

# The universal property of infinite direct sums in $C^*$ -categories and $W^*$ -categories

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**ABSTRACT.** When formulating universal properties for objects in a dagger category, one usually expects a universal property to characterize the universal object up to unique *unitary* isomorphism. We observe that this is automatically the case in the important special case of  $C^*$ -categories, provided that one uses enrichment in Banach spaces. We then formulate such a universal property for infinite direct sums in  $C^*$ -categories, and prove the equivalence with the existing definition due to Ghez, Lima and Roberts in the case of  $W^*$ -categories. These infinite direct sums specialize to the usual ones in the category of Hilbert spaces, and more generally in any  $W^*$ -category of normal representations of a  $W^*$ -algebra.

Finding a universal property for the more general case of direct integrals remains an open problem.

## 1. Introduction

Among the most central and useful concepts of category theory is the notion of universal property. Its utility relates to the fact that a universal property characterizes an object up to unique isomorphism, and to the vast number of mathematical construction which can be defined or characterized in terms of universal properties. Among these is the concept of *direct sum* of two objects  $A_1$  and  $A_2$  in an additive category  $\mathcal{C}$ . It can be defined in several equivalent ways via the following standard result, where  $\mathbf{Ab}$  is the category of abelian groups.

1.1. **THEOREM** (e.g. [1, Proposition 1.2.4]). *Let  $\mathcal{C}$  be an additive category and  $A_1, A_2 \in \mathcal{C}$  two objects. Then the following pieces of structure are equivalent for an object  $A \in \mathcal{C}$ :*

(a)  *$A$  represents the functor*

$$\mathcal{C} \longrightarrow \mathbf{Ab}, \quad B \longmapsto \mathcal{C}(A_1, B) \times \mathcal{C}(A_2, B)$$

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2010 *Mathematics Subject Classification.* Primary: 46M15; Secondary: 18E05, 46L10.

*Acknowledgements.* We thank Robert Furber for copious help and discussion. Part of this work was conducted while the first author was at the Max Planck Institute for Mathematics in the Sciences in Leipzig, Germany.

(b)  $A$  represents the functor

$$\mathbf{C}^{\text{op}} \longrightarrow \mathbf{Ab}, \quad B \longmapsto \mathbf{C}(B, A_1) \times \mathbf{C}(B, A_2)$$

(c) There are morphisms  $p_i : A \rightarrow A_i$  and  $\kappa_i : A_i \rightarrow A$  satisfying the biproduct equations

$$p_i \kappa_j = \delta_{ij}, \quad \kappa_1 p_1 + \kappa_2 p_2 = 1.$$

Here, (a) and (b) state that  $A$  is a coproduct and product of  $A_1$  and  $A_2$ , respectively. (c) is a purely equational characterization which also proves that direct sums are preserved by every additive functor.

Traditionally, universal properties have been formulated mostly for purely algebraic structures only. Treating analytical structures categorically is straightforward in some cases, but very challenging in many other cases. It usually involves equipping the categories under consideration themselves with certain analytical structures. An interesting case of where this happens is the theory of  $W^*$ -categories, which model categories of Hilbert space representations of  $C^*$ -algebras or  $W^*$ -algebras. The most paradigmatic example of a  $W^*$ -category being  $\text{Hilb}$ , the category of Hilbert spaces with bounded linear maps.

In this paper, we study *infinite* direct sums of objects in  $W^*$ -categories and in the more general case of  $C^*$ -categories. While our definitions and results also apply to the case of finite direct sums, they reduce to the standard Theorem 1.1 in this case. As the most basic example, the Hilbert space  $\ell^2(I)$  for a (finite or) infinite set  $I$  is the direct sum of  $I$  many copies of  $\mathbb{C}$ . Part of the *raison d'être* for analytic structure on an algebraic object is that it often makes the objects under consideration more well-behaved. This is indeed what happens in our case as well: while Theorem 1.1 does not generalize to infinite direct sums, since infinite coproducts and infinite products do no longer coincide in a general additive category (even when they exist), our main result (Theorem 5.1) provides such a generalization for infinite direct sums in  $W^*$ -categories.

