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## **1.0 About the Project**

### **1.1 Background**

In the rapidly evolving landscape of the digital era, the advent of extensive data collection and processing capabilities brought forth a pressing need for comprehensive legal frameworks to safeguard individual privacy rights. The catalyst for the global movement towards data protection laws was underscored by an increased awareness of the potential risks connected with unrestricted data handling.

One watershed moment in this evolution was the introduction of the General Data Protection Regulation (GDPR) in the European Union. Enacted in 2018, the GDPR set a global precedent for data protection, emphasizing principles such as transparency, accountability, and the rights of individuals over their personal data. The GDPR not only became a benchmark for responsible data management but also spurred a worldwide reassessment of data protection practices.

In East Africa, specifically in Kenya, Uganda, and Rwanda, the recognition of the transformative power of digital technologies prompted the formulation of data protection laws tailored to the regional context. These laws aim to strike a balance between fostering innovation and safeguarding the privacy rights of individuals.

Despite the existence of these legal frameworks, a significant gap persists in public and business understanding of the intricacies of data protection laws. The consequences of this knowledge gap are severe, with entities unknowingly risking substantial fines and even imprisonment for non-compliance. This alarming reality underscores the imperative for proactive education and awareness initiatives to bridge the divide between the legal requirements and the current state of knowledge among businesses and the public.

The genesis of this project arises from the recognition of this critical gap. Our initiative seeks to address the widespread lack of awareness and understanding of data protection laws, particularly in Kenya, Uganda, and Rwanda. The objective is to empower businesses and individuals with the knowledge and tools necessary to navigate the complexities of data protection compliance.

Harnessing the capabilities of Large Language Models (LLMs), our project endeavors to revolutionize the accessibility and comprehensibility of data protection information. LLMs serve as a powerful ally in breaking down complex legal jargon into digestible content, making compliance guidance more accessible to a broader audience. Through this innovative approach, we aim to not only raise awareness but also demystify the complexities of data protection laws, fostering a culture of informed compliance and responsible data management.

## **1.2 Project Description**

The project aims at creating widespread awareness and ensuring compliance with Data Protection Laws, particularly focusing on the unique contexts of Kenya, Uganda, and Rwanda. At its core, the initiative aims to empower businesses and individuals with the knowledge required to navigate the intricacies of data management responsibly. This is anchored in the development of innovative tools and resources. These are:

Large Language Model (LLM):

- An innovative LLM specifically designed for questioning and answering, providing users with a dynamic platform to seek clarity on Data Protection Laws. The LLM goes beyond conventional search methods, delivering precise and contextual information.

Legal Summarization:

- Our project introduces a groundbreaking legal summarization tool integrated with the LLM. This feature will distill complex legal texts, including acts and chapters, into concise and comprehensible summaries, making the legal landscape more accessible to a broader audience.

Interactive Chatbot:

- A user-engaging chatbot will be deployed to interactively guide users through queries and concerns related to Data Protection Laws. The chatbot serves as a virtual assistant, offering real-time assistance and fostering a more personalized learning experience.

A user Friendly website:

- All these tools will be seamlessly integrated into a user-friendly website, providing a centralized hub for individuals and businesses to access vital information on Data Protection Laws. The website's design prioritizes simplicity and navigability, ensuring a smooth user experience.

Beyond the digital realm, the project aims to adopt a multi-faceted approach to awareness creation. It employs engaging blogs, interactive workshops, and strategic collaborations with stakeholders such as data protection regulators and universities. By leveraging these diverse channels, the project seeks to create a holistic ecosystem where legal compliance and informed decision-making become second nature, driving a culture of responsibility and ethical data management in the digital sphere.

## **1.3 Project Objectives**

### **I. Raise Awareness**

The primary goal is to elevate awareness regarding Data Protection Laws among businesses and individuals in East Africa. We recognize the critical need for a well-informed public to navigate the complexities of data management responsibly. This objective aims to bridge the existing knowledge gap and ensure a comprehensive understanding of Data Laws.

## **II. Facilitate Compliance**

The project seeks to empower organizations, businesses and individuals with the knowledge, tools, and resources necessary to achieve effective compliance with Data Protection Laws. Compliance is not only a legal requirement but also a fundamental aspect of ethical and responsible data handling. This objective emphasizes the integration of ethical and responsible data management practices.

### **1.4 Funding and Collaboration**

#### **1.4.1 APHRC**

This project is funded by the African Population and Health Research Center (APHRC). With headquarters in Nairobi, Kenya, and a West Africa Regional Office (WARO) in Dakar, Senegal, APHRC has over the past two decades spearheaded impactful research initiatives that have profoundly shaped policies and practices across African nations. The collaborative ethos of this project aligns seamlessly with APHRC's mission to drive change through research led by a diverse cadre of research leaders from across sub-Saharan Africa. By supporting initiatives that bridge knowledge gaps and empower communities, the APHRC contributes to a more informed and resilient Africa.

#### **1.4.2 Jomo Kenyatta University of Agriculture and Technology (JKUAT)**

The project is proudly supported by the esteemed collaboration of Jomo Kenyatta University of Agriculture and Technology (JKUAT). As a distinguished institution renowned for its commitment to technological and scientific research, JKUAT stands as a beacon of innovation and knowledge creation. The university's emphasis on advancing knowledge and fostering innovation

aligns seamlessly with the project's goals of enhancing awareness and compliance with Data Protection Laws in East Africa.

By partnering with JKUAT, the project benefits from the university's wealth of expertise and its dedication to interdisciplinary research. JKUAT's contributions significantly strengthen the foundation of the project, emphasizing a shared commitment to advancing technological solutions and promoting ethical data management practices in the dynamic digital landscape.

## **2.0 Data Protection Acts -Overview**

### **2.1 Kenya**

#### **2.1.1 Introduction**

The Data Protection Act, enacted on November 25th, 2019, serves as a crucial legal framework for safeguarding data privacy in Kenya. This legislation intricately defines the control and processing of data, specifying who is authorized to handle personal data. While its primary jurisdiction is within Kenya, certain provisions of the act have far-reaching implications beyond national borders. Notably, sections addressing data controllers and processors extend their reach to individuals residing outside of Kenya involved in processing personal data for data subjects located in Kenya.

The act empowers individuals by granting them the right to information, allowing Kenyans to access, amend, import, or delete their personal data. Additionally, the act strictly prohibits the transfer of personal data to third parties without prior consent from the owner. Non-compliance with the Data Protection Act can result in significant penalties. Companies found infringing on the Act's provisions may face fines of up to five million Kenyan shillings.

The act establishes a system of penalties for companies, determining the fine as 1% of the company's annual turnover from the previous financial year. In cases where this percentage exceeds five million Kenyan shillings, the company must pay the larger of the two amounts, ensuring a minimum penalty of five million Kenyan shillings.

This multifaceted legislation not only establishes rights for individuals but also imposes stringent penalties to ensure compliance, reinforcing the commitment to robust data protection practices in Kenya.

