Notes on the GSW code

gsw_z_from_p

for calculating height z from pressure p

Height z is measured positive upwards, so it is negative in the ocean. First, note that we use the following version of specific volume anomaly,

$$\delta = \hat{v}(S_{A}, \Theta, p) - \hat{v}(S_{SO}, 0^{\circ}C, p). \tag{1}$$

That is, the reference Absolute Salinity is the Absolute Salinity of the Standard Ocean, $S_{SO} = 35.165~04~{\rm g~kg^{-1}}$, and the reference "temperature" is a fixed value of Conservative Temperature of zero degrees Celsius. Dynamic height anomaly Ψ is then defined by Eqn. (3.27.1) of IOC *et al.* (2010) as follows

$$\Psi = -\int_{P_0}^{P} \delta(p') dP', \qquad (2)$$

where $P_0 = 101 \ 325 \,\text{Pa}$ is the standard atmosphere pressure.

The vertical integral of the hydrostatic equation ($P_z = -g \rho$ or $g = -v P_z$) is (from Eqn. (3.32.3) of the TEOS-10 Manual (IOC *et al.* (2010)))

$$\int_{0}^{z} g(z') dz' = \Phi^{0} - \int_{P_{0}}^{P} v(p') dP' = -\int_{P_{0}}^{P} \hat{v}(S_{SO}, 0^{\circ}C, p') dP' + \Psi + \Phi^{0}$$

$$= -\hat{h}(S_{SO}, 0^{\circ}C, p) + \Psi + \Phi^{0}, \tag{3.23.3}$$

Here Φ^0 is the geopotential at zero sea pressure on this vertical cast. We use the 76-term based expression for enthalpy (Eqn. (A.30.6) of the TEOS-10 Manual), recognizing that because $\Theta = 0^{\circ}$ C many of the coefficients are zero, so the evaluation of Eqn. (A.30.6) is less computationally expensive than it may appear. The library function $\mathbf{gsw_enthalpy_SSO_0}(p)$ is used to evaluate $\hat{h}^{76}(S_{SO}, 0^{\circ}\text{C}, p)$ efficiently at these fixed values of Absolute Salinity and Conservative Temperature.

Writing the gravitational acceleration of Eqn. (D.3) of IOC et al. (2010) as

$$g = g(\phi, z) = g(\phi, 0)(1 - \gamma z), \tag{4}$$

we see that Eqn. (3.32.3) becomes

$$\hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0} + g(\phi, 0)(z - \frac{1}{2}\gamma z^{2}) = 0.$$
 (5)

When the **gsw_z_from_p** code is called with two arguments, as in **gsw_z_from_p**(p,lat), $\Psi + \Phi^0$ is ignored in Eqn. (5) and this quadratic expression is solved for the height z. We do this using the standard quadratic solution equation, but for z^{-1} . This is done so that the result is accurate as pressure tends to zero, and so that the answer also converges to the correct solution when the quadratic term γ tends to zero (since there may be some applications where it is preferable to assume that the gravitational acceleration is depth-independent). Hence we evaluate z from the equation

$$z = -\frac{2(\hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0})}{g(\phi, 0) + \sqrt{g^{2}(\phi, 0) + 2\gamma g(\phi, 0)(\hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0})}}.$$
 (6)

Note again that height z is negative in the ocean. When the code is called with three arguments, the third argument is taken to be dynamic height Ψ and the geopotential at

zero pressure Φ^0 is taken to be zero. When the code is called with four arguments the third argument is taken to be Ψ and the fourth Φ^0 . The dynamic height anomaly Ψ can be evaluated using the GSW function $\mathbf{gsw_geo_strf_dyn_height}$, noting that the reference pressure in the call to this function must be zero sea pressure.

Note that in Eqn. (5) the last term, $g(\phi,0)\left(z-\frac{1}{2}\gamma z^2\right)$, can be written as $z\overline{g}$ where \overline{g} is the mean gravitational acceleration between z=0 and the height concerned. Recognizing this, the height z output from this algorithm is also equal to

$$z = -\frac{\left(\hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0}\right)}{\overline{g}}.$$
 (7)

Notes on the GSW code

gsw_p_from_z

for calculating pressure p from height z

In the $\mathbf{gsw_p_from_z}$ code we evaluate pressure p using the modified Newton-Raphson" iteration procedure of McDougall and Wotherspoon (2014) so that the pressure so obtained is exactly consistent with the "forward" calculation of z from p via the function $\mathbf{gsw_z_from_p}$.