**Summary.** After giving some background on  $C^*$ -categories and  $W^*$ -categories in Section 2, we start by investigating universal properties for objects in  $C^*$ -categories in Section 3. We find that defining universal properties in terms of representability of a functor enriched in Banach spaces provides a good notion of universal property in a  $C^*$ -category, since it guarantees uniqueness up to unique *unitary* isomorphism of the representing object. We then introduce our definition of the particular universal property of infinite direct sums in  $C^*$ -categories in Section 4. In Section 5, we specialize to the case of  $W^*$ -categories, and prove an analog of Theorem 1.1 in this setting, showing that our infinite direct sums are equivalent to the direct sums in  $W^*$ -categories as introduced originally by Ghez, Lima and Roberts [3].

**Direct integrals.** We have also attempted to formulate a similar universal property for *direct integrals* in  $W^*$ -categories, but we have not been able to make this idea work. As

has been argued by Simon Henry<sup>1</sup>, finding such a universal property requires the consideration of additional structure on the  $W^*$ -category. Our current best bet is that doing so will involve a notion of  *$W^*$ -category with rigged objects*, generalizing rigged Hilbert spaces [2].

**$C^*$ -algebras and  $W^*$ -algebras.** We give a very brief recap of  $C^*$ -algebras and  $W^*$ -algebras for the benefit of readers who have a background in category theory but not in operator algebras, while referring to the literature for the details [7].

$C^*$ -algebras are abstract versions of algebras of operators on a Hilbert space which are closed in the operator norm and closed under taking adjoint operators, where taking adjoints is encoded in the “star” operation  $*$ . Similarly,  $W^*$ -algebras are abstract versions of algebras of operators on a Hilbert space which are in addition closed under limits with respect to pointwise convergence (strong operator topology). In fact, every abstract  $C^*$ -algebra or  $W^*$ -algebra is isomorphic to one having such a concrete representation. For example, every  $*$ -closed subalgebra of the algebra of complex  $n \times n$ -matrices is a  $C^*$ -algebra and a  $W^*$ -algebra. Moreover, every commutative unital  $C^*$ -algebra is isomorphic to  $C(X)$  for some compact Hausdorff space  $X$ . And every commutative  $W^*$ -algebra is isomorphic to  $L^\infty(X, \mu)$  for some complete finite measure space  $(X, \mu)$ .

## 2. $C^*$ -categories and $W^*$ -categories

A category can be thought of as a many-object version of a monoid. This manifests itself in the fact that for any category  $\mathcal{C}$ , every endomorphism homset  $\mathcal{C}(A, A)$  is a monoid. In the same way, a  $C^*$ -category is a many-object version of a unital  $C^*$ -algebra. Indeed in a  $C^*$ -category  $\mathcal{C}$ , every homset  $\mathcal{C}(A, A)$  will be a unital  $C^*$ -algebra. Similarly, a  $W^*$ -category  $\mathcal{C}$  is a many-object version of a  $W^*$ -algebra, and every homset  $\mathcal{C}(A, A)$  indeed carries the structure of a  $W^*$ -algebra.

The following precise definitions are due to Ghez, Lima and Roberts [3].

**2.1. DEFINITION.** *Let  $\mathcal{C}$  be a category together with an endofunctor  $*$  :  $\mathcal{C} \rightarrow \mathcal{C}^{\text{op}}$ , whose action on arrows we denote by  $a \mapsto a^*$ . The pair  $(\mathcal{C}, *)$  is called a complex  $*$ -category if the following conditions hold.*

- (a)  *$\mathcal{C}$  is enriched over complex vector spaces, meaning that:*
  - (i) *every homset  $\mathcal{C}(A, B)$  is a complex vector space,*
  - (ii) *composition of morphisms is bilinear.*
- (b) *The contravariant endofunctor  $*$  is*
  - (i) *identity-on-objects:  $a^* : B \rightarrow A$  for  $a : A \rightarrow B$ ,*
  - (ii) *involutive:  $a^{**} = a$ ,*
  - (iii) *conjugate linear:*

$$(\lambda a)^* = \bar{\lambda} a^*, \quad (a + b)^* = a^* + b^*$$

*for morphisms  $a, b : A \rightarrow B$  and  $\lambda \in \mathbb{C}$ .*

- (c) *For every morphism  $a : A \rightarrow B$ ,*
  - (i) *There is  $b : A \rightarrow A$  with  $a^*a = b^*b$ ,*

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<sup>1</sup>See [mathoverflow.net/questions/313158/what-does-it-mean-for-a-category-to-admit-direct-integrals](https://mathoverflow.net/questions/313158/what-does-it-mean-for-a-category-to-admit-direct-integrals).

(ii)  $a^*a = 0$  implies  $a = 0$ .

