

# Heuristic Search

- Reading note: Chapter 4 in R&N covers heuristic search.
- 2nd Edition of R&N is (temporarily) available at: <https://catalog.hathitrust.org/Record/004917484?type%5B%5D=all&lookfor%5B%5D=Artificial%20Intelligence%3A%20A%20Modern%20Approach%20&ft=ft>
- Also <https://artint.info/2e/html/ArtInt2e.Ch3.S6.html>

# Heuristic functions

We can encode each notion of the “merit” of a state into a heuristic function,  $h(n)$ .

*A heuristic function maps a state onto an estimate of the cost to the goal from that state.*

Can you think of examples of heuristics?

Heuristics are sensitive to the problem domain.

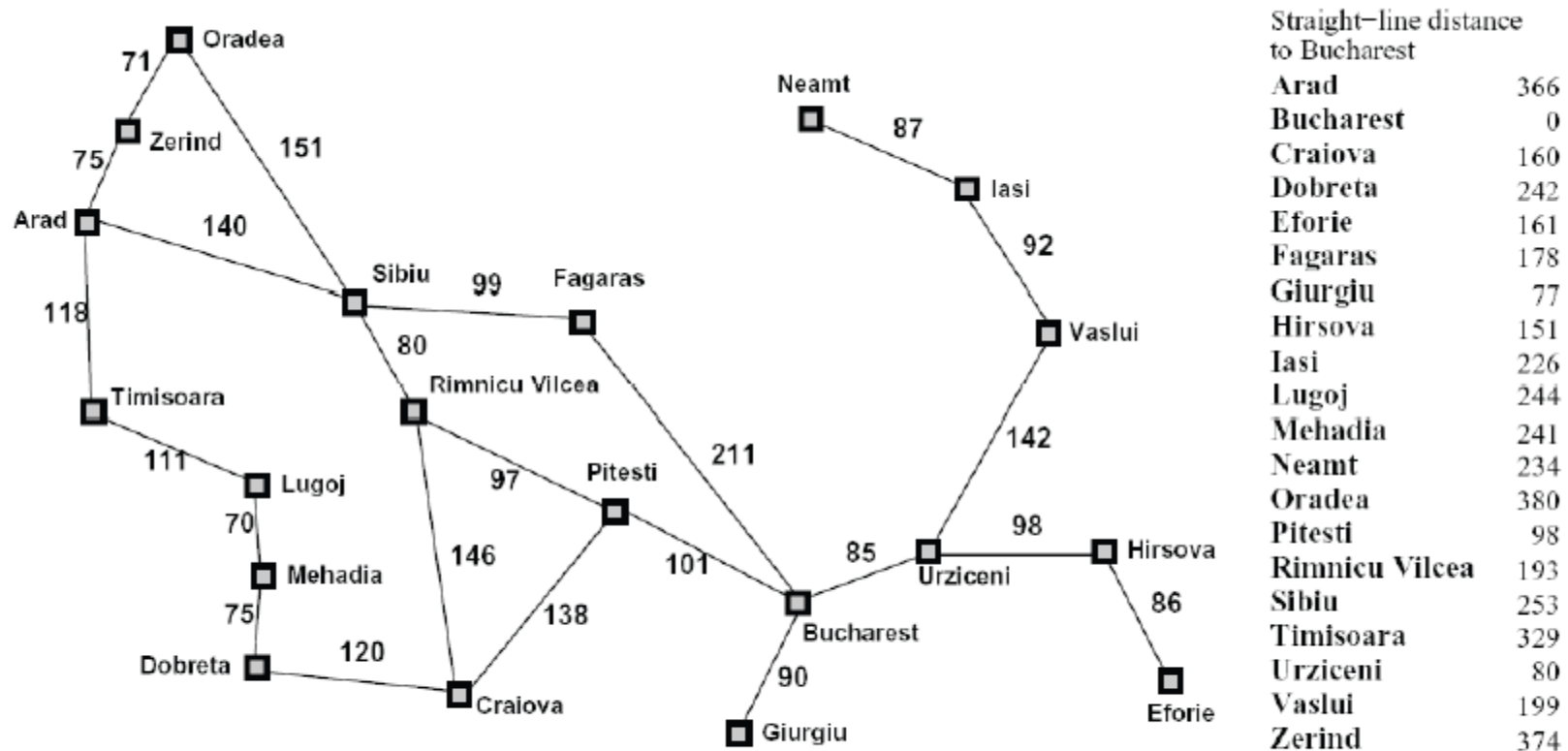
Heuristic for planning a path through a maze?

For solving a Rubick's cube?

# Heuristic Search

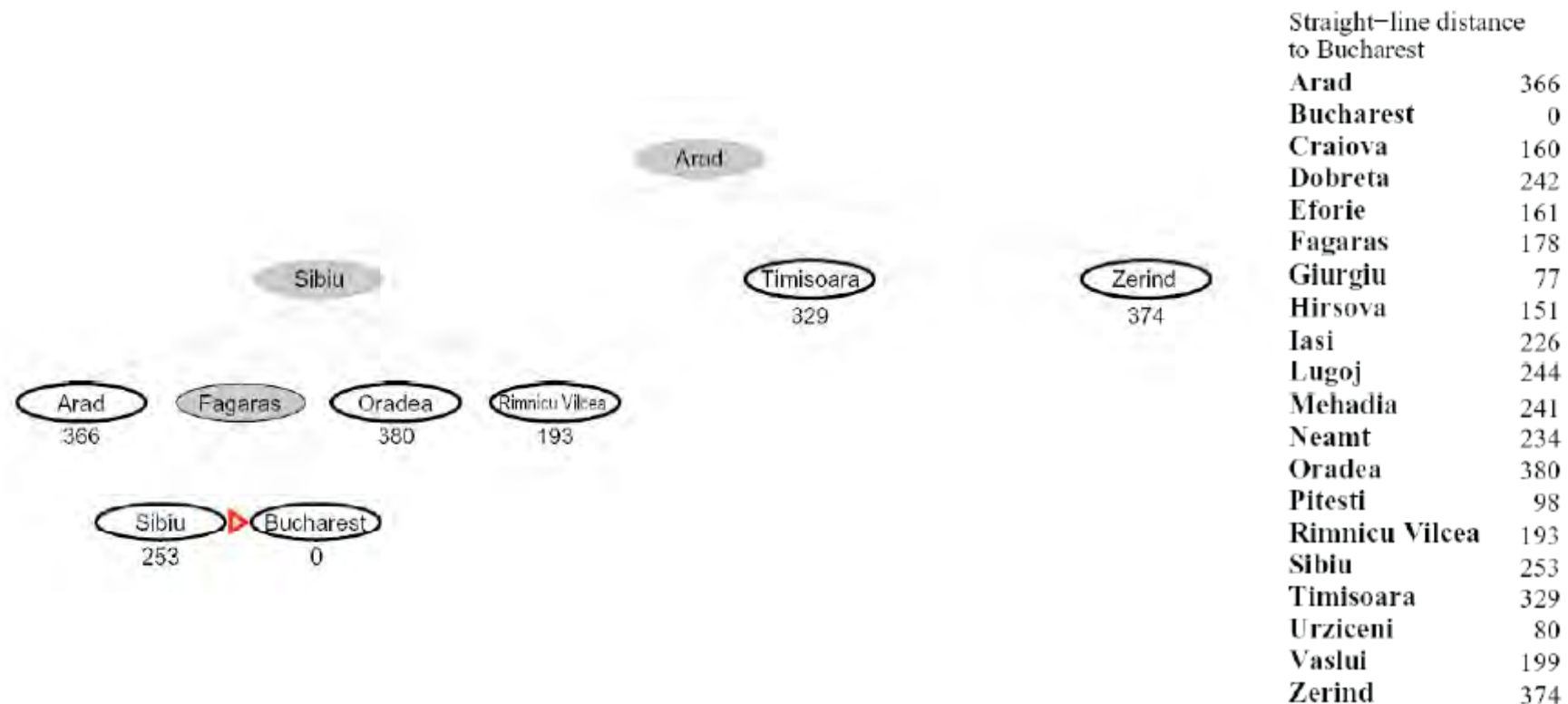
- If  $h(n_1) < h(n_2)$  this means that we guess that it is cheaper to get to the goal from  $n_1$  than from  $n_2$ .
- We require that
  - $h(n) = 0$  for every node  $n$  whose terminal state satisfies the goal.
  - Zero cost of achieving the goal from node that already satisfies the goal.

# Euclidean distance as $h(s)$



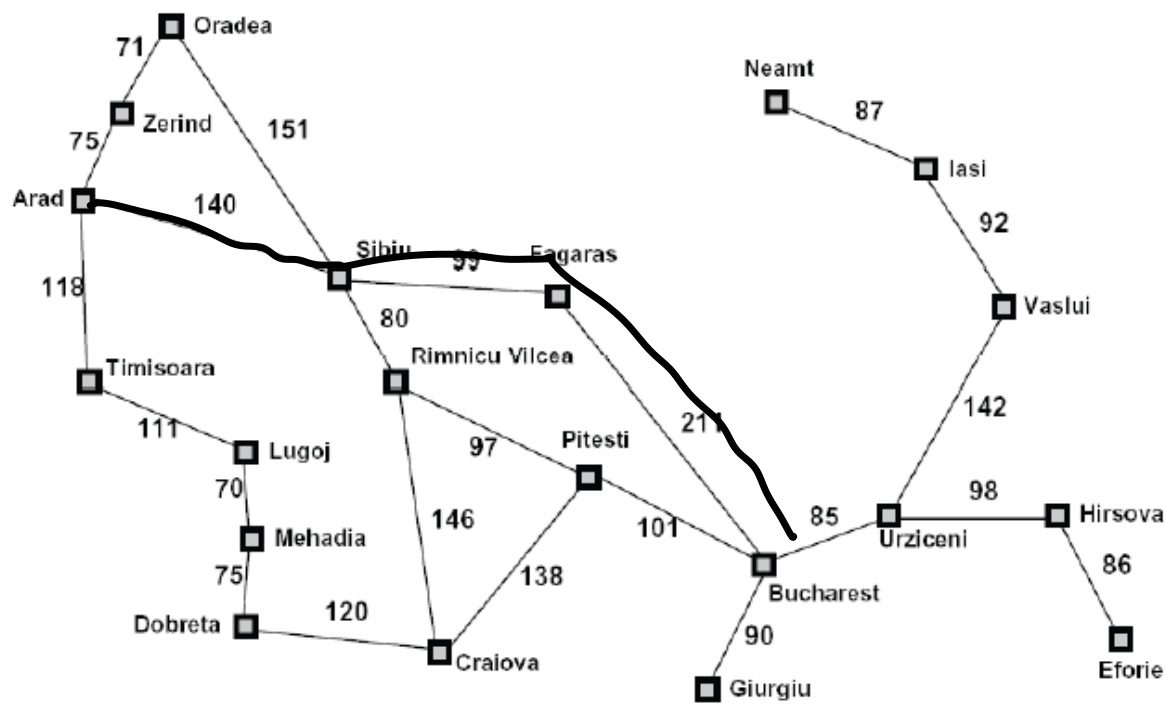
Say we want to plan a path from Arad to Bucharest, and we know the straight line distance from each city to our goal. This lets us plan our trip by picking cities at each time point that minimize the distance to our goal (or maximize our heuristic).

