

# Supervised Image Classification Using an Artificial Neural Network for Optical Digit Recognition and Diagnosis of Fine Needle Aspirates of Breast Cancer

Somil Govani

# The Multivariate Conundrum

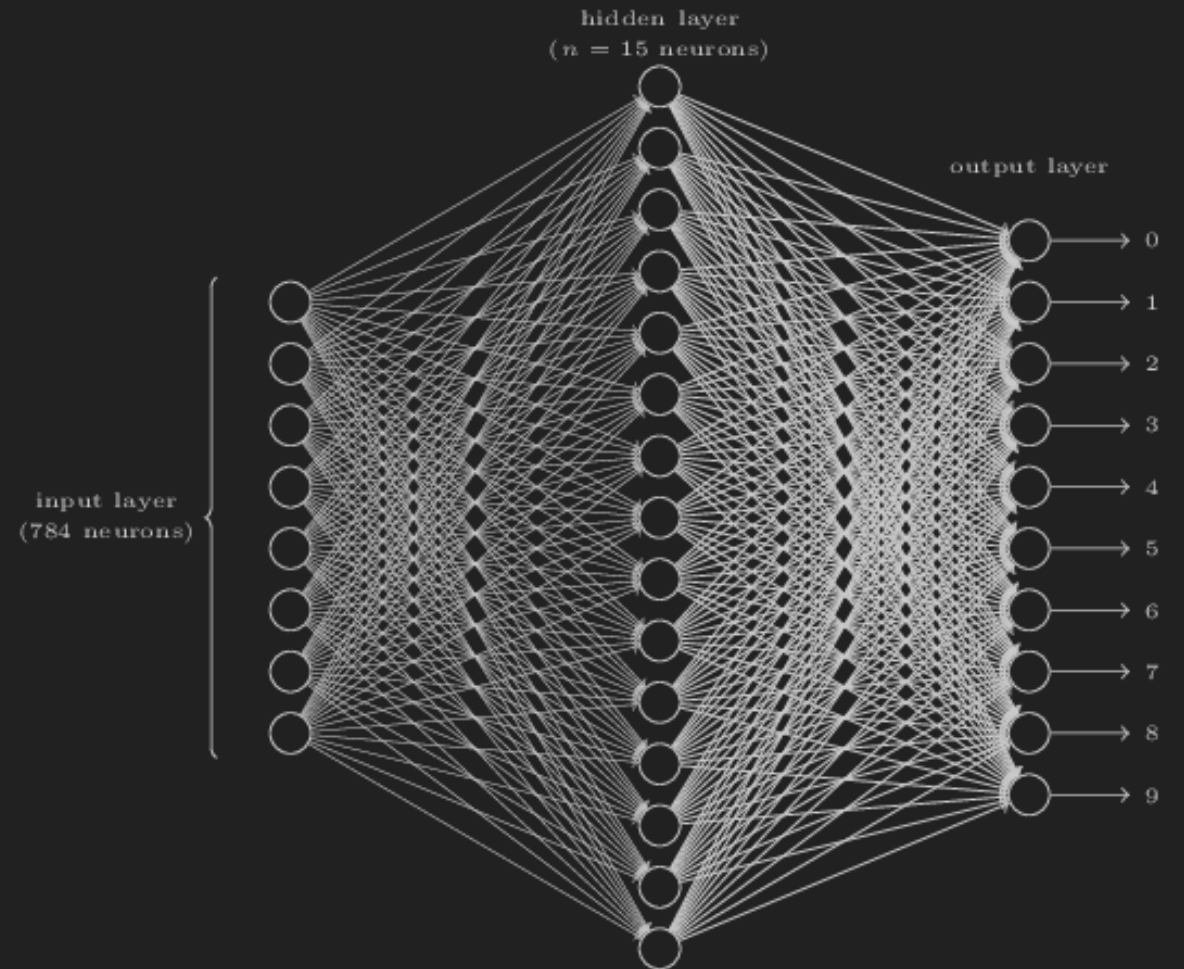
- Linear or limited-variable functions are easy to evaluate
- Learning problems of classification and continuous predictions become difficult
- Humans are particularly good at this





# Artificial Neural Networks (ANN)

- Machine Learning model loosely inspired by biological structure of brain
- Takes inputs, transforms and weights, gives outputs



# Training & Learning Model

- Training algorithm uses supervised data to set weights
- Constructs an approximate multivariate function