It is easy to see that in a complex  $*$ -category  $\mathcal{C}$ , every endomorphism homset  $\mathcal{C}(A, A)$  carries the structure of a complex  $*$ -algebra.

2.2. DEFINITION. A complex  $*$ -category  $(\mathcal{C}, *)$  is called a

(a) normed  $*$ -category if each homset  $\mathcal{C}(A, B)$  is a normed space such that

$$\|ab\| \leq \|a\| \|b\|$$

for any  $a: B \rightarrow C$  and  $b: A \rightarrow B$ .

(b)  $C^*$ -category if moreover every homset is norm-complete, and the  $C^*$ -identity

$$\|a\|^2 = \|a^*a\|$$

holds for every morphism  $a$ .

(c)  $W^*$ -category if moreover where every homset has a Banach space predual.

Equivalently [3, Prop. 2.15], a  $C^*$ -category  $\mathcal{C}$  is a  $W^*$ -category if every  $\mathcal{C}(A, A)$  is a  $W^*$ -algebra and  $\mathcal{C}(A, B)$  is self-dual as a Hilbert  $(A, A)$ -module with inner product  $\langle a, b \rangle \equiv a^*b$ .

Clearly if we have (b), then every  $\mathcal{C}(A, A)$  is a  $C^*$ -algebra. Similarly, (c) makes  $\mathcal{C}(A, A)$  into a  $W^*$ -algebra.

We again refer to [3] for more details on the following examples.

2.3. EXAMPLE. The category of Hilbert spaces with bounded linear maps between them is a  $W^*$ -category in the obvious way, meaning that  $*$  takes each bounded linear map to its adjoint and the norm is the operator norm.

2.4. EXAMPLE. If  $A$  is a  $C^*$ -algebra, then the category of non-degenerate representations  $\pi : A \rightarrow \mathcal{B}(\mathcal{H})$  on Hilbert spaces  $\mathcal{H}$  is a  $W^*$ -category. The morphisms  $(\mathcal{H}_1, \pi_1) \rightarrow (\mathcal{H}_2, \pi_2)$  are exactly the *intertwiners*, i.e. the bounded linear maps  $f : \mathcal{H}_1 \rightarrow \mathcal{H}_2$  such that the diagram

$$\begin{array}{ccc} \mathcal{H}_1 & \xrightarrow{f} & \mathcal{H}_2 \\ \pi_1(a) \downarrow & & \downarrow \pi_2(a) \\ \mathcal{H}_1 & \xrightarrow{f} & \mathcal{H}_2 \end{array}$$

commutes for all  $a \in A$ . It is well-known that this is a  $W^*$ -category [3, Example 2.4], where the Banach space structure on each hom-set is the usual one on a space of operators using the operator norm, and the involution is given by the usual Hermitian adjoint. We denote this  $W^*$ -category by  $\text{Rep}(A)$ . In the most basic case  $A = \mathbb{C}$ , we get  $\text{Rep}(\mathbb{C}) \cong \text{Hilb}$ , the category of Hilbert spaces.

2.5. EXAMPLE. If  $N$  is a  $W^*$ -algebra, then the category of normal unital representations on Hilbert spaces is a  $W^*$ -category, with intertwiners as morphisms as above. We denote this  $W^*$ -category by  $\text{nRep}(N)$ . For a  $C^*$ -algebra  $A$  and  $A^{**}$  its universal enveloping  $W^*$ -algebra, we have  $\text{nRep}(A^{**}) \cong \text{Rep}(A)$ , since non-degenerate representations of  $A$  extend uniquely to normal unital representations of  $A^{**}$ .

### 3. Universal properties in $C^*$ -categories

In this section, we write  $\mathcal{C}$  for a  $C^*$ -category [3]. We start with a generalization of [8, Lemma 7] to  $C^*$ -categories before discussing universal properties.

3.1. LEMMA. *Let  $A, B \in \mathcal{C}$ . Then for any  $a: A \rightarrow B$  with  $\|a\| \leq 1$  and projections  $s: A \rightarrow A$ ,  $t: B \rightarrow B$ , the following are equivalent:*

- (a)  $a^*ta \leq 1 - s$ ,
- (b)  $asa^* \leq 1 - t$ ,
- (c)  $tas = 0$ ,
- (d)  $sa^*t = 0$ .