### **2.1.2 Historical context leading to the enactment of the law.**

The first Data Protection Act was enacted in 1998 and was designed to safeguard personal data stored in computers or organized paper filing systems. Over the years, as technological advancements and data usage evolved, the need for a more comprehensive and updated legal framework became evident. The urgency of a comprehensive law heightened in response to public concerns raised during the Huduma Namba registration exercise. Critics voiced apprehensions about the government's collection of citizens' personal data. Eventually, after years of discussion, Parliament officially passed the Data Protection Act in November 8, 2019 and subsequently the president assented.

The 2019 Data Protection Act draws inspiration from the principles outlined in the General Data Protection Regulation (GDPR) established by the European Union in May 2018. Kenya is the third country in East Africa to have formulated a law in data protection.

### **2.1.3 Importance of data protection in Kenya's digital landscape.**

The Data protection act is a vital piece of legislation that protects user data, ensures transparency, and holds businesses accountable for data handling practices.

The act also prevents fraud and cybercrimes on personal data but also companies data. So by protecting data, companies can avert data breaches, damage to reputation, and can better address regulatory requirements.

The Data Protection Act is important because it lay out directions and best practice regulations for companies and the government to follow on how to use personal data including:

1. Regulating how one processes personal data
2. Protecting the rights of citizens and their personal data
3. Enabling the the Office of Data Protection (ODP) to enforce rules
4. Holding companies liable to fines in the event of a breach of the rules

### **2.1.4 Key Provisions of the Data Protection Act**

The Act is very broad based and covers all persons and entities.

#### **Key terms**

*Personal data* - this is information relating to a legal person

*Data controller*- A sound mind or legal person, state authority, government body or other body which, alone or jointly with others, determines the purpose and means of processing of personal data

*Data processor* - A sound mind or legal person, state authority, government body or other body which processes personal data on behalf of the data controller

*Sensitive personal data* - Information disclosing the legal person's religion, health position, ethnic social origin, moral sense, faith, genetic data, biometric data, wealth status, marital status, family information including names of the person's children, parents, spouse or spouses, sex or the sexual orientation of the citizen

#### **2.1.4.1 Essential sections.**

##### **Principles of data protection**

Every data controller or data processor should guarantee that personal data is—

- (a) processed in conformity with the right to privacy of the citizens data
- (b) processed legally, justly and in a transparent manner in relation to citizens data;
- (c) collected for clearly specified and legitimate reasons and not further processed in a manner incompatible with those purposes;
- (d) sufficient, applicable and narrowed to what is important in relation to the purposes for which it is processed;
- (e) collected only where a valid explanation is needed whenever information relating to family or private affairs is required;
- (f) correct and, where mandatory, kept up to date, with every reasonable stride being taken to ensure that any inaccurate personal data is deleted or rectified without delay;
- (g) stored in a form which identifies the citizen for not longer than is required for the purposes which it was collected for; and
- (h) not transferred outside Kenya, unless there is proof of adequate data protection safeguards or consent from the data subject.

##### **Collection of personal data**



- (1) A data controller or data processor shall collect personal data directly from the citizen in question
- (2) Despite sub-section (1), personal data may be collected indirectly where—
- (a) the information is contained in a public record;
  - (b) the citizen in question has decided to make the data public;
  - (c) the citizen in question has consented to the collection from another source;
  - (d) the citizen in question is not capable, the guardian appointed has consented to the collection from another source;
  - (e) the collection from another source would not prejudice the interests of the citizen in question;
  - (f) collection of data from another source is mandatory —
    - (i) for the prevention, detection, investigation, prosecution and punishment of crime;
    - (ii) for the application of a law which forces a pecuniary penalty; or
    - (iii) for the protection of the interests of the citizens
- (3) A data controller or data processor shall collect, store or use personal data for a purpose which is lawful, specific and explicitly defined.

### **Individual rights and protections.**

The act lays out the rights to citizens among which are the rights to access, delete, amend and consent with consideration to the collection and use of their data.

The protection of essential interests of the citizens is a legal basis for processing of personal data under the act.

Individual has a right—

- (a) to be enlightened of the use to which their personal data is to be put;
- (b) to access their personal data in custody of data controller or data processor;
- (c) to object to the processing of all or part of their personal data;
- (d) to amend any false or misleading data; and
- (e) to erase any false or misleading data about them

A right bestowed on an individual may be exercised—

- (a) where the individual is a minor, by a person who has parental authority or by a guardian;
- (b) where the individual has a mental or other disability, by a person duly authorised to act as their guardian or administrator; or
- (c) in any other case, by a person duly authorised by the individual.

## **2.1.5 How the law applies to businesses and organizations.**

### **2.1.5.1 Practical Compliance Guide for Businesses**

#### **MARKETING AND PROMOTIONS**

If you promote or market your business or venture directly to individuals whether by phone, SMS, or email you will need to adhere to marketing practices requirements of the Act. You need to be transparent with your clients. You cannot fetch your clients' contacts and send them promotional messages unless they know about it and have explicitly given consent.

As a business, you should provide an avenue for them to Opt-in and Opt-out at any time. Failing to do so is a breach of the Act and could expose you to penalties.

#### **THIRD-PARTY CONTRACTS**

As a Data Controller you keep the services of a Data Processor to help in managing your data, you need to know that you have complementary compliance responsibility. Take for example payroll processing companies or if you have used cloud based services, your contract should distinctly outline how data has been managed and processed for reporting breaches. Incase of mismatch

For example, if you work with a payroll processing company or you use cloud-based services to process your data, your contracts should clearly outline how data is managed and processed for reporting breaches. If there is a discrepancy of obligations you might be exposed through the acts or omissions of your processor.

#### **ENHANCED DATA SECURITY REQUIREMENTS**

The Act authorizes data controllers and processors to embrace strong security measures to secure personal data. Some of the measures prescribed in the Act include encryption, anonymisation and

pseudonymisation of data. Apart from these examples, there are several other strategies that businesses can adopt to ensure security.

## **INCIDENT MANAGEMENT**

If a breach has occurred to data personnel in your organization or company, you have the right to report the breach to the Data Commissioner. The Act forces strict reporting timelines on both the Data Controller and the Data Processor. If a personal data breach can present a real risk or threat to the individual the data controller must report to the Data Commissioner within seventy-two (72) hours of becoming aware of the breach. Likewise, a data processor must report a breach within forty-eight (48) hours of awareness. This shows that data controllers and processors have embraced seamless incident management processes to warrant compliance.

### **2.1.6 Enforcement and Penalties**

- Overview of regulatory bodies responsible for enforcement.
  - Penalties for non-compliance.
- 
- Register with the Data Commissioner
  - Respect individual privacy
  - Hold personal data with respect and confidential as stated in the act.
  - Respect the rights of personal data individual
  - Give an account to any personal data breaches to the Data Commissioner within timelines set out in the Act.

If you fail to observe the timeline, you face stiff penalties i.e. 1% of your annual turnover or Kes. 5 Million, whichever is lower as well as criminal sanctions. In addition, individual also have the right to try to find compensation from you for breach or loss of their personal data.

Common challenges and how to overcome them.

The act did not differentiate between automated decision making and profiling, and therefore the Act fails to provide for effective protections and rights in relation to both.

### 2.1.7 Other sector-specific pieces of legislation

- Health Sector

The act did not differentiate between automated decision making and profiling, and therefore the Act fails to provide for effective protections and rights in relation to both.

