HAWK Signature Algorithm

https://youtu.be/dX0X1oMu7YE





1. HAWK 소개

- HAWK
 - NIST additional Digital signature schemes에 제출된 격자 기반 알고리즘
 - FALCON과 같은 해시 및 서명 설계를 공유하는 것 외에는 공통점이 없으나, 비슷한 점이 있기 때문에 오마주로 네이밍을 HAWK로 함
- HAWK-512 / HAWK-1024 두개의 파라미터를 제공
 - NIST 보안 레벨 1과 레벨 5를 만족함



The procedures for generating and verifying signatures are fast on all devices, including low end devices.

HAWK-512 signature generation and verification take <0.1ms on an average desktop PC.



Compactness

The keys and signature sizes are all rather small.

A HAWK-512 public key is 1024 bytes, a signature 555 bytes.



Well-suited for various hardware

HAWK is free of floating-point arithmetic, i.e. CPUs do not need to support double.

Moreover, HAWK-512 only needs 14 <u>kiB</u> of RAM to work.

2. HAWK 알고리즘

Table 2: Key and signature sizes for HAWK in bytes.

	HAWK-512	HAWK-1024
Private key size	184	360
Public key size	1024	2440
Signature size	555	1221

Speed on ARM Cortex M4 (clock cycles)

Key pair generation	5.23×10^7	2.26×10^8
Signature generation	$2.80 \times 10^6 \ (1.16 \times 10^6)$	$1.42 \times 10^6 \ (1.23 \times 10^6)$
Signature verification	$1.42 \times 10^6 \ (1.23 \times 10^6)$	$3.01 \times 10^6 \ (2.61 \times 10^6)$

53,200,000226,000,0002,800,0001,420,0001,420,0003,010,000

Scheme	\mathbf{Type}	Key Generation	Sign	Verify
dilithium2	m4	1 400 412	6157001	1 461 284
dilithium3	m4	2 282 485	9289499	2 228 898
dilithium4	m4	3097421	8468805	3173500
falcon1024	m4-ct	480 910 965	83 482 883	977 140
falcon512	m4-ct	197 793 925	38 090 446	474052
falcon512-tree	m4-ct	201 459 670	17 181 744	475 278
111111111111111111111111111111111111111	1	1 == 0.10.1	0.040.00=	2 2 2 2 2 2 2 2

NIST Security Level	2	3	5			
Output Size						
public key size (bytes)	1312	1952	2592			
signature size (bytes)	2420	3293	4595			

Parameter set	Parameter set alias	Security model	Claimed NIST Level	Public key size (bytes)	Secret key size (bytes)
Dilithium2	NA	EUF-CMA	2	1312	2528
Dilithium3	NA	EUF-CMA	3	1952	4000
Dilithium5	NA	EUF-CMA	5	2592	4864

variant	keygen (ms)	keygen (RAM)	sign/s	verify/s	pub size	sig size
Falcon-512	8.64	14336	5948.1	27933.0	897	666
Falcon-1024	27.45	28672	2913.0	13650.0	1793	1280

Parameter set	Parameter set alias	Security model	Claimed NIST Level	Public key size (bytes)	Secret key size (bytes)
Falcon-512	NA	EUF-CMA	1	897	1281
Falcon-1024	NA	EUF-CMA	5	1793	2305
Falcon- padded-512	NA	EUF-CMA	1	897	1281
Falcon- padded-1024	NA	EUF-CMA	5	1793	2305

2. HAWK 알고리즘

- 키 생성 / 서명 생성 / 서명 검증
 - 키 생성 과정이 가장 오랜 시간이 걸림.

degree	kg(ms)	sd(us)	sf(us)	vv(us)	vf(us)
256:	0.62	30.96	17.95	26.10	21.36
512:	2.66	56.66	35.46	53.86	43.86
1024:	14.39	119.72	72.26	112 <u>.</u> 98	91.25

Algorithm Sketch 1 High level HawkKeyGen

```
Ensure: \mathbf{B} \in \mathsf{GL}_2(R_n) and \mathbf{Q} = \mathbf{B}^{\star}\mathbf{B}
```

