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Our goal

Received view
Recent research
Phonology
regular
Kaplan&Kay (1994)
subregular
Heinz (2015)

Morphology regular Beesley&Karttunen (2003)

Our goal

	Phonology	Morphology
Received view	regular	regular
	Kaplan&Kay (1994)	Beesley&Karttunen (2003)
Recent research	subregular Heinz (2015)	?

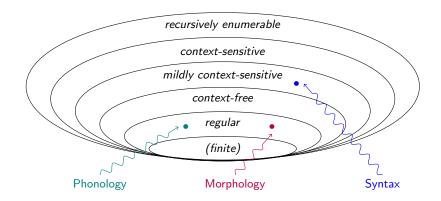
- Show that morphotactics is also subregular
- More precisely: Tier-Based Strictly Local
- Consequences
 - parallels to phonology
 - no over-predictions
 - explain typological gaps

SL/TSL Phonology

- SL/TSL Patterns in Phonology
- 2 SL/TSL Patterns in Morphology
- Predicted Typological Gaps

SL/TSL Phonology

The Chomsky Hierarchy of String Languages



Phonology and morphology as regular languages

Morphology and phonology are regular Kaplan&Kay (1994), Beesley&Karttunen (2003)

Whole power of regular languages is accessible for phonology and morphology

- ✓ Morphology and phonology are regular. Kaplan&Kay (1994), Beesley&Karttunen (2003)
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Regular predictions:

- Harmony applies if there is no blocker in a word
- First-last harmony
- Amount of prefixes depends on the amount of suffixes

SL/TSL Phonology

Subregular phonology Subregular morphotactics

Subregular phonology

Jeff Heinz

SL/TSL Phonology



Subregular phonology

- Jeff Heinz
- Jane Chandlee



Subregular phonology

- Jeff Heinz
- Jane Chandlee
- Adam Jardine



Subregular phonology

- Jeff Heinz
- Jane Chandlee
- Adam Jardine
- and others



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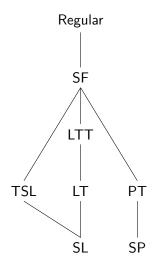
Subregular morphotactics

... we are?



not full power of finite-state machinery is being exploited ⇒ subregular hierarchy

Subregular hierarchy



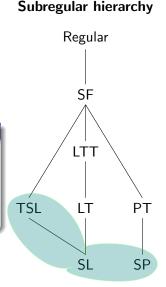
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not full power of finite-state machinery is being exploited ⇒ **subregular hierarchy**

Strong Subregular Hypothesis

All phonological dependencies are

- strictly local (SL)
- tier-based strictly local (TSL)
- strictly piecewise (SP)



SL/TSL Phonology

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• Strictly Local grammar captures local dependencies.

SL/TSL Phonology

Strictly Local grammar captures local dependencies.

Example (Assimilation and word-final devoicing in Russian)

Anticipatory obstruent assimilation:

```
*C_{+voi}C_{-voi}: vsë 'all' \rightarrow [fs]ë
```

- $*C_{-voi}C_{+voi}$: prosiba 'request' \rightarrow pro[zib]a
- Obstruent word final devoicing:
 - * $C_{+voi} \times : moroz 'frost' \rightarrow moro[s]$

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- $G = \{*zs, *sz, *z \times \}$ predicts that there will be words where these two patterns co-occur.
- This prediction is correct: vperëd 'forwards' \rightarrow [fp]erë[t] $mozg 'brain' \rightarrow mo[sk]$

Example (Intervocalic voicing in German)

• Intervocalic [s] voicing: Faser 'fiber' \rightarrow fa[z]er reisen 'to travel' \rightarrow rei[z]en SL/TSL Phonology

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- $G = \{*ase, *ise, *esi, *esa, ...\}$
- *lö[s]en, *rei[s]en, *gru[s]eln, ...
- oklö[z]en, okrei[z]en, okwa[ss]er...

SL is not enough for phonology

Example (Vowel harmony in Lakaa, NIGER-CONGO)

Non-high vowels harmonize in ATR (Akinlabi 2009)

[+ATR]: èsìsòn 'smoke', lèjìmà 'matriclan'

[-ATR]: ¿sísɔ̀n 'housefly', ɔ́túːmá 'need'

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- SL languages can capture only local dependencies.