Data protection act initiated new standards for processing of personal data for which health data is a distinct classification, sensitive personal data. It is necessary that the act states the guidelines developed to guide the processing of health data to secure compliance with the agreement set in the DPA.

The act initiated principles that raised the standards of health data processing. The health authorities are needed to ensure that personal data is used fairly, lawfully and transparent and only if it mandatory for health issues.

The health sector has made into law the policies that require protection when health data is processed. All these laws and policies are required to be amended to ensure full compliance with data protection act.

The act requires the processing of data to ensure that security of personal data against unlawful and unauthorized loss.

The act also advocate for the rights of expression of an individual signifying agreement to the processing of personal data. DPA provide provisions on the conditions of consent. It also provides the rights of a patient capable with respect to the processing of their data. The act provides for the rights of a patient to include:-

Right to be informed on their use of personal data, access, object: the right to amend false data and right to erase misleading data.

The act also provides for data transfer outside Kenya only when its accordance with law.

- Financial sector

Financial sectors should ensure that they adhere to the act by automating all precessing of personal data that produces a decision with no intervation from human being. Every individual has a right to make decisions concerning data being processed.

- Consumer Protection Law

#### 2.1.8 Emerging Trends and Future Considerations

- Potential amendments to the law.
- Impact of technological advancements on data protection.
- Future developments in the field.

### 2.2 Uganda

What led to the enactment of the Data protection and Privacy Act

Data protection and Privacy Act, 2019 was enacted on 25<sup>th</sup> February 2019 by the President of Uganda. The act was made into law after a series of debates and arguments on what should be added and what should be removed. The bill was first mentioned in 2014, when unwanted witnesses engaged the government, parliament and stakeholders to lay out research on the bill then. From the engagement a number of recommendations were put across and international partners were copied. Nevertheless there were major gaps not tackled.

#### 2.2.1 Goals of Data Protection Act

The main purpose of DPPA is to protect the privacy of individuals and of personal data by regulating the collection and processing of personal information. The act also tries to provide for the rights of persons whose data is collected. Additionally, the Act applies to collection, possession and utilizing personal data within Uganda and data collected outside Uganda relating to Ugandan citizens.

Since the law was enacted it has not been tested against acceptable international standards beside local situations in east africa communities as gaps may exist.

### 2.2.2 Importance of protecting Sensitive information

The reason for protecting sensitive information of an individual is because if personal data falls into the wrong hands users can be harmed. Depending on the situation, they could become victims of identity theft, discrimination or even physical harm

Sensitive data needs to be protected in order to prevent that data from being misused by third parties for fraud. Additionally Data protection is crucial to deter cyber crimes by ensuring data of an individual and contact information are protected .

### 2.2.3 Some of the right principles in Data Protection Act

Data protection principles are provided for under article II of the DPPA. It spells out duties and responsibilities that an individual or data subject holding information shall comply with. Apparently, the DPPA states that an individual holding data including a data collector, processor, controller or any individual who collects, processes, holds or uses data shall follow the set principles. The principles of data protection include:-

Accountability to the individual - Accountability states that the data collector, controller or processor is able to put in place measures that will ensure that data is held, processed or otherwise used with respect to data protection principles.

Fairness and lawfulness is the core of data protection. It obligates that any data processing activities by a data collector, controller or processor must be undertaken in a way that respects the rule of law and that meets a legal ground for processing.

Adequacy and relevancy of data

Use of data as authorized by law

Ensuring quality of information collected, processed or held

Transparency and participation of data subject

Ensuring safety and security of the data

## 2.3 Rwanda

The Data Protection and Privacy Act was enacted and officially gazetted on October 15, 2021. This law protects personal data and ensures privacy of individuals in Rwanda. One of the principles of this law is the transparent and obvious consent of an individual to the collection, storage, and processing of personal data, which is a basic right.

The act brought Rwanda in line with international data protection standards, essential for modern digital economy easing services such as e-commerce, international financial transactions, and various online services.

The main purpose of this law is to:

- Allow citizens with the business over their personal data
- Authorize trusted and secure data flow, locally and internationally
- Provide regulatory certainty for existing businesses and prospective investors, and an enabling environment for SME growth
- expedite Rwanda's ambitions towards a technology-enabled and data-driven economy

This Law direct at the protection of personal data and privacy and determines their processing  
This act is relevant to:

1. the processing of personal data by electronic or other means using personal data through an automated or non-automated platform;
2. the data controller, the data processor or a third party who
  1. Accepted or resides in Rwanda and processes personal data while in Rwanda;
  2. is not established or resides in Rwanda, but processes personal data of data subjects located in Rwanda.

### **Key provisions of the law**

The act prohibits data being transferred to third parties unless they are authorized by the National Cyber Security Authority (NCSA).

The act requires all personal data to be stored in Rwanda except for registered entities with NCSA-issued certificates to store data abroad.

The act directs data controllers and processors to keep a record of personal data-processing undertakings and submit the data to NCSA upon request.

The law mandates data controllers and processors to provide data protection impact assessments (DPIAs) when processing poses a high risk to individuals' rights.

The law requires data processors to inform the data controllers of a data breach within 48 hours of discovery. It also requires a data controller to notify NCSA within 48 hours of becoming aware of

a breach. The data controller must inform the subject of the data breach, unless the breach is communicated to the public.

The act mandates parent or guardian's consent before the personal data of a minor under 16 can be processed. It also expresses that consent is acceptable only if it's in the minor's interest. Nevertheless, consent is not required if processing the data is important to the minor's welfare.

The act permits individuals the right to revoke consent at any time.

The act also requires that anyone who intends to process data must register with the NCSA and be granted a data protection and privacy (DPP) certificate.

### 2.3.1 Impact of the acts on Rwandans

The act makes Rwanda the 35th African country to have adopted data policy law and the 30th to have a data protection authority

The law is anticipated to help increase individuals' confidence in Rwanda. When people are confident that their data is handled responsibly, they are more likely to engage with online services and share their information, this will drive economic growth and innovation in the country.

Additionally, strict data privacy laws can facilitate international trade and data sharing. This is because countries with robust data protection laws are often deemed safe for cross-border data transfers, a requirement in today's globalized economy.

Appointment of Rwanda data protection authority NCSA, to oversee and enforce its data privacy and protection law is expected to help reduce the frequency and impact of data breaches in the country.

### 2.3.2 Data Protection Principles

The act obligate that data controllers and processors to make sure the accomplishment of the following data protection principles:

- Personal data to be processed lawfully, fairly, and transparently.
- Personal data to be collected only for clear, specified, and legal purposes.
- Personal data to be kept accurate and up-to-date.



- Personal data to be kept not longer than is necessary for the purposes of processing.
- Personal data to be processed in compliance with the rights of the individual.

### 2.3.3 Individuals' rights

Rwanda's Data Privacy Law provides restrictions to the individuals over their personal data by providing them the following rights:

- Right to information.
- Right to access.
- Right to object.
- Right to personal data portability.
- Right to not be subject to automated decision-making.
- Right to restriction of processing.
- Right to erasure.
- Right to amend.
- Right to designate an heir to personal data.
- Right to representation.

