

Our MAIN Focus In THIS COURSE

- 1) RUNNING TIME
- 2) CORRECTNESS

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Q: How To PROVE THAT THE ALGORITHM IS CORRECT?

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Q: How To PROVE THAT THE ALGORITHM IS CORRECT?

A: FIND PATTERNS IN YOUR ALGORITHM.

Our MAIN Focus In This Course

- 1) RUNNING TIME
- 2) CORRECTNESS

Q: How To PROVE THAT THE ALGORITHM IS CORRECT?

A: FIND PATTERNS IN YOUR ALGORITHM.

{
 ↑
 . NON TRIVIAL
 . NOVEL
 . EXCITING
 .
 .

```
min ← A[1]
for i ← 2 to n
    if A[i] < min
        min ← A[i]
return min
```

Q: PROVE THAT THIS ALGORITHM IS CORRECT

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Q: PROVE THAT THIS ALGORITHM IS CORRECT

PATTERN OR PROPERTY OR FEATURE OR OBSERVATION

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

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PROOF: By INDUCTION

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Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF : By INDUCTION

1) \square
 $i = 1$

BASE CASE

```

min ← A[1]
for i ← 2 to n
  if A[i] < min
    min ← A[i]
return min

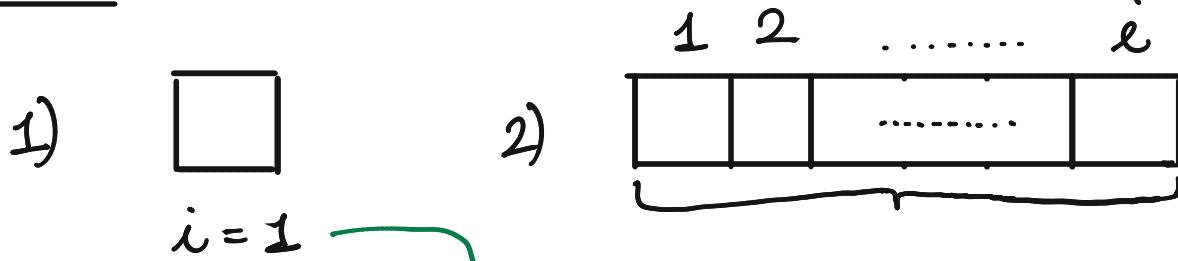
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Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF : By INDUCTION



BASE CASE

ASSUME THAT THE STATEMENT IS TRUE FOR $[1 \dots i]$

```

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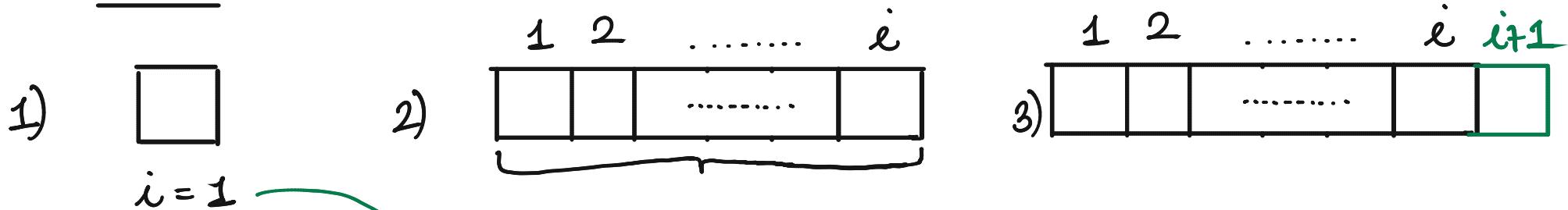
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Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: By INDUCTION



BASE CASE

ASSUME THAT THE STATEMENT IS TRUE FOR $[1 \dots i]$

PROVE THAT THE STATEMENT IS TRUE FOR $i+1$

```

min ← A[1]
for i ← 2 to n
  if A[i] < min
    min ← A[i]
return min

```

Q: PROVE THAT THIS ALGORITHM IS CORRECT

PATTERN OR PROPERTY OR FEATURE OR OBSERVATION

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: By INDUCTION

1) BASE CASE, $i = 1$. TRIVIALLY TRUE

```

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  if A[i] < min
    min ← A[i]
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PROOF: By INDUCTION

1) BASE CASE, $i = 1$. TRIVIALLY TRUE

1 2 i

2)  ⇒ AFTER THE i^{th} ITERATION
 min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS
 TRUE FOR $[1 \dots i]$

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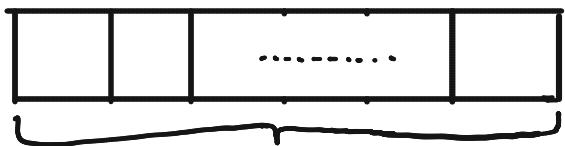
3) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, min
CONTAINS MINIMUM OF $A[1 \dots i+1]$

Lemma: AFTER THE i^{th} ITERATION, \min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: By INDUCTION

) BASE CASE, $i = 1$. TRIVIALLY TRUE

1 2 i



\Rightarrow AFTER THE i^{th} ITERATION
 \min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS
TRUE FOR $[1 \dots i]$

) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, \min CONTAINS MINIMUM OF $A[1 \dots i+1]$

1 2 i $i+1$



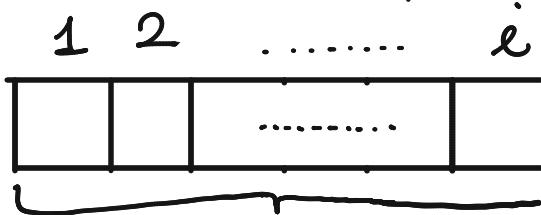
\min_i

$$\min_{i+1} = \min \{ \min_i, A[i+1] \}$$

Lemma: AFTER THE i^{th} ITERATION, \min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: By INDUCTION

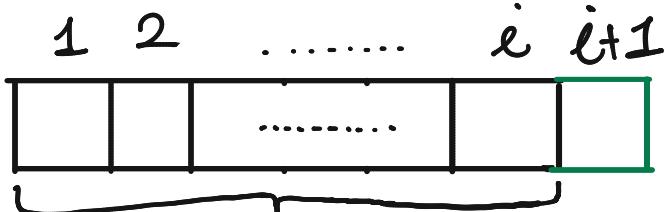
) BASE CASE, $i = 1$. TRIVIALLY TRUE



\Rightarrow AFTER THE i^{th} ITERATION
 \min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS
TRUE FOR $[1 \dots i]$

) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, \min CONTAINS MINIMUM OF $A[1 \dots i+1]$



\min_i

$$\min_{i+1} = \min \{ \min_i, A[i+1] \}$$

\Downarrow BY INDUCTION
 \Downarrow HYPOTHESIS

$$= \min \{ \min \{ A[1], A[2], \dots, A[i] \}, A[i+1] \}$$

$$= \min \{ A[1], A[2], \dots, A[i+1] \}$$

SORTING

10 2 8 7 6 1 3

SORTING

10	2	8	7	6	1	3
----	---	---	---	---	---	---

SORTING

2

10	8	7	6	1	3
----	---	---	---	---	---

SORTING

2

10 8 7 6 1 3

SORTING

2	10	8	7	6	1	3
---	----	---	---	---	---	---

SORTING

8

2	10
---	----

7 6 1 3

SORTING

8

2

10

7

6

1

3

SORTING

2	8	10
---	---	----

 7 6 1 3

SORTING

2	8	10	7	6	1	3
---	---	----	---	---	---	---

2	7	8	10	6	1	3
---	---	---	----	---	---	---

SORTING

2	8	10	7	6	1	3
---	---	----	---	---	---	---

2	7	8	10	6	1	3
---	---	---	----	---	---	---

2	6	7	8	10	1	3
---	---	---	---	----	---	---

1	2	6	7	8	10	3
---	---	---	---	---	----	---

1	2	3	6	7	8	10
---	---	---	---	---	---	----

INSERTION SORT ($A[1 \dots n]$)

{

INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ to n

FOR $j \leftarrow i$ to 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK;

}

}

CORRECTNESS

INSERTION SORT ($A[1 \dots n]$)