- 1: Sample coefficients of $f, g \in R_n$ i.i.d. from Bin (η)
- 2: **if** f-g-conditions(f, g) is false then **restart**
- 3: $r \leftarrow \mathsf{NTRUSolve}(f, q)$
- 4: if r is \perp then restart
- 5: $(F,G) \leftarrow r$
- 6: $\mathbf{B} \leftarrow \begin{pmatrix} f & F \\ g & G \end{pmatrix}$, $\mathbf{Q} \leftarrow \mathbf{B}^* \mathbf{B}$
- 7: if KGen-encoding(\mathbf{Q}, \mathbf{B}) is false then restart
- 8: hpub $\leftarrow H(\mathbf{Q})$
- 9: $\mathbf{return} (\mathsf{pk}, \mathsf{sk}) \leftarrow (\mathbf{Q}, (\mathbf{B}, \mathsf{hpub}))$

Algorithm Sketch 2 High level HawkSign

```
Require: A message m and secret key sk = (B, hpub)
```

Ensure: A signature sig formed of a uniform salt salt $\in \{0,1\}^{\mathsf{saltlen}_{\mathsf{bits}}}$ and $s_1 \in R_n$

- 1: $M \leftarrow \mathsf{H}(\mathsf{m} \parallel \mathsf{hpub})$
- 2: salt $\leftarrow \mathsf{Rnd}(\mathsf{saltlen}_{\mathsf{bits}})$
- 3: $\mathbf{h} \leftarrow \mathsf{H}(M \parallel \mathsf{salt})$
- 4: $\mathbf{t} \leftarrow \mathbf{B} \cdot \mathbf{h} \mod 2$

- 5: $\mathbf{x} \leftarrow D_{2\mathbb{Z}^{2n}+\mathbf{t},2\sigma_{\text{sign}}}$ 6: $\mathbf{if} \ \|\mathbf{x}\|^2 > 4 \cdot \sigma_{\text{verify}}^2 \cdot 2n \ \mathbf{then} \ \mathbf{restart}$
- 8: if sym-break(w) is false then w = -w
- 9: $\mathbf{s} \leftarrow \frac{1}{2}(\mathbf{h} \mathbf{w})$
- 10: $s_1 \leftarrow \mathsf{Compress}(s)$
- 11: if sig-encoding(salt, s_1) is false then restart
- 12: **return** sig \leftarrow (salt, s_1)

Algorithm Sketch 3 High level HawkVerify

Require: A message m, a public key pk = Q, and a signature $sig = (salt, s_1)$

Ensure: A bit determining whether sig is a valid signature on m

- 1: hpub $\leftarrow H(\mathbf{Q})$
- 2: $M \leftarrow \mathsf{H}(\mathsf{m} \parallel \mathsf{hpub})$
- 3: $\mathbf{h} \leftarrow \mathsf{H}(M \parallel \mathsf{salt})$
- 4: $\mathbf{s} \leftarrow \mathsf{Decompress}(s_1, \mathbf{h}, \mathbf{Q})$
- 5: $\mathbf{w} \leftarrow \mathbf{h} 2\mathbf{s}$
- 6: if $len_{bits}(salt) = saltlen_{bits}$ and $s \in \mathbb{R}_n^2$ and $sym-break(\mathbf{w})$ and $||\mathbf{w}||_{\mathbf{Q}}^2 \le 4 \cdot \sigma_{verify}^2 \cdot 2n$
- 7: return 1
- return 0

Algorithm Sketch 1 High level HawkKeyGen

Ensure: $\mathbf{B} \in \mathsf{GL}_2(R_n)$ and $\mathbf{Q} = \mathbf{B}^*\mathbf{B}$

- 1: Sample coefficients of $f, g \in R_n$ i.i.d. from $Bin(\eta)$
- 2: if f-g-conditions(f,g) is false then restart
- $r \leftarrow \mathsf{NTRUSolve}(f,g)$
- 4: if r is \perp then restart
- 5: $(F,G) \leftarrow r$

6:
$$\mathbf{B} \leftarrow \begin{pmatrix} f & F \\ g & G \end{pmatrix}$$
, $\mathbf{Q} \leftarrow \mathbf{B}^* \mathbf{B}$