### 2.3.4 Cross Border Data Transfers

Storing Personal data outside Rwanda is permitted only if the data controller or the data processor holds a valid registration certificate authorizing him or her to store personal data outside Rwanda. The regulatory authority then issues such a certificate.

Furthermore, the law requires that cross-border data transfers are permitted under one of the following circumstances:

- Authorization from the regulatory authority after providing proof of proper safeguards with respect to the protection of personal data,
- Where the individual has provided his/her consent.

- Where a transfer is necessary for the performance of a contract, public interests grounds, the exercise of a legal claim, protection of essential interests of the individual legal interests of the controller, or for the performance of international instruments approved by Rwanda.

Failure to comply with the law may result in administrative fines on data controllers, data processors, and third parties.

## 2.4 Regulatory Body

To ensure smooth execution, the National Cyber Security Authority, the supervisory authority as per the law

## 3.0 Compliance Issues

### 3.1 Data Consent:

#### 3.1.1 Kenya

In Kenya, the Data Protection Act of 2019 places a strong emphasis on obtaining explicit and informed consent when processing personal data. For instance, when dealing with online forms or subscription services, organizations should adopt transparent language, clearly articulating the purposes for which data will be processed. Practical steps include:

- **Clear Language:** Use language that is easily understandable and avoids ambiguity. Clearly articulate the reasons for collecting personal information.
- **Opt-In Mechanism:** Implement an opt-in mechanism where individuals actively confirm their consent, rather than relying on pre-selected checkboxes.
- **Granular Consent:** If collecting data for multiple purposes, provide granular options for individuals to consent to each specific use.
- **Withdrawal Process:** Communicate a straightforward process for individuals to withdraw their consent at any time.

### **3.1.2 Uganda**

In Uganda, compliance with data protection regulations involves obtaining clear and explicit consent. For instance, when dealing with online forms or subscription services, organizations should adopt transparent language, clearly articulating the purposes for which data will be processed. Practical steps include:

- **Transparency in Communication:** Use straightforward and transparent language in consent forms, ensuring individuals understand the intended use of their data.
- **User-Friendly Opt-In:** Implement user-friendly opt-in mechanisms where individuals actively agree to the processing of their data.
- **Consent Records:** Maintain records of consent to demonstrate compliance, including details such as when and how consent was obtained.

### **3.1.3 Rwanda**

In Rwanda, adherence to data protection laws requires organizations to secure informed consent. When dealing with online forms or subscription services, organizations should focus on:

- **Comprehensive Information:** Provide comprehensive information about the data processing activities, ensuring individuals are well-informed.
- **Accessible Consent Options:** Offer accessible options for individuals to provide or withdraw consent, promoting user control.
- **Consent Renewal:** Periodically review and renew consent, especially for long-term processing activities.

## **3.2. Data Security Measures**

### **3.2.1 Kenya**

The Data Protection Act in Kenya mandates organizations to implement robust data security measures. For example, encryption should be employed to protect sensitive data during transmission and storage. Access controls must be in place to restrict unauthorized access, and secure storage practices involve ensuring that physical and digital storage environments are safeguarded. Practical examples include:

- Encryption Protocols: Utilize industry-standard encryption protocols such as SSL/TLS for secure data transmission.
- Access Authorization: Implement access controls to restrict data access based on roles, preventing unauthorized access.
- Incident Response Plan: Develop an incident response plan outlining steps to take in case of a data security incident.

### **3.2.2 Uganda**

Uganda's data protection regulations necessitate robust data security measures. Organizations should implement encryption for secure data transmission, strict access controls, and secure storage practices. Practical examples include:

- Encryption Technologies: Utilize encryption technologies like VPNs or SSL/TLS for securing data in transit.
- Access Authorization: Implement access controls to restrict data access based on roles, preventing unauthorized access.
- Incident Response Plan: Develop an incident response plan outlining steps to take in case of a data security incident.

### **3.2.3 Rwanda**

In Rwanda, legal provisions emphasize the importance of data security. Organizations should consider practical measures such as:

- Secure Storage Solutions: Utilize secure cloud storage solutions with built-in security features.
- Regular Security Audits: Conduct regular security audits to identify and address vulnerabilities.
- Employee Training: Provide ongoing training to employees on data security best practices.

## **3.3. Data Retention**

### **3.3.1 Kenya**

Uganda's data protection laws provide guidelines on responsible data retention. Organizations should establish clear data retention policies and adhere to industry-specific considerations. Practical steps include:

- **Policy Development:** Develop a data retention policy outlining the duration for retaining different types of data.
- **Regular Auditing:** Conduct regular audits to ensure compliance with the data retention policy.
- **Sector-Specific Guidelines:** Consider industry-specific guidelines or regulations that may impact data retention practices.

### 3.3.2 Uganda

Uganda's data protection laws provide guidelines on responsible data retention. Organizations should establish clear data retention policies and adhere to industry-specific considerations. Practical steps include:

- **Policy Development:** Develop a data retention policy outlining the duration for retaining different types of data.
- **Regular Auditing:** Conduct regular audits to ensure compliance with the data retention policy.
- **Sector-Specific Guidelines:** Consider industry-specific guidelines or regulations that may impact data retention practices.

### 3.3.3 Rwanda

Rwanda's legal framework emphasizes responsible data retention practices. Practical measures include:

- **Policy Implementation:** Implement a data retention policy aligned with Rwandan regulations.
- **Secure Disposal:** Establish secure methods for disposing of data that is no longer needed.
- **Compliance Checks:** Regularly check and update data retention practices to ensure ongoing compliance.

### **3.4. Data Processing**

#### **3.4.1 Kenya**

In Kenya, organizations must adhere to principles of fairness and transparency in data processing.

Examples of lawful data processing activities include:

- **Clear Communication:** Clearly communicate to individuals the specific purposes for processing their data.
- **Consent Mechanisms:** Ensure data processing activities align with the consent obtained from individuals.
- **Regular Compliance Checks:** Conduct regular compliance checks to ensure adherence to data processing regulations.

#### **3.4.2 Uganda**

In Uganda, organizations must adhere to principles of fairness and transparency in data processing.

Examples of lawful data processing activities include:

- **Clear Communication:** Clearly communicate to individuals the specific purposes for processing their data.
- **Consent Mechanisms:** Ensure data processing activities align with the consent obtained from individuals.
- **Regular Compliance Checks:** Conduct regular compliance checks to ensure adherence to data processing regulations.

#### **3.4.3 Rwanda**

Rwanda's regulations on data processing activities emphasize lawful and transparent practices.

Practical steps include:

- **Purpose Limitation:** Ensure data processing activities are limited to the purposes for which the data was collected.
- **Data Minimization:** Collect only the data necessary for the intended purpose, adhering to the principle of data minimization.

- Internal Training: Provide training to employees involved in data processing to ensure ongoing compliance.

### **3.5. Data Subject Rights**

#### **3.5.1 Kenya**

Individuals in Kenya have various rights under data protection regulations. Practical steps include:

- Accessible Processes: Establish accessible processes for individuals to exercise their rights, such as providing online forms or contact information.
- Timely Responses: Commit to responding to data subject requests promptly, as required by the law.
- Educational Campaigns: Conduct educational campaigns to raise awareness about individual rights and how to exercise them.