# Best first (greedy) search



If we only use  $h(n)$  to guide our search, the search strategy is called Greedy or Best First Search

How can it possibly go wrong??



Arad to Bucharest

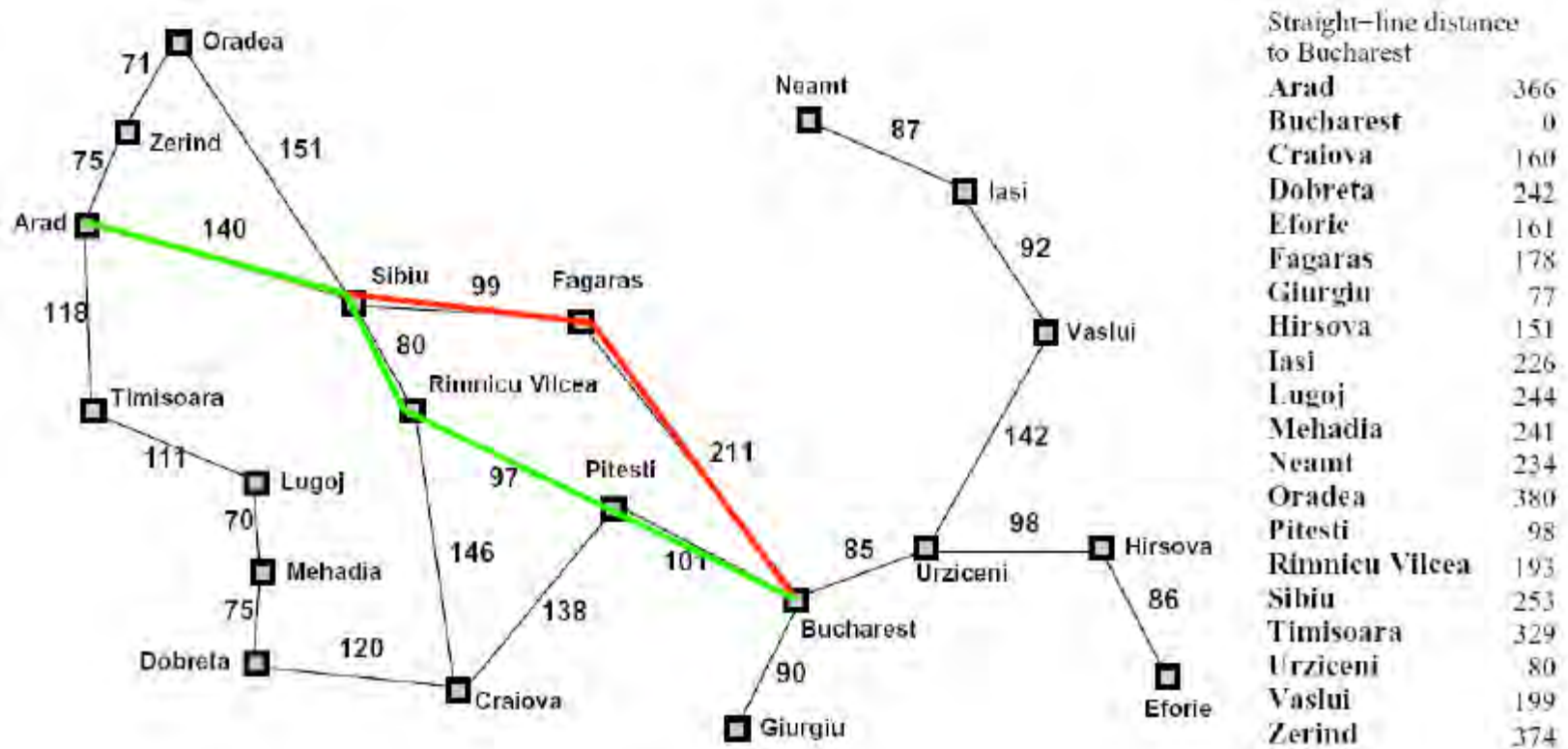
0  $F \{ A, 366 \}$

1  $F \{ A2 \ 374, \underline{AS \ 253}, AT \ 329 \}$

2  $F \{ \underline{AS \ F \ 178} \quad ASR \ 193, AT \ 329 \ A2$

3  $F \{ \underline{AS \ F \ 178} \quad \underline{B \ 0} \quad ASR \ 193 \quad AT \ 329 \quad A2 \ 374 \}$

# Best first (greedy) search



In red is the path we selected. In green is the shortest path between Arad and Bucharest. **What happened?**

# Modifying the search

How to avoid the mistake?



# Modifying the search

## How to avoid the mistake?

Take into account the cost of getting to the node as well as our estimate of the cost of getting to the goal from the node.

Define an evaluation function  $f(n)$ :

$$f(n) = g(n) + h(n)$$

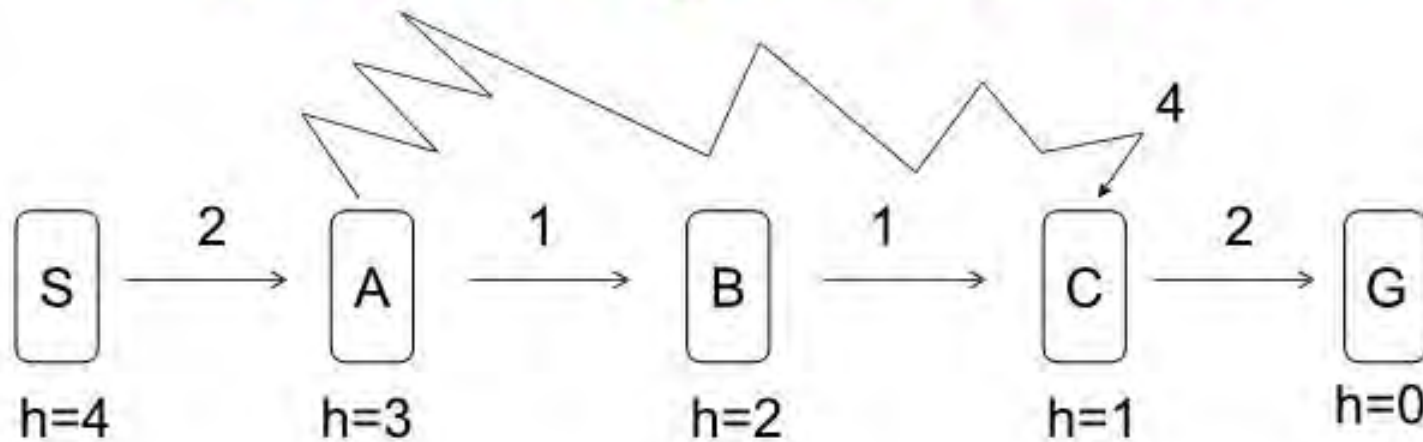
$g(n)$  is the cost of the path to node  $n$

$h(n)$  is the heuristic estimate of the cost of achieving the goal from  $n$ .

Always expand the node with lowest  $f$ -value on Frontier.

The  $f$ -value,  $f(n)$  is an estimate of the cost of getting to the goal via the node (path)  $n$ .  
I.e., we first follow the path  $n$  then we try to get to the goal.  $f(n)$  estimates the total cost of such a solution.

## A\* Looking Non-Stupid



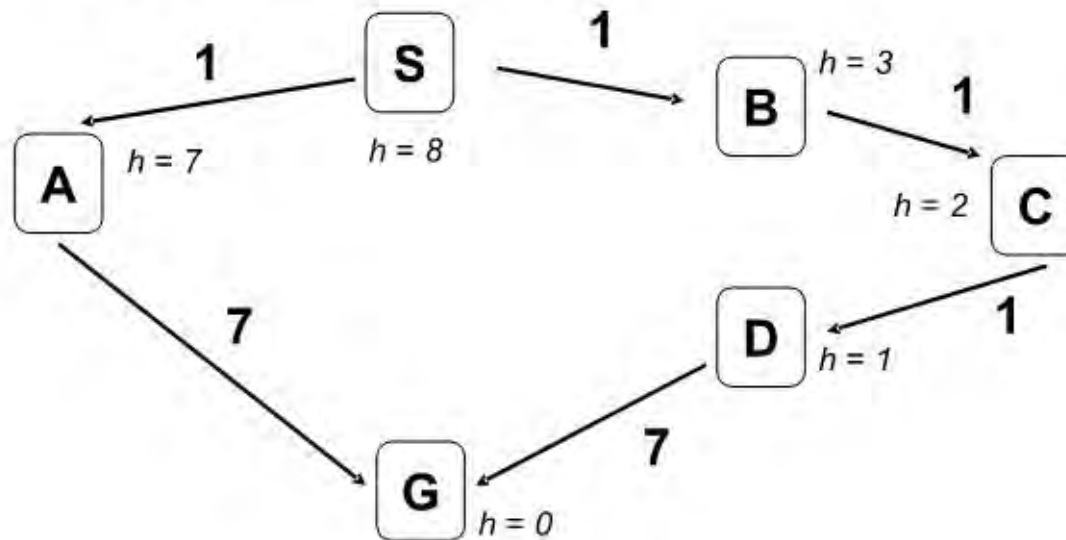
$0 \text{ f } \{ S, 0+4 \}$   
 $1 \text{ f } \{ A, 3 \}$   
 $2 \text{ f } \{ SAC, 7, \underline{\underline{SAB}} \}$

What will A\* do here?

## When should A\* terminate?

Idea: As soon as it generates a goal state?

Look at this example:



What will happen here??