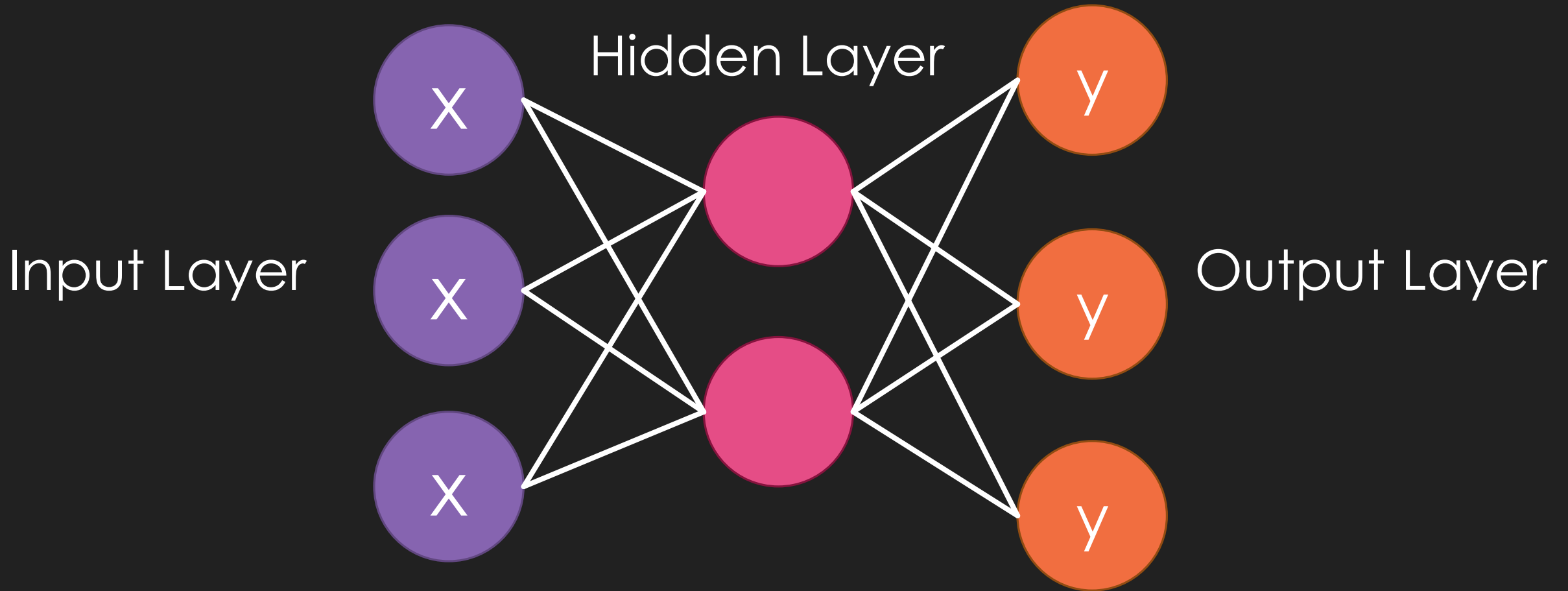
# Practical Applications

- Optical Character Recognition (OCR)
- Stock Market Prediction Analysis
- Travelling Salesman Problem (TSP)
- Other multivariate fuzzy predictions
- Image Classification (Digits, Medical Images, etc.)

# Goal

To develop a general-purpose, extensible artificial neural network for the supervised analysis and classification of images. To apply this ANN for Optical Digit Classification and categorical diagnosis of fine needle aspirates of breast cancer tumors.

# Network Class Constructor



# Network Class Constructor

```
6
7 class Neural_Network(object):
8     #Initialize neural network object (requires length of square image)
9     def __init__(self, imageSize, hLayer=10, Lambda=0):
10         self.inputLayerSize = imageSize**2
11         self.outputLayerSize = 10
12         #Set number of neurons in hidden layer to mean of input layer and output layer
13         self.hiddenLayerSize = hLayer
14
15         self.W1 = np.random.randn(self.inputLayerSize, self.hiddenLayerSize)
16         self.W2 = np.random.randn(self.hiddenLayerSize, self.outputLayerSize)
17         self.Lambda = Lambda
18
```



