

Chapter 5. Greedy Algorithms

Greedy Algorithm

- Minimum Spanning Tree
 - Kruskal
 - Prim
- Huffman Encoding
- Set cover

Minimum Spanning Tree

- Input: An undirected graph $G = (V, E)$; edge weights w_e
- Output: A tree $T = (V, E')$, with $E' \subseteq E$, that minimizes

$$weight(T) = \sum_{e \in E'} w_e$$

- 사이클 없는 트리 중 weight 가 가장 작은 형태

Minimum Spanning Tree

- 특징
 - A tree on n nodes has $(n-1)$ edges
 - Any Connected, undirected Graph $G = (V, E)$ with $|E| = |V| - 1$ is a tree.
- Cycle & the Cut Property
 - Removing a cycle edge cannot disconnect a graph

Minimum Spanning Tree

Greedy Approach (1)

- Kruskal's algorithm: 추가되지 않은(find) edge 중에 가장 작은 것을 고르고, 합치기(union)

Figure 5.4 Kruskal's minimum spanning tree algorithm.

procedure kruskal(G, w)

Input: A connected undirected graph $G = (V, E)$ with edge weights w_e

Output: A minimum spanning tree defined by the edges X

for all $u \in V$:
 makeset(u)

$X = \{\}$

Sort the edges E by weight

for all edges $\{u, v\} \in E$, in increasing order of weight:

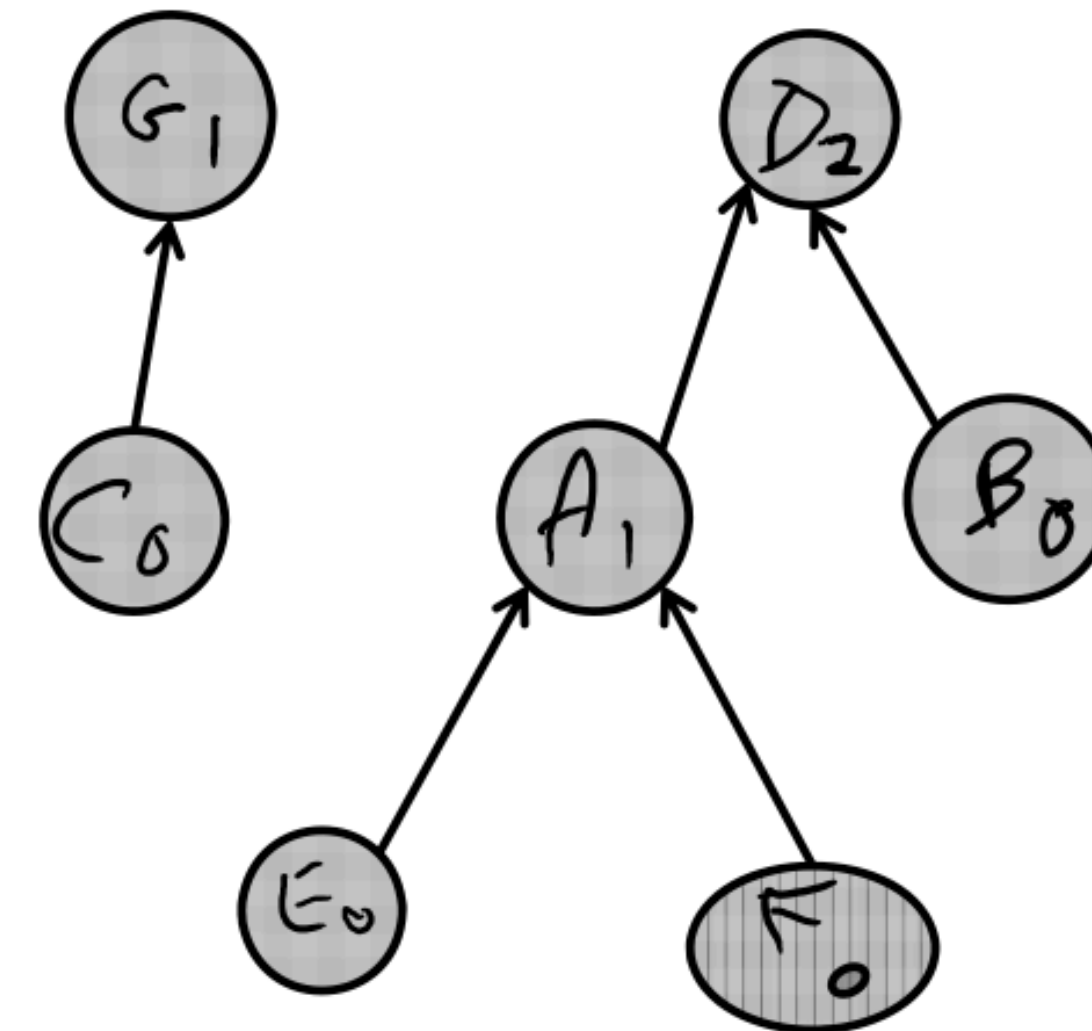
 if find(u) \neq find(v):
 add edge $\{u, v\}$ to X
 union(u, v)

