```
In [2]: !pip install transformers
    !pip install wandb
    !pip install trl
    !pip install pandas
    !pip install datasets
    !pip install accelerate
    !pip install tyro
    !pip install nltk -U
```

1120/apps/anaconda/2020.11-py38-gcc-4.8.5-djkvkvk/lib/python3.8/site-packag
es (from wandb) (7.1.2)

Requirement already satisfied: psutil>=5.0.0 in /apps/spack/scholar/fall20/apps/anaconda/2020.11-py38-gcc-4.8.5-djkvkvk/lib/python3.8/site-packages (from wandb) (5.7.2)

Requirement already satisfied: setproctitle in ./.local/lib/python3.8/site-packages (from wandb) (1.3.3)

Requirement already satisfied: PyYAML in /apps/spack/scholar/fall20/apps/an aconda/2020.11-py38-gcc-4.8.5-djkvkvk/lib/python3.8/site-packages (from wan db) (5.3.1)

Requirement already satisfied: appdirs>=1.4.3 in ./.local/lib/python3.8/sit e-packages (from wandb) (1.4.4)

Requirement already satisfied: setuptools in /apps/spack/scholar/fall20/app s/anaconda/2020.11-py38-gcc-4.8.5-djkvkvk/lib/python3.8/site-packages (from wandb) (50.3.1.post20201107)

Requirement already satisfied: protobuf!=4.21.0,<5,>=3.12.0; python\_version < "3.9" and sys\_platform == "linux" in ./.local/lib/python3.8/site-packages (from wandb) (4.25.3)

Requirement already satisfied: GitPython!=3.1.29,>=1.0.0 in ./.local/lib/py thon3 8/site-nackages (from wandh) (3 1 42)

```
In [3]: import torch
    from tqdm import tqdm
    import pandas as pd
    import wandb
    import os

    tqdm.pandas()

from transformers import pipeline, AutoTokenizer
    from datasets import load_dataset

from trl import PPOTrainer, PPOConfig, AutoModelForCausalLMWithValueHead
```

from trl.core import LengthSampler

```
In [5]: ## wandb.init()

wandb.init(mode="disabled")
os.environ['WANDB_DISABLED'] = 'true'
```

Failed to detect the name of this notebook, you can set it manually with the WANDB\_NOTEBOOK\_NAME environment variable to enable code saving.

# Load 'financial\_phrasebank' dataset

Polar sentiment dataset of sentences from financial news. The dataset consists of 4840 sentences from English language financial news categorised by sentiment. The dataset is divided by agreement rate of 5-8 annotators.

## Visualize details of dataset

```
In [9]: ds[15:18]
Out[9]: {'sentence': ['Consolidated net sales increased 16 % to reach EUR74 .8 m , wh
         ile operating profit amounted to EUR0 .9 m compared to a loss of EUR0 .7 m in
         the prior year period .',
           'Foundries division reports its sales increased by 9.7 % to EUR 63.1 mn fro
         m EUR 57.5 mn in the corresponding period in 2006, and sales of the Machine
         Shop division increased by 16.4 % to EUR 41.2 mn from EUR 35.4 mn in the corr
         esponding period in 2006 .',
           "HELSINKI ( AFX ) - Shares closed higher , led by Nokia after it announced
         plans to team up with Sanyo to manufacture 3G handsets , and by Nokian Tyres
         after its fourth-quarter earnings report beat analysts 'expectations , deale
         rs said ."],
          'label': [2, 2, 2]}
In [10]: | from datasets import ClassLabel
         import random
         import pandas as pd
         from IPython.display import display, HTML
In [11]: def show_random_elements(dataset, num_examples=20):
             assert num examples <= len(dataset), "Can't pick more elements than there a
             picks = []
             for in range( num examples ):
                 pick = random.randint(0, len(dataset)-1)
                 while pick in picks:
                     pick = random.randint(0, len(dataset)-1)
                 picks.append(pick)
             df = pd.DataFrame( dataset[picks] )
                                                      ## indexing 10 picks
             print(df)
             print(dataset.features.items())
             for column, typ in dataset.features.items():
                 print(column)
                 print(typ)
                 print(ClassLabel)
                 ## The isinstance() function returns True if the specified object
                 ## is of the specified type, otherwise False
                 if isinstance(typ, ClassLabel):
                     print("Hello")
                     df[column] = df[column].transform(lambda i: typ.names[i])
                     ## print(typ.names[i])
             display(HTML(df.to_html()))
```

#### In [12]: show\_random\_elements(ds)

```
sentence label
    Incap Corporation Stock Exchange Announcement ...
1
    Finnish Bank of +aland reports its operating p...
                                                           0
2
    Stichting Pensioenfonds ABP: 4 118 122 shares...
                                                           1
3
    Simultaneously, Alma Media has purchased a 35...
                                                           1
4
    Profit after taxes was EUR 0.1 mn , compared t...
                                                           2
5
    Glaston , headquartered in Tampere , Finland ,...
                                                           2
                                                           1
    The hull of the vessel was built one block at ...
7
    He also mentions that this improvement to the ...
                                                           2
    Finnish real estate investor Sponda Plc said o...
                                                           1
8
9
    Protalix is developing genetically engineered ...
                                                           1
10 The 5,000 megawatt wind farm being planned in ...
                                                           1
11 She will succeed Krister Kylas , who has decid...
                                                           1
12 The other seats would go to Edgar Edmonds, an...
                                                           1
13 Progress Group , QPR 's representative in Saud...
                                                           2
14 Tallink claims the watertight doors of both Va...
                                                           2
15 The above mentioned shareholders will suggest ...
                                                           1
16 Mr Skogster currently serves as the manager re...
                                                           1
17 The company feels these leases are prime locat...
                                                           2
18 Finnish power supply solutions and systems pro...
                                                           0
19 Earnings per share (EPS) were EUR0 .03, up ...
                                                           2
dict_items([('sentence', Value(dtype='string', id=None)), ('label', ClassLabe
l(names=['negative', 'neutral', 'positive'], id=None))])
sentence
Value(dtype='string', id=None)
<class 'datasets.features.features.ClassLabel'>
ClassLabel(names=['negative', 'neutral', 'positive'], id=None)
<class 'datasets.features.features.ClassLabel'>
Hello
```