# Forward Propagation

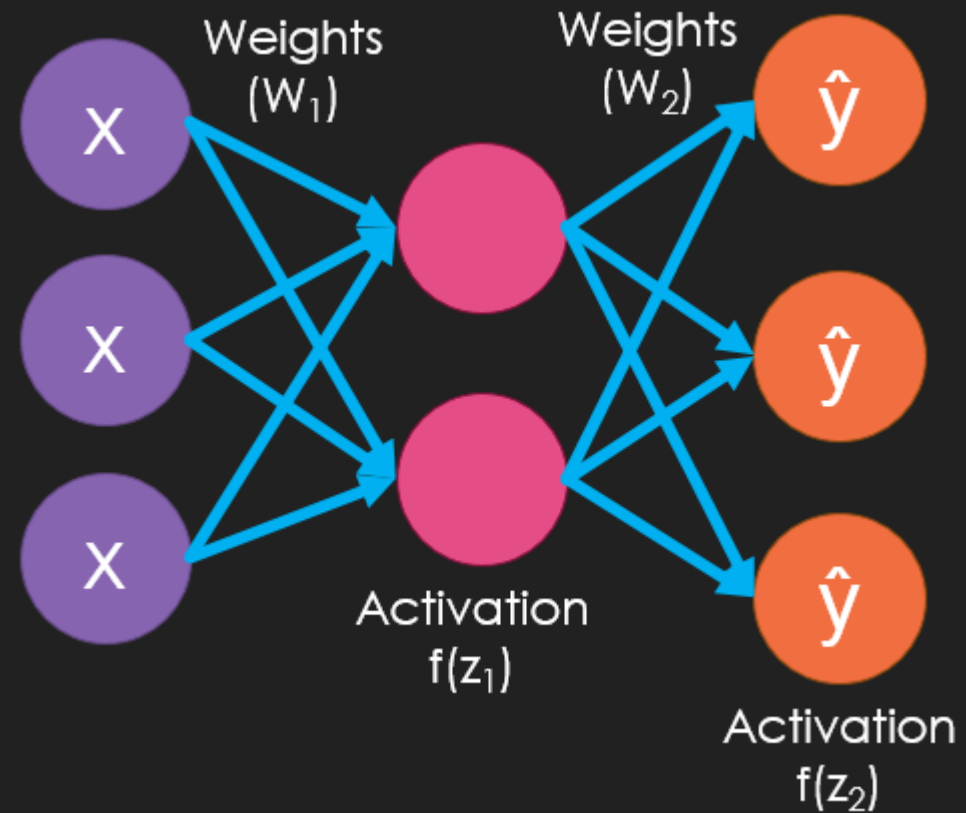
$$z_1 = XW_1$$

$$a = f(z_1)$$

$$z_2 = aW_2$$

$$\hat{y} = f(z_2)$$

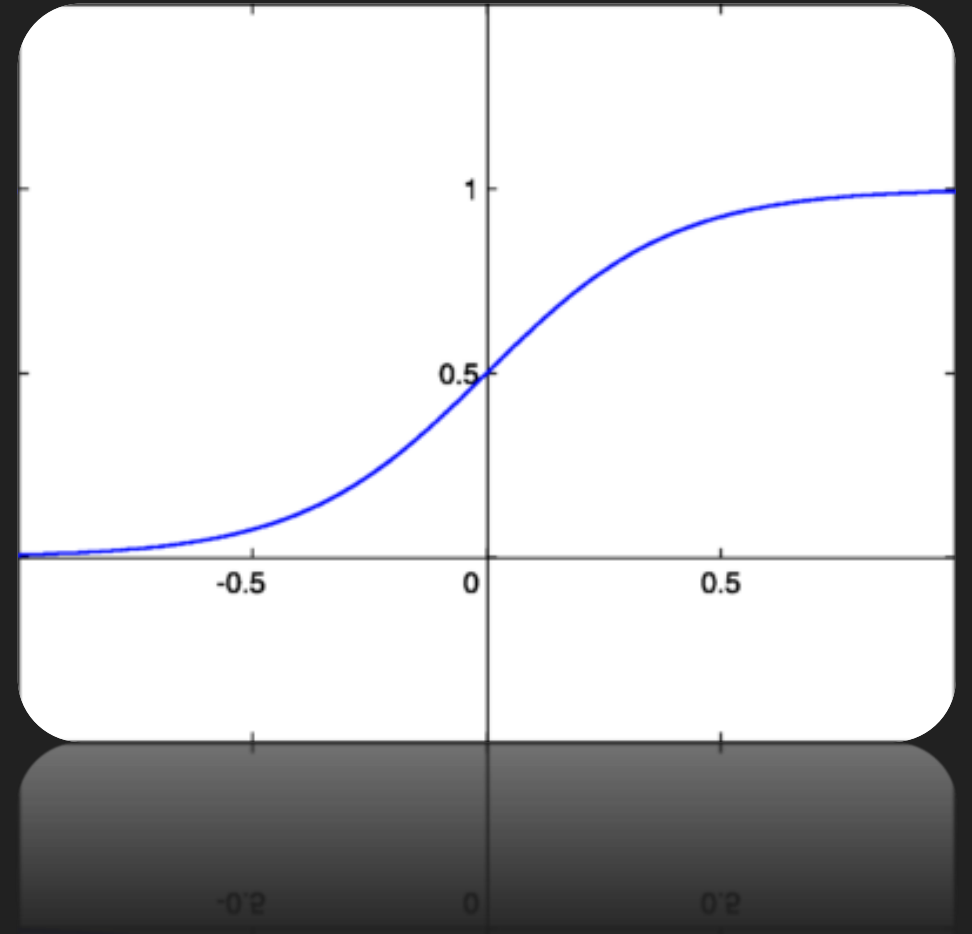
$$\hat{y} = f(f(XW_1)W_2)$$



# Sigmoid Activation Function $f(z)$

- Differentiable and introduces non-linear attributes

$$f(z) = \frac{1}{1 + e^{-z}} \quad f'(z) = \frac{-e^{-z}}{(1 + e^{-z})^2}$$



# Forward Propagation Method

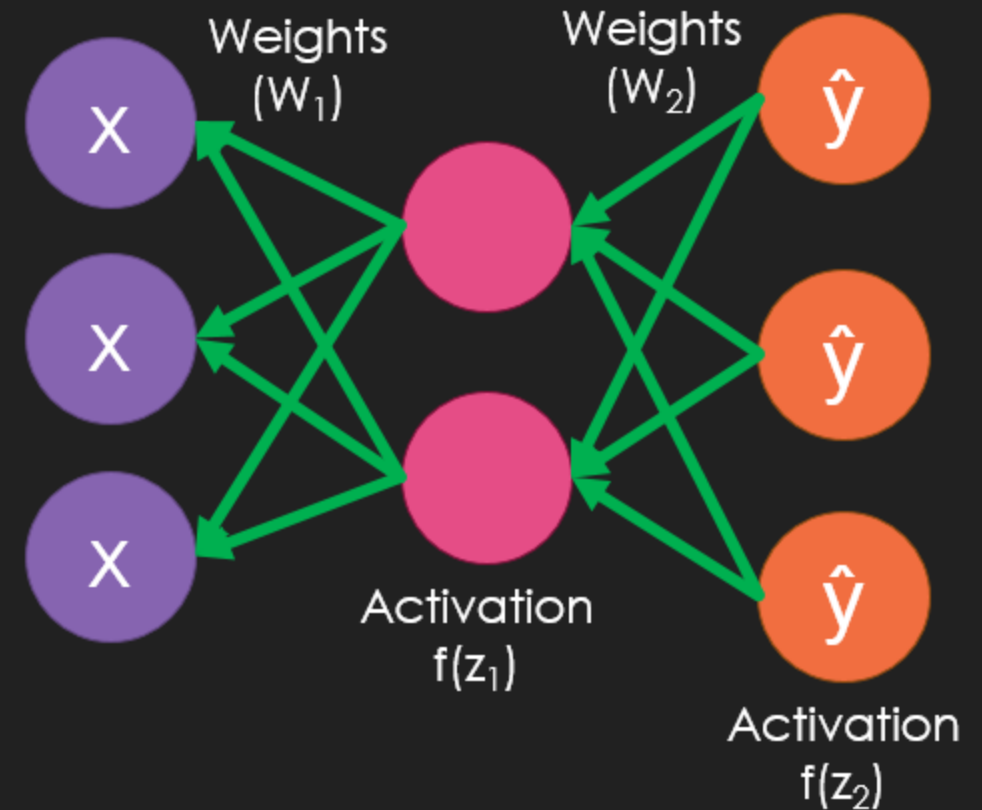
```
#Differentiated Sigmoid activation function
def sigmoidPrime(self, z):
    return (np.exp(-z) / ((1.0+np.exp(-z))**2))

#Sigmoid Activation function
def sigmoid(self, z):
    return 1.0 / (1.0 + np.exp(-z))

def forward(self, x):
    self.z2 = np.dot(x, self.W1)
    self.a2 = self.sigmoid(self.z2)
    self.z3 = np.dot(self.a2, self.W2)
    yHat = self.sigmoid(self.z3)
    return yHat
```

