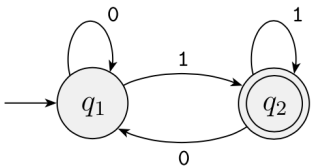


# Lec 02. More on DFA & Nondeterministic Finite Automata

Eunjung Kim

# FORMAL DEFINITION OF COMPUTATION

- Let  $w = w_1 w_2 \cdots w_n \in \Sigma^*$ , where  $w_i \in \Sigma$ .
- The extended transition function  $\hat{\delta}$  is a mapping from  $Q \times \Sigma^*$  to  $Q$  defined as:  
 $\hat{\delta}(q, w) = q'$  if there is a sequence of states  $r_0, \dots, r_n$  in  $Q$  such that
  - $r_0 = q$ ,
  - $r_i = \delta(r_{i-1}, w_i)$  for every  $1 \leq i \leq n$ ,
  - $r_n = q'$
- Equivalently, there is a walk in the transition diagram of  $M$  from  $q$  to  $q'$  labelled by  $w$ .

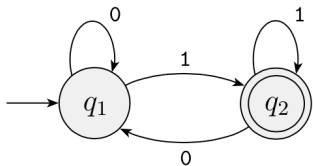


# COMPUTATION HISTORY

- Configuration of a finite automata  $M = (Q, \Sigma, \delta, q_0, F)$  is a pair  $(q, w) \in Q \times \Sigma^*$ .
- We interpret a configuration  $(q, w)$  as...
- $(q, w) \rightsquigarrow_M (q', w')$  if...
- $(q, w) \rightsquigarrow_M^* (q', w')$  if there is...
- A sequence of configuration is a computation history if the first configuration is in the form  $(q_0, w)$  for some  $w \in \Sigma^*$ , and each contiguous configurations are related by  $\rightsquigarrow_M$  or  $\rightsquigarrow_M^*$ .
- A computation history is an accepting computation history if the last configuration is in the form ??????.

# DFA $M$ ACCEPTS A STRING

- Let  $w_1 w_2 \cdots w_n$  be a string in  $\Sigma^*$  with  $w_i \in \Sigma$  for each  $i$ .
- $M = (Q, \Sigma, \delta, q_0, F)$  accepts  $w$  if
  - $\hat{\delta}(q_0, w) \in F$ , or equivalently
  - In the transition diagram of  $M$ , there is an walk from  $q_0$  to an accept state labelled by  $w$ .



# LANGUAGE RECOGNIZED BY DFA

## DEFINITION: LANGUAGE RECOGNIZED BY DFA

- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a finite automata.
- A string  $w \in \Sigma^*$  is accepted by  $M$  if
  - $\hat{\delta}(q_0, w) \in F$ , or equivalently
  - in the transition diagram of  $M$ , there is an walk from  $q_0$  to an accept state labelled by  $w$ .
- Let  $L(M)$  be the set of all strings which are accepted by  $M$ .
- A language  $A$  is said to be recognized by  $M$  if  $A = L(M)$ .

# REGULAR LANGUAGE

REGULAR LANGUAGE = RECOGNIZED BY SOME DFA

- A language  $L$  over a finite alphabet is said to be regular if there is a (deterministic) finite-state automaton  $M$  which recognizes  $L$ .

# FROM LANGUAGES TO DFA: EXAMPLES

SHOW THAT THE FOLLOWING LANGUAGE IS REGULAR.

- $L = \{\text{all } 0,1\text{-strings containing } 01\}$
- $L = \{\text{all } 0,1\text{-strings containing exactly even numbers of } 0\text{'s and } 1\text{'s respectively}\}.$
- $L = \{\text{all strings containing at least two } a\text{'s}\} \subseteq \{a, b\}^*.$
- $L = \{awa : w \in \{a, b\}^*\}.$

# FROM LANGUAGES TO DFA: EXAMPLES

Suppose  $L \subseteq \Sigma^*$  is regular. Is the complement of  $L$ , i.e.  $\Sigma^* - L$ , is regular?



# FROM LANGUAGES TO DFA: EXAMPLES

$$L = \{awa : w \in \{a, b\}^*\}, L^2 = \{aw_1aaw_2a : w_i \in \{a, b\}^*\}$$

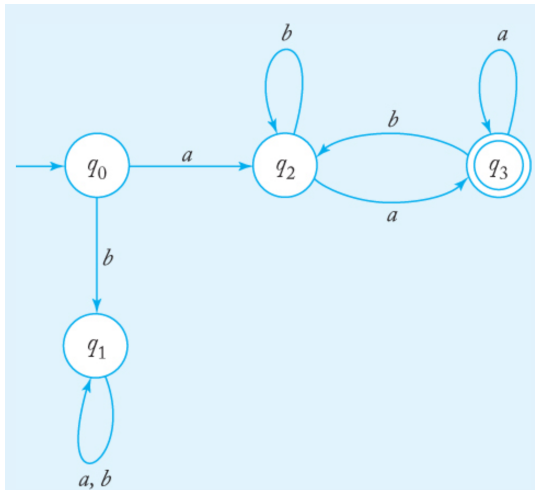


Figure 2.6 from Linz 2017.

# NONDETERMINISM

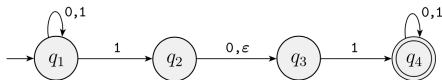


Figure 1.27, Sipser 2012.