PROOF. We will first prove  $a^*ta \leq 1 - s \Leftrightarrow tas = 0$ . Assume  $a^*ta \leq 1 - s$ . Then  $0 \leq sa^*tas \leq s(1 - s)s = 0$ . Thus  $sa^*tas = 0$ , which is to say  $tas = 0$ . Now, assume  $tas = 0$ . Then  $ta = ta(1 - s)$  and  $a^*t = (1 - s)a^*t$ . Hence  $a^*ta = (1 - s)a^*ta(1 - s) \leq 1 - s$ , as desired. A similar argument proves  $asa^* \leq 1 - t \Leftrightarrow sa^*t = 0$ . To finish the proof, it is sufficient to show  $tas = 0 \Leftrightarrow sa^*t = 0$ , which follows directly by applying  $(\ )^*$ .  $\square$

3.2. LEMMA. *Let  $a: A \hookrightarrow B: b$  be any two morphisms such that  $\|a\| \leq 1$ ,  $\|b\| \leq 1$  and  $ab = 1$ . Then  $b$  is an isometry with  $a = b^*$ .*

PROOF. To start, note  $\|a^*a\| = \|a\|^2 \leq 1$ , so  $a^*a \leq 1$ . Similarly  $b^*b \leq 1$ . Combined  $1 = b^*a^*ab \leq b^*b \leq 1$ , hence  $b^*b = 1$ . Similarly  $aa^* = 1$ . Clearly  $bb^*bb^* = b1b^* = bb^*$ , so  $b$  is an isometry. Now  $a(1 - bb^*)a^* = aa^* - abb^*a^* = 1 - 1 = 0$ . So  $a^*(1 - 0)a \leq bb^*$  by Lemma 3.1. Similarly  $bb^* \leq a^*a$ . Hence  $bb^* = a^*a$ . We are done:  $a = aa^*a = abb^* = b^*$ .  $\square$

We will need the full power of this observation only in the next section. However, the following special case is generally useful when working with universal properties in  $C^*$ -categories:

3.3. COROLLARY. *Let  $u: A \rightarrow B$  be an invertible morphism with  $\|u\| \leq 1$  and  $\|u^{-1}\| \leq 1$ . Then  $u$  is unitary.*

Now let  $\mathbf{Ban}$  be the category of Banach spaces with bounded linear maps of norm  $\leq 1$ . Every  $C^*$ -category is  $\mathbf{Ban}$ -enriched. Our notion of universal property in a  $C^*$ -category is the standard one for enriched categories [5, Section 1.10], namely with enrichment in  $\mathbf{Ban}$ :

3.4. DEFINITION. *A functor  $F: \mathcal{C} \rightarrow \mathbf{Ban}$  is representable if there is an object  $A \in \mathcal{C}$  together with a natural isomorphism  $\mathcal{C}(A, -) \cong F$  that is a componentwise isometric isomorphism of Banach spaces.*

Corollary 3.3 and the (weak) enriched Yoneda lemma [5, Section 1.9] imply:

3.5. COROLLARY. *For any representable functor  $\mathcal{C} \rightarrow \mathbf{Ban}$ , the representing object is unique up to unique unitary isomorphism*

PROOF. If both  $A$  and  $B$  represent  $F$  via  $\mathcal{C}(A, -) \cong F \cong \mathcal{C}(B, -)$ , then the enriched Yoneda lemma gives us an isomorphism  $u: A \rightarrow B$ . Since the natural isomorphism between the hom-functors is required to be a componentwise isometry by  $\mathbf{Ban}$ -enrichment, we necessarily have  $\|u\| = \|u^{-1}\| = 1$ , making  $u$  into a unitary by Corollary 3.3.  $\square$

This uniqueness up to unique unitary is a desideratum of a good notion of universal property for  $C^*$ -categories, and more generally for all dagger categories [4]. Corollary 3.3 tells us that the compatibility with the dagger structure is automatic thanks to the enrichment in  $\mathbf{Ban}$ , so that we do not need to formulate our universal properties in the form of dagger limits as in [4].

#### 4. The universal property of infinite direct sums

We now turn to the study of infinite direct sums by defining them in terms of a universal property as in Definition 3.4, and then proving that the existing notions of direct sum in the category of Hilbert spaces and more generally all  $W^*$ -categories indeed satisfy this universal property. While our considerations also apply to finite direct sums as a special case, in this case our discussion provides nothing new, since then our universal property reduces to the standard one of biproducts in an additive category.

