerprint Match

A more secure mechanism that automatically updates itself requires that you have the partners public key matching the self signed certificate they are using for SSL to import into a trust store accessible to your OpenAS2 server. The public certificates can be imported into the keystore using the same script as for AS2 public certificate keys.

The default config for the SSL trust store as provided with the OpenAS2 application download is set as shown below:

```
ssl_trust_keystore.enabled=false
ssl_trust_keystore=$config.dir$/ssl_trust_certs.p12
ssl_trust_keystore_password=testas2
ssl_trust_keystore.refresh_interval=300
```

Set the relevant properties above in your openas2.properties file and import the public keys for your partner SSL certificates into the keystore defined by the **ssl\_trust\_keystore** property..

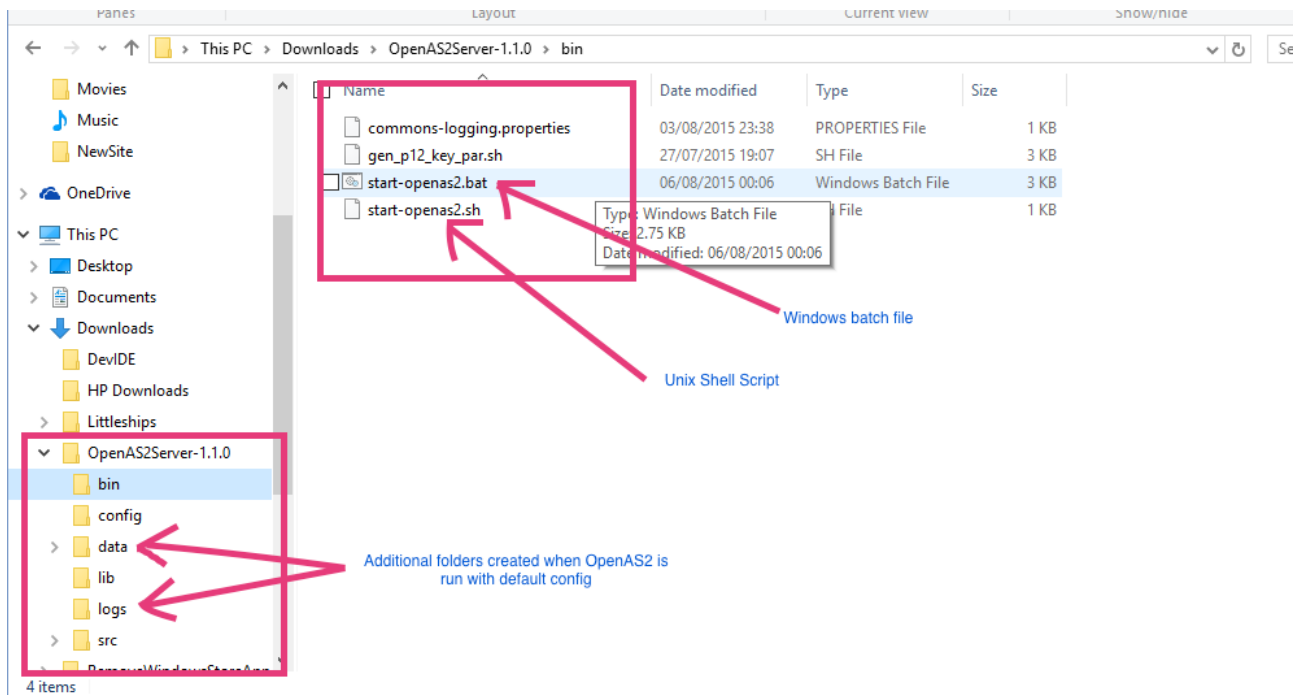
## 15. Running OpenAS2

OpenAS2 can be started from the command line in any operating system that supports Java or can be configured to run as a daemon using the appropriate mechanisms for the operating system.

The default deployment for OpenAS2 has a console logger enabled which means that all logging will be visible in the command line window that OpenAS2 is started from. The server can also be configured from the command line once the application is running by simply typing in commands once it has started. Because the logging will appear in the window it may make command entry difficult if there are active transfers at the time you try to enter commands and it may be desirable to switch off the console logger if you have no need for it.

### 15.1. Starting OpenAS2

The default install of the application is as in the figure below from a windows PC.



There are 2 executable script files in the **bin** folder of the AS2 application root as indicated in the screenshot above:

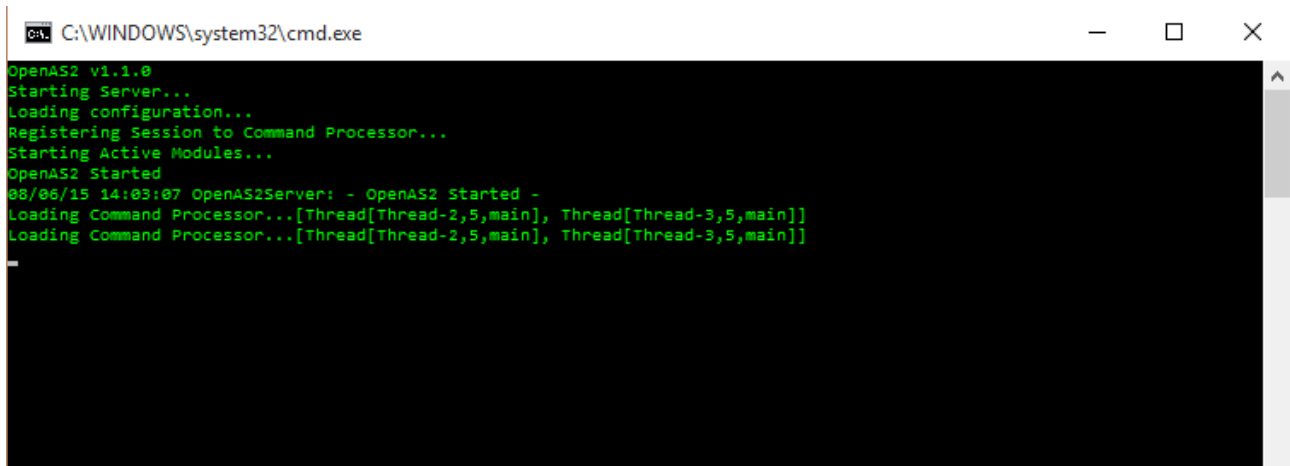
1. start-openas2.sh – for UNIX based systems
2. start-openas2.bat – for Microsoft Windows based system

It is not necessary to modify these files for the default install to work. If you choose to put the config.xml file in a different location than the default then you will need to edit the appropriate script file and set the path to the config.xml file appropriately.

Simply execute the script file and an AS2 server will start up. It will create the following folders along with sub folders when it starts assuming no change to the default config:

- logs – contains the normal program logging
- data – contains all the transferred files and any AS2 specific headers associated with AS2 transfers. This folder will have a number of sub folders for outbound and inbound files for different partners

In Microsoft Windows you should be able to double click the start-openas2.bat file and a command window will open as below.