S, 0+8

SA 8 SB 4

SA SC 4

SA SD 4

SA SG 10

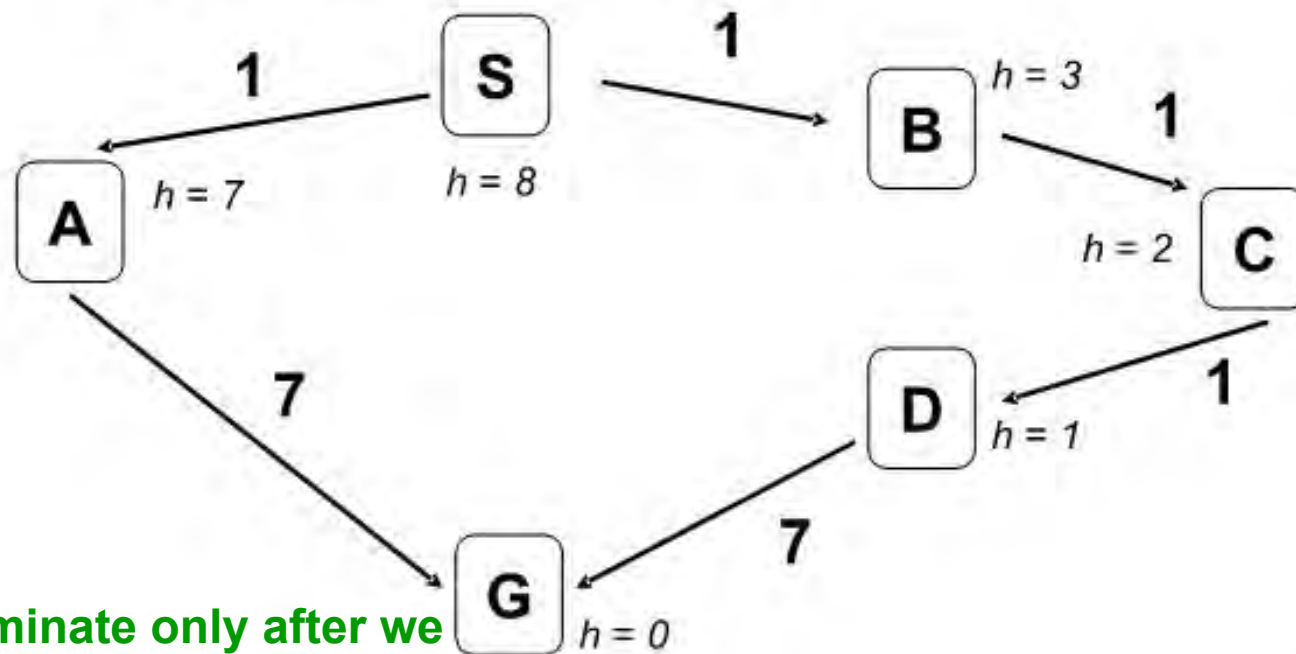
SA 6 8 SB 10

\_\_\_\_\_

# When should A\* terminate?

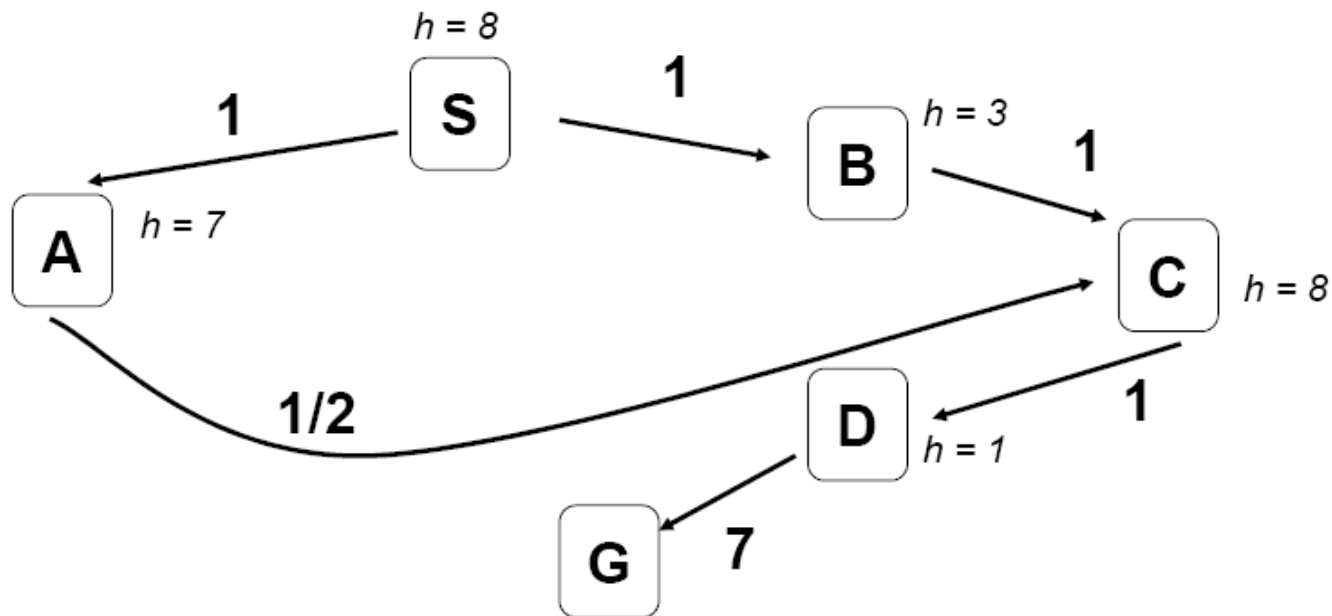
Idea: As soon as it generates a goal state?

Look at this example:

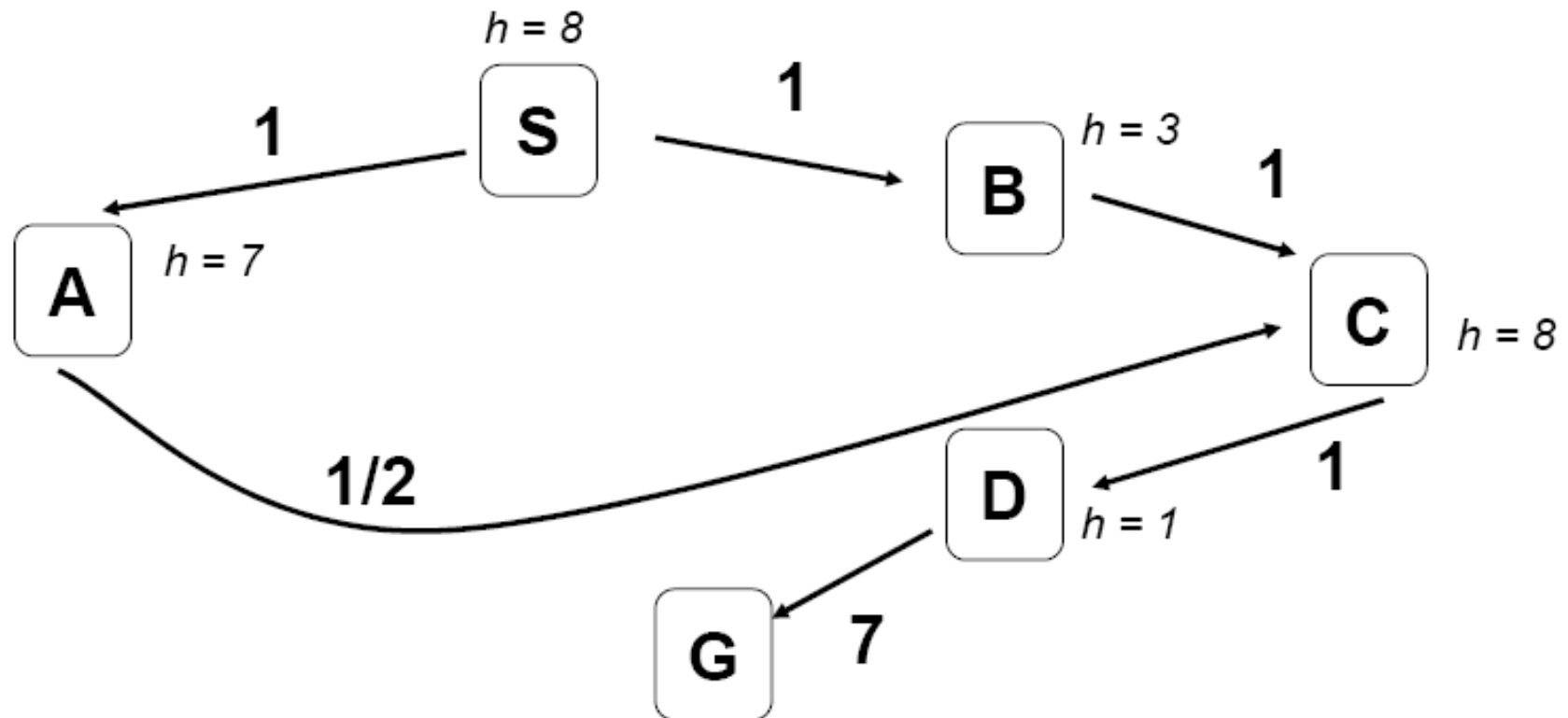


**Terminate only after we  
have REMOVED the  
goal from the Frontier.**

# What happens when we visit nodes twice?

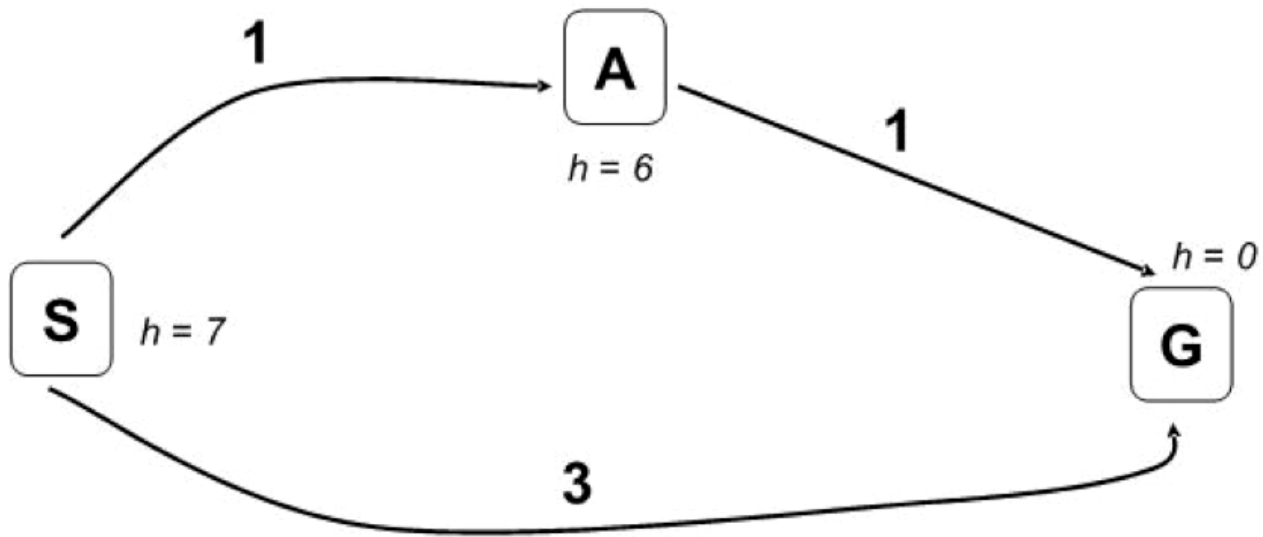


# What happens when we visit nodes twice?



If A\* discovers a lower cost path through a state as it is searching, it should update the order of that state on the Frontier, based on the lower path cost.

# Is A\* Guaranteed Optimal?



# Properties of $A^*$ depend on conditions on $h(n)$

- To achieve completeness, optimality, and desirably time and space complexity with  $A^*$  search, we must put some conditions on the heuristic function  $h(n)$  and the search space.