 Tier-based strictly languages capture non-local dependencies by analyzing them as local over a certain tier.

TSL phonology: vowel harmony

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Example (Vowel harmony in Lakaa, NIGER-CONGO)

- Project tier of non-high vowels
- Block illicit combinations on this tier $G = \{*\epsilon_0, *e_3, *e_4, *\epsilon_6, ...\}$

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TSL phonology: vowel harmony [cont.]

Example (Vowel harmony in Lakaa, NIGER-CONGO)

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SL/TSL Phonology

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```
ok èsìsòn:

        X
        E
        D
        X
        X
        Liter of [-hi] vowels
```

TSL phonology: vowel harmony [cont.]

SL/TSL Phonology

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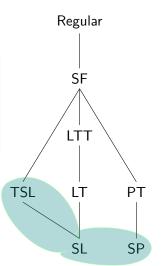
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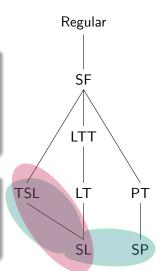
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Subregular Morphotactics

All morphotactic dependencies are

- strictly local (SL)
- tier-based strictly local (TSL)

Subregular hierarchy



SL morphology: affixation

SL/TSL Phonology

Example (Affixation in English)

- un- is a prefix: unholy, undo
- -able is a suffix: drinkable, moveable

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- -able is a suffix: drinkable, moveable
- $G = \{\text{*able-stem}, \text{*stem-un}\}\$ blocks improper ordering and predicts that there will be words where these two affixes co-occur.
- Indeed, it is correct: undoable, unlockable

SL/TSL Phonology

- English un-...-able are prefix and suffix that can co-occur
- However, two parts of a *circumfix* cannot occur independently

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• "Abstract" nominalizer, circumfix 'ke-...-an':
             'high' mahasiswa 'student (big pupil)'
   tinggi
   ketinggian 'altitude' kemahasiswaan 'student affairs'
                         *mahasiswaan
   *ketinggi
```

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- $G = \{*an\text{-stem}, *stem\text{-ke}\}\$ doesn't enforce co-occurrence of the two parts of the circumfix.
- Locality cannot be achieved: there can be unbounded amount of stems between ke- and -an.
- This pattern is not SL.

- This pattern is TSL
- Elements of the circumfix is projected on a tier
- $G = \{*an-ke, *ke \times, * \times an, *an-an, *ke-ke\}$

SL/TSL Phonology

Example (Indonesian circumfixation)

- This pattern is TSL
- Elements of the circumfix is projected on a tier
- $G = \{*an-ke, *ke \times, * \times an, *an-an, *ke-ke\}$

ke-tinggi-an:

```
× ke an ⋉
                 tier of circumfix
⋈ ke tinggi an ⋈
```

Example (Indonesian circumfixation)

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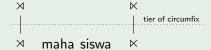
maha-siswa:



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maha-siswa:



ke-maha-siswa-an:

```
⋊ ke

                          an \ltimes
                                 tier of circumfix

⋊ ke maha siswa an ⋉
```

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*ke-maha-siswa:

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⋊ ke

                            tier of circumfix
        maha siswa
⋊ ke
```

SL/TSL Phonology

Example (Indonesian circumfixation) • $G = \{*an-ke, *ke \times, * \times an, *an-an, *ke-ke\}$ *ke-maha-siswa: ⋊ ke tier of circumfix ⋊ ke maha siswa *maha-siswa-an: an K tier of circumfix maha siswa an K

Example (Floating affix in Swahili)

• In Swahili, -vyo is a floating affix (Stump 2016)

TSL morphology: floating affixes

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 - SBJ-NEG-REL-OBJ-read 'doesn't read'
 - 3. *a-vyo-vi-soma
 - 4. *a-vyo-vi-soma-vyo
 - 5. *a-si-vyo-vi-soma-vyo
 - 6. *a-si-vi-soma-vyo

- In Swahili, vyo is a floating marker (Stump 2016)
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- vyo can be used just once
- vyo is prefix if the negation si is present
- vyo is suffix in other cases
- This language is not SL: stem is unbounded in length.
- It is TSL with vyo, si and stem boundaries (#, #) on the tier.

