

# Dao động tử điều hòa

## Harmonic oscillator

1

### “Procedure” in QM 1 / Quy trình trong CHLT 1

- Need to determine the physical problem / Xác định bài toán vật lý
- → Determine Hamiltonian  $H$  → Schrödinger Eq.
- Calculate wave functions & energy / Tính hàm sóng  $\psi$  và năng lượng  $E$



$$\Psi(x, t) = \psi(x)\varphi(t) = \psi(x)e^{-iEt/\hbar}$$

$$\Psi(x, t) = \sum_n \psi_n(x)e^{-\frac{iE_n t}{\hbar}}$$



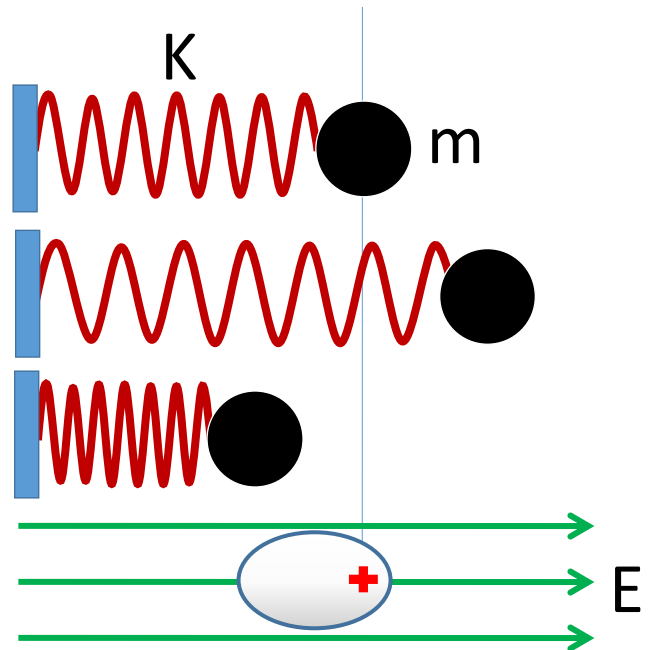
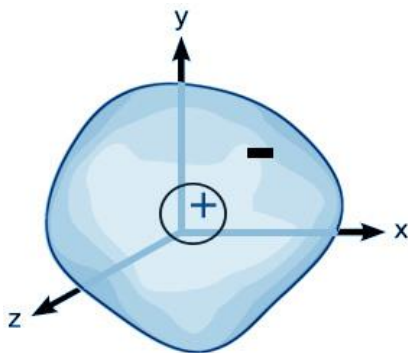
$$\langle Q(x, p) \rangle = \int \Psi(x, t)^* Q(x, p) \Psi(x, t)$$

4

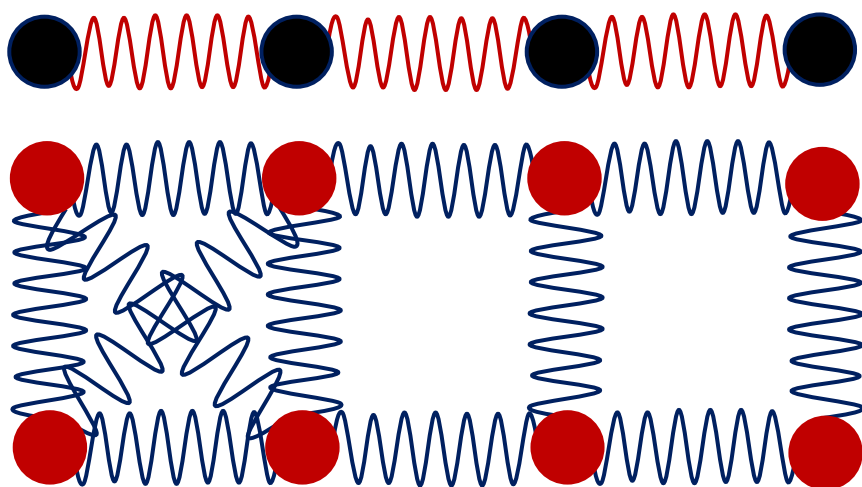
Why oscillator?