```
{  
    FOR i ← 2 to n  
        FOR j ← i to 2  
            { IF A[j] < A[j-1]  
                SWAP (A[j], A[j-1])  
            ELSE  
                BREAK;  
        }  
    }  
}
```

CORRECTNESS

PATTERN OR INVARIANT : AFTER THE i^{th} ITERATION,
 $A[1 \dots i]$ IS SORTED.

INSERTION SORT ($A[1 \dots n]$)

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INSERTION SORT ($A[1 \dots n]$)

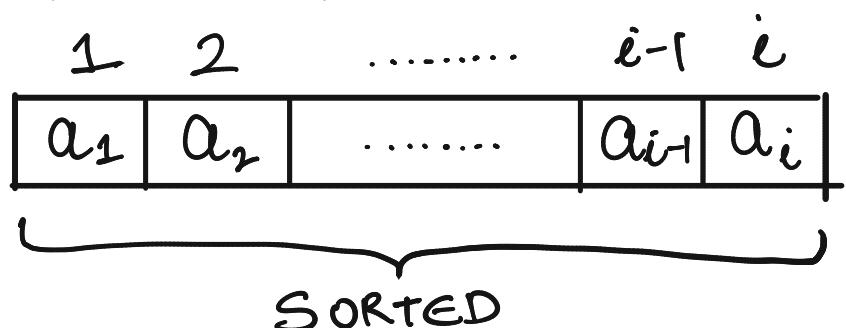
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            ELSE  
                BREAK;  
        }  
    }  
}
```

CORRECTNESS

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INSERTION SORT ($A[1 \dots n]$)

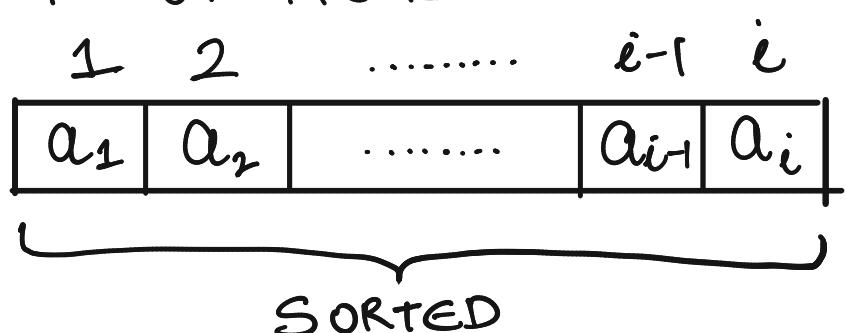
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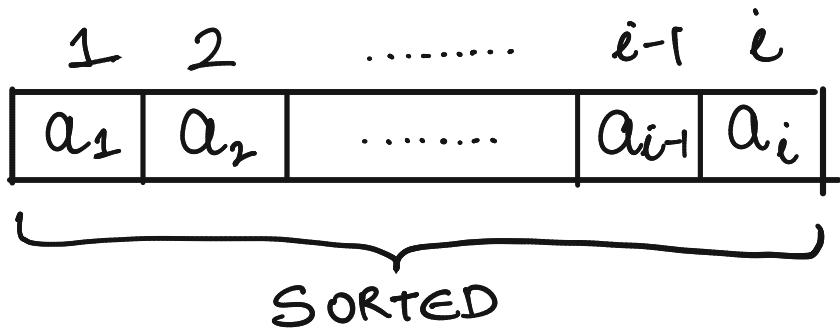


$$a_1 < a_2 < \dots < a_{i-1} < a_i$$

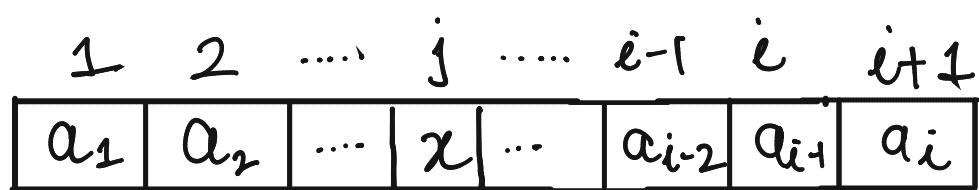
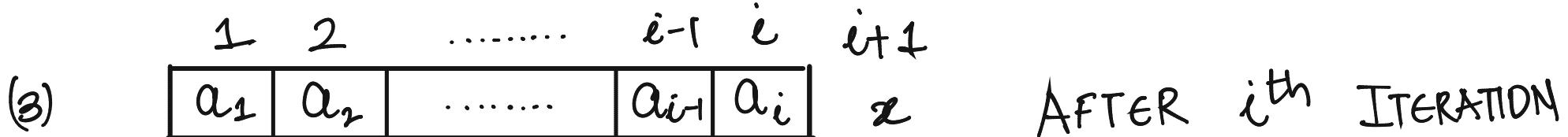
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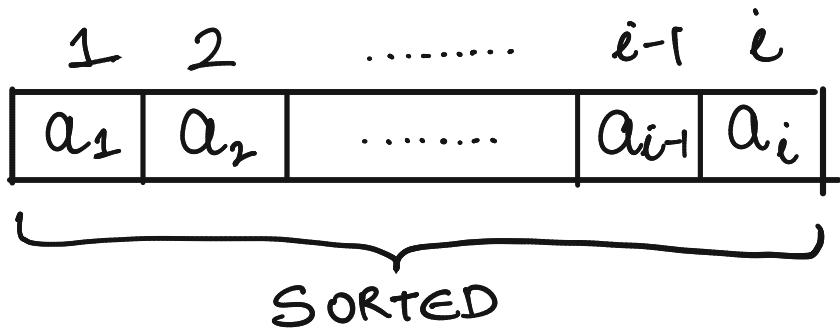
$$a_1 < a_2 < \dots < a_{i-1} < a_i .$$



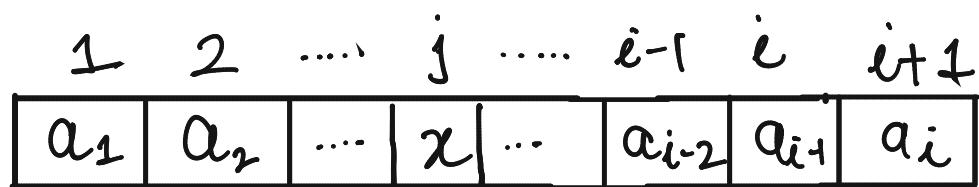
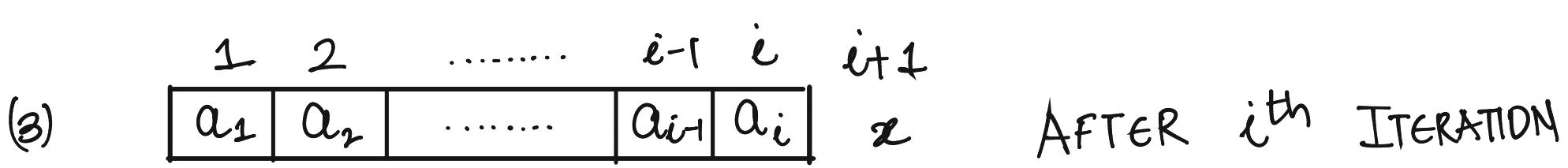
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$$a_1 < a_2 < \dots < a_{i-1} < a_i$$