```
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G = \{
```

SL/TSL Phonology

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SL/TSL Phonology

TSL morphology: floating affixes [cont.]

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        • vyo is suffix in other cases:
     *×-vvo-#-#
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$$G = \{ *vyo-vyo, *vyo-\#-\#-vyo, *vyo-si, *si-\#-\#-vyo, *vyo-\#-\#-si, *x-vyo-\#-\# \}$$

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```
G = \{ \text{*vyo-vyo}, \text{*vyo-}\#-\text{#-vyo}, \text{*vyo-si}, \text{*si-}\#-\text{#-vyo}, \} 
         *vyo-#-#-si, *×-vvo-#-#}
```

a-vi-soma-vyo:

```
× a vi # soma # vyo ⋉
```

Example (Floating affix in Swahili)

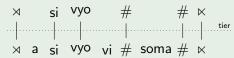
SL/TSL Phonology

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SL/TSL Phonology

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```

*a-vyo-vi-soma:

```
m 	imes a vyo 
m vi \# soma \# 
m 	imes
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SL/TSL Phonology

Example (Floating affix in Swahili) $G = \{ \text{*vyo-vyo}, \text{*vyo-}\#\text{-}\#\text{-vyo}, \text{*vyo-si}, \text{*si-}\#\text{-}\#\text{-vyo}, \} \}$ *vyo-#-#-si, *×-vyo-#-#} *a-vyo-vi-soma: \times a vyo vi # soma # \times *a-si-vyo-vi-soma-vyo: X Si Vy0 # # Vy0 ⋉ × a si vyo vi # soma # vyo ⋉

Interim Summary

- SL enforces local dependencies
- TSL analyzes non-local dependencies as local over tiers
- Both phonology and morphotactics are mostly SL
- Some of phonological and morphotactic dependencies are TSL
- Can we predict any typological gaps?

Basic Logic of Argument

If combination of two attested TSL patterns is not regular, we get a typological gap.

Some predicted gaps:

- No embedded circumfixation;
- No cases when amount of prefixes depends on the amount of suffixes;
- In general, no $a^n b^n$ pattern and its derivatives.

Predicted gaps

Typological gap I: Impossible compounding

Example (Compounding patterns)

• Compounding in Russian: (stem-o)*-stem vodovoz 'water carrier' vodovozovoz 'carrier of water carriers'

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- Russian + Turkish pattern: stem-(stemⁿ-markerⁿ) amount of compounding affixes = amount of added stems

Typological gap I: Impossible compounding

Example (Compounding patterns)

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- Compounding in Russian: (stem-o)*-stem vodovoz 'water carrier' vodovozovoz 'carrier of water carriers'
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- Russian + Turkish pattern: stem-(stemⁿ-markerⁿ) amount of compounding affixes = amount of added stems
- This pattern is not regular and appears to be non-existent

Typological gap II: Recurrent affixation

Example (multiple affix application)

• In German, prefix über- 'after' can be iterated: **über*-stem** morgen 'tomorrow' über-morgen 'the day after tomorrow' über-über-morgen 'the day after the day after tomorrow'

Predicted gaps

Predicted gaps

Typological gap II: Recurrent affixation

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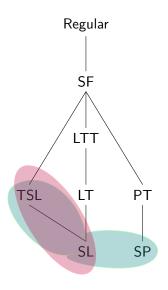
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- The resulting pattern of iteration of the circumfix would be crcⁿ-stem-mfxⁿ
- This pattern is not regular, therefore it does not exist

Conclusion



- Morphotactics is at most Tier-Based Strictly Local
- Set of typological gaps can be explained due to the subregular nature of morphology
- Same formal tools can be used for morphology and phonology

Future work

- Try to find SP patterns in morphotactics
- Look at more typologically diverse languages
- Extend to mappings from underlying to surface forms
- Work with representations of internal structure
- The elephant in the room: reduplication



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