Minimum Spanning Tree

Kruskal's algorithm - union

- Union 함수에 쓰이는 Rank
 - For any x , $\text{rank}(x) < \text{rank}(\pi(x))$
 - Any root node of rank k has at least 2^k nodes in its tree
 - If there are n elements overall, there can be at most $n/2^k$ nodes of rank k
- Rank: 딸린 식구, $\pi(x)$: 부모
- 더 높은 rank 를 가진 set에 추가

```
procedure union( $x, y$ )  
   $r_x = \text{find}(x)$   
   $r_y = \text{find}(y)$   
  if  $r_x = r_y$ : return  
  if  $\text{rank}(r_x) > \text{rank}(r_y)$ :  
     $\pi(r_y) = r_x$   
  else:  
     $\pi(r_x) = r_y$   
    if  $\text{rank}(r_x) = \text{rank}(r_y)$ :  $\text{rank}(r_y) = \text{rank}(r_y) + 1$ 
```



$\text{rank}(A)=1$
 $\text{rank}(B)=0$
 $\text{rank}(C)=0$
 $\text{rank}(D)=2$
 $\text{rank}(E)=0$
 $\text{rank}(F)=0$
 $\text{rank}(G)=1$

$\pi(A) = D$
 $\pi(B) = D$
 $\pi(C) = G$
 $\pi(D) = D$
 $\pi(E) = A$
 $\pi(F) = A$
 $\pi(G) = G$

Minimum Spanning Tree

Kruskal's algorithm

```
function find(x)
if  $x \neq \pi(x)$ :  $\pi(x) = \text{find}(\pi(x))$ 
return  $\pi(x)$ 
```

Find: 조상 일치하는 지/같은 set인지 확인

Union: rank 높은 set의 조상 달라붙기
(Rank 높은지 비교 -> 조상끼리 연결)

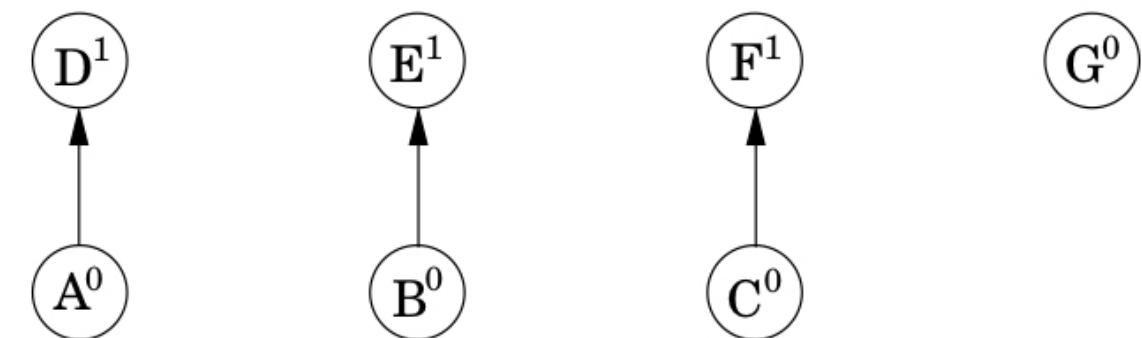
```
procedure union(x, y)
 $r_x = \text{find}(x)$ 
 $r_y = \text{find}(y)$ 
if  $r_x = r_y$ : return
if  $\text{rank}(r_x) > \text{rank}(r_y)$ :
     $\pi(r_y) = r_x$ 
else:
     $\pi(r_x) = r_y$ 
    if  $\text{rank}(r_x) = \text{rank}(r_y)$ :  $\text{rank}(r_y) = \text{rank}(r_y) + 1$ 
```

Figure 5.6 A sequence of disjoint-set operations. Superscripts denote rank.

