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A decorative banner featuring the word "INDEX" in large, bold, pink letters. Each letter is enclosed in a white rectangular frame with a pink border. The letters are stacked vertically, creating a 3D effect. The banner is set against a light blue background.

11-2

NAME: SOORAJ.S. STD.: \_\_\_\_\_ SEC.: \_\_\_\_\_ ROLL NO.: \_\_\_\_\_ SUB.: \_\_\_\_\_

S. No.	Date	Title	Page No.	Teacher's Sign / Remarks
		<p style="text-align: center;"><u>QUANTUM COMPUTATION</u>  <u>&amp; QUANTUM INFORMATION</u></p> <p style="text-align: center;">- Nielsen &amp; Chuang</p>		

## □ Von Neumann entropy

The Shannon entropy measures the uncertainty associated with a classical distribution.

Quantum states are described in a similar fashion, with density operators replacing probability distribution.

Generalizing the definition of the Shannon entropy to quantum states,

- \* Von Neumann defined the entropy of a quantum state  $P$  by the formula,

$$\begin{aligned} S(P) &\equiv -\text{tr}(P \log P) \\ &= -\sum_x \lambda_x \log \lambda_x \end{aligned}$$

where  $\lambda_x$ : eigenvalues of  $P$  and  $\log P = \log_2 P$ .

$\log 0 \equiv 0$ , as for the Shannon entropy.

$$A = V D V^{-1} \rightarrow \log A = \log(V D V^{-1}) = \log V + \log D + \log V^{-1}$$

Ex:-

The completely mixed density operator in a  $d$ -dimensional space,  $I/d$ , has entropy  $\log d$ .

$$\rho = I/d.$$

$$\begin{aligned} S(\rho) &= S(I/d) = - \sum_{\alpha} \lambda_{\alpha} \log \lambda_{\alpha} \\ &= - \sum_{\alpha=1}^d \frac{1}{d} \log \frac{1}{d} \\ &= \sum_{\alpha=1}^d \frac{1}{d} \log d = \underline{\underline{\log d}}. \end{aligned}$$

## Logarithm of a matrix

- A logarithm of a matrix is another matrix such that the matrix exponential of the matrix equals the original matrix.

Ex. 11.11 Calculate  $S(P)$  for

①  $P = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$

Ans:  $S(P) \equiv -\text{tr}(P \log P)$

$$= -\sum_x \lambda_x \log \lambda_x$$

$$= -1 \log 1 - 0 \log 0 = 0$$

②  $P = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$

Ans:  $|P| = 0$  &  $\text{tr}(P) = 1 = \lambda_1 + \lambda_2$

$$\lambda = 0, 1$$

$$S(P) = 0$$

③  $P = \frac{1}{3} \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix}$

Ans:  $\text{tr}(P) = \lambda_1 + \lambda_2 = 1$  &  $|P| = \frac{1}{9} = \lambda_1 \lambda_2$

$$\lambda_1 + \frac{1}{9\lambda_1} = \frac{9\lambda_1^2 + 1}{9\lambda_1} = 1 \Rightarrow 9\lambda_1^2 - 8\lambda_1 + 1 = 0$$

$\Delta = 81 - 36 = 45$

$$\left. \begin{array}{l} \lambda_1 = \frac{3 + \sqrt{5}}{6} = \frac{1}{2} + \frac{\sqrt{5}}{6} \\ \lambda_2 = \frac{3 - \sqrt{5}}{6} = \frac{1}{2} - \frac{\sqrt{5}}{6} \end{array} \right\}$$

$$S(P) = \frac{-1}{\ln 2} (-0.1188 - 0.3312) \approx \underline{\underline{0.55}}$$

$$\lambda_1 = \frac{1}{\sqrt{3}} \left( \frac{\sqrt{3}}{2} + i \frac{1}{2} \right) = \frac{1}{\sqrt{3}} e^{i \frac{\pi}{6}}$$

$$\lambda_2 = \frac{1}{\sqrt{3}} \left( \frac{\sqrt{3}}{2} - i \frac{1}{2} \right) = \frac{1}{\sqrt{3}} e^{-i \frac{\pi}{6}}$$

$$\ln \lambda_1 = \ln \frac{1}{\sqrt{3}} + i \frac{\pi}{6} \approx -0.54931 + i \frac{\pi}{6} \Rightarrow \log \lambda_1 = \frac{\ln \lambda_1}{\ln 2}$$

$$\ln \lambda_2 = \ln \frac{1}{\sqrt{3}} - i \frac{\pi}{6} \approx -0.54931 - i \frac{\pi}{6} \Rightarrow$$

$$S(p) = \sum_{\lambda} \lambda \log \lambda \times \frac{-1}{\ln 2} [\lambda \ln \lambda + \bar{\lambda} \ln \bar{\lambda}]$$

$$= \frac{-1}{\ln 2} [2 \operatorname{Re} \{ \lambda \ln \lambda \}]$$

$$= \frac{-2}{\ln 2} \left[ \frac{1}{2} (-0.54931) + \frac{1}{2\sqrt{3}} \cdot \frac{\pi}{6} \right]$$

$$= \frac{1}{\ln 2} (0.54931 + 0.3022998)$$

$$= \frac{6.851606}{\ln 2} =$$

## Complex Logarithm

A complex logarithm is a generalization of the natural logarithm to non-zero complex numbers.

A complex logarithm of a nonzero complex number  $z$ , defined to be any complex number  $w$  for which  $e^w = z$ , which is denoted by  $\log z$ .

If  $z$  is given in polar form as  $z = re^{i\theta}$ , where  $r$  and  $\theta$  are real numbers with  $r > 0$ , then  $\ln r + i\theta$  is one logarithm of  $z$ , and all complex logarithms of  $z$  are exactly the numbers of the form  $\ln r + i(\theta + 2\pi k)$  for  $k \in \mathbb{Z}$ .

