

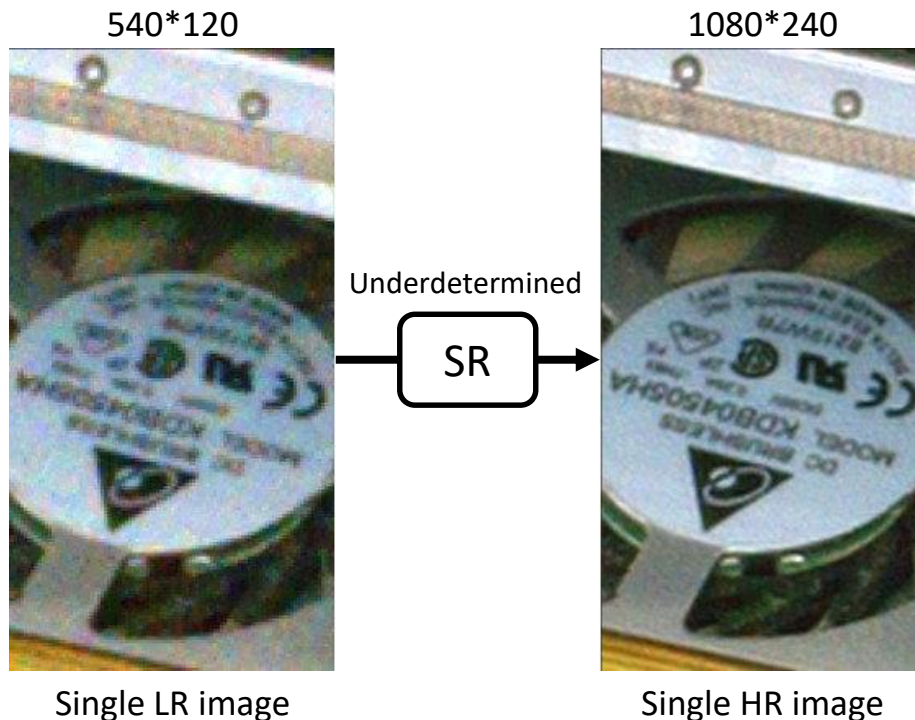
# Image Super-Resolution Using Deep Convolutional Networks

Dept. of Electronic Engineering  
Sogang University

Song-Woo Choi

# Introduction

- Single image super-resolution
  - It produces high-resolution image from a single low-resolution image
  - Ill-posed problem (underdetermined inverse problem)
    - Effectively fewer equations than unknown; solution is not unique



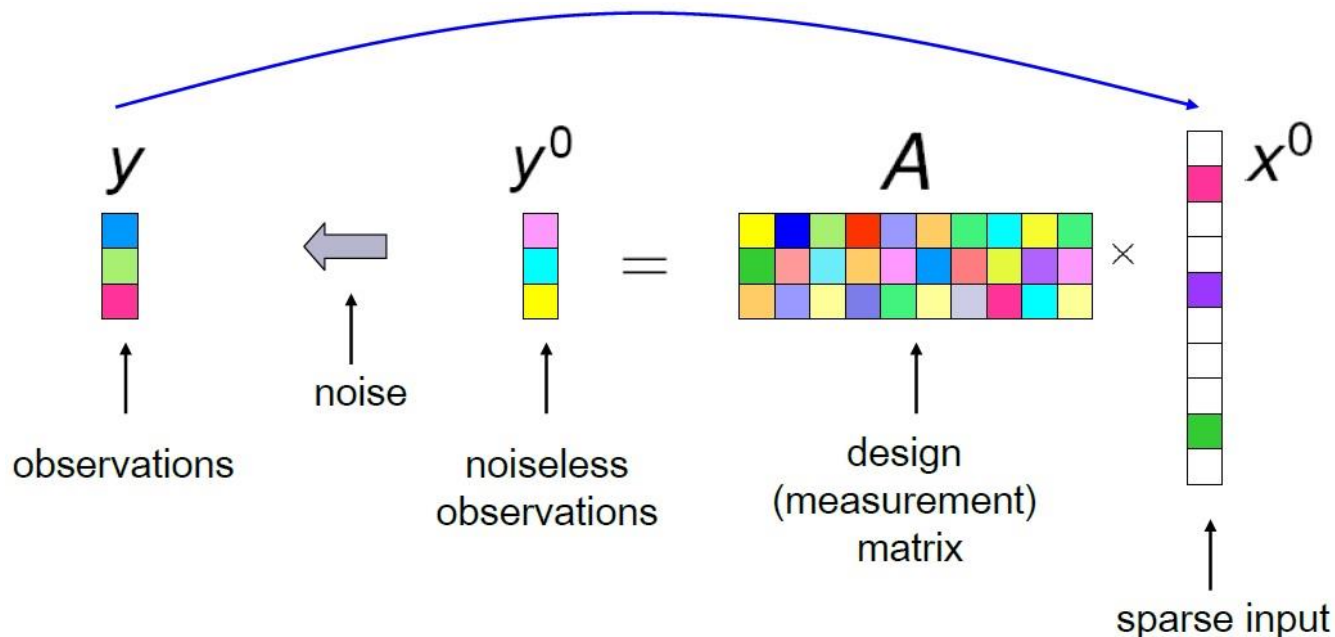
Let  $A$  be an  $m \times n$  matrix,  $b \in \mathbb{R}^m$  be a vector.  
A linear system:  $Ax = b$ .