- 7: if KGen-encoding(\mathbf{Q}, \mathbf{B}) is false then restart
- 8: hpub $\leftarrow H(\mathbf{Q})$
- 9: $\mathbf{return} (pk, sk) \leftarrow (\mathbf{Q}, (\mathbf{B}, hpub))$

Coefficients i.i.d independent and identically distributed coefficients

Algorithm 12 Regeneratefg: Regenerate (f, g)

Require: Key generation seed kgseed

Ensure: Polynomials (f, g)

- 1: $b \leftarrow n/64$
- $2: \ y \leftarrow \mathsf{SHAKE256x4}(\mathsf{kgseed})[0:2bn]$
- 3: **for** i = 0 to n 1 **do**
- 4: $f[i] \leftarrow \left(\sum_{j=0}^{b-1} y[ib+j]\right) b/2$
- 5: **for** i = 0 to n 1 **do**
- 6: $g[i] \leftarrow \left(\sum_{j=0}^{b-1} y[(i+n)b+j]\right) b/2$
- 7: return (f, g)

 $\triangleright b = 4$, 8 or 16, depending on n. $\triangleright b$ bits for each coefficient of f and g.

 \triangleright centred binomial with $\eta = b/2$.

다항식 f와 g는 난수씨드에서 초기화된 SHAKE256x4 인스턴스를 사용하여 얻은 의사 난수 비트로부터 생성되는 중앙집중 이항 분포를 사용하여 샘플링됨.

Algorithm Sketch 1 High level HawkKeyGen

Ensure: $\mathbf{B} \in \mathsf{GL}_2(R_n)$ and $\mathbf{Q} = \mathbf{B}^*\mathbf{B}$

- 1: Sample coefficients of $f, g \in R_n$ i.i.d. from Bin (η)
- 2: **if** f-g-conditions(f,g) is false **then** restart
- 3: $r \leftarrow \mathsf{NTRUSolve}(f, g)$
- 4: if r is \perp then restart
- 5: $(F,G) \leftarrow r$

6:
$$\mathbf{B} \leftarrow \begin{pmatrix} f & F \\ g & G \end{pmatrix}$$
, $\mathbf{Q} \leftarrow \mathbf{B}^* \mathbf{B}$

- 7: if KGen-encoding(\mathbf{Q}, \mathbf{B}) is false then restart
- 8: hpub $\leftarrow H(\mathbf{Q})$
- 9: $\mathbf{return} (pk, sk) \leftarrow (\mathbf{Q}, (\mathbf{B}, hpub))$

Algorithm 12 Regeneratefg: Regenerate (f, g)

Require: Key generation seed kgseed

Ensure: Polynomials (f, g)

- 1: $b \leftarrow n/64$
- 2: $y \leftarrow \mathsf{SHAKE256x4(kgseed)}[0:2bn]$

 $\triangleright b = 4$, 8 or 16, depending on n. $\triangleright b$ bits for each coefficient of f and g.

- 3: **for** i = 0 to n 1 **do**
- $f[i] \leftarrow \left(\sum_{j=0}^{b-1} y[ib+j]\right) b/2$

 \triangleright centred binomial with $\eta = b/2$.

- 5: **for** i = 0 to n 1 **do**
- 6: $g[i] \leftarrow \left(\sum_{j=0}^{b-1} y[(i+n)b+j]\right) b/2$
- 7: return (f,g)

- 중앙 집중 이항 분포
 - 평균이나 기대값이 0이 되는 이항 분포

Algorithm Sketch 1 High level HawkKeyGen

Ensure: $\mathbf{B} \in \mathsf{GL}_2(R_n)$ and $\mathbf{Q} = \mathbf{B}^*\mathbf{B}$

- 1: Sample coefficients of $f, g \in R_n$ i.i.d. from Bin (η)
- 2: **if** f-g-conditions(f,g) is false **then restart**
- 3: $r \leftarrow \mathsf{NTRUSolve}(f,g)$
- 4: if r is \perp then restart
- 5: $(F,G) \leftarrow r$

6:
$$\mathbf{B} \leftarrow \begin{pmatrix} f & F \\ g & G \end{pmatrix}$$
, $\mathbf{Q} \leftarrow \mathbf{B}^* \mathbf{B}$