When the $\mathbf{gsw_p_from_z}$ code is called with two arguments, as in $\mathbf{gsw_p_from_z}(z,\text{lat})$, we ignore $\Psi + \Phi^0$ while solving Eqn. (8) below. Note again that height z is negative in the ocean. When the code is called with three arguments, the third argument is taken to dynamic height Ψ and the geopotential at zero pressure Φ^0 is taken to be zero. When the code is called with four arguments the third argument is taken to be Ψ and the fourth Φ^0 . The dynamic height anomaly Ψ can be evaluated using the GSW function $\mathbf{gsw_geo_strf_dyn_height}$, noting that the reference pressure in the call to this function must be zero sea pressure.

A good starting point for pressure is found by using the Saunders (1981) quadratic expression relating depth to a quadratic of pressure; we solve this quadratic using the standard quadratic solution formula but for p^{-1} instead of for p, so that the solution is well-behaved as z goes to zero.

Hence, given z, we have a zeroth estimate of pressure, p_0 , from the Saunders (1981) quadratic expression. Now we want to solve (see Eqn. (3.32.3) of the TEOS-10 Manual, IOC *et al.* (2010)),

$$f(p) = 0$$
, where $f(p) = \hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0} + g(\phi, 0)(z - \frac{1}{2}\gamma z^{2})$. (8)

The derivative of f(p) is approximately

$$f'(p) = 10^4 \hat{v}^{75} (S_{SO}, 0^{\circ}C, p),$$
 (9)

and this is available from the 75-term polynomial function expression for seawater specific volume (Roquert *et al.*, 2015) (and since $\Theta = 0^{\circ}\text{C}$, $\hat{v}^{75}(S_{SO}, 0^{\circ}\text{C}, p)$ is particularly simple to evaluate using the library function **gsw_specvol_SSO_0(p)**). The factor of 10^4

in Eqn. (9) is because we want to solve for pressure in dbar rather than in the natural SI unit for pressure of Pa. That is, Eqn. (9) is the derivative of f(p) with respect to pressure p in dbar.

After finding p_0 we evaluate $f(p_0) = \hat{h}^{75}(S_{SO}, 0^{\circ}\text{C}, p_0) - \Psi - \Phi^0 + g(\phi, 0)(z - \frac{1}{2}\gamma z^2)$, then calculate $f'(p_0) = 10^4 \, \hat{v}^{75}(S_{SO}, 0^{\circ}\text{C}, p_0)$ and use these values of $f(p_0)$ and $f'(p_0)$ to form an intermediate pressure estimate p_1 as (this is a standard Newton's method iteration)

$$p_1 = p_0 - f(p_0)/f'(p_0) . (8)$$

Then we form $p_{\rm m}=0.5(p_0+p_1)$ and evaluate $f'(p_{\rm m})=10^4\,\hat{v}^{75}\big(S_{\rm SO},0^{\circ}{\rm C},p_{\rm m}\big)$ and use $f(p_0)$ and $f'(p_{\rm m})$ to calculate p_2 from

$$p_2 = p_0 - f(p_0)/f'(p_m)$$
 (9)

This is one full step of the "modified Newton-Raphson" iteration procedure of McDougall and Wotherspoon (2014), and this one modified step gives pressure to better than 1.6×10^{-10} dbar (which is essentially machine precision) down to a height z of -8000m. The $\mathbf{gsw_p_from_z}$ function performs this one full iteration of the modified Newton-Raphson iteration.

References

McDougall T. J. and S. J. Wotherspoon, 2014: A simple modification of Newton's method to achieve convergence of order $1+\sqrt{2}$. *Applied Mathematics Letters*, **29**, 20-25. http://dx.doi.org/10.1016/j.aml.2013.10.008

Saunders, P. M, 1981: Practical conversion of pressure to depth. *Journal of Physical Oceanography*, **11**, 573-574.