5

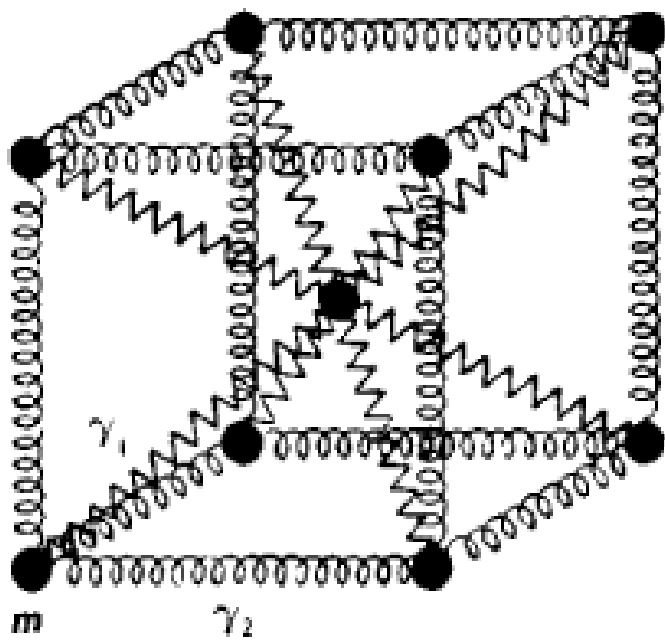
Harmonic Oscillator



6



7



8

# Classical Mechanics / Cơ cổ điển

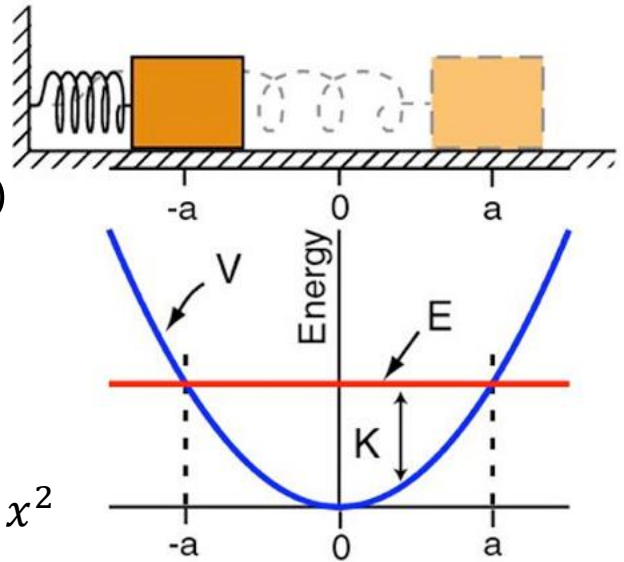
$$F = -kx = m \frac{d^2x}{dt^2}$$

$$x(t) = A\sin(\omega t) + B\cos(\omega t)$$

$$\omega \equiv \sqrt{k/m}$$

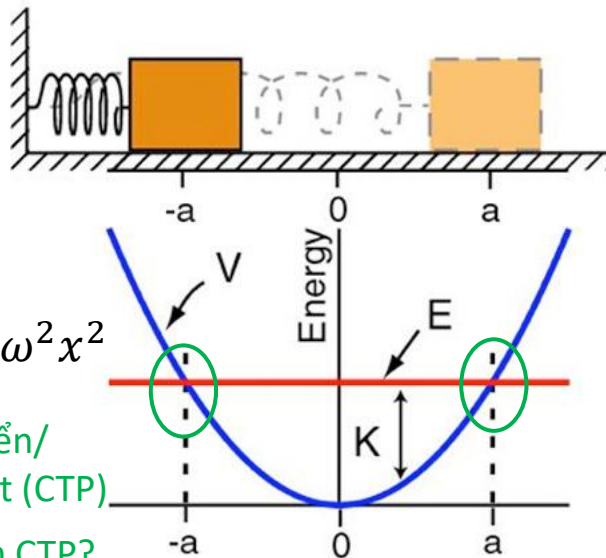
$$V = \frac{1}{2}kx^2$$

$$V = \frac{1}{2}m\omega^2x^2$$



9

## CM

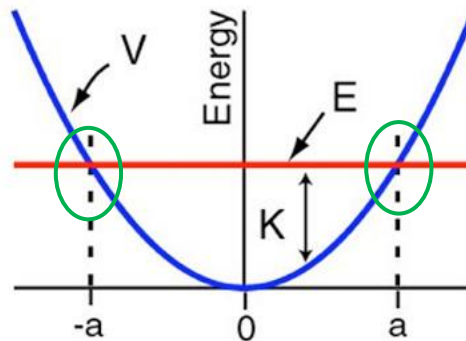


$$V = \frac{1}{2}kx^2 = \frac{1}{2}m\omega^2x^2$$

Điểm quay đầu cổ điển/  
classical turning point (CTP)

Determine / Xác định CTP?

## CM



Classical mechanics: Xác suất tìm được hạt trong miền giữa 2 CTP  $[-a \rightarrow a]$  là 1 (chắc chắn tìm được hạt trong miền này)  $\rightarrow$  Miền này gọi là **miền được phép (cổ điển)**.

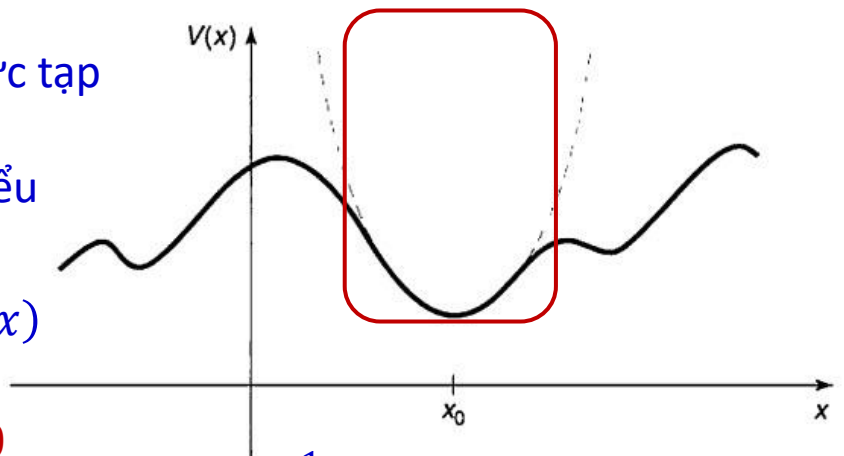
Miền ngoài miền được phép, tức là miền  $[-\infty \rightarrow -a]$  và  $[a \rightarrow +\infty]$ , được gọi là **miền cấm (cổ điển)** vì xác suất tìm được hạt ở đó bằng 0.

Quantum mechanics: Vẫn có thể tìm được hạt ở miền cấm!!

11

- Hàm thế có thể phức tạp
- $V(x) \sim$  thế parabol trong lân cận cực tiểu

- Khai triển Taylor  $V(x)$  quanh cực tiểu:



$$V(x) = \overset{\text{Const.}}{V(x_0)} + \overset{0}{V'(x_0)}(x - x_0) + \frac{1}{2}V''(x_0)(x - x_0)^2 + \dots$$

$$V(x) \cong \frac{1}{2} \boxed{V''(x_0)} (x - x_0)^2 = \frac{1}{2} k x^2 = \boxed{\frac{1}{2} m \omega^2 x^2}$$

$k$

12

Energy / Năng lượng (toàn phần) (CM / cơ cổ điển)

$$H = T + V = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

QM/ Cơ lượng tử

$$\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

13

QM/ Cơ lượng tử

$$\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 x^2 = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}m\omega^2 x^2$$

$\hat{p} = -i\hbar \frac{d}{dx}$

The Schrödinger Eq. / PT Sch. không phụ thuộc thời gian:  $\hat{H}\psi = E\psi$

$$\left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}m\omega^2 x^2 \right) \psi = E\psi$$

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi + \frac{1}{2}m\omega^2 x^2 \psi = E\psi$$

[2.44]