# Condition on $h(n)$ : Admissible

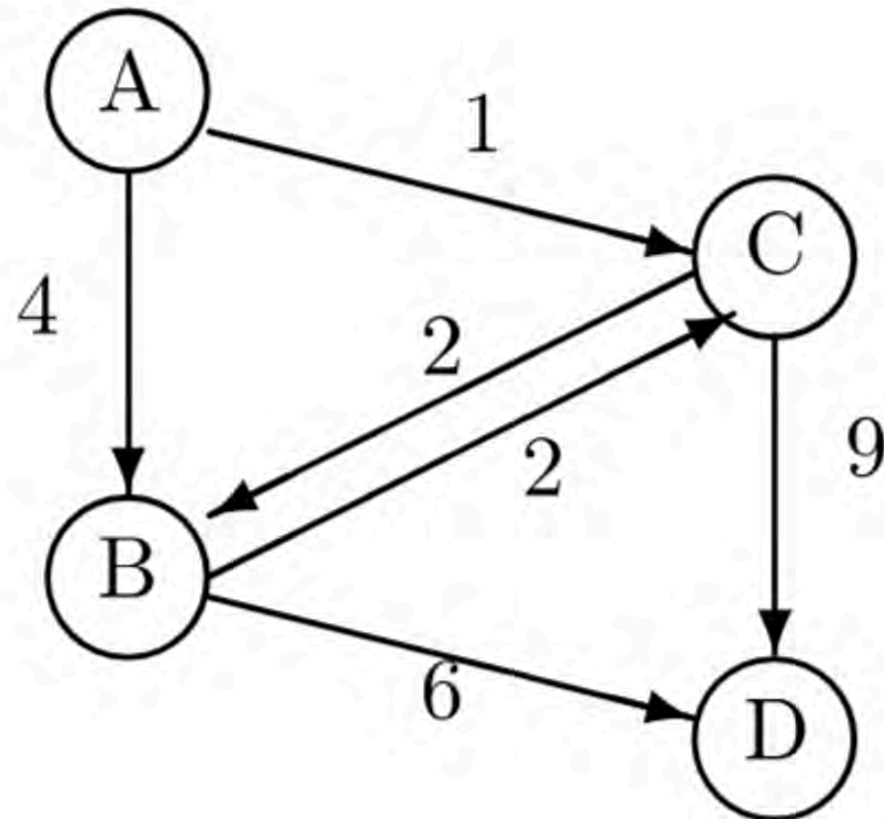
- Assume each transition due to an action  $a$  has cost  $\geq \epsilon > 0$ .
- Let  $h^*(n)$  be the **cost of an optimal path** from  $n$  to a goal node ( $\infty$  if there is no path). Then an **admissible** heuristic satisfies the condition:

$$h(n) \leq h^*(n)$$

an admissible heuristic **never over-estimates** the cost to reach the goal, i.e., it is **optimistic**

- Hence  $h(g) = 0$ , for any goal node  $g$
- Also  $h^*(n) = \infty$  if there is no path from  $n$  to a goal node

# Problem!



$$\begin{aligned}
 h(A) &= 8 \\
 h(B) &= 3 \\
 h(C) &= 7 \\
 h(D) &= 0
 \end{aligned}$$

START = A  
GOAL = D

0 A 8

1 AC 8 AB 7

2 ABC 13 AC 8  
ABD 10

3. ACB 6 ACD 10  
ABD 10 ABC 13

4, ACBC 12

ACBD 9  
ACD 10

ABD 10

ABC 13

# Search animations: Pac Man

<https://www.youtube.com/watch?v=2XjzjAfGWzY>



# Back to admissibility

Which heuristics are admissible for the 8 puzzle?

- $h(n)$  = number of misplaced tiles
- $h(n)$  = total Manhattan distance between tile locations in S and goal locations in G
- $h(n) = \min(2, h^*[n])$
- $h(n) = h^*(n)$
- $h(n) = \max(2, h^*[n])$
- $h(n) = 0$

|   |   |   |
|---|---|---|
| 7 | 2 | 4 |
| 5 |   | 6 |
| 8 | 3 | 1 |

Start State

|   |   |   |
|---|---|---|
|   | 1 | 2 |
| 3 | 4 | 5 |
| 6 | 7 | 8 |

Goal State

Record your answers at <https://forms.gle/n7aWKFT4TSy6vDtJ9>

# Admissible heuristics

Say for the 8-puzzle:

$h_1(n)$  = number of misplaced tiles

$h_2(n)$  = total Manhattan distance

(i.e., no. of squares from desired location of each tile)

|   |   |   |
|---|---|---|
| 7 | 2 | 4 |
| 5 |   | 6 |
| 8 | 3 | 1 |

Start State

|   |   |   |
|---|---|---|
|   | 1 | 2 |
| 3 | 4 | 5 |
| 6 | 7 | 8 |

Goal State

- $h_1(S)$  = ? 8
- $h_2(S)$  = ?  $3+1+2+2+2+3+3+2 = 18$

Which heuristic might be preferable, and why?

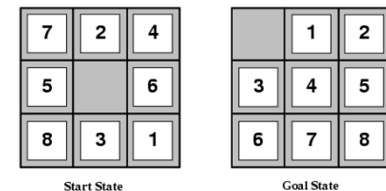
# How to build a heuristic?

A useful technique is to simplify a problem when building heuristics, and to let  $h(n)$  be the cost of reaching the goal in the easier problem.

For example, in the 8-Puzzle you can only move a tile from square A to B if A is adjacent (left, right, above, below) to B **and** B is blank

We can relax some of these conditions and:

1. allow a move from A to B if A is adjacent to B (i.e. we can ignore whether or not position is blank),
2. allow a move from A to B if B is blank (i.e. we can ignore adjacency),
3. allow all moves from A to B (ignore both conditions).

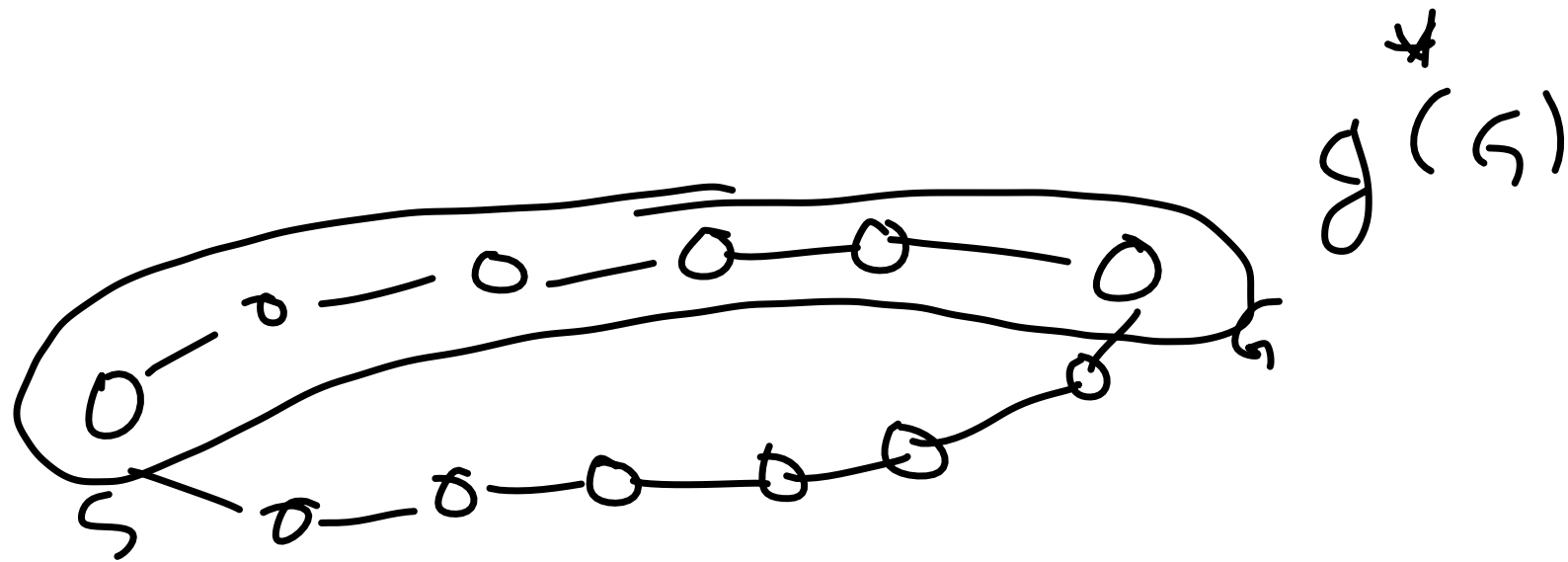


# How to build a heuristic?

- #3 leads to the **misplaced tiles** heuristic.
  - To solve the puzzle, we need to move each tile into its final position.
  - Number of moves = number of misplaced tiles.
  - Clearly  $h(n)$  = number of misplaced tiles  $\leq$  the  $h^*(n)$  the cost of an optimal sequence of moves from  $n$ .
- #1 leads to the **Manhattan distance** heuristic.
  - To solve the puzzle we need to slide each tile into its final position.
  - We can move vertically or horizontally.
  - Number of moves = sum over all of the tiles of the number of vertical and horizontal slides we need to move that tile into place.
  - Again  $h(n)$  = sum of the Manhattan distances  $\leq h^*(n)$ 
    - in a real solution we need to move each tile at least that far and we can only move one tile at a time.

# Admissible heuristics make for optimal search

Why?





# Admissible heuristics make for optimal search

## Why?

- Say we have an optimal path to  $n_{\text{goal}}$  with cost  $g(n_{\text{goal}})$ .
- Let  $n'_{\text{goal}}$  be a sub-optimal path, meaning  $g(n'_{\text{goal}}) > g(n_{\text{goal}})$ .
- Let  $n''$  be any sub-path of the optimal path on the Frontier.

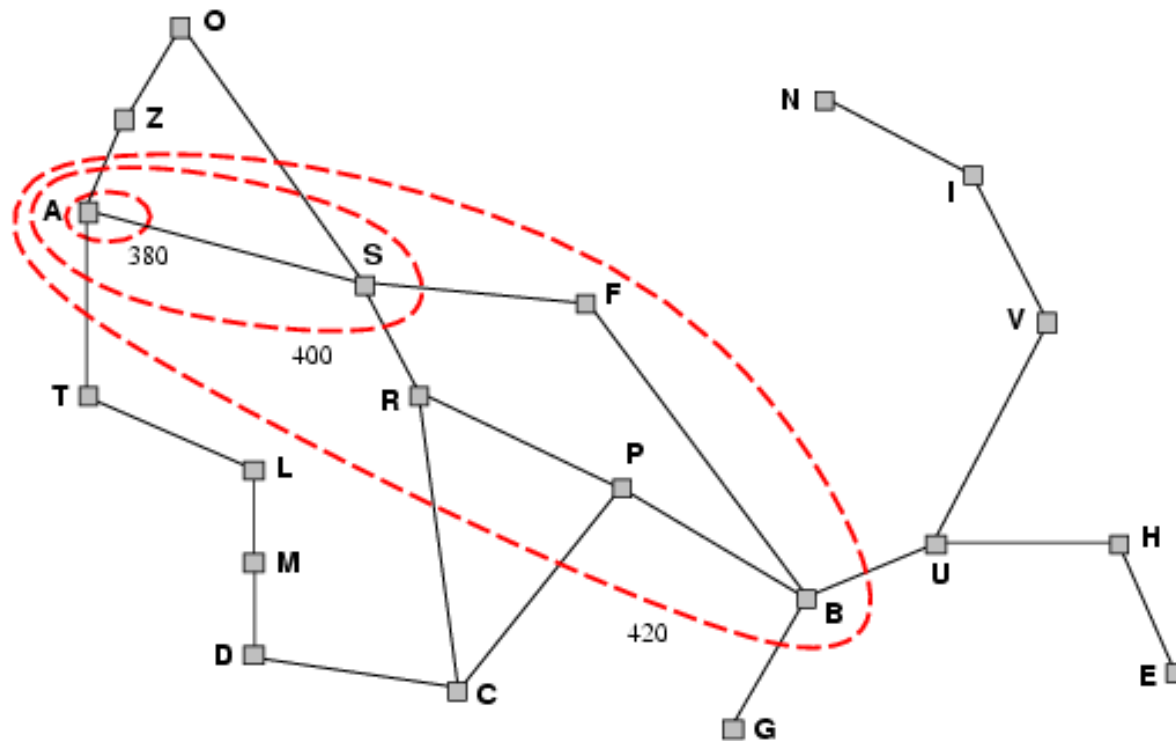
*Is it possible for the path to  $n'_{\text{goal}}$  to be explored before the path to  $n_{\text{goal}}$ ?*