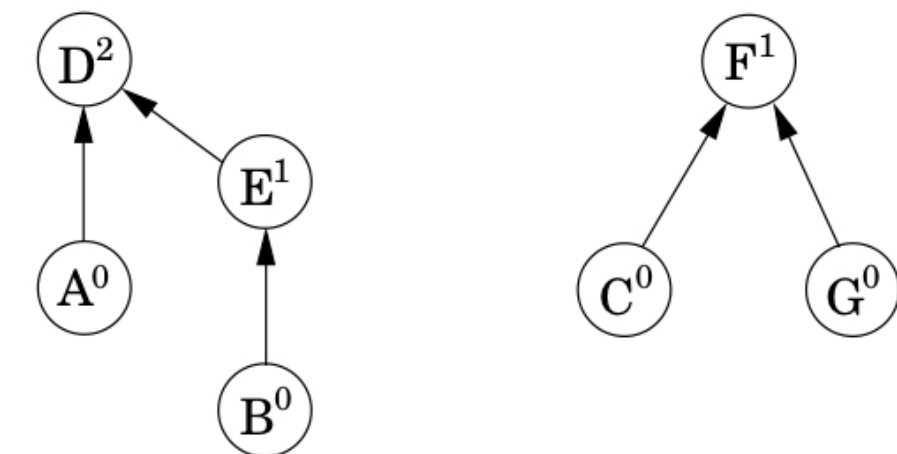
After $\text{makeset}(A), \text{makeset}(B), \dots, \text{makeset}(G)$:



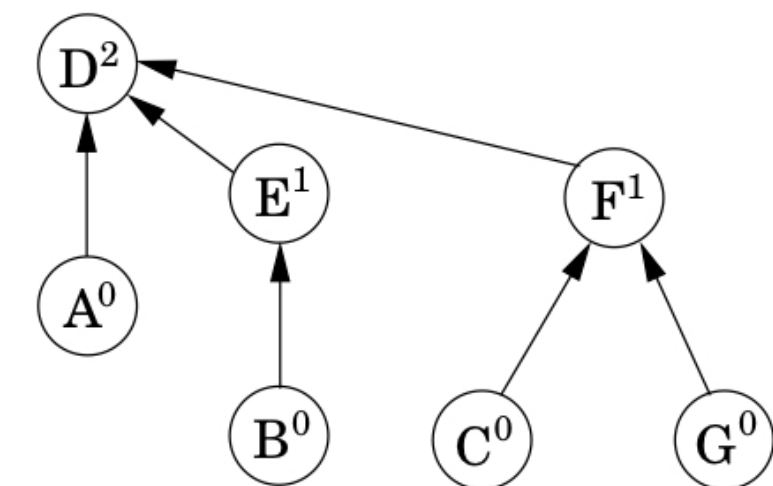
After $\text{union}(A, D), \text{union}(B, E), \text{union}(C, F)$:



After $\text{union}(C, G), \text{union}(E, A)$:



After $\text{union}(B, G)$:



Minimum Spanning Tree

Greedy Approach (1)

- Prim's Algorithm: 인접한 노드 들 중 최소 간선 고르고 (N-1)일때까지 진행

Figure 5.9 *Top:* Prim's minimum spanning tree algorithm. *Below:* An illustration of Prim's algorithm, starting at node A . Also shown are a table of cost/prev values, and the final MST.

procedure `prim`(G, w)

Input: A connected undirected graph $G = (V, E)$ with edge weights w_e

Output: A minimum spanning tree defined by the array `prev`

for all $u \in V$:

`cost`(u) = ∞

`prev`(u) = nil

Pick any initial node u_0

`cost`(u_0) = 0

$H = \text{makequeue}(V)$ (priority queue, using cost-values as keys)

while H is not empty:

$v = \text{deletemin}(H)$

 for each $\{v, z\} \in E$:

 if `cost`(z) > $w(v, z)$:

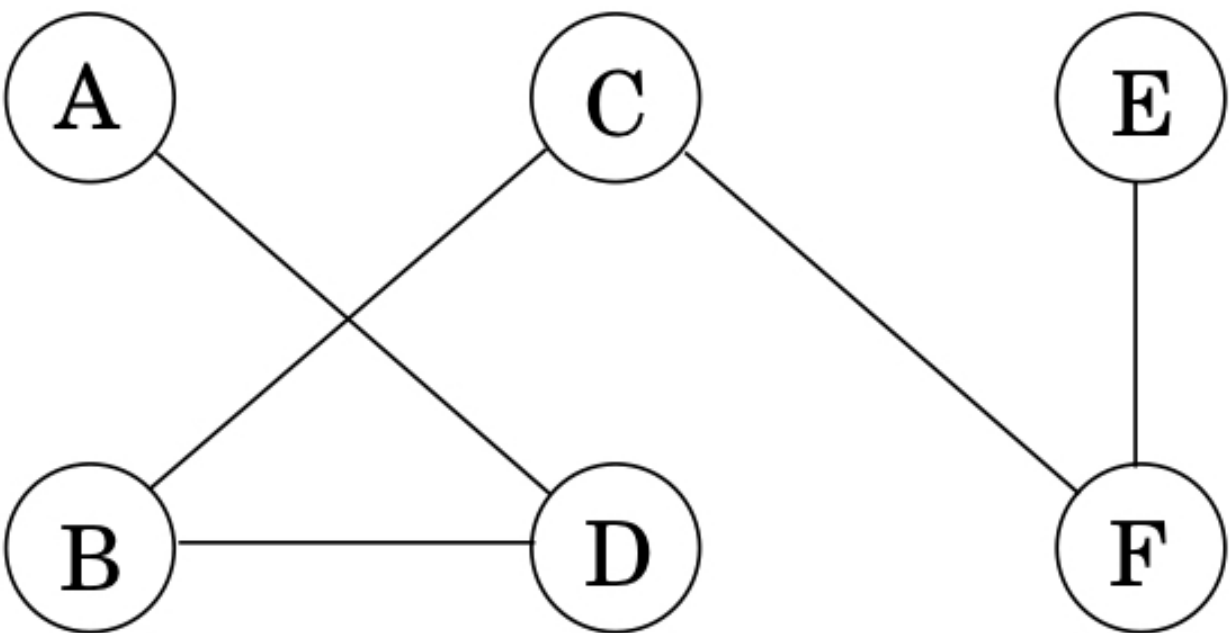
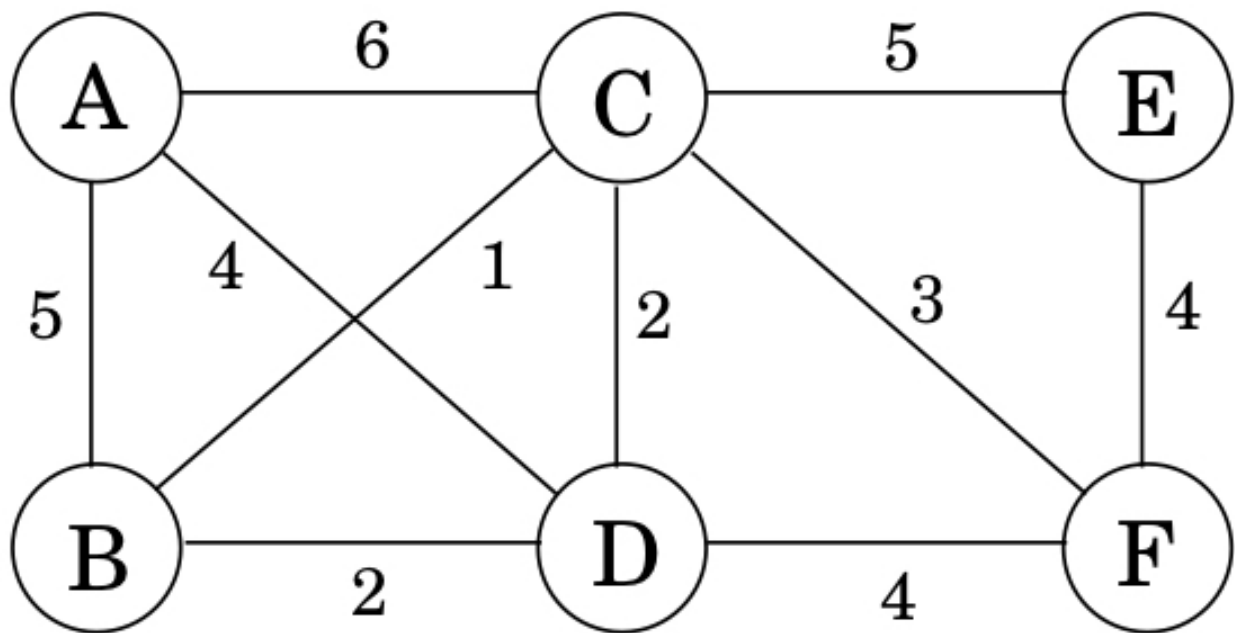
`cost`(z) = $w(v, z)$