14

## Giải phương trình Schrödinger cho DĐTĐH

- Cách giải đại số / Algebraic Method
  - Cách giải tích / Analytic Method [Read Griffiths book!]
- 1) Change variables:  $(x, p) \rightarrow (a_+, a_-)$  (ladder operators/ toán tử bậc thang)
  - 2) Write the Hamiltonian in terms of  $a_+, a_-$ :  $H(x, p) \rightarrow H(a_+, a_-)$
  - 3)  $\rightarrow$  Schrödinger equation  $H\psi = E\psi$
  - 4) Solve the Schrödinger equation to find  $\psi$  and  $E$

15

### 1. $(x, p) \rightarrow (a_+, a_-)$

PT Schrödinger KPTTG

$$\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

$$\hat{H}\psi = E\psi \leftrightarrow \frac{1}{2m}[p^2 + (m\omega x)^2]\psi = E\psi \quad [2.45]$$

$$H = \frac{1}{2m}[\underbrace{p^2}_{u^2} + \underbrace{(m\omega x)^2}_{v^2}] \quad [2.46]$$

SỐ:  $u^2 + v^2 = (iu + v)(-iu + v) = u^2 + v^2 + i(\cancel{uv} - \cancel{vu})$

TTỬ:  $u^2 + v^2 \neq (iu + v)(-iu + v) = u^2 + v^2 + i(\cancel{uv} - \cancel{vu})$

Toán tử thường không giao hoán  $\rightarrow uv - vu \neq 0$

Ví dụ:  $xp$  khác  $px$  !

16

$$1. (x, p) \rightarrow (a_+, a_-)$$

$$H = \frac{1}{2m} [p^2 + (m\omega x)^2]$$

$$\underbrace{(iu + v)}_{A_-} \underbrace{(-iu + v)}_{A_+} = u^2 + v^2 + i(uv - vu)$$

$$a_{\pm} = \frac{1}{\sqrt{2\hbar m\omega}} (\mp ip + m\omega x) \quad [2.47]$$

$$a_+ = \frac{1}{\sqrt{2\hbar m\omega}} (-ip + m\omega x) \quad a_- = \frac{1}{\sqrt{2\hbar m\omega}} (+ip + m\omega x)$$

17

$$1. (x, p) \rightarrow (a_+, a_-)$$

$$a_{\pm} = \frac{1}{\sqrt{2\hbar m\omega}} (\mp ip + m\omega x)$$

$$a_- a_+ = \frac{1}{2\hbar m\omega} (+ip + m\omega x)(-ip + m\omega x)$$

$$a_- a_+ = \frac{1}{2\hbar m\omega} [p^2 + (m\omega x)^2 - im\omega (xp - px)]$$

18



## Commutator / Giao hoán tử

Giao hoán tử của hai toán tử A và B là

$$[A, B] \equiv AB - BA \quad [2.48]$$

Giao hoán tử bằng 0  $\rightarrow$  hai toán tử này được gọi là giao hoán với nhau (có thể đổi chỗ cho nhau):

$$[A, B] = AB - BA = 0 \rightarrow AB = BA$$

$$a_- a_+ = \frac{1}{2\hbar m\omega} [p^2 + (m\omega x)^2 - im\omega \underbrace{(xp - px)}_{[x,p]}]$$
$$a_- a_+ = \frac{1}{2\hbar m\omega} [p^2 + (m\omega x)^2] - \frac{i}{2\hbar} [x, p] \quad [2.49]$$

19

## Find the commutator / Xác định giao hoán tử

$$[A, B] = ?$$

- Let  $[A, B]$  act on a test function / Tác động giao hoán tử lên 1 hàm thử:
- $[A, B]f(x)$
- $[A, B]f(x) = (AB - BA)f(x) = ABf(x) - BAf(x)$
- $= A(Bf(x)) - B(Af(x)) = Xf(x)$
- $\rightarrow [A, B] = X$