- No! Because  $f(n_{\text{goal}}) < f(n'_{\text{goal}})$
- Also  $f(n'') \leq f(n_{\text{goal}})$ , because our heuristic is admissible.
- So,  $f(n'') < f(n'_{\text{goal}})$

*Meaning sub-paths on the optimal path to  $n_{\text{goal}}$  will be explored before any sub-optimal path to the goal!*

# Admissible heuristics make for optimal search

- A\* expands nodes, or paths, in order of increasing  $f$  value
- Gradually adds "f-contours"
- Each contour contains all paths with  $f=f_i$ , where  $f_i < f_{i+1}$

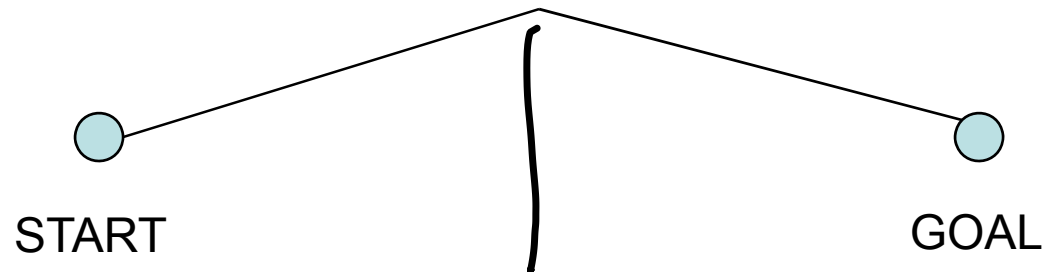


# Weighted A\*

- Weighted A\* defines an evaluation function  $f(n)$ :

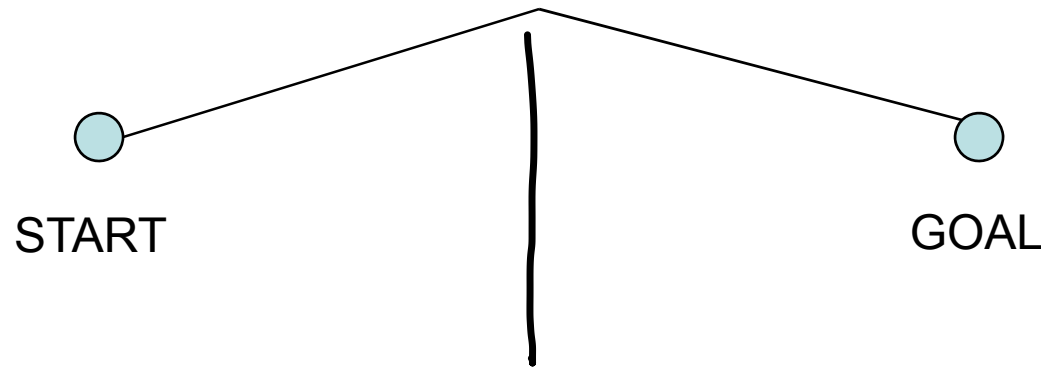
$$f(n) = g(n) + \epsilon * h(n)$$

- $\epsilon > 1$  introduces a bias towards states that are closer to the goal.
- $\epsilon == 1$  generates a provably optimal solution (assuming admissible heuristic).



# Effect of Heuristic Functions

- What portion of the state space will be explored by UCS? A\*? Greedy search? Weighted A\*?



# Weighted A\*

- Weighted A\* defines an evaluation function  $f(n)$ :

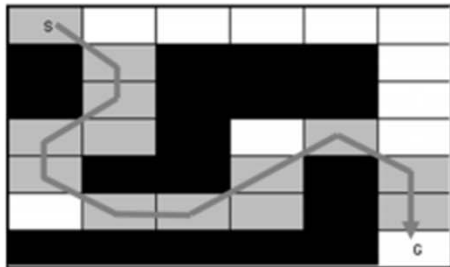
$$f(n) = g(n) + \epsilon * h(n)$$

- $\epsilon > 1$  introduces a bias towards states that are closer to the goal.
  - $\epsilon == 1$  generates a provably optimal solution (assuming admissible heuristic).
- Search is typically orders of magnitude faster
- Path that is discovered may be sub-optimal (by factor that depends on  $\epsilon$ )

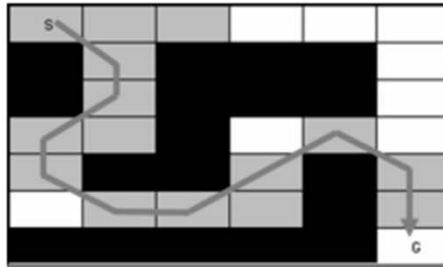
Question about weighted a-star: <https://forms.gle/7xZQVn9Fiakr7ZcF9>

# Anytime A\*

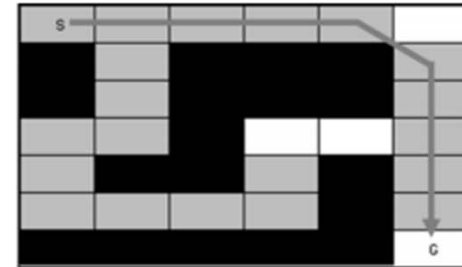
- Weighted A\* can be used to construct an anytime algorithm:
  - Find the best path for a given  $\epsilon$
  - Reduce  $\epsilon$  and re-plan



$\epsilon = 2$   
13 node expansions  
Solution length: 12



$\epsilon = 1.5$   
15 node expansions  
Solution length: 12



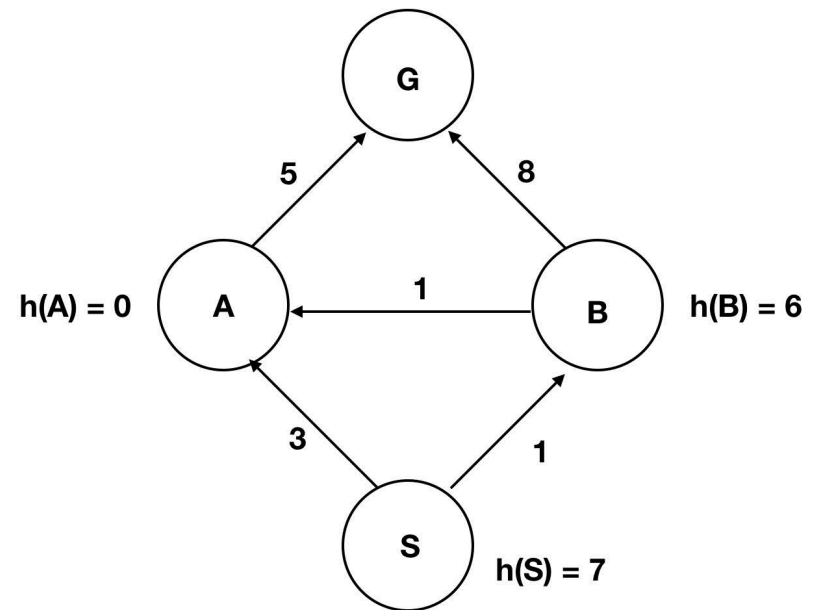
$\epsilon = 1$   
20 node expansions  
Solution length: 10

# Stronger condition on $h(n)$ : Monotonic (or consistent)

- Stronger condition than admissibility
- A **monotone** heuristic satisfies the condition
$$h(n_1) \leq c(n_1, a, n_2) + h(n_2)$$

- Note that there might more than one transition (**action**) that joins  $n_1$  and  $n_2$ , and the inequality must hold for all of them.

- If  $h(n)$  is **admissible and monotonic**, search will be both optimal *and* not “locally” mislead.



Question about monotonicity:  
<https://forms.gle/EuTS6fJPr8nezuY27>

# Consistency implies Admissibility

**Assume consistency:**  $h(n_1) \leq c(n_1, a, n_2) + h(n_2)$

**Prove admissible:**  $h(n) \leq h^*(n)$

If no path exists from  $n$  to a goal,  $h^*(n) = \infty$  and  $h(n) \leq h^*(n)$ .

Let the path to from  $n$  to  $n_{\text{goal}}$  be an OPTIMAL path from  $n$  to a goal. Call the cost of this path  $h^*(n)$ , and call the cost of each sub-path from  $n_i$  to  $n_{\text{goal}}$ ,  $h^*(n_i)$ .

We will prove  $h(n) \leq h^*(n)$  by induction on the length of this optimal path.



# Proof by Induction

**Assume consistency:**  $h(n_1) \leq c(n_1, a, n_2) + h(n_2)$

**Prove admissible:**  $h(n) \leq h^*(n)$

**Base Case:**

$$h(n_{\text{goal}}) = 0 \leq h^*(n_{\text{goal}}) = 0 \quad \text{✱}$$

$$h(n_1) \leq c(n_1, a_1, n_{\text{goal}}) + h(n_{\text{goal}}) \leq c(n_1, a_1, n_{\text{goal}}) + h^*(n_{\text{goal}}) = h^*(n_1)$$

**Induction:**

Assume  $h(n_i) \leq h^*(n_i)$

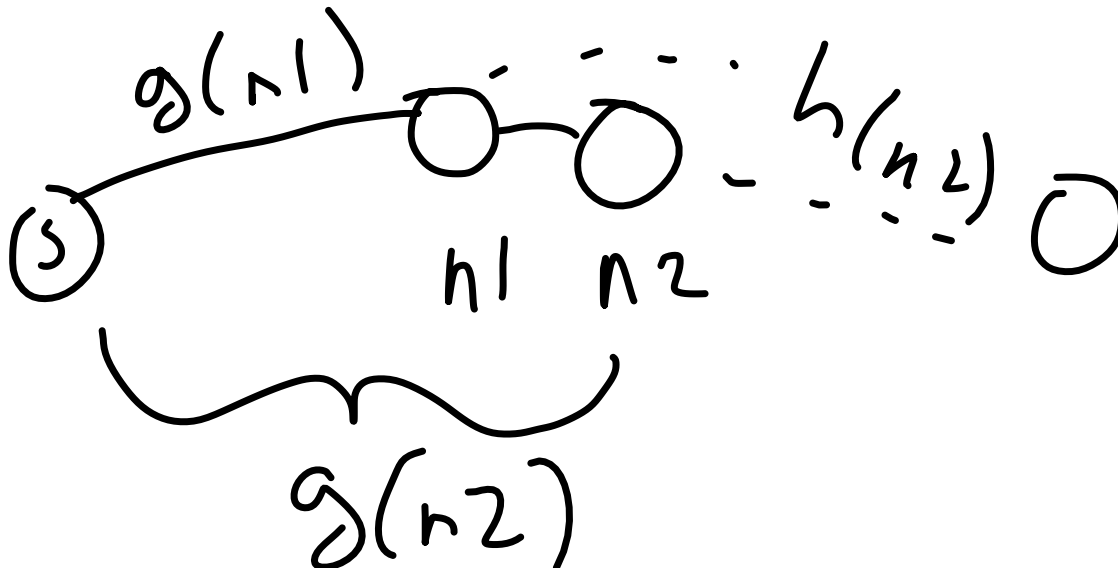
$$h(n_{i-1}) \leq c(n_{i-1}, a_{i-1}, n_i) + h(n_i) \leq c(n_{i-1}, a_{i-1}, n_i) + h^*(n_i) = h^*(n_{i-1})$$