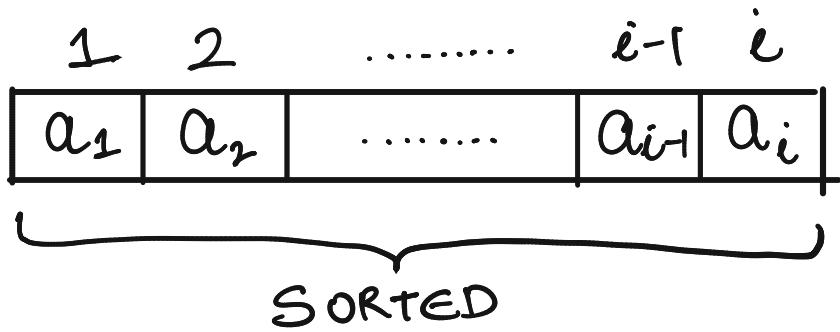


$$a_1 < a_2 < \dots < a_{j-1} ? x ? a_j < a_{j+1} < \dots < a_i$$

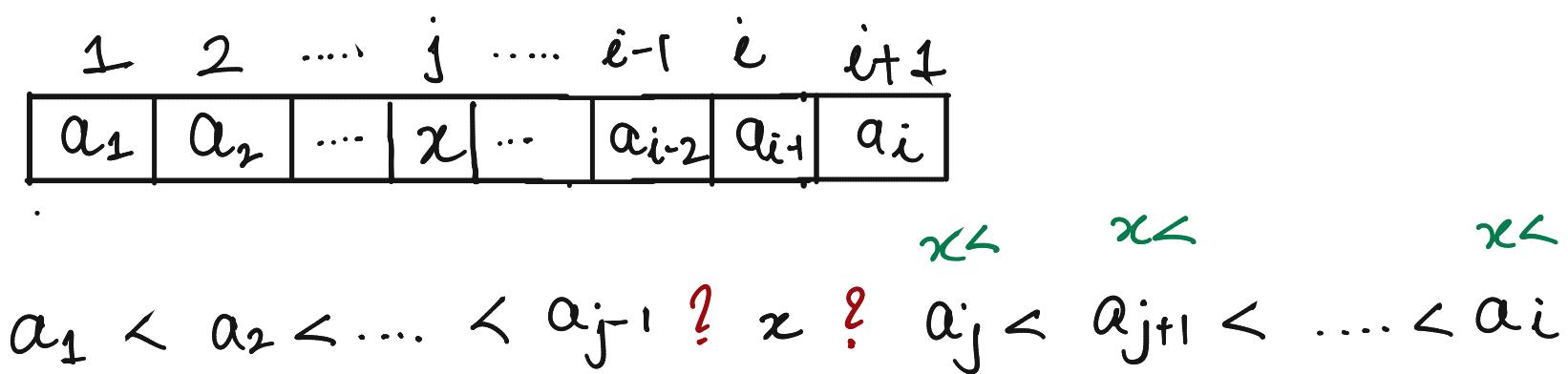
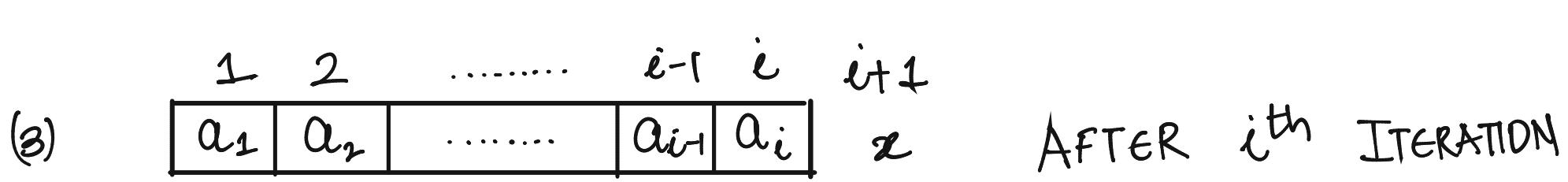
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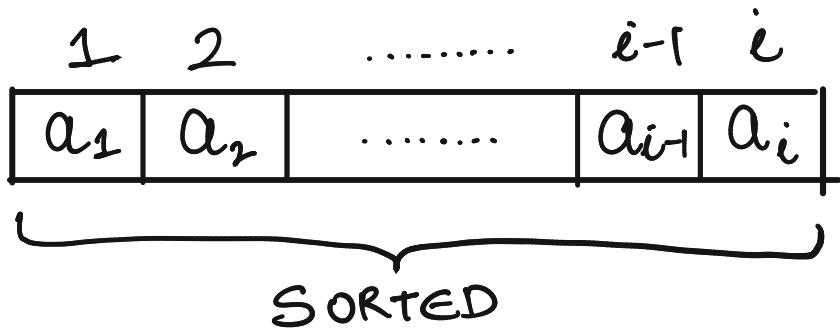
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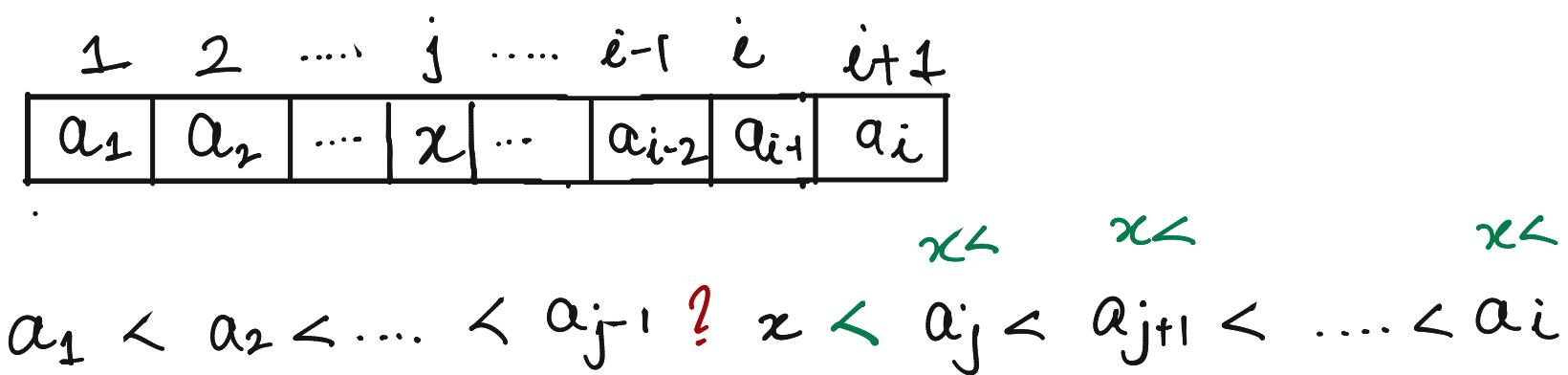
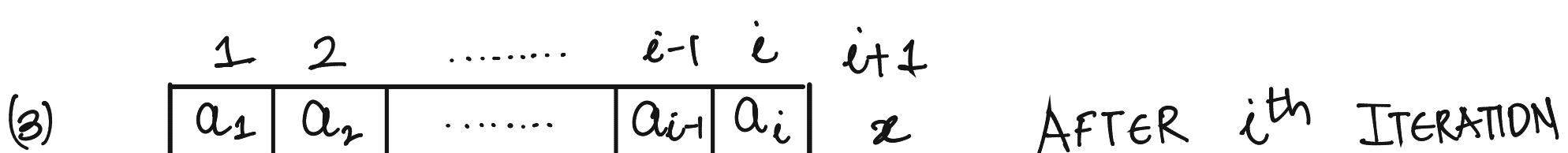
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PROOF: 1) BASE CASE, $i = 1$ TRIVIALLY TRUE.