20

Find the commutator  $[x, p]$

$$\begin{aligned}
 [x, p]f(x) &= \left[ x \frac{\hbar}{i} \left( \frac{d}{dx} f(x) \right) - \frac{\hbar}{i} \frac{d}{dx} (x f(x)) \right] \quad p = \frac{\hbar}{i} \frac{d}{dx} \\
 &= \left[ \cancel{x \frac{\hbar}{i} \left( \frac{d}{dx} f(x) \right)} - \frac{\hbar}{i} \left( \frac{d}{dx} x \right) f(x) - \cancel{\frac{\hbar}{i} x \left( \frac{d}{dx} f(x) \right)} \right] \quad [2.50] \\
 &= -\frac{\hbar}{i} f(x) = i\hbar f(x)
 \end{aligned}$$

$$\boxed{[x, p] = i\hbar} \quad [2.51]$$

21

2. Write the Hamiltonian in terms of  $a_-, a_+$

$$\begin{aligned}
 a_- a_+ &= \frac{1}{2\hbar m \omega} [p^2 + (m\omega x)^2] - \frac{i}{2\hbar} [x, p] \\
 a_- a_+ &= \frac{1}{\hbar \omega} \underbrace{\frac{1}{2m} [p^2 + (m\omega x)^2]}_H - \frac{i}{2\hbar} i\hbar \quad H = \frac{1}{2m} [p^2 + (m\omega x)^2]
 \end{aligned}$$

$$a_- a_+ = \frac{1}{\hbar \omega} H + \frac{1}{2} \quad [2.52]$$

$$H = \hbar \omega \left( a_- a_+ + \frac{1}{2} \right) \quad [2.53]$$

22

$$a_+ a_- = ? \quad a_{\pm} = \frac{1}{\sqrt{2\hbar m\omega}} (\mp ip + m\omega x)$$

$$a_+ a_- = \frac{1}{\hbar\omega} H - \frac{1}{2} \quad [2.54]$$

$$[a_-, a_+] = 1 \quad [2.55]$$

$$H = \hbar\omega \left( a_+ a_- + \frac{1}{2} \right) \quad [2.56]$$

23

### 3. The Schrödinger Equation

$$H\psi = \hbar\omega \left( a_+ a_- + \frac{1}{2} \right) \psi = E\psi$$

$$H\psi = \hbar\omega \left( a_- a_+ - \frac{1}{2} \right) \psi = E\psi$$

$$\hbar\omega \left( a_{\pm} a_{\mp} \pm \frac{1}{2} \right) \psi = E\psi \quad [2.57]$$

24

## 4. Calculate wave functions and energy

“Theorem”:

If  $\psi$  satisfies the Schrödinger equation with energy  $E$ ,  $H\psi = E\psi$ ,  
 then  $a_+\psi$  satisfies the Schrödinger equation with energy  $(E + \hbar\omega)$ ,  
 $H(a_+\psi) = (E + \hbar\omega)(a_+\psi)$ ,  
 and  $a_-\psi$  satisfies the Schrödinger equation with energy  $(E - \hbar\omega)$ ,  
 $H(a_-\psi) = (E - \hbar\omega)(a_-\psi)$ .

25

## 4. Calculate wave functions and energy

Proof:  $H(a_+\psi) = (E + \hbar\omega)(a_+\psi)$

$$\begin{aligned}
 H &= \hbar\omega \left( a_+a_- + \frac{1}{2} \right) \\
 Ha_+\psi &= \hbar\omega \left( a_+a_- + \frac{1}{2} \right) a_+\psi = \hbar\omega \left( \underbrace{a_+}_{\text{green}} \underbrace{a_-a_+}_{\text{green}} + \frac{1}{2} \underbrace{a_+}_{\text{green}} \right) \psi \\
 &= \hbar\omega a_+ \left( a_-a_+ + \frac{1}{2} \right) \psi = \hbar\omega a_+ \left( \underbrace{a_-a_+}_{\text{red } a_+a_-+1} + \frac{1}{2} \right) \psi \\
 &= a_+ \hbar\omega \left[ \left( a_+a_- + \frac{1}{2} + 1 \right) \right] \psi \quad [a_-, a_+] = a_-a_+ - a_+a_- = 1 \\
 &= a_+ \left[ \underbrace{\hbar\omega \left( a_+a_- + \frac{1}{2} \right) \psi}_{H\psi=E\psi} + \hbar\omega \psi \right] = a_+ (E + \hbar\omega) \psi \\
 &= (E + \hbar\omega)(a_+\psi)
 \end{aligned}$$

[256]