A screenshot of a Windows command prompt window titled "C:\WINDOWS\system32\cmd.exe". The window has a black background with green text. The text shows the OpenAS2 v1.1.0 startup sequence: "Starting Server...", "Loading configuration...", "Registering Session to Command Processor...", "Starting Active Modules...", "OpenAS2 Started", and a timestamped log entry "08/06/15 14:03:07 OpenAS2Server: - OpenAS2 Started -". It also shows two "Loading Command Processor..." messages with thread information: "[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]".

```
C:\WINDOWS\system32\cmd.exe
OpenAS2 v1.1.0
Starting Server...
Loading configuration...
Registering Session to Command Processor...
Starting Active Modules...
OpenAS2 Started
08/06/15 14:03:07 OpenAS2Server: - OpenAS2 Started -
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
```

For Unix based systems such as Linux and OSX, open a terminal window and change directory to the “bin” folder of the install. The start\_openas2.sh file should have execute permissions in which case simply type the name and press enter. If no execute permissions are set, either set the execute permission as needed or use “bash” to run the script:

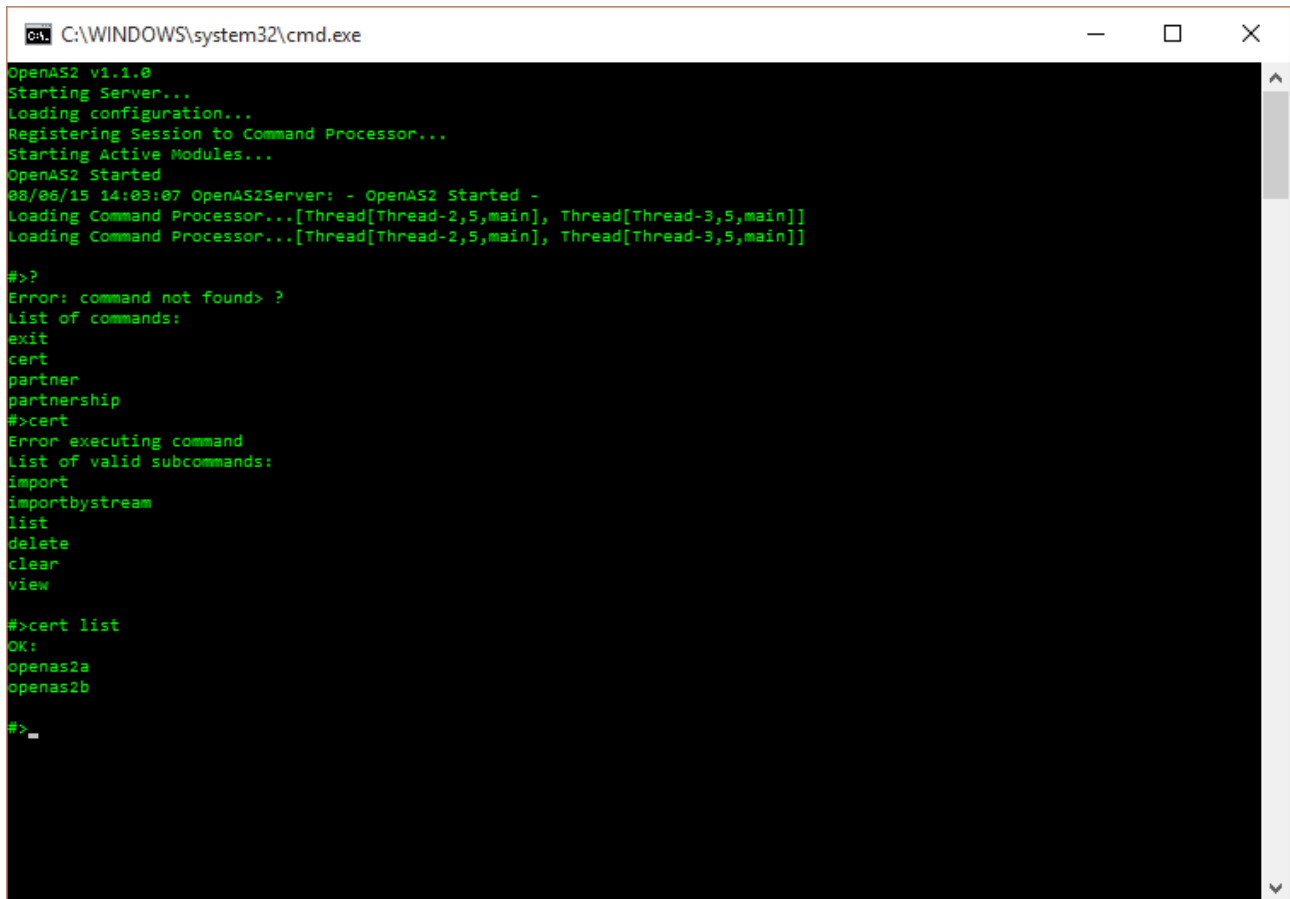
```
/opt/OpenAS2:>bash openas2.sh
```

The output in a Unix based system will be identical to that in a Windows based system.

## 15.2. Command Entry

After startup of the OpenAS2 application, no command prompt is shown in the command line window initially but you can enter a command or just press <ENTER> to get a visible prompt. Typing ? Will show possible commands. Each command will list sub commands they require if you try to enter them without the appropriate parameters.

A screenshot showing command entry is shown below.



```
C:\WINDOWS\system32\cmd.exe
OpenAS2 v1.1.0
Starting Server...
Loading configuration...
Registering Session to Command Processor...
Starting Active Modules...
OpenAS2 Started
08/06/15 14:03:07 OpenAS2Server: - OpenAS2 Started -
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]

#>?
Error: command not found> ?
List of commands:
exit
cert
partner
partnership
#>cert
Error executing command
List of valid subcommands:
import
importbystream
list
delete
clear
view
#>cert list
OK:
openas2a
openas2b
#>_
```

## 15.3. Automated Launching As UNIX Daemon

Although the application will launch as a daemon without any change to the default config, it is recommended that the following configuration changes are made to reduce unnecessary processing by modules that are redundant in this mode and filling the system logs with unwanted logging:

1. Remove the console logger – remove the element in the <loggers> element as shown below  
<logger classname="org.openas2.logging.ConsoleLogger"/>
2. Remove the stream command processor in the <commandProcessors> element as shown below  
<commandProcessor classname="org.openas2.cmd.processor.StreamCommandProcessor"/>

### 15.3.1. INIT.D Service

A sample “openas2.d” is provided in the bin directory of the install package. It provides support for starting and stopping the OpenAS2 application as a daemon using the init.d mechanism. Use the appropriate tool for the NIX operating system you are using to install the script in the /etc/init.d folder and create the soft links to launch the OpenAS2 application when the system starts.

First modify the **openas2.d** file to reflect the path where you have installed OpenAS2 then follow one of the options below.

On Redhat based systems as root:



```
$ cp <srcDir>/bin/openas2.d /etc/init.d/  
$ chkconfig --add openas2.d  
$ chkconfig --level 2345 openas2.d on
```

On Debian/Ubuntu based systems as root:

```
$ cp <srcDir>/bin/openas2.d /etc/init.d/  
$ chmod 750 /etc/init.d/openas2.d  
$ update-rc openas2.d defaults
```

### 15.3.2. SYSTEMD Service

A sample file **openas2.service** is provided in the bin folder of the install package.

