Belle II Simulation

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		Abstract			
An overview of the Belle II Si	mulation is presen	nted. This docum	nent is a draft cha	apter of the B2Til	P book.

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4 5	1.1 Introduction	1
6	1.2 Cross Sections	1
7	1.3 Generators	1
8	1.4 Beam-induced background	3
9	1.4.1 Detector Simulation	5
10	1.4.2 Magnetic field in basf2	6
11	Bibliography	7
12 13 14		

cross sections within acceptance and some typical generator level cuts are given by the indented values. The cut values (if any) for the non indented cross section values correspond to typical event generator cuts. The $\Upsilon(4S)$ cross section is calculated the on peak cross section assuming a collision energy at the $\Upsilon(4S)$ nominal mass and includes next—to—leading order initial state radiation corrections and a beam energy spread of $5\,\mathrm{MeV}$ [1].

1.1 Introduction

This chapter describes the the simulation tools used in the studies presented in this report. This includes a brief review of the main event generators, the detector simulation and an overview of the expected beam backgrounds. Some analyses require very specific event generators whose description is given in the respective subsections. The reference cross sections for various physics processes are provided as well.

All simulations start with at least one event generator that simulates the primary physics process which is followed by a detailed detector simulation. Some studies include the effects of beam background which is simulated in specific background simulations and added to the physics event simulation.

1.2 Cross Sections

Fiducial cross sections for the most important physics processes are given in Table 1.1 at the default beam energy. In addition to the normalization values, a rough estimate for observable

1.3 Generators

Most studies in this report are based on three main event generators: EvtGen 1.3 [?] is used to model the decays of B and D mesons into exclusive final states. PYTHIA 8.2 [2] is used for inclusive decay final states and for the continuum production of light quark pairs. τ pair production is generated using KKMC 4.15 [3, 4] with the decays handled by TAUOLA [5]. In addition, the large cross section QED background processes $e^+e^- \rightarrow e^+e^-(\gamma)$ and $e^+e^- \rightarrow \gamma\gamma(\gamma)$ are simulated using BABAYAGA.NLO [6, 7, 8, 9, 10], and $e^+e^- \rightarrow e^+e^-e^+e^-$ and $e^+e^-\mu^+\mu^-$ are simulated using AAFH¹ [11, 12, 13]. Additional specialized event generators for certain analyses are described in the respective subsections.

All event generators use the same beam parameters such as the mean beam energies and the vertex position which are provided by a central data base. The effect of beam energy smearing is included in EvtGen and BABAYAGA.NLO only and modelled as single Gaussian for the HER and LER beams each, with a width of 5.13 MeV and 2.375 MeV respectively. The default vertex position is the detector center (0, 0, 0) and the

¹This generator is sometimes also called BDK or DIAG36.

Table 1.1: Total production cross section from various physics processes from collisions at $\sqrt{s} = 10.573 \,\text{GeV}$.

Physics process	Cross section [nb]	Cuts	Reference
$\Upsilon(4S)$	1.05 ± 0.10	-	[1]
$u ar u(\gamma)$	1.61	-	KKMC
$dar{d}(\gamma)$	0.40	-	KKMC
$sar{s}(\gamma)$	0.38	-	KKMC
$car{c}(\gamma)$	1.30	-	KKMC
$e^+e^-(\gamma)$	$300 \pm 3 \text{ (MC stat.)}$	$10^{\circ} < \theta_{e's}^* < 170^{\circ},$	BABAYAGA.NLO
		$E_{e's}^* > 0.15 \text{ GeV}$	
$e^+e^-(\gamma)$	74.4	e's $(p > 0.5 GeV)$ in ECL	-
$\gamma\gamma(\gamma)$	4.99 ± 0.05 (MC stat.)	$10^{\circ} < \theta_{\gamma's}^* < 170^{\circ},$	BABAYAGA.NLO
		$E_{\gamma's}^* > 0.15 \text{ GeV}$	
$\gamma\gamma(\gamma)$	3.30	γ 's $(p > 0.5 \text{GeV})$ in ECL	-
$\mu^+\mu^-(\gamma)$	1.148	-	KKMC
$\mu^+\mu^-(\gamma)$	0.831	μ 's $(p > 0.5 \text{GeV})$ in CDC	-
$\mu^+\mu^-\gamma(\gamma)$	0.242	μ 's $(p > 0.5 \text{GeV})$ in CDC,	-
		$\geq 1 \gamma \; (E_{\gamma} > 0.5 \text{GeV}) \; \text{in B}$	ECL
$\tau^+\tau^-(\gamma)$	0.919	-	KKMC
$ uar u(\gamma)$	0.25×10^{-3}	-	KKMC
$e^{+}e^{-}e^{+}e^{-}$	$39.7 \pm 0.1 \; (MC \; stat.)$	$W_{\ell\ell} > 0.5 {\rm GeV}$	AAFH
$e^+e^-\mu^+\mu^-$	$18.9 \pm 0.1 \text{ (MC stat.)}$	$W_{\ell\ell} > 0.5 {\rm GeV}$	AAFH