sentence

lak

Ič	Sentence				
neı	Incap Corporation Stock Exchange Announcement 29 April 2010 at 1 p.m. INVITATION TO A NEWS CONFERENCE ON INCAP 'S INTERIM REPORT Q1-2010 Incap will publish its interim report for January-March 2010 on Wednesday , 5 May 2010 .	0			
nega	Finnish Bank of +àland reports its operating profit fell to EUR 4.9 mn in the third quarter of 2007 from EUR 5.6 mn in the third quarter of 2006 .	1			
neı	Stichting Pensioenfonds ABP : 4 118 122 shares representing 5.19 % of the share capital and voting rights .	2			
neı	Simultaneously , Alma Media has purchased a 35 % share of Arena Interactive , a subsidiary of Arena Partners with a focus on mobile solutions development .	3			
pos	Profit after taxes was EUR 0.1 mn , compared to EUR -0.4 mn the previous year .	4			
pos	Glaston , headquartered in Tampere , Finland , is a growing , international glass technology company .	5			
neı	The hull of the vessel was built one block at a time and Ruukki delivered the plate material for each block as construction progressed .	6			
pos	He also mentions that this improvement to the service follows the recent expansion of the Finnlines service from Bilbao via Antwerp and Helsinki and from Hull via Helsinki to St. Petersburg .	7			
neı	Finnish real estate investor Sponda Plc said on Wednesday 12 March that it has signor agreements with Danske Bank A-S,Helsinki Branch for a 7-year EUR150m credit facility and wi Ilmarinen Mutual Pension Insurance Company for a 7-year EUR50m credit facility				
nei	Protalix is developing genetically engineered proteins from plant cells .	9			
neı	The 5,000 megawatt wind farm being planned in Raahe would be built offshore in front of Ruukki 'Raahe Works				
neı	She will succeed Krister Kylas , who has decided to leave TeliaSonera .	11			
neı	The other seats would go to Edgar Edmonds , an American with experience of the clothing an retail industry , and Christian Fischer , an Austrian with experience in the winter sports good business				
pos	Progress Group , QPR 's representative in Saudi Arabia and North Africa , has signed a framework agreement for a long term strategic relationship with ISE .	13			
pos	Tallink claims the watertight doors of both Vana Tallinn and Regina Baltica , including their electrical systems , are fully in working order .	14			
neı	The above mentioned shareholders will suggest that a monthly salary of EUR 1,400 would be pa for the Board members outside the compan				
neı	Mr Skogster currently serves as the manager responsible for ABB Oy 's system modules for low voltage drives .	IN The state of th			
pos	The company feels these leases are prime locations due to several producing formations in the immediate area .	17			
	Finnish power supply solutions and systems provider Efore Oyj said its net loss widened to 3.2 mln				
nega	$4.2mln for the first quarter of fiscal 2006-2007 ending October 31, 2007 from 900, 000 euro\\ 1.2mln for the same period of fiscal 2005-06.$	18			
pos	Earnings per share ( EPS ) were EUR0 .03 , up from the loss of EUR0 .083 .	19			

```
In [14]:
         ds
Out[14]: Dataset({
             features: ['sentence', 'label'],
             num_rows: 625
         })
In [15]:
         tokenizer
                             = AutoTokenizer.from_pretrained(config.model_name)
         tokenizer.pad_token = tokenizer.eos_token
In [16]: def tokenize( sample ):
             sample["input_ids"] = tokenizer.encode( sample["sentence"]
                                                                           )[: 20]
                              = tokenizer.decode( sample["input_ids"] )
             sample["query"]
             return sample
         ds = ds.map(tokenize, batched=False)
         ds
Out[16]: Dataset({
             features: ['sentence', 'label', 'input_ids', 'query'],
             num_rows: 625
         })
```

In [17]: ds[15:18]

Out[17]: {'sentence': ["Seppala 's revenue increased by 0.2 % to EUR10 .1 m. In Finlan d , revenue went down by 2.4 % to EUR6 .8 m , while sales abroad rose by 6.2 % to EUR3 .3 m. Sales increased in all the Baltic countries as well as in Rus sia and Ukraine .",

"At the request of Finnish media company Alma Media 's newspapers , research manager Jari Kaivo-oja at the Finland Futures Research Centre at the Turku School of Economics has drawn up a future scenario for Finland 's national ec

onomy by using a model developed by the University of Denver .",

"`` The new agreement is a continuation to theagreement signed earlier this year with the Lemminkainen Group , whereby Cramo acquired the entire construction machine fleet of Lemminkainen Talo Oy Ita - ja Pohjois Suomo , and signed asimilar agreement , '' said Tatu Hauhio , managing director of Cramo Finland "1

```
."],
 'label': [2, 1, 1],
 'input_ids': [[0,
  14696,
   3807,
   2331,
   128,
   29,
   903,
   1130,
   30,
   321,
   4,
   176,
  7606,
   7,
   10353,
   698,
  479,
  134,
  475,
  4],
  [0,
   3750,
   5,
   2069,
   9,
   21533,
   433,
   138,
   33277,
   2454,
   128,
   29,
   9911,
   2156,
   557,
   1044,
   344,
   1512,
   7916,
  9697],
  [0,
```

49519,

```
92,
   1288,
   16,
   10,
   18719,
   7,
   5,
   1073,
   43563,
   1419,
   656,
   42,
   76,
   19,
   5,
   13956,
   119]],
 'query': ["<s>Seppala's revenue increased by 0.2 % to EUR10.1 m.",
  "<s>At the request of Finnish media company Alma Media's newspapers, resear
ch manager Jari Kaivo",
  '<s>`` The new agreement is a continuation to theagreement signed earlier t
his year with the Lemm']}
```

### My own data

```
In [18]: # my_own_datasets = load_dataset("text", data_files={ "train": "path", "validat
In [19]: # my_own_datasets
```