- 7: if KGen-encoding(\mathbf{Q}, \mathbf{B}) is false then restart
- 8: hpub $\leftarrow H(\mathbf{Q})$
- 9: $\mathbf{return} \ (\mathsf{pk}, \mathsf{sk}) \leftarrow (\mathbf{Q}, (\mathbf{B}, \mathsf{hpub}))$

Then, NTRUSolve comes up with (F, G) such that

$$\|(F,G)\|_{\infty} \leq 127, \text{ and } fG - gF = 1.$$

$$\|\cdot\|: K_n \to \mathbb{Q}, f \mapsto \sqrt{\langle f, f \rangle},$$

$$\|\cdot\|_{\infty}: K_n \to \mathbb{Q}, f \mapsto \max_{0 \leq i \leq n} (|f[i]|).$$

$$(13)$$

```
Ensure: New key pair (priv, pub)
                                                                                                                               1: kgseed \leftarrow Rnd(kgseedlen_{bits})
                                                                                                                                                                                                ▶ kgseedlen<sub>bits</sub> is defined in Table 4
                                                                                                                                  (f,g) \leftarrow \mathsf{Regeneratefg}(\mathsf{kgseed})
Algorithm Sketch 1 High level HawkKeyGen
                                                                                                                               3: if |\operatorname{IsInvertible}(f,2) \neq \operatorname{true} | \operatorname{or} | \operatorname{IsInvertible}(g,2) \neq \operatorname{true} | \operatorname{then} |
                                                                                                                                      restart
Ensure: \mathbf{B} \in \mathsf{GL}_2(R_n) and \mathbf{Q} = \mathbf{B}^*\mathbf{B}
                                                                                                                                  if ||(f,g)||^2 \leq 2n\sigma_{krsec}^2 then
                                                                                                                                                                                                       f, g 다항식 생성
                                                                                                                                      restart
  1: Sample coefficients of f, g \in R_n i.i.d. from Bin(\eta)
                                                                                                                                 q_{00} \leftarrow ff^{\star} + gg^{\star}
                                                                                                                                  (p_1, p_2) \leftarrow (2147473409, 2147389441)
  2: if f-g-conditions(f,g) is false then restart
                                                                                                                                  if lsInvertible(q_{00}, p_1) \neq true \ or \ lsInvertible(q_{00}, p_2) \neq true \ then
  3: r \leftarrow \mathsf{NTRUSolve}(f, g)
                                                                                                                                    restart
                                                                                                                             11: if (1/q_{00})[0] \ge \beta_0 then
                                                                                                                                                                                                      \triangleright Inverse over \mathbb{Q}[X]/(X^n+1).
  4: if r is \perp then restart
                                                                                                                                      restart
  5: (F,G) \leftarrow r
                                                                                                                              13: r \leftarrow \mathsf{NTRUSolve}(f, g, 1)
                                                                                                                              14: if r = \bot then
 6: \mathbf{B} \leftarrow \begin{pmatrix} f & F \\ g & G \end{pmatrix}, \mathbf{Q} \leftarrow \mathbf{B}^* \mathbf{B}
                                                                                                                                      restart
                                                                                                                              15:
                                                                                                                                                                                            NTRUSolve 만족하는
                                                                                                                              16: (F,G) \leftarrow r
                                                                                                                                                                                            F. G 다항식 생성
                                                                                                                              17: if ||(F,G)||_{\infty} > 127 then
  7: if KGen-encoding(\mathbf{Q}, \mathbf{B}) is false then restart
                                                                                                                                      restart
                                                                                                                                  q_{01} \leftarrow Ff^{\star} + Gg^{\star}
  8: hpub \leftarrow H(\mathbf{Q})
                                                                                                                                  q_{11} \leftarrow FF^* + GG^*
                                                                                                                                                                                           -> 공개키 생성
  9: \mathbf{return} \; (\mathsf{pk}, \mathsf{sk}) \leftarrow (\mathbf{Q}, (\mathbf{B}, \mathsf{hpub}))
                                                                                                                             21: if |q_{11}[i]| \ge 2^{\mathsf{high}_{11}} for any i > 0 then
                                                                                                                                      restart
                                                                                                                                 pub \leftarrow EncodePublic(q_{00}, q_{01})
                                                                                                                             24: if pub = \bot then
                                                                                                                                  restart
```

▶ hpublen_{bits} is defined in Table 4.