vertex smearing covariance matrix is calculated 96 from the horizontal (x) and vertical (y) beam size 97 at the IP and the bunch lengths (z) of the LER 98 $(\sigma_x=10.2~\mu\text{m},~\sigma_y=0.059~\mu\text{m},~\sigma_z=5\text{mm})$ and HER 99 $(\sigma_x=7.75~\mu\text{m},~\sigma_y=0.059~\mu\text{m},~\sigma_z=6\text{mm})$ and the 100 angles of the beams with respect to the z-axis [14].101 Normal distributed bunch densities are assumed 102 for the calculation and the probability density 103 functions for the two bunches are multiplied to get 104 a resulting beam spot. Vertex position smearing is 105 included for all generators.

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EvtGen is an event generator originally de-108 veloped for BaBar and CLEO, and also used 109 within Belle. EvtGen accounts for cascade decays 110 involving multiple vertices and spin configurations. 111 Input data for each decay process is passed 112 to the code as a complex amplitude. In cases 113 where a number of complex amplitudes are 114 invoked for the same process, these are added 115

before the decay probabilities are calculated and consequently the interference terms, which are of significant importance in many B-physics studies, are included. EvtGen is controlled by means of a fairly complete decay table (DECAY.DEC), which lists all possible decay processes, their branching ratios and the model (amplitude) which is to be used to decay them. Belle II currently uses the simplified default EvtGen decay file for generic B events which lacks some improvements that were included in the Belle or BaBar decay files. Since EvtGen only handles exclusive final states, PYTHIA 8.2 is used to produce final states not included in the decay file. Double counting is avoided by rejecting decays produced by PYTHIA 8.2 that are already included in the decay file, and regenerating this event. PHOTOS is used to simulate final state radiation correction in decays [15]. EvtGen is also used to simulate $u\bar{u}$, $d\bar{d}$, $s\bar{s}$, and $c\bar{c}$ continuum events that are fragmented into final states using

PYTHIA 8.2. Unlike at Belle, the continuum light 162 quark production currently does not include initial 163 state radiation. In general, it is not straight 164 forward to translate the Belle fragmentation 165 settings to Belle II since the PYTHIA version has 166 been changed from PYTHIA 6 to PYTHIA 8.2 and 167 not all PYTHIA 6 parameters have PYTHIA 8.2 168 equivalents and vice versa. All currently used 169 non—default PYTHIA 8.2 parameters are listed in 170 Table 1.2. It is planned to have a full set of PYTHIA 171 8.2 tunes for Belle II based on Belle data before 172 the start of Belle II data taking. It will need to 173 be separately tuned for modelling inclusive decays 174 in quark continuum samples, and in heavy meson 175 decays.

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KKMC is the default generator to simulate the 178 two fermion final states $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$ and 179 $e^+e^- \rightarrow \tau^+\tau^-(\gamma)$. The currently implemented 180 version is based on the Belle implementation of 181 KKMC4.19 including a modified interface for tau 182 decays. KKMC generates multi-photon initial state 183 radiation (ISR), final state radiation (FSR) and 184 the interference of initial and final state radiation 185 (IFI). These QED corrections are complete NLO 186 for ISR, IFI and FSR and almost complete 187 NNLO for ISR and FSR within the framework 188 of exclusive coherent exponentiation (CEEX) 189 Yennie-Frautschi-Suura exclusive 190 on exponentiation (YFS/EEX). τ decays are handled by TAUOLA-exp-11-10-2005, taking into account spin polarization effects and transverse spin correlations in au decays as in KORALB. The hadronic $_{_{192}}$ currents for $\tau \rightarrow 4\pi$ are taken from CMD-2 ('binp'), all other from CLEO ('cleo'). Electroweak 193 corrections within KKMC are implemented using the 194 DIZET6.21 library of the ZFITTER project [16, 17]. 195 The DIZET6.21 routine REPI for the calculation 196 of the time-like real part of the electromagnetic 197 coupling $\alpha_{OED}(s)$ has been replaced as described 198 in [18]. The electroweak corrections are complete 199 one-loop with some higher order extensions. The 200 theoretical precision of the generator for lepton 201 pairs is stated to be better than 0.5% for both 202 cross section and inclusive differential distributions 203 within the detector acceptance for beam energies 204

at and above the $\Upsilon(4S)$, including uncertainties due to vacuum polarization [18].

