

# PQ-DPoL

## : An Efficient Post-Quantum Blockchain Consensus Algorithm

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# Introduction

- The **ECC-based signature scheme** is commonly adopted in blockchains for **transaction signing and verification**. (e.g. secp256-k1 in Bitcoin/Ethereum)
- However, the following two factors prompt us to consider **replacing ECC with PQC**
  1. Shor's algorithm
  2. The emergence of quantum computer
- In this paper, We proposed **“PQ-DPoL(Post-Quantum Delegated Poof of Luck)”**

# Introduction || Contribution

- Our main Contributions

1. **Post-quantum blockchain** with PQC scheme.

- **CRYSTALS-Dilithium**

2. New consensus algorithm for an efficient blockchain.

- Delegate + **Proof-of-Luck**

3. Diverse Configurations and Benchmarks.

- The number of nodes

- **Security parameter** of Dilithium

# Background || Consensus Algorithm

- **The consensus algorithm** is used by nodes on the blockchain **to ensure data integrity** through specific procedures and **to make the same decision**.
- Representative consensus algorithms
  - Proof-of-Work (PoW)
  - Proof-of-Stake (PoS)
  - Delegated-Proof-of-Stake (DPoS)
  - Proof-of-Elapsed-Time (PoET)
  - Proof-of-Luck (PoL)

# Background || TEE (Trusted Execution Environment)

- **The security area** of the main processor.
- There are various unique techniques for **security**.
  - Trusted Time
  - Monotonic Counter
  - Attestation
  - Random Number Generator

# Background || CRYSTALS-Dilithium

- **Post-quantum cryptography** selected by NIST as an algorithm to be standardized.
- **Lattice-based cipher** based on the **Shortest Vector Problem (SVP)**.

Scheme	NIST level	Public key	Private Key	Signature
Dilithium-2	2	1,312	2,528	2,420
Dilithium-3	3	1,952	4,000	3,293
Dilithium-5	5	2,592	4,864	4,595

# Background || Evaluation Metric

- **TPS**

- The number of **transactions that can be confirmed in one second.**
- Bitcoin - 7TPS / Ethereum - 20TPS / EOS - 3000TPS

- **Latency**

- The time it takes from the time a **transaction appears on the network until it is verified.**
- Bitcoin - 10minutes / Ethereum - 0.22 minutes / Ripple - 4seconds

- **In addition, there are other metrics**

: verification time, decetralized level, and power consumpotion



# Before Proposed Method

- There are 5 considerations
  - **Key Size**
  - **Signature Size**
  - Execution Speed
  - Computational Complexity
  - Power Consumption