Algorithm 13 HawkKeyGen: HAWK key pair generation Require: Cryptographically secure source of random bits

 $hpub \leftarrow SHAKE256(pub)$

28: return (priv, pub)

 $\mathsf{priv} \leftarrow \mathsf{EncodePrivate}(\mathsf{kgseed}, F \bmod 2, G \bmod 2, \mathsf{hpub})$

유클리드 노름 벡터의 제곱합의 제곱근으로 계산 유클리드 공간에서 거리나 길이

```
Require: Cryptographically secure source of random bits
Ensure: New key pair (priv, pub)
 1: kgseed ← Rnd(kgseedlen<sub>bite</sub>)
                                                                            ▶ kgseedlen<sub>bits</sub> is defined in Table 4.
 2: (f, q) \leftarrow \mathsf{Regeneratefg}(\mathsf{kgseed})
 3: if \mathsf{lsInvertible}(f,2) \neq \mathsf{true} \ \mathsf{or} \ \mathsf{lsInvertible}(g,2) \neq \mathsf{true} \ \mathsf{then}
          restart
 5: if ||(f,g)||^2 \leq 2n\sigma_{\text{kree}}^2 then
         restart
 7: q_{00} \leftarrow ff^* + gg^*
 8: (p_1, p_2) \leftarrow (2147473409, 2147389441)
 9: if |\operatorname{IsInvertible}(q_{00}, p_1)| \neq |\operatorname{true}| or |\operatorname{IsInvertible}(q_{00}, p_2)| \neq |\operatorname{true}| true then
         restart
10:
                                                                                   \triangleright Inverse over \mathbb{Q}[X]/(X^n+1).
11: if (1/q_{00})[0] \ge \beta_0 then
       restart
13: r \leftarrow \mathsf{NTRUSolve}(f, q, 1)
14: if r = \bot then
         restart
16: (F,G) \leftarrow r
17: if ||(F,G)||_{\infty} > 127 then
18: restart
19: q_{01} \leftarrow Ff^* + Gg^*
20: q_{11} \leftarrow FF^* + GG^*
21: if |q_{11}[i]| \ge 2^{\mathsf{high}_{11}} for any i > 0 then
         restart
23: pub \leftarrow EncodePublic(q_{00}, q_{01})
24: if pub = \perp then
         restart
26: hpub \leftarrow SHAKE256(pub)
                                                                               ▶ hpublen<sub>bits</sub> is defined in Table 4.
27: priv \leftarrow EncodePrivate(kgseed, F \mod 2, G \mod 2, hpub)
28: return (priv, pub)
```