First modify the **openas2.d** file to reflect the path where you have installed OpenAS2 then follow the steps below.

```
$ cp <srcDir>/bin/openas2.service /etc/systemd/system/  
$ systemctl daemon-reload  
$ systemctl enable openas2.service
```

Test that it works using the below commands:

```
$ systemctl enable openas2.service  
$ systemctl start openas2.service  
$ systemctl stop openas2.service
```

## 15.4. Windows Service Management

The deployment package contains a version of the Apache Commons Daemon for Windows to support running OpenAS2 as a Windows service. The default name of the service is **OpenAS2Server**. There are other ways to do it of course but this is the one we offer that works well. Feel free to provide us with documentation if you successfully implement it as a Windows service using a different tool.

### 15.4.1. Installing Service

There is a batch script in **<installDir>/bin** folder named **install\_winsvc.bat** to simplify the install process.

NOTE: By default it names the service **OpenAS2Server** and assumes a **64-bit JVM** and should work for all 64bit Windows servers. If you are using a 32 bit JVM remove “**amd64**” from the path in **install\_winsvc.bat** file when installing.

The following steps will install OpenAS2 as a windows service:

1. Edit the **install\_winsvc.bat** file and make any changes to the defaults (eg. Change startup to manual, change JVM parameters and set specific JVM instead of default JVM etc). See the Apache Commons Daemon project for more information on parameter settings for the install command.
2. Run the **install\_winsvc.bat** file.

The Apache Commons Daemon files are located in **<installDir>/bin/commons-daemon** folder. The **prunmgr.exe** has been renamed to the name of the windows service as specified in the **install\_winsvc.bat** file (changed to **OpenAS2Server.exe**). To check the installation or fine tune some of the service settings run **OpenAS2Server.exe** and adjust as needed.

POSSIBLE GOTCHA: The service will install as the “**LocalService**”. Windows has inconsistent behaviour when it comes to permissions for the “**LocalService**” account. On some Windows distributions the default is to allow the “**LocalService**” account “**Folder Only**” permission and then the directory poller (running as **LocalService** user) is unable to see any files dumped into the folder and therefore it appears that OpenAS2 does not work.

One fix is to switch to using the “**LocalSystem**” account but if you want to retain the security of using **LocalService** account (it restricts access to system resources much more than the **LocalSystem** user which has full access) then you should change the permissions on the folders that OpenAS2 reads files from so that **LocalService** has full control.

Something like this would work:

```
icaccls "D:\Path\To\OpenAS2\data" /grant LocalService:(OI)(CI)F /T
```

### 15.4.2. Removing Service

To uninstall the service use this command:

```
sc delete OpenAS2Server
```

Alternatively, from the *installDir>/bin* folder:

```
commons-daemon\amd64\prunsrv.exe //DS/OpenAS2Server
```

For removing if you have installed as a 32 bit service:

```
commons-daemon\prunsrv.exe //DS/OpenAS2Server
```

### 15.4.3. Troubleshooting Windows Service

If the service fails to start, there are a few things that can be causing the issue.

- If the log file in the commons-daemon/logs folder has a line saying “Failed creating Java” then you most likely using the wrong version of the procrun.exe
- If when starting the service in the Windows “Services” applet it says “Incorrect function” in the event viewer log generated from that fail then you most likely using the wrong version of the procrun.exe

Try the following that may provide clues to where things are going wrong.

1. Check that the folder that OpenAS2 is installed in is accessible by the user allocated to run the service. The user is designated in the “Log On” tab of the Services control panel and by default this is the “Local Service” builtin user.
2. Run the service using the same command the windows service calls to start it by opening a command prompt and change directory to the *installDir>/bin* folder then run this:  

```
commons-daemon\amd64\prunsrv.exe //TS/OpenAS2Server
```

The output from this command may provide clues.
3. Run the OpenAS2Server.exe in the bin folder of the OpenAS2 install directory.
  - A properties window will popup that you can cross check the parameters that were used to install the service.

- Specifically check that the Java path is correct.
  - Click the "Startup" tab of the popup properties window
  - You should see "start" in the Start Method box and the path to your config file in the "Arguments" pane.
4. Try starting the application from the command line:
- Copy the line in the “Arguments” window
  - Open a command window
  - Change directory to the OpenAS2 install directory
  - Type: bin\start.bat (paste the string copied from properties window here)
  - Press ENTER
  - Verify that the server starts and if you have not disabled the command processor you end up with a ">" prompt (you may have to press ENTER to see it because of startup logging depending on how you have configured your app).
  - Type "exit" and press ENTER to stop it or just use CTRL+C.

If the above worked then try starting it using the following steps:

1. Run Powershell as administrator (type "Powershell" from the start menu and then right click on the "Windows Powershell" option and select "Run as administrator").
2. In Powershell window type and execute this command:  
Start-Service OpenAS2Server
3. If it starts then stop it using this command:  
Stop-Service OpenAS2Server

If it started in the above process, open the Windows Services app and try to start/stop it from there.

## 16. Testing OpenAS2 Transfers

### 16.1. Single Instance Testing

The default configuration of the OpenAS2 configuration is set up for three partners named “MyCompany”, “PartnerA” and “PartnerB”. However, PartnerB does not have a certificate set up so cannot be actively used unless you create and import a certificate for that partner.

You can use “MyCompany” and “PartnerA” for testing and the configuration will effectively send messages to itself from “MyCompany” to “PartnerA”.

It is NOT configured for sending from “PartnerA” to “MyCompany” but you can enable this by adding the appropriate attributes in the “PartnerA-MyCompany” partnership and adding a directory polling

module to poll for files in a folder that will target MyCompany and the receiver.

You can simply start the OpenAS2 server without any changes and then copy a file into the appropriate outbox as defined by the relevant module using the `org.openas2.processor.receiver.AS2DirectoryPollingModule` classes “**outboxdir**” attribute to send the file to the desired partner.

The default configuration provides directory polling modules for 2 trading partners “PartnerA” and “PartnerB” and will create outbox folders `<installDir>/data/toPartnerA` and `<installDir>/data/toPartnerB` for explicitly targeting a partner for any file dropped in one of those folders.

## 16.2. Multiple Instance Testing

If you wish to run 2 OpenAS2 servers on the same machine then the ports on the 2<sup>nd</sup> instance of OpenAS2 as configured in the `config.xml` must be different to those configured on the first instance (see Application Configuration above).

The “`as2_url`” attribute will need to be set to the appropriate port for the different instances to send to each other.

If using asynchronous MDN, the URL entry for the attribute “**`as2_receipt_option`**” in the `partnerships.xml` file for the 2<sup>nd</sup> instance must match the values configured in the 1<sup>st</sup> instances `config.xml` for hist name and port and vice-versa.

## 16.3. Using HTTPS Transport

To test on a local machine using the supplied sample self signed SSL certificate (`config/ssl_certs.jks`) you should create a localhost DNS entry. The sample certificate was generated using “[www.openas2.localhost](http://www.openas2.localhost)” as the CN property on the.

