

## 13.3 concavity

\* concave up:  $f'$  increasing on interval  $(a,b)$ ,  $f''(x) > 0$  for all  $x$  in interval

concave down:  $f'$  decreasing " "  $f''(x) < 0$  "

inflection point: at  $a$  if  $f$  continuous on  $a$  and  $f''(a) = 0$  or DNE

ex.  $y = 6x^4 - 8x^3 + 1$

$$y' = 24x^3 - 24x^2$$

$$y'' = 72x^2 - 48x$$

$$= 24x(3x - 2)$$

$$\begin{array}{ll} 24x = 0 & 3x - 2 = 0 \\ x = 0 & x = \frac{2}{3} \end{array}$$

sign chart:

|          | 0 |   | $\frac{2}{3}$ |
|----------|---|---|---------------|
|          |   |   |               |
| $(24x)$  | - | + | +             |
| $(3x-2)$ | - | - | +             |
| $y''$    | + | - | +             |
| $y$      | U | ∩ | U             |

ex. curve sketching

(1)  $y = 2x^3 - 9x^2 + 12x$

$$y' = 6x^2 - 18x + 12$$

$$= 6(x-1)(x-2)$$

$$y'' = 12x - 18$$

$$= 6(2x-3)$$

(2) intercepts:  $(x, 0)$   $(0, y)$

$(0, 0)$

no real roots

(3) symmetry:  $y(x) \neq y(-x)$   
 $y(x) \neq -y(x)$

(4) critical points:  $0 = y'$

$(1, 5)$   $(2, 4)$

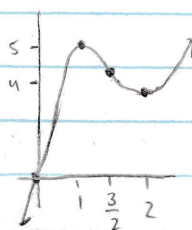
|         | 1 |   | 2 |
|---------|---|---|---|
|         |   |   |   |
| $(x-1)$ | - | + | + |
| $(x-2)$ | - | - | + |
| $y'$    | + | - | + |
| $y$     | ↗ | ↘ | ↗ |

(5) concavity:  $0 = y''$

$(\frac{3}{2}, \frac{9}{2})$

|        |               |        |
|--------|---------------|--------|
|        | $\frac{3}{2}$ |        |
|        |               |        |
| $2x-3$ | -             | +      |
| $y''$  | -             | +      |
| $y$    | $\cap$        | $\cup$ |

(6) sketch:



ex. [1]  $f(x) = 2x^2 - x^4$

$f'(x) = 4x - 4x^3$

$f''(x) = 4 - 12x^2$

$= x^2(\sqrt{2}+x)(\sqrt{2}-x)$

$= 4x(1+x)(1-x)$

$= 4(1-3x^2)$

[2] intercepts:  $x=0$   $y=0$

$f(0)=0$   $x=0, \pm\sqrt{2}$

[3] symmetry:  $f(x) = f(-x)$

$f(x) \neq -f(x)$

$2x^2 - x^4 = 2(-x)^2 - (-x)^4$

$2x^2 - x^4 \neq x^4 - 2x^2$

[4] critical points:  $(0,0)$   $(-1,1)$   $(1,1)$

Note:  $f(1)=f(-1)$

[5] inflection points:  $(\sqrt{1/3}, 1/3)$ ,  $(-\sqrt{1/3}, 1/3)$

[6] sign charts:

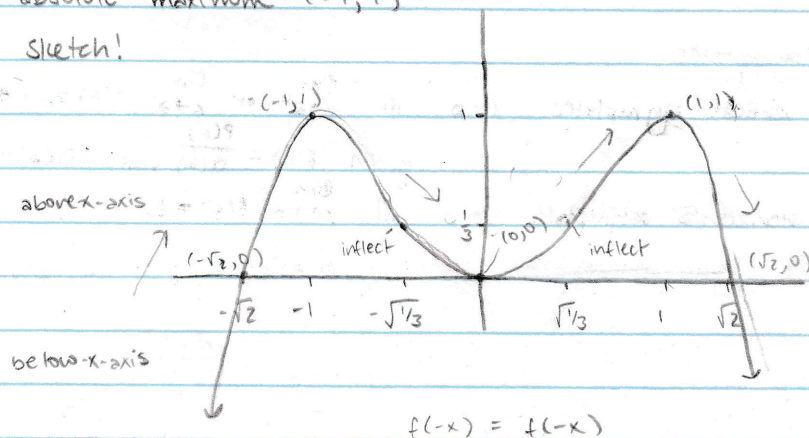
| (b)                  | $-\sqrt{2}$ | 0 | $\sqrt{2}$ | (y') | -1      | 0 | 1 | (y'') | $-\sqrt{1/3}$ | $\sqrt{1/3}$ |   |   |
|----------------------|-------------|---|------------|------|---------|---|---|-------|---------------|--------------|---|---|
| $(x^2)$              | +           | + | +          | +    | (4x)    | - | - | +     | $(3x^2)$      | +            | + | + |
| $(\sqrt{2}+x)$       | -           | + | +          | +    | $(1+x)$ | - | + | +     | $(1-3x^2)$    | -            | + | - |
| $(\sqrt{2}-x)$       | +           | + | +          | -    | $(1-x)$ | + | + | +     | $y''$         | -            | + | - |
| y                    | +           | + | +          | -    | y'      | + | - | +     | y             | ∩            | ∪ | ∩ |
| (above/below x-axis) |             |   |            |      | y       | ↗ | ↘ | ↗     | ↘             |              |   |   |