26

#### 4. Calculate wave functions and energy

$$H(a_-\psi) = (E - \hbar\omega)(a_-\psi)$$

$$\begin{aligned} Ha_-\psi &= \hbar\omega \left( a_-a_+ - \frac{1}{2} \right) a_-\psi = \hbar\omega \left( a_-a_+a_- - \frac{1}{2}a_- \right) \psi \\ &= \hbar\omega a_- \left( \underbrace{a_+a_-}_{a_-a_+-1} - \frac{1}{2} \right) \psi = a_- \hbar\omega \left( a_-a_+ - \frac{1}{2} - 1 \right) \psi \\ &= a_- \left[ \underbrace{\hbar\omega \left( a_-a_+ - \frac{1}{2} \right) \psi}_{H\psi=E\psi} - \hbar\omega \psi \right] = a_-(E - \hbar\omega)\psi \\ &= (E - \hbar\omega)(a_-\psi) \end{aligned}$$

27

#### 4. Calculate wave functions and energy

$$H(a_+\psi) = (E + \hbar\omega)(a_+\psi)$$

$a_+$  help to climb up in energy  
( $\hbar\omega$ ):  $\rightarrow a_+$ : raising operator

$$\begin{aligned} H(a_+(a_+\psi)) &= (E + \hbar\omega + \hbar\omega)(a_+(a_+\psi)) \\ H(a_+^2\psi) &= (E + 2\hbar\omega)(a_+^2\psi) \end{aligned}$$

$$H(a_+^n\psi) = (E + n\hbar\omega)(a_+^n\psi)$$

28

## 4. Calculate wave functions and energy

$$H(a_+\psi) = (E + \hbar\omega)(a_+\psi)$$

$$H(a_-\psi) = (E - \hbar\omega)(a_-\psi)$$

$$\begin{aligned} H(a_+(a_+\psi)) &= (E + \hbar\omega + \hbar\omega)(a_+(a_+\psi)) \\ H(a_+^2\psi) &= (E + 2\hbar\omega)(a_+^2\psi) \\ H(a_+^n\psi) &= (E + n\hbar\omega)(a_+^n\psi) \end{aligned}$$

$$\begin{aligned} H(a_-^2\psi) &= (E - 2\hbar\omega)(a_-^2\psi) \\ &\dots \end{aligned}$$

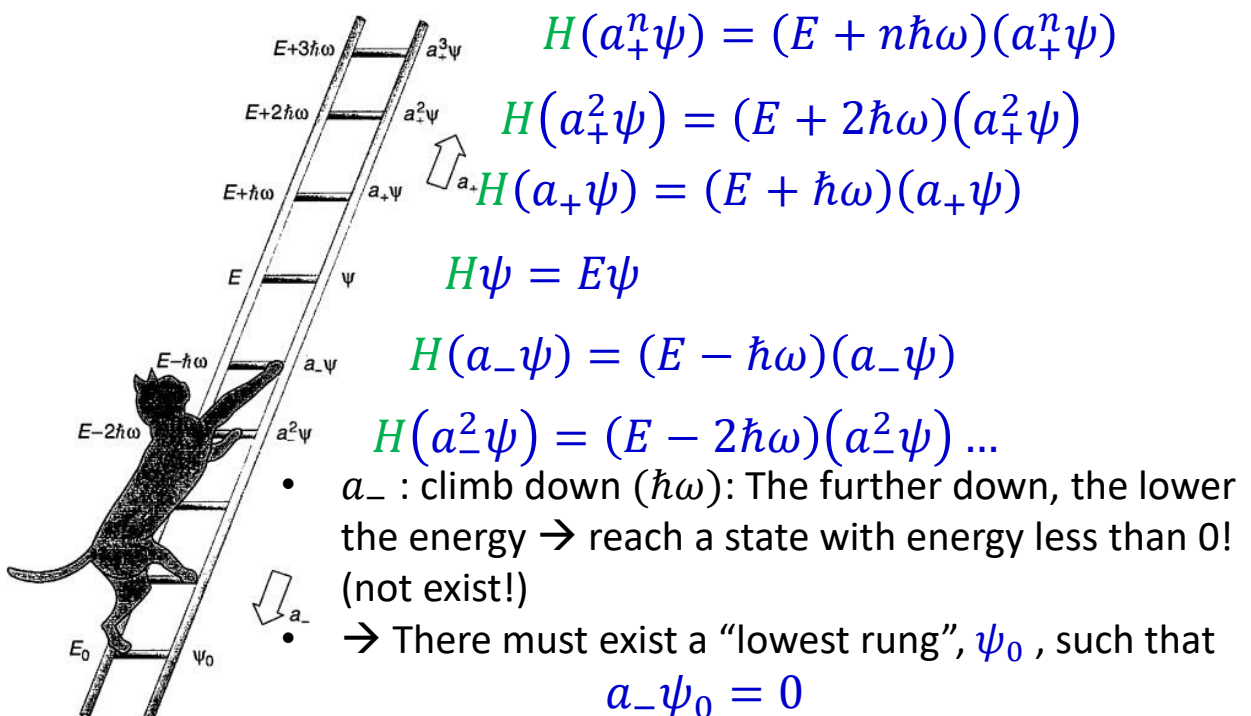
$$H(a_-^n\psi) = (E - n\hbar\omega)(a_-^n\psi)$$