These logarithms are equally spaced along a vertical line in the complex plane.

Consider any non-zero complex number  $z$  and we would like to solve for  $w$  in the equation

$$e^w = z.$$

If  $\theta = \arg(z)$  with  $-\pi < \theta \leq \pi$  then  $z$  and  $w$  can be written as follows,

$$z = r e^{i\theta} \quad \text{and} \quad w = u + iv$$

$$e^w = z \implies e^u e^{iv} = r e^{i\theta}$$

$$\therefore e^u = r \quad \text{and} \quad \begin{aligned} \cos v &= \cos \theta & \sin v &= \sin \theta \\ v &= 2n\pi \pm \theta & v &= n\pi + i^n \theta \\ v &= 2n\pi \pm \theta & v &= 2n\pi + \theta \quad (\text{as } v = (2n+1)\pi - \theta) \end{aligned}$$

$\underbrace{\hspace{10em}}$

$$v = 2n\pi + \theta, \quad n \in \mathbb{Z}$$

$$u = \ln r$$

$$w = u + iv \implies w = \ln r + i(2n\pi + \theta), \quad n \in \mathbb{Z}$$

$\therefore$  The equation  $e^w = z$  is satisfied iff  $w$  has one of the values,

$$w = \ln r + i(2n\pi + \theta), \quad n \in \mathbb{Z}$$

The (multivalued) logarithmic function of a nonzero complex variable  $z = re^{i\theta}$  is defined by,

$$\log z = \ln r + i(\theta + 2n\pi), \quad n \in \mathbb{Z}$$

Ex: 11.12 Comparison of quantum & classical entropies.

Suppose  $\rho = p|0\rangle\langle 0| + (1-p)\frac{(|0\rangle+|1\rangle)(\langle 0|+\langle 1|)}{2}$

Evaluate  $S(\rho)$ . Compare the value of  $S(\rho)$  to  $H(p, 1-p)$ .

$$\text{Ans: } \rho = p|0\rangle\langle 0| + \frac{(1-p)}{2} [ |0\rangle\langle 0| + |0\rangle\langle 1| + |1\rangle\langle 0| + |1\rangle\langle 1| ] \\ = \frac{1+p}{2}|0\rangle\langle 0| + \frac{1-p}{2} (|0\rangle\langle 1| + |1\rangle\langle 0| + |1\rangle\langle 1| )$$

$$= \begin{bmatrix} \frac{1+p}{2} & \frac{1-p}{2} \\ \frac{1-p}{2} & \frac{1-p}{2} \end{bmatrix}$$

$$|\rho| = \sqrt{1-p^2 - 1 - p^2 + 2p} = \sqrt{2p - 2p^2} = \frac{p(1-2p)}{2} = \lambda_1 \lambda_2$$

$$\text{tr}(\rho) = 1 = \lambda_1 + \lambda_2 \Rightarrow \lambda_2 = 1 - \lambda_1$$

$$\lambda_1(1-\lambda_1) = \lambda_1 - \lambda_1^2 = \frac{p(1-2p)}{2} \Rightarrow \lambda_1^2 - \lambda_1 + \frac{p(1-2p)}{2} = 0$$

$$\lambda_1(1-\lambda_1) = \lambda_1 - \lambda_1^2 = \frac{p(1-2p)}{2} \Rightarrow \lambda_1^2 - \lambda_1 + \frac{p(1-2p)}{2} = 0$$

$$\Delta = 1 - \frac{4(p-2p^2)}{4} = 1 - 2p + 4p^2 = (1-2p)^2$$

$$\lambda = \frac{1 \pm (1-2p)}{2} = \frac{2-2p}{2} \text{ (or) } \frac{2p}{2}$$

$$\lambda_1 = p \text{ and } \lambda_2 = 1-p$$

$$S(\rho) = -p \log p - (1-p) \log (1-p) = H(p, 1-p)$$

## □ Quantum relative entropy

In quantum information theory, quantum relative entropy is a measure of distinguishability b/w 2 quantum states.

- \* Suppose  $\rho$  and  $\sigma$  are density operators. The relative entropy of  $\rho$  to  $\sigma$  is defined by,

$$\begin{aligned} S(\rho \parallel \sigma) &\equiv \text{tr}(\rho \log \rho) - \text{tr}(\rho \log \sigma) \\ &= -\text{tr}(\rho \log \sigma) - S(\rho) \\ &= \text{tr} \rho (\log \rho - \log \sigma) \end{aligned}$$

- The relative entropy is defined to be  $+\infty$  if the kernel of  $\sigma$  (the vector space spanned by the eigenvectors of  $\sigma$  with eigenvalue 0) has non-trivial intersection with the support of  $p$  (the vector space spanned by the eigenvectors of  $p$  with non-zero eigenvalue), and is finite otherwise.

$$S(p\| \sigma) = \begin{cases} +\infty & ; \text{ supp}(p) \cap \ker(\sigma) \neq \{0\} \\ \text{finite} & ; \text{ otherwise} \end{cases}$$

- The support of a matrix  $M$  is the orthogonal complement of its kernel, ie,  $\text{supp}(M) = \ker(M)^\perp$

Proof

$$S(\rho||\sigma) = \text{tr}(\rho \log \rho) - \text{tr}(\rho \log \sigma)$$

$$= -S(\rho) - \text{tr}(\rho \log \sigma)$$

where  $S(\rho)$  is the von Neumann entropy of  $\rho$   
such that  $S(\rho) = -\text{tr}(\rho \log \rho) = -\sum_i p_i \log p_i$