## Now this for actual RLHF

```
In [20]: def build_dataset(
                  config,
                  dataset_name="financial_phrasebank",
                  input_min_text_length=2,
                  input_max_text_length=8
         ):
             Build dataset for training. This builds the dataset from `load dataset`, or
             customize this function to train the model on its own dataset.
             Args:
                 dataset name (`str`):
                     The name of the dataset to be loaded.
             Returns:
                 dataloader (`torch.utils.data.DataLoader`):
                     The dataloader for the dataset.
             .. .. ..
             tokenizer
                                 = AutoTokenizer.from_pretrained(config.model_name)
             tokenizer.pad_token = tokenizer.eos_token
             # Load imdb with datasets
             #ds = load dataset(dataset name, split="train")
             ds = load_dataset(dataset_name, 'sentences_50agree', split = "train")
             #ds = ds.rename_columns({"text": "sentence"})
             ds = ds.filter(lambda x: len(x["sentence"]) > 200, batched=False)
             input_size = LengthSampler(input_min_text_length, input_max_text_length)
             def tokenize(sample):
                 sample["input_ids"] = tokenizer.encode( sample["sentence"]
                                                                                )[: input
                 sample["query"]
                                     = tokenizer.decode( sample["input ids"] )
                 return sample
             ds = ds.map(tokenize, batched=False)
             ds.set_format(type="torch")
             return ds
In [21]: dataset = build_dataset(config)
```

```
# dataset = load_dataset('financial_phrasebank', 'sentences_50agree')
In [22]: def collator(data):
```

return dict((key, [d[key] for d in data]) for key in data[0])

## Load pre-trained GPT2 language models

We load the GPT2 model with a value head and the tokenizer. We load the model twice; the first model is optimized while the second model serves as a reference to calculate the KL-divergence from the starting point. This serves as an additional reward signal in the PPO training to make sure the optimized model does not deviate too much from the original language model.

If you want to use `RobertaLMHeadModel` as a standalone, add `is\_decoder=Tru
e.`

Some weights of RobertaForCausalLM were not initialized from the model checkp oint at mrm8488/distilroberta-finetuned-financial-news-sentiment-analysis and are newly initialized: ['lm\_head.bias', 'lm\_head.decoder.bias', 'lm\_head.dens e.bias', 'lm\_head.dense.weight', 'lm\_head.layer\_norm.bias', 'lm\_head.layer\_no rm.weight']

You should probably TRAIN this model on a down-stream task to be able to use it for predictions and inference.

If you want to use `RobertaLMHeadModel` as a standalone, add `is\_decoder=Tru
e.`

Some weights of RobertaForCausalLM were not initialized from the model checkp oint at mrm8488/distilroberta-finetuned-financial-news-sentiment-analysis and are newly initialized: ['lm\_head.bias', 'lm\_head.decoder.bias', 'lm\_head.dens e.bias', 'lm\_head.dense.weight', 'lm\_head.layer\_norm.bias', 'lm\_head.layer\_no rm.weight']

You should probably TRAIN this model on a down-stream task to be able to use it for predictions and inference.

Detected kernel version 3.10.0, which is below the recommended minimum of 5. 5.0; this can cause the process to hang. It is recommended to upgrade the kernel to the minimum version or higher.

# **Load BERT classifier (Reward Function)**

We load a BERT classifier fine-tuned on the IMDB dataset.

```
In [25]: torch.cuda.is_available()
Out[25]: False
In [27]: # torch.cuda.get_device_name()
In [28]:
         device = ppo trainer.accelerator.device
         device
Out[28]: device(type='cpu')
         if ppo_trainer.accelerator.num_processes == 1:
In [29]:
             device = 0 if torch.cuda.is_available() else "cpu" # to avoid a `pipeline
         device
Out[29]: 'cpu'
In [30]: ntiment_pipe = pipeline("sentiment-analysis", model="mrm8488/distilroberta-fine
In [31]: |text = "Operating profit totaled EUR 9.4 mn , down from EUR 11.7 mn in 2004 ."
         sentiment_pipe(text, **sent_kwargs)
         /home/chavan7/.local/lib/python3.8/site-packages/transformers/pipelines/text_
         classification.py:104: UserWarning: `return_all_scores` is now deprecated, i
         f want a similar functionality use `top_k=None` instead of `return_all_scores
         =True` or `top k=1` instead of `return all scores=False`.
           warnings.warn(
Out[31]: [[{'label': 'negative', 'score': 4.749904155731201},
           {'label': 'neutral', 'score': -2.4718210697174072},
           {'label': 'positive', 'score': -2.789074420928955}]]
In [32]: text = "In the third quarter of 2010 , net sales increased by 5.2 % to EUR 205
         sentiment_pipe(text, **sent_kwargs)
Out[32]: [[{'label': 'negative', 'score': -2.473881244659424},
           {'label': 'neutral', 'score': -3.440216302871704},
           {'label': 'positive', 'score': 6.057607650756836}]]
In [33]: text = "Pharmaceuticals group Orion Corp reported a fall in its third-quarter (
         sentiment pipe(text, **sent kwargs)
Out[33]: [[{'label': 'negative', 'score': 4.775065898895264},
           {'label': 'neutral', 'score': -2.3186452388763428},
           {'label': 'positive', 'score': -2.8229196071624756}]]
```

# **Generation settings**

For the response generation we just use sampling and make sure top-k and nucleus sampling are turned off as well as a minimal length.

# **Optimize model**

#### Training loop

Out[38]: 20000

The training loop consists of the following main steps:

- Get the query and responses from the policy network (GPT-2)
- Get sentiments for query/responses from BERT
- Optimize policy with PPO using the (query, response, reward) triplet

```
output min length
                               = 4
In [36]:
                               = 16
         output_max_length
         output_length_sampler = LengthSampler(output_min_length, output_max length)
In [37]: |
         generation_kwargs = {
             "min_length":
                               -1,
             "top_k":
                              0.0,
             "top_p":
                              1.0,
             "do_sample": True,
             "pad_token_id": tokenizer.eos_token_id,
         }
In [38]:
         ## ppo_trainer.config.steps = 100
                                              ## 20,000
         ppo_trainer.config.steps
```

```
In [39]: for epoch, batch in tqdm(enumerate(ppo_trainer.dataloader)):
    query_tensors = batch["input_ids"]

    print(query_tensors)
    print(len(query_tensors))
    if epoch == 1:
        break
```

1it [00:00, 11.19it/s]