#### **3.5.2 Uganda**

Individuals in Uganda have various rights under data protection regulations. Practical steps include:

- Accessible Processes: Establish accessible processes for individuals to exercise their rights, such as providing online forms or contact information.
- Timely Responses: Commit to responding to data subject requests promptly, as required by the law.
- Educational Campaigns: Conduct educational campaigns to raise awareness about individual rights and how to exercise them.

#### **3.5.2 Rwanda**

Rwanda's legal framework grants individuals rights regarding their personal data. Practical steps include:

- Clear Communication Channels: Establish clear communication channels for individuals to exercise their rights.

- Educational Programs: Conduct educational programs to inform individuals about their rights under data protection laws.
- Record Keeping: Maintain records of data subject requests and responses to demonstrate compliance.

### **3.6. Data Protection Impact Assessment**

#### **3.6.1 Kenya**

In Kenya, organizations must conduct Data Protection Impact Assessments (DPIAs) for high-risk processing activities. Practical steps include:

- Risk Identification: Identify potential risks associated with data processing activities, considering factors like the scale and sensitivity of the data.
- Mitigation Strategies: Implement mitigation strategies to address identified risks, such as anonymization or encryption.
- Documentation: Thoroughly document the DPIA process, including risk assessments, mitigation strategies, and final recommendations.

#### **3.6.2 Uganda**

In Uganda, organizations must conduct Data Protection Impact Assessments (DPIAs) for high-risk processing activities. Practical steps include:

- Risk Identification: Identify potential risks associated with data processing activities, considering factors like the scale and sensitivity of the data.
- Mitigation Strategies: Implement mitigation strategies to address identified risks, such as anonymization or encryption.
- Documentation: Thoroughly document the DPIA process, including risk assessments, mitigation strategies, and final recommendations.

#### **3.6.3 Rwanda**

Rwanda's legal provisions require organizations to perform DPIAs for certain processing activities. Practical measures include:



- **Stakeholder Involvement:** Involve relevant stakeholders in the DPIA process to gather diverse perspectives.
- **Continuous Monitoring:** Continuously monitor the impact of data processing activities and adjust mitigation measures as needed.
- **Publication of Results:** Consider publishing DPIA results (while respecting privacy) to demonstrate transparency.

### **3.7. Data Protection Officer Requirements**

#### **3.7.1 Kenya**

In Kenya, the Data Protection Act outlines scenarios requiring the appointment of a Data Protection Officer (DPO). Practical steps include:

- **Mandatory Appointments:** Appoint a DPO in scenarios outlined by the law, such as large-scale processing or processing sensitive data.
- **Qualification Standards:** Ensure the appointed DPO possesses the required qualifications and expertise in data protection.
- **Internal Collaboration:** Facilitate collaboration between the DPO and internal stakeholders for effective data protection integration.

#### **3.7.2 Uganda**

In Uganda, the Data Protection and Privacy Act also mandates the appointment of a Data Protection Officer (DPO) in certain scenarios. Practical steps include:

- **Mandatory Appointments:** Appoint a DPO in scenarios specified by the law, such as large-scale data processing or processing sensitive information.
- **Qualification Standards:** Ensure the appointed DPO possesses the required qualifications and expertise in data protection.
- **Internal Collaboration:** Facilitate collaboration between the DPO and internal stakeholders for effective data protection integration.

#### **3.7.3 Rwanda**

Rwanda's legal framework similarly mandates the appointment of a Data Protection Officer (DPO) in certain scenarios. Practical measures include:

- **Mandatory Scenarios:** Appoint a DPO in situations specified by the law, such as large-scale data processing or processing sensitive information.
- **Continuous Training:** Ensure the DPO receives ongoing training to stay informed about changes in data protection laws.
- **Reporting Mechanisms:** Establish clear reporting mechanisms for the DPO to communicate with senior management.

## **4.0 Case studies**

### **4.1 Safe Boda**

The National Information Technology Authority, Uganda (NITA-U), which is the country's data regulator, conducted its first-ever data protection investigation into the operations of Guinness Transporters Limited, trading as SafeBoda.

The investigation revealed that SafeBoda had been sharing people's personal data with third parties without the knowledge and consent of consumers, which is a violation of fundamental data protection principles. Specifically, SafeBoda's Data Privacy Policy and Data Protection Policy versions of 2017 and 2019 were found to be non-transparent and failed to provide information on third-party recipients of users' personal data.

NITA-U concluded that SafeBoda had unlawfully disclosed personal data to a third party, CleverTap, a data processor that offered software as a service for customer lifecycle management and mobile marketing. This disclosure contravened Section 35 of the Data Protection and Privacy Act, 2019, likely affecting millions of users.

As a result, NITA-U ordered SafeBoda to make fundamental reforms regarding the sharing of people's personal data with third parties. SafeBoda was given until the end of May 2021 to amend its privacy notices to provide people with specific and informed consent, particularly to

clearly inform its customers of the third parties it may disclose their personal data to. SafeBoda was also required to specify safeguards in place for cross-border transfer of personal data<sup>1</sup>.

This investigation and its outcomes represent a significant step towards restraining data exploitation and protecting personal data in Uganda.

**Source:** <https://www.apc.org/en/news/privacy-wins-ugandas-data-regulator-finds-data-controller-unlawfully-disclosed-data-third-party>

#### **4.2 Ondieki v. Maeda case**

This article from Bowmans discusses a decision issued by the High Court of Kenya regarding the violation of the right to privacy in the context of domestic CCTV systems.

“On May 31, 2023, in the case of *Ondieki v. Maeda* (Petition E153 of 2022), the High Court allowed a petition on violation of the constitutional right to privacy in the context of the installation of CCTV cameras in a residential area. The respondent, Ondieki, had installed CCTV cameras on her premises for security purposes. The petitioner, Maeda, who is the adjacent neighbor of the respondent, lodged a petition claiming that the CCTV camera installation was done in a manner that breached his right to privacy. The petitioner stated that the cameras were positioned in a manner that could spy, monitor, and record the images of his property and individuals on it.

The High Court stated that, as per the Kenyan Data Protection Act (DPA), the respondent was deemed a data controller processing personal data through her CCTV of the petitioner as a data subject. Based on this view of the respondent being a data controller, the High Court held that the respondent was required to be registered with the Data Commissioner and to have sought the petitioner’s consent to collect data through the CCTV cameras.

Ultimately, the High Court, in allowing the claim, made a declaratory order that the actions of the respondent violated the petitioner's rights under Article 31 of the Constitution and his rights as a data subject under the DPA."

**Source:**

<https://bowmanslaw.com/insights/data-protection/kenya-the-high-court-and-the-office-of-the-data-protection-commissioner-issue-decisions-on-complaints-and-the-right-to-privacy-in-the-use-of-cctv-cameras/>

### **4.3 Oppo**

The Office of the Data Protection Commissioner (ODPC) in Kenya issued its first penalty against OPPO Kenya on December 21, 2022. The penalty, amounting to KES 5 million (USD 40,600), was imposed due to OPPO Kenya's failure to comply with an enforcement notice.