# Backwards Propagation

- Goal is tune weights in order to minimize the error of predicted outputs
- Use differential analysis to compute gradients



# Cross Entropy Cost Function

○ Chosen for categorical outputs

$$J = -\frac{1}{N} \sum y_n \ln(\hat{y}_n) + (1 - y_n) \ln(1 - \hat{y}_n)$$

Compute Average

Compute Cost for Correct  
Node

Compute Cost for  
Incorrect Nodes



# Minimizing Cost using a Computed Gradient

- Iteratively computes gradient of cost function
- Approximates Newton's Method and alters weights to approach minimum of cost function
- Use gradient to move in negative direction

$$\nabla J = \left( \frac{\partial J}{\partial W_1}, \frac{\partial J}{\partial W_2} \right)$$

# Computing Partial Derivative of Cost Function in Respect to $W_2$

$$J = -\frac{1}{N} \sum y_n \ln(\hat{y}_n) + (1 - y_n) \ln(1 - \hat{y}_n)$$

$$\frac{\partial J}{\partial W_2} = -\frac{1}{N} \sum \frac{y}{\hat{y}} * \frac{\partial \hat{y}}{\partial W_2} + \frac{1 - y}{1 - \hat{y}} * \frac{-\partial \hat{y}}{\partial W_2}$$

$$\frac{\partial J}{\partial W_2} = -\frac{1}{N} \sum \left[ \frac{y}{\hat{y}} - \frac{1 - y}{1 - \hat{y}} \right] \frac{\partial \hat{y}}{\partial W_2}$$

$$\frac{\partial J}{\partial W_2} = -\frac{1}{N} \sum \left[ \frac{y}{\hat{y}} - \frac{1 - y}{1 - \hat{y}} \right] \frac{\partial \hat{y}}{\partial z_2} \frac{\partial z_2}{\partial W_2}$$

$$\frac{\partial J}{\partial W_2} = -\frac{1}{N} \sum \left[ \frac{y}{\hat{y}} - \frac{1 - y}{1 - \hat{y}} \right] f'(z_2) a$$

$$\frac{\partial J}{\partial W_2} = -\frac{1}{N} \sum \left[ \frac{y - \hat{y}}{\hat{y}(1 - \hat{y})} \right] f'(z_2) a$$

$$\frac{\partial J}{\partial W_2} = a^T \cdot \frac{y - \hat{y}}{N}$$

# Computing Partial Derivative of Cost Function in Respect to $W_1$

$$J = -\frac{1}{N} \sum y_n \ln(\hat{y}_n) + (1 - y_n) \ln(1 - \hat{y}_n)$$

$$\frac{\partial J}{\partial W_1} = -\frac{1}{N} \sum \left[ \frac{y}{\hat{y}} - \frac{1 - y}{1 - \hat{y}} \right] \frac{\partial \hat{y}}{\partial W_1}$$

$$\frac{\partial J}{\partial W_1} = -\frac{1}{N} \sum \left[ \frac{y}{\hat{y}} - \frac{1 - y}{1 - \hat{y}} \right] \frac{\partial \hat{y}}{\partial z_2} \frac{\partial z_2}{\partial a} \frac{\partial a}{\partial z_1} \frac{\partial z_1}{\partial W_1}$$

$$\frac{\partial J}{\partial W_1} = \mathbf{X}^T \cdot \left[ \frac{[\hat{\mathbf{y}} - \mathbf{y}]}{N} \cdot \mathbf{W}_2^T \cdot \mathbf{f}'(\mathbf{z}_2) \right]$$

```

#Cross Entropy cost function
def cost(self, x, y, outPut=False, test=False):
    self.yHat = self.forward(x)
    if outPut:
        print self.yHat
    J = (-1.0/len(x)) * sum(sum(y * np.log(self.yHat)
    + (1-y)*np.log(1-self.yHat)))
    #Regularizes cost function to prevent overfitting
    regularize = (self.Lambda/2.0/len(x)) *
    (sum(sum(self.W1**2)) + sum(sum(self.W2**2)))
    if test:
        regularize = 0
    return J + regularize

#Compute derivative of cost function
def costPrime(self, x, y):
    self.yHat = self.forward(x)
    backError2 = (y-self.yHat)/(-float(len(x)))
    dJdW2 = np.dot(self.a2.transpose(), backError2)
    + (self.Lambda*self.W2)/(len(x))
    backError1 = np.dot(backError2, self.W2.transpose())
    * self.sigmoidPrime(self.z2)
    dJdW1 = np.dot(x.transpose(), backError1)
    + (self.Lambda*sum(sum(self.W1)))/(len(x))
    return dJdW1, dJdW2

