# POSIX THREADS PROGRAMMING

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#### What is a Thread?

- Thread is defined as an independent stream of instructions that can be scheduled to run as such by the operating system
- Its a "procedure" that runs independently from its main program
- Multithreaded Program
  - Imagine a main program that contains a number of procedures
  - Imagine all of these procedures being able to be scheduled to run simultaneously or independently

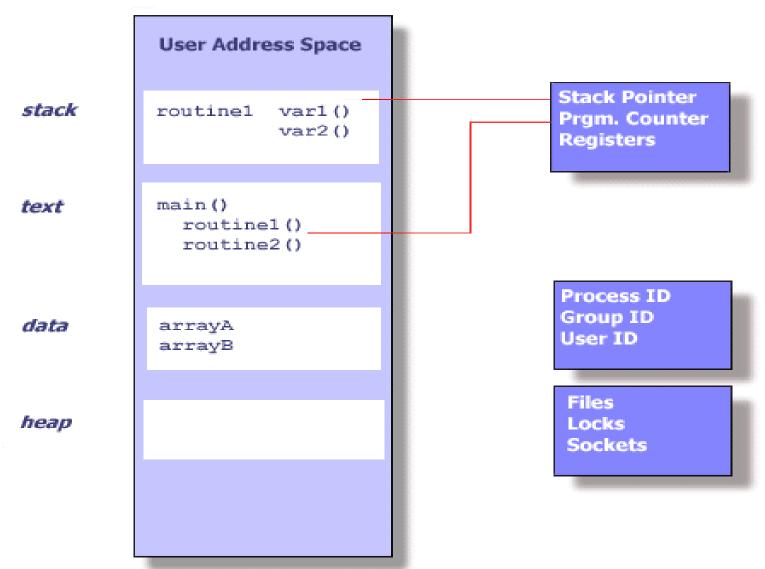
#### **Process**

- A process is created by the operating system, and requires a fair amount of "overhead".
- Processes contain information about program resources and program execution state
- Process Information:
  - Process ID, process group ID, user ID, and group ID
  - Environment
  - Working directory
  - Program instructions

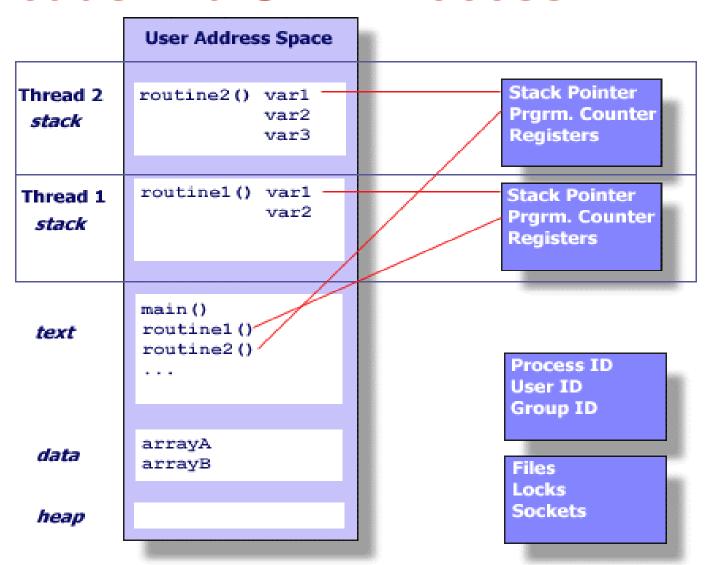
#### **Process**

- Process Information:
  - Registers, Stack, Heap
  - File descriptors
  - Signal actions
  - Shared libraries
  - Inter-process communication tools
    - message queues, pipes,
    - semaphores, or shared memory

#### **Unix Process**



#### Threads in a Unix Process



#### **Thread Control**

- Threads use and exist within the process resources
- Threads are able to be scheduled by the OS and run as independent entities
- Threads duplicate only the bare essential resources that enable them to exist as executable code
- Thread maintains its own Stack pointer, Registers, Scheduling properties, Set of pending and blocked signals and Thread specific data.

#### Threads in UNIX

- Has its own independent flow of control as long as its parent process exists and the OS supports it
- Duplicates only the essential resources it needs to be independently schedulable
- May share the process resources with other threads that act equally independently (and dependently)
- Dies if the parent process dies or something similar
- Is "lightweight" because most of the overhead has already been accomplished through the creation of its process.

#### What are Pthreads?

- Hardware vendors have implemented their own proprietary versions of threads.
- These implementations differed substantially from each other making portable threaded applications difficult to develop.
- Standardized programming interface was required to take full advantage of the capabilities provided by threads
- IEEE POSIX 1003.1c standard (1995) Portable Operating System Interface (POSIX)

#### **Pthreads**

- Implementations adhering to this standard are referred to as POSIX threads, or Pthreads.
- Most hardware vendors now offer Pthreads in addition to their proprietary API's.
- Pthreads are defined as a set of C language programming types and procedure calls, implemented with a pthread.h header / include file and a thread library
- This library may be part of another library, such as libc, in some implementations.

#### Some useful links:

- standards.ieee.org/findstds/standard/1003.1-2008.html
- www.opengroup.org/austin/papers/posix\_faq.html
- www.unix.org/version3/ieee\_std.html

### Lightweight

- When compared to the cost of creating and managing a process, a thread can be created with much less operating system overhead.
- Managing threads requires fewer system resources than managing processes.
- For example, the following table compares timing results for the fork() subroutine and the pthread\_create() subroutine.
- Timings reflect 50,000 process/thread creations, were performed with the **time** utility, and units are in seconds, no optimization flags.

