

# CS685: DATA MINING DENSITY-BASED CLUSTERING METHODS

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- Density-Based Spatial Clustering of Applications with Noise (DBSCAN)
- Ordering Points to Identify the Clustering Structure (OPTICS)
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- Uses notions of **density-reachability** and **density-connectivity**

# Density-Reachability and Density-Connectivity

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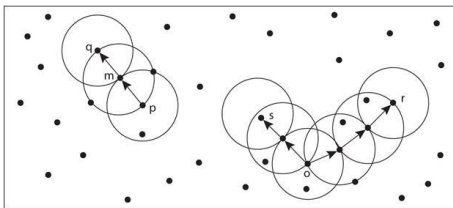
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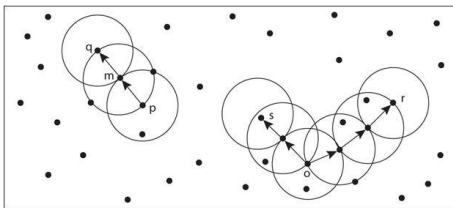
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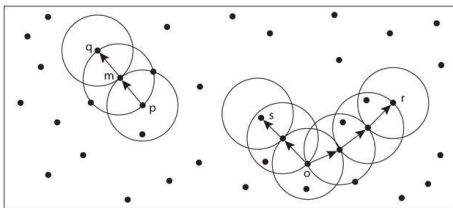
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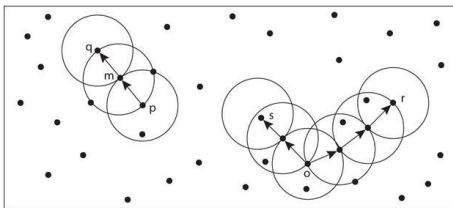


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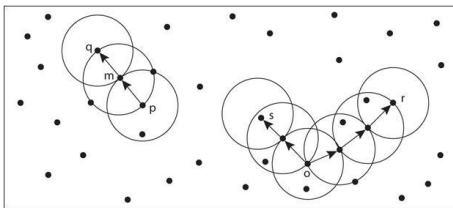
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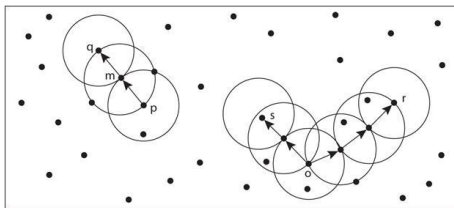
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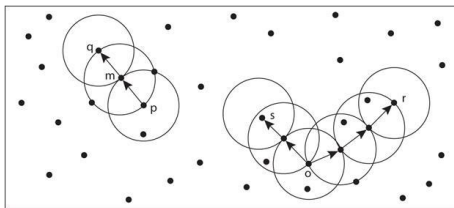
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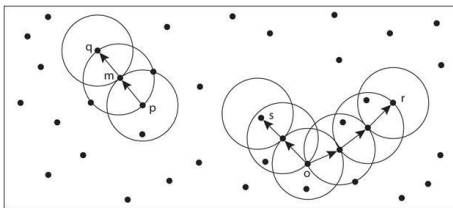
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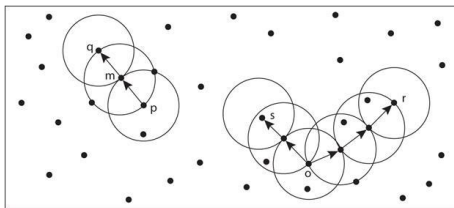
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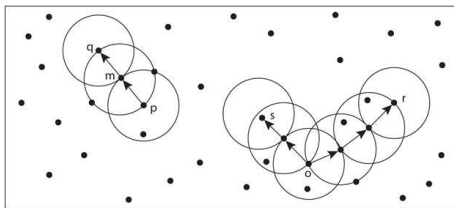
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- Can be made  $O(n \log n)$  by using efficient range search
- Assumes clusters have similar densities
- Depends heavily on the parameters  $\epsilon$  and  $\tau$