[tensor([ 0, 597, 5246, 1173, 24753, 18419]), tensor([ 0, 133, 936 8, 128, 29, 8610]), tensor([ 0, 14836]), tensor([ 0, 597, 5246]), te nsor([ 0, 1640, 4516, 510, 491, 4839, 111]), tensor([ 0, 597]), tensor 0, 17986]), tensor([ 0, 133]), tensor([ 0, 133, 3457, 2156, 2964]), tensor([ 0, 597, 5246, 1173, 3296]), tensor([ 0, 250, 4779, 2186]), ten 5, 375, 2202, 24, 34]), tensor([ 0, 14229, 29, 299, 11255, 673 16416, 23617, 100]), tensor([ 0, 15685, 128, 8]), tensor([ 0, 1640, 4516, 510, 491, 4839]), tensor([ 0, 41858, 255, 5810]), tensor([ 0, 5320, 4330, 2271, 2527]), tensor([ 0, 133]), tensor([ 0, 2606, 510, 2926]), tensor([ 0, 24476, 168, 723 6]), tensor([ 0, 133, 4069, 9, 70, 518, 10298]), tensor([ 786, 12, 13139]), tensor([ 0, 597, 5246, 1173, 11767, 0, 133, 2643, 6596]), tensor([ 0, 41024, 18366, 468]), tensor([ 0, 31161]), 0, 597, 5246, 1173]), tensor([ 0, 597, 5246]), tensor([ 36735, 4832, 2156]), tensor([ 0, 597]), tensor([ 0, 3908, 31, 2195]), tensor([ 0, 725, 791, 14469, 2620]), tensor([ 0, 13 3, 21533, 168, 585]), tensor([ 0, 6433, 3850, 10370, 42204]), tensor 0, 11350, 10612, 5969, 39261, 12]), tensor([ 0, 1225, 830]), ten 597, 5246, 1173, 32902]), tensor([ 0, 133]), tensor([ sor([ 25546]), tensor([ 0, 597, 5246, 1173, 7746, 462, 10544]), tensor([ 0, 597, 5246, 1173, 613]), tensor([ 0, 243, 554, 19, 2257]), tensor([ 0, 565, 5810]), tensor([ 0, 133, 38507]), tensor([ 0, 47380, 1161, 5, 12168]), tensor([ 0, 996]), tensor([ 0, 1640]), tensor 647, 31, ([ 0, 597]), tensor([ 0, 15791, 6407, 34, 411]), tensor([ 0, 248 1, 644]), tensor([ 0, 133, 5448, 14258]), tensor([ 0, 23565, 783, 7, 3754]), tensor([ 0, 597, 5246, 1173, 5516, 1743]), tens 0, 487, 3109, 636]), tensor([ 0, 133, 1355, 67, 1171, 3931]), t ensor([ 0, 133]), tensor([ 0, 12271, 4358, 8, 6955]), tensor([ 0, 14693]), tensor([ 0, 597, 530, 1439, 18649, 19938]), tensor([ 5246, 1173, 827]), tensor([ 0, 134]), tensor([ 0, 133, 3566]), tensor([ 33, 3978]), tensor([ 0, 37729, 271, 7223, 0, 133, 15785, 4071, 854, 2068]), tensor([ 0, 11350, 10612]), tensor([ 0, 347, 538 4, 1242, 3204]), tensor([ 0, 133, 2676]), tensor([ 0, 44002, 11, 35 21]), tensor([ 0, 487, 1988, 3019]), tensor([ 0, 10169, 4235, 817]), tensor([ 26, 4995, 12460]), tens 3852, 0, 133, 138, 0, 33939, 3855, 1797]), tensor([ 0, 20420, 1295, 1963]), tenso or([ 0, 29991, 4458, 2757]), tensor([ 0, 133, 2319, 923, 0, 2744, 29254]), tensor([ 0, 1640, 4516]), tensor([ 20, 31417]), tensor([ 0, 1121, 10, 485, 1194, 19, 5]), tensor([ 0, 565, 1250, 4291, 8897, 1910, 16763]), tensor([ 717]), tensor([ 0, 0, 20689, 18121]), tensor([ 0, 24789, 545, 550]), tensor([ 26, 24, 40]), tensor([ 0, 1121, 10906, 15739, 1 7, 13967, 4541, 0, 111, 21533]), tensor([ 306, 902, 1466, 2047, 1820]), tensor([ 67, 4865, 400]), tensor([ 0, 46717]), tensor([ 0, 16837, 1839, 7]), tensor([ 0, 530]), tensor([ 0, 49519, 166, 40, 535, 862, 2644]), tensor([ 0, 22086, 7]), tensor([ 0, 44084]), tensor([ 0, 28873, 1902, 9, 800, 7000]), tensor([ 0, 133, 6994, 26, 3]), tensor([ 0, 250]), tensor([ 0, 1640, 4516]), tensor([ 0, 4993, 568 9, 5, 3857]), tensor([ 0, 133, 21533, 138, 1088, 0, 698, 902]), tensor([ 0, 33477, 545, 494, 1824]) nsor([ 0, 698, 902]), tensor([ 494, 1824]), tensor([ 0, 43787, 268, 26, 5, 458]), tensor([ 0, 4444, 846]), tensor([ 133, 20785, 40556, 2835, 1523, 13154]), tensor([ 0, 2606, 510]), te 0, 10494, 128]), tensor([ 0, 14559]), tensor([ 0, 10653, 1 nsor([ 999, 3037]), tensor([ 0, 597, 5246]), tensor([ 0, 2118, 6725, 5945, 50 0, 2889]), tensor([ 0, 1620, 5, 232, 917, 11]), tensor([ 0, 7083, 1916, 2454]), tensor([ 0, 1121, 10906, 2824, 3412, 3080, 15781]), tens 0, 49519, 20, 2175, 4366, 22715, 31]), tensor([ 0, 48767, or([