$$\begin{aligned} x + 3y + 2z &= 2 \\ x + y + z &= 4 \end{aligned} \Rightarrow \begin{bmatrix} 1 & 3 & 2 \\ 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 2 \\ 4 \end{bmatrix}$$

Since  $m < n$ ,  
This system is underdetermined

# Sparse Representation

- Sparse dictionary learning
  - A representation learning method which aims at finding a sparse representation of the input data in the form of a linear combination of basic elements



# Conventional method

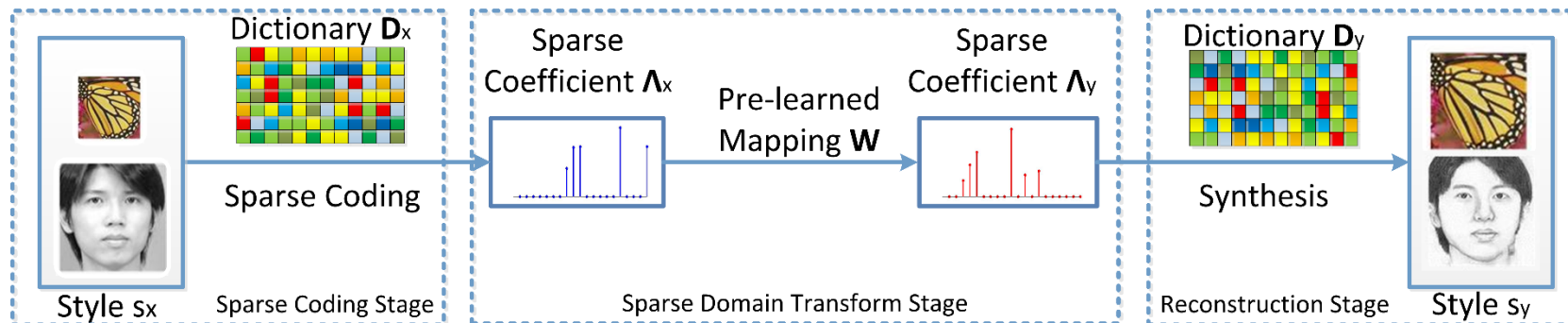
- Single image super-resolution(example based)[1]

- Extract overlapping patches (overlapped tiling) ( $y_l$ )
- For each patch find the low resolution representation using  $D_l$

$$x^* = \underset{x}{\operatorname{argmin}} \frac{1}{2} \|y_l - D_l x\|_2^2 + \lambda \|x\|_1$$

Find the sparse linear representation of low resolution patch based on LR dictionary

- Find the high resolution representation using  $D_h$  and the same  $x^*$
- Construct the high resolution image from high-res patches



# Proposed Method

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- Deep learning(CNNs) based SR[2]
  - A pipeline of dictionary learning based SR[1] is equivalent to a deep convolutional neural network
    - A CNN directly **learns** an **end-to-end mapping** between **low-** and **high-** resolution images
  - Why SR-CNN?
    - Simple structure, superior accuracy
    - Fast speed for practical on-line usage even on a CPU
      - Fully feed-forward network and not solving any optimization problem
    - Restoration quality can be further improved when,
      1. Larger and more diverse datasets are available
      2. A larger and deeper model is used