	Deterministic FA	Nondeterministic FA
each state & symbol labels	one leaving arc $\Sigma$	multiple arcs or none $\Sigma \cup \{\epsilon\}$
computation history	single path	multiple paths (tree)

# NONDETERMINISM: COMPUTATION TREE AND $\epsilon$

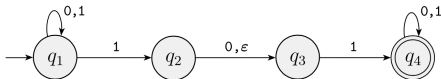
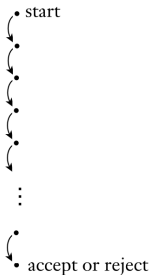
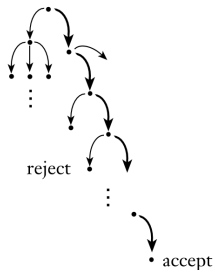


Figure 1.27, Sipser 2012.

Deterministic  
computation



Nondeterministic  
computation



# EXAMPLES OF NFA

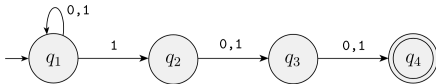


Figure 1.31, Sipser 2012.

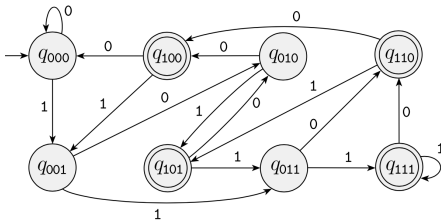


Figure 1.32, Sipser 2012.

# EXAMPLES OF NFA

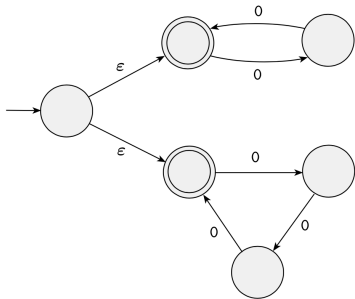


Figure 1.33, Sipser 2012.

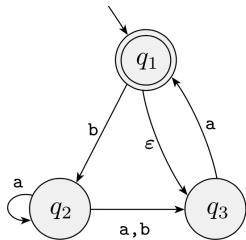
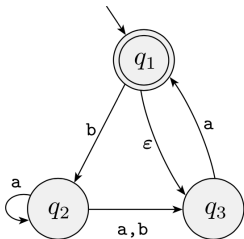


Figure 1.36, Sipser 2012.

# FORMAL DEFINITION OF NFA

NONDETERMINISTIC FA IS A 5-TUPLE  $(Q, \Sigma, \delta, q_0, F)$

- $Q$  a finite set called the states,
- $\Sigma$  a finite set called the alphabet,
- $\delta$  a function from  $Q \times \Sigma_{\epsilon}$  to  $2^Q$  called the transition function,
- $q_0 \in Q$  the start state,
- $F \subseteq Q$  the set of accept states.

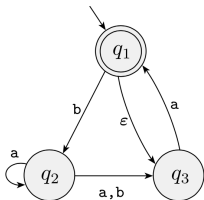


Write a formal description of this NFA

# LANGUAGE RECOGNIZED BY NFA

## NFA $N$ ACCEPTS $w$ IF

- 1  $w$  can be written as  $y_1, \dots, y_m$  with  $y_i \in \Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ ,
- 2 there **exists** a sequence of states  $r_0, \dots, r_m$  s.t.
  - $r_0 = q_0$ ,
  - $r_{i+1} \quad (??) \quad \delta(q_i, y_i)$ ,
  - $r_m \in F$ .



Write a computation tree for  $w = baabaaa$ . How many accepting paths?

# CLOSURE UNDER REGULAR OPERATION

## UNION OPERATION

Let  $A_1$  and  $A_2$  be two languages recognized by NFA  $N_1$  and  $N_2$  respectively. Then  $A_1 \cup A_2$  is recognized by some NFA.



# CLOSURE UNDER REGULAR OPERATION

## CONCATENATION OPERATION

Let  $A_1$  and  $A_2$  be two languages recognized by NFA  $N_1$  and  $N_2$  respectively. Then  $A_1 \circ A_2$  is recognized by some NFA.

# CLOSURE UNDER REGULAR OPERATION

## KLEENE STAR OPERATION

Let  $A$  a languages recognized by NFA  $N$ . Then  $A^*$  is recognized by some NFA.

# CLOSURE UNDER COMPLEMENTATION

## COMPLEMENTATION OPERATION

Let  $A$  a languages recognized by NFA  $N$ . Then  $\bar{A}$ , that is,  $\Sigma^* - A$  is recognized by some NFA.

- For a regular language  $L$ , we can obtain a DFA recognizing the complement of  $L$ .
- ...using the trick...
- Can we use the same trick for NFA in general?

# CLOSURE UNDER INTERSECTION

## INTERSECTION OPERATION

Let  $A_1$  and  $A_2$  be two languages recognized by NFA  $N_1$  and  $N_2$  respectively. Then  $A_1 \cap A_2$  is recognized by some NFA.

- Use the expression that  $A_1 \cap A_2 = \text{??????}$ .
- Combine the above (which ones?) operations on NFAs...
- Direct way with two DFAs  $M_1$  and  $M_2$  by simulating both automata simultaneously.

# CLOSURE UNDER INTERSECTION

- Direct way with two DFAs  $M_1$  and  $M_2$  by simulating both automata simultaneously.

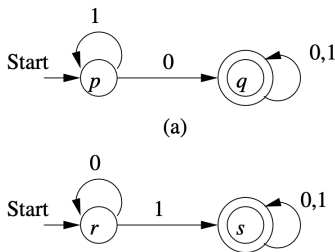


Figure 4.4 (a)-(b), Hopcroft et al. 2014.

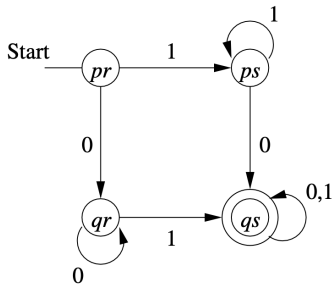


Figure 4.4 (c), Hopcroft et al. 2014.