Using the convention  $-\rho \log 0 = +\infty$ , and  $\rho = \sum_i p_i |i\rangle\langle i|$   
such that  $\log \rho = \sum_i \log p_i |i\rangle\langle i|$ , and  $\sigma = \sum_{ij} q_j |j\rangle\langle j|$   
such that  $\log \sigma = \sum_j \log q_j |j\rangle\langle j|$

$$S(\rho||\sigma) = \text{tr}(\rho \log \rho) - \text{tr}(\rho \log \sigma)$$

$$A = V D V^{-1} \Rightarrow$$

$$\log A = V(\log D)V^{-1}$$

$$= \sum_i p_i \log p_i - \sum_i \langle i | \rho \log \sigma | i \rangle$$

$$= \sum_i p_i \log p_i - \sum_i p_i \langle i | \log \sigma | i \rangle$$

where we used  $\langle i | \rho = \langle i | p_i$

$$\rightarrow = \sum_i p_i \log p_i - \sum_i p_i \langle i | \left( \sum_j \log q_j |j\rangle\langle j| \right) | i \rangle$$

$$= \sum_i p_i \log p_i - \sum_{ij} p_i \log q_j \langle i | j \rangle \langle j | i \rangle$$

$$= \sum_i p_i \log p_i - \sum_{ij} |\langle i | j \rangle|^2 p_i \log q_j$$

$S(\rho||\sigma) = +\infty$  if there exists  $|i\rangle\langle j|$  such that  $|i\rangle\langle j|^2 p_i > 0$  and  $q_j = 0$   
for some  $i, j$ .

$$|\sigma_{ij}\rangle = q_j |ij\rangle$$

$q_j = 0$  corresponds to the state  $|ij\rangle \in \ker(\sigma)$

$$|i\rangle\langle j|^2 p_i > 0 \Rightarrow p_i > 0 \text{ and } |i\rangle\langle j| > 0$$

$$\Rightarrow p_i \neq 0 \text{ and } |i\rangle\langle j| \neq 0$$

$p_i \neq 0$  corresponds to the state  $|i\rangle \in \text{supp}(\rho)$

$|i\rangle\langle j| \neq 0$  for some  $|i\rangle \in \text{supp}(\rho)$  and  $|ij\rangle \in \ker(\sigma)$ .

$S(\rho||\sigma) = +\infty$  iff  $|i\rangle\langle j| \neq 0$  for some  $|i\rangle \in \text{supp}(\rho)$   
and  $|ij\rangle \in \ker(\sigma)$

$$\Rightarrow \text{supp}(\rho) \cap \ker(\sigma) \neq \emptyset$$

\* Klein's inequality

The quantum relative entropy is non-negative

$$S(\rho \parallel \sigma) \geq 0$$

with equality iff  $\rho = \sigma$ .

Proof

Let  $\rho = \sum_i p_i |i\rangle\langle i|$  and  $\sigma = \sum_j q_j |j\rangle\langle j|$  be orthonormal decompositions for  $\rho$  and  $\sigma$

$$\begin{aligned} S(\rho \parallel \sigma) &= -\text{tr}(\rho \log \rho) + \text{tr}(\rho \log \sigma) \\ &= \sum_i p_i \log p_i - \sum_i \langle i | \rho \log \sigma | i \rangle \\ &= \sum_i p_i \log p_i - \sum_i p_i \langle i | \log \sigma | i \rangle \\ &= \sum_i p_i \log p_i - \sum_i p_i \langle i | \left( \sum_j \log q_j |j\rangle\langle j| \right) | i \rangle \\ &= \sum_i p_i \left( \log p_i - \sum_j \langle i | \langle j | \log q_j \right) \\ &= \sum_i p_i \left( \log p_i - \sum_j p_j \log q_j \right) \end{aligned}$$

where  $p_{ij} = \langle i | j \rangle \langle j | i \rangle = |\langle i | j \rangle|^2 \geq 0$

$$P_{ij} = \langle i|j\rangle \times |j\rangle\langle j| = |\langle i|j\rangle|^2 \geq 0$$

$$\sum_i P_{ij} = \sum_i \langle i|j\rangle \times |j\rangle\langle j|i\rangle = \sum_i \langle j|i\rangle \times |i\rangle\langle i|j\rangle \\ = \langle j|\left(\sum_i |i\rangle\langle i|\right)|j\rangle = \langle j|j\rangle = 1$$

$$\sum_j P_{ij} = 1$$

For the matrix  $P = [P_{ij}]$  this is known as double stochasticity.

$\log(\cdot)$  is a strictly concave function.

QC 11.1  
Binary entropy

$$\sum_j P_{ij} \log q_j \leq \log \left( \sum_j P_{ij} q_j \right)$$

(with equality iff there exists a value of  $j$  for which  $P_{ij}=1$ )

$$\sum_j P_{ij} \log q_j \leq \log \pi_i, \text{ where } \pi_i = \sum_j P_{ij} q_j$$

$$-\sum_j P_{ij} \log q_j \geq -\log \pi_i$$

$$\log p_i - \sum_j P_{ij} \log q_j \geq \log p_i - \log \pi_i = \log \frac{p_i}{\pi_i}$$

$$\sum_i p_i \left( \log p_i - \sum_j P_{ij} \log q_j \right) \geq \sum_i p_i \log \frac{p_i}{\pi_i}$$

$$S(P||\sigma) \geq \sum_i p_i \log \frac{p_i}{\pi_i}$$

with equality iff for each  $i$  there exists a value of  $j$  such that  $P_{ij} = 1$ .

i.e., iff  $P = [P_{ij}]$  is a permutation matrix.

$$\sum_i \pi_i = \sum_i \sum_j P_{ij} q_j = \sum_j \left( \sum_i P_{ij} \right) q_j = \sum_j q_j = 1$$

$\therefore \sum_i p_i \log \frac{p_i}{\pi_i}$  has the form of the classical relative entropy.