This site will help in how to set up a local DNS:

[http://www.selfsignedcertificate.com/development\\_tips.php](http://www.selfsignedcertificate.com/development_tips.php)

The `As2ReceiverModule` module element should be configured correctly. The key attributes that will work with the supplied sample certificate are already in the sample config file and should just be uncommented:

- `protocol="https"`
- `ssl_keystore="%home%/ssl_certs.jks"`
- `ssl_keystore_password="testas2"`
- `ssl_protocol="TLS"`

The partnership definition for the connection URL will also have to be set to the appropriate host name and use “https” instead of “http”:

```
<attribute name="as2_url" value="https://www.openas2.localhost:10080"/>
```

If asynchronous MDN is used then the **`as2_receipt_option`** attribute must be configured for SSL as well:

```
<attribute name="as2_receipt_option" value="https://www.openas2.localhost.com:10081"/>
```

The following system property will have to be passed to the application in the java command line:

`-Dorg.openas2.cert.TrustSelfSignedCN=www.openas2.localhost`

... or use the more secure mechanism as described in the section Host Name Check And Certificate Fingerprint Match.

If you experience problems with SSL, try adding this to the startup command in the script file:

`-Djavax.net.debug=SSL`

## 17. Troubleshooting OpenAS2

This section provides some help in identifying issues with AS2 transfers or configuration and execution of the OpenAS2 application. Experience has shown that not all systems properly implement the AS2 specification or have an interpretation of the specification that is different to the OpenAS2 default implementation. To accommodate these differences, the OpenAS2 application has some configuration parameters to change the default behaviour on a per partnership basis that may help to accommodate the implementation anomalies for various other AS2 systems.

As a first step that may shortcut you to a solution, check the compatibility settings for certain AS2 vendor software in this section: Partner AS2 Compatibility Settings

The sub-sections in this troubleshooting part of the document deal with specific issues that may be quickly identified via logging (often requiring turning on DEBUG level logging or even more logging using TRACE level to provide some detail to the issue).

Some of the quick and easy things to try that have been known to fix a specific partnership that is failing when others are working are changes to the following partnership attributes:

1. “content\_transfer\_encoding” – see here below: Binary Encoding
2. “[prevent\\_chunking](#)” and “[no\\_chunked\\_max\\_size](#)” – Some AS2 implementations do not support the chunked transfer mechanism (typically systems that are still running pre HTTP1.1 protocol). The error message will vary but some systems will return a message indicating it is missing “Length” or “Content-Length”. For solutions to this see this section below: Content Length Versus Chunked
3. remove compression by removing the “[compression\\_type](#)” attribute from the partnership
4. turn off CMS algorithm protection – see this section below” CMS Algorithm Protection
5. manage restricted HTTP headers – see this section below: SSL Certificate Exceptions
6. content\_type – the module defaults are set in the config.xml file under the “mimetype” attribute. System default is “application/octet-stream”. Add this to a more specific value than the default perhaps specifying the character encoding. For example: [application/EDIFACT; charset=iso-8859-1](#)
7. Add an attribute named “rename\_digest\_to\_old\_name ” to a value of “true” - this uses the old style of naming the digest algorithm
8. Change the “sign” attribute is lower-case so for example use “sha1” instead of “SHA1” for the

value.

9. Error processing or sending MDN resulting in an error something like:

***UnsupportedDataTypeException: no object DCH for MIME type ....***

To fix this, ensure that the classpath used to start OpenAS2 in the java command does not use the asterisk for file globbing. This seems to cause problems loading the mailcap files in the various libraries that contribute mime type handlers for the various mime types used in AS2.

## 17.1. Canonicalization For MIC Algorithm

Some systems (including OpenAS2 prior to V1.3.7) do not canonicalize the MimeBodyPart as specified in the RFC when content transfer encoding is not “binary” (the OpenAS2 default is “binary” but can be set to other values using the “**content\_transfer\_encoding**” attribute on the partnership). This manifests as errors that cause signature authentication failure that may specifically mention a mismatched MIC. To cater for this, set the following attribute on the partnership:

```
<attribute name="prevent_canonicalization_for_mic" value="true"/>
```

## 17.2. Binary Encoding

If using a content transfer encoding algorithm other than “binary” results in authentication failures, try setting the attribute on the partnership:

```
<attribute name="content_transfer_encoding" value="binary"/>
```

## 17.3. HTTP Restricted Headers

Depending on the version of Java you are running, the HTTP class handling sending AS2 messages over HTTP that is part of the core Java distribution will automatically remove any restricted HTTP headers (see here for a discussion: <http://stackoverflow.com/questions/11147330/httpurlconnection-wont-let-me-set-via-header>).

In terms of OpenAS2 it specifically affects sending the “Content-Transfer-Encoding” header in the HTTP headers (see section 19.4.5 here: <https://www.w3.org/Protocols/rfc2616/rfc2616-sec19.html>). This should not be a problem for modern AS2 implementations that OpenAS2 communicates with but there are reports that some systems respond with an HTTP 400 error code and reject the message if the “Content-Transfer-Encoding” header is not present in the HTTP headers (it is present in the mime body part headers of the AS2 message).

To solve this, uncomment the line in the startup script file containing this entry

```
-Dsun.net.http.allowRestrictedHeaders=true
```

To ensure that other partners do not receive the “content-Transfer-Encoding” header you have 2 options:

1. Set the following property in the config.xml:

```
set_content_transfer_encoding_http_header="false"
```

Set this property in the partnership that requires sending the header:

```
name="set_content_transfer_encoding_http_header" value="true"
```

Ensure that the partnership has the “**content\_transfer\_encoding**” header set to either “**binary**” or “**8bit**”

2. If the config file property "`set_content_transfer_encoding_http_header`" is not set it defaults to "`true`" so set this property in the partnerships that do NOT require sending the header:  
`name="set_content_transfer_encoding_http_header" value="false"`  
Ensure that the partnerships have the "`content_transfer_encoding`" header set to either "`binary`" or "`8bit`"

## 17.4. CMS Algorithm Protection

Some AS2 systems do not support RFC6211.

The partner system will most likely not provide detailed information that this OID is the issue unless you request detailed logging from the partner but will manifest as authentication failures of some sort.

Currently known systems that do not support this are IBM Sterling Integrator.

To disable the OID from being sent, add this attribute to the partnership (from a security point of view to include it wherever possible as it plugs a security issue in CMS signed messages):

```
<attribute name="remove_cms_algorithm_protection_attr" value="true"/>
```

## 17.5. Content Length Versus Chunked

OpenAS2 will send all messages using the "**chunked**" mechanism whereby the actual size of the payload is not pre-determined and sent as a header "**Transfer-Encoding=chunked**". Some systems cannot handle the chunked mechanism (it was standardized in HTTP 1.1) and require the "**Content-Length**" header is used instead. To make OpenAS2 use the "**Content-Length**" header method, set the following attribute on the partnership that needs it:

```
<attribute name="prevent_chunking" value="true"/>
```

If there is a limit on the size of the request to the remote server, you can prevent sending the message by setting the below attribute to the appropriate size:

```
<attribute name="no_chunked_max_size" value="104857600"/>
```

The value for the "no\_chunked\_max\_size" attribute specifies the maximum size of the file it will attempt to send in bytes so with the above value will not be able to send files larger than 100MB

If possible avoid using the **no\_chunked\_max\_size attribute** for partners because it causes the OpenAS2 server to add an additional step to calculate the length of the payload by converting the payload to a byte array which has a small performance cost for small files but can become significant in high volume transfers of large files.