```

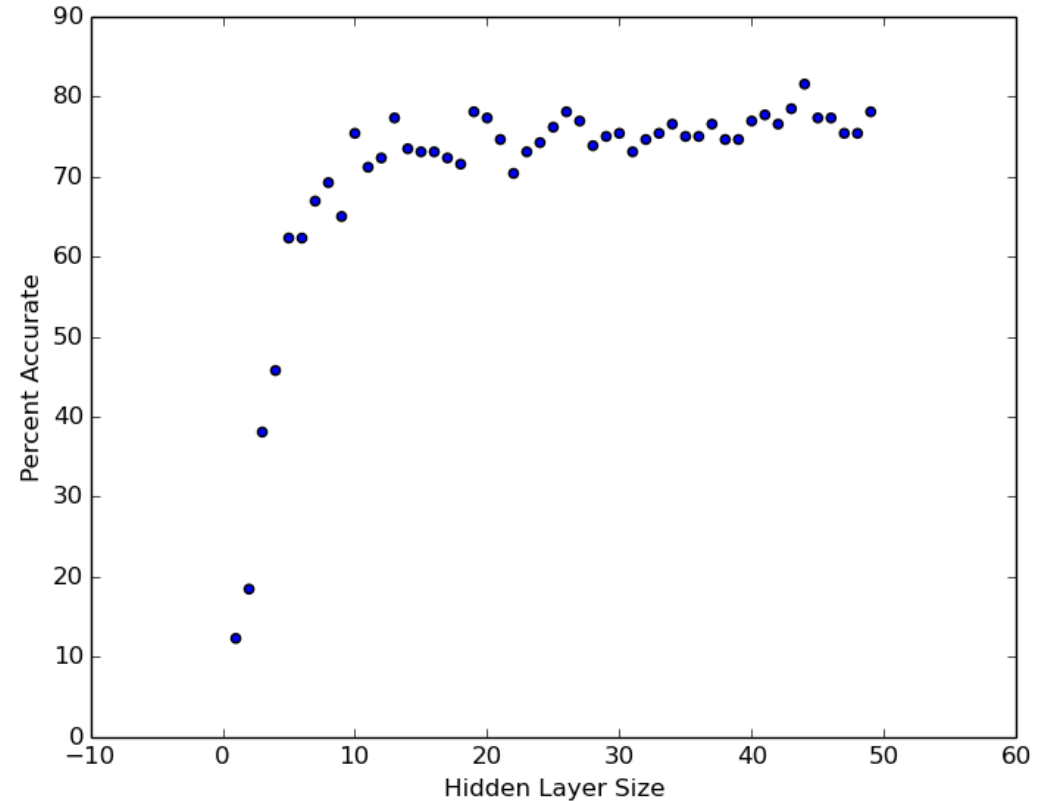
# Training

- In order to carry out supervised backpropagation, supervised training data is necessary
- The more training data the more accurate the approximation
- Use training data to minimize cost using BFGS/CG algorithm



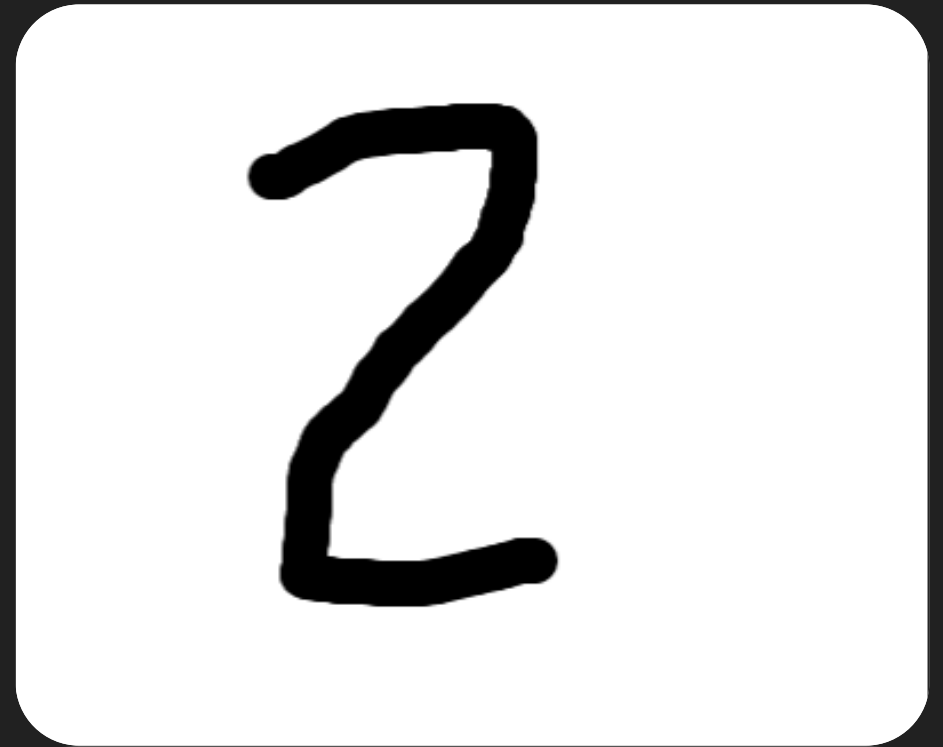
# Determining Hyperparameters

- % Accuracy vs Hidden Layer Size
- Constant iterations and samples
- Change is negligible after approximately 10