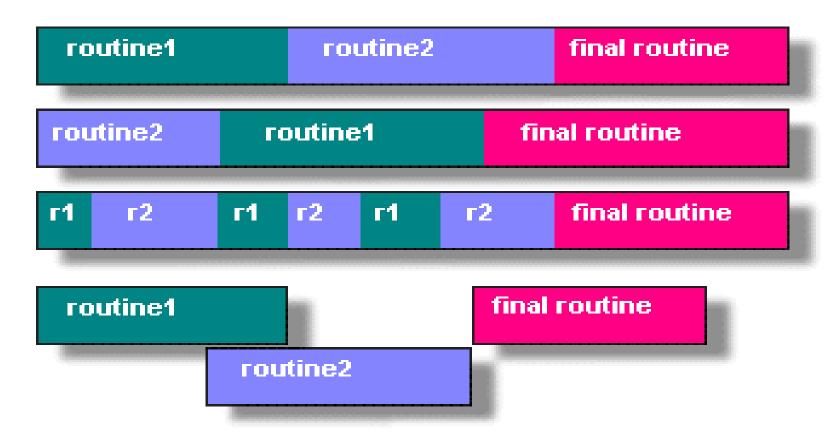
## Lightweight

Platform	fork()			pthread_create()		
	real	user	sys	real	user	sys
Intel 2.6 GHz Xeon E5-2670 (16 cores/node)	8.1	0.1	2.9	0.9	0.2	0.3
Intel 2.8 GHz Xeon 5660 (12 cores/node)	4.4	0.4	4.3	0.7	0.2	0.5
AMD 2.3 GHz Opteron (16 cores/node)	12.5	1.0	12.5	1.2	0.2	1.3
AMD 2.4 GHz Opteron (8 cores/node)	17.6	2.2	15.7	1.4	0.3	1.3
IBM 4.0 GHz POWER6 (8 cpus/node)	9.5	0.6	8.8	1.6	0.1	0.4
IBM 1.9 GHz POWER5 p5-575 (8 cpus/node)	64.2	30.7	27.6	1.7	0.6	1.1
IBM 1.5 GHz POWER4 (8 cpus/node)	104.5	48.6	47.2	2.1	1.0	1.5
INTEL 2.4 GHz Xeon (2 cpus/node)	54.9	1.5	20.8	1.6	0.7	0.9
INTEL 1.4 GHz Itanium2 (4 cpus/node)	54.5	1.1	22.2	2.0	1.2	0.6

### **Concurrent and Parallel Programming**

- Problem partitioning
- Load balancing
- Communications
- Data dependencies
- Synchronization and race conditions
- Memory issues
- I/O issues
- Program complexity
- Programmer effort/costs/time

### **Concurrent (Parallel) Execution**

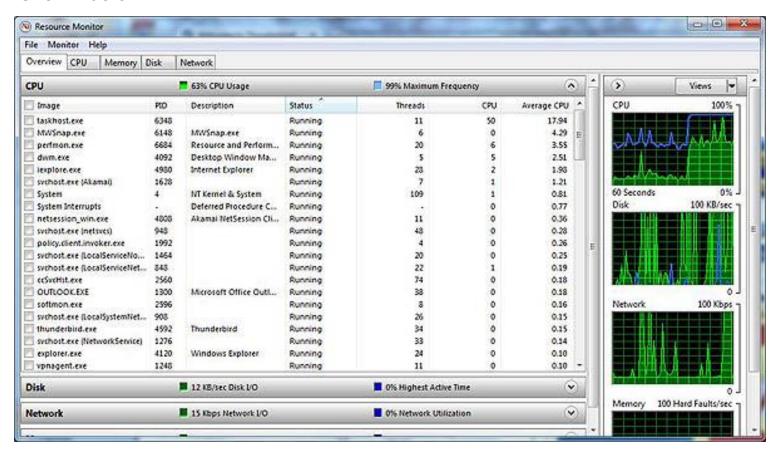


### Programs well suited for pthreads

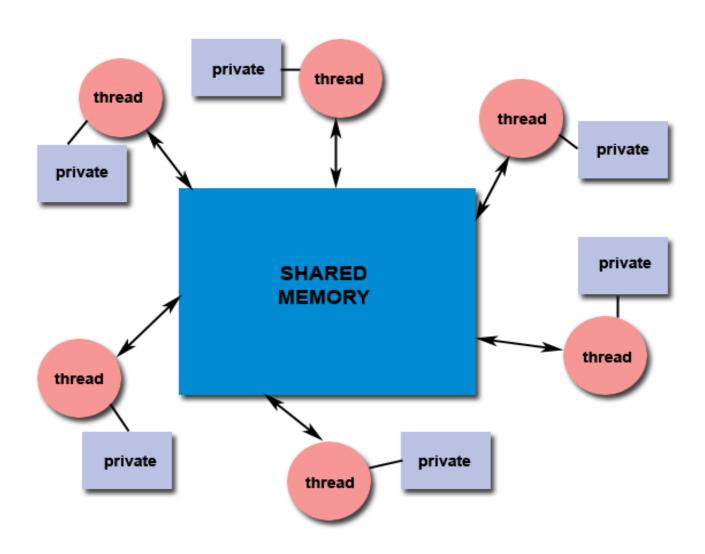
- Work that can be executed, or data that can be operated on, by multiple tasks simultaneously.
- Block for potentially long I/O waits
- Use many CPU cycles in some places but not others
- Must respond to asynchronous events
- Some work is more important than other work (priority interrupts)

#### Example

- A perfect example is the typical web browser, where many interleaved tasks can be happening at the same time, and where tasks can vary in priority.
  - Another good example is a modern operating system, which makes extensive use of threads. A screenshot of the MS Windows OS and applications using threads is shown below.