Algorithm 13 HawkKeyGen: HAWK key pair generation

```
* Generate f and q.
rng(rng_context, seed_buf, seed_len);
Hawk regen fg(logn, f, g, seed buf);
* Start again if f and g are not both odd.
if (parity(logn, f) != 1 || parity(logn, g) != 1) {
    continue;
* Check that (f,g) has an acceptable norm; this is a
 * minimum bound (2*n*sigma sec^2).
uint32_t norm2_fg = poly_sqnorm(logn, f) + poly_sqnorm(logn, g);
if (norm2 fg < l2low) {
    continue;
* Check that f*adj(f) + g*adj(g) is invertible modulo
 * X^n+1 mod p1 (with p1 = 2147473409 = PRIMES[0].p).
* We also output f*adj(f) + g*adj(g) into t1.
```

```
Algorithm 13 HawkKeyGen: HAWK key pair generation
Require: Cryptographically secure source of random bits
Ensure: New key pair (priv, pub)
 1: kgseed ← Rnd(kgseedlen<sub>bite</sub>)
                                                                          ⊳ kgseedlen<sub>bits</sub> is defined in Table 4.
  2: (f, q) \leftarrow \mathsf{Regeneratefg}(\mathsf{kgseed})
  3: if \mathsf{lsInvertible}(f,2) \neq \mathsf{true} \ \mathsf{or} \ \mathsf{lsInvertible}(g,2) \neq \mathsf{true} \ \mathsf{then}
         restart
  5: if ||(f,g)||^2 \leq 2n\sigma_{\text{kree}}^2 then
         restart
  7: q_{00} \leftarrow ff^* + gg^*
  8: (p_1, p_2) \leftarrow (2147473409, 2147389441)
 9: if |\operatorname{IsInvertible}(q_{00}, p_1)| \neq |\operatorname{true}| or |\operatorname{IsInvertible}(q_{00}, p_2)| \neq |\operatorname{true}| true then
         restart
10:
                                                                                 \triangleright Inverse over \mathbb{Q}[X]/(X^n+1).
11: if (1/q_{00})[0] \ge \beta_0 then
       restart
13: r \leftarrow \mathsf{NTRUSolve}(f, g, 1)
14: if r = \bot then
         restart
16: (F,G) \leftarrow r
17: if ||(F,G)||_{\infty} > 127 then
18: restart
19: q_{01} \leftarrow Ff^* + Gg^*
20: q_{11} \leftarrow FF^* + GG^*
21: if |q_{11}[i]| \ge 2^{\mathsf{high}_{11}} for any i > 0 then
         restart
23: pub \leftarrow EncodePublic(q_{00}, q_{01})
24: if pub = \perp then
         restart
26: hpub ← SHAKE256(pub)
                                                                             ▶ hpublen<sub>bits</sub> is defined in Table 4.
27: priv \leftarrow EncodePrivate(kgseed, F \mod 2, G \mod 2, hpub)
28: return (priv, pub)
```

```
* Solve the NTRU equation.
#if NTRUGEN_STATS
        stats_solve_attempt ++;
#endif
        int err = solve_NTRU(prof, logn, f, g, tt32);
        switch (err) {
        case SOLVE OK:
#if NTRUGEN_STATS
            stats_solve_success ++;
#endif
            break;
#if NTRUGEN STATS
        case SOLVE_ERR_GCD:
            stats solve err gcd ++;
            continue:
        case SOLVE ERR REDUCE:
            stats_solve_err_reduce ++;
        case SOLVE ERR LIMIT:
            stats_solve_err_limit ++;
#endif
        default:
            continue;
```

```
Algorithm 13 HawkKeyGen: HAWK key pair generation
Require: Cryptographically secure source of random bits
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 kgseed ← Rnd(kgseedlen<sub>bits</sub>)

                                                                          ▶ kgseedlen<sub>bits</sub> is defined in Table 4.
 2: (f, q) \leftarrow \text{Regeneratefg(kgseed)}
  3: if \mathsf{lsInvertible}(f,2) \neq \mathsf{true} \ \mathsf{or} \ \mathsf{lsInvertible}(g,2) \neq \mathsf{true} \ \mathsf{then}
         restart
  5: if ||(f,g)||^2 \leq 2n\sigma_{\text{kreen}}^2 then
      restart
 7: q_{00} \leftarrow ff^* + gg^*
 8: (p_1, p_2) \leftarrow (2147473409, 2147389441)
 9: if |\operatorname{IsInvertible}(q_{00}, p_1)| \neq |\operatorname{true}| or |\operatorname{IsInvertible}(q_{00}, p_2)| \neq |\operatorname{true}| true then
         restart
10:
                                                                                \triangleright Inverse over \mathbb{Q}[X]/(X^n+1).
11: if (1/q_{00})[0] \ge \beta_0 then
      restart
13: r \leftarrow \mathsf{NTRUSolve}(f, q, 1)
14: if r = \bot then
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17: if ||(F,G)||_{\infty} > 127 then
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         restart
23: pub \leftarrow EncodePublic(q_{00}, q_{01})
24: if pub = \perp then
       restart
26: hpub \leftarrow SHAKE256(pub)
                                                                            ▶ hpublen<sub>bits</sub> is defined in Table 4.
27: priv \leftarrow EncodePrivate(kgseed, F \mod 2, G \mod 2, hpub)
28: return (priv, pub)
```

```
* Compute g00, g01 and g1, and check that they are in the
        * expected range.
         * F and G use the first 2*n bytes = hn words.
        if (!make_q001(logn, lim00, lim01, lim11,
           f, g, tF, tG, (uint32_t *)(tG + n)))
#if NTRUGEN_STATS
#endif
           continue;
        int16_t *tq00 = (int16_t *)(tG + n);
        int16_t *tq01 = tq00 + n;
        int32_t *tq11 = (int32_t *)(tq01 + n);
       uint8_t *tseed = (uint8_t *)(tq11 + n);
        memmove(tseed, seed_buf, seed_len);
        * Return the computed F, G, q00, q01, q11 and seed.
       if (F != NULL) {
           memmove(F, tF, n);
       if (G != NULL) {
           memmove(G, tG, n);
       if (q00 != NULL) {
           memmove(q00, tq00, n * sizeof *tq00);
       if (q01 != NULL) {
           memmove(q01, tq01, n * size of *tq01);
       if (q11 != NULL) {
            memmove(q11, tq11, n * sizeof *tq11);
        if (seed != NULL) {
           memmove(seed, tseed, seed_len);
       if (tt32 != tmp) {
           memmove(tmp, tt32, 10 * n + seed len);
```

```
Algorithm 13 HawkKeyGen: HAWK key pair generation
Require: Cryptographically secure source of random bits
Ensure: New key pair (priv, pub)

 kgseed ← Rnd(kgseedlen<sub>bite</sub>)

                                                                           ▶ kgseedlen<sub>bits</sub> is defined in Table 4.
 2: (f, q) \leftarrow \text{Regeneratefg(kgseed)}
  3: if \mathsf{lsInvertible}(f,2) \neq \mathsf{true} \ \mathsf{or} \ \mathsf{lsInvertible}(g,2) \neq \mathsf{true} \ \mathsf{then}
          restart
  5: if ||(f,g)||^2 \leq 2n\sigma_{\text{kree}}^2 then
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 7: q_{00} \leftarrow ff^* + gg^*
  8: (p_1, p_2) \leftarrow (2147473409, 2147389441)
 9: if |\operatorname{IsInvertible}(q_{00}, p_1)| \neq |\operatorname{true}| or |\operatorname{IsInvertible}(q_{00}, p_2)| \neq |\operatorname{true}| true then
          restart
10:
                                                                                  \triangleright Inverse over \mathbb{Q}[X]/(X^n+1).
11: if (1/q_{00})[0] \ge \beta_0 then
       restart
13: r \leftarrow \mathsf{NTRUSolve}(f, q, 1)
14: if r = \bot then
          restart
16: (F,G) \leftarrow r
17: if ||(F,G)||_{\infty} > 127 then
18: restart
19: q_{01} \leftarrow Ff^* + Gg^*
20: q_{11} \leftarrow FF^* + GG^*
21: if |q_{11}[i]| \ge 2^{\mathsf{high}_{11}} for any i > 0 then
          restart
23: pub \leftarrow EncodePublic(q_{00}, q_{01})
24: if pub = \perp then
         restart
26: hpub \leftarrow SHAKE256(pub)
                                                                              ▶ hpublen<sub>bits</sub> is defined in Table 4.
```