From the non-negativity of the classical relative entropy,

$$S(P||\sigma) \geq 0$$

with equality iff  $P_i = \sigma_i$  for all  $i$ , and  
 $P = [P_{ij}]$  is a permutation

Note: By relabeling the eigenstates of  $\sigma$  if necessary, we can assume that  $P_{ij}$  is the identity matrix.

and that  $P$  and  $\sigma$  are diagonal in the same basis.

$P_i = \sigma_i \Rightarrow$  the corresp. eigenvalues of  $P$  &  $\sigma$  are identical & thus:

$$\underline{S(P||\sigma) = 0 \text{ iff } P = \sigma}$$

From the non-negativity of the classical relative entropy,

$$S(\rho \parallel \sigma) \geq 0$$

with equality iff  $\rho_i = \sigma_i$  for all  $i$ , and  
 $P = [P_{ij}]$  is a permutation

Note: By relabeling the eigenstates of  $\sigma$  if necessary, we can assume that  $P_{ij}$  is the identity matrix.

and that  $\rho$  and  $\sigma$  are diagonal in the same basis.

$\therefore \rho_i = \sigma_i \Rightarrow$  the corresp. eigenvalues of  $\rho$  &  $\sigma$  are identical & thus.

$$S(\rho \parallel \sigma) = 0 \text{ iff } \rho = \sigma$$

□ Continuity of the entropy

Suppose we vary  $\rho$  by a small amount.  
How much does  $S(\rho)$  change?

\* Fannes' inequality

Suppose  $\rho$  and  $\sigma$  are density matrices such that the trace distance b/w them satisfies  $\alpha T(\rho, \sigma) \leq \frac{1}{e}$ . Then

$$|S(\rho) - S(\sigma)| \leq \alpha T(\rho, \sigma) \log d + \eta(\alpha T(\rho, \sigma))$$

where  $d$ : dimension of the Hilbert space  
 $\eta(x) = -x \log x$

Removing the restriction that  $\alpha T(\rho, \sigma) \leq \frac{1}{e}$ ,

$$|S(\rho) - S(\sigma)| \leq \alpha T(\rho, \sigma) \log d + \frac{1}{e}$$

Proof.

Let  $\tau_1 \geq \tau_2 \geq \dots \geq \tau_d$  be the eigenvalues of  $P$  and  $s_1 \geq s_2 \geq \dots \geq s_d$  be the eigenvalues of  $T$ , in descending order.

Let  $H = P - T$ , which is hermitian since both  $P$  &  $T$  are hermitian (P semi definite).

$$H = W D_H W^T$$

Let  $D_Q$  be the diagonal matrix which has all the +ve eigenvalues of  $H$  and  $D_R$  contains the negation of all the -ve eigenvalues of  $H$ . such that  $W D_Q W^T = Q$  and  $W D_R W^T = R$ .

$$\therefore D_H = D_Q - D_R$$

$$H = W D_H W^T = W (D_Q - D_R) W^T = W D_Q W^T - W D_R W^T = Q - R$$

$\Rightarrow D_Q$  &  $D_R$ , and therefore  $Q$  &  $R$  have orthogonal supports, since  $Q$  only supports the +ve eigenspace and  $R$  only supports the -ve eigenspace.

$$D_R D_Q = D_Q D_R = 0 \implies QR = QR = 0$$

$P - \sigma = Q - R$ , where  $Q$  &  $R$  are the operators with orthogonal support.

$$T(\rho, \sigma) = \frac{1}{2} \text{tr} |P - \sigma| = \frac{1}{2} \text{tr} \sqrt{(P - \sigma)^{\dagger}(P - \sigma)}$$

$$\begin{aligned} (P - \sigma)^{\dagger}(P - \sigma) &= (Q - R)^{\dagger}(Q - R) = (Q^{\dagger} - R^{\dagger})(Q - R) \\ &= Q^{\dagger}Q + R^{\dagger}R - Q^{\dagger}R - R^{\dagger}Q \\ &= Q^{\dagger}Q + R^{\dagger}R - QR - RQ \\ &= Q^{\dagger}Q + R^{\dagger}R \quad \text{since } QR = RQ = 0 \\ &= Q^{\dagger}Q + R^{\dagger}R + QR + RQ \\ &= Q^2 + R^2 + QR + RQ = (Q + R)^2 \end{aligned}$$

$$\sqrt{(P - \sigma)^{\dagger}(P - \sigma)} = |P - \sigma| = Q + R$$

∴ The trace distance becomes,

$$T(\rho, \sigma) = \frac{1}{2} \text{tr} |P - \sigma| = \frac{1}{2} \text{tr} (Q + R) = \frac{1}{2} \text{tr} Q + \frac{1}{2} \text{tr} R$$

$$\Rightarrow \text{AT}(\rho, \sigma) = \text{tr}(\rho) + \text{tr}(\sigma)$$

Defining  $V \equiv R + P = Q + \sigma$

where  $Q, R$  are +ve semi definite.

$$\begin{aligned}\text{AT}(\rho, \sigma) &= \text{tr}(V - \sigma) + \text{tr}(V - \rho) \\ &= \text{tr}(2V) - \text{tr}(\rho) - \text{tr}(\sigma)\end{aligned}$$

ILA ⑨

$$A = \frac{1}{2} + \frac{1}{2}i$$

$t_n =$

## Min-Max theorem

The Rayleigh quotient for any vector  $|\alpha\rangle$  is defined to be the ratio  $\gamma(\alpha) = \frac{\langle\alpha|V|\alpha\rangle}{\langle\alpha|\alpha\rangle}$ ,

where  $\gamma(\alpha)$  is scaling invariant,

$$\text{i.e., } \gamma(t\alpha) = \frac{\langle t\alpha|V|t\alpha\rangle}{\langle t\alpha|t\alpha\rangle} = \frac{t^2 \langle \alpha|V|\alpha\rangle}{t^2 \langle \alpha|\alpha\rangle} = \frac{\langle \alpha|V|\alpha\rangle}{\langle \alpha|\alpha\rangle} = \gamma(\alpha)$$

ILA ⑨

∴ It is sufficient to study the special case  $\langle\alpha|\alpha\rangle=1$ , so that the critical points of the function  $\frac{\langle\alpha|V|\alpha\rangle}{\langle\alpha|\alpha\rangle}$  is the same as that of  $\langle\alpha|V|\alpha\rangle$  subjected to the constraint  $\langle\alpha|\alpha\rangle=1$ .