The enforcement notice was issued after OPPO Kenya infringed on a complainant's privacy by using their photo on the company's Instagram account without the complainant's consent. Despite the enforcement notice, OPPO Kenya failed to develop a policy in compliance with Section 37 of the Data Protection Act. This section stipulates that personal data should not be used for commercial purposes unless consent has been obtained from the data subject or as permitted under any written law.

This penalty underscores the ODPC's determination to enforce Kenya's data protection laws. It also highlights the importance of companies complying with these laws to avoid penalties.

**Source:**

<https://cms.law/en/ken/news-information/odpc-in-kenya-cracks-the-whip-on-non-compliance>

#### **4.4 Lack of Consent cases**

The Office of the Data Protection Commissioner (ODPC) in Kenya has issued a number of penalty fines that set a crucial precedent in the enforcement of data privacy rights and compliance with the Data Protection Act.

The first penalty was issued to Mulla Pride Ltd., a digital credit provider operating the KeCredit and Faircash mobile lending apps. They were fined KES 2,975,000 for misusing personal information obtained from third parties.

The second entity to be penalized was Casa Vera Lounge, a popular restaurant in Nairobi. They were fined KES 1,850,000 for posting a customer's image on their social media platform without the data subject's consent.

The third case, Roma School, an educational institution in Uthiru, was fined KES 4,550,000 for posting pictures of minors without obtaining parental consent.

The fourth case, Whitepath, a digital credit provider, was fined KES 5 million for violating data protection regulations. The fine was imposed by the ODPC after an investigation revealed that their application accessed mobile phone contacts from their loanees phones and sent unwarranted and unsolicited text messages to these contacts. The Act requires companies to obtain explicit consent from individuals before collecting and using their personal data.

These penalties highlight the commitment of the ODPC to ensure that personal data is processed in accordance with the provisions of the Act. To learn more about these cases and the implications for data privacy in Kenya.

**Source:**

<https://www.plugmedia.co.ke/2023/09/data-protection-crackdownodpc-strikes-with-huge-fine-for-unauthorized-social-media-post/>

<https://www.businessdailyafrica.com/bd/corporate/companies/whitepath-regus-slapped-with-sh5m-fine-for-breaching-data-laws-4196536>

## **4.5 MTN Rwanda**

“In a significant move, the Rwanda Utilities Regulatory Authority (RURA) imposed a hefty fine of 7 billion francs (approximately \$8.5 million) on MTN Rwanda, a division of South Africa's MTN Group. The fine was levied for running its IT services outside the country, which is a breach of its license.

MTN Rwanda had been hosting its IT services hub in Uganda, despite being explicitly prohibited from doing so. The regulator stated that this relocation of IT services outside Rwanda was a deliberate act.

MTN Rwanda, which claims to be the leading mobile operator in the central African country with 4 million subscribers, is 80 percent owned by MTN Group. The remaining 20 percent is listed on the Rwanda Securities Exchange.”

To learn more about the case and its implications, you can read the full article.

### **source:**

<https://www.reuters.com/article/rwanda-telecoms-idUSL8N1IJ2IJ/>

## **5.0 Data Protection tools**

These are techniques used in protecting data

### **Data Discovery**

One of the tools for data protection is data discovery. This tool helps identify confidential data and where they are kept, this helps in deciding the right way to secure data

### **Data Loss Prevention (DLP)**

Data loss prevention (DLP) is another tool for data protection, it is used to prevent sensitive information from unauthorized access, leakage or theft. This helps companies to control their data

### **DLP Policies**

Designing and applying DLP policies is a crucial first step in protecting your data. These policies define the rules and procedures for handling sensitive information and should be customized towards companies specific needs.

### **Storage with Built-in Data Protection**

Selecting the right storage solution is essential for ensuring the safety of your data. The current storage technologies are furnished with built-in data protection features, offering multi layers of security.

### **Access Controls**

Eventually, storage systems with built-in data protection often include granular access controls, authorize you to restrict who can access your data and under different situation. This can help prevent unauthorized access and maintain the confidentiality of your information.

### **Backup**

Backing up your data is a foundational feature of data protection. Regular backups ensure that you can quickly recover your information in the event of data loss or corruption.

### **Snapshots**

Snapshots offer an additional layer of protection for your data by creating point-in-time copies of your systems and files. These snapshots can be used to quickly restore your data in the event of a security

### **Firewalls**

Firewalls play a crucial role in data protection by acting as a barrier between your internal systems and the outside world. They can help prevent unauthorized access and protect your data from various threats.

### **Intrusion Detection and Prevention**

Latest firewalls incorporate intrusion detection and prevention features, which can identify and block potential threats before they can reach your machines.

### **Multi-Factor Authentication**

Multi-factor authentication (MFA) adds an extra layer of security by allowing the individual to provide two or more forms of identification to access your data. This can be one time password, finger print or security token.

### **Encryption**

Encryption is the process of making data unreadable by adding a code that can only read by authorized person. This tool is a crucial component of data protection, as it can help prevent data theft or unauthorized access.

### **Antivirus and Anti-Malware**

Antivirus and anti-malware software are crucial components of endpoint protection, developed to detect and remove malicious software from your devices.

### **Device Management**

Endpoint protection can also involve device management, which allows you to track and control your endpoints from a central location. This can include monitoring device activity, restricting access to certain applications, and remotely wiping devices in the event of theft or loss.

### **Data Destruction Policies**

Start data destruction policies which is very important for making sure that sensitive information is properly erased when it is no longer needed. These policies should outline the procedures for erasing data and the types of data that require secure erasure.

## **5.3 Data Anonymization Tools**

Data anonymization tools permit data stakeholders to change or remove confidential information like credit cards, medical records and more from a given dataset. By doing so, data anonymization tools make it unreadable and determine the individual to whom the data belongs. Most companies that collect, store, handle, or transfer confidential data generally use some form of data anonymization.

The tools automate the process of identity protection, and are generally based on one of;

**Synthetic data generation** which replaces, instead of altering, original datasets, with algorithms that generate false datasets.

Scrambling, which unsystematically merges the characters in a specific dataset.

**Pseudonymization**, which replaces individual identifiers with fake ones, called pseudonyms.

**Generalization**, which erases certain data elements to make identification difficult, while maintaining its purpose.

**Shuffling**, which repositions and exchanges dataset attributes.

**Perturbation**, which alters a dataset by adding random noise, or rounding numbers.



## 6.0 Data Ethics

This law applies to the ethical handling of personal data, according to individuals the right to control how their information is used.

### 6.1 Key Principles of Data Ethics:

**Permission:** The principle of authorization emphasizes the importance of obtaining individuals consent before collecting their data. Ethical data collection requires individuals to be in control of their data and have the right to decide whether to share it or not.

**Transparency:** Being transparent about how data will be used, stored, and collected is crucial. Companies need to clearly communicate their data practices to users, enabling them to make informed decisions about sharing their data. Lack of transparency can lead to reputational damage and legal issues.