# Before Proposed Method

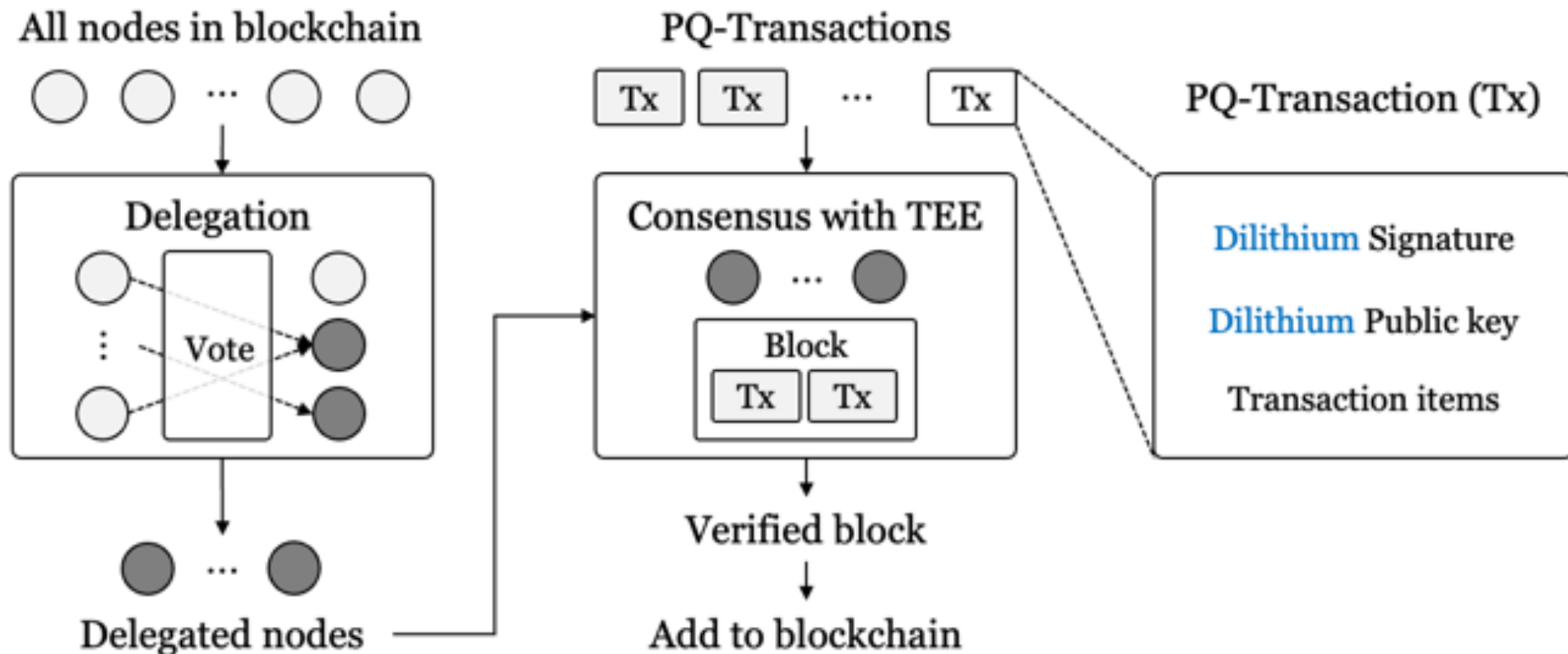
- **Reasons for applying Dilithium**
  - The Dilithium scheme **faster than PQC signature schemes**
  - The devices targeted for our blockchain are **CPUs that support TEE**
  - This indicates that our blockchain is **capable of accommodating PQC with large key sizes.**
- **However, several problems exist...**
  - We will present how **to increase the lowered TPS** using the proposed consensus algorithm, DPoL.

# Before Proposed Method

- How to use **TEE** in our method
  - Trusted Time
    - **Give the delay** of schedule as much as round time
  - Monotonic Counter
    - **Prevent concurrent invocation**
  - Remote Attestation
    - **Generate proof** to verify with each other
  - Random Number Generation
    - **Use as the luck value** in the algorithm

# Proposed Method || System Overview

- **PQ-DPoL** is divided **Delegation** phase / **Consensus** phase
- **System Overview**



# Proposed Method || Delegation

- **Delegation phase**

1. Random Number Generation
2. Vote
3. Verification
4. Delegation

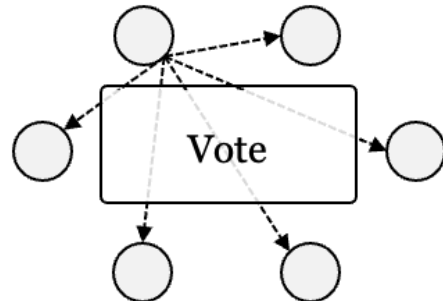
1. Each node generates a random number



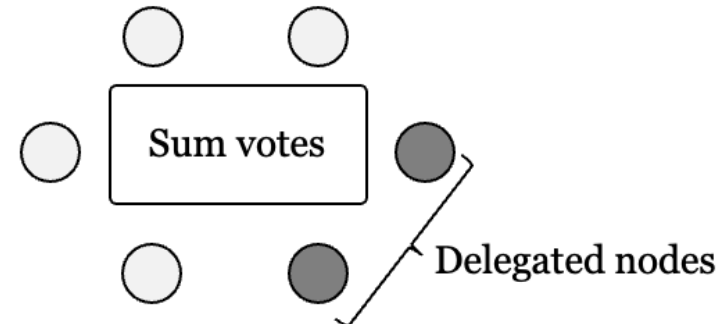
3. Verifies the voting transaction (Dilithium)



2. Vote : Broadcast a random number



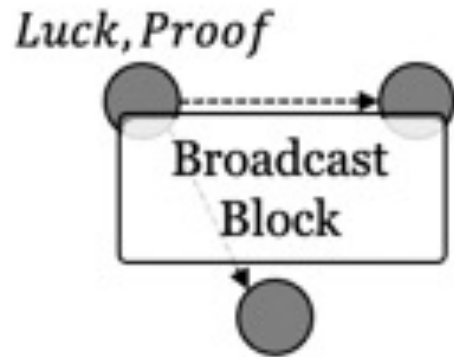
4. Select delegated nodes (with the most votes).