The critical points of  $\gamma(\alpha)$  are the eigenvectors  $|u_i\rangle$  of the operator  $V$ .

$$\therefore t_{\min} = \min_{u \neq 0} \gamma(u) \quad \text{and} \quad t_{\max} = \max_{u \neq 0} \gamma(u)$$

If  $t_1 \geq t_2 \geq \dots \geq t_k \geq \dots \geq t_d$  be the eigenvalues of  $V$  in the descending order then this implies:

$$t_{\min} = \min_{u \neq 0} \gamma(u) = \min_{u \neq 0} \left\{ \gamma(\alpha) : |\alpha\rangle \in U \text{ & } \dim(U)=d \right\}$$

$$t_{d-1} = \min_{u \neq 0 \in U_d^\perp} \gamma(u)$$

$$= \max_U \left\{ \min_{u \neq 0} \left\{ \gamma(u) : |u\rangle \in U \text{ & } \dim(U) = d-1 \right\} \right\}$$

$$t_k = \min_{u \neq 0 \in \{U_1, U_2, \dots, U_{k+1}\}^\perp} \gamma(u)$$

$$= \max_U \left\{ \min_{u \neq 0} \left\{ \gamma(u) : |u\rangle \in U \text{ & } \dim(U) = k \right\} \right\}$$

G. Stark  
7/1/2023

Let  $t_1 \geq t_2 \geq \dots \geq t_k \geq \dots \geq t_d$  be the eigenvalues of  $V$  in the descending order.

By the min-max theorem,

$$\begin{aligned}
 t_k &= \min_{\substack{u \neq 0 \in \{u_d, u_{d-1}, \dots, u_{k+1}\} \\ \mathbb{R}^2}} \gamma(u) \\
 &= \max_U \left\{ \min_{\alpha \neq 0} \left\{ \gamma(\alpha) : |\alpha\rangle \in U \text{ & } \dim(U) = k \right\} \right\} \\
 &= \max_U \left\{ \min_{\alpha \neq 0} \left\{ \langle \alpha | V | \alpha \rangle : |\alpha\rangle \in U \text{ & } \dim(U) = k \right\} \right\} \\
 &= \max_U \left\{ \min_{\alpha \neq 0} \left\{ \langle \alpha | R + P | \alpha \rangle : |\alpha\rangle \in U \text{ & } \dim(U) = k \right\} \right\} \\
 &= \max_U \left\{ \min_{\alpha \neq 0} \left\{ \langle \alpha | R | \alpha \rangle + \langle \alpha | P | \alpha \rangle : |\alpha\rangle \in U \text{ & } \dim(U) = k \right\} \right\} \\
 &\geq \max_U \left\{ \min_{\alpha \neq 0} \left\{ \langle \alpha | P | \alpha \rangle : |\alpha\rangle \in U \text{ & } \dim(U) = k \right\} \right\} \\
 &= \gamma_k
 \end{aligned}$$

B. Stark  
7/1/2023

Similarly, since  $V = Q + \sigma$  we can prove  
that  $t_k \geq s_k$ .

$$\left. \begin{array}{l} t_k \geq \tau_k \\ t_k \geq s_k \end{array} \right\} \quad t_k \geq \max(\tau_k, s_k)$$

$$2t_k \geq 2\max(\tau_k, s_k) = \tau_k + s_k + |\tau_k - s_k|$$

$$2T(\rho, \sigma) = \text{tr}(2V) - \text{tr}(\rho) - \text{tr}(\sigma)$$

$$= \left( \sum_k 2t_k \right) - \text{tr}(\rho) - \text{tr}(\sigma)$$

$$\geq \sum_k (\tau_k + s_k + |\tau_k - s_k|) - \text{tr}(\rho) - \text{tr}(\sigma)$$

$$= \text{tr}(\rho) + \text{tr}(\sigma) + \sum_k |\tau_k - s_k| - \text{tr}(\rho) - \text{tr}(\sigma)$$

$$= \sum_k |\tau_k - s_k|$$

$$\therefore 2T(\rho, \sigma) \geq \sum_i |\tau_i - s_i| \quad \text{--- (11.46)}$$

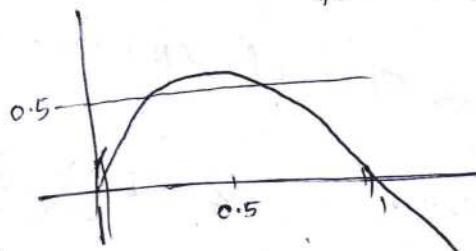
$$|\eta(y) - \eta(x)| \leq \eta(|y-x|) \text{ if } |y-x| \leq \frac{1}{2} \text{ given}$$

$$\eta(x) = -x \log x$$

SAPK  
9/11/2023

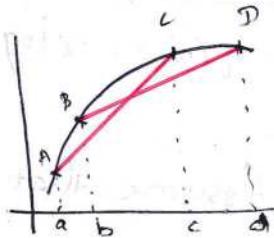
### Proof

$$f(x) = -x \log x$$



### Method 1

If  $f$  is concave and  $a \leq b \leq c \leq d$ , where  $a \leq c$  and  $b \leq d$ , then the slope of the chord joining  $(a, f(a)), (c, f(c))$  exceeds that of the chord joining  $(b, f(b)), (d, f(d))$ .



