

# Apply for Individual Securities Account

All fields are mandatory. Please countersign against any amendments.

## 1 Your Details

Name (as in NRIC / Malaysian IC / Passport) X U J I N

Please choose **ONE**: ☒ Singaporean / Malaysian: IC Number S6985422B  
☐ Singapore PR: NRIC Number  Country of Citizenship   
☐ Other Nationality: Passport Number  Country of Citizenship

Date of Birth 05/10/1969  
D D / M M / Y Y Y Y

Contact Number +(65) 96155836 (Mobile) +( ) (Other)

Gender: ☒ Male ☐ Female Email Address xj\_bid@yahoo.com

Residential Address (As in NRIC) APT BLK 591A ANG MO KIO STREET 51 #32-41

SINGAPORE (Country) Postal Code 561591

Mailing Address ☒ Same as Residential Address   
 This will be used for future correspondences from CDP.

(Country) Postal Code

Corporate Actions Mailing Address in Singapore (Applicable only if Mailing Address is not in Singapore)

This will be used for the companies to send you notifications when a Singapore mailing address is required.

(Country) Postal Code

## 2 CDP Services (See Section 6 for details)

Direct Crediting Service (mandatory)

Please provide a SGD bank account with one of the following banks in Singapore.

☐ Citibank ☒ DBS/POSB ☐ HSBC ☐ Maybank ☐ OCBC ☐ Standard Chartered ☐ UOB

Bank Account Number 026-32036-4

CDP Internet Service

(will be provided by default; SMS OTP will be sent to the mobile number above)

Tick to apply for:

☐ CDP Phone Service

## 3 Tax Residency (Please tick one)

(a) ☒ (i) I am a tax resident of Singapore **only**. ☐ (ii) I am a tax resident of Singapore **and** the country/countries indicated in Section 3(b) below.

My Singapore Tax Identification Number (TIN) is S6985422B

☐ (iii) I am a tax resident of the country/countries indicated in Section 3(b) below **and** am not a tax resident of Singapore.

(b) I am a foreign tax resident of the following country/countries. (If you are a US person, please provide a completed Form W-9, available at <https://www.irs.gov/businesses/corporations/fatca-related-forms>. Please attach separate sheets of paper if more space is needed.):

Country	TIN or Equivalent	If no TIN available, indicate Reason A, B, C* (see overleaf)	Explanation if Reason B is indicated

## 4 Declaration

I have read and understood and hereby make the declarations stated in Section 6 (see overleaf). Unless indicated otherwise below, I hereby consent and authorise CDP to provide promotional materials to me and to collect, use and disclose my personal data as set out in Sections 14.7 and 14.8 of the Terms & Conditions for Operation of Securities Account with The Central Depository (Pte) Limited.

☒ I do not wish to receive any promotional materials and information relating to products and/or services from CDP.

Signature 徐志

29/11/17  
D D / M M / Y Y  
 Date

## 5 To be completed by Broker (SGX-ST Member) or CDP Authorised Representative

(CDP Authorised Representatives include Notary Public, Advocates and Solicitors, Commissioner for Oath, Singapore Embassy and Justice of Peace)

I/We confirm that I/we have witnessed and verified the particulars and signature of the above Securities Account applicant.

Authorised Signatory & Company Stamp

Name & Designation

D D / M M / Y Y  
 Date

## 6 Important Information

### Declaration by Securities Account Applicant

1. I declare that I am not under 18 years of age or an undischarged bankrupt. I hereby request you to open and maintain a Securities Account and hereby warrant that I have full capacity and authority to make this application. I represent, warrant and certify that the information provided in this application (including without limitation the information relating to my tax residence status, tax identification number(s), Form(s) W-9 or W-8, and all supporting documentation and forms furnished in connection herewith) (collectively, "Information") are true, complete and accurate.

\*Reasons for Tax Residency declaration at Section 3:

Reason A: I am resident of a country/jurisdiction which does not issue TIN to its residents.

Reason B: I am otherwise unable to obtain TIN or equivalent number.