BABAYAGA.NLO is the default generator to simulate large angle (above about 5° in the CM frame) $e^+e^- \to e^+e^-(\gamma)$ (Bhabha) and $e^+e^- \to \gamma\gamma(\gamma)$ final states. BABAYAGA.NLO generates multi-photon initial state radiation (ISR), final state radiation (FSR) and the interference of initial and final state radiation (IFI) based on the matching of exact NLO corrections with a Parton Shower algorithm. Z exchange and $\gamma-Z$ interference are included at Born level. Narrow resonances and vacuum polarization corrections are included but no other electroweak corrections. The theoretical precision of the generator is stated to be about 0.1% for both cross section and inclusive differential distributions within the detector acceptance.

The non-radiative four fermion final states $e^+e^- \to e^+e^-e^+e^-$ and $e^+e^- \to e^+e^-\mu^+\mu^-$. AAFH includes all LO QED diagrams and their interference, but no higher order QED corrections, no weak corrections, and no Z-exchange. The leading order calculation is exact and includes final state mass kinematics. The leading order divergency of the process is controlled using a cut on the minimum invariant secondary fermion pair mass, with typically $W_{\ell\ell}=0.5\,\mathrm{GeV}$.

1.4 Beam-induced background

Types and simulated samples

According to beam background simulation provided by the accelerator group the most important sources are radiative Bhabha's, Touschek scattering and beam—gas interactions. These backgrounds are simulated with a dedicated accelerator group software called SAD [19] which is not part of basf2.

SAD simulates the transportation of particles through the accelerator and if particle leaves the nominal beam trajectory and collides with the beam pipe or collimator in the Belle II

Table 1.2: PYTHIA	8.2	parameters	with	changed	values	in	Belle II.

Parameter name	Default	Belle II
StringFlav:etaSup	0.60	0.27
${\bf String Fragmentation: stop Mass}$	1.0	0.3
StringZ:aLund	0.68	0.32
StringZ:bLund	0.98	0.62
StringZ:rFactC	1.32	1.0

experimental region, its position and momentum 240 vector are saved to a file. The files normally 241 correspond to one μs of running the accelerator at the nominal SuperKEKB luminosity. The 242 data from SAD simulation are then passed to the Geant4 simulation within basf2 to produce 243 background samples of a given type. The samples 244 are saved in the standard basf2 root format. The 245 events in these files correspond to the interaction 246 of a single beam particle in the material of 247 the interaction region and consist of simulated 248 hits (SimHits) of all detector components. The 249 equivalent accelerator running time and the 250 background type are also saved within the files.

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The two-photon QED background has been studied for the inner tracking detectors but is not included in the default background mixing yet. 253 It is simulated within basf2 using the generator $_{254}$ AAFH (see Section 1.3) followed by Geant4 $_{255}$ simulation, and the output is saved in the same 256 file format. Since the magnetic field inside the 257 quadrupoles is not yet modelled properly in basf2, 258 the simulation gives reliable results only for the $_{259}$ vertex detectors (PXD and SVD), while for the 260 other detector components the simulation tends to $_{261}\,$ over–estimate this background. The output file $_{262}$ therefore includes only PXD and SVD SimHits. $_{263}$ Other backgrounds like synchrotron radiation and $_{264}$ gammas from radiative Bhabha's are less intense $_{265}$ and are currently not included in background $_{266}$ mixing.

The background types are listed in Table 1.3. $_{269}$ The rate of events is calculated from the number of $_{270}$

events in the sample and the equivalent accelerator running time.