### Chordal Slope lemma



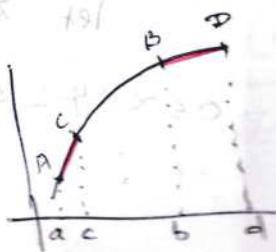
Let  $a \leq b \leq c \leq d$ ,

slope of  $AB \geq$  slope of  $AC \geq$  slope of  $BC$

slope of  $BC \geq$  slope of  $BD \geq$  slope of  $CD$

$\Rightarrow$  slope of  $AC \geq$  slope of  $BC \geq$  slope of  $BD$

$\Rightarrow$  slope of  $AC \geq$  slope of  $BD$



case 2.  $a \leq b$  &  $c \leq d$  and  $c \leq b$  &  $a \leq d$

$$a \leq c \leq b \quad \& \quad c \leq b \leq d$$

slope of  $AC \geq$  slope of  $CB$

slope of  $CB \geq$  slope of  $BD$

$\Rightarrow$  slope of  $AC \geq$  slope of  $CB \geq$  slope of  $BD$

$\Rightarrow$  slope of  $AC \geq$  slope of  $BD$

$\therefore$

$$\frac{f(c) - f(a)}{c-a} \geq \frac{f(d) - f(b)}{d-b} \quad \text{if } a \leq b; c \leq d \quad \text{and} \\ a \leq c; b \leq d.$$

$\eta(x) = -x \log x$  is concave.

where  $x, y \in [0, 1]$

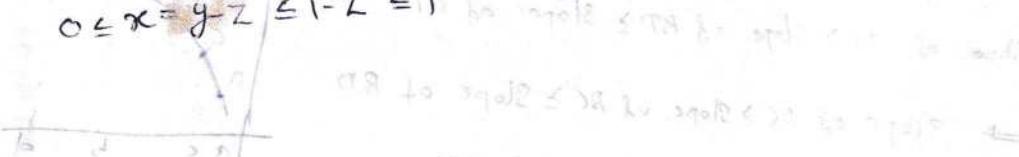
Assume that  $y > x$ ,

let  $z = y-x$  so that

$$z = y-x \leq y$$

$$0 \leq x = y-z \leq 1-z \leq 1$$

and



Similar

$$x \leq 1-z; \quad x+z = y \leq 1$$

Concavity of  $\eta(x) = -x \log x \Rightarrow$

Slope of chord joining  $(x, \eta(x))$  &  $(x+z, \eta(x+z)) \geq$  Slope of chord joining  $(1-z, \eta(1-z))$  &  $(1, \eta(1))$

$$\frac{\eta(y) - \eta(x)}{y-x} \geq \frac{\eta(1) - \eta(1-z)}{z}$$

$$\frac{\eta(y) - \eta(x)}{z} \geq \frac{-\eta(1-z)}{z}$$

$$\eta(y) - \eta(x) \geq -\eta(1-z)$$

①

Similarly,

$$0 \leq x; \quad z \leq x+z = y$$

Slope of chord joining  $(0, \eta(0))$  &  $(z, \eta(z)) \geq$  Slope of chord joining  $(x, \eta(x))$  &  $(x+z, \eta(x+z))$

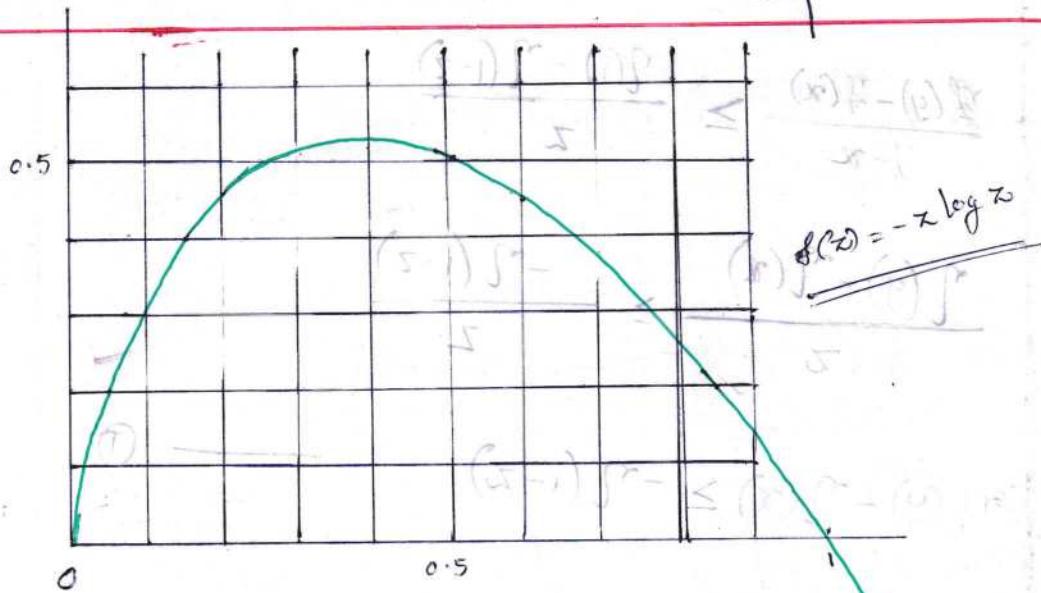
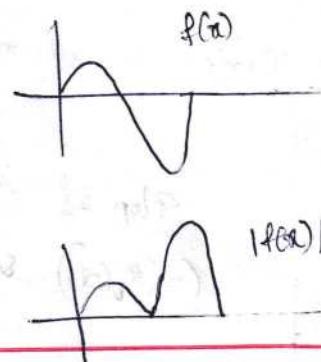
$$\frac{\eta(z) - \eta(0)}{z-0} \geq \frac{\eta(y) - \eta(x)}{y-x} \Rightarrow \frac{\eta(z)}{z} \geq \frac{\eta(y) - \eta(x)}{z}$$

