ORACLES AND CHOICE SEQUENCES FOR TYPE-THEORETIC PRAGMATICS

Jon Sterling October 8, 2015

joint work with Darryl McAdams

INTRODUCTION

[A woman walked in.]] ∇ (∑ $p \in Woman$)

[A woman walked in.]] $vis_{}$ (∑ $p \in Woman$) WalkedIn(p)

[She sat down]

∇

[She sat down]

∇

SatDown(???)

[A woman walked in. She sat down]



[A woman walked in. She sat down]]
$$∇$$
 $(Σx ∈ (Σp ∈ Woman) WalkedIn(p))$

[A woman walked in. She sat down]
$$\nabla$$

$$(\Sigma x \in (\Sigma p \in Woman) \ WalkedIn(p)) \ SatDown(???)$$

THE "DONKEY SENTENCE"

[Every farmer who owns a donkey beats it.]] ∇ $(\Pi p \in (\Sigma x \in Farmer) (\Sigma y \in Donkey) Owns(x; y))$

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[Every farmer who owns a donkey beats it.]] ∇ $(\Pi p \in (\Sigma x \in Farmer) \, (\Sigma y \in Donkey) \, Owns(x;y)) \, Beats(\pi_1(p); \pi_1(\pi_2(p)))$

 \cdot terms for presuppositions

- terms for presuppositions
- \cdot resolution of presuppositions

- terms for presuppositions
- resolution of presuppositions

- terms for presuppositions (this talk)
- resolution of presuppositions

THE require ORACLE: STATICS

require — FORMAL RULES

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require : (0;1) (operator)
require x : A in N \triangleq \text{require}(A; x.N) (notation)
```

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require: (0;1) (operator)

require
$$x : A$$
 in $N \triangleq \text{require}(A; x.N)$ (notation)

$$\frac{\Gamma \vdash M \in A \quad \Gamma, x : A \vdash N \in B}{\Gamma \vdash \text{require } x : A \text{ in } N \in B}$$
 (require)

require — EXAMPLES

[A woman walked in. She sat down]
$$\nabla$$
 $(\Sigma x \in (\Sigma p \in Woman) WalkedIn(p)) SatDown(???)$

require — EXAMPLES

[A woman walked in. She sat down]

 $(\Sigma x \in (\Sigma p \in Woman) \ WalkedIn(p))$ require y : Woman in SatDown(y)

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What we want:

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(\Sigma x \in (\Sigma p \in Woman) \ WalkedIn(p)) require y : Woman in SatDown(y) \sim (\Sigma x \in (\Sigma p \in Woman) \ WalkedIn(p)) \ \pi_1(x)
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What we want:

$$(\Sigma x \in (\Sigma p \in Woman) \ WalkedIn(p))$$
 require $y : Woman$ in $SatDown(y)$

~

$$(\Sigma x \in (\Sigma p \in Woman) WalkedIn(p)) \pi_1(x)$$

where
$$M \sim N \stackrel{\text{\tiny def}}{=} (M \leq N) \wedge (N \leq M)$$

EVERY GRAMMATICAL SENTENCE HAS A MEANING

EVERY GRAMMATICAL SENTENCE HAS A MEANING

...but only some of them denote propositions (types)!

require—NAÏVE DYNAMICS

$$\underline{M \in A \quad [M/x] \ N \Downarrow N'} \\
\mathbf{require} \ x : A \ \mathbf{in} \ N \Downarrow N'$$
(??)

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$$\underline{M \in A \quad [M/x] \, N \, \downarrow \, N'} \\
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Can the above be made precise? There are two problems:

1. circularity

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(??)

Can the above be made precise? There are two problems:

- 1. circularity
- 2. non-determinism



[The President ran a marathon]

▽

[The President ran a marathon]
∇

require x: President in $(\Sigma y \in Marathon) Ran(x; y)$

require x: *President* **in** $(\Sigma y \in Marathon) Ran(x; y)$