102, 34, 931]), tensor([ 0, 36417, 13967, 128]), tensor([ 0, 112 1]), tensor([ 0, 11108, 21676, 2175]), tensor([ 0, 1640]), tensor([ 0, 19877, 42292, 384]), tensor([ 0, 133, 29147, 104, 30445]), tensor([ 0, 14693, 7, 21533]), tensor([ 0, 47559, 680, 7653]), tensor 0, 574, 4989, 362, 1998]), tensor([ 0, 22886, 1641, 354, 46707, 16613, 3593]), tensor([ 0, 22086, 7]), tensor([ 0, 597]), tensor([ 0, 4148, 5, 1453, 9]), tensor([ 0, 20839, 5])] 128 [tensor([ 0, 597, 5246, 1173]), tensor([ 0, 133, 265, 34, 647, 9]), te nsor([ 0, 2895]), tensor([ 0, 597, 5246, 1173]), tensor([ 0, 133, 2 11, 63]), tensor([ 0, 14693]), tensor([ 0, 597, 5246]), ten sor([ 0, 14465, 975, 16802, 221]), tensor([ 0, 565, 5810, 139, 11, 27749, 16]), tensor([ 0, 133, 2443, 606, 80]), tensor([ 605, 1344, 8757, 7606, 10502, 883]), tensor([ 0, 597, 9968, 11]), t ensor([ 0, 134, 587, 1466, 111]), tensor([ 0, 1922]), tensor([ 0, 1 1321, 17692, 11, 6193]), tensor([ 0, 597, 5246, 1173]), tensor([ 0, 1 33]), tensor([ 0, 23031, 22714, 1001, 2543, 3291]), tensor([ 0, 1469 5, 138]), tensor([ 0, 133, 418, 40, 28, 1240, 4 5518]), tensor([ 0, 597, 5246]), tensor([ 0, 14696, 3807, 2331, 29]), tensor([ 0, 448, 2580, 102]), tensor([ 0, 1244]), tensor([ 0, 597, 5246, 1173, 1663]), tensor([ 0, 31004, 811, 786, 12, 769 9]), tensor([ 0, 34311]), tensor([ 0, 38741, 467, 9509, 12169]), ten 0, 487, 11200, 2371, 29915]), tensor([ 0, 282]), tensor([ 0, sor([ 27814, 3760, 3206]), tensor([ 0, 398]), tensor([ 0, 541, 759, 233 8, 111, 21533]), tensor([ 0, 3972, 3042, 32992, 3247, 1330, 0, 2481, 644, 1466, 111]), tensor([ 0, 12905, 10318]), tensor 791, 14469, 2620, 7140, 100]), tensor([ 0, 597, 524 0, 725, 6, 1173, 3689, 4403, 248]), tensor([ 0, 17986, 462, 10544]), tensor([ 0, 49519, 12786, 242, 4541]), tensor([ 0, 15721, 647, 9, 21533, 764]), tensor([ 0, 133]), tensor([ 0, 28152]), tensor([ 0, 597]), tensor 0, 10285, 186, 2156, 5]), tensor([ 0, 29, 14680, 3223, 23 765]), tensor([ 0, 1360, 494, 1466, 111, 6765, 8922]), tensor([ 7, 5, 5405]), tensor([ 0, 19923, 2963]), tensor([ 47, 12019, 0, 133, 1288, 21]), tensor([ 0, 597, 5246, 1173, 28104]), tensor([ 0, 14693, 7, 21533, 1911]), tensor([ 0, 133]), tensor([ 0, 597, 524 6, 1173, 476]), tensor([ 0, 49519]), tensor([ 0, 40529, 42310, 2156, 4]), tensor([ 0, 133, 5195, 34, 2740]), tensor([ 0, 49519, 4028, 76, 2156, 1081]), tensor([ 0, 597, 5246, 1173, 11451, 41 4, 4358]), tensor([ 0, 597]), tensor([ 0, 401, 779, 1824]), tensor([ 0, 34027, 1245, 128, 29]), tensor([ 0, 975, 2068]), tensor([ 0, 1 33, 3689, 5406]), tensor([ 0, 246, 902]), tensor([ 0, 4741, 21953, 150 145]), tensor([ 0, 347, 4040, 139, 128, 29]), tensor([ 0, 34027, 1245, 12]), tensor([ 0, 14693, 7, 41]), tensor([ 0, 406, 494, 1466, 111]), tensor([ 0, 46769, 5516, 785, 680]), tensor 0, 250, 4779, 2186, 7, 1702, 5374]), tensor([ 0, 4794, 104, 6 17088, 34, 57]), tensor([ 0, 133, 2087, 9, 5, 695]), ten 282, 17088, 34, sor([ 0, 597]), tensor([ 0, 29891, 3293, 3586, 384]), tensor([ 0, 13 3, 568, 7]), tensor([ 0, 2606, 510]), tensor([ 0, 179, 1209, 134, 18 24, 504, 392]), tensor([ 0, 133, 381]), tensor([ 0, 597, 5246, 117 8, 2225]), tensor([ 0, 597, 5246, 1173, 2859, 12, 4903]), 3, 32053, tensor([ 0, 35469]), tensor([ 0, 15791]), tensor([ 0, 134, 181, 4, 119, 4, 1505]), tensor([ 0, 347, 5174, 21, 2885, 15]), tensor([ 0, 25869, 2527, 17311, 267]), tensor([ 0, 1640, 4516]), tensor([ 19, 5]), tensor([ 0, 133, 9367, 16]), tensor([ 0, 1121, 27815, 0, 2481, 779]), tensor([ 133, 23253, 12, 805]), tensor([ 5246, 1173, 3296, 18121]), tensor([ 0, 10105, 14300, 531, 7]), tensor([ 0, 46170, 13548, 29915, 28275, 7857]), tensor([ 0, 1724