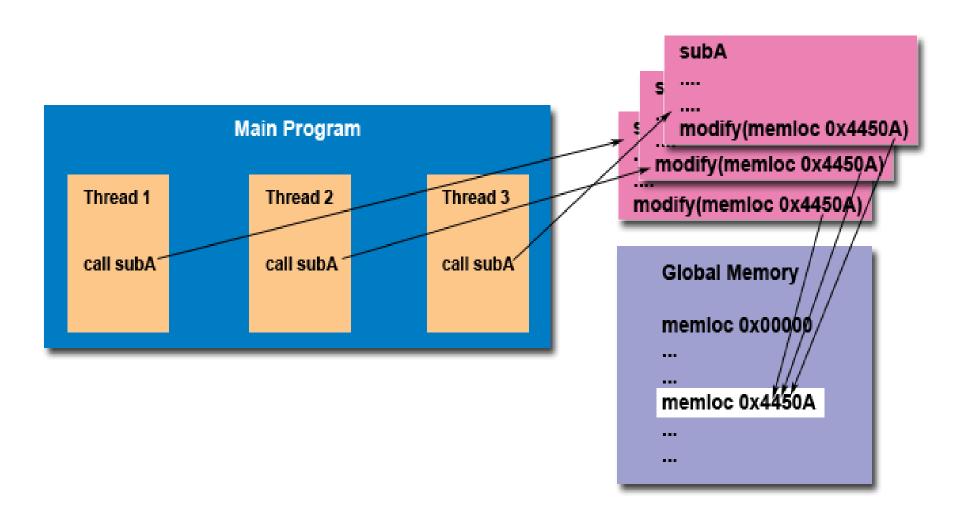
### **Shared Memory**



### **Shared Memory Model**

- All threads have access to the same global, shared memory
- Threads also have their own private data
- Programmers are responsible for synchronizing access (protecting) globally shared data.

#### **Thread Safeness**



#### **Thread Safeness**

- Thread-safeness refers to an application's ability to execute multiple threads simultaneously without "clobbering" shared data or creating "race" conditions
- If an application creates several threads, each of which makes a call to the same library routine:
  - This library routine modifies a global memory.
  - Each thread may try to modify this global memory location at the same time.
  - If the routine does not employ some sort of synchronization constructs, it is not thread-safe.

#### Pthreads API

- Pthreads API was defined in the ANSI/IEEE POSIX 1003.1 - 1995
- Four major Categories
  - Thread management: Creating, Detaching, Joining
  - Mutexes (mutual exclusion) deals with synchronization: Mutex creation, destroying, locking, unlocking
  - Condition variables
  - Synchronization: Routines that manage read / write locks and barriers

### **Pthread Library**

Routine Prefix	Functional Group
pthread_	Threads themselves and miscellaneous subroutines
pthread_attr_	Thread attributes objects
pthread_mutex_	Mutexes
pthread_mutexattr_	Mutex attributes objects.
pthread_cond_	Condition variables
pthread_condattr_	Condition attributes objects
pthread_key_	Thread-specific data keys
pthread_rwlock_	Read/write locks
pthread_barrier_	Synchronization barriers

### **Pthread Library**

- The Pthreads API contains around 100 subroutines
- For portability, the pthread.h header file should be included in each source file using the Pthreads library.
- The current POSIX standard is defined only for the C language.
- Compile Commands GNU C
   gcc -pthread

### **Creating and Managing Threads**

- pthread\_create (thread, attr, start\_routine, arg)
- pthread\_exit (status)
- pthread\_cancel (thread)
- pthread\_attr\_init (attr)
- pthread\_attr\_destroy (attr)

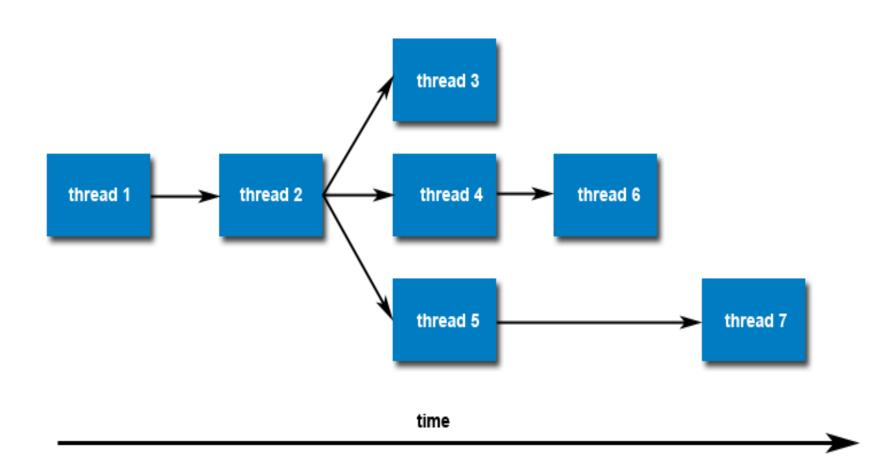
### **Creating Threads**

- main() program comprises a single, default thread
- All other threads must be explicitly created by the programmer
- pthread\_create creates a new thread and makes it executable
- This routine can be called any number of times from anywhere within your code
- Once created, threads are peers, and may create other threads
- There is no implied hierarchy or dependency between threads.