```
require x: President in (\Sigma y \in Marathon) Ran(x; y)
\downarrow \downarrow
(\Sigma y \in Marathon) Ran(Obama; y)
```

[The unicorn ran a marathon]

▽

[The unicorn ran a marathon]

 ∇

require x : *Unicorn* **in** $(\Sigma y \in Marathon) Ran(x; y)$

 \llbracket The unicorn ran a marathon \rrbracket ∇

require x: Unicorn in (Σy ∈ Marathon) Ran(x; y)

(not a proposition)

IS require COMPUTATIONALLY EFFECTIVE?	

1. judgments shall be local / sensitive to knowledge

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- 2. non-determinism must be eliminated

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All mathematics is a mental construction performed by an idealized subject, subject to the following observations about knowledge:

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Corollary

The meaning of a judgment \mathscr{J} must be explained in terms of its forcing condition, $w \Vdash \mathscr{J}$, for any stage/world w.

REMARK ON DECIDABILITY

•••

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Remark

Contra Dummett, I <u>by no means</u> take the above as requiring that the following shall be true in a constructive metatheory, <u>divorced from time</u>:

$$\forall w. \forall \mathcal{J}. (w \Vdash \mathcal{J}) \lor \neg (w \Vdash \mathcal{J})$$
 (Dummett's infelicity)

The above is impossible in a Beth model.

$logical\ consequence \Rightarrow semantic\ consequence$

Brouwer?, Martin-Löf, Sundholm ⇒ Brouwer?, Heyting, Allen, Zeilberger

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proof conditions ⇒ assertion conditions

Dummett, Martin-Löf, Sundholm ⇒ Brouwer, Heyting, Van Atten

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global meaning explanation \Rightarrow local meaning explanation

Husserl, Dummett, Martin-Löf ⇒ Brouwer, Beth, Kripke, Grothendieck, Lawvere, Joyal

BETH-KRIPKE SEMANTICS FOR ASSERTIONS

assertion acts (judgments) are intensional (local)

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$$|_{x} \mathcal{J}(x)$$

(general judgment)

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$ _{x} \mathcal{J}(x)$	(general judgment)
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$M \Downarrow N$	(evaluation)
A type	(typehood)
A verif	(verification)
A true	(truth)
$M = N \in A$	(membership)

$w \Vdash _{x} \mathcal{J}(x)$	(general judgment)
$w \Vdash \mathcal{J}_2 (\mathcal{J}_1)$	(hypothetical judgment)
$w \Vdash M \Downarrow N$	(evaluation)
$w \Vdash A \ type$	(typehood)
$w \Vdash A \ verif$	(verification)
$w \Vdash A \ true$	(truth)
$w\Vdash M=N\in A$	(membership)

$$w \Vdash |_{x} \mathcal{J}(x)$$
$$w \Vdash \mathcal{J}_{2} (\mathcal{J}_{1})$$

(general judgment) (hypothetical judgment)

$$w \Vdash |_{x} \mathcal{J}(x) \iff \cdots$$
$$w \Vdash \mathcal{J}_{2} (\mathcal{J}_{1}) \iff \cdots$$

$$\begin{split} w \Vdash \mid_{x} \mathcal{J}(x) &\iff \forall u \geq w. \forall x \in \mathcal{D}_{u}. \ u \Vdash \mathcal{J}(x) \\ w \Vdash \mathcal{J}_{2} \ (\mathcal{J}_{1}) &\iff \forall u \geq w. \ u \Vdash \mathcal{J}_{1} \Rightarrow u \Vdash \mathcal{J}_{2} \end{split}$$

$$w \Vdash |_{x} \mathcal{J}(x) \iff \forall u \geq w. \forall x \in \mathcal{D}_{u}. \ u \Vdash \mathcal{J}(x)$$