27: priv \leftarrow EncodePrivate(kgseed, $F \mod 2$, $G \mod 2$, hpub)

28: return (priv, pub)

```
if (Hawk_keygen(logn, f, g, 0, 0, 0, 0, 0, rng, rng_context,
           tt8, (size_t)(((int8_t *)tmp + tmp_len) - tt8)) != 0)
           return 0;
       if (encode public(logn, tpub, pub len, q00, q01)) {
            (void)encode_private(logn, tpriv,
                seed, F, G, tpub, pub_len);
#if HAWK DEBUG
           printf("#### Keygen (n=%u):\n", 1u << logn);</pre>
           print_blob("kgseed", seed, seed_len);
           print_i8(logn, "f", f);
           print_i8(logn, "g", g);
           print_i8(logn, "F", F);
           print_i8(logn, "G", G);
           print_i16(logn, "q00", q00);
           print_i16(logn, "q01", q01);
           print_i32(logn, "q11", q11);
           print_blob("priv", tpriv, priv_len);
           print_blob("pub", tpub, pub_len);
#endif
           if (priv != NULL) {
                memcpy(priv, tpriv, priv_len);
           if (pub != NULL) {
                memcpy(pub, tpub, pub_len);
           memmove(tmp, tpriv, priv_len + pub_len);
           return 1:
```