## 17.6. SSL Certificate Exceptions

Sometimes a partner uses a certificate that has intermediate providers not registered in your Java security keystore. Generally this will be manifested by an exception something like this:

```
javax.net.ssl.SSLHandshakeException: sun.security.validator.ValidatorException: PKIX path  
building failed: sun.security.provider.certpath.SunCertPathBuilderException: unable to find valid  
certification path to requested target
```

```
at sun.security.ssl.Alerts.getSSLException(Alerts.java:192)
```

*at sun.security.ssl.SSLSocketImpl.fatal(SSLSocketImpl.java:1917)*

*at sun.security.ssl.Handshaker.fatalSE(Handshaker.java:301)*

*at sun.security.ssl.Handshaker.fatalSE(Handshaker.java:295)*

*at sun.security.ssl.ClientHandshaker.serverCertificate(ClientHandshaker.java:1369)*

In this case you will need to set up a local trusted certificate provider keystore containing the root or chained (intermediate) certificates that are missing.

Steps:

1. Run the class embedded in the OpenAS2 library jar:

```
java -cp <pathToOpenAS2LibFolder>/openas2-server.jar CheckCertificate options
```

```
usage: CheckCertificate [-a <arg>] [-A <arg>] [-c <arg>] [-d <arg>] [-h]
      [-p <arg>] [-P <arg>] -s <arg> [-u <arg>]
Checks SSL connectivity.
```

Tries to connect to the remote server and establish a connection.

```
-a,--authuser <arg>    Basic auth user
-A,--authpwd <arg>     Basic auth password
-c,--cacert <arg>      Java keystore file to create
-d,--debug <arg>       Enabling debug logging
-h,--help              print this help
-p,--port <arg>        target server port
-P,--password <arg>    password for Keystore if not 'changeit'
-s,--server <arg>      the target host name
-u,--uri <arg>         URI part of the connection
```

"cacert" is the name you want to give to your local keystore (e.g jssechaincerts)

"[passphrase]" is the password for the keystore - it will default to "changeit" if you do not provide one`

NOTE: If there is no existing keystore you want to add it to then leave out the password otherwise it will throw an error. You can use the keytool utility that comes with java to change the keystore password if you wish but since it does not contain any private keys there is little point in changing the password but if you do then you will have to pass the new password in to the OpenAS2 app using the **javax.net.ssl.trustStorePassword** property. For information on this property see here: <https://docs.oracle.com/en/java/javase/17/security/java-secure-socket-extension-jsse-reference-guide.html#GUID-A41282C3-19A3-400A-A40F-86F4DA22ABA9>

If the class only receives a single certificate as response from the remote host it generally indicates that the root certificate is not trusted and will need installing into a keystore for use by the OpenAS2 application. The output from the class should make it clear it was unable to successfully complete an SSL handshake and it will import the certificate (root or chain as necessary) into the keystore.

2. Add the local cert store to the OpenAS2 startup by adding this to the startup command in the relevant batch file you are using to start OpenAS2:  
`-Djavax.net.ssl.trustStore=<pathToKeystore>/<localKeystoreFile>`

NOTE: If you ran the CheckCertificate mechanism a second time but



point it at the keystore it created the first time round it should successfully complete the handshake and there will be no messages to say it is missing a certificate.

For example, run it once like this:

```
java -cp openas2-server.jar CheckCertificate as2.xyz.com:98765 jssechaincerts
```

Then run it like this:

```
java -Djavax.net.ssl.trustStore=jssechaincerts -cp openas2-server.jar CheckCertificate as2.xyz.com:98765 jssechaincerts2
```

The second instantiation uses the keystore from the first instantiations output and it should not create a new certificate in keystore "jssechaincerts2".

## 17.7. Mime Body Part Logging

Sometimes it may be necessary to see what is actually in the mime body parts received from a partner. OpenAS2 provides a mechanism to enable logging of either received message mime body parts or received MDN mime body parts. These are enabled using OpenAS2 startup variables in the startup script in combination with TRACE level logging. Both the DOS and Unix scripts provide these variables but are commented out near the top of the batch file and you can simply uncomment and start the application.

IMPORTANT: this could produce large log files so use sparingly and disable as soon as possible.

The startup variables are:

```
logRxdMsgMimeBodyParts=true
```

```
logRxdMdnMimeBodyParts=true
```

## 17.8. TLSv1.2

It appears that although Java7 does support TLSv1.2 it is not enabled by default (refer here: [https://blogs.oracle.com/java-platform-group/entry/diagnosing\\_tls\\_ssl\\_and\\_https](https://blogs.oracle.com/java-platform-group/entry/diagnosing_tls_ssl_and_https))

If you need to use the protocol, add the following to the top of the batch shell script that starts OpenAS2:

Windows: **set EXTRA\_PARMS=%EXTRA\_PARMS% -Dhttps.protocols=TLSv1.2**

Linux/Unix/OSX: **EXTRA\_PARMS=\$EXTRA\_PARMS -Dhttps.protocols=TLSv1.2**

## 17.9. HTTP Read Timeout Errors

The system is configured to wait a maximum amount of time for a response to any message it sends to your partner and if no response is received it will abort, throw an error and attempt to put the message into the resend queue. The default time out is 60seconds.

If you are transferring very large files to your partner and/or your partner system takes a long time to respond to the sent AS2 message you will receive read timeout errors.

To fix this, set the "readtimeout" attribute on the AS2SenderModule to a large value if the time taken for

the receiving system to respond to the sent file takes longer than 60 seconds. This attribute is set in milliseconds and the default is 60000.

So you would need something like this in the config.xml for a 2 minute timeout:

```
<module classname="org.openas2.processor.sender.AS2SenderModule" readtimeout="120000">
</module>
```

## 17.10. Out Of Memory And File Size Issues

See the section on tuning java (6.3. Tuning Java) for solutions to this issue.

## 17.11. File System Issues

If there are strange issues with files that cannot be found it is often the result of illegal characters in the file name that prevents the creation of a file from dynamic variables. Currently the system as of version 2.3.1 will remove specific characters from any generated file name.

The characters removed by default are: <>:\|'?"\*

To change the default, set the following property in the config.xml “properties” element escaping XML reserved characters as appropriate: **reservedFilenameCharacters**

e.g reservedFilenameCharacters="%lt;&gt;:&quot;|?\*"

## 17.12. Header Folding

By default, the OpenAS2 app automatically removes header folding in HTTP headers to comply with the IETF specification for HTTP 1.1 (<https://tools.ietf.org/html/draft-ietf-httpbis-p1-messaging-13#section-3.2>). It is possible to disable removal of header folding using a property name “**remove\_http\_header\_folding**” set to a value of “**false**” in the openas2.properties file. An example is shown below:

```
remove_http_header_folding=false
```

The global property can be overridden at partnership level by adding the same property to the partnership definition for receiving from a partner (it only removes header folding for responses). If the global property is set and a partnership property then the partnership property overrides the global property. For instance, if the global property is “true” and the partnership attribute property is “false” then the system will not remove header folding.