$$w \Vdash \mathcal{J}_{2} (\mathcal{J}_{1}) \iff \forall u \geq w. \ u \Vdash \mathcal{J}_{1} \Rightarrow u \Vdash \mathcal{J}_{2}$$

where \mathscr{D}_{w} is the species of constructions that have been effected by stage \boldsymbol{w}

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Intuitionism subsumes constructivism, but goes much further by allowing the observation of non-constructive objects (Fourman)

THE MEANING OF A PROPOSITION

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For a type A, implicit in the explanation of $w \Vdash A \ verif$ is a \mathbb{W} -indexed family of PERs $\mathscr{V}\llbracket A \rrbracket_w \subseteq \mathscr{D}_w \times \mathscr{D}_w$ whose members reflect the computational content (extension) of verification acts.

INTUITIONISTIC SEMANTICS OF TRUTH

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In the model, this corresponds to the inevitability of verification (i.e. a <u>bar</u>, in which verification occurs at all nodes):

 $w \Vdash A \ true \iff \exists \mathfrak{B} \ \mathbf{bars} \ w. \forall u \in \mathfrak{B}. \ u \Vdash A \ verif \quad \text{(due to Dummett)}$

The analytic judgments of type theory are reflections on mathematical activity.

1. Canonical membership reflects verification

$$\mathscr{V}[A]_w(M,N)\bowtie w\Vdash A\ verif$$

- 1. Canonical membership reflects verification
- 2. Membership reflects justification

$$w \Vdash M = N \in A \bowtie w \Vdash A true$$

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- 3. Computation reflects the recognition of a <u>bar</u>

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CHOICE SEQUENCES AND THE CREATING SUBJECT

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Example:

$$\alpha(i) = \begin{cases} 0 & i \Vdash A \ true \\ 1 & \neg(i \Vdash A \ true) \end{cases}$$
 (KS)

THE JUSTIFICATIONS SHEAF

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THE JUSTIFICATIONS SHEAF

Let \mathcal{K}_A : **FinSet**^{Wop} be the sheaf of constructions of A true effected "so far" for each canonical proposition A.

We now can give a precise, but non-deterministic, dynamics to **require**:

$$\frac{w \Vdash A \Downarrow A' \quad M \in \mathcal{K}_{A'}(w) \quad w \Vdash [M/x] N \Downarrow N'}{w \Vdash \text{require } x : A \text{ in } N \Downarrow N'} \tag{*}$$

ELIMINATING NON-DETERMINISM WITH A SPREAD

We need a way to deterministically choose a representative of $\mathcal{K}_A(w)$. First, let \varkappa_A be the choice sequence of lists given by enumerating $\mathcal{K}_A(w)$ at each stage w, in order of time.

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Idea: reformulate Type Theory relative to a choice sequence of "choosers".

SPREADS: SETS OF CHOICE SEQUENCES

A spread direction \mathfrak{S} is a restriction on choice sequences which is defined by a condition on their finite approximations (prefixes, neighborhoods), subject to the following laws:

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$$\mathfrak{S}(\langle \rangle)$$

2. if a neighborhood is admitted, so shall all its subneighborhoods