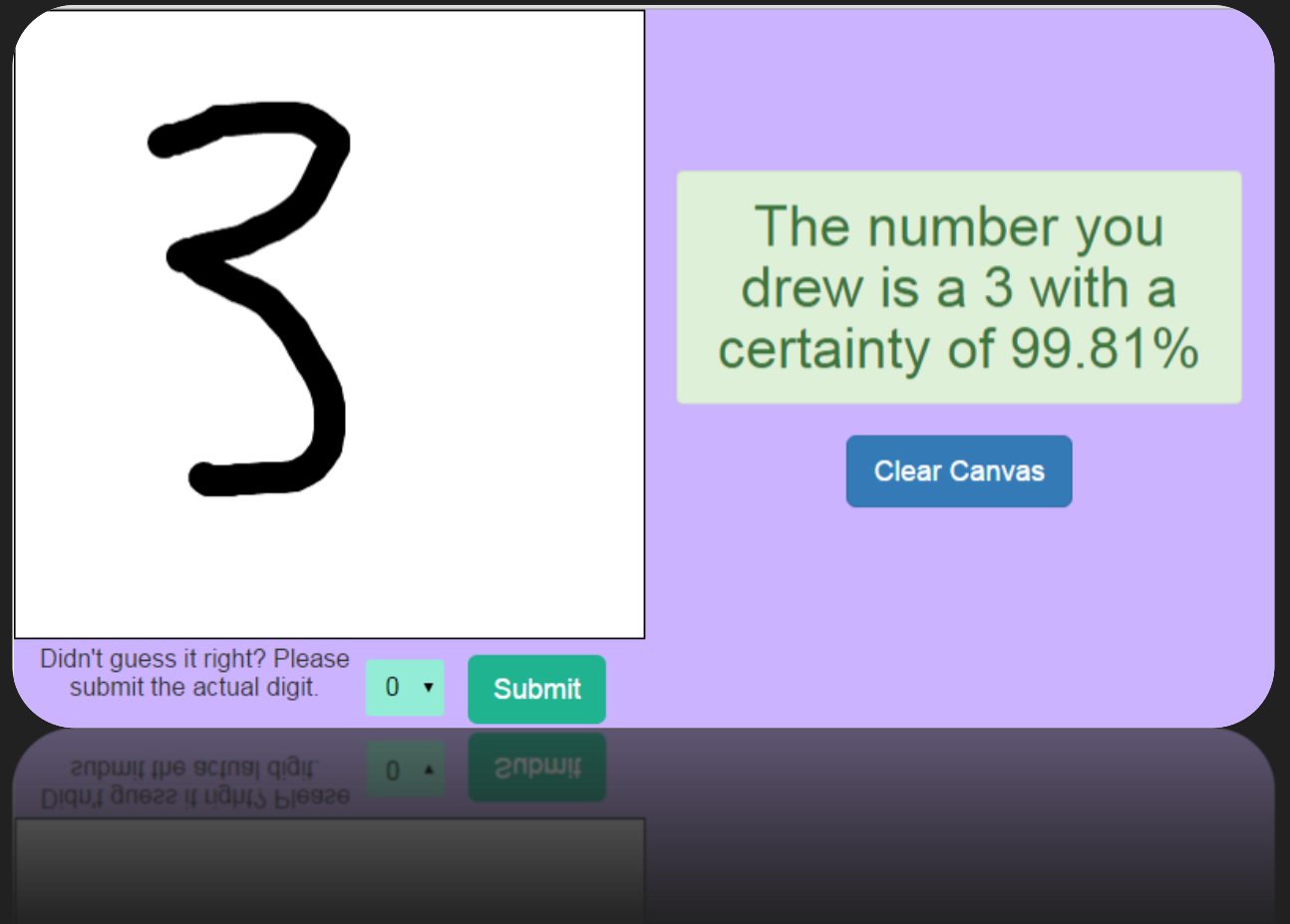
# Digit Recognition

- Use handwritten digits as training data
- Crop and compress image to 16x16 pixels
  - Input uses 1-of-N encoding (-1 for White, 1 for Black)
- Output is vector of length 10 (for each digit)



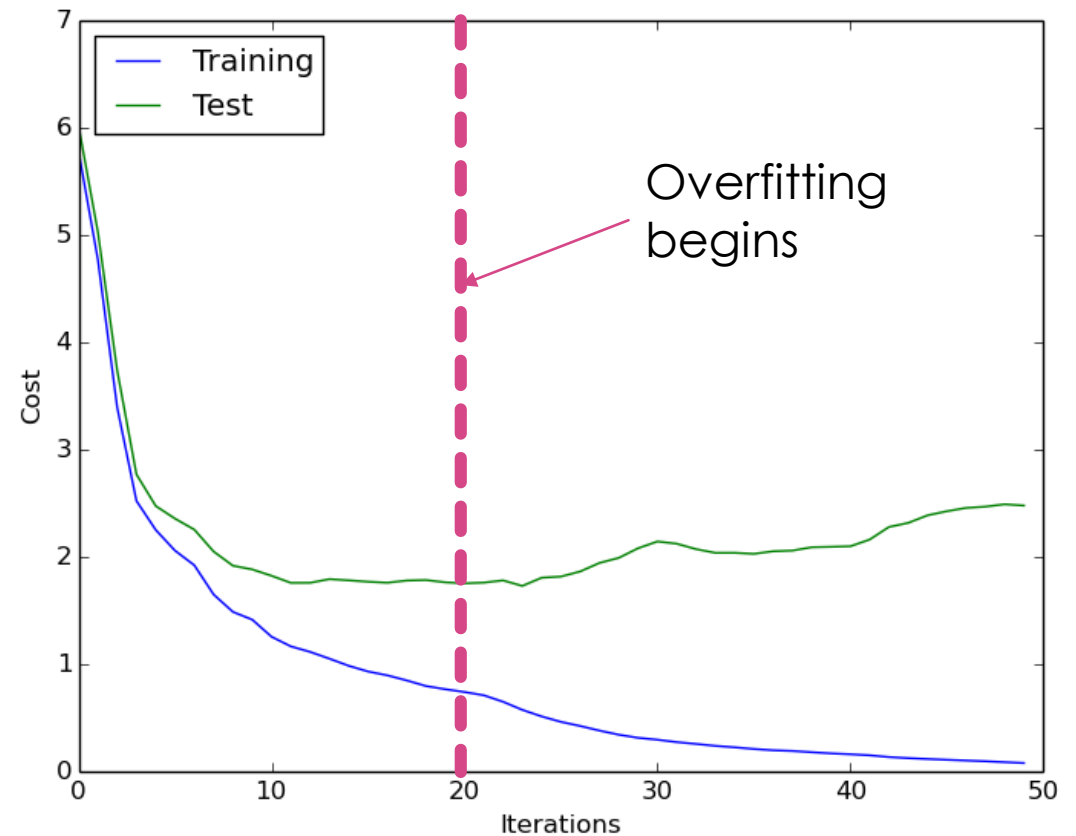
# Data Collection

- Built application using PyGame
  - Mostly trained my handwriting
- Built cloud-based web application using Django
  - Crowd-sourced training
- Integrated with neural network for forward and backwards propagation