See below for example setting at partnership level:

```
<partnership name="PartnerA-to-MyCompany">
  <sender name="PartnerA"/>
  <receiver name="MyCompany"/>
  <attribute name="protocol" value="as2"/>
  <attribute name="content_transfer_encoding" value="binary"/>
  <attribute name="remove_http_header_folding" value="false"/>
```

## 18. Partner AS2 Compatibility Settings

The below table provides configuration settings for other AS2 systems that are known to work based on user feedback.

PLEASE FEEL FREE TO PROVIDE FEEDBACK FOR THE SETTINGS FOR ANY SYSTEMS THAT REQUIRE A CHANGE FROM THE DEFAULT PROVIDED WITH THE OPENAS2 INSTALL PACKAGE TO COMMUNICATE WITH OTHER AS2 SYSTEMS.

Where the field is left blank, the setting is unknown and the default that comes with OpenAS2 will probably work.

AS2 System	Allow Restricted Headers (startup script property: sun.net.http.allowRestrictedHeaders)	Prevent Canonicalization For MIC (partner attribute: prevent_cononicalization_for_mic)	Remove CMS Algorithm Protection (partner attribute: remove_cms_algorithm_protection_attr)	Other
IBM Sterling	false		true	
IBM Datapower	false		true	
Mendelson	false	true		
Seeburger (older versions)				Add partner attribute: <attribute name="rename_digest_to_old_name" value="true"/>
Oracle Integration B2B	false	false	false	
Amazon	false	true	false	Remove compression attribute as this has been reported to solve issues <attribute name="compression_type" value="ZLIB"/> Also reported that you need a 4096 bit certificate.

## 19. Remote Control

By default the OpenAS2 server application will start up 1 command processor interface that is only accessible from the shell where the OpenAS2 server is started from. This allows running commands from an embedded prompt but is of no use in a production environment where the OpenAS2 server is deployed as a daemon or service and the shell command processor should be turned off.

The alternative option is the REST API Socket command processor:

- enabled with the property: `restapi.command.processor.enabled="true"`  
This component requires using the WebUI control application and should be used with a trusted third party signed SSL certificate if used over the internet.

The REST command processor is intended for connecting to the server from any location that has network access to the server.

## 19.1. REST API Command Processor

There is a UI implementation to use the rest API in the WebUI folder of the code base in Github:

<https://github.com/OpenAS2/OpenAs2App/tree/master/WebUI>

To use it follow the instructions in the README file in that folder.

### 19.1.1. Server Side Configuration

The REST API command processor works by default on port TLS/SSL 8443, and works as a HTTP/REST access layer over the Command Processor interfaces. It exposes endpoints for each available command under the path “/api”.

The socket listener allows remote connection to the OpenAS2 server to execute commands in the same way that can be done via the console on the sever. The OpenAS2 remote application is part of the application package but is not necessary to use it if you have no remote access requirement and should be left disabled in the config.xml file. If you wish to use it then set the **enabled** property for this component to “true”:

**restapi.command.processor.enabled**="true"

The base URL, user ID and password for connection can also be set as indicated in the table below. The API client will need to connect to the specified URL and provide with the specified user ID and password via a Basic Authentication Header. The responses will be returned using JSON formats by default.

REST Command Processor Property	Notes
<b>restapi.command.processor.baseuri</b>	The base url used to expose the API. The format is: <schema>://<address>:<tcp-port>/ Where: <ul style="list-style-type: none"><li>– schema: is either “http” or “https”</li><li>– address: is the IP address or DNS hostname of the network interface to bind to</li><li>– tcp-port: is the TCP port to bind the server to</li></ul> To listen to all available interfaces the default address: 0.0.0.0
<b>restapi.command.processor.userid</b>	User ID required to connect
<b>restapi.command.processor.password</b>	Password required to connect
<b>ssl_protocol</b>	Defines the SSL/TLS protocol and version to be used for SSL/TLS support
<b>ssl_keystore</b>	Defines the KeyStore used to find the Public/Private key for SSL/TLS
<b>ssl_keystore_password</b>	Defines the password of the KeyStore used to find the Public/Private key for SSL/TLS

Set the appropriate settings in your config.xml

The default config provided with the OpenAS2 application download is set as shown below:

```
restapi.command.processor.enabled="false"
restapi.command.processor.baseuri="http://localhost:8080"
restapi.command.processor.userid="userID"
restapi.command.processor.password="pwd"
ssl_keystore="%home%/ssl_certs.jks"
ssl_keystore_password="testas2"
```

Override these values using a properties file as described in this section: OpenAS2 Configuration Properties

### 19.1.2. Using the REST API

The REST API module is just an access layer to the Commands API of OpenAS2. Therefore follows the same convention as the OpenAS2 commands listed on /Server/src/config/commands.xml.

It can List, View, Delete, and Add: Partners, Partnerships & Certificates. Set different log levels and Refresh the Configuration from File or Store the in memory configuration to files.

All the endpoints are exposed under the /api path in the format shown below:

GET|POST /api/<command-group>/<command-method>

For example, from the console module you could list the existing loaded partners like so:

```
# partner list
```

The equivalent for the API would be:

GET /api/partner/list

Any HTTP Client should be capable of making requests to the API provided it can generate the correct payload and headers. POSTMAN (<https://www.postman.com/>) is a well-known tool used to test APIs. The following example to check the server version and API availability is based on the default configuration:

Request:

```
curl --location --request GET 'https://localhost:8443/api'
```

Response:

```
{
  "type": "OK",
  "results": [
    "OpenAS2 Server v3.0.0"
  ],
  "result": "OpenAS2 Server v3.0.0\r\n"
}
```

## 20. Appendix: *partnership.xml* file structure

This file describes your company and your trading partners. This file requires modification to work with your application

- Node: **partnerships**

The root node.

- Node: **partner**

partner definition

### **Attributes**

name

partner name as defined in OpenAS2 configuration file.

*PartnerA*

as2\_id

partner name as defined in partnership node

*PartnerA*

x509\_alias

Alias as defined in certificate file

*partnera*

email

E-mail address of partner

[as2a@MySillyMailerServer.com](mailto:as2a@MySillyMailerServer.com)

- Node: **partnership**

defines partner relationships between sender and receiver

- Node: **partnership**

### **Attributes**

name

Unique name of partnership relation. See filename parsing above.