We again let  $\mathcal{C}$  be a  $C^*$ -category. Let  $I$  be a finite or infinite index set. For  $(A_i)_{i \in I}$  a family of objects in  $\mathcal{C}$ , we consider the functor

$$\bigoplus_i \mathcal{C}(A_i, -) : \mathcal{C} \rightarrow \mathbf{Ban}$$

given by associating to every object  $B$  the set

$$\bigoplus_i \mathcal{C}(A_i, B) := \left\{ (f_i : A_i \rightarrow B)_{i \in I} \mid \sum_i f_i f_i^* < \infty \right\}. \quad (4.1)$$

Here,  $\sum_i f_i f_i^*$  can be interpreted as converging in the ultraweak topology<sup>2</sup> in the case where  $\mathcal{C}(B, B)$  is a  $W^*$ -algebra. If this is not the case, what we mean by the condition  $\sum_i f_i f_i^* < \infty$  is that there is a fixed element which upper bounds all partial sums  $\sum_{i \in S} f_i f_i^*$  for finite  $S \subseteq I$ , or equivalently that the sum converges ultraweakly in the double dual  $W^*$ -algebra  $\mathcal{C}(B, B)^{**}$ .

The set (4.1) is a vector space under componentwise addition and scalar multiplication, where closure under addition follows from  $f_i g_i^* + g_i f_i^* \leq f_i f_i^* + g_i g_i^*$ , which holds because of  $(f_i - g_i)(f_i^* - g_i^*) \geq 0$ . We furthermore consider this vector space as a normed space under the norm

$$\|(f_i)_{i \in I}\| := \left\| \sum_i f_i f_i^* \right\|^{1/2},$$

where the triangle inequality again follows from  $f_i g_i^* + g_i f_i^* \leq f_i f_i^* + g_i g_i^*$ . We interpret the sum as before either in terms of a supremum over the norm of finite partial sums, or as converging in the double dual  $\mathcal{C}(B, B)^{**}$ .

**4.1. LEMMA.** *Let  $\mathcal{C}$  be a  $C^*$ -category,  $(A_i)_{i \in I} \in \mathcal{C}$  a family of objects and  $B \in \mathcal{C}$ . Then  $\bigoplus_i \mathcal{C}(A_i, B)$  is complete, and therefore a Banach space.*

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<sup>2</sup>For positive elements  $(x_i)_{i \in I}$  of a  $W^*$ -algebra, convergence and value of the sum  $\sum_{i \in I} x_i$  coincides in the ultraweak and ultrastrong topology and, in fact, equals the supremum of the partial sums.

The proof generalizes the standard proof showing that  $\ell^2(I)$  is a Hilbert space.

PROOF. Suppose that we are given a Cauchy sequence of families  $(f^{(n)})_{n \in \mathbb{N}} = ((f_i^{(n)})_{i \in I})_{n \in \mathbb{N}}$ . For given  $j$ , the projection map

$$\bigoplus_i C(A_i, B) \longrightarrow C(A_j, B)$$

is bounded, and therefore  $(f_j^{(n)})_{n \in \mathbb{N}}$  is Cauchy as well; let us denote the limit by  $f_j : A_j \rightarrow B$ . We will now show that  $f \in \bigoplus_i C(A_i, B)$  for  $f := (f_j)_{j \in I}$ , which amounts to verifying the relevant square summability. The Cauchy assumption implies that the sequence  $(f^{(n)})_{n \in \mathbb{N}}$  is uniformly bounded by some constant  $C$ ,

$$\left\| \sum_i f_i^{(n)} (f_i^{(n)})^* \right\|^{1/2} \leq C.$$

Restricting to a finite partial sum over  $S \subseteq I$  and taking  $n \rightarrow \infty$  shows that

$$\left\| \sum_{i \in S} f_i f_i^* \right\|^{1/2} \leq C$$

as well. Since  $S$  was arbitrary, we conclude that  $\|f\| \leq C$ .