# Proposed Method

- CNN for SR

- Formulation

1. Patch extraction and representation

$$F_1(\mathbf{Y}) = \max(0, W_1 * \mathbf{Y} + B_1); W_1: \text{filters}(c \times f_1 \times f_1 \times n_1), B_1: \text{biases}$$

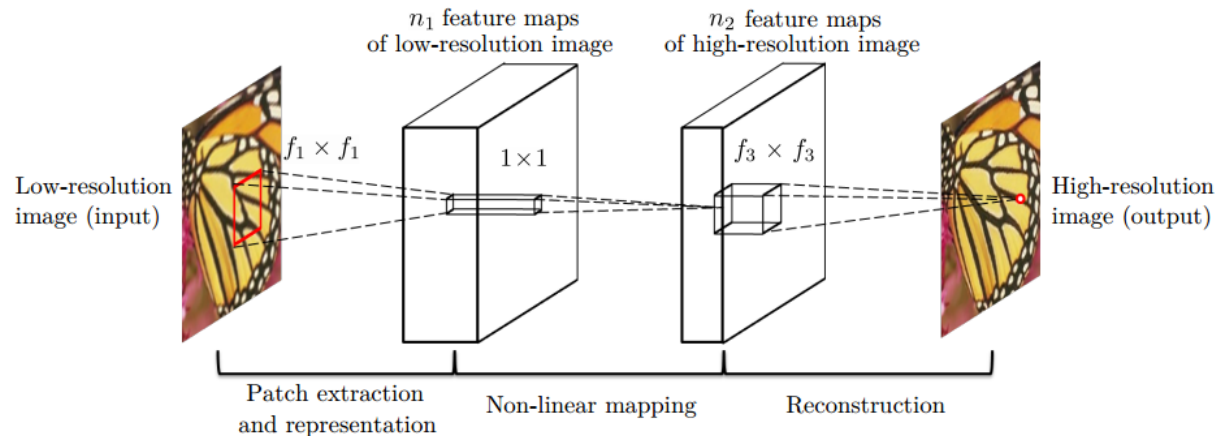
2. Non-linear mapping

$$F_2(\mathbf{Y}) = \max(0, W_2 * F_1(\mathbf{Y}) + B_2); W_2: \text{filters}(n_1 \times 1 \times 1 \times n_2)$$

ReLU:  $f(x) = \max(0, x)$

3. Reconstruction

$$F(\mathbf{Y}) = W_3 * F_2(\mathbf{Y}) + B_3; W_3: \text{filters}(n_2 \times f_3 \times f_3 \times c)$$



# Proposed Method

- Relation to sparse-coding

- Patches with size of  $f_1 \times f_1$  extracted from image
- Find a  $n_2$  sparse set of coefficients in a  $n_1$  sized dictionary
- Reconstruct a high resolution patch from corresponding HR patches with found weight