*MyCompany-PartnerA*

- Node: **sender**

### **Attributes**

name

Unique name of Sender

*MyCompany*

- Node: **receiver**

### **Attributes**

name

Unique name of receiver

*PartnerA*

*The following is a list of nodes that use the node name of **attribute**. The subnodes of **attribute** use a name/value node naming pair structure.*

- Node: **attribute**

**name** is **protocol** defines the protocol to use with this partner.

**value** is **as2**

*name="protocol" value="as2"*

- Node: **attribute**

**name** is **subject** defines text used in E-mail subject line

**value** – can use references to message parameters as in example. If this attribute is not present in the partnership when sending an MDN, the subject will use the text in the received message much like responding to an email does so putting a subject attribute in a partnership where it is the receiver effectively overrides the received subject

*name="subject" value="File \$attributes.filename\$ sent from \$sender.name\$ to \$receiver.name\$"*

- Node: **attribute**

**name** is **as2\_url** defines partners AS2 server's URL

**value**

*name="as2\_url" value="http://www.MyPartnerAS2Machine.com:10080"/>*

- Node: **attribute**

**name** is **as2\_mdn\_to** when set this specifies that an MDN response is required and defines value of the “Disposition-Notification-To” header in the AS2 message sent to the partner. It is normally an email address but can be any string that is meaningful

**value**

*name="as2\_mdn\_to" value="datamanager@mypartner.com"*

- Node: **attribute**

**name** is **as2\_receipt\_option** defines asynchronous MDN server's URL

**value**

*name="as2\_receipt\_option" value="http://www.MyAS2Machine.com:10081"*

- Node: **attribute**

**name** is **as2\_mdn\_options** defines MDN option values for E-mail header

**value**

*name="as2\_mdn\_options" value="signed-receipt-protocol=optional, pkcs7-signature; signed-receipt-micalg=optional, sha1"*

- Node: **attribute**

**name** is **encrypt** defines encrypting algorithm name for E-mail header

**value**

*name="encrypt" value="3des"*

*other option values: cast5, rc2\_cbc, aes128, aes192, aes256*

- Node: **attribute (optional)**

**name** is **content\_transfer\_encoding** defines what the header field should display

**value** 8bit (default), binary, ...

*name="content\_transfer\_encoding" value="binary"*

- Node: **attribute (optional)**

**name** is **compression\_type** if defined it determines what the type of compression to use. Leave this attribute out if no compression is required

**value** ZLIB (default) – no other supported options

**name**="compression\_type" **value**="ZLIB"

- Node: **attribute** (optional)

**name** is **compression\_mode** if defined it determines when compression occurs. If this attribute is not specified then compression occurs before signing.

**value** – “compress-after-signing”

**name**="compression\_mode" **value**="compress-after-signing"

- Node: **attribute** (optional)

**name** : **store\_received\_file\_to**

If defined it overrides the **filename** parameter in the MessageFileModule.

**value** – a string defining the full path and file name where the received files will be stored. It supports the use of dynamic variables in the string.

**name**="store\_received\_file\_to"

**value**="\$properties.storageDir\$/data/\$msg.sender.as2\_id\$/inbox/\$msg.sender.as2\_id\$\_\$rand.12345\$\_\$msg.content-disposition.filename\$"

## 21. Appendix: command.xml file structure

List of commands available to the OpenAS2 server Application.

- Node: **commands** the root node
  - Node: **multicommand**

attribute

name

value "cert|part", certificate commands or partnership commands

description

value is some useful text

- Node: **command**

attribute

classname

value is a OpenAS2 classname that will process a command

## 22. Appendix: Updating database structure

The table structure for message tracking is stored in an XML file structure that uses the Apache DDLUtils project structure. This mechanism for updating the database focuses on the default H2 that comes with OpenAS2 but for external databases the steps for other databases than H2 are the same but with different configuration files as defined in the next appendix. The steps shown below assume that you have the Apache Ant project build tool installed (<https://ant.apache.org/>).



Run the commands defined below that are found in the “bin” directory of your install in the order upgrade the DB if you are on a unix based system or use the “No script option” if you are on Microsoft Windows. Run the command with “-h” option to get the command line options. The examples below assume the defaults have not been changed. If you have changed them then use the appropriate options for the script.

To upgrade your DB you must **FIRST dump the data BEFORE the upgrade**:

- `h2_db_dump.sh -P <your DB password>`

**No script option:** `java -cp <path to install dir>\lib\h2-<version of H2 lib>.jar org.h2.tools.Script -user sa -password <password> -url jdbc:h2:<path to install dir>/config/DB/openas2 -script <dump data file name> -options compression zip`

Copy the created data dump file to a safe location.

Once the new version of OpenAS2 is installed, run the following commands to import your data:

- `rm <path to install dir>/config/DB/*`

**Windows option:** `del <path to install dir>\*`

- `h2_load_data.sh -P <your DB password> -f <dump data file name>`

**No script option:** `java -cp <path to install dir>\lib\h2-<version of H2 lib>.jar org.h2.tools.RunScript -user sa -password <password> -url jdbc:h2:<path to install dir>/config/DB/openas2 -script <dump data file name> -options compression zip`

- 

To create a fresh DB:

- `h2_create_new_db.sh -P <your DB password>`

**No script option:** `java -cp <path to install dir>\lib\h2-<version of H2 lib>.jar org.h2.tools.RunScript -user sa -password <password> -url jdbc:h2:<path to install dir>/config/DB/openas2 -script <path to install dir>/config/db_ddl.sql`

See the H2 documentation here for more information:

[https://h2database.com/html/tutorial.html#upgrade\\_backup\\_restore](https://h2database.com/html/tutorial.html#upgrade_backup_restore)

OpenAS2 includes a jar file that uses the Apache DDLUtils project application to generate DDL statements to create or update the database in your deployed version of OpenAS2 to match the XML definition of the database in the version you are upgrading to and can also be used to automatically update the target database. This utility will analyze the open database and match it against the XML definition to generate a set of DDL statements to upgrade the database *without losing any existing data*.

Configuration for the database update functionality comprises 3 files located in the **<installDir>/resources/db** folder:

1. `openas2-schema.xml` – the XML definition of the table used to capture AS2 message states
2. `jdbc.properties.h2` – defines the connection parameters for the databases
3. `build.xml` – the Ant build file that invokes the DDLUtils application

Additionally there is a unix script file in the same folder that will execute the updater to update the open database: `create_db_table.sh`

Run the command shown below in the directory containing the Ant build file to generate a DDL file

containing statements to update the DB to match the XML definition:

```
ant -Djdbc.properties.file=jdbc.properties.h2
```

You can review the SQL file it generates to verify it looks correct and then apply the SQL file using a SQL tool or just use the command below to apply the change to the database.

To directly update the database without generating a DDL file run the script file named “create\_db\_table.sh” or use this command:

```
ant -Djdbc.properties.file=jdbc.properties.h2 writeSchemaToDb
```

In summary, the steps to update an existing deployment to the latest schema are:

1. If not already running, start the OpenAS2 application (it can be the newly deployed version or an older one)
2. Open a command window and change to the folder containing the scripts in *the version you are upgrading to* (<installDir>/resources/db)
3. Run the unix script “create\_db\_table.sh” or execute the command below:  
ant -Djdbc.properties.file=jdbc.properties.h2 writeSchemaToDb
4. If your OpenAS2 is still running the old version you should shut it down straight after upgrading and copy the database file (<OpenAS2InstallDir>/config/DB/openas2.mv.db) to the new deployment as the changes to the schema may result in errors if a message is sent or received once the schema has been upgraded.