The convergence  $f_i \rightarrow f$  works similarly. By assumption, for every  $\varepsilon > 0$  we have  $\|f^{(n)} - f^{(m)}\| \leq \varepsilon$  for almost all  $n, m \in \mathbb{N}$ . In particular, we know that for any finite  $S \subseteq I$ ,

$$\left\| \sum_{i \in S} (f_i^{(n)} - f_i^{(m)})^* (f_i^{(n)} - f_i^{(m)}) \right\|^{1/2} \leq \varepsilon$$

for almost all  $n, m \in \mathbb{N}$ . Taking  $m \rightarrow \infty$  gives

$$\left\| \sum_{i \in S} (f_i^{(n)} - f_i)^* (f_i^{(n)} - f_i) \right\|^{1/2} \leq \varepsilon.$$

Since  $S$  was arbitrary, we conclude  $\|f^{(n)} - f\| \leq \varepsilon$  for almost all  $n$ .  $\square$

The map  $B \mapsto \bigoplus_i C(A_i, B)$  is a functorial in  $B$  in the obvious way, giving a functor

$$\bigoplus_i C(A_i, -) : C \rightarrow \mathbf{Ban}.$$

We now get to our main definition:

**4.2. DEFINITION.** *Let  $C$  be a  $C^*$ -category. An  $I$ -indexed direct sum of the family of objects  $(A_i)_{i \in I}$  is an object  $\bigoplus_i A_i$  which represents the  $\mathbf{Ban}$ -enriched functor  $\bigoplus_i C(A_i, -)$ .*

By Corollary 3.5, this characterizes infinite direct sums up to unique unitaries. Since  $\mathbf{C}$  is a dagger category, the same holds dually, meaning that  $\bigoplus_i A_i$  also represents the functor

$$\bigoplus_i \mathbf{C}(-, A_i) : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Ban}$$

given by associating to every object  $B$  the Banach space

$$\bigoplus_i \mathbf{C}(B, A_i) := \left\{ (f_i : B \rightarrow A_i)_{i \in I} \mid \sum_i f_i^* f_i < \infty \right\},$$

where the sum is, as before, taken in the ultraweak topology of  $\mathbf{C}(B, B)^{**}$  or to be understood in terms of uniform bounds on finite partial sums, and again considered a Banach space with respect to the norm

$$\|(f_i)_{i \in I}\| := \left\| \sum_i f_i^* f_i \right\|^{1/2}.$$

This Banach space is contravariantly functorial in  $B$  in the obvious way.

4.3. REMARK. For finite  $I$ , our direct sums are also dagger limits in the sense of [4]. For infinite  $I$ , this is no longer the case, since infinite direct sums are not even limits; compare the degeneracy result [4, Theorem 5.2], which indicates that infinite dagger products cannot be expected to exist. In this sense, our notion of universal property via Definition 4.2 seems better adapted to the category of Hilbert spaces or other  $W^*$ -categories than that of [4].

4.4. REMARK. We could also consider *direct sums with kernel* in the following sense. Let  $K = (K_{ij}) \in \mathbb{C}^{I \times I}$  be a positive semidefinite matrix. Then given a family of objects  $(A_i)_{i \in I}$  as before, we can consider the functor

$$\mathbf{C} \longrightarrow \mathbf{Ban}, \quad B \longmapsto \bigoplus_i^K \mathbf{C}(A_i, B) := \left\{ (f_i : A_i \rightarrow B)_{i \in I} \mid \sum_{i,j} K_{ij} f_i f_j^* < \infty \right\},$$

where the completeness proof with respect to the norm  $f \mapsto \|\sum K_{ij} f_i f_j^*\|^{1/2}$  works in the same way as the proof of Lemma 4.1.

We can thus try to define the *direct sum with kernel*  $K$  to be an object representing this functor in the same way as above. For example in  $\mathbf{Hilb}$  with  $A_i = \mathbb{C}$  for all  $i \in I$ , the resulting direct sum would be the space of families  $(\xi_i)_{i \in I}$  with  $\xi_i \in \mathbb{C}$  that are  $K$ -square summable in that  $\sum_{i,j} K_{ij} \bar{\xi}_i \xi_j < \infty$ , turned into a Hilbert space with respect to the inner product with kernel  $K$ . We do not yet know what these direct sums with kernel will amount to in other cases.

## 5. Direct sums in $W^*$ -categories

In a  $W^*$ -category, we characterize the existence of direct sums as follows:

5.1. THEOREM. *Let  $\mathbf{C}$  be a  $W^*$ -category and  $(A_i)_{i \in I}$  a family of objects in  $\mathbf{C}$ . Then the following pieces of structure are equivalent for an object  $A$ :*