### pthread\_create - Arguments

- thread: A unique identifier for the new thread returned by the subroutine.
- attr: An attribute object that may be used to set thread attributes. We can specify a thread attributes object, or NULL for the default values.
- start\_routine: C routine that the thread will execute once it is created.
- arg: A single argument that may be passed to start\_routine. It must be passed by reference as a pointer cast of type void. NULL may be used if no argument is to be passed

### **Creating Threads**



# Thread creation and termination example

This simple example code creates 5 threads with the pthread\_create() routine. Each thread prints a "Hello World!" message, and then terminates with a call to pthread\_exit().



#### Pthread Creation and Termination Example

```
#include <pthread.h>
    #include <stdio.h>
    #define NUM THREADS
                              5
 4
    void *PrintHello(void *threadid)
 6
       long tid;
       tid = (long)threadid;
       printf("Hello World! It's me, thread #%ld!\n",
   tid):
10
11
       pthread exit(NULL);
12
    }
13
14
    int main (int argc, char *argv[])
15
    {
16
       pthread t threads[NUM THREADS];
17
       int rc;
       long t;
18
       for(t=0; t<NUM THREADS; t++){</pre>
19
20
          printf("In main: creating thread %ld\n", t);
21
          rc = pthread create(&threads[t], NULL,
   PrintHello, (void *)t);
22
23
          if (rc) {
24
             printf("ERROR; return code from
   pthread create() is %d\n", rc);
              exit(-1);
26
27
28
29
       /* Last thing that main() should do */
       pthread exit(NULL);
```

#### **Thread Attributes**

- Thread is created with certain default attributes. Some of these attributes can be changed by the programmer via the thread attribute object.
- pthread\_attr\_init & pthread\_attr\_destroy
  are used to initialize / destroy the thread attribute
  object.
- Other routines are then used to query / set specific attributes in the thread attribute object
- Attributes include:
  - Detached or joinable state
  - Scheduling inheritance, policy, parameters, contention scope
  - Stack size, address, guard (overflow) size

### **Terminating Threads**

- The thread returns normally from its starting routine. Its work is done.
- The thread makes a call to the pthread\_exit subroutine - whether its work is done or not.
- The thread is canceled by another thread via the pthread cancel routine.
- The entire process is terminated due to making a call to either the exec() or exit()
- If main() finishes first, without calling pthread\_exit explicitly itself

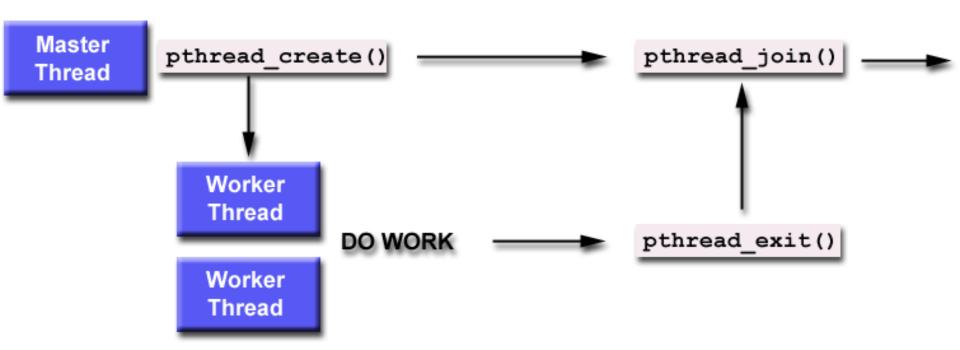
### **Terminating Threads**

- The pthread\_exit() routine allows the programmer to specify an optional termination status parameter
- This optional parameter is typically returned to threads "joining" the terminated thread
- In subroutines that execute to completion normally, calling pthread\_exit() can often be dispensed with unless the optional status code needs to be passed back
- Cleanup: the pthread\_exit() routine does not close files; any files opened inside the thread will remain open after the thread is terminated

### Joining and Detaching Threads

- pthread\_join (threadid, status)
- pthread\_detach (threadid)
- pthread\_attr\_setdetachstate (attr, detachstate)
- pthread\_attr\_getdetachstate (attr, detachstate)

### **Joining**



### **Joining**

- "Joining" is one way to accomplish synchronization between threads
- The pthread\_join() subroutine blocks the calling thread until the specified threadid thread terminates.
- The programmer is able to obtain the target thread's termination return status if it was specified in the target thread's call to pthread exit().
- A joining thread can match one pthread\_join()
   call
- It is a logical error to attempt multiple joins on the same thread.

#### Joinable?

- When a thread is created, one of its attributes defines whether it is joinable or detached
- Only threads that are created as joinable can be joined
- If a thread is created as detached, it can never be joined
- The final draft of the POSIX standard specifies that threads should be created as joinable
- To explicitly create a thread as joinable or detached, the attr argument in the pthread\_create() routine is used

#### Joinable?

- Four Step Process:
  - Declare a pthread attribute variable of the pthread\_attr\_t data type
  - Initialize the attribute variable with pthread\_attr\_init()
  - Set the attribute detached status with pthread\_attr\_setdetachstate()
  - When done, free library resources used by the attribute with pthread\_attr\_destroy()

#### **Detaching**

- The pthread\_detach() routine can be used to explicitly detach a thread even though it was created as joinable
- There is no converse routine

#### **Example: Pthread Joining**

- This example demonstrates how to "wait" for thread completions by using the Pthread join routine.
- Since some implementations of Pthreads may not create threads in a joinable state, the threads in this example are explicitly created in a joinable state so that they can be joined later.