## 23. Appendix: Creating database DDL for external databases

The deployment package for OpenAS2 contains resources to generate DDL statements for the database table used to log AS2 message state. The tool requires Ant to be installed (<https://ant.apache.org/>).

It supports the following database platforms:

- axion
- cloudscape
- db2
- derby
- firebird
- h2
- hsqldb
- interbase
- maxdb
- mckoi
- mssql
- mysql
- oracle
- postgresql
- sapdb

- sybase

The tools to generate database DDL files are in the install package in the directory **resources/db** off the base install directory. To use a different database system than H2, follow these steps:

1. Create a database with the desired name , user and password in the target database system using the tools that come with that database system.
2. Change the property named “platform” in the build.xml file to the required database platform
3. Set up a jdbc.properties file with the appropriate settings using the jdbc.properties.h2 file as a template.
4. Create the database table (if you have changed the name from the default using the configuration attribute **table\_name="some\_special\_name"** then update the name in the XML file and change "msg\_metadata" to "some\_special\_name"). To generate DDL statements to an SQL file that can then be used to apply to the database use this command:

```
ant -Djdbc.properties.file=jdbc.properties
```

To directly update the database without generating a DDL file use this command:

```
ant -Djdbc.properties.file=jdbc.properties writeSchemaToDb
```

5. Change the appropriate parameters in the config.xml file for the database tracking module.

## 24. Appendix: Upgrading

Each release contains a RELEASE-NOTES.txt file. This file contains a section specifically about upgrading to use new functionality if there was any config related new functionality in that release. There will be no upgrade notes for the particular release if it was just a bugfix or minor enhancement where there is no config to be done. You should add any configuration related elements to the appropriate XML file(s) if you wish to use new functionality that requires configuration settings.

The basic upgrade path is as follows:

1. **partnerships.xml** : Review the release notes for any new attributes that are supported in the partnerships.xml and add if there is a perceived advantage/enhancement (in general the partnerships.xml should not need any modification if it already works as all enhancements to the partnership configuration have ensured they do not change the default behavior from prior versions)
2. **config.xml**: Review and merge any new configuration into your existing config.xml. Generally it should be fairly obvious where there are "missing" items in your existing file compared to the version you are upgrading to. For performance purposes, make sure you do not add unwanted

modules and perhaps a good idea to review any modules you have not used but have enabled such as the remote command processor, socket logging etc.

3. Review the startup script (***start\_openas2.sh*** or ***start\_openas2.bat***) and merge any enhancements you may have made in your deployed version into the new version and replace the old version if necessary. Specifically ensure you set the classpath appropriately to cater for upgraded or new libraries.
4. Tracking database – see the relevant appendix in this document for upgrading the database if necessary based on reviewing the release notes (there will be an explicit statement about upgrading the database if there are any schema changes). If you are using the default H2 database for message tracking then you must copy the database file to the new installation if you are creating a new installation and copying config across to it. The database is located here:  
**<OpenAS2InstallDir>/config/DB/openas2.mv.db**
5. Delete all files in your "lib" folder and copy all the files from the release package "lib" folder into your deployed folder.

**NOTE:** The alternative route is to unzip the new release into a new folder on your server and follow steps 1, 2 and 3 above except merging the changes you made originally into the new deployment. This route may be the quicker route if you have not customized the configuration files extensively and will ensure you do not miss newly added configuration.

**Remember to update you windows service or nix startup daemon settings to the new folder if you change the folder name.**

## 25. Appendix: Clustering and Load Balancing

There are 4 key modules that must be considered in designing for a clustered/load balanced setup:

1. Directory polling module (or equivalent module responsible for passing a file into the sender module)
2. Resender module - handles the case where the remote partner either is not available when a connection is attempted or the exchange fails at some point in the AS2 message exchange process.
3. Asynchronous MDN receiver module - requires access to the pending information about the message that was sent causing this MDN to be received (the pending information is stored by the AS2 sender module on the file system)

There is a different complexity involved depending on whether you use synchronous or asynchronous MDN for any sent messages in a load balanced scenario. Synchronous is much simpler since in the case of an asynchronous MDN there is a separate network connection made back to your OpenAS2 service to the one made to send the message and therefore there is no guarantee which node will receive the MDN unless you have dedicated host names for each node in a cluster just to ensure the MDN is returned to the node that sent the message (the sender includes the MDN response host name as part of the sent AS2 message to the recipient that will send the MDN back). Since the decision to request a synchronous or asynchronous response rests with the sender and the recipient is told which mechanism to use in the headers of the sent message it is possible to simply ensure that sent messages only use the synchronous mechanism. However, you might find that some partners require asynchronous MDN so the design

relying on using only synchronous MDN may not cater for all your partners you send messages to.openas2

Currently, OpenAS2 stores the sent message on the file system pending an MDN response so that the resend mechanism works if there is an MDN response indicating a failure or no MDN response is received. If the sent message pending information is stored on a shared file system then the node that receives the MDN can either mark the message as processed and delete the stored pending information file or reconstruct the original message if a resend is needed passing the message to the resender queue and it should work ok.

For the outbound side, you will need to have a mechanism to ensure only one node picks up the message to be sent. Since the current OpenAS2 code base only provides for a directory polling module, some of your possible options are:

1. have a dedicated directory per OpenAS2 instance and a controller deciding which instance to send the file to (means the controller itself has some level of complexity in its design and must have some way of knowing if the file was ever actually sent - could use the state logging in the database for this)
2. have a shared network file system and enhance the directory poller to provide a mechanism to ensure only one of the nodes picks up the file
3. Create a new web service module that provides a means for a network connection for the file to be passed in - the web service would be load balanced to ensure high availability. The asynchronous MDN response means there is no guarantee which node will receive the MDN and therefore any web service would need some means of verifying the MDN was received.

There is a similar problem for the resender module in as much as it works somewhat like the directory polling module where it scans a directory looking for messages to resend. Some possible solutions are:

1. Have a resender module selection mechanism that allows only one node in a cluster to be the active resender and a mechanism to ensure a new node will take over in the case of the active node failing
2. Implement a resender queue module that provides a mechanism for resender modules on all active nodes to request any pending message to be resent
3. Offload the resend decision to the web service that passes in the original file so there is no resender module in OpenAS2. Instead the failure to successfully send is fed back to the originating service

For the inbound side there should be no real significant hurdles since the whole process is synchronous even for asynchronous MDN. As long as you use a shared network file system for storing received messages or each node writes the received file to a file system that will be picked up by the appropriate consumer of that file.

## 26. Appendix: Maven Artifacts

If you are using Maven for your project and building custom modules to enhance the OpenAS2 application or you are including OpenAS2 into a larger project and using it as a library then you can use the maven dependency in your pom.xml as follows:

```
<dependency>  
    <groupId>net.sf.openas2</groupId>
```

```
<artifactId>openas2-server</artifactId>  
<version>[use the version you want here eg. 2.13.0]</version>  
</dependency>
```