Background mixing

The simulated background samples are used to add background to the simulated events. Adding background to simulated events is done by adding SimHits; digitization is done after that. Possible pile—up of hits is therefore inherently included. The average number of background events of a given type to be added to a single simulated event is determined from the rate R of a particular background sample and the time window Δt in which the background is mixed

$$\bar{N} = sR\Delta t,$$
 (1.1)

where s is an optional scaling factor. The number of background events added to a particular simulated event is then generated according to Poisson distribution with the mean \bar{N} . To simulate contributions from different bunches, the background events are shifted in time randomly within the time window. This means that all SimHits of a given background event are shifted by the same time and therefore the correlations between detector components are preserved. The discrete bunch nature is however neglected because of sufficiently small bunch spacing.

The size of the time window depends on the detector component. It ranges from 100 ns (TOP) to 26 μ s (ECL). To reduce CPU time we chose the time window of $[-1.0, 0.8] \mu$ s, which fits the most detector components, except PXD and ECL; these two have time windows of $[-17.6, 8.5] \mu$ s

Table 1.3: Beam background types (12th	background campaign).
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type	source	rate [MHz]
radiative Bhabha	HER	1320
radiative Bhabha	LER	1294
radiative Bhabha (wide angle)	HER	40
radiative Bhabha (wide angle)	LER	85
Touschek scattering	HER	31
Touschek scattering	LER	83
beam-gas interactions	HER	1
beam-gas interactions	LER	156
two-photon QED	-	206

and $[-10.0, 10.0] \mu s$, respectively. Additional back-300 ground samples are used for mixing the background 301 outside the default time window in these two cases. 302

Table 1.4 shows a comparison of the number $_{303}$ of digitized hits (clusters for PXD and SVD) per $_{304}$ event from beam–induced background with those $_{305}$ from generic $B\overline{B}$ events.

Background Overlay

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When experimental data become available we will use different method. Instead of using simulated beam background, the background overlay method 310 will add background measured by random trigger. 311 The background overlay is therefore done by adding 312 the measured background event to the simulated 313 one using digitized hits. Possible pile-up of hits 314 must be taken into account with dedicated meth-315 ods. These methods can model the pile-up only 316 approximately since the measured background in-317 cludes only the hits above the detection threshold. 318

A framework for background overlay has been 319 designed to unify the method for all detector com-320 ponents. It consists of two basf2 modules and a 321 base class for digitized hits (or clusters of hits). 322 The first module, that must run in a single pro-323 cess mode, reads the data from background file 324 (standard basf2 root file) and the second module, 325 that can run in a multi-process mode, performs the 326 overlay. Each class for digitized hits must imple-327 ment two base class methods: the one that returns 328

unique channel identifier of the hit and the one that implements the pile-up method, which is usually detector specific. The first method is used to identify channels where background hit has to be added to the existing simulated hit. If this happens, the second method is called. The return value then signals whether the pile-up criterion was fulfilled. If not, the background hit is added to the collection of simulated hits.

1.4.1 Detector Simulation

The simulation package of basf2 is based on the Geant4 software [20, 21]. With basf2 release 00–06– 00 (December 2015), the version of Geant4 used for the simulation package has been upgraded from 9.6.2 to 10.1.2. There are two methods to supply the primary event to Geant4: One can use the particle gun class, which is part of the Geant4 package, or one can employ a specific generator software. For the latter case, the particles created by the generator package are sent to Geant4 for simulation, via the interface implemented in the basf2 simulation package. Most of the decay process of particles are described by the generator software. Short lived particles such as K_s are usually decayed by Geant 4. Exchange bosons and initial particles such as e^- and e^+ is not passed to Geant4. During the simulation, Geant4 transports each primary particle step by step inside the detector and creates secondary particles. Digitization of hit information

Table 1.4: Number of digitized hits per event for beam-induced background (12th background campaign) and for generic $B\overline{B}$ events without background. For PXD and SVD the clusters are counted instead of digits. Numbers in parenthesis are without two-photon QED background.

component	background	generic $B\overline{\overline{B}}$
PXD	10000 (580)	23
SVD	284 (134)	108
CDC	654	810
TOP	150	205
ARICH	191	188
ECL	3470	510
BKLM	484	33
EKLM	142	34

in the sensitive area of the detectors is handled by $_{359}$ separate basf2 modules, rather than using software $_{360}$ objects incorporated into Geant4 [14]. The result from the Geant4 simulation is sent to DataStore $_{361}$ to be used by other basf2 modules.