3. HAWK 최적화 구현

```
ng_hawk Hawk keygen ftimer print
ftimer_ntt = 0.000273
fs->rng = 0.000021
fs->Hawk_regen_fg_t = 0.000808
fs->mp_mkgmigm_t = 0.000015
fs->mp_add_t = 0.000300
fs->mp_montymul = 0.000340
fs->mp_norm = 0.000300
fs->mp_mkgm_t = 0.000307
fs->vect FFT t = 0.000307
fs->solve_NTRU_t = 0.057962
```

```
ng_ntru Hawk keygen FTIMER_ntru print
solve_NTRU_deepest = 0.007041
solve_NTRU_intermediate = 0.049215
solve_NTRU_depth0 = 0.001656
poly_mp_set_small_t = 0.000000
mp_mkgm_t = 0.000000
mp_NTT_t = 0.0000000
mp_mkigm_t = 0.0000000
zint_rebuild_CRT_t = 0.0000000
```

```
* Memory layout: we keep Ft, Gt, ft and gt; we append_ntru:
           temporary f mod p (NTT) (n)
         temporary g mod p (NTT) (n)
uint32 t *qm = t1;
uint32 t *igm = gm + n;
uint32 t *fx = igm + n;
uint32_t *gx = fx + n;
mp_mkgmigm(logn, gm, igm, PRIMES[u].g, PRIMES[u].ig, p, p0i);
if (u < slen) {
    memcpy(fx, ft + u * n, n * sizeof *fx);
   memcpy(gx, gt + u * n, n * sizeof *gx);
   mp_iNTT(logn, ft + u * n, igm, p, p0i);
   mp_iNTT(logn, gt + u * n, igm, p, p0i);
} else {
    uint32_t Rx = mp_Rx31((unsigned)slen, p, p0i, R2);
    for (size_t v = 0; v < n; v ++) {
        fx[v] = zint_mod_small_signed(ft + v, slen, n,
            p, p0i, R2, Rx);
        gx[v] = zint mod small signed(gt + v, slen, n,
            p, p0i, R2, Rx);
   mp_NTT(logn, fx, gm, p, p0i);
   mp_NTT(logn, gx, gm, p, p0i);
* We have (F,G) from deeper level in Ft and Gt, in
* RNS. We apply the NTT modulo p.
uint32 t *Fe = Ft + u * n;
uint32 t *Ge = Gt + u * n;
mp_NTT(logn - 1, Fe + hn, gm, p, p0i);
mp_NTT(logn - 1, Ge + hn, gm, p, p0i);
```

```
uint32_t *Fe = Ft + u * n;
uint32_t *Ge = Gt + u * n;
mp NTT(logn - 1, Fe + hn, gm, p, p0i);
mp_NTT(logn - 1, Ge + hn, gm, p, p0i);
 * Compute F and G (unreduced) modulo p.
for (size_t v = 0; v < hn; v ++) {
   uint32_t fa = fx[(v << 1) + 0];
   uint32 t fb = fx[(v << 1) + 1];
   uint32_t ga = gx[(v << 1) + 0];
   uint32 t gb = gx[(v << 1) + 1];
   uint32_t mFp = mp_montymul(Fe[v + hn], R2, p, p0i);
   uint32_t mGp = mp_montymul(Ge[v + hn], R2, p, p0i);
   Fe[(v \ll 1) + 0] = mp_montymul(gb, mFp, p, p0i);
   Fe[(v \ll 1) + 1] = mp_montymul(qa, mFp, p, p0i);
   Ge[(v \ll 1) + 0] = mp_montymul(fb, mGp, p, p0i);
   Ge[(v \ll 1) + 1] = mp_montymul(fa, mGp, p, p0i);
* We want the new (F,G) in RNS only (no NTT).
mp_iNTT(logn, Fe, igm, p, p0i);
mp_iNTT(logn, Ge, igm, p, p0i);
```

감사합니다