# Testing

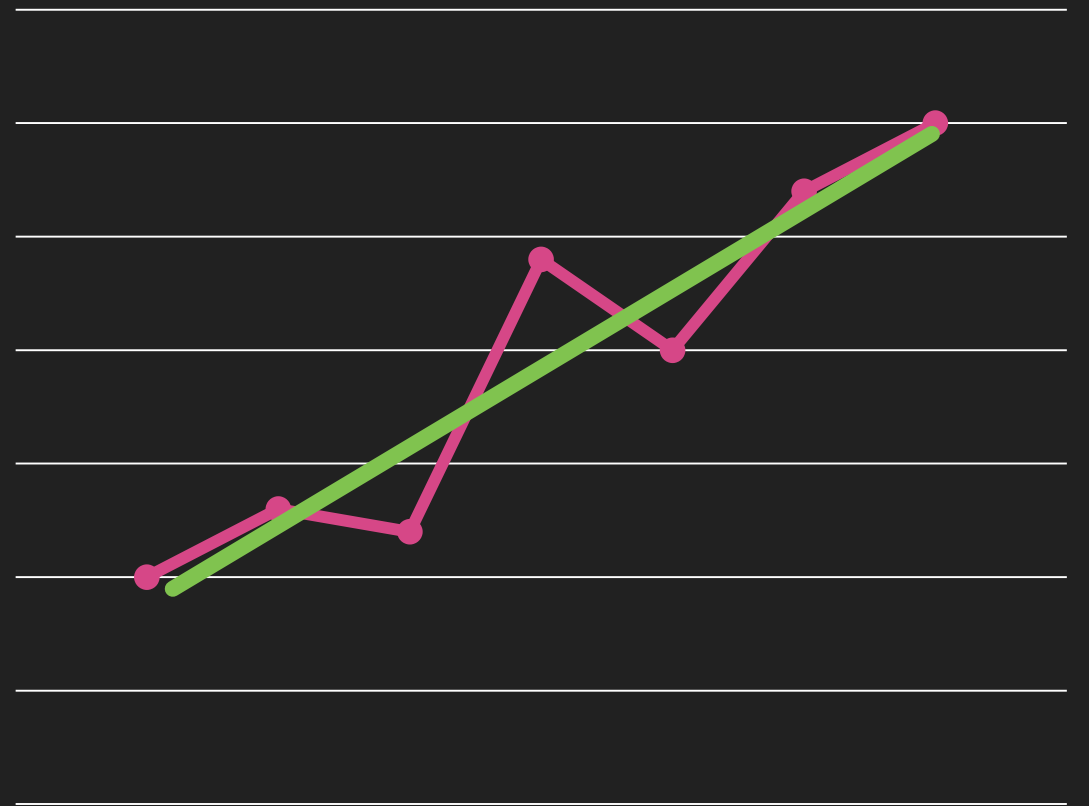
- 20% of data used for testing / 80% used for training
- Shows some signs of overfitting
- Overall accuracy of **82.4%** across 1560 trials



# Accounting for Overfitting

- Early stoppage
  - Stopped iterations at around 40, computed average for beginning of overfitting
- Need more data (sample size too small compared to number of inputs/outputs)
- Regularization constant