#### **Example**

```
Pthread Joining Example
    #include <pthread.h>
    #include <stdio.h>
    #include <stdlib.h>
    #include <math.h>
    #define NUM THREADS
                              4
 6
    void *BusyWork(void *t)
 8
    {
 9
       int i:
10
       long tid;
       double result=0.0;
11
12
       tid = (long)t;
13
       printf("Thread %ld starting...\n", tid);
14
       for (i=0; i<1000000; i++)
15
16
          result = result + sin(i) * tan(i);
17
18
       printf("Thread %ld done. Result = %e\n", tid,
19 result);
```

### (Contd.)

```
20
       pthread exit((void*) t);
21
    }
22
23
    int main (int argc, char *argv[])
24
    {
25
       pthread t thread[NUM THREADS];
26
       pthread attr t attr;
27
       int rc;
28
       long t;
       void *status:
29
30
31
       /* Initialize and set thread detached attribute */
32
       pthread attr init(&attr);
33
       pthread attr setdetachstate(&attr,
34
   PTHREAD CREATE JOINABLE);
35
36
       for(t=0; t<NUM THREADS; t++) {</pre>
37
          printf("Main: creating thread %ld\n", t);
          rc = pthread create(&thread[t], &attr,
38
39
   BusyWork, (void *)t);
40
          if (rc) {
41
             printf("ERROR; return code from
   pthread create() is %d\n", rc);
43
              exit(-1);
44
45
          }
46
       /* Free attribute and wait for the other threads
47
48
49
       pthread attr destroy(&attr);
50
       for(t=0; t<NUM THREADS; t++) {</pre>
51
          rc = pthread join(thread[t], &status);
52
          if (rc) {
53
              printf("ERROR; return code from
   pthread join() is %d\n", rc);
54
55
              exit(-1);
56
57
          printf("Main: completed join with thread %ld
   having a status
                 of %ld\n",t,(long)status);
          }
    printf("Main: program completed. Exiting.\n");
    pthread exit(NULL);
```

#### **Mutex Variables**

- Mutex is an abbreviation for "mutual exclusion".
- Mutex variables are one of the primary means of implementing thread synchronization and for protecting shared data when multiple writes occur.
- A mutex variable acts like a "lock" protecting access to a shared data resource.

#### **Mutex Variables**

- The basic concept of a mutex as used in Pthreads is that only one thread can lock (or own) a mutex variable at any given time.
- Even if several threads try to lock a mutex only one thread will be successful.
- No other thread can own that mutex until the owning thread unlocks that mutex.
- Threads must "take turns" accessing protected data.

#### **Race Conditions**

 Mutexes can be used to prevent "race" conditions.

Thread 1	Thread 2	Balance
Read balance: \$1000		\$1000
	Read balance: \$1000	\$1000
	Deposit \$200	\$1000
Deposit \$200		\$1000
Update balance \$1000+\$200		\$1200
	Update balance \$1000+\$200	\$1200

#### Mutex

- Create and initialize a mutex variable
- Several threads attempt to lock the mutex
- Only one succeeds and that thread owns the mutex
- The owner thread performs some set of actions
- The owner unlocks the mutex
- Another thread acquires the mutex and repeats the process
- Finally the mutex is destroyed

#### **Create and Destroy Mutex**

```
pthread_mutex_init (mutex,attr)
```

```
pthread_mutex_destroy (mutex)
```

```
pthread_mutexattr_init (attr)
```

pthread\_mutexattr\_destroy (attr)

#### **Create and Destroy Mutex**

- Mutex variables must be declared with type
   pthread\_mutex\_t, and must be initialized before
   they can be used.
- There are two ways to initialize a mutex variable:
  - Statically, when it is declared
     pthread\_mutex\_t mymutex =
     PTHREAD MUTEX INITIALIZER;
  - Dynamically, with the pthread\_mutex\_init()
    routine. This method permits setting mutex object
    attributes, attr
- The mutex is initially unlocked.

#### **Create and Destroy Mutex**

- The attr object is used to establish properties for the mutex object, and must be of type pthread\_mutexattr\_t if used (may be specified as NULL to accept defaults).
- The pthread\_mutexattr\_init() and pthread\_mutexattr\_destroy() routines are used to create and destroy mutex attribute objects respectively.
- pthread\_mutex\_destroy() should be used to free a mutex object which is no longer needed.

#### **Lock and Unlock Mutex**

```
pthread_mutex_lock (mutex)pthread_mutex_trylock (mutex)pthread mutex unlock (mutex)
```

#### **Lock and Unlock Mutex**

- The pthread\_mutex\_lock() routine is used by a thread to acquire a lock on the *mutex* variable.
- If the mutex is already locked by another thread, this call will block the calling thread until the mutex is unlocked.
- pthread\_mutex\_trylock() will lock a mutex.
- However, if the mutex is already locked, the routine will return immediately with a "busy" error code.
- This routine may be useful in preventing deadlock conditions, as in a priority-inversion situation.

#### **Lock and Unlock Mutex**

- pthread\_mutex\_unlock() will unlock a mutex if called by the owning thread.
- Calling this routine is required after a thread has completed its use of protected data and other threads need access.
- An error will be returned if:
  - If the mutex was already unlocked
  - If the mutex is owned by another thread
- Mutexes are akin to a "gentlemen's agreement"
- It is up to the code writer to insure that the necessary threads all make the mutex lock and unlock calls correctly

#### **Example: Using Mutexes**

- This example program illustrates the use of mutex variables in a threads program that performs a dot product.
- The main data is made available to all threads through a globally accessible structure.
- Each thread works on a different part of the data.
- The main thread waits for all the threads to complete their computations, and then it prints the resulting sum.