5, 5, 1288, 40109]), tensor([ 0, 17245, 5, **1110**, 9, 160]), tensor([ 0, 18348, 8063]), tensor([ 0, 597, 5246, 1173, 184]), tensor([ 0, 844, 779]), tensor([ 0, 487, 13967, 4541, 1913, 34]), tensor([ 0, 4148, 5]), tensor([ 0, 20930, 15, 7 5, 8]), tensor([ 0, 133, 138, 4542, 916]), tensor([ 0, 597, 5246, 117 3]), tensor([ 0, 1244, 759]), tensor([ 0, 20981, 42987, 44581, 17311, 267, 579]), tensor([ 0, 2336, 14465, 3037, 438, 4062, 13181]), tens 0, 133, 36007, 2156, 4241, 19, 5]), tensor([ 0, 5246, 1173, 3942, 24753, 18419]), tensor([ 0, 1620, 36692]), tensor([ 0, 597, 5246, 1173, 5195, 14533, 2456]), tensor([ 0, 347, 868, 1 4675, 5778]), tensor([ 0, 133]), tensor([ 0, 2890, 772, 2156, 1824, 21533]), tensor([ 0, 45356, 29]), tensor([ 0, 597, 5246, 1173, 2 8630]), tensor([ 0, 597, 5246, 1173]), tensor([ 0, 597, 5246]), tensor 0, 844, 779, 1824, 111, 21533]), tensor([ 0, 133, 758, 5175, 539, 138]), tensor([ 0, 133, 5195, 2319, 14, 5, 16064]), tenso 0, 597, 5246, 1173, 28630, 885, 2001]), tensor([ 0, 597, 524 r([ 6]), tensor([ 0, 133, 4103]), tensor([ 0, 597]), tensor([ 0, 133. 696, 2156, 47158]), tensor([ 0, 12582, 11192, 3037])] 458, 128

```
In [40]: for epoch, batch in tqdm(enumerate(ppo_trainer.dataloader)):
             query tensors = batch["input ids"]
             print(epoch)
             print(batch)
             print('****************')
             print('********************)
             print('****************')
             print('********************************)
             #### Get response from gpt2
             response_tensors = []
             for query in query_tensors:
                                                      = output length sampler()
                 gen len
                 generation_kwargs["max_new_tokens"] = gen_len
                                                      = ppo_trainer.generate(query, **generate
                 response_tensors.append( response.squeeze()[-gen_len:] )
             batch["response"] = [ tokenizer.decode(r.squeeze()) for r in response_tense
             print(batch)
             if epoch == 1:
                 break
```

nowhere widen yet', 'ilionß outswk DET corridors interchangeable lane', ' l 🔺 atitudeamongripprev charm eternal nowhere anonymity insider anonymity Orbit al', 'insider nowhere insider eternity geographically unchrunners', 'unca nny bes geographically lane lane interchangeable interchangeableabilitiesCo lor discretion nowhereñactions lane latitude', 'abbling eloqugemspell nonex insider', ' runway Deepexc asymmEEP Studios narrowedurai Arrayabilities Gov ernors', 'repszeltain bona Swap circum', 'engulf INS elbows Animated', '} \\ cushion retake Alive', 'orem Governors exoner infinitely openerometry ea ves obscure', ' shortcuts differentiate lane Seg lane midway insider anonym ity interchangeable', ' uniquelyips elbows wherever interchangeable interch angeableavour lane lane', ' cushion inappropriatelyuddin episodeoks GMplotw k Ü unreasonable', ' interchangeable gulf interchangeable lane Somewhere in sider interchangeable insider hereafter geographically setup unch', ' Edito r Appropri Previous Rout Round uncanny shoe Prev', ' OpenGL arr×illing gro p', ' lane Gadget vault counsel uncanny insider lane interchangeable', ' to rque obstacle confid Deep interchangeable broaden broadenindingREM nowhere anonymity anonymity uncanny', ' fashioned latitude geographicallyhips intri g tint Channel latitude Deepx grasping latitude engulf barric', ' uniquely infinite allotted gropchel lane lane nowhere Swap latitude Parkway Shut Par kway eternity'. ' enigmatic shoulders insider lans insider snotlight Places 🎽

```
In [41]: batch.keys()
Out[41]: dict_keys(['label', 'input_ids', 'query', 'response'])
```