$$\eta(y) - \eta(x) \leq \eta(z)$$

②

$$\textcircled{1} \& \textcircled{2} \Rightarrow -\eta(1-z) \leq \eta(y) - \eta(x) \leq \eta(z)$$

$$|\eta(y) - \eta(x)| \leq \max \{\eta(z), \eta(1-z)\}$$



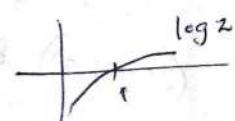
(\*)

$$x_1 = y_1 - x_2 \leq y_2 \Rightarrow -x_2 \geq -y_2 \Rightarrow 1-x_2 \geq y_2$$

$$(x+y) \leq x \leq 1-x \leq$$

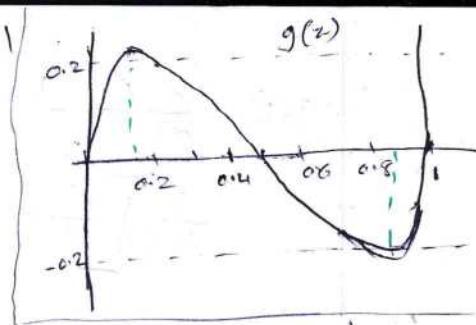
(\*) Let  $g(x) = \eta(x) - \eta(1-x)$

$$= -x \log x + (1-x) \log(1-x)$$



$$g(z) = 0 \text{ when } z=0, y_2, 1$$

$$\Rightarrow g(0) = g(y_2) = g(1) = 0$$



$$\frac{d}{dz} \log z = \frac{d}{dz} \left( \frac{\ln z}{\ln 2} \right) = \frac{1}{\ln 2} \frac{d}{dz} (\ln z) = \frac{1}{\ln 2} \cdot \frac{1}{z}$$

$$\begin{aligned} g'(z) &= -\log z - z \cdot \frac{1}{\ln 2} - \log(1-z) - (1-z) \cdot \frac{1}{\ln 2(1-z)} \\ &= \frac{-2}{\ln 2} - \log(z(1-z)) \end{aligned}$$

$$g'(z) = 0 \Rightarrow \ln(z(1-z)) = -2 = \ln(e^{-2})$$

$$\Rightarrow z(1-z) = z - z^2 = \frac{1}{e^2}$$

$$\Rightarrow z^2 - z + \frac{1}{e^2} = 0$$

$$\Delta = 1 - \frac{4}{e^2} = \frac{e^2 - 4}{e^2}$$

$$z = \frac{1 \pm \frac{\sqrt{e^2 - 4}}{e}}{2} = \frac{1 \pm \sqrt{1 - 4/e^2}}{2} = \frac{1}{2} \pm \sqrt{\frac{1}{4} - \frac{1}{e^2}}$$

$$\therefore e > 2 \Rightarrow \frac{1}{2} > \frac{1}{e} > \frac{1}{4} \Rightarrow \frac{1}{16} < \frac{1}{e^2} < \frac{1}{4} \Rightarrow -\frac{1}{4} < -\frac{1}{e^2} < -\frac{1}{16}$$

$$0 < \frac{1}{4} - \frac{1}{e^2} < \frac{3}{16} < \frac{4}{16} = \frac{1}{4} \Rightarrow 0 < \sqrt{\frac{1}{4} - \frac{1}{e^2}} < \frac{1}{2}$$

$$\therefore g'(z) = 0 \text{ at } z_1 = \frac{1}{2} - \sqrt{\frac{1}{4} - \frac{1}{e^2}} \quad \text{with } 0 < z_1 < \frac{1}{2}$$

$$\text{and } z_2 = \frac{1}{2} + \sqrt{\frac{1}{4} - \frac{1}{e^2}} \quad \text{with } \frac{1}{2} < z_2 < 1$$

$$g''(z) = \frac{d}{dz} (-z \log z - \log(1-z)) \\ = \frac{1}{z^2} \left[ -\frac{1}{z} + \frac{1}{1-z} \right] = \frac{1}{z^2} \left[ \frac{2z-1}{z(1-z)} \right]$$

$$z \leq y_2 \Rightarrow 2z \leq 1 \Rightarrow \underline{\underline{2z-1 \leq 0}}$$

$$\therefore g''(z) \leq 0 \quad \text{for } z \leq y_2$$

Therefore,

$g(z) = \gamma(z) - \gamma(1-z) = -z \log z + (1-z) \log(1-z)$  is  
differentiable & hence continuous on  $z \in [0, y_2]$

$g(z)$  crosses the  $z$ -axis at  $z=0$  and  $z=y_2$

$g'(z)$  changes sign exactly once in  $[0, y_2]$   
at  $z_1 = \frac{1}{2} - \sqrt{y_4 - y_2^2}$ .

$g''(z) \leq 0 \Rightarrow z_1 = \frac{1}{2} - \sqrt{y_4 - y_2^2} \in [0, y_2]$  is a maxima  
for  $z \leq y_2$

of  $g(z)$ .

$$g(z) = \int_z^{1-z} \log t dt + \frac{(1-z)-z}{\ln 2}$$

$$= \frac{1}{\ln 2} \int_z^{1-z} (\ln t + 1) dt$$

$\ln(t) + 1 = 0$  at  $t = e^{-1}$

For  $y_1 < t < y_2$  we have  $\ln(t) + 1 > 0$

∴ For  $z$  near the right end of  $[0, y_2]$   
we have  $\underline{g(z) > 0}$ .