## **Example**

```
Using Mutexes Example
 1 #include <pthread.h>
 2 #include <stdio.h>
  #include <stdlib.h>
 6 The following structure contains the necessary
 7 information
 8 to allow the function "dotprod" to access its input
 9 data and
10 place its output into the structure.
11 */
12
13 typedef struct
14
15
      double
                   *a;
      double
                   *b:
17
      double
                 sum;
18
      int
              veclen;
19
    } DOTDATA;
20
   /* Define globally accessible variables and a mutex
22 */
23
24 #define NUMTHRDS 4
25 #define VECLEN 100
      DOTDATA dotstr;
      pthread t callThd[NUMTHRDS];
      pthread mutex t mutexsum;
29
30 /*
31 The function dotprod is activated when the thread is
32 created.
33 All input to this routine is obtained from a
34 structure
35 of type DOTDATA and all output from this function is
36 written into
37 this structure. The benefit of this approach is
38 apparent for the
39 multi-threaded program: when a thread is created we
40 pass a single
41 argument to the activated function - typically this
42 argument
43 is a thread number. All the other information
```

#### (Contd. - 1)

```
44 required by the
45 function is accessed from the globally accessible
47 */
48
49 void *dotprod(void *arg)
50
51
52
      /* Define and use local variables for convenience
53 */
54
      int i, start, end, len;
55
56
      long offset;
57
      double mysum, *x, *y;
58
      offset = (long)arg;
59
      len = dotstr.veclen;
60
      start = offset*len;
61
      end = start + len;
62
63
      x = dotstr.a;
64
      y = dotstr.b;
65
66
      Perform the dot product and assign result
      to the appropriate variable in the structure.
68
      */
69
70
71
      mysum = 0;
72
      for (i=start; i<end; i++)
73
74
         mysum += (x[i] * y[i]);
75
76
77
78
      Lock a mutex prior to updating the value in the
79 shared
80
      structure, and unlock it upon updating.
81
82
      pthread mutex lock (&mutexsum);
83
      dotstr.sum += mysum;
      pthread mutex unlock (&mutexsum);
84
85
86
      pthread exit((void*) 0);
87 }
88
```

## (Contd. - 2)

```
89 /*
 90 The main program creates threads which do all the
 91 work and then
 92 print out result upon completion. Before creating the
 93 threads,
 94 the input data is created. Since all threads update a
 95 shared structure,
 96 we need a mutex for mutual exclusion. The main thread
 97 needs to wait for
 98 all threads to complete, it waits for each one of the
 99 threads. We specify
100 a thread attribute value that allow the main thread
101 to join with the
102 threads it creates. Note also that we free up handles
103 when they are
104 no longer needed.
105 */
106
107 int main (int argc, char *argv[])
108 {
109
       long i;
110
       double *a, *b;
111
       void *status;
112
       pthread attr t attr;
113
114
       /* Assign storage and initialize values */
115
       a = (double*) malloc
116 (NUMTHRDS*VECLEN*sizeof(double));
117
       b = (double*) malloc
118 (NUMTHRDS*VECLEN*sizeof(double));
119
120
       for (i=0; i<VECLEN*NUMTHRDS; i++)</pre>
121
         {
122
         a[i]=1.0;
123
         b[i]=a[i];
124
         }
125
126
       dotstr.veclen = VECLEN;
127
       dotstr.a = a:
128
       dotstr.b = b;
129
       dotstr.sum=0;
130
131
       pthread mutex init(&mutexsum, NULL);
132
133
       /* Create threads to perform the dotproduct */
```

#### (Contd. - 3)

```
pthread attr init(&attr);
134
135
       pthread attr setdetachstate(&attr,
136 PTHREAD CREATE JOINABLE);
137
138
       for(i=0; i<NUMTHRDS; i++)</pre>
       /*
       Each thread works on a different set of data. The
   offset is specified
       by 'i'. The size of the data for each thread is
   indicated by VECLEN.
       */
       pthread create(&callThd[i], &attr, dotprod, (void
   *)i);
       pthread attr destroy(&attr);
       /* Wait on the other threads */
       for(i=0; i<NUMTHRDS; i++)</pre>
          pthread join(callThd[i], &status);
       /* After joining, print out the results and
   cleanup */
       printf ("Sum = %f \n", dotstr.sum);
       free (a);
       free (b);
       pthread mutex destroy (&mutexsum);
       pthread exit (NULL);
    }
```

#### Semaphore

- The pthreads library itself does not provide a semaphore
- However, a separate POSIX standard does define them
- The necessary declarations to use these semaphores are contained in semaphore.h
- To define a semaphore object, use

```
sem_t sem_name;
```

#### **Semaphore API**

- sem\_init: Initialize a new semaphore
  - The second argument denotes how the semaphore will be shared.
  - Passing zero denotes that it will be shared among threads rather than processes.
  - The final argument is the initial value of the semaphore.
- sem\_destroy: Deallocate an existing semaphore.
- sem\_wait: This is the Wait () operation.
- sem\_post: This is the Signal () operation.

#### sem\_init

- int sem\_init (sem\_t \*sem, int pshared, unsigned int value);
  - sem address of the declared semaphore
  - pshared should be 0 (not shared with threads in other processes)
  - value the desired initial value of the semaphore

Return: The return value is 0 if successful.