### Compute sentiment score

```
In [42]: batch["query"]
          '<s>`` We have',
          '<s>Karachi, Sept',
          '<s>Finnish consumer',
          '<s>Incap Corporation Stock Exchange Release',
          '<s>Risk exposure by Non-',
          '<s>Under the agreement Benef',
          '<s>According to',
          '<s>NAV',
          '<s>``',
          '<s>Music is provided',
          '<s>In the Czech',
          '<s>KESKO CORPORATION',
          '<s>Marimekko makes',
          '<s>YIT',
          '<s>The international electronic industry company',
          '<s>In',
          '<s>Mr. Atul Chopra',
          '<s>SINGAP',
          '<s>HUHTAMAKI',
          'costha Einnich government announced'
In [43]: batch["response"]
          ' shoulder insider Governors Recall Known dearly oval Parkwaymill foresee
         fielder Parkway anticipating unch midway',
          'oks Vij opener Governors midwayDJ',
          ' gelTERN nowhere labyrinth unch Parkway Albany',
          ' Shut Compl Cosmetic SPECIAL',
          ' conjecture conjecture Gim mirrors',
          ' Oval lane flaw maj ProcessporEEPaps insider geographically',
          ' scramble agon automakersdrive',
          ' interchangeable infinite AppEEP shortcuts OvalBUS recesslights underrate
         d',
          ' insider interchangeable interchangeable unimagin Editor wrencheely',
          ' conjecture geographically bridges doomrim ways midway nowhere nowhere no
         where Somewhere lane Parkway circular',
          ' lane bridges insider bisexual geographically laneridor',
          ' rim infinite nowhere upfront nowhereoksopers interchangeable interchange
         able frequent insider Recall',
          ' lane insider Recall mirror limitless flirt Parkway Parkway flawificantly
         interchange Parkway',
          ' interchangeable Array bes lane Wayocal insider engulf alphabet nowhere G
         rip elbow',
In [44]: | texts = [ q + r for q, r in zip(batch["query"], batch["response"]) ]
```

```
In [45]: texts
         geographically,
          '<s>Incap Corporation Stock Exchange Release rearr maneuvermeg brazenrupul
         ous cunningoks',
          '<s>Risk exposure by Non-wk MUCH gestation Parkway antibiotic Included uri
         nary coy',
          '<s>Under the agreement Benefoksennes infinite elevation barrier',
          '<s>According to enorm scenicpit intric insider anonymity',
          '<s>NAV Mov engulfArtist latituderup× conce runway engulf Slightly Parkway
         Oval uncanny spiritually nowhere',
          '<s>``ß runway runway Somewhere curvllular Mur eaves widening epoch Alleg
         widen Alleg',
          '<s>Music is provided grop categor shadowoks setup extended gulf precedEEP
         flaw Tripept.), latitude',
          '<s>In the Czech infinite fielderrupulous lane Somewhere',
          '<s>KESKO CORPORATION presses grop Sneak Sneak spared Halhun Hal',
          "<s>Marimekko makes bona citation jumper: 'function obfusc Swap insider Som
         ethingITCH autop insider KEY Become",
          '<s>YIT lane continents interchangeable Oval interchangeable clue intercha
         ngeablemoving x Alvin',
          '<s>The international electronic industry company geographically oval Swap 🔻
```

```
In [46]:
          pipe_outputs = sentiment_pipe(texts, **sent_kwargs)
          pipe_outputs
            {'label': 'positive', 'score': -3.199592113494873}],
           [{'label': 'negative', 'score': -2.527575969696045},
            {'label': 'neutral', 'score': 6.520326614379883}, {'label': 'positive', 'score': -3.3524115085601807}],
           [{'label': 'negative', 'score': -2.9812164306640625},
            {'label': 'neutral', 'score': 6.656062126159668},
            {'label': 'positive', 'score': -2.9706711769104004}],
           [{'label': 'negative', 'score': -2.6016390323638916},
            {'label': 'neutral', 'score': 6.543796539306641},
            {'label': 'positive', 'score': -3.280642509460449}],
           [{'label': 'negative', 'score': -2.526651620864868},
            {'label': 'neutral', 'score': 5.838469505310059}, {'label': 'positive', 'score': -2.859650135040283}],
           [{'label': 'negative', 'score': -2.879009485244751},
            {'label': 'neutral', 'score': 6.04233455657959},
            {'label': 'positive', 'score': -2.603118419647217}],
           [{'label': 'negative', 'score': -2.8631174564361572},
            {'label': 'neutral', 'score': 6.699374675750732},
            {'label': 'positive', 'score': -3.1245744228363037}],
           [{'label': 'negative', 'score': -2.9103055000305176},
```

```
rewards = [ torch.tensor(output[1]["score"]) for output in pipe_outputs]
In [47]:
         rewards
          censor (0.2200),
          tensor(6.1578),
          tensor(6.6126),
          tensor(6.4347),
          tensor(6.5861),
          tensor(6.1342),
          tensor(6.6648),
          tensor(4.3954),
          tensor(6.2609),
          tensor(6.5741),
          tensor(6.3860),
          tensor(5.9279),
          tensor(6.3543),
          tensor(6.4896),
          tensor(6.3386),
          tensor(6.6811),
          tensor(6.4053),
          tensor(1.0354),
          tensor(5.8819),
          tensor(6.5564)]
In [48]: len(rewards)
Out[48]: 128
In [ ]:
```

```
for epoch, batch in tqdm(enumerate(ppo_trainer.dataloader)):
In [49]:
             query tensors = batch["input ids"]
             print(epoch)
             #### Get response from gpt2
             response tensors = []
             for query in query_tensors:
                                                      = output_length_sampler()
                 gen len
                 generation_kwargs["max_new_tokens"] = gen_len
                                                      = ppo_trainer.generate(query, **generate)
                 response
                 response_tensors.append( response.squeeze()[-gen_len:] )
             batch["response"] = [ tokenizer.decode(r.squeeze()) for r in response_tense
             #### Compute sentiment score
             texts = [q + r for q, r in zip(batch["query"], batch["response"])]
             pipe_outputs = sentiment_pipe(texts, **sent_kwargs)
             rewards = [ torch.tensor(output[1]["score"]) for output in pipe_outputs]
             #### Run PPO step
             stats = ppo_trainer.step(
                               query_tensors,
                               response_tensors,
                               rewards
             ppo_trainer.log_stats(stats, batch, rewards)
         0it [00:00, ?it/s]
         0
         /home/chavan7/.local/lib/python3.8/site-packages/trl/trainer/ppo_trainer.py:1
         212: UserWarning: The average ratio of batch (93.66) exceeds threshold 10.00.
         Skipping batch.
           warnings.warn(
         /home/chavan7/.local/lib/python3.8/site-packages/trl/trainer/ppo_trainer.py:1
         212: UserWarning: The average ratio of batch (367.75) exceeds threshold 10.0
         Skipping batch.
           warnings.warn(
         1it [04:36, 276.00s/it]
         1
         2it [09:11, 275.56s/it]
         2
         3it [13:50, 277.19s/it]
         3
         4it [19:17, 289.39s/it]
In [51]:
         # torch.cuda.get_device_name(0)
```

One can observe how the model starts to generate more positive outputs after a few optimisation steps.

Note: Investigating the KL-divergence will probably show that at this point the model has not converged to the target KL-divergence, yet. To get there would require longer training or starting with a higher initial coefficient.

Let's inspect some examples from the IMDB dataset. We can use model\_ref to compare the tuned model model against the model before ontimisation

df\_batch
df\_batch = dataset[:].sample(bs) In [55]:

#### Out[55]:

query	input_ids	label	sentence
<s>Finnish</s>	[0, 597, 5246, 1173]	0	Finnish Scanfil , a systems supplier and contr
<s>``</s>	[0, 49519]	2	`` In the newly formed company YIT Stavo the I
<s>NORDIC BUS</s>	[0, 487, 11200, 2371, 29915]	2	NORDIC BUSINESS REPORT-26 June 2006-Metso Corp
<s>in Q1 2010 18 May</s>	[0, 179, 1209, 134, 1824, 504, 392]	2	in Q1 2010 18 May 2010 - Finnish electrical co
<s>in</s>	[0, 179]	2	in Q1 '10 19 April 2010 - Finnish forest machi
<s>The</s>	[0, 133]	1	The Daily Graphic newspaper , in October , rep
<s>The carrier said its</s>	[0, 133, 6994, 26, 63]	1	The carrier said its Area travel agency is to
<s>The Lemminkainen</s>	[0, 133, 13956, 119, 4291, 1851, 225]	1	The Lemminkainen Group , headquartered in Hels
<s>25</s>	[0, 1244]	0	25 March 2011 - Finnish electronics contract m
<s>treatment products in</s>	[0, 37558, 785, 11]	1	treatment products in Usa , Canada , Mexico ,
<s>The Annual</s>	[0, 133, 7453]	1	The Annual General Meeting approved that the y
<s>20 October</s>	[0, 844, 779]	0	20 October 2010 - Finnish environmental manage
<s>Finnish broadband data communication</s>	[0, 597, 5246, 1173, 11451, 414, 4358]	2	Finnish broadband data communication systems p
<s>LCS</s>	[0, 34311]	1	LCS 's services cover the whole life cycle of
<s>Since the association's data</s>	[0, 11321, 5, 5259, 128, 29, 414]	0	Since the association 's data do not cover sal
<s>TELECOMWORLD</s>	[0, 6433, 3850, 10370, 42204, 18048]	1	TELECOMWORLDWIRE-7 April 2006- TJ Group Plc sel

```
In [56]:
```