# Some consequences of Monotonicity

1.  $f$ -values of states in a path are non-decreasing.  
i.e. if  $n1$  and  $n2$  are nodes along a path, then  $f(n1) \leq f(n2)$

Proof:  $f(n1) = g(n1) + h(n1) = \text{cost}(\text{path to } n1) + h(n1)$   
 $\leq g(n1) + c(n1, a, n2) + h(n2)$

But  $g(n1) + c(n1, a, n2) + h(n2) = g(n2) + h(n2) = f(n2)$



# Some consequences of Monotonicity

1.  $f$ -values of states in a path are non-decreasing.  
i.e. if  $n1$  and  $n2$  are nodes along a path, then  $f(n1) \leq f(n2)$

Proof:  $f(n1) = g(n1) + h(n1) = \text{cost}(\text{path to } n1) + h(n1)$   
 $\leq g(n1) + c(n1, a, n2) + h(n2)$

But  $g(n1) + c(n1, a, n2) + h(n2) = g(n2) + h(n2) = f(n2)$

*So  $f(n1) \leq f(n2)$*

# Some consequences of Monotonicity

2. If  $n_2$  is expanded after  $n_1$ , then  $f(n_1) \leq f(n_2)$ .  
i.e.  $f$ -values of nodes that are expanded cannot decrease.

# Some consequences of Monotonicity

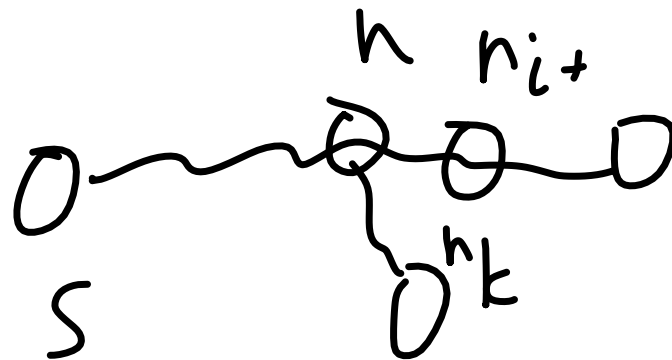
2. If  $n_2$  is expanded after  $n_1$ , then  $f(n_1) \leq f(n_2)$ .  
i.e.  $f$ -values of nodes that are expanded cannot decrease during the search.

Why? When  $n_1$  was selected for expansion,  $n_2$  was either:

1. Already on the frontier, meaning  $f(n_1) \leq f(n_2)$ . Otherwise we would have expanded  $n_2$  before  $n_1$ .
2. Added to the frontier as a result of  $n_1$ 's expansion, meaning  $n_2$  and  $n_1$  lie along the same path. If this is the case, as we demonstrated on the prior slide,  $f(n_1) \leq f(n_2)$ .

# Some consequences of Monotonicity

3. If node  $n$  has been expanded, every path with a lower  $f$ -value than  $n$  has already been expanded.



$$f(n_k) \leq f(n)$$

$$f(n)$$

$$f(k)$$

$$f(k) < f(n)$$

# Some consequences of Monotonicity

3. If node  $n$  has been expanded, every path with a lower  $f$ -value than  $n$  has already been expanded.
- Say we just expanded node  $n_i$  on a path to node  $n_k$ , and that  $f(n_k) < f(n)$ .
  - This means  $n_{i+1}$  is on the frontier and  $f(n_{i+1}) \leq f(n_k)$ , because they are both on the same path.
  - BUT if  $n_{i+1}$  were on the frontier at the same time as node  $n$ , it would have been expanded before  $n$  because  $f(n_{i+1}) \leq f(n_k) < f(n)$ .
  - Thus,  $n$  *can't* have been expanded before every path with a lower  $f$ -value has been expanded.

# Some consequences of Monotonicity

4. The first time A\* expands a node, it has found the minimum cost path to that node.

$f(\text{of the first discovered path to } n) = \text{cost}(\text{of the first discovered path to } n) + h(n).$

Likewise,

$f(\text{of any other path to } n) = \text{cost}(\text{of any other path to } n) + h(n).$

From the prior slide we know:

$f(\text{of the first discovered path to } n) \leq f(\text{of any other path to } n).$

This means, by substitution:

$\text{cost}(\text{of 1st discovered path to } n) \leq \text{cost}(\text{of any other path to } n)$

**Hence, the first discovered path is the optimal one!**



# Monotonic, Admissible A\*

## Complete?

**YES.** Consider a least cost path to a goal node

–SolutionPath =  $\langle \text{Start} \rightarrow n_1 \rightarrow \dots \rightarrow G \rangle$  with cost  $c(\text{SolutionPath})$ .

–Since each action has a cost  $\geq \epsilon > 0$ , there are only a finite number of paths that have  $f$ -value  $< c(\text{SolutionPath})$ . None of these paths lead to a goal node since SolutionPath is a least cost path to the goal.

–So eventually SolutionPath, or some equal cost path to a goal must be expanded.

## Time and Space complexity?

–When  $h(n) = 0$  for all  $n$ ,  $h$  is monotone (A\* becomes uniform-cost search)!

–When  $h(n) > 0$  for some  $n$  and still admissible, the number of nodes expanded will be no larger than uniform-cost.

–Hence the same bounds as uniform-cost apply. (These are worst case bounds). Still exponential complexity unless we have a very good  $h$ !

–In real world problems, we sometimes run out of time and memory. We will introduce IDA\* to address some memory issues, but IDA\* isn't very good when many cycles are present.

# Monotonic, Admissible A\*

## Optimal?

**YES.** As we saw, the first path to a goal node must be optimal.

## Cycle Checking?

We can use a simple implementation of cycle checking (multiple path checking) - just reject all search nodes that visit a state already visited by a previously expanded node. We need keep only the first path to a state, rejecting all subsequent paths.

# Limitations of A\* Search

- Observation: While A\* may expand less of the state space, it is still constrained by speed or memory (many states are explored, on Frontier).
- Tools to address these problems:
  - IDA\* (Iterative Deepening A\*) - similar to Iterative Deepening Search.
  - Weighted A\* - A\* with an added weight, to bias exploration toward goal. We looked at this a bit last time!

# IDA\* - Iterative Deepening A\*

Objective: reduce memory requirements for A\*

- Like iterative deepening, but now the “cutoff” is the f-value ( $g+h$ ) rather than the depth
- At each iteration, the cutoff value is the smallest f-value of any node that exceeded the cutoff on the previous iteration
- Avoids overhead associated with keeping a sorted queue of nodes, and the open list occupies only linear space.
- Two new parameters:
  - curBound (any node with a bigger f-value is discarded)
  - smallestNotExplored (the smallest f-value for discarded nodes in a round); when Frontier becomes empty, the search starts a new round with this bound.
  - To compute “smallestNotExplored” most readily, expand all nodes with f-value EQUAL to the f-limit.

# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

$h(n)$  = number of misplaced tiles

blank tile is white



$$0 + 4 = g(n) + h(n) = 4$$

Cutoff=4



$$1 + 6 = g(n) + h(n) = 7$$



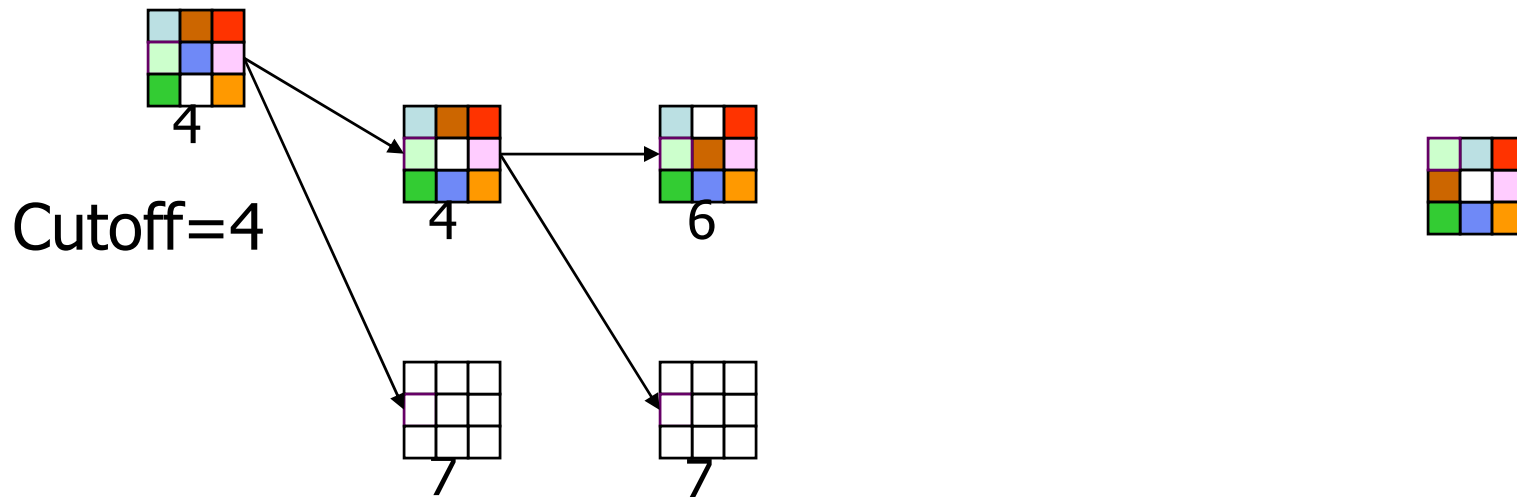


# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

## $h(n)$ = number of misplaced tiles

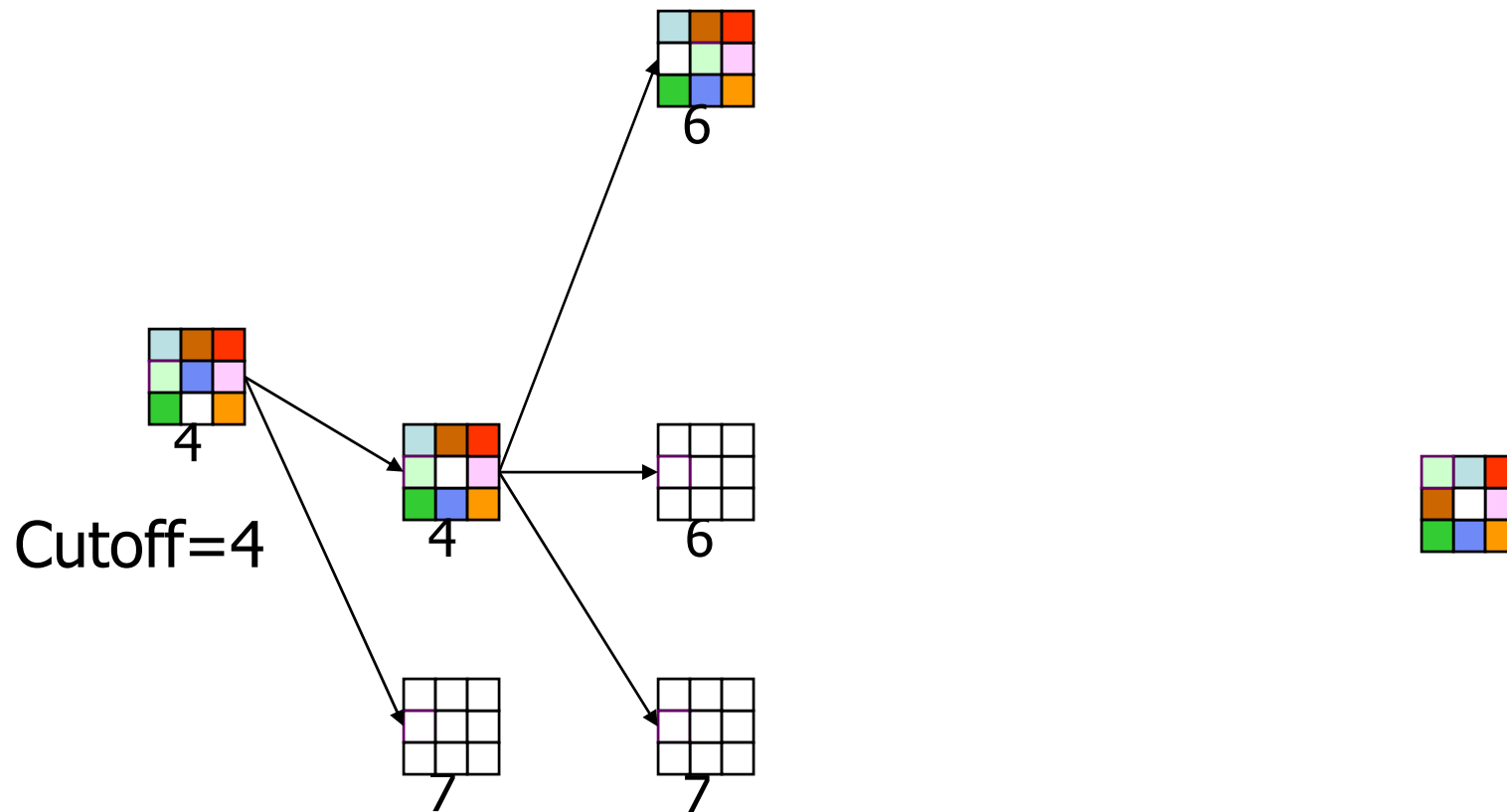
blank tile is white



# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

## $h(n)$ = number of misplaced tiles

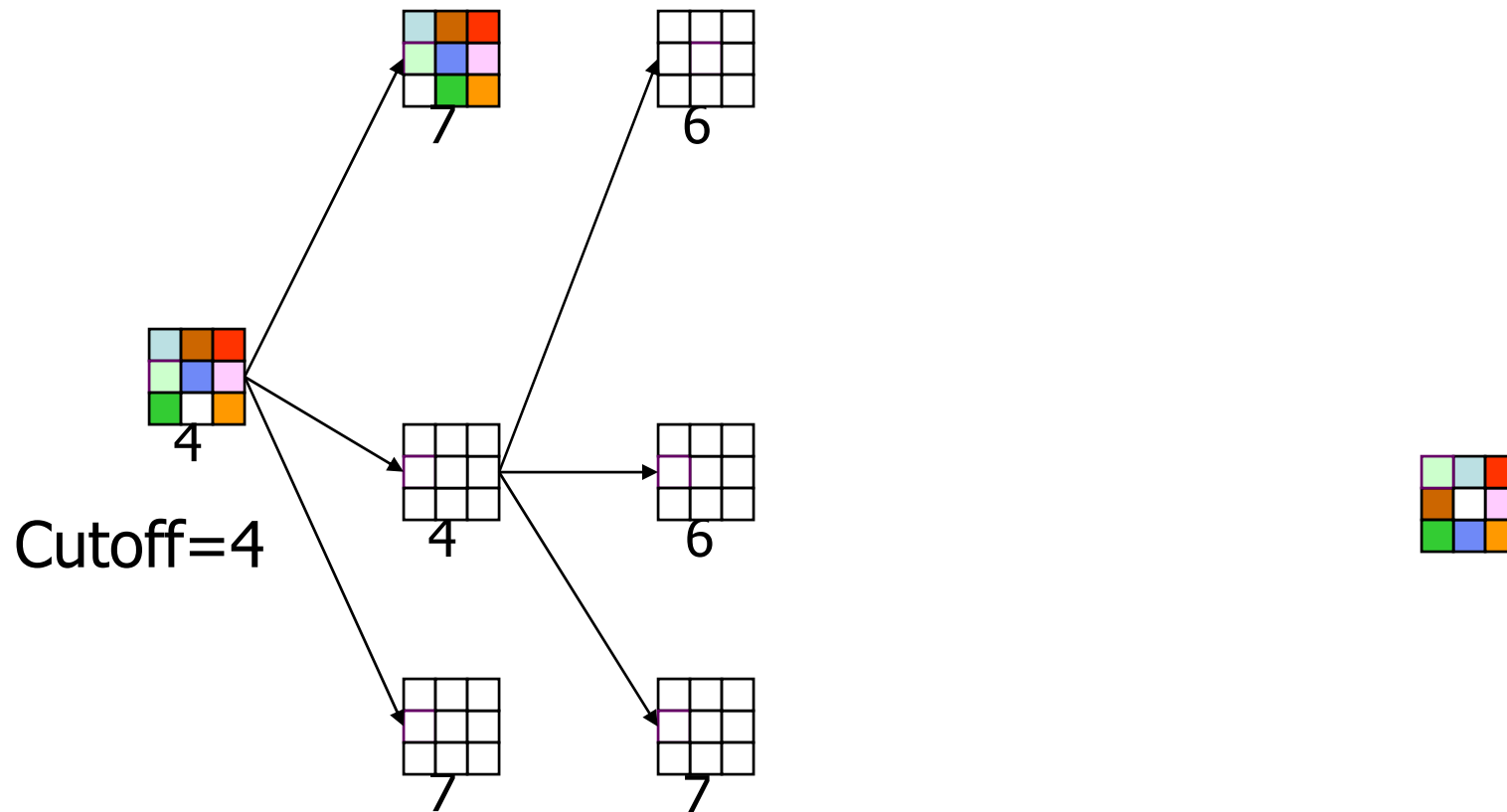




# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

$h(n)$  = number of misplaced tiles



# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

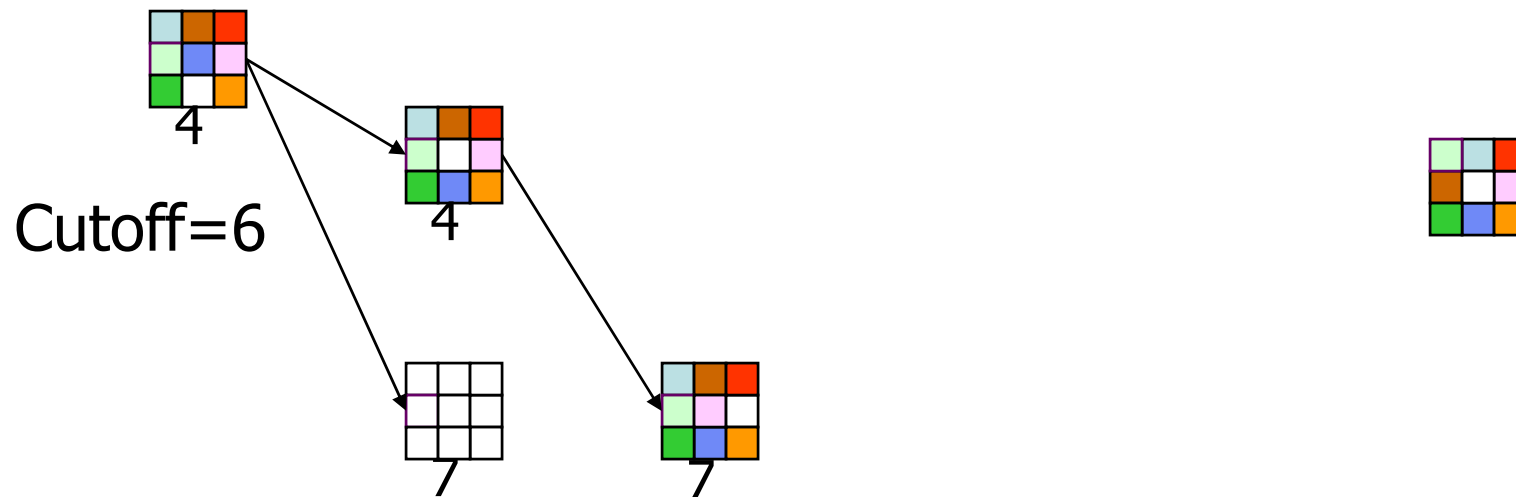
$h(n)$  = number of misplaced tiles



# IDA\* Example: 8-Puzzle

$$f(n) = g(n) + h(n)$$

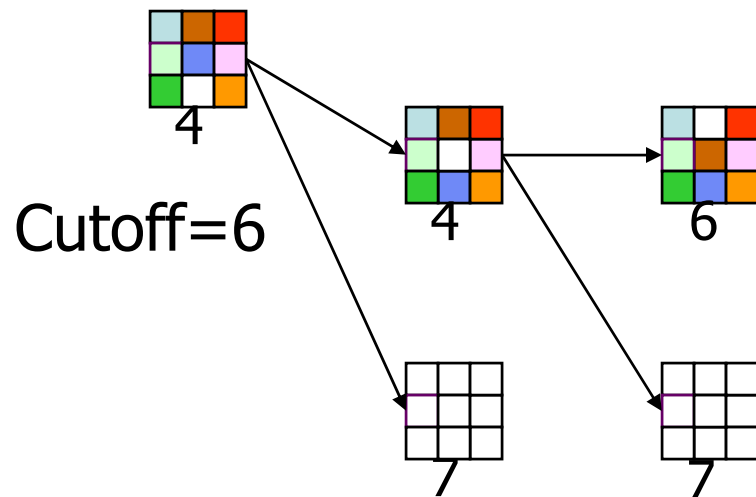
$h(n)$  = number of misplaced tiles



# 8-Puzzle

$$f(n) = g(n) + h(n)$$

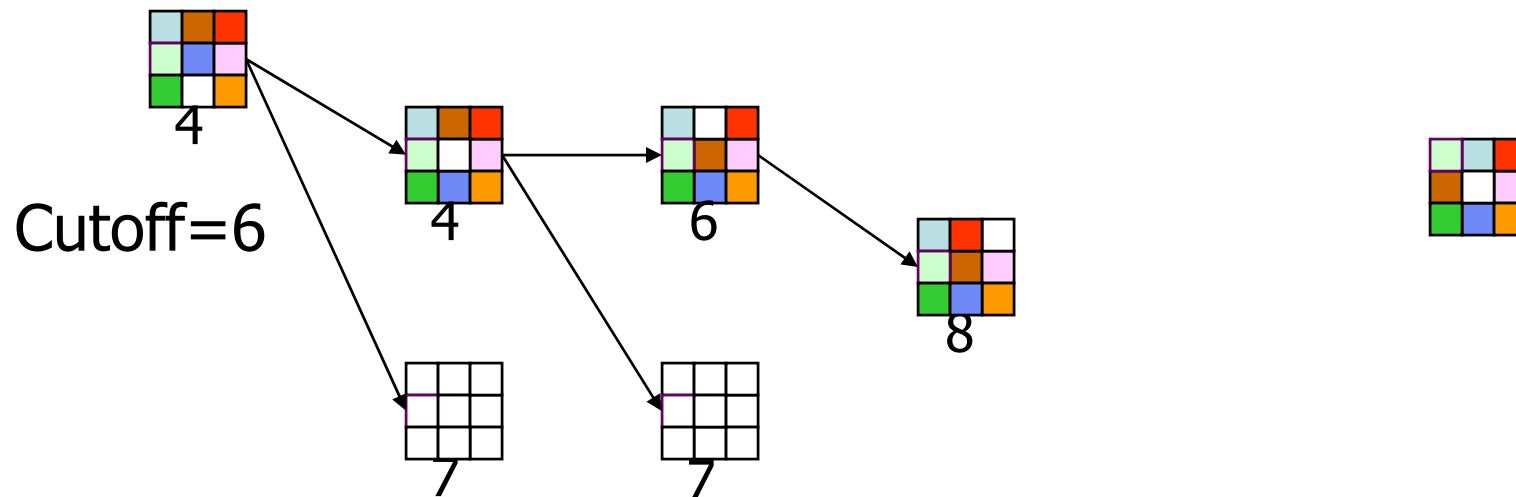
$h(n)$  = number of misplaced tiles



# 8-Puzzle

$$f(n) = g(n) + h(n)$$

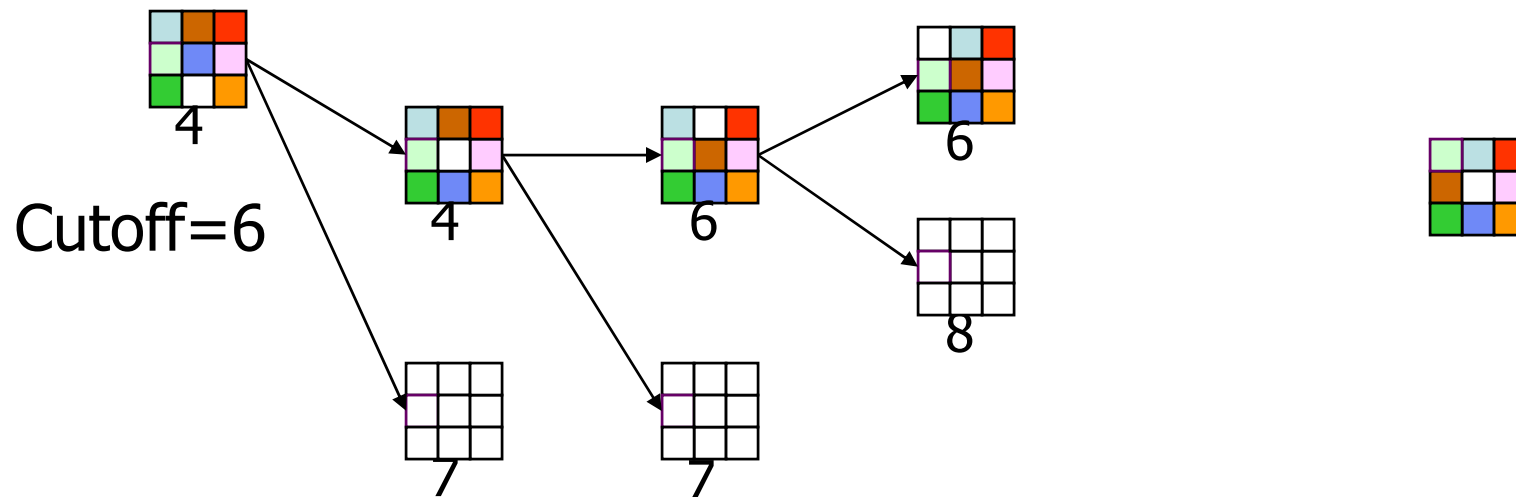