#### sem\_wait

- To wait on a semaphore
- int sem wait (sem t \*sem);
- If the value of the semaphore is negative, the calling process blocks
- One of the blocked processes wakes up when another process calls sem post

```
wait (S)

if S.V >0

S.V ← S.V −1

else

S.L ← append (S.L, p)

p.state ← blocked
```

#### sem\_post

- To increment the value of a semaphore
- int sem post(sem t \*sem);
- It increments the value of the semaphore
- It wakes up a blocked process waiting on the semaphore, if any.

```
signal (S)

if S.L = \phi

S.V \leftarrow S.V + 1

else

Let q be some process in S.L

S.L \leftarrow S.L - \{q\}

q.state \leftarrow ready
```

#### sem\_getvalue

- To find out the value of a semaphore
- int sem\_getvalue (sem\_t \*sem, int
  \*valp);
- Gets the current value of sem
- Places it in the location pointed to by valp

#### sem\_destroy

- To destroy a semaphore
- sem\_destroy(sem\_t \*sem);
- Destroys the semaphore
- No threads should be waiting on the semaphore if its destruction is to succeed.

## Comparison

State	Pthread	Mutex	Semaphore
Creation	pthread_create	pthread_mutex_init	sem_init
Destroy	pthread_exit	pthread_mutex_destroy	sem_destroy
Waiting	pthread_join	-	-
Acquisition	-	pthread_mutex_lock	sem_wait
Release	-	pthread_mutex_unlock	sem_post

# Example code: Dining Philosopher Problem Using Semaphores

```
#include <pthread.h>
#include <semaphore.h>
#include <stdio.h>
#define N 5
#define THTNKTNG 2
#define HUNGRY 1
#define FATING 0
#define LEFT (phnum + 4) % N
#define RIGHT (phnum + 1) % N
int state[N];
int phil[N] = \{ 0, 1, 2, 3, 4 \};
sem t mutex;
sem_t S[N];
```

#### (Contd.-1)

```
void test(int phnum)
    if (state[phnum] == HUNGRY
        && state[LEFT] != EATING
        && state[RIGHT] != EATING) {
        // state that eating
        state[phnum] = EATING;
        sleep(2);
        printf("Philosopher %d takes fork %d and %d\n",
                      phnum + 1, LEFT + 1, phnum + 1);
        printf("Philosopher %d is Eating\n", phnum + 1);
        // sem post(&S[phnum]) has no effect
        // during takefork
        // used to wake up hungry philosophers
        // during putfork
        sem post(&S[phnum]);
```

#### (Contd.-2)

```
// take up chopsticks
void take_fork(int phnum)
    sem wait(&mutex);
    // state that hungry
     state[phnum] = HUNGRY;
     printf("Philosopher %d is Hungry\n", phnum + 1);
    // eat if neighbours are not eating
    test(phnum);
    sem post(&mutex);
    // if unable to eat wait to be signalled
     sem wait(&S[phnum]);
    sleep(1);
```

#### (Contd.-3)

```
// put down chopsticks
                                                               void* philospher(void* num)
void put fork(int phnum)
                                                               {
                                                                   while (1) {
    sem wait(&mutex);
                                                                       int* i = num;
    // state that thinking
    state[phnum] = THINKING;
                                                                       sleep(1);
    printf("Philosopher %d putting fork %d and %d down\n",
                                                                       take fork(*i);
           phnum + 1, LEFT + 1, phnum + 1);
    printf("Philosopher %d is thinking\n", phnum + 1);
                                                                       sleep(0);
    test(LEFT);
                                                                       put fork(*i);
    test(RIGHT);
                                                               }
    sem post(&mutex);
```

#### (Contd.- 4) int main()

```
int i;
pthread t thread id[N];
// initialize the semaphores
sem init(&mutex, 0, 1);
for (i = 0; i < N; i++)
    sem init(&S[i], 0, 0);
for (i = 0; i < N; i++) {
    // create philosopher processes
    pthread create(&thread id[i], NULL,
                   philospher, &phil[i]);
    printf("Philosopher %d is thinking\n", i + 1);
}
for (i = 0; i < N; i++)
    pthread join(thread id[i], NULL);
```

#### **Condition Variables**

- Condition variables provide yet another way for threads to synchronize.
- While mutexes implement synchronization by controlling thread access to data, condition variables allow threads to synchronize based upon the actual value of data.
- Without condition variables, the programmer would need to have threads continually polling (possibly in a critical section), to check if the condition is met. A condition variable is a way to achieve the same goal without polling.
- A condition variable is always used in conjunction with a mutex lock.

## Creating and Destroying Condition Variables

```
pthread_cond_init (condition,attr)
```

```
    pthread_cond_destroy (condition)
```

```
pthread_condattr_init (attr)
```

pthread\_condattr\_destroy (attr)

# **Creating and Destroying Condition Variables**

- Condition variables must be declared with type pthread\_cond\_t, and must be initialized before they can be used. There are two ways to initialize a condition variable:
  - Statically, when it is declared. For example:
     pthread\_cond\_t myconvar =
     PTHREAD\_COND\_INITIALIZER;
  - Dynamically, with the pthread\_cond\_init() routine.
     The ID of the created condition variable is returned to the calling thread through the condition parameter.

# **Creating and Destroying Condition Variables**

- The optional attr object is used to set condition variable attributes. There is only one attribute defined for condition variables: process-shared, which allows the condition variable to be seen by threads in other processes.
- The attribute object, if used, must be of type pthread\_condattr\_t (may be specified as NULL to accept defaults).
- The pthread\_condattr\_init() and pthread\_condattr\_destroy() routines are used to create and destroy condition variable attribute objects.
- pthread\_cond\_destroy() should be used to free a condition variable that is no longer needed.

```
pthread_cond_wait (condition, mutex)
```

```
    pthread_cond_signal (condition)
```

pthread cond broadcast (condition)

• pthread\_cond\_wait() blocks the calling thread until the specified condition is signalled. This routine should be called while mutex is locked, and it will automatically release the mutex while it waits. After signal is received and thread is awakened, mutex will be automatically locked for use by the thread.