[7] relative minimum:  $(0,0)$

relative maximum:  $(\pm 1, 1)$

absolute maximum:  $(\pm 1, 1)$

[8] sketch!





### 13.4 The Second Derivative Test

\* find relative extrema: (0)  $f$  differentiable

(i) find  $f'(a) = 0$

(2) relative minimum at  $a$  if  $f''(a) > 0$

relative maximum at  $a$  if  $f''(a) < 0$

ex. from (13.3),  $f'(x) = 0 \rightarrow x = \pm 1, 0$

where  $f''(1) = 4 - 12(1)^2 < 0$  relative maximum

$f''(-1) = 4 - 12(-1)^2 < 0$  maximum

$f''(0) = 4 - 12(0)^2 > 0$  minimum

ex.  $y = x^4 - 2x^2 + 4$

$$y' = 4x^3 - 4x = 4x(x^2 - 1)$$

$$= 4x(x-1)(x+1)$$

critical points:  $x = 0, \pm 1$

$$y'' = 12x^2 - 4$$

where  $y''(0) = -4 < 0$  local maximum

$y''(\pm 1) = 8 > 0$  minimum

### 13.5 Asymptotes

\* vertical asymptotes  $x = a$  iff  $\lim_{x \rightarrow a^+} f(x) = \pm \infty$  or  $\lim_{x \rightarrow a^-} f(x) = \pm \infty$

given  $f(x) = \frac{p(x)}{q(x)}$ , iff  $q(a) = 0$  and  $p(a) \neq 0$

\* horizontal asymptote  $y = b$  iff  $\lim_{x \rightarrow \pm \infty} f(x) = b$

- a polynomial function with degree  $\geq 1$  has no asymptotes

$$\text{ex. } f(x) = \frac{x^2 - 4x}{x^2 - 4x + 3} = \frac{x(x-4)}{(x-3)(x-1)}$$

denominator  $= 0$

if  $x = 1, 3$

numerator  $\neq 0$

then, vertical asymptotes

$$\text{ex. } f(x) = \frac{x^2 - 4x}{x^2 - 4x + 3}$$

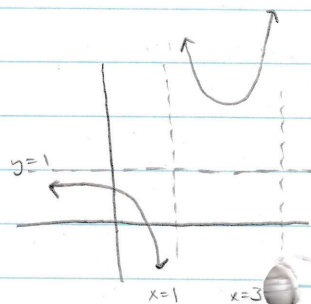
$\lim_{x \rightarrow \infty} f(x)$

$$= \lim_{x \rightarrow \infty} \frac{x^2(1 - 4/x)}{x^2(1 - 4/x + 3/x^2)}$$

$$= \lim_{x \rightarrow \infty} \frac{1 - 4/x}{1 - 4/x + 3/x^2}$$

$$= \frac{1}{1}$$

then, horizontal asymptote



ex. Curve sketching

$$[1] y = \frac{1}{4-x^2}$$

$$= \frac{1}{(2+x)(2-x)}$$

|       |    |   |   |
|-------|----|---|---|
|       | -2 | 0 | 2 |
| (2+x) | -  | + | + |
| (2-x) | +  | + | - |
| y     | -  | + | - |