2) INDUCTION HYPOTHESIS:



$$a_1 < a_2 < \dots < a_{i-1} < a_i$$



PATTERN OR INVARIANT: AFTER THE i^{th} ITERATION,
 $A[1 \dots i]$ IS SORTED.

PROOF : 1) BASE CASE , $i = 1$ TRIVIALLY TRUE.

2) INDUCTION HYPOTHESIS :

A diagram illustrating an array of elements $a_1, a_2, \dots, a_{i-1}, a_i$. Above the array, indices 1, 2, ..., $i-1$, and i are shown above the corresponding elements. Below the array, a large brace spans from the start to the element a_{i-1} , with the word "SORTED" written below it.

$$a_1 < a_2 < \dots < a_{i-1} < a_i$$

(3)  AFTER i^{th} ITERATION

1	2	...	j	...	i-1	i	i+1
a_1	a_2	...	x	...	a_{i-2}	a_{i+1}	a_i

$$a_1 < a_2 < \dots < a_{j-1} < x < a_j < a_{j+1} < \dots < a_i$$

SINCE OUR ALGORITHM STOPPED
AT $j \Rightarrow a_{j-1} < x$.

INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ to n

FOR $j \leftarrow i$ to 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK;

}

}

RUNNING TIME

INSERTION SORT ($A[1 \dots n]$)

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FOR $i \leftarrow 2$ to n FOR $j \leftarrow i$ to 2{ IF $A[j] < A[j-1]$ SWAP ($A[j], A[j-1]$)

ELSE

BREAK;

}

}

$$\text{RUNNING TIME} = \sum_{i=2}^n \sum_{j=i}^2 c$$

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$$\text{RUNNING TIME} = \sum_{i=2}^n \sum_{j=i}^2 c$$

$$= \sum_{i=2}^n (i-1) c$$

$$= \frac{(n-1) \cdot n}{2} \cdot c$$

$$= O(n^2)$$

INSERTION SORT ($A[1 \dots n]$)

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WORST CASE INPUT

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$$= \sum_{i=2}^n (i-1) c$$

$$= \frac{(n-1) \cdot n}{2} \cdot c$$

$$= O(n^2)$$

WORST CASE INPUT

$n \quad n-1 \quad n-2 \quad \dots \quad 3 \quad 2 \quad 1$

BEST CASE INPUT

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = O(n)

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?

BEST CASE INPUT

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Q: DOES INSERTION SORT GOOD OR BAD ON
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Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

BEST CASE INPUT

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Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

n=3	<u>RUNNING TIME</u>		TOTAL RUNNING TIME
	i=2	i=3	
123	c	c	2c
132	c	2c	3c
213	2c	c	3.c
231	c	3c	4 c
312	2c	2c	4 c
321	2c	3c	5 c

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

$n=3$	<u>RUNNING TIME</u>		TOTAL RUNNING TIME
	ITERATION 2	ITERATION 3	
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132	c	2c	3c
213	2c	c	3.c
231	c	3c	4 c
312	2c	2c	4 c
321	2c	3c	5 c

$$\text{AVERAGE RUNNING TIME} = \frac{21c}{6}$$

BEST CASE INPUT

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RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
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Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

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	ITERATION 2	ITERATION 3	
123	c	c	2c
132	c	2c	3c
213	2c	c	3.c
231	c	3c	4 c
312	2c	2c	4 c
321	2c	3c	5 c
AVERAGE RUNNING TIME	$\frac{9c}{6}$	$\frac{12c}{6}$	$\frac{21c}{6}$

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

$n=3$

RUNNING TIME

TOTAL RUNNING
TIME

ITERATION 2 ITERATION 3

123	c	c	2c
132	c	2c	3c
213	c	c	2c
231	c	2c	3c
312	c	2c	3c
321	c	2c	3c
AVERAGE	$\frac{9c}{6}$	$\frac{12c}{6}$	$\frac{3c}{6}$
RUNNING TIME	AVERAGE RUNNING TIME AT ITERATION 2		

AVERAGE RUNNING TIME = $\sum_{i=2}^n$ AVERAGE RUNNING TIME IN ITERATION i

AVERAGE RUNNING TIME IN ITERATION 2

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2 nd ITERATION	RUNNING TIME
CASE 1: $a_1 a_2 a_3 \dots a_n$	c
CASE 2: $a_2 a_1 a_3 \dots a_n$	2c

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2 nd ITERATION	RUNNING TIME
CASE 1: $a_1 a_2 a_3 \dots a_n$	c
CASE 2: $a_2 a_1 a_3 \dots a_n$	2c

Q: IN HOW MANY PERMUTATIONS, THERE IS NO SWAP IN ITERATION 2.

e.g. 1 2 3 4 n-1 n
 3 8 1 n 7 4

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2 nd ITERATION	RUNNING TIME
CASE 1: $a_1 a_2 a_3 \dots a_n$	c
CASE 2: $a_2 a_1 a_3 \dots a_n$	2c

Q: IN HOW MANY PERMUTATIONS, THERE IS NO SWAP IN ITERATION 2.

e.g. 1 2 3 4 n-1 n
3 8 1 n 7 4

1) CHOOSE ANY TWO NUMBERS FROM n NUMBERS
 $a < b$ # ways = $\binom{n}{2}$

2)

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2 nd ITERATION	RUNNING TIME
CASE 1: $a_1 a_2 a_3 \dots a_n$	c
CASE 2: $a_2 a_1 a_3 \dots a_n$	2c

Q: IN HOW MANY PERMUTATIONS, THERE IS NO SWAP IN ITERATION 2.

e.g. 1 2 3 4 n-1 n
3 8 1 n 7 4

1) CHOOSE ANY TWO NUMBERS FROM n NUMBERS

$$a < b \quad \# \text{ ways} = \binom{n}{2}$$



$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

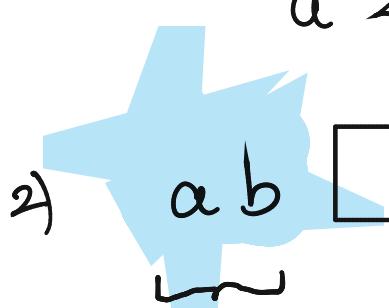
PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2 nd ITERATION	RUNNING TIME
CASE 1: $a_1 a_2 a_3 \dots a_n$	c
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2)  REST $n-2$ NUMBERS

3) IN HOW MANY WAYS CAN YOU ARRANGE REST $n-2$ NUMBERS \Rightarrow

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

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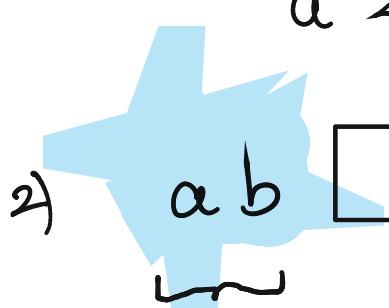
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A $\binom{n}{2} (n-2)!$

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Q: IN HOW MANY PERMUTATIONS, THERE IS NO SWAP IN ITERATION 2.