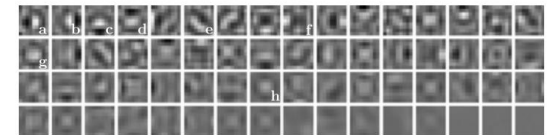
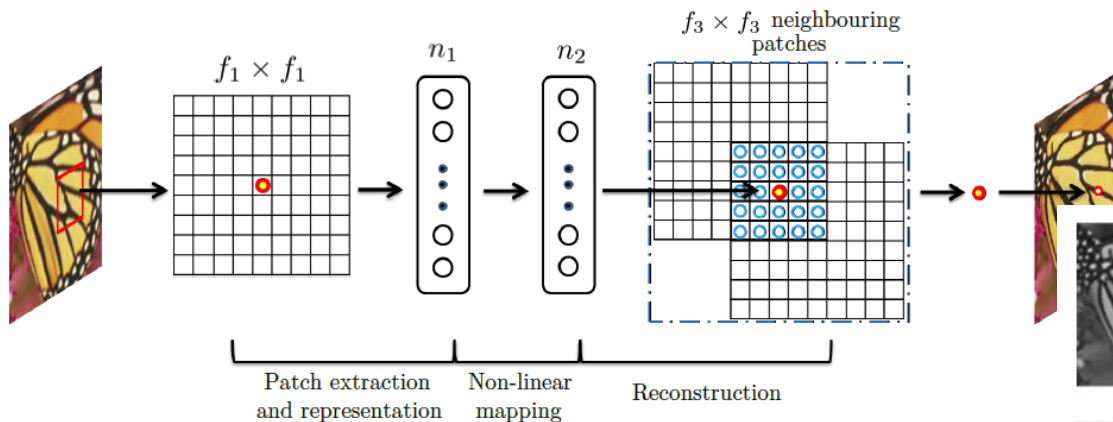
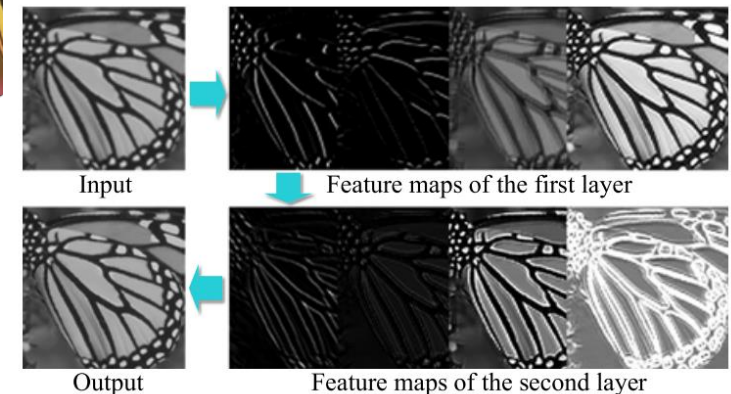


Fig. 5. The figure shows the first-layer filters trained on ImageNet with an upscaling factor 3. The filters are organized based on their respective variances.



# Proposed Method

- Training

- Estimation of network parameters

- $\Theta = \{W_1, W_2, W_3, B_1, B_2, B_3\}$

- Loss function (MSE): favoring a high PSNR

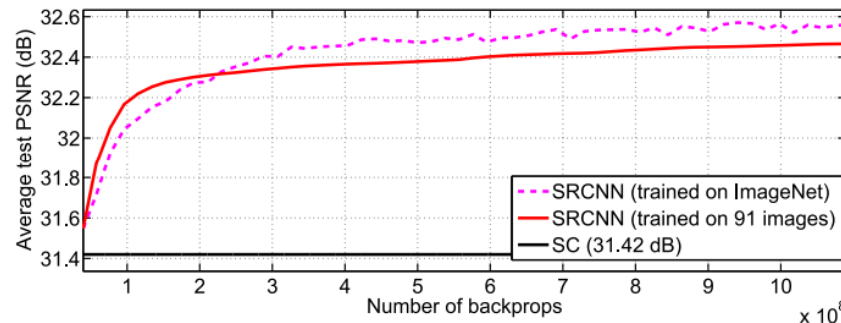
$$L(\Theta) = \frac{1}{n} \sum_{i=1}^n ||F(\mathbf{Y}_i; \Theta) - \mathbf{X}_i||^2$$

sub-image cropped from the training image

Up-scaled image after blurring by a Gaussian kernel

- Optimization technique for backpropagation (SGD)

$$\Delta_{i+1} = 0.9 \cdot \Delta_i + \eta \cdot \frac{\partial L}{\partial W_i^l}, W_{i+1}^l = W_i^l + \Delta_{i+1}$$