In [57]: response\_tensors\_ref, response\_tensors = [], []

```
#### get response from gpt2 and gpt2_ref
In [58]:
         for i in range(bs):
             gen_len = output_length_sampler()
             output = ref_model.generate(
                 torch.tensor(query_tensors[i]).unsqueeze(dim=0).to(device), max_new_tol
             ).squeeze()[-gen_len:]
             response_tensors_ref.append(output)
             output = model.generate(
                 torch.tensor(query_tensors[i]).unsqueeze(dim=0).to(device), max_new_tol
             ).squeeze()[-gen_len:]
             response_tensors.append(output)
In [59]:
         #### decode responses
         game_data["response (before)"] = [tokenizer.decode(response_tensors_ref[i]) for
         game data["response (after)"] = [tokenizer.decode(response tensors[i]) for i :
         #### sentiment analysis of query/response pairs before/after
In [60]:
```

In [60]: #### sentiment analysis of query/response pairs before/after
texts = [q + r for q, r in zip(game\_data["query"], game\_data["response (before)
game\_data["rewards (before)"] = [output[1]["score"] for output in sentiment\_pip

/home/chavan7/.local/lib/python3.8/site-packages/transformers/pipelines/text\_classification.py:104: UserWarning: `return\_all\_scores` is now deprecated, if want a similar functionality use `top\_k=None` instead of `return\_all\_scores = True` or `top\_k=1` instead of `return\_all\_scores=False`. warnings.warn(

In [61]: texts = [q + r for q, r in zip(game\_data["query"], game\_data["response (after)"
game\_data["rewards (after)"] = [output[1]["score"] for output in sentiment\_pipe

#### Out[62]:

	query	response (before)	response (after)	rewards (before)	rewards (after)
0	<s>Finnish</s>	Klu listener "( ringsedom)ctuary Flags Chan	anonymity quiz Stretch Array Series Sneak all	6.653607	6.368655
1	<s>``</s>	berus whistleblowerorama SoundsglersESTesides 	ß kick Imag Lynchbentchart bes shortcuts portr	6.483539	6.392416
2	<s>NORDIC BUS</s>	PenguinscookBulsets	latitude filibuster widened Sag	6.537941	0.484987
3	<s>in Q1 2010 18 May</s>	headlights Fav RockiesINCctuary thriveSnapadd	drastically SneakEEPrupulous SPECIAL outsrupu	6.526322	6.022478
4	<s>in</s>	sets Chancellor Riders ear Based listener wint	latitude many cumbersome Locked subter consta	6.599554	3.030718
5	<s>The</s>	Sanctuary Klu rewards Standingrivalquerspring	layout recall KEY latitude latitudeterior unc	6.419761	6.733101
6	<s>The carrier said its</s>	Chancellor mayorsills BEL brand Klu Frames Ba	latitudeooth brillianceOWSrenchrupulous arden	6.556527	4.173765
7	<s>The Lemminkainen</s>	attachments winters Klu potatoesiking Throne	indisc AL identifier Extended uncanny latitud	6.569796	6.566141
8	<s>25</s>	paraphsets Reign Flags Klu THANK plunge Avg	nowhere Ital obscure uncanny Agingrupulous AP	6.418313	6.269688
9	<s>treatment products in</s>	KB Bucks Helmet poses Crimeasets Prosecutors 	dogged plottedDriveabbling encl Garden infini	6.425732	6.105270
10	<s>The Annual</s>	COL Klutesy boil begg	geographically anonymity tailor latitude lati	6.729272	6.705943
11	<s>20 October</s>	Flagseland PANriers Klu 09 winters Crimean Ri	Tough advertisers Suns gel interchangeableuou	6.617059	6.654399
12	<s>Finnish broadband data communication</s>	Klu whine Iro Sanctuarysetssets BELsets Riders	osure plains infinitely autonomy latitude sche	6.560050	6.383207
13	<s>LCS</s>	CrimeanALKListener benefit tresp Names rings	ImagColor interchangeable×ikan unch Stretch b	5.170542	5.311996
14	<s>Since the association's data</s>	ggingcca attaches Yuanstrings Ruleedom FlagsmA	Silicon PrevChip Stretch automakers geographi	6.649787	6.609080
15	<s>TELECOMWORLD</s>	Klu bends rings Reign kettle Kroncesaundering	eternity latitude latitude Deep latitude lati	6.224792	6.652760

```
print("mean:")
In [63]:
         display(df_results[["rewards (before)", "rewards (after)"]].mean())
         print()
         print("median:")
         display(df_results[["rewards (before)", "rewards (after)"]].median())
         mean:
         rewards (before)
                              6.446412
         rewards (after)
                              5.654038
         dtype: float64
         median:
         rewards (before)
                              6.547234
         rewards (after)
                              6.375931
         dtype: float64
                                       "gpt2-imdb-pos-v2", push_to_hub=True)
In [64]:
         ## model.save_pretrained(
         ## tokenizer.save_pretrained("qpt2-imdb-pos-v2", push_to_hub=True)
         model.save_pretrained(
                                    "gpt2-imdb-pos-v2", push_to_hub=False)
         tokenizer.save_pretrained("gpt2-imdb-pos-v2", push_to_hub=False)
Out[64]: ('gpt2-imdb-pos-v2/tokenizer_config.json',
           'gpt2-imdb-pos-v2/special_tokens_map.json',
           'gpt2-imdb-pos-v2/vocab.json',
           'gpt2-imdb-pos-v2/merges.txt',
           'gpt2-imdb-pos-v2/added_tokens.json',
           'gpt2-imdb-pos-v2/tokenizer.json')
In [ ]:
 In [ ]:
 In [ ]:
```