- The pthread\_cond\_signal() routine is used to signal (or wake up) another thread which is waiting on the condition variable. It should be called after mutex is locked, and must unlock mutex in order for pthread\_cond\_wait() routine to complete.
- The pthread\_cond\_broadcast() routine should be used instead of pthread\_cond\_signal() if more than one thread is in a blocking wait state.
- It is a logical error to call pthread\_cond\_signal() before calling pthread\_cond\_wait().

- Proper locking and unlocking of the associated mutex variable is essential when using these routines. For example:
- Failing to lock the mutex before calling pthread\_cond\_wait() may cause it NOT to block.
- Failing to unlock the mutex after calling pthread\_cond\_signal() may not allow a matching pthread\_cond\_wait() routine to complete (it will remain blocked).

#### **Main Thread**

- Declare and initialize global data/variables which require synchronization (such as "count")
- Declare and initialize a condition variable object
- Declare and initialize an associated mutex
- Create threads A and B to do work

# A representative sequence for using condition variables is shown here:

#### Thread A

- Do work up to the point where a certain condition must occur (such as "count" must reach a specified value)
- Lock associated mutex and check value of a global variable
- Call pthread\_cond\_wait() to perform a blocking wait for signal from Thread-B.
   Note that a call to pthread\_cond\_wait() automatically and atomically unlocks the associated mutex variable so that it can be used by Thread-B.
- When signalled, wake up. Mutex is automatically and atomically locked.
- Explicitly unlock mutex
- Continue

#### Thread B

- Do work
- Lock associated mutex
- Change the value of the global variable that Thread-A is waiting upon.
- Check value of the global
   Thread-A wait variable. If it fulfills the desired condition, signal
   Thread-A.
- Unlock mutex.
- Continue

#### Main Thread

Join / Continue

#### **Example: Using Condition Variables**

- This simple example code demonstrates the use of several Pthread condition variable routines.
- The main routine creates three threads.
- Two of the threads perform work and update a "count" variable.
- The third thread waits until the count variable reaches a specified value.

#### **Example**



#### **Using Condition Variables Example**

```
#include <pthread.h>
  #include <stdio.h>
  #include <stdlib.h>
5 #define NUM THREADS 3
 6 #define TCOUNT 10
  #define COUNT LIMIT 12
   int count = 0;
   int thread ids[3] = \{0,1,2\};
11 pthread mutex t count mutex;
12 pthread cond t count threshold cv;
13
14 void *inc count(void *t)
15 {
16
     int i;
17
     long my id = (long)t;
18
19
     for (i=0; i<TCOUNT; i++) {
20
       pthread mutex lock(&count mutex);
21
       count++;
22
       /*
23
24
       Check the value of count and signal waiting
25 thread when condition is
       reached. Note that this occurs while mutex is
26
27 locked.
28
       */
```

### (Contd.)

```
29
       if (count == COUNT LIMIT) {
30
         pthread cond signal(&count threshold cv);
31
         printf("inc count(): thread %ld, count = %d
32 Threshold reached.\n",
33
                my id, count);
34
35
       printf("inc count(): thread %ld, count = %d,
36 unlocking mutex\n",
37
            my id, count);
38
       pthread mutex unlock(&count mutex);
39
40
       /* Do some "work" so threads can alternate on
41 mutex lock */
42
       sleep(1);
43
44
     pthread exit(NULL);
45
  }
46
   void *watch count(void *t)
48
49
     long my id = (long)t;
50
     printf("Starting watch count(): thread %ld\n",
52 my id);
53
54
     Lock mutex and wait for signal. Note that the
56 othread cond wait
     routine will automatically and atomically unlock
58 mutex while it waits.
     Also, note that if COUNT LIMIT is reached before
60 this routine is run by
     the waiting thread, the loop will be skipped to
62 prevent pthread cond wait
     from never returning.
64
     */
     pthread mutex lock(&count mutex);
66
     while (count<COUNT LIMIT) {
       pthread cond wait(&count threshold cv,
68 &count mutex);
69
       printf("watch count(): thread %ld Condition
70 signal received.\n", my id);
71
       count += 125;
72
       printf("watch count(): thread %ld count now =
73 %d.\n", my id, count);
```

## (Contd.)

```
74
75
     pthread mutex unlock(&count mutex);
76
     pthread exit(NULL);
77 }
78
79 int main (int argc, char *argv[])
80 {
81
     int i, rc;
82
     long t1=1, t2=2, t3=3;
83
     pthread t threads[3];
     pthread attr t attr;
84
85
86
     /* Initialize mutex and condition variable objects
87 */
88
     pthread mutex init(&count mutex, NULL);
89
     pthread cond init (&count threshold cv, NULL);
90
91
     /* For portability, explicitly create threads in a
92 joinable state */
     pthread attr init(&attr);
93
     pthread attr setdetachstate(&attr,
95 PTHREAD CREATE JOINABLE);
     pthread create (&threads[0], &attr, watch count,
  (void *)t1);
     pthread create (&threads[1], &attr, inc count, (void
  *)t2);
     pthread create (&threads[2], &attr, inc count, (void
  *)t3);
     /* Wait for all threads to complete */
     for (i=0; i<NUM THREADS; i++) {
       pthread join(threads[i], NULL);
     printf ("Main(): Waited on %d threads. Done.\n",
  NUM THREADS);
     /* Clean up and exit */
     pthread attr destroy(&attr);
     pthread mutex destroy(&count mutex);
     pthread cond destroy(&count threshold cv);
     pthread exit(NULL);
   }
```