$a_+$  help to climb up in energy  
( $\hbar\omega$ ):  $\rightarrow a_+$ : raising operator

$a_-$  help to climb down in energy  
( $\hbar\omega$ ):  $\rightarrow a_-$ : lowering operator

$a_+$ ,  $a_-$  are called ladder operators (or creation/annihilation operators)

29



30

#### 4. Calculate wave functions and energy

$$a_- \psi_0 = 0 \quad [2.58]$$

$$a_+ = \frac{1}{\sqrt{2\hbar m\omega}}(-ip + m\omega x) \quad a_- = \frac{1}{\sqrt{2\hbar m\omega}}(+ip + m\omega x)$$

$$\begin{aligned} \psi_0 =? \quad a_- \psi_0 &= \underbrace{\frac{1}{\sqrt{2\hbar m\omega}}(ip + m\omega x)}_{a_-} \psi_0 \quad p = \frac{\hbar}{i} \frac{d}{dx} \\ &= \frac{1}{\sqrt{2\hbar m\omega}} \left( \hbar \frac{d}{dx} + m\omega x \right) \psi_0 = 0 \end{aligned}$$

32

#### 4. Calculate wave functions and energy

$$\begin{aligned} \left( \hbar \frac{d}{dx} + m\omega x \right) \psi_0 &= 0 \Leftrightarrow \frac{d\psi_0}{dx} = -\frac{m\omega}{\hbar} x \psi_0 \\ \int \frac{d\psi_0}{\psi_0} &= -\frac{m\omega}{\hbar} \int x dx \Rightarrow \ln \psi_0 = -\frac{m\omega}{2\hbar} x^2 + \text{const.} \end{aligned}$$

$$\Rightarrow \psi_0 = A e^{-\frac{m\omega}{2\hbar} x^2}$$

33

#### 4. Calculate wave functions and energy

Find A (normalize the wave function)

$$1 = |A|^2 \int_{-\infty}^{+\infty} e^{-\frac{m\omega}{\hbar}x^2} dx = |A|^2 \sqrt{\frac{\pi\hbar}{m\omega}}$$

$$\Rightarrow \psi_0(x) = \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} e^{-\frac{m\omega}{2\hbar}x^2} \quad [2.59]$$

34

#### 4. Calculate wave functions and energy

The energy  $E_0$  corresponds to the state  $\psi_0(x)$

$$\begin{aligned} H \psi_0 &= E_0 \psi_0 \\ \hbar\omega \left( a_+ a_- + \frac{1}{2} \right) \psi_0 &= E_0 \psi_0 \\ a_- \psi_0 &= 0 \Rightarrow \frac{1}{2} \hbar\omega \psi_0 = E_0 \psi_0 \\ E_0 &= \frac{1}{2} \hbar\omega \end{aligned} \quad [2.60]$$