A $\binom{n}{2} (n-2)! = \frac{n(n-1)(n-2)!}{2 \cdot 1} = \frac{n!}{2}$

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$$\begin{aligned} & \text{AVERAGE RUNNING TIME IN ITERATION 2} \\ &= \frac{\left(\begin{matrix} \# \text{ PERMUTATION OF} \\ \text{CASE 1} \end{matrix} \right) \times c + \left(\begin{matrix} \# \text{ PERMUTATIONS OF} \\ \text{CASE 2} \end{matrix} \right) \times 2c}{n!} \end{aligned}$$

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AVERAGE RUNNING TIME IN ITERATION 3

$$= \frac{\left(\frac{n!}{3}\right) \times c + \left(\frac{n!}{3}\right) \times 2c + \left(\frac{n!}{3}\right) 3c}{n!}$$

AVERAGE RUNNING TIME IN ITERATION 3

$$= \frac{\binom{n!}{3} \times c + \binom{n!}{3} \times 2c + \binom{n!}{3} 3c}{n!}$$

AVERAGE RUNNING TIME IN ITERATION i

$$= \frac{\binom{n!}{i} \times c + \binom{n!}{i} \times 2c + \dots + \binom{n!}{i} ic}{n!}$$

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$$= \frac{c}{i} (1+2+\dots+i)$$

$$= \frac{c}{i} \frac{i(i+1)}{2}$$

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AVERAGE RUNNING TIME = $\sum_{i=2}^n$ AVERAGE RUNNING TIME IN ITERATION i

$$= \sum_{i=2}^n c \frac{(i+1)}{2}$$

$$\leq c \frac{(n+1)(n+2)}{4}$$

$$= O(n^2)$$

WHEN SHOULD WE USE INSERTION SORT

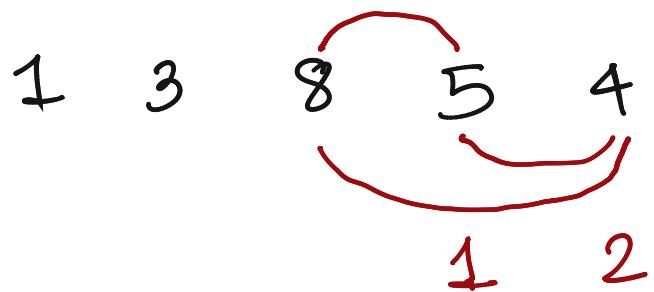
WHEN SHOULD WE USE INSERTION SORT

- 1) THE INPUT SIZE IS SMALL
- 2) THE INPUT IS NEARLY SORTED.

PROBLEM 1: If $i < j$ & $A[i] > A[j]$, THEN PAIR (i, j) IS CALLED AN INVERSION.

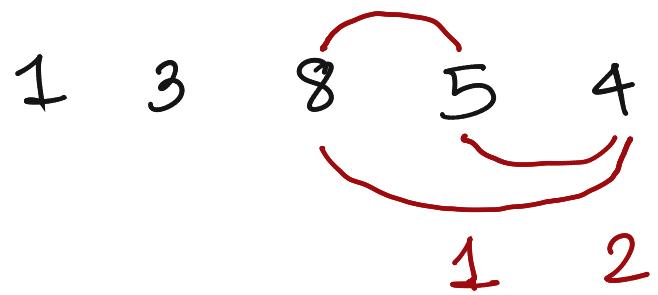
1 3 8 5 4

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$$\# \text{INVERSIONS} = 3$$

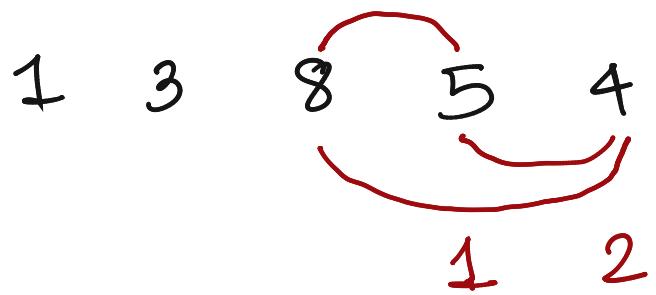
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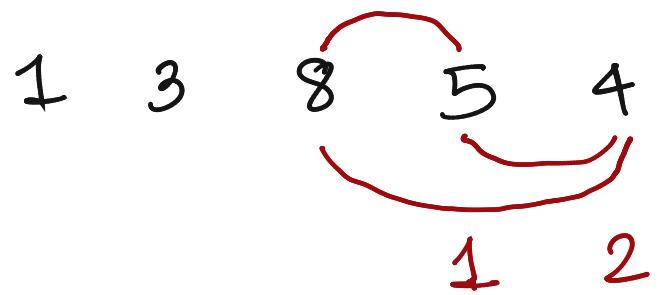
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$n \quad n-1 \quad \dots \dots \quad 1$

$$\# \text{ INVERSIONS} = \frac{n(n-1)}{2}$$

PROBLEM 2 : PROVE THAT THE RUNNING TIME OF
INSERTION SORT ON A PERMUTATION P
IS $O(n + I)$ WHERE I IS THE NUMBER
OF PERMUTATION P

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INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ to n

FOR $j \leftarrow i$ to 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK ;

}

}

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    FOR i ← 2 to n  
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            ELSE  
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        }  
}
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• FIXES INVERSION
• DOES NOT CREATE NEW INVERSION

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→ NO INVERSION AT THE END SINCE THE ARRAY
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→ NO INVERSION AT THE END SINCE THE ARRAY
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⇒ RUNNING TIME = $O(n + I)$.

PROBLEM 3 : ASSUME THAT YOU ARE GIVEN AN ARRAY
IN WHICH EACH ELEMENT IS K AWAY FROM ITS
PROPER POSITION. SHOW THAT INSERTION SORT
TAKES $O(nk)$ TIME TO SORT SUCH AN ARRAY.