$h(n)$  = number of misplaced tiles



# 8-Puzzle

$$f(n) = g(n) + h(n)$$

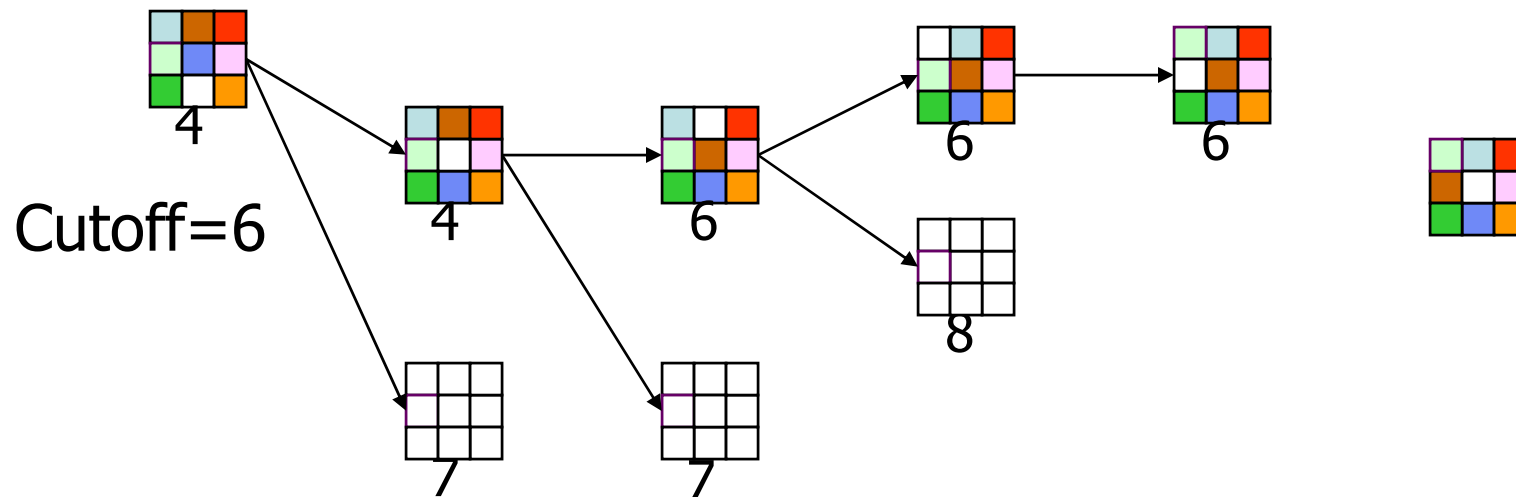
$h(n)$  = number of misplaced tiles



# 8-Puzzle

$$f(n) = g(n) + h(n)$$

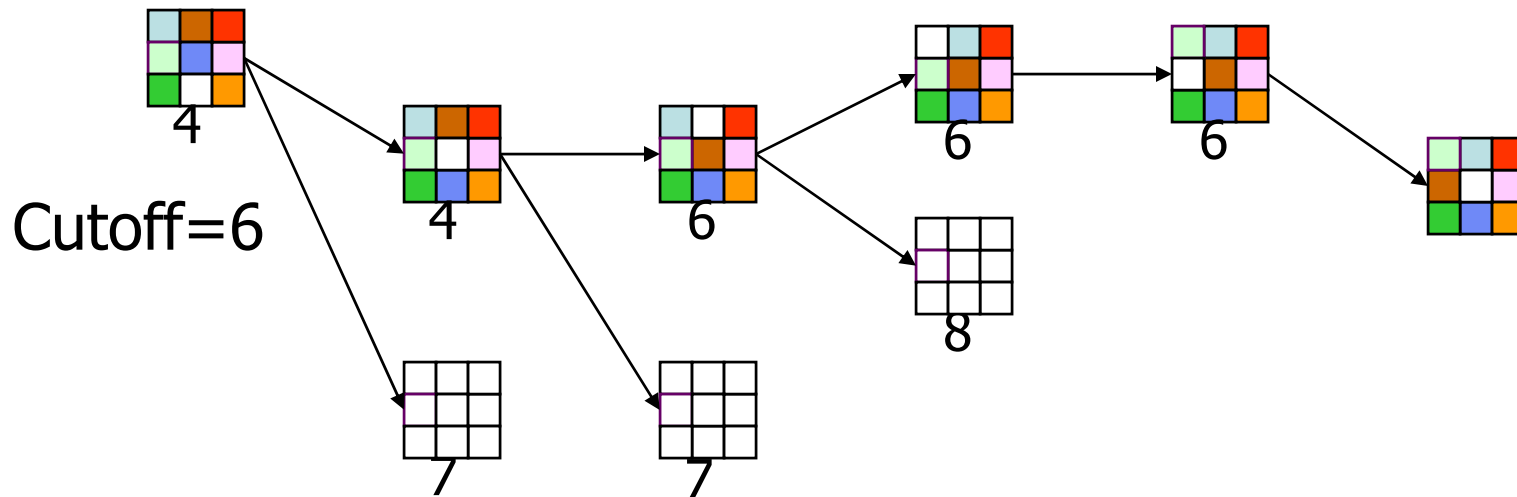
$h(n)$  = number of misplaced tiles



# 8-Puzzle

$$f(n) = g(n) + h(n)$$

## $h(n)$ = number of misplaced tiles





## Comparing Iterative Deepening with A\*

From Russell and Norvig

|  | For 8-puzzle, average number of states expanded over 100 randomly chosen problems in which optimal path is length... |            |                   |
|--|--|------------|-------------------|
|  | ...4 steps   | ...8 steps | ...12 steps       |
| Iterative Deepening (see previous slides)                    | 112  | 6,300      | $3.6 \times 10^6$ |
| A* search using "number of misplaced tiles" as the heuristic | 13   | 39         | 227               |
| A* using "Sum of Manhattan distances" as the heuristic       | 12   | 25         | 73                |

# IDA\* - Iterative Deepening A\*

- Optimal?
- Complete?
- Time and Space Complexity?
- Cycle Checking?