Roquet, F., G. Madec, T. J. McDougall and P. M. Barker, 2015: Accurate polynomial expressions for the density and specific volume of seawater using the TEOS-10 standard. *Ocean Modelling*, **90**, pp. 29-43. http://dx.doi.org/10.1016/j.ocemod.2015.04.002

Below is section 3.32 of the TEOS-10 Manual (IOC et al. (2010)).

3.32 Pressure to height conversion

The vertical integral of the hydrostatic equation ($g = -vP_z$) can be written as

$$\int_{0}^{z} g(z') dz' = \Phi^{0} - \int_{P_{0}}^{P} v(p') dP' = -\int_{P_{0}}^{P} \hat{v}(S_{SO}, 0^{\circ}C, p') dP' + \Psi + \Phi^{0}$$

$$= -\hat{h}(S_{SO}, 0^{\circ}C, p) + \Psi + \Phi^{0},$$
(3.32.1)

where the dynamic height anomaly Ψ is expressed in terms of the specific volume anomaly $\hat{\delta} = \hat{v}(S_A, \Theta, p) - \hat{v}(S_{SO}, 0^{\circ}C, p)$ by

$$\Psi = -\int_{P_0}^{P} \hat{\delta}(p') dP', \qquad (3.32.2)$$

where $P_0 = 101\ 325\ \text{Pa}$ is the standard atmosphere pressure. Writing the gravitational acceleration of Eqn. (D.3) as $g = g(\phi, z) = g(\phi, 0)(1 - \gamma z)$, the left-hand side of Eqn.

(3.32.1) becomes $g(\phi,0)(z-\frac{1}{2}\gamma z^2)$, and using the 75-term expression for the specific enthalpy of Standard Seawater, Eqn. (3.32.1) becomes

$$\hat{h}^{75}(S_{SO}, 0^{\circ}C, p) - \Psi - \Phi^{0} + g(\phi, 0)(z - \frac{1}{2}\gamma z^{2}) = 0.$$
 (3.32.3)

This is the equation that is solved for height z in the GSW function $\mathbf{gsw_z_from_p}$. It is traditional to ignore $\Psi + \Phi^0$ when converting between pressure and height, and this can be done by simply calling this function with only two arguments, as in $\mathbf{gsw_z_from_p}(p,lat)$. Ignoring $\Psi + \Phi^0$ makes a difference to z of up to 4m at 5000 dbar. Note that height z is negative in the ocean. When the code is called with three arguments, the third argument is taken to be $\Psi + \Phi^0$ and this is used in the solution of Eqn. (3.32.3). Dynamic height anomaly Ψ can be evaluated using the GSW function $\mathbf{gsw_geo_strf_dyn_height}$. The GSW function $\mathbf{gsw_p_from_z}$ is the exact inverse function of $\mathbf{gsw_z_from_p}$; these functions yield outputs that are consistent with each other to machine precision.

When vertically integrating the hydrostatic equation $P_z = -g\rho$ in the context of an ocean model where Absolute Salinity S_A and Conservative Temperature Θ are piecewise constant in the vertical, the geopotential (Eqn. (3.24.2))

$$\Phi = \int_{0}^{z} g(z') dz' = \Phi^{0} - \int_{P_{0}}^{P} v(p') dP', \qquad (3.32.4)$$

can be evaluated as a series of exact differences. If there are a series of layers of index i separated by pressures p^i and p^{i+1} (with $p^{i+1} > p^i$) then the integral can be expressed (making use of (3.7.5), namely $h_P|_{S_A,\Theta} = \hat{h}_P = v$) as a sum over n layers of the differences in specific enthalpy so that

$$\Phi = \Phi^{0} - \int_{P_{0}}^{P} v(p') dP' = \Phi^{0} - \sum_{i=1}^{n} \left[\hat{h}(S_{A}^{i}, \Theta^{i}, p^{i+1}) - \hat{h}(S_{A}^{i}, \Theta^{i}, p^{i}) \right].$$
 (3.32.5)

The difference in enthalpy at two different pressures for given values of S_A and Θ is available in the GSW Oceanographic Toolbox via the function **gsw_enthalpy_diff**. The summation of a series of such differences in enthalpy occurs in the GSW functions to evaluate two geostrophic streamfunctions from piecewise-constant vertical property profiles, **gsw_geo_strf_dyn_height_pc** and **gsw_geo_strf_isopycnal_pc**.