$$|_{\vec{u},m} \mathfrak{S}(\vec{u}) \left(\mathfrak{S}(\vec{u} - m) \right)$$

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$$|_{\vec{u},m} \mathfrak{S}(\vec{u}) \left(\mathfrak{S}(\vec{u} - m) \right)$$

3. a neighborhood may always be refined within the spread

$$|_{\vec{u}} \Im(\vec{u} - m) (\Im(\vec{u}))$$

A CONSERVATIVE EXTENSION OF TYPE THEORY

A spread direction for index-choosers:

$$\frac{\Xi(\vec{u}) \quad |_{n} \rho(n) < n \ (n \in \mathbb{N}^{+})}{\Xi(\vec{u} - \rho)}$$
 (spread law)

A CONSERVATIVE EXTENSION OF TYPE THEORY

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 (spread law)

Reformulate type theory relative to an arbitrary $\alpha \in \mathfrak{S}$! For instance:

$$\frac{w \Vdash M \Downarrow_{\alpha} M' \quad w \Vdash N \Downarrow_{\alpha} N' \quad \mathcal{V}[\![A]\!]_{w}^{\alpha}(M',N')}{w \Vdash M = N \in_{\alpha} A}$$

require — DYNAMICS

Deterministic choice for \varkappa_A :

$$\frac{w \Vdash A \Downarrow_{\alpha} A' \quad |\varkappa_{A'}(w)| = \ell \quad \operatorname{hd}(\alpha)(\ell) = i \quad \varkappa_{A'}(w)(i) = M}{w \Vdash \varkappa_{A} \ni_{\alpha} M}$$

require — DYNAMICS

Deterministic choice for \varkappa_A :

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Deterministic dynamics for require:

$$\frac{w \Vdash \varkappa_{A} \ni_{\alpha} M \quad w \Vdash [M/x] N \Downarrow_{\mathsf{tl}(\alpha)} N'}{w \Vdash \mathsf{require} \ x : A \ \mathsf{in} \ N \Downarrow_{\alpha} N'} \qquad (\mathsf{for} \ \alpha \in \mathfrak{S})$$

VALIDITY OF THE REQUIRE RULE

Theorem

The following rule is valid in our semantics:

$$\frac{w \Vdash A \; true_{\alpha} \quad w \Vdash x : A \vdash_{\alpha} N \in B}{w \Vdash \mathbf{require} \; x : A \; \mathbf{in} \; N \in_{\alpha} B} \; require$$

 $\frac{w \Vdash A \; true_{\alpha} \quad w \Vdash x : A \vdash_{\alpha} N \in B}{w \Vdash \mathbf{require} \; x : A \; \mathbf{in} \; N \in_{\alpha} B} \; require$

$$\frac{}{w\Vdash A\ true_{\alpha}}\ \mathcal{D}\qquad \frac{}{w\Vdash x:A\vdash_{\alpha}N\in B}\ \mathcal{E}$$

 $\overline{w \Vdash \mathbf{require} \ x : A \mathbf{in} \ N \in_{\alpha} B}$ require

$$\frac{}{w\Vdash A\;true_{\alpha}}\;\mathcal{D}\qquad \frac{}{w\Vdash x:A\vdash_{\alpha}N\in B}\;\mathcal{E}$$

$$\frac{\overline{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \Downarrow_{\alpha} \mathbf{N'}}}{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \in_{\alpha} B} \ require} \ require$$

$$\frac{}{w \Vdash A \; true_{\alpha}} \; \mathcal{D} \qquad \frac{}{w \Vdash x : A \vdash_{\alpha} N \in B} \; \mathcal{E}$$

$$\frac{\overline{w} \Vdash \varkappa_{A} \ni_{\alpha} \overline{M} \quad \overline{[M/x]N \Downarrow_{\alpha} N'}}{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \Downarrow_{\alpha} N'} \quad \overline{\mathscr{V} \llbracket B \rrbracket_{w}^{\alpha} (N', N')}}{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \in_{\alpha} B} require$$

$$\overline{w \Vdash A \; true_{\alpha}} \; \mathcal{D} \qquad \overline{w \Vdash x : A \vdash_{\alpha} N \in B} \; \mathcal{E}$$