intercepts:  $(0, \frac{1}{4})$ ,  $y \neq 0$

symmetry:  $y(x) = y(-x)$

$y(x) \neq -y(x)$

$$[2] y' = \frac{2x}{(4-x^2)^2}$$

$$y'(0) = 0$$

|           |    |   |   |
|-----------|----|---|---|
|           | -2 | 0 | 2 |
| (2x)      | -  | 0 | + |
| (4-x^2)^2 | +  | + | + |
| y'        | -  | 0 | + |
| y         | ↘  | ↖ | ↗ |

undefined:  $\pm 2$

$$[3] y'' = \frac{2(4+3x^2)}{(4-x^2)^3}$$

no real roots

undefined:  $\pm 2$

|           |    |   |   |
|-----------|----|---|---|
|           | -2 | 0 | 2 |
| 3x^2      | +  | + | + |
| (4-x^2)^3 | -  | + | - |
| y''       | -  | + | - |
| y         | ∩  | ∪ | ∩ |

[4] asymptotes: vertical -  $P(x) = 1$   $Q(x) = (2+x)(2-x)$

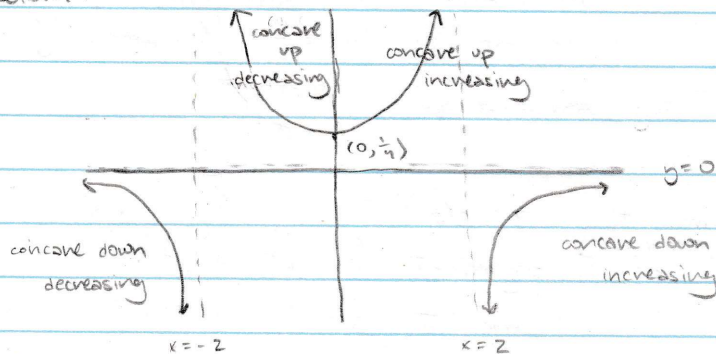
$= 0$  when  $x = \pm 2$

horizontal -  $\lim_{x \rightarrow \pm \infty} f(x) = \lim_{x \rightarrow \pm \infty} \left( \frac{1}{-x^2} \right) = 0$

[5]  $(0,0)$  critical point

$$f''(0) = \frac{2(4+3 \cdot 0^2)}{(4-0^2)^3} > 0 \quad \text{local minimum}$$

[6] sketch!





### 13.6 Applied Maxima and Minima

ex. what are two non-negative numbers such that their sum is 20 and we maximize the product of twice one number and square the other

$$(i) x+y=20$$

$$(ii) \max = 2xy^2 \quad \text{then, } y = 20-x$$

$$\begin{aligned} \max &= 2x(20-x)^2 \\ &= 2x^3 - 80x^2 + 800x \end{aligned}$$

$$(\max)' = 6x^2 - 160x + 800$$

$$0 = 2(3x-20)(x-20)$$

$$x = \frac{20}{3}, 20 \quad (20 = \text{endpoint})$$

$$(\max)'' = 12x - 160$$

$$(\max)'' \Big|_{\frac{20}{3}} = -80 < 0$$

by the second derivative Test, there is a max at  $(\frac{20}{3}, \frac{40}{3})$

ex. maximize number of people in a program after  $t$  number of years

$$n = \frac{t^3}{3} - 6t^2 + 32t, \quad 0 \leq t \leq 12$$

$$\frac{dn}{dt} = t^2 - 12t + 32 = 0$$

$$(t-4)(t-8) = 0$$

test critical values, and endpoints on closed interval

$$n(0) = 0 \quad n(4) = \frac{160}{3} \quad n(8) = \frac{128}{3} \quad n(12) = 96$$

then maximum at  $(12, 96)$

ex. minimize  $c = c(q) = \frac{1}{4}q^2 + 3q + 400 \quad q \geq 0$   
 (average cost)

$$\text{avg cost} = \bar{c} = \frac{c}{q} = \frac{1}{4}q + 3 + \frac{1}{q} \cdot 400$$

$$\text{min: } \frac{d\bar{c}}{dq} = \frac{1}{4} - \frac{400}{q^2} = (4q^2)^{-1}(q^2 - 1600)$$

$$\text{critical: } \frac{d\bar{c}}{dq} = 0 \quad \text{when } q = 40 \quad (\text{given } q \geq 0)$$

$$\text{relative extrema: } \frac{d^2\bar{c}}{dq^2} = \frac{1}{q^3} \cdot 800$$

positive for  $q = 40$

then,  $\bar{c}$  has a relative minimum at  $q = 40$

$\bar{c}$  is continuous for  $q > 0$

following,  $\bar{c}$  has an absolute minimum at  $(40, 23)$