**Privacy:** Data privacy ensures that individuals have control over how their Personal Identifiable Information (PII) is collected and used. PII includes sensitive information such as names, birthdates, and phone numbers. Companies need to implement measures to protect this data from unauthorized access or breaches.

**Intentions and Outcome:** Ethical data usage requires companies to have good intentions and consider the potential outcomes of their data practices.

### 6.2 Importance of Data ethics

To see to it that people's data is only collected and used with their consent and for its intended purpose which protects people's privacy and data security.

It brings equity and openness in the use of data to keep away harm and inequality.

Demonstrate to stakeholders and users that businesses value their data and privacy rights.

Companies can keep away legal and reputational risks and help build a more reliable adhering to ethical data practice.

## **7.0 Sectors specific laws**

### **Kenya**

#### **7.1 Health Act 2017. Act No. 21 of 2017**

The Health Act was enacted in June 2017 to establish a joint health system, to coordinate the bond between the national government and county government health systems, to provide for the act of health care service and health care service providers, health products, and health technologies, and for connected purposes.

The Act acknowledges the right to privacy is recognized in the context of standards of health. The Act recognizes the right to be treated with dignity and respect and have their privacy respected in accordance with the provisions of the constitution and the Act.

Section 9. (1) No specified health service may be provided to a patient without the patient's informed consent unless—

- (a) the patient is unable to give informed consent and such consent is given by a person- mandated by the patient in writing to grant consent on his or her behalf; or authorized to give such consent in terms of any law or court order;
- (b) the patient is unable to give informed consent and no person is mandated or authorized to give such consent, but the consent is given by the next of kin;
- (c) the provision of a health service without informed consent is authorized by an applicable law or court order;

#### **7.2 Access to Information Act 2016**

##### **7.2.1 Right to information**

(1) the main purpose to this Act and any other written law, every citizen has the right of access to information held by—

- (a) the State; and

(b) another person and where that information is required for the exercise or protection of any right or fundamental freedom.

(2) Subject to this Act, every citizen's right to access information is not affected by—

(a) any reason the person gives for seeking access; or

(b) the public entity's belief as to what are the person's reasons for seeking access.

(3) Access to information held by a public entity or a private body shall be provided expeditiously at a reasonable cost.

(4) This Act shall be interpreted and applied on the basis of a duty to disclose and non-disclosure shall be permitted only in circumstances exempted under section 6.

(5) Nothing in this Act shall limit the requirement imposed under this Act or any other written law on a public entity or a private body to disclose information.

### **7.2.2 Disclosure of information by public entities**

(1) Subject to section 6, a public entity shall—

(a) facilitate access to information held by such entity and which information may include—

(i) the particulars of its organization, functions and duties;

(ii) the powers and duties of its officers and employees;

(iii) the procedure followed in the decision making process, including channels of supervision and accountability;

(iv) salary scales of its officers by grade;

### **7.2.3 Management of records**

Section 17 (1) In this section, "records" means documents or other sources of information compiled, recorded or stored in written form or in any other manner and includes electronic records.

(2) Every public entity shall keep and maintain—

(a) records that are accurate, authentic, have integrity and useable; and

(b) its records in a manner which facilitates the right of access to information as provided for in this Act.

(3) At a minimum, to qualify to have complied with the duty to keep and maintain records under subsection (2), every public entity shall—

(a) create and preserve such records as are necessary to document adequately its policies, decisions, procedures, transactions and other activities it undertakes pertinent to the implementation of its mandate;

(b) ensure that records in its custody, including those held in electronic form, are maintained in good order and condition; and

### **7.3 Kenya Information Communications Act 2010**

Section 93. state that (1) No information with respect to any particular business which—

(a) has been obtained under or by virtue of the provisions of this Act; and

(b) relates to the private affairs of any individual or to any particular business, shall, during the lifetime of that individual or so long as that business continues to be carried on be disclosed by the Commission or by any other person without the consent of that individual or the person for the time being carrying on that business.

(2) Subsection (1) shall not apply to any disclosure of information which is made—

(a) for the purpose of facilitating the performance of any statutory functions of the Commission;  
or

(b) in connection with the investigation of any criminal offense or for the purposes of any criminal proceedings; or

(c) for the purpose of any civil proceedings brought under or by virtue of this Act.

(3) Any person who discloses any information in contravention of this section commits an offense and shall on conviction be liable to a fine not exceeding one hundred thousand shillings.

### **7.4 Banking act 2015**

Section 31 of the Banking Act, Chapter 488 Laws of Kenya which outlines that the Central Bank or the Minister may publish in whole or in part, at such times and in such manner deemed fit, any information furnished under the Banking Act provided that the information so furnished shall not be published if it would disclose the financial affairs of any person, unless the consent in writing

of that person has first been given. It is the duty of the data controller or data processor to bear the burden of proof for establishing a data subject's consent to the processing of their personal data for a specified purpose.

However, a data subject has the right to withdraw consent at any time. Noteworthy, the withdrawal of consent shall not affect the lawfulness of processing based on prior consent before its withdrawal. Thus, in determining whether consent was freely given, account shall be taken of whether, among others, the performance of a contract, including the provision of a service, is conditional on consent to the processing of personal data that is not necessary for the performance of that contract. Financial Institutions will now have to make some changes with regards to how they deal with data obtained from their customers.

### **7.5 The Public Health Act and the HIV Aids and Prevention Control Act.**

Section 14 and 18 of HIV and AIDS Prevention and Control

(1) Subject to subsection (2), no person shall undertake an HIV test in respect of another person except—

- (a) with the informed consent of that other person;
- (b) if that person is a child, with the written consent of a parent or legal guardian of the child:

Provided that any child who is pregnant, married, a parent or is engaged in behaviour which puts him or her at risk of contracting HIV may, in writing, directly consent to an HIV test;

(c) if, in the opinion of the medical practitioner who wishes to undertake the HIV test, the other person has a disability by reason of which he appears incapable of giving consent, with the consent of—

- (i) a guardian of that person;
- (ii) a partner of that person;
- (iii) a parent of that person; or
- (iv) an adult offspring of that person:

### **7.5.1 Section 20. Privacy guidelines**

- (1) The Minister for the time being responsible for matters relating to health may, in regulations, prescribe privacy guidelines, including the use of an identifying code, relating to the recording, collecting, storing and security of information, records or forms used in respect of HIV tests and related medical assessments.
- (2) No person shall record, collect, transmit or store records, information or forms in respect of HIV tests or related medical assessments of another person otherwise than in accordance with the privacy guidelines prescribed under this section.

### **7.5.2 Section 21. Confidentiality of records**

No person shall, in any records or forms used in relation to—

- (a) a request for a HIV test by persons in respect of themselves;
- (b) an instruction by a medical practitioner to a laboratory for an HIV test to be conducted;
- (c) the laboratory testing for HIV or HIV antibodies; or
- (d) the notification to the medical practitioner of the result of the HIV test, include any information which directly or indirectly identifies the person to whom an HIV test relates, except in accordance with the privacy guidelines prescribed under section 20.