- (a)  $A$  is an  $I$ -indexed direct sum  $\bigoplus_i A_i$ .
- (b) There is a family of morphisms  $(\kappa_j : A_j \rightarrow A)_{j \in I}$  with  $\sum_{j \in I} \kappa_j \kappa_j^* < \infty$  such that for any object  $B$  and family of morphisms  $(f_j : A_j \rightarrow B)_{j \in I}$  with  $\sum_{j \in I} f_j f_j^* < \infty$ , there is a unique  $f : A \rightarrow B$  such that  $f \kappa_j = f_j$  for all  $j \in I$ , and  $\|f\|^2 = \|\sum_{j \in I} f_j f_j^*\|$ .
- (c) There is a family of morphisms  $(\kappa_j : A_j \rightarrow A)_{j \in I}$  such that  $\kappa_i^* \kappa_j = \delta_{ij}$ , and

$$\sum_{j \in I} \kappa_j \kappa_j^* = 1_A. \quad (5.1)$$

As far as we know, the first definition of infinite direct sums in  $W^*$ -categories has been given in [3, p. 100] in the form (c). From our perspective, it is preferable to replace this definition by a universal property as in Definition 4.2, and to regard the original definition of Ghez, Lima and Roberts as an alternative characterization instead.

PROOF. (b) is a simple reformulation of the universal property (a) via the Yoneda lemma, as follows. Given a natural isometric isomorphism  $C(A, B) \cong \bigoplus_i C(A_i, B)$ , we define the family  $(\kappa_i)$  to be the image of  $1_A$ . Then for any  $f : A \rightarrow B$ , the naturality diagram

$$\begin{array}{ccc} C(A, A) & \xrightarrow{\cong} & \bigoplus_i C(A_i, A) \\ \downarrow f \circ - & & \downarrow (f \circ -) \\ C(A, B) & \xrightarrow{\cong} & \bigoplus_i C(A_i, B) \end{array}$$

shows that the universal property takes  $f$  to the family  $(f_j) := (f \kappa_j)$ . By the definition of the norm on  $\bigoplus_i C(A_i, B)$  and the assumption that the universal property is an isometric isomorphism, we indeed have  $\|f\|^2 = \|\sum_j f_j f_j^*\|$ , which also gives  $\sum_j \kappa_j \kappa_j^* < \infty$  in the case  $f = 1_A$ . Conversely, it is clear that any family  $(\kappa_j)$  as in (b) gives an isometric isomorphism  $C(A, B) \cong \bigoplus_i C(A_i, B)$  that is natural in  $B$ .

We now derive (c) from (b). Applying the assumption on norms with  $(f_j) := (\kappa_j)$  gives  $\|\sum_i \kappa_i \kappa_i^*\| = \|1_A\|$ , and therefore  $\|\kappa_j\| \leq 1$  for all  $j \in I$ . For fixed  $j$ , the family  $(\delta_{ij} : A_i \rightarrow A_j)$  corresponds to some morphism  $\pi_j : A \rightarrow A_j$  such that  $\pi_j \circ \kappa_i = \delta_{ij}$ . Again by the isometry property, we have  $\|\pi_j\| = \|1_A\| \leq 1$ . By Lemma 3.2, this implies  $\pi_j = \kappa_j^*$ . We therefore have  $\kappa_j^* \kappa_i = \delta_{ij}$ , as desired. This also implies that the  $\kappa_j \kappa_j^*$  are mutually orthogonal projections. In order to also prove the completeness relation (5.1), let  $p : A \rightarrow A$  be any other projection that is orthogonal to each of the  $\kappa_j \kappa_j^*$ ; we need to show  $p = 0$ . Again by naturality, under the isomorphism  $C(A, A) \cong \bigoplus_i C(A_i, A)$ , the new  $p$  corresponds to the family  $(p \kappa_i) = (0)$ , where  $p \kappa_i = 0$  follows from the assumed orthogonality. This implies indeed  $p = 0$  by the uniqueness part of the universal property.

We finally show that (c) implies (b). So let  $(f_j : A_j \rightarrow B)_{j \in I}$  be any family of morphisms with  $\sum_{j \in I} f_j f_j^* < \infty$ . The homset  $C(B, A)$  is a self-dual Hilbert  $C(B, B)$ -module with  $C(B, B)$ -valued inner product  $\langle g, f \rangle \equiv g^* f$  [3, Proposition 2.15]. The self-duality is equivalent to the fact that  $C(B, A)$  is complete in the *ultranorm topology*; this is the

topology generated by the seminorms  $\|f\|_\omega \equiv \omega(\langle f, f \rangle)^{\frac{1}{2}}$  indexed by normal states  $\omega$  on  $\mathcal{C}(B, B)$  [9, §149<sub>V</sub>], also known as the *s-topology* [3, p. 88]. We now turn to the construction of  $f : A \rightarrow B$ . Pick any normal state  $\omega$  on  $\mathcal{C}(B, B)$  and finite subset  $S \subseteq I$ . We have

$$\begin{aligned} \left\| \sum_{j \in S} \kappa_j f_j^* \right\|_\omega^2 &\equiv \omega \left( \left( \sum_{i \in S} \kappa_i f_i^* \right)^* \left( \sum_{j \in S} \kappa_j f_j^* \right) \right) \\ &= \omega \left( \sum_{j \in S} f_j f_j^* \right) \quad \text{as } \kappa_j^* \kappa_i = \delta_{ij}. \end{aligned}$$