`prev`(z) = v

`decreasekey`(H, z)

Minimum Spanning Tree

Greedy Approach (1)



Set S	A	B	C	D	E	F
$\{\}$	0/nil	∞ /nil	∞ /nil	∞ /nil	∞ /nil	∞ /nil
A		5/ A	6/ A	4/ A	∞ /nil	∞ /nil
A, D		2/ D	2/ D		∞ /nil	4/ D
A, D, B			1/ B		∞ /nil	4/ D
A, D, B, C					5/ C	3/ C
A, D, B, C, F					4/ F	

MST 만들기: Kruskal, Prim

Kruskal

- 간선 선택 (사이클 형성하지 않는)
- 이전 tree와 연관성 없음
- 간선 개수 == (총 정점-1)이면 끝

```
edge_set kruskal_MST(edge_set E, int n){
    sort(E); // 모든 간선을 오름차순으로 정렬
    edge_set MST_E = { };
    for(i = 0; i < n; i++) init_set(i); // n개의 집합(트리)를 생성
    while(MST_E의 간선 수 < n-1) // 종료조건 명시
        (u, v) = E의 최소 가중치 간선;
        E = E - {(u, v)};
        if(find(u) != find(v)){ // u와 v가 다른 집합(트리)의 원소인지 확인
            MST_E = MST_E U {(u, v)};
            union(u, v); // 두 집합을 합병하는 연산
        }
    }
    return MST_E;
}
```