### **7.5.3 Section 22. Disclosure of information**

- (1) No person shall disclose any information concerning the result of an HIV test or any related assessments to any other person except—
- (a) with the written consent of that person;
  - (b) if that person has died, with the written consent of that person's partner, personal representative, administrator or executor;
  - (c) if that person is a child with the written consent of a parent or legal guardian of that child:  
Provided that any child who is pregnant, married, a parent or is engaged in behaviour which puts other persons at risk of contracting HIV may in writing directly consent to such disclosure;
  - (d) if that person is unable to give written consent, with the oral consent of that person or with the written consent of the person with power of attorney for that person;

(e) if, in the opinion of the medical practitioner who undertook the HIV test, that person has a disability by reason of which the person appears incapable of giving consent, with the written consent, in order, of—

(i) a guardian of that person;

(ii) a partner of that person;

(iii) a parent of that person; or

(iv) an adult offspring of that person;

(f) to a person, being a person approved by the Minister under section

Section 16, who is directly involved in the treatment or counseling of that person;

(g) for the purpose of an epidemiological study or research authorized by the Minister;

(h) to a court where the information contained in medical records is directly relevant to the proceedings before the court or tribunal;

(i) if the person to whom the information relates dies, to the Registrar of Births and Deaths pursuant to section 18 of the Births and Deaths

Registration Act (Cap. 149); or

(j) if authorized or required to do so under this Act or under any other written law.

(2) Subsection (1) shall not apply to a disclosure of statistical or other information that could not reasonably be expected to lead to the identification of the person to whom it relates.

### **Section 23. Penalty for breach of confidentiality**

A person who contravenes any of the provisions of this Part or of any guidelines prescribed hereunder commits an offense.

## **7.6 Consumer protection act 2012**

Consumer protection laws have long aimed to safeguard consumers from unfair trade practices, ensuring they receive accurate information, fair treatment, and quality products or services.

The convergence of these two domains is evident in several ways:

**Transparency and Informed Consent:** Both consumer protection and data protection emphasize the importance of transparency. Organizations are now required to provide clear and concise information about data collection, usage, and storage practices. This resonates with the principle

of informed consent, ensuring that consumers have the necessary information to make well-informed decisions.

**Rights to Access and Rectification:** The Data Protection Act grants individuals the right to access their personal data held by organizations and request corrections if necessary. This mirrors the right of consumers to accurate information and the ability to address discrepancies in product or service details.

**Security and Accountability:** Organizations are obligated to implement security measures to safeguard personal data. This aligns with the responsibility of businesses to provide products and services that meet safety standards, thus protecting consumers from potential harm.

**Protection Against Unfair Practices:** Just as consumer protection laws prohibit deceptive advertising and fraudulent practices, the Data Protection Act aims to prevent unfair data processing. Individuals are protected from unauthorized access, data breaches, and the misuse of their personal information.

**Empowerment and Redress:** Both frameworks empower individuals to take action in case of violations. Consumers have the right to seek redress in case of subpar products or services, while the Data Protection Act offers mechanisms to report data breaches and seek compensation.

**Ethical Considerations:** The interplay between consumer protection and data protection encourages ethical behavior. Organizations are expected to treat consumers' personal data with respect and use it responsibly, fostering trust and long-term relationships.

## 8.0 Frequently Asked Questions (FAQs)

1. What is a data breach? A data breach occurs when sensitive, protected, or confidential information is accessed, copied, transmitted, viewed, stolen, altered, or used by an unauthorized individual.
2. Who is a data controller? A data controller is the entity that determines the purpose and methods of processing personal data.
3. Who is a data processor? A data processor is a natural or legal person, public authority, agency, or other body that processes personal data on behalf of the data controller.
4. Complaint Resolution Timeline: The time it takes to resolve a data breach-related complaint varies depending on the specific circumstances.



5. Data Breach Response: The response to a data breach depends on the nature and severity of the breach.
6. Data Access Request: Individuals can request their personal data from a data controller in accordance with data protection regulations.
7. What is personal data and sensitive data? **Personal data** refers to any information that can be used to identify an individual. This could include, but is not limited to, a person's name, identification number, location data, or online identifier. It could also include factors specific to the physical, physiological, genetic, mental, economic, cultural, or social identity of that person.

On the other hand, **sensitive data** is a subset of personal data that is given more protection and includes information on racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, genetic data, biometric data, data concerning health, or data concerning a natural person's sex life or sexual orientation.

8. What is the Law on the Protection of Personal Data and Privacy (Data Privacy Law)?
  - ❖ Often referred to as the Data Privacy Law, is a piece of legislation that aims to protect the privacy rights of individuals by regulating the processing of personal data.
  - ❖ It sets out the responsibilities of those who handle personal data (data controllers and processors) and provides individuals with certain rights in relation to their personal data.
  - ❖ These rights include the right to be informed about how their data is being used, the right to access their data, the right to rectify inaccuracies, the right to erase or restrict data, and the right to object to the use of their data for certain purposes.
  - ❖ The law also establishes penalties for non-compliance. The specifics of the law can vary from country to country. For example, in Rwanda, the law is known as the Law on the Protection of Personal Data and Privacy.
  - ❖ It provides for the protection of the privacy of individuals with regard to the processing of personal data and provides for the establishment and organization of the National Commission for the Protection of Personal Data. It also provides for related matters.

9. **Data Commissioner Exemption:** Exemptions from data protection regulations may be granted by the Data Commissioner under specific conditions.

The Data Protection Act in Kenya provides for certain exemptions. The processing of personal data is exempt from the provisions of the Act if it is necessary for national security, its disclosure is required under any written law or an order of the court, or for the prevention or detection of a crime.

The Act also provides for general exemptions, exemptions for journalism, literature and art, research, history, and statistics, and exemptions by the Data Commissioner<sup>1</sup>. The details of these exemptions are outlined in the Act.

For more specific details, you may want to refer to the Data Protection Act and the Data Protection (General) Regulations, 2021. Please note that this is a complex legal document, and you may want to seek legal advice for a thorough understanding.

10. **Data Rights Violations:** If you believe your data rights have been violated, you can file a complaint with the Data Protection Commissioner's Office. Here are the steps you can take:

- ❖ **Identify the Issue:** Understand the nature of the violation. This could be misuse of your personal data, unauthorized access, data breach, etc.
- ❖ **Visit the Complaints Portal:** Go to the Office of the Data Protection Commissioner's complaints portal.
- ❖ **Fill in the Complaint Form:** The form will ask for your details (name, identification number, contact information), details of the respondent (the individual or institution alleged to have violated your data rights), the date of the alleged infringement, and any other relevant information. You will also need to describe the nature of your complaint and provide particulars of any other persons impacted by the alleged infringement.
- ❖ **State Your Anticipated Redress:** In your own view, state what redress or relief you are anticipating.
- ❖ **Submit Supporting Documents:** You can email any supporting documents to be used in the investigation process to [complaint@odpc.go.ke](mailto:complaint@odpc.go.ke).

❖ **Submit the Form:** Once you have filled in all the necessary information and attached any supporting documents, you can submit the form.

The Data Commissioner will then undertake a preliminary review of your complaint upon receipt. Please note that the time it takes to resolve a complaint can vary depending on the nature of the complaint.

For more comprehensive information on these topics, refer to the Office of the Data Protection Commissioner Kenya, Uganda and Rwanda websites.