Reason C: TIN is not required (to be selected only if domestic law of relevant country/jurisdiction of tax residence indicated above does not require the collection of the TIN issued by such country/jurisdiction).

2. I have read and understood the CDP Terms & Conditions referred to below, which form part of my application for the opening of a Securities Account with CDP, and hereby agree to be bound by them.
3. I agree that the Securities Account will be operated in accordance with Terms & Conditions referred to below, in force from time to time.
4. For purpose of processing this application and to effect direct crediting services to my bank account in the participating bank specified in Section 2 of this form ("Bank"), I authorise CDP to provide a disclosure to, or seek verification from, my Bank without further reference to me in relation to all the information as may be required to effect direct crediting services to my bank account. I understand that CDP reserves the right to close the Securities Account if the bank account provided in this form is found to be invalid.
5. I understand that this form will be used as a self-certification form for regulatory requirements under the Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS). I acknowledge and agree that the information provided in connection with this application and information regarding the Securities Account may be provided to the Singapore tax authority and exchanged with tax authority agreements to exchange financial account information.
6. If any information (including any self-certification) changes or become invalid, incorrect, inaccurate and/or unreliable ("Change") in any way, I shall promptly inform CDP in writing within 30 days from the date I had knowledge of the Change and undertake to provide CDP with such information, certification, documents or evidence that CDP may require in connection with such Change.

### CDP Terms & Conditions

Securities Account opening and application for CDP services are subject to the following Terms & Conditions which are available at [sgx.com/cdp](http://sgx.com/cdp):

1. [Terms & Conditions for Operation of Securities Account with The Central Depository \(Pte\) Limited](#)
2. [Terms & Conditions for The Central Depository \(Pte\) Limited to act as Depository for Foreign Securities](#)

### CDP Services

1. **Direct Crediting Service (DCS)** allows you to receive your Singapore Dollar dividend payments and other cash distributions directly into your designated bank account. Please note that DCS is mandatory.
2. **CDP Pre-Settlement Notification Service (PSN)** allows you to receive SMS notifications of upcoming transactions in your account. All CDP customers are subscribed to this service by default.
3. **CDP Internet Service** and **CDP Phone Service** allow you online access to your account information. For more information on these services, visit us at [sgx.com/cdp](http://sgx.com/cdp).
4. If you did not opt out under Section 4 and subsequently do not wish to receive promotional materials and information relating to products and services from CDP, please complete the Withdrawal from Direct Marketing Form available at [sgx.com/cdp](http://sgx.com/cdp) and submit to CDP.

## 7 What happens next?

### **Supporting Documents Required:**

- (i) Photocopy of NRIC/Singapore Armed Forces Identity Card/ Singapore Police Force Identity Card (Singaporeans/PRs) or passport or IC (Malaysians) or passport (other nationality); and
- (ii) If mailing in, also provide original or e-statement dated within the last 3 months (any one of the following):
  - a) Bank statement from any Monetary Authority of Singapore (MAS) Licensed bank; or
  - b) Central Provident Fund (CPF) statement (available on CPF website); or
  - c) Latest Notice of Assessment for Income tax (available on IRAS website)

Refer to [sgx.com/cdp](http://sgx.com/cdp) for more details on supporting documents.

**Attach the required supporting documents to this form, then:**



Visit your Broker

OR



### **Mail to CDP**

The Central Depository (Pte) Limited  
11 North Buona Vista Drive #06-07  
The Metropolis Tower 2  
Singapore 138589

OR



### **Drop off the form**

The Central Depository (Pte) Limited  
9 North Buona Vista Drive #01-19/20  
The Metropolis  
Singapore 138588

Present your supporting documents to the CDP officer before dropping off the form



You will be notified by post once your application is processed.

### CDP Internet Service Application

CDP will send the Internet password to your mailing address, and Assurity Trusted Solutions Pte Ltd will send the OneKey Token and a password to your residential address, when applicable.

### CDP Phone Service Application

CDP will send a CDP Phone PIN to your mailing address.