# Proposed Method || Consensus

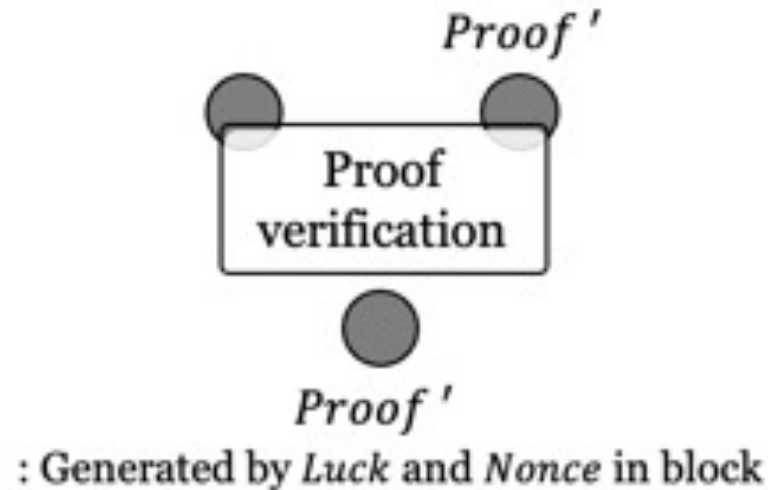
- Consensus Phase
  1. Block Generation
  2. Block Verification
  3. Transaction Verification

## 1. Block Generation



## 2. Block Verification

$Proof == Proof' \rightarrow \text{Valid Proof}$



## 3. Transaction Verification

Using Dilithium



# Benchmark || Environment

- **Target Processor:** Intel i5-8295U CPU with 16GB RAM
- **Framework:** Visual Studio Code
- **Language:** C++
- To build an environment close to a real blockchain network, we use **NS-3**, an open-source network simulator.

# Benchmark || Performance

- Execution speed comparison of ECDSA (P-256) and Dilithium

	Key Gen	Sig Gen	Sig Verify
ECDSA (P-256)	0.000400	0.000670	0.000350
Dilithium-2	0.000027	0.000100	0.000026
Dilithium-3	0.000047	0.000160	0.000043
Dilithium-5	0.000073	0.000200	0.000065



# Benchmark || Performance

- TPS of PQ-DPoL

n	1	2	3	4	5	6	7
ECDSA	713.5797	122.5398	29.8184	6.9522	0.9468	0.2341	0.1030
Dilithium-2	88.8721	21.0373	5.1244	1.2515	0.3302	0.0776	0.0171
Dilithium-3	48.4901	11.7897	2.8879	0.7128	0.1815	0.0446	0.0104
Dilithium-5	30.8097	7.4470	1.8600	0.4526	0.1203	0.0291	0.0066

# Benchmark || Performance

- Latency of PQ-DPoL

n	1	2	3	4	5	6	7
ECDSA	0.1401	0.8160	3.3536	14.3838	105.6131	427.1180	970.0098
Dilithium-2	0.0675	0.2852	1.1708	4.7940	18.1667	77.2573	350.8609
Dilithium-3	0.0824	0.3392	1.3850	5.6116	22.0333	89.5175	383.3946
Dilithium-5	0.0973	0.4028	1.1628	6.6277	24.9267	103.0436	454.1221

# Conclusion

- The TPS of DPoL applied with Dillithium is **lower than that of ECDSA**
- To improve the degraded performance, **we propose DPoL**, a new consensus algorithm that **combines PoL and delegation approach**.
- Certainly, when employing Dillithium as the signature scheme in DPoL, although its performance may be lower than that of ECDSA, it still **provides reasonable performance**.
- Finding various methods to improve TPS can be considered for the future works.

Q & A