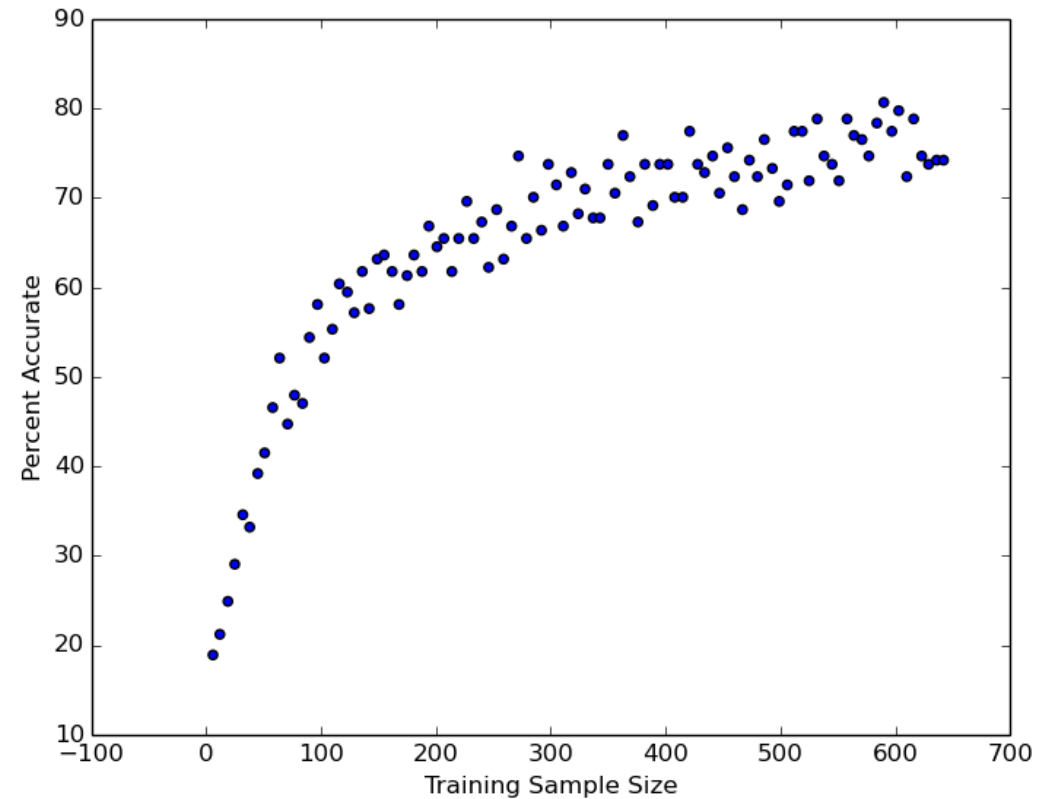
$$\frac{\lambda}{2n} \sum w_j^2$$





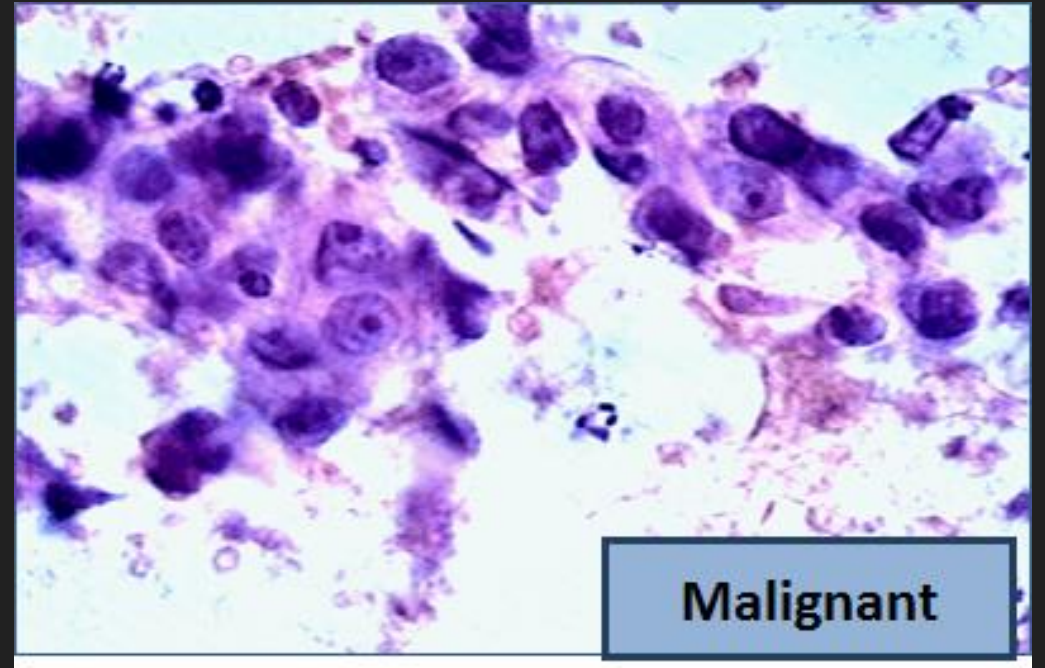
# Sample Size versus Percent Accuracy

- % Accuracy vs Training Sample Size
- Shows logarithmic growth
- Shows that an increase of sample size will increase accuracy (overcome overfitting)



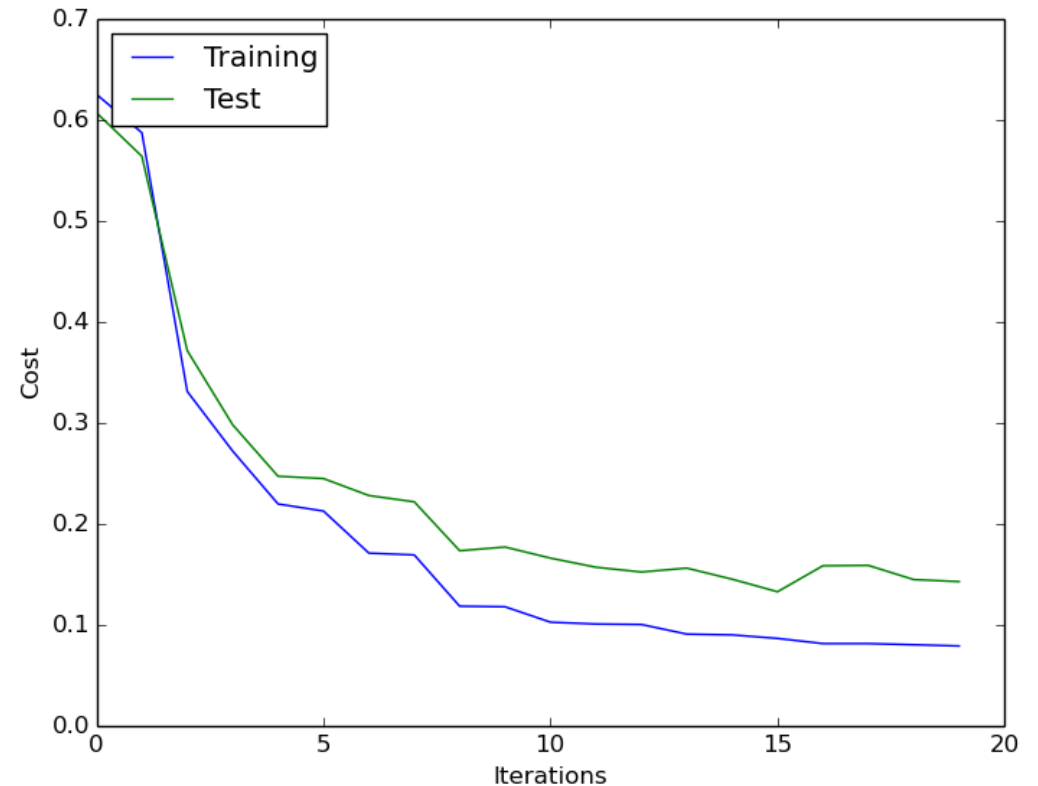
# Diagnosis of Fine Needle Aspirates of Breast Tumors

- Input data from Breast biopsies from UCI Machine Learning Database
- Data consists of many attributes of biopsies (radius, texture, perimeter, smoothness, etc)
- Single Output (Benign vs Malignant)



# Testing

- 30% of data used for testing / 70% used for training
- Ample amount of data (no signs of overfitting)
- Overall accuracy of **97%** across 715 trials



# Conclusion

## Successes

- High % accuracy for both sets
- Efficient gradient descent
- Neural network is extensible

## Future Implications

- More training data (esp. for ODR)
- Bootstrap aggregation of data set (esp. for ODR)
- Investigation into more convoluted/deep neural networks

# Bibliography

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