4 5 6 1 2 3 8

1 2 3 4 5 6 8

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4 5 6 1 2 3 8

1 2 3 4 5 6 8

A

i

$i+7k$

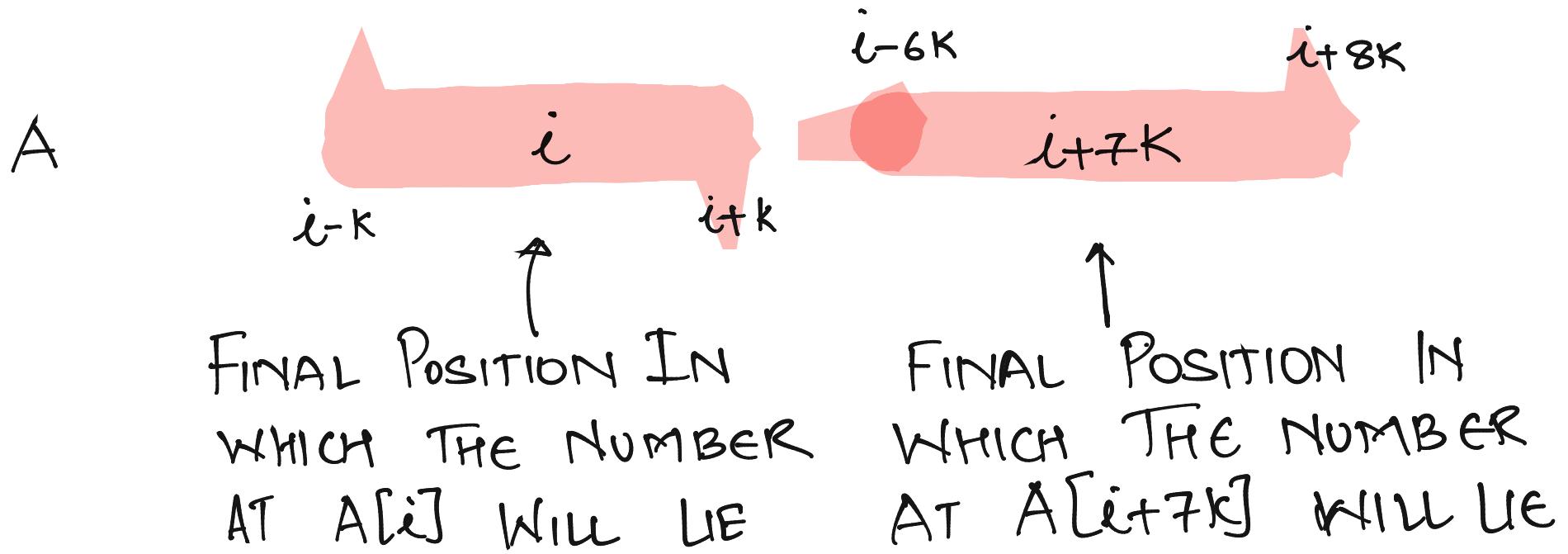
CAN $A[i] > A[i+7k]$?

A

i

$i+7k$

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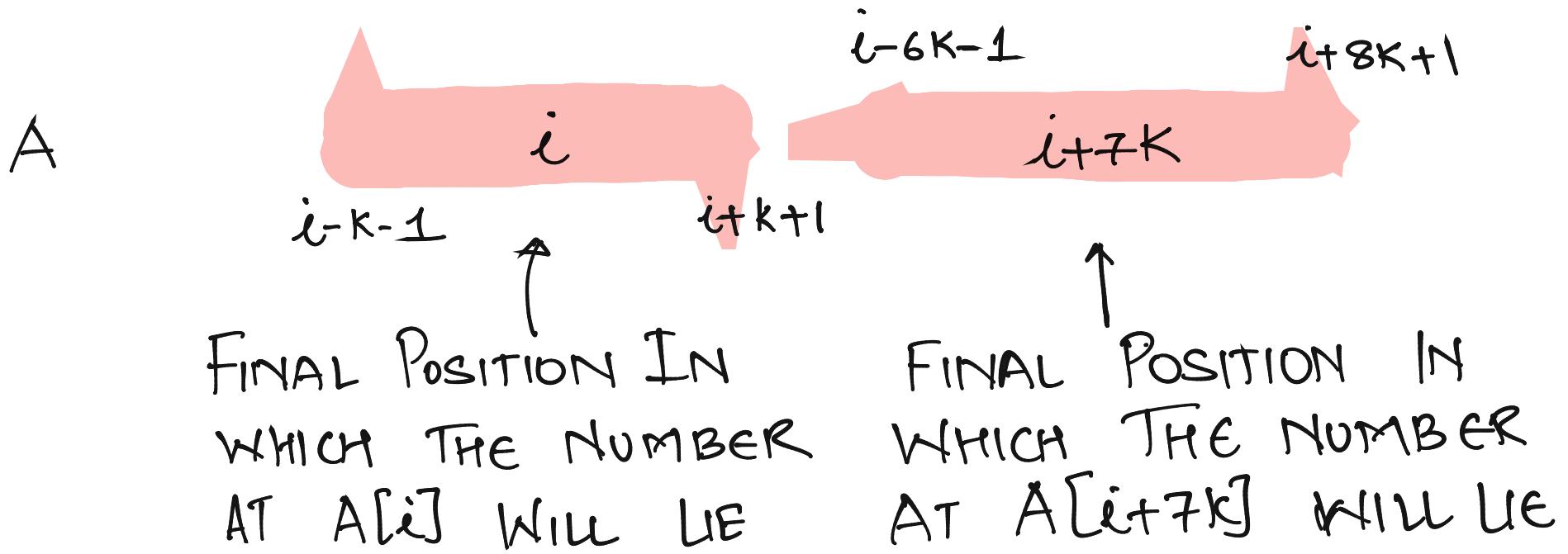


A

i

$i+7k$

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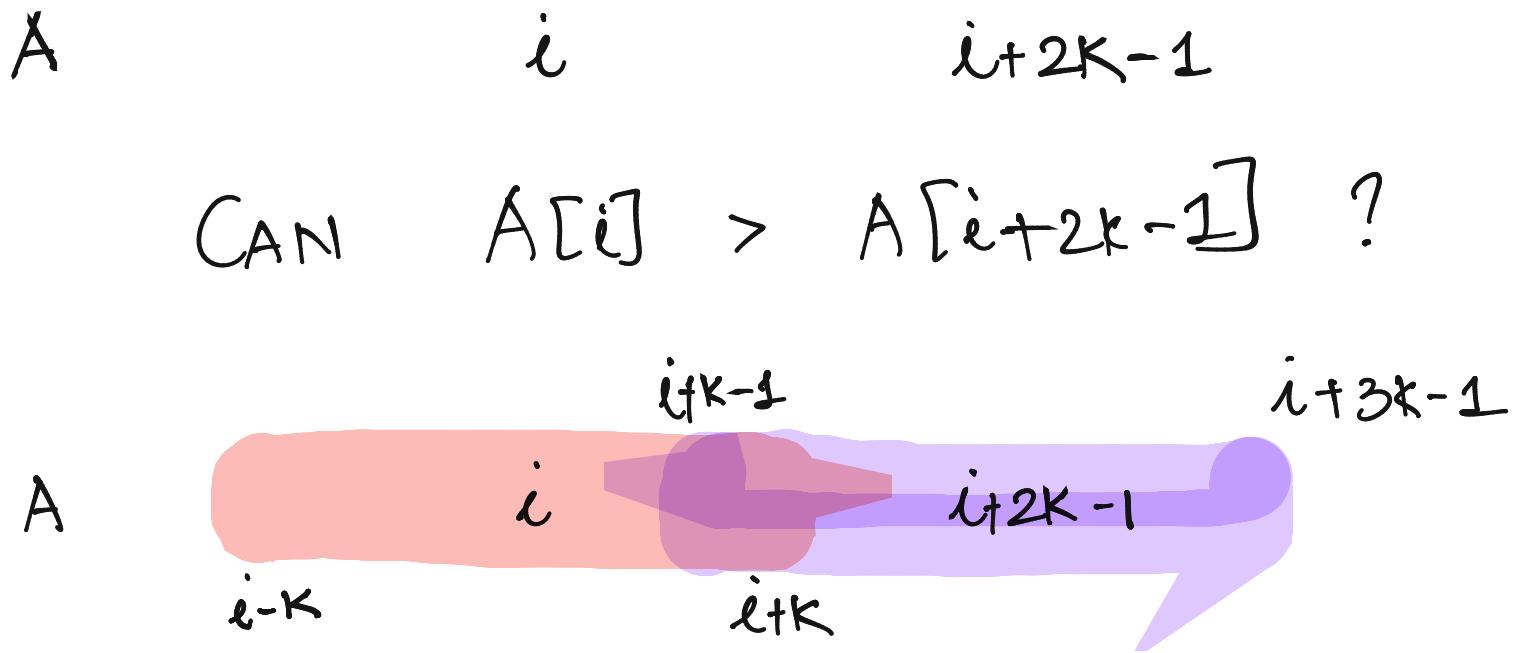


$$\Rightarrow A[i] < A[i+7k]$$

$\Rightarrow (i, i+7k)$ DONOT FORM AN INVERSION PAIR

A i $i+2k-1$

Can $A[i] > A[i+2k-1]$?