$\psi_0(x)$ : the ground state of the quantum oscillator

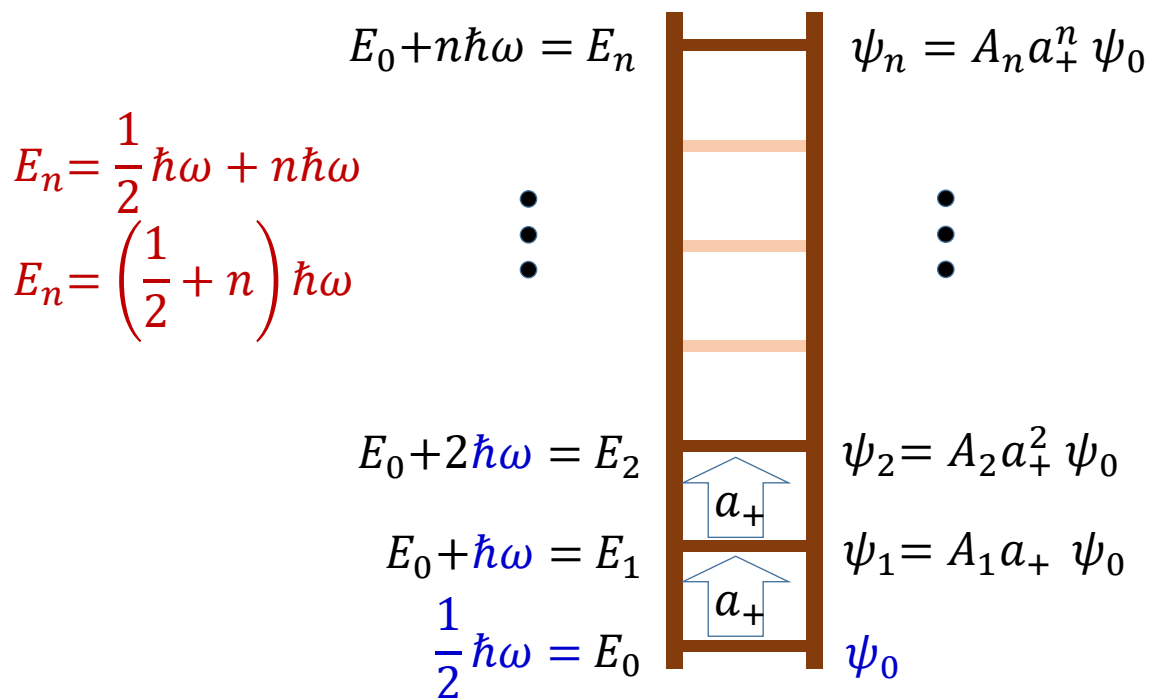
35



## 4. Calculate wave functions and energy

Determine the  $n^{th}$  state and energy:  $\psi_n(x)$ ,  $E_n$

36



37

#### 4. Calculate wave functions and energy

$$\psi_n(x) = A_n a_+^n \psi_0(x) \quad \text{vóti} \quad E_n = \left(n + \frac{1}{2}\right) \hbar \omega \quad [2.61]$$

$A_n$  is the normalization constant

38

Find the first excited state

$$\psi_1(x) = ?$$

$$\psi_1(x) = A_1 a_+ \psi_0(x)$$

$$a_+ = \frac{1}{\sqrt{2\hbar m\omega}} (-ip + m\omega x)$$

$$\psi_0(x) = \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} e^{-\frac{m\omega}{2\hbar}x^2}$$

39

$$\begin{aligned}
 \psi_1(x) &= A_1 a_+ \psi_0(x) = A_1 \frac{1}{\sqrt{2\hbar m\omega}} (-ip + m\omega x) \psi_0(x) \\
 \psi_1(x) &= A_1 \frac{1}{\sqrt{2\hbar m\omega}} \left( -\hbar \frac{d}{dx} + m\omega x \right) \left( \frac{m\omega}{\pi\hbar} \right)^{1/4} e^{-\frac{m\omega}{2\hbar}x^2} \\
 &= A_1 \left( \frac{m\omega}{\pi\hbar} \right)^{1/4} \sqrt{\frac{2m\omega}{\hbar}} x e^{-\frac{m\omega}{2\hbar}x^2} \quad [2.62]
 \end{aligned}$$

$$\text{Normalize: } \int |\psi_1(x)|^2 dx = 1 \rightarrow A_1 = 1$$