# Experimental Results

- Code(test)

```
def run_benchmark():  
    with tf.Session() as sess:  
        images = tf.placeholder(tf.float32, shape=(None, FLAGS.image_size, FLAGS.image_size, FLAGS.num_channels))  
        labels = tf.placeholder(tf.float32, shape=(None, FLAGS.label_size, FLAGS.label_size, FLAGS.num_channels))  
  
        outputs, weight_parameters, bias_parameters = inference(images)  
  
        global_step = tf.Variable(0)  
  
        loss = tf.sqrt(tf.reduce_mean(tf.square(tf.sub(labels, outputs))))  
        # print (outputs.get_shape())  
        # train_op1 = tf.train.GradientDescentOptimizer(0.0001).minimize(loss, global_step = global_step)  
        op1 = tf.train.GradientDescentOptimizer(0.0001)  
        op2 = tf.train.GradientDescentOptimizer(0.0001*0.1)  
        grads = tf.gradients(loss, weight_parameters + bias_parameters)  
        grads1 = grads[:len(weight_parameters)]  
        grads2 = grads[len(weight_parameters):]  
        train_op1 = op1.apply_gradients(zip(grads1, weight_parameters), global_step = global_step)  
        train_op2 = op2.apply_gradients(zip(grads2, bias_parameters), global_step = global_step)  
        train_op = tf.group(train_op1, train_op2)  
  
        saver = tf.train.Saver(weight_parameters + bias_parameters)  
  
        init = tf.initialize_all_variables().run()  
  
        train_data, train_label = read_data('train.h5')  
        train_data = np.transpose(train_data, (0,2,3,1))  
        train_label = np.transpose(train_label, (0,2,3,1))  
        data_size = int(train_data.shape[0] / FLAGS.batch_size)  
        # print (train_data.shape)  
        num_steps_burn_in = 10  
        step = 0  
        for i in xrange(FLAGS.num_iter):  
            start_time = time.time()  
            batch_data = train_data[(i % data_size) * FLAGS.batch_size : ((i+1) % data_size) * FLAGS.batch_size, :, :, :]  
            batch_label = train_label[(i % data_size) * FLAGS.batch_size : ((i+1) % data_size) * FLAGS.batch_size, :, :, :]  
            # print (batch_label.shape)  
            _, step = sess.run([train_op, global_step], feed_dict={images:batch_data, labels:batch_label})  
            duration = time.time() - start_time  
            # if i > num_steps_burn_in:  
            if not i % num_steps_burn_in:  
                saver.save(sess, 'my-model', global_step=i)  
                print ('%s: step %d, duration = %.3f' %  
                    (datetime.now(), i, duration))
```

# Experimental Results

- Code(test)

```
function im_h = SRCNN(model, im_b)

%% load CNN model parameters
load(model);
[conv1_patchsize2, conv1_filters] =
size(weights_conv1);
conv1_patchsize = sqrt(conv1_patchsize2);
[conv2_channels, conv2_patchsize2, conv2_filters] =
size(weights_conv2);
conv2_patchsize = sqrt(conv2_patchsize2);
[conv3_channels, conv3_patchsize2] =
size(weights_conv3);
conv3_patchsize = sqrt(conv3_patchsize2);
[hei, wid] = size(im_b);

%% conv1
weights_conv1 = reshape(weights_conv1,
conv1_patchsize, conv1_patchsize, conv1_filters);
conv1_data = zeros(hei, wid, conv1_filters);
for i = 1 : conv1_filters
    conv1_data(:, :, i) = imfilter(im_b,
weights_conv1(:, :, i), 'same', 'replicate');
    conv1_data(:, :, i) = max(conv1_data(:, :, i) +
biases_conv1(i), 0);
end
```

```
%% conv2
conv2_data = zeros(hei, wid, conv2_filters);
for i = 1 : conv2_filters
    for j = 1 : conv2_channels
        conv2_subfilter =
reshape(weights_conv2(j, :, i), conv2_patchsize,
conv2_patchsize);
        conv2_data(:, :, i) = conv2_data(:, :, i) +
imfilter(conv1_data(:, :, j), conv2_subfilter, 'same',
'replicate');
    end
    conv2_data(:, :, i) = max(conv2_data(:, :, i) +
biases_conv2(i), 0);
end

%% conv3
conv3_data = zeros(hei, wid);
for i = 1 : conv3_channels
    conv3_subfilter = reshape(weights_conv3(i, :, :),
conv3_patchsize, conv3_patchsize);
    conv3_data(:, :) = conv3_data(:, :) +
imfilter(conv2_data(:, :, i), conv3_subfilter, 'same',
'replicate');
end

%% SRCNN reconstruction
im_h = conv3_data(:, :) + biases_conv3;
```

# Experimental Results

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- Result images



SR-CNN



Original

# Experimental Results

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- Result images



# Experimental Results

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- Result images (Original)





# Experimental Results

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- Result images (Bicubic interpolation: 2x upscaling)



# Experimental Results

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- Result images (SRCNN : 2x upscaling)