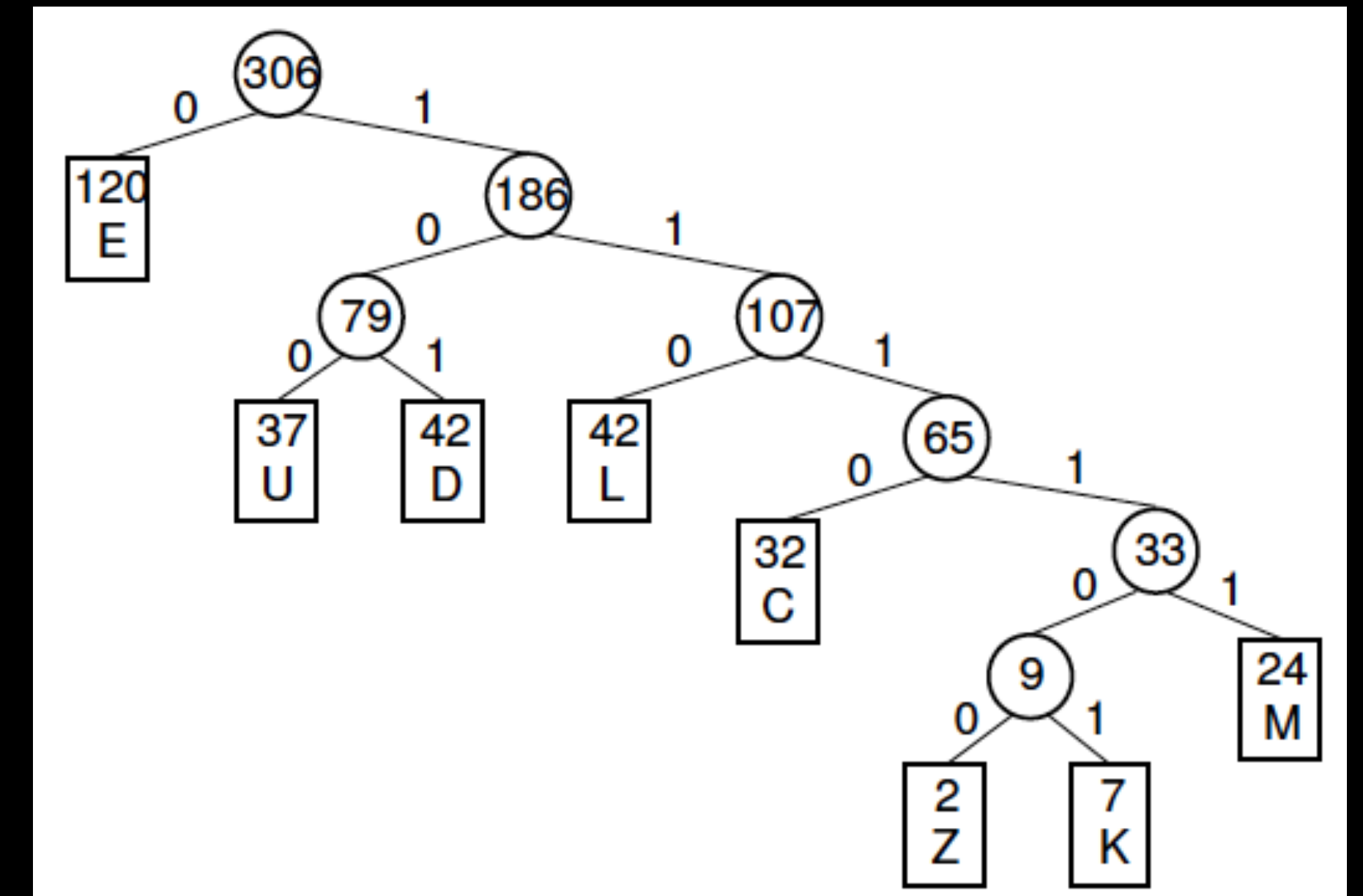
Prim

- 정점 선택(인접한 애들만)
- 이전 tree와 연관성 -> tree 계속 유지
- 정점 선택 다하면 끝

```
edge_set prim_MST_1(edge_set E, vertex s){
    edge_set MST_E = { }; // 초기화
    vertex_set MST_V = { s }; // 시작점 설정
    loop(n-1) // n-1번 반복
        (u, v) = E의 최소 가중치 간선, 단 u ∈ MST_V, v ∉ MST_V; // 같은 vertex면 안됨
        MST_E = MST_E U (u, v) // 검증된 간선을 추가
        MST_V = MST_V U v; // 검증된 정점을 추가
    }
    return MST_E;
}
```

Huffman Encoding

- 데이터 문자의 등장 빈도에 따라 다른 길이의 부호를 사용하는 알고리즘: variable-length encoding
- Full binary tree로 구현
 - 많이 사용시 짧은 코드, root쪽
 - 적게 사용시 긴 코드, bottom of the optimal



	A	B	C	D	E	F
고정 길이 코드	000	001	010	011	100	101
가변 길이 코드	1000	1001	101	00	01	11

Huffman Encoding Algorithm

cost of tree = $\sum_{i=1}^n f_i \cdot (\text{depth of } i \text{ th symbol in tree} = \text{bit 개수}) \Rightarrow (\text{빈도} * \text{길이})\text{합이 적어야}$

```
procedure Huffman( $f$ )
```

```
Input: An array  $f[1 \cdots n]$  of frequencies
```

```
Output: An encoding tree with  $n$  leaves
```

```
let  $H$  be a priority queue of integers, ordered by  $f$ 
```

```
for  $i = 1$  to  $n$ : insert( $H, i$ )
```

```
for  $k = n + 1$  to  $2n - 1$ :
```