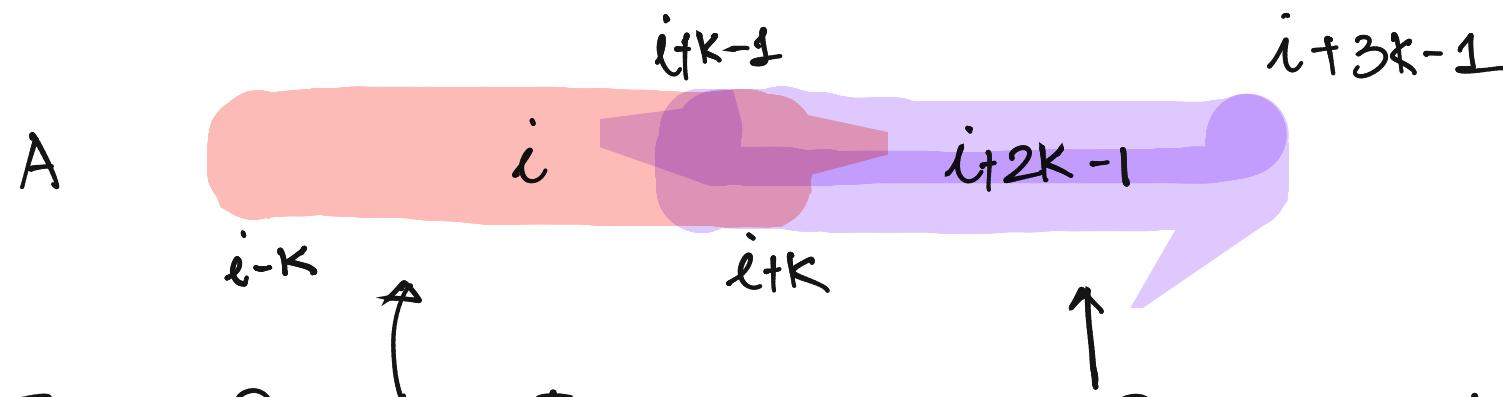


A

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CAN $A[i] > A[i+2k-1]$?



FINAL POSITION IN
WHICH THE NUMBER
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MAY BE $A[i+k]$

FINAL POSITION IN
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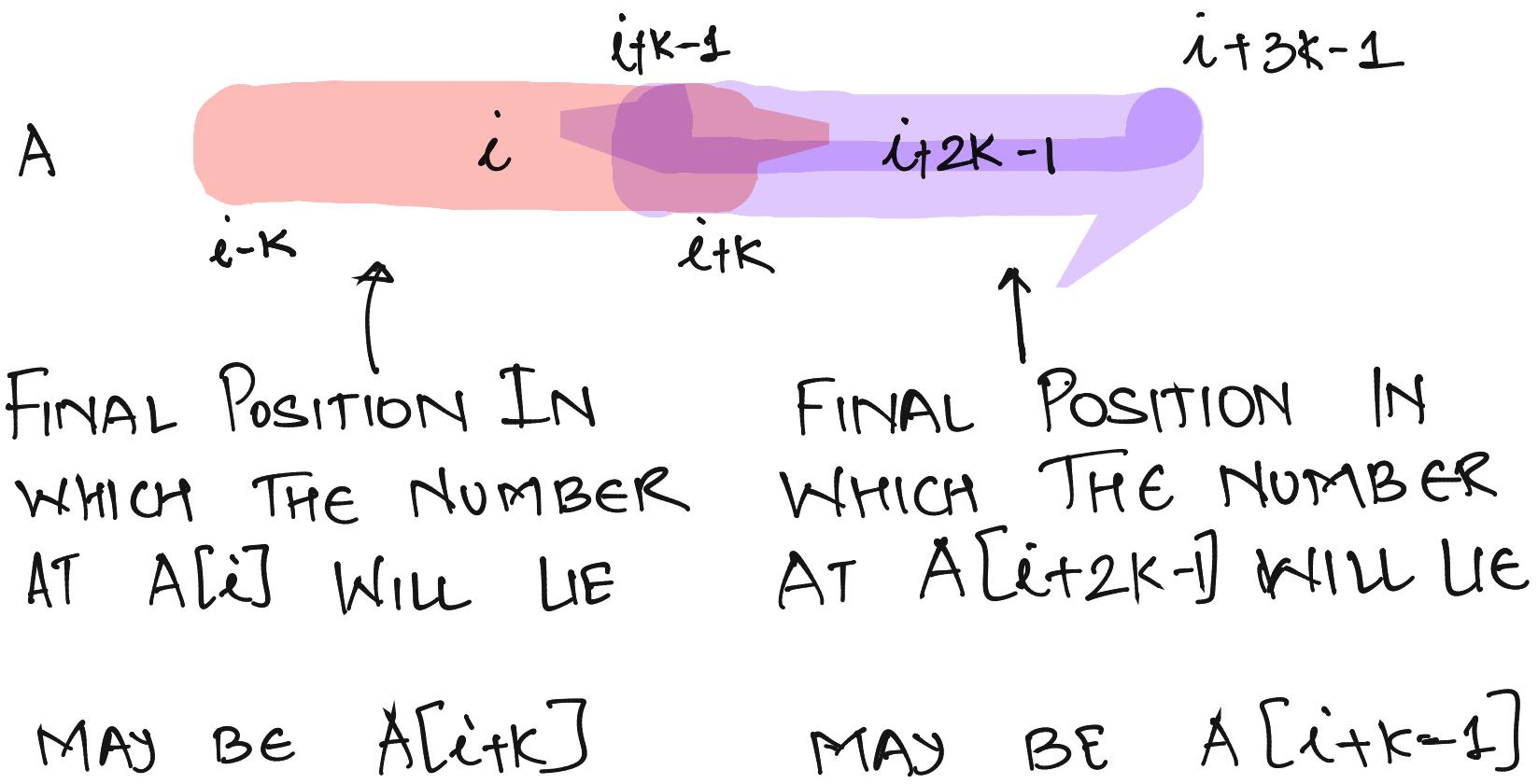
MAY BE $A[i+k-1]$

A

i

$i+2k-1$

CAN $A[i] > A[i+2k-1]$?