$$\frac{\sqrt[]{w \Vdash A \ true_{\alpha}}}{w \Vdash \varkappa_{A} \ni_{\alpha} \frac{M}{M}} \underbrace{[M/x]N \Downarrow_{\alpha} \frac{N'}{N'}}_{w \Vdash \text{require } x : A \text{ in } N \Downarrow_{\alpha} \frac{N'}{N'}} \underbrace{\mathscr{V}[B]_{w}^{\alpha}(N', N')}_{w \vdash \text{require } x : A \text{ in } N \in_{\alpha} B} require$$

$$\frac{\overline{w \Vdash |y_{,z}[y/x]N = [z/x]N \in_{\alpha} B \ \left(y = z \in_{\alpha} A\right)}}{w \Vdash x : A \vdash_{\alpha} N \in B} \, \mathcal{E}$$

$$\frac{\sqrt{w \Vdash A \ true_{\alpha}}}{w \Vdash \varkappa_{A} \ni_{\alpha} M} \underbrace{\overline{[M/x]N \Downarrow_{\alpha} N'}}_{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \Downarrow_{\alpha} N'} \underbrace{\overline{\mathscr{V}[B]_{w}^{\alpha}(N', N')}}_{w \Vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \in_{\alpha} B} require$$

$$\frac{\overline{\forall u \succeq w. \forall y, z \in \mathcal{D}_u. \ u \Vdash y = z \in_\alpha A \Rightarrow u \Vdash [y/x] N = [z/x] N \in_\alpha B} \ \mathcal{F}}{w \Vdash |_{y,z} [y/x] N = [z/x] N \in_\alpha B \ \left(y = z \in_\alpha A\right)} \ \mathcal{E}}$$

$$\frac{\frac{\sqrt{}}{w \Vdash A \ true_{\alpha}} \ \mathcal{D}}{\frac{w \Vdash \varkappa_{A} \ni_{\alpha} M}{w \Vdash require} \ x : A \ in \ N \ \downarrow_{\alpha} N'}{w \Vdash require} \ \frac{}{w \Vdash require} x : A \ in \ N \in_{\alpha} B} require}$$

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$$\frac{\overline{w} \Vdash A \ true_\alpha}{w \Vdash M \in_\alpha A \Rightarrow w \Vdash [M/x] N \in_\alpha B} \ \mathcal{F}(w, M, M) \quad \overline{w} \Vdash M \in_\alpha A$$

$$\frac{\frac{\sqrt{}}{w \Vdash A \ true_{\alpha}}}{\frac{w \vdash \varkappa_{A} \ni_{\alpha} M}{m}} \underbrace{\frac{[M/x]N \Downarrow_{\alpha} N'}{[M/x]N \Downarrow_{\alpha} N'}}_{w \vdash \mathbf{require} \ x : A \ \mathbf{in} \ N \Downarrow_{\alpha} N'} \underbrace{\frac{w \vdash \mathbb{E} \mathbb{I}^{\alpha}_{w}(N', N')}{\mathscr{V} \llbracket B \rrbracket^{\alpha}_{w}(N', N')}}_{require}$$

$$\frac{\overline{\forall u \succeq w. \forall y, z \in \mathcal{I}_u. \ u \Vdash y = z \in_\alpha A \Rightarrow u \Vdash [y/x]N = [z/x]N \in_\alpha B} \ \mathcal{F}}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

$$\frac{w \Vdash |y/x| N = [z/x]N \in_\alpha B \ (y = z \in_\alpha A)}{w \Vdash x : A \vdash_\alpha N \in B} \ \mathcal{F}}$$

$$\frac{\sqrt{w \Vdash M \in_\alpha A \Rightarrow w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w} \Vdash \varkappa_A \ni_\alpha M}{w \Vdash M \in_\alpha A}$$

$$\frac{\overline{w} \Vdash \varkappa_A \ni_\alpha M}{\overline{w} \Vdash \varkappa_A \ni_\alpha M} \ \underline{[M/x]N \Downarrow_\alpha N'}$$

$$\frac{\overline{w} \Vdash \text{require } x : A \text{ in } N \Downarrow_\alpha N'}{w \Vdash \text{require } x : A \text{ in } N \in_\alpha B} \ require$$

$$\frac{ \begin{array}{c} \overline{\forall u \succeq w. \forall y, z \in \mathcal{D}_u. \ u \Vdash y = z \in_\alpha A \Rightarrow u \Vdash [y/x]N = [z/x]N \in_\alpha B} \ \mathcal{F} \\ \hline w \Vdash |_{y,z} [y/x]N = [z/x]N \in_\alpha B \ \left(y = z \in_\alpha A\right) \\ \hline w \Vdash x : A \vdash_\alpha N \in B \end{array}} \mathcal{F} \\ \frac{\sqrt{w} \Vdash A \ true_\alpha}}{w \Vdash M \in_\alpha A \Rightarrow w \Vdash [M/x]N \in_\alpha B} \mathcal{F}(w,M,M) \begin{array}{c} \frac{\sqrt{w} \Vdash A \ true_\alpha}}{w \Vdash \varkappa_A \ni_\alpha M} \\ \hline w \Vdash M \in_\alpha A \end{array}$$