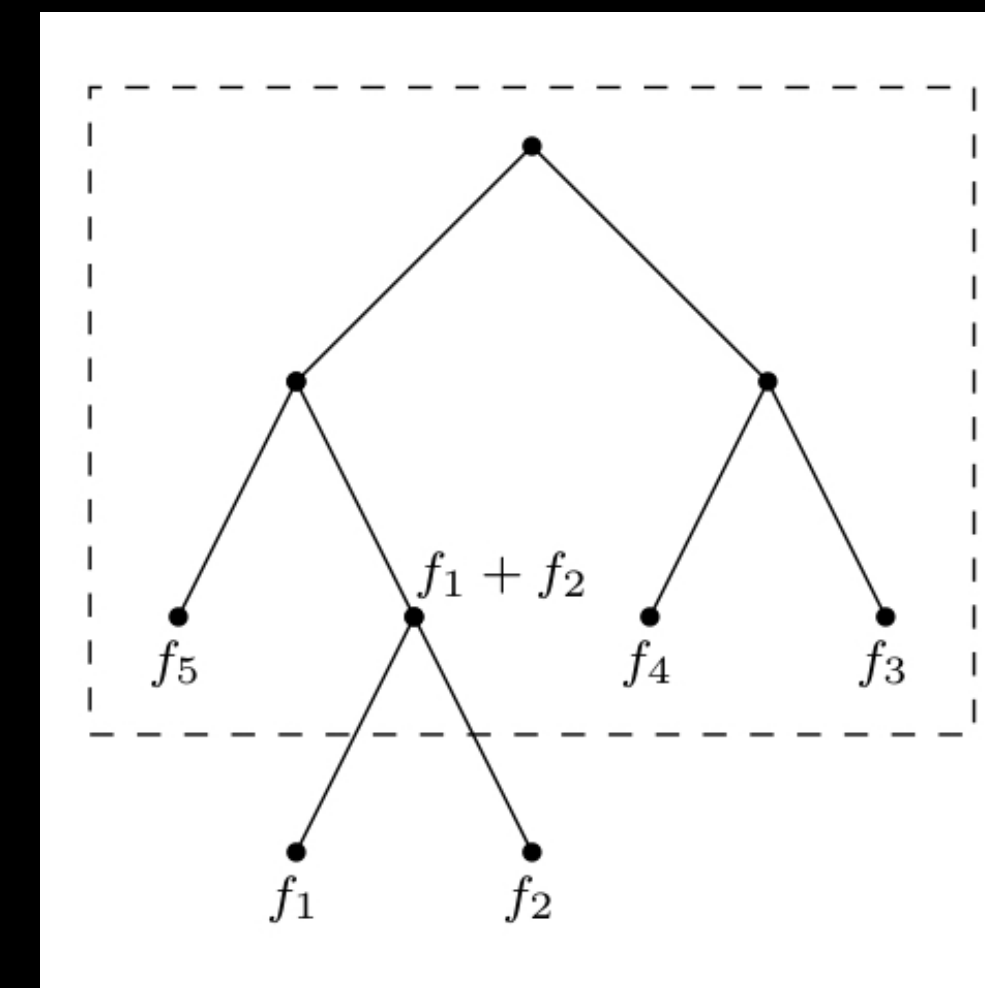
```
     $i = \text{deletemin}(H)$ ,  $j = \text{deletemin}(H)$ 
```

```
    create a node numbered  $k$  with children  $i, j$ 
```

```
     $f[k] = f[i] + f[j]$ 
```

```
    insert( $H, k$ )
```

1. 알파벳 별 빈도수 저장 -> Priority Queue 생성
2. Priority Queue에 symbol들 저장
3. Greedy 적용
가장 작은 frequency(빈도) 가지는 아이들 제거(deletemin)
둘을 합치면 (frequency 높아지니까)
다시 priority Queue에 저장



Horn Formulas 명제 논리

- x
- $\bar{x} : \text{not } x$
- Implication, Negative clauses 가지고 variables의 관계를 찾는 방식
- Implication
 - $(z \wedge w) \Rightarrow u : z, w \text{ hold면 } u \text{도 true}$
- Negative Clauses
 - $(\bar{u} \vee \bar{v} \vee \bar{y})$

For instance, suppose the formula is

$$(w \wedge y \wedge z) \Rightarrow x, (x \wedge z) \Rightarrow w, x \Rightarrow y, \Rightarrow x, (x \wedge y) \Rightarrow w, (\bar{w} \vee \bar{x} \vee \bar{y}), (\bar{z}).$$

Set cover Problem 집합 덮개 문제

SET COVER

Input: A set of elements B ; sets $S_1, \dots, S_m \subseteq B$

Output: A selection of the S_i whose union is B .

Cost: Number of sets picked.

- 전체집합, 그 집합의 부분집합 -> 부분집합 중 가능한 적은 집합 고르고, 그 집합들의 합집합이 원래 전체집합이 되도록