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To simulate propagation of particles in the detec-³⁶² tor, physics processes on the interactions between 363 the particles and the detector materials must be ³⁶⁴ specified. These physics models can be either sup-365 plied by users or selected from the physics lists 366 provided by the Geant4 group. The recommended ³⁶⁷ physics list by the Geatnt4 group for the high en-368 ergy physics experiments is FTFP_BERT [22], which ³⁶⁹ has been the default physics list since basf2 re-370 lease 00-04-00 (May 2014). The FTFP and BERT 371 acronyms stand for hadronic shower models at 372 different energies: The Fritiof quark-gluon string ³⁷³ model at high energy and the Bertini intra-nuclear ³⁷⁴ cascade model at low energy. The transition area 375 between the two models depends on each par-376 ticle type, typically from 4 to 5 GeV [22, Sec-377] tion 3],[23, 24, 25, 26]. FTFP_BERT contains all the ³⁷⁸ standard electromagnetic processes provided by 379 the Geant group [27].

The Geant4 software uses the concept of "range—³⁸¹ cut" as the production threshold for secondary ³⁸² particles. Internally, Geant4 converts the distance ³⁸³ of the range—cut to an energy threshold for each ³⁸⁴ particle [28]. The basf2 simulation package is using ³⁸⁵ the default number set by the Geant4 group, which ³⁸⁶

is $0.7 \ mm$. This parameter can be changed as a user option of basf2.

1.4.2 Magnetic field in basf2

Uncertainties in magnetic fields will affect the Belle II analysis in several ways. The magnetic field is an input to reconstruction of charged tracks. To obtain the optimal resolution of the charged track momentum, the magnetic field must be understood precisely. The reconstruction efficiencies of particles depend on the accuracy of magnetic field information. Differences between the magnetic field used for the detector simulation and the one used for collision data sets may results in systematic bias. Differences between the magnetic field used for the reconstruction and the real magnetic field may create a systematic bias as well.

Inside the Belle II detector, there are two sources of magnetic fields, the detector solenoid and the final focus system (QCS). The detector solenoid, which is comprised of an iron yoke and a superconducting solenoid, creates a uniform magnetic field of 1.5 T at the center of the detector [14]. The iron yoke is interlaced with the KLM detector. The QCS is an extension of the SuperKEKB collider, whose purpose is to focus the incoming e^+ and e^- beams at the collision point [29]. The main components of the QCS are eight superconducting quadrupole magnets. In addition, there

are secondary superconducting magnets used for 428 correction and compensation. On the surface, the 429 magnetic fields generated by all the components of 430 the QCS can be added linearly and used for simu-431 lation. However, due to the ferromagnetic yokes or 432 shields around the main quadrupoles, non-linear characteristics are introduced in the magnetic field 433 [29].

The Opera3D/TOSCA software [30] was used 435 to produce precision models of the magnetic field 436 [29]. The resulting 3D magnetic field map is incor-437 porated into basf2 release version 00-07-00 (April 438 2016), and replaced the constant field of 1.5 T $_{\scriptscriptstyle 439}$ as the default map for simulation and reconstruc- $_{440}$ tion (see Fig. 1.1). Some analyses may still use $_{441}$ the constant field map for the time being. The 3D magnetic field map is in the developing stage, $_{\scriptscriptstyle 443}$ in needs of detailed understanding. In–situ mea- $_{\scriptscriptstyle{444}}$ surements of the Belle II magnetic field have been conducted (September 2015) to provide references 445 for the model. More in-situ measurements and fur-446 ther analysis are planned to improve the precision 447 of the field map up to 10^{-4} . 448

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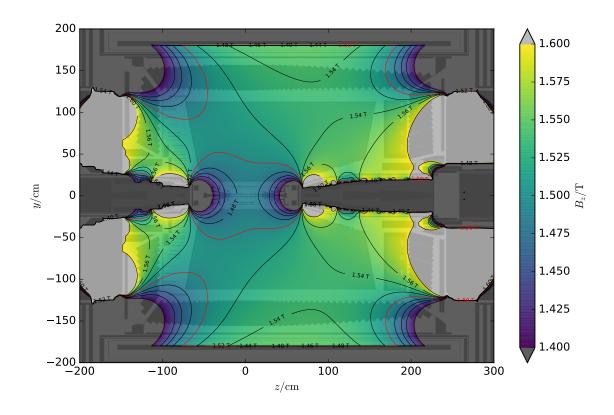


Figure 1.1: z component of the magnetic field map as used in basf2 release version 00–07–00.

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