From this and  $\sum_{j \in I} f_j f_j^* < \infty$ , it follows that the net  $\left( \sum_{j \in S} \kappa_j f_j^* \right)_S$  is ultranorm Cauchy as  $S$  ranges over all finite subsets of  $I$ . Define  $f := \left( \sum_{j \in I} \kappa_j f_j^* \right)^*$ , where the sum is understood to converge ultranorm. The assignment  $g \mapsto \kappa_j^* g$  yields a bounded  $\mathcal{C}(B, B)$ -linear map between the Hilbert  $\mathcal{C}(B, B)$ -modules  $\mathcal{C}(B, A)$  and  $\mathcal{C}(B, A_j)$  and is therefore ultranorm continuous [9, §148]. Hence  $\kappa_j^* f^* = \kappa_j^* \sum_i \kappa_i f_i^* = \sum_i \kappa_j^* \kappa_i f_i^* = f_j^*$  and so  $f \kappa_j = f_j$  as desired. Next we will show that  $\|f\|^2 = \|\sum_j f_j f_j^*\|$ . By definition of  $f^*$  and [9, §148<sub>V</sub>] we have

$$\langle f^*, f^* \rangle = \text{uwlim}_{S \subseteq I \text{ finite}} \left\langle \sum_{j \in S} \kappa_j f_j^*, \sum_{j \in S} \kappa_j f_j^* \right\rangle = \text{uwlim}_{S \subseteq I \text{ finite}} \sum_{j \in S} f_j f_j^* = \sum_j f_j f_j^*$$

and so  $\|f\|^2 = \|f^*\|^2 = \|\langle f, f \rangle\| = \|\sum_j f_j f_j^*\|$  as desired. Finally, to show uniqueness, assume  $f' : A \rightarrow B$  is any (other) morphism with  $f' \kappa_i = f_i$ . Note that  $\sum_j \kappa_j \kappa_j^*$  converges ultrastrongly and so ultranorm as well. With similar reasoning as before, the composition map  $g \mapsto f' g$  is ultranorm continuous, hence

$$f' = f' \sum_{j \in I} \kappa_j \kappa_j^* = \sum_{j \in I} f' \kappa_j \kappa_j^* = \sum_{j \in I} f_j \kappa_j^* = \sum_{j \in I} f \kappa_j \kappa_j^* = f,$$

where the sums converge ultranorm.  $\square$

It is now straightforward to note:

**5.2. COROLLARY.** *Every normal  $*$ -functor between  $W^*$ -categories preserves direct sums.*

Rieffel has observed this for the  $W^*$ -categories  $\text{Rep}(A)$  from Example 2.4 as [6, Proposition 4.9]. The following was undoubtedly already known to the authors of [3], but we have not found it stated anywhere explicitly. We are now in a position to give a very simple proof.

**5.3. PROPOSITION.** *Let  $N$  be a  $W^*$ -algebra. In the  $W^*$ -category  $\mathbf{nRep}(N)$ , any  $\ell^2$ -direct sum*

$$\bigoplus_{i \in I} A_i := \left\{ (\xi_i)_{i \in I} \mid \xi_i \in A_i, \sum_i \langle \xi_i, \xi_i \rangle < \infty \right\}, \quad (5.2)$$

*equipped with the componentwise representation of  $N$ , is an  $I$ -indexed direct sum in the sense of Definition 4.2. Conversely, every  $I$ -indexed direct sum in  $\mathbf{nRep}(N)$  is of this form.*

PROOF. Given a concrete direct sum as in (5.2), it is straightforward to see that the inclusion maps  $\kappa_i : A_i \rightarrow A$  satisfy the conditions of Theorem 5.1(c). This shows that all direct sums in  $\mathbf{nRep}(N)$  exist; the uniqueness up to unique unitaries therefore implies that every direct sum is of this form.  $\square$

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