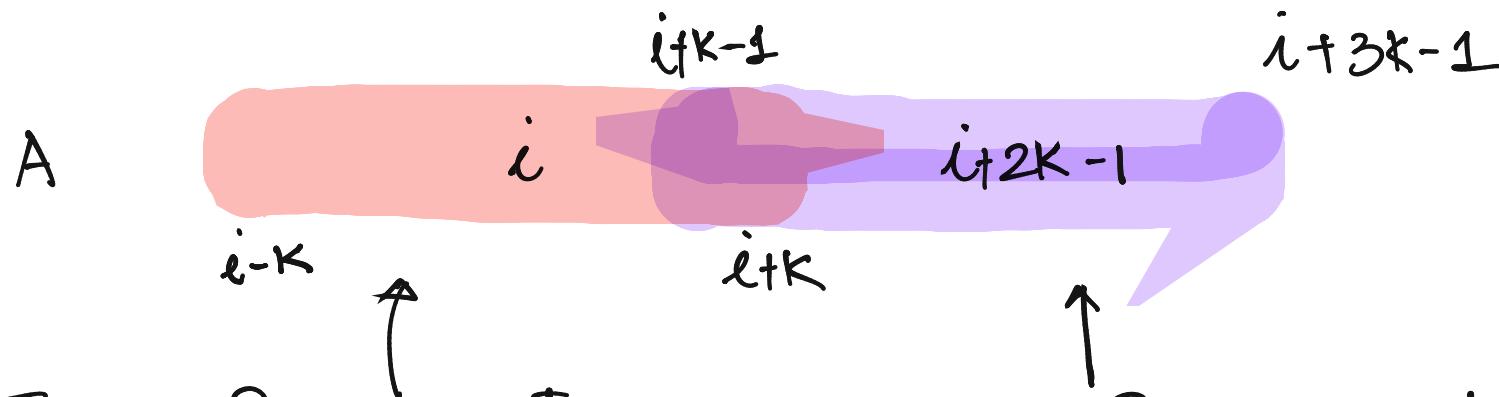
$\Rightarrow (i, i+2k-1)$ MAY FORM AN INVERSION PAIR

A

i

$i+2k-1$

CAN $A[i] > A[i+2k-1]$?



FINAL POSITION IN
WHICH THE NUMBER
AT $A[i]$ WILL BE

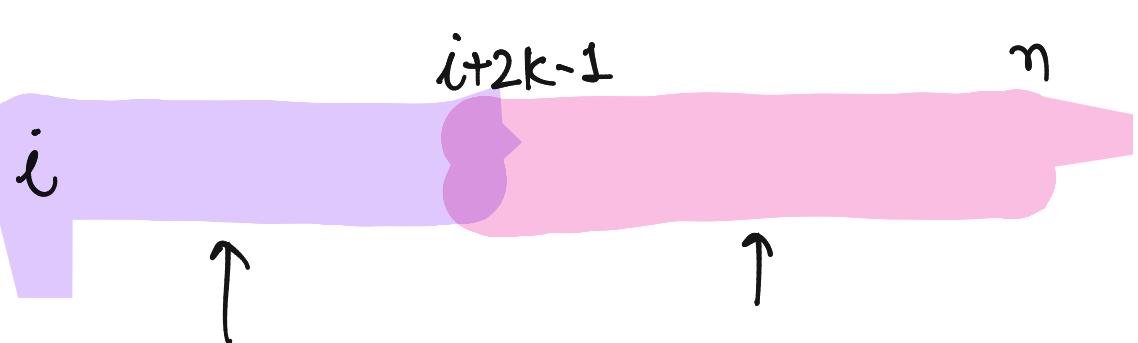
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CAN FORM AN
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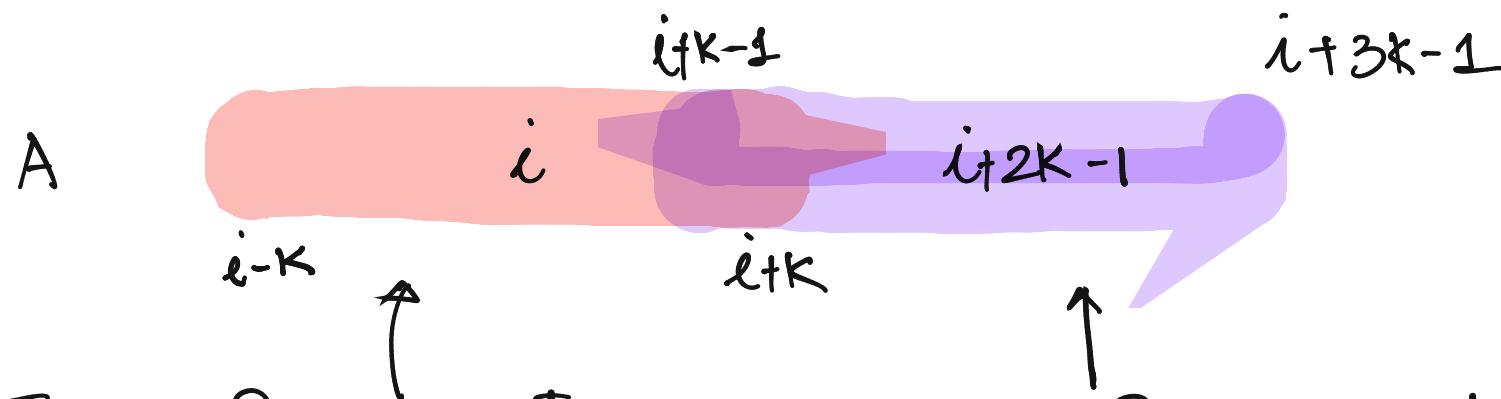
CANNOT FORM
AN INVERSION
PAIR

A

i

$i+2k-1$

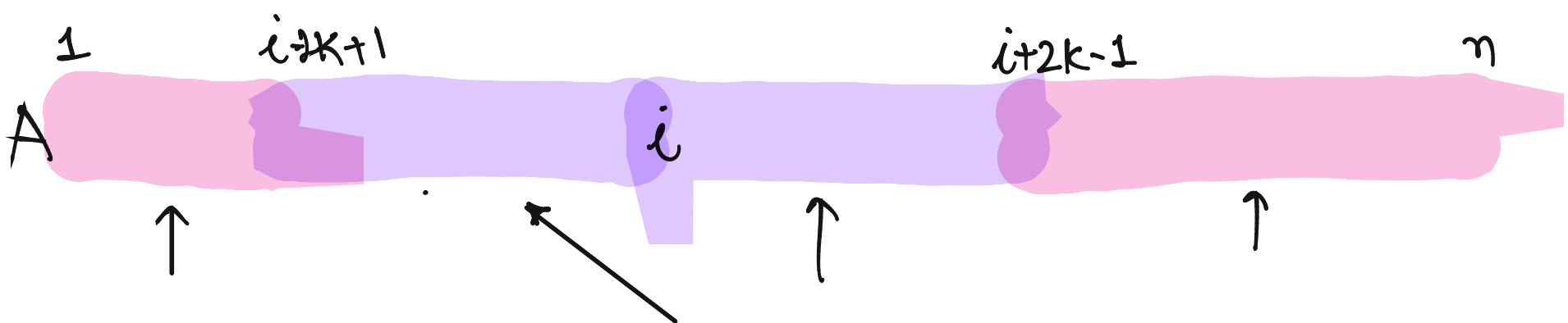
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CANNOT FORM AN INVERSION PAIR

CAN FORM AN INVERSION PAIR

CANNOT FORM AN INVERSION PAIR

$\Rightarrow \# \text{ INVERSION PAIR INVOLVING } i \leq 4k$

$$\begin{aligned}\Rightarrow \# \text{ INVERSION} &= \sum_{i=1}^n 4k \\ &= O(nk)\end{aligned}$$

$\Rightarrow \# \text{ INVERSION PAIR INVOLVING } i \leq 4k$

$$\Rightarrow \# \text{ INVERSION} = \sum_{i=1}^n 4k \\ = O(nk)$$

$$\Rightarrow \text{RUNNING TIME OF INSERTION SORT} \\ = O(n + \# \text{ INVERSIONS}) \\ = O(n + nk) \\ = O(nk)$$