$$\frac{\frac{\sqrt{}}{w \Vdash A \ true_{\alpha}}}{\frac{w \Vdash \varkappa_{A} \ni_{\alpha} M}{w \Vdash require} \ x : A \ in \ N \ \downarrow_{\alpha} N'}}{\frac{w \Vdash require}{x : A \ in \ N \in_{\alpha} B}} \mathcal{F}[B]_{w}^{\alpha}(N', N')} require}$$

$$\frac{\forall u \succeq w. \forall y, z \in \mathcal{Q}_u. \ u \Vdash y = z \in_{\alpha} A \Rightarrow u \Vdash [y/x]N = [z/x]N \in_{\alpha} B}{w \Vdash A \ true_{\alpha}} \mathcal{F}$$

$$\frac{w \Vdash A \ true_{\alpha}}{w \Vdash A \ true_{\alpha}} \mathcal{F}$$

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$$\frac{\forall w \Vdash A \ true_{\alpha}}{w \Vdash A \ true_{\alpha}} \mathcal{F}$$

$$\frac{\forall w \Vdash A \ true_{\alpha}}{w \Vdash A \ true_{\alpha}} \mathcal{F}$$

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$$\frac{\forall u \geq w. \forall y, z \in \mathcal{D}_u. \ u \Vdash y = z \in_{\alpha} A \Rightarrow u \Vdash [y/x] N = [z/x] N \in_{\alpha} B}{w \Vdash |y,z|} \mathcal{F}$$

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$$\frac{\overline{w} \Vdash [M/x]N \in_\alpha B}{w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w} \Vdash A \ true_\alpha}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

$$\frac{\overline{w} \Vdash [M/x]N \downarrow_\alpha N'}{w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w}}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

$$\frac{\overline{w} \Vdash [M/x]N \downarrow_\alpha N'}{w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w}}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

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$$\frac{\overline{w} \Vdash [B]_w^\alpha (N', N')}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

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$$\frac{\overline{w} \Vdash [M/x]N \in_\alpha B}{w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w} \Vdash A \ true_\alpha}{w \Vdash A \ true_\alpha} \ \mathcal{F}}{w \Vdash A \ true_\alpha} \ \mathcal{F}}$$

$$\frac{\overline{w} \Vdash [M/x]N \downarrow_\alpha N'}{w \Vdash [M/x]N \in_\alpha B} \ \mathcal{F}(w, M, M) \ \frac{\overline{w}}{w \vdash A \ true_\alpha} \ \mathcal{F}}{w \vdash A \ true_\alpha} \ \mathcal{F}}$$

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$$\frac{\forall u \succeq w. \forall y, z \in \mathcal{D}_u. \ u \Vdash y = z \in_{\alpha} A \Rightarrow u \Vdash [y/x] N = [z/x] N \in_{\alpha} B}{w \Vdash |y_{,z}| [y/x] N = [z/x] N \in_{\alpha} B \ (y = z \in_{\alpha} A)} \mathcal{E}$$

$$\frac{w \Vdash |y_{,z}| [y/x] N = [z/x] N \in_{\alpha} B \ (y = z \in_{\alpha} A)}{w \Vdash x : A \vdash_{\alpha} N \in B} \mathcal{E}$$

$$\frac{w \Vdash A \ true_{\alpha}}{w \Vdash A \ true_{\alpha}} \mathcal{D}$$

$$\frac{w \Vdash M \in_{\alpha} A \Rightarrow w \Vdash [M/x] N \in_{\alpha} B}{w \Vdash [M/x] N \downarrow_{\alpha} N'} \mathcal{F}$$

$$\frac{w \Vdash [M/x] N \downarrow_{\alpha} N'}{w \Vdash A \ true_{\alpha}} \mathcal{D}$$

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