- Ordering Points To Identify the Clustering Structure (OPTICS)
- More a data ordering algorithm than a clustering method
- Tries to overcome the difficulty of choosing  $\epsilon$  in DBSCAN
- Produces a cluster ordering of the data
- A linear order that represents the density-based clustering structure of the data



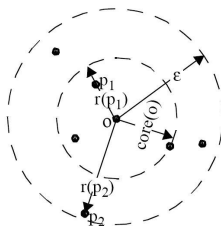
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- Uses notions of **core distance** and **reachability distance**

# Core Distance and Reachability Distance

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  - If  $\epsilon' > \epsilon$ , it is considered *undefined*
- The **reachability distance** of point  $p$  to point  $q$  is the *minimum* radius that makes  $q$  *directly density-reachable* from  $p$
- $p$  must become a core point
- It is, therefore, the *maximum* of *core distance* of  $p$  and distance of  $p$  to  $q$ 
  - If  $p$  is not a core point with respect to  $\epsilon$ , it is considered *undefined*

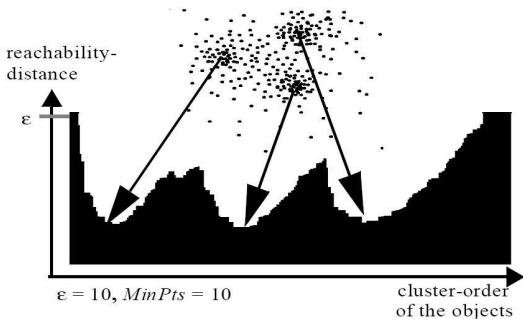


# Reachability Plot

- OPTICS outputs a **reachability plot**
- For each point in the database, it plots its reachability distance to the *nearest* core point
- Bumps mark the boundaries of clusters
- Valleys denote the clusters
  - Deeper the valley, denser the cluster

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- Still uses parameters:  $\tau, \epsilon$

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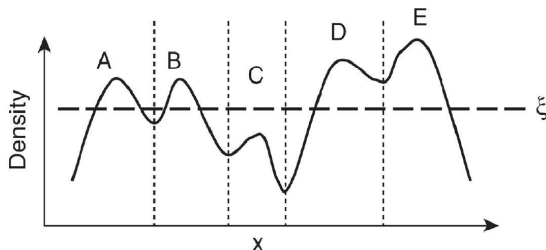
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- Local peaks denote cluster centres
- Points are attracted towards the nearest peak

# Thresholding

- Uses a **minimum density threshold**  $\xi$
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- Cluster corresponding to  $C$  is discarded
- Clusters  $A$  and  $B$  remain separated
- Clusters  $D$  and  $E$  get merged



# Density Estimation

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- $\sigma$  is the smoothing factor and  $K$  is the kernel function
- DENCLUE uses a Gaussian kernel

$$K\left(\frac{x - x_i}{\sigma}\right) = \frac{1}{\sqrt{2\sigma}} e^{-\frac{(x-x_i)^2}{2\sigma^2}}$$

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- For iid points  $x_1, \dots, x_n$ , **kernel density approximation** is

$$\hat{f}_h(x) = \frac{1}{n \cdot \sigma} \sum_{i=1}^n K\left(\frac{x - x_i}{\sigma}\right)$$

- $\sigma$  is the smoothing factor and  $K$  is the kernel function
- DENCLUE uses a Gaussian kernel

$$K\left(\frac{x - x_i}{\sigma}\right) = \frac{1}{\sqrt{2\sigma}} e^{-\frac{(x-x_i)^2}{2\sigma^2}}$$

- A point  $x^*$  is a **local density attractor** if it is a local maximum of the estimated density function

# Density Estimation

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- A point  $x^*$  is a **local density attractor** if it is a local maximum of the estimated density function
- Points are attracted towards local density attractors using *hill climbing*
- Uses the gradient of the Gaussian kernel

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- Generalization of several clustering methods
- Can be very slow
- Density estimated only at actual data points
- Influence function constrained to a range
- May use grids where each point influences its own cell and the neighboring cells only