→  $g(z)$  increases after crossing the  $z$ -axis at  $z=0$   
until maximum at  $z_1 = \frac{1}{2} - \sqrt{y_4 - y_2^2}$  and then  
decreases until it hits the  $z$ -axis again at  
 $z=y_2$ .

$$\therefore g(z) = \eta(z) - \eta(z-1) \geq 0 \text{ for } z \in [0, y_2]$$

$$\therefore \eta(z) \geq \eta(z-1) \text{ for } z \in [0, y_2]$$

⑦  $\log(z)$  is concave on  $(0, \infty)$ .

$$0 < z \leq y_2 \implies 0 < z \leq 1-z \leq 1$$

$$z \leq 1-z \leq 1$$

Slope of the chord from  $(z, \log(z))$  to  $(1-z, \log(1-z))$   $\geq$  Slope of the chord from  $(1-z, \log(1-z))$  to  $(1, \log(1))$ .

$$\frac{\log(1-z) - \log(z)}{1-2z} \geq \frac{0 - \log(1-z)}{1-(1-z)}$$

$$\frac{\log(1-z) - \log(z)}{1-2z} \geq \frac{-\log(1-z)}{z}$$

$$0 < z \leq y_2 \Rightarrow -1 \leq -2z \leq 0 \implies 0 \leq 1-2z \leq 1$$

$$\pi \log(1-z) - z \log(z) \geq -(1-2z) \log(1-z)$$

$$-z \log(z) \geq (1+2z-z) \log(1-z)$$

$$-z \log(z) \geq -(1-z) \log(1-z)$$

$$\underline{\underline{\gamma(z) \geq \gamma(1-z)}}$$

## Method 2

Assume that  $y \geq x$ ,

we need to prove that

$$| -y \log y + x \log x | \leq -(y-x) \log(y-x)$$

where  $\pi_1 y \in [0, 1]$  with  $y-x \leq y$ .

With  $u \geq 0$ ,

$$\begin{aligned} \int_0^1 \frac{u(u-1)}{1+(u-1)t} dt &= \int_0^1 \frac{u(u-1)}{1+(u-1)t} dt = u \left[ \log(1+(u-1)t) \right]_0^1 \\ &= u \log u. \end{aligned} \quad \boxed{u \log u = \int_0^1 \frac{u(u-1)}{1+(u-1)t} dt}$$

$$\left| \int_0^1 \left( \frac{y(1-y)}{1+(y-1)t} - \frac{x(1-x)}{1+(x-1)t} \right) dt \right| \leq \int_0^1 \frac{(y-x)(1-(y-x))}{1+(y-x-1)t} dt$$

$$\int_0^1 \left| \frac{y(1-y)}{1+(y-1)t} - \frac{x(1-x)}{1+(x-1)t} \right| dt \leq \int_0^1 \frac{(y-x)(1-(y-x))}{1+(y-x-1)t} dt$$

It suffices to prove that, for all  $t \in [0,1]$  and  
 $0 \leq \alpha \leq 1$  with  $y - \alpha \leq \frac{y}{2}$ ,

$$\left| \frac{y(1-y)}{1+(y-1)t} - \frac{\alpha(1-\alpha)}{1+(\alpha-1)t} \right| \leq \frac{(y-\alpha)(1-(y-\alpha))}{1+(y-\alpha-1)t}$$

$$\left( \frac{y(1-y)}{1+(y-1)t} - \frac{\alpha(1-\alpha)}{1+(\alpha-1)t} \right)^2 \leq \left( \frac{(y-\alpha)(1-(y-\alpha))}{1+(y-\alpha-1)t} \right)^2$$

$$S(\rho) = -\text{tr}(\rho \log \rho) = -\sum_i r_i \log r_i$$

$$S(\sigma) = -\sum_i s_i \log s_i$$

$$\begin{aligned}|S(\rho) - S(\sigma)| &= \left| \sum_i (-r_i \log r_i + s_i \log s_i) \right| \\ &= \left| \sum_i (\eta(r_i) - \eta(s_i)) \right|\end{aligned}$$

\* Whenever  $|r_i - s_i| \leq \gamma_2$ , we have

$$|\eta(r_i) - \eta(s_i)| \leq \eta(|r_i - s_i|)$$

When  $2T(\rho, \sigma) \leq \gamma_e$ ,

$$\begin{aligned}2T(\rho, \sigma) \leq \gamma_e < \gamma_2 \quad &\left\{ \sum_i |r_i - s_i| < \gamma_2 \right. \\ 2T(\rho, \sigma) \geq \sum_i |r_i - s_i|. \quad &\left. \sum_i |r_i - s_i| \leq \gamma_2\right.\end{aligned}$$

$$\therefore |r_i - s_i| \leq \gamma_2 \quad \forall i$$

$$|S(\rho) - S(\sigma)| = \left| \sum_i (\eta(r_i) - \eta(s_i)) \right| \leq \sum_i \eta(|r_i - s_i|)$$

— (11.47)

Setting  $\Delta \equiv \sum_i |r_i - s_i|$ ,

$$\begin{aligned} \Delta \eta\left(|r_i - s_i|/\Delta\right) - |r_i - s_i| \log \Delta &= \\ &= \Delta \left( -|r_i - s_i|/\Delta \right) \log \left( |r_i - s_i|/\Delta \right) - |r_i - s_i| \log \Delta \\ &= -|r_i - s_i| \left( \log \left( \frac{|r_i - s_i|}{\Delta} \times \Delta \right) \right) \\ &= -|r_i - s_i| \log(|r_i - s_i|) = \eta(|r_i - s_i|) \end{aligned}$$

$\Rightarrow - \sum_i$

$$\begin{aligned} |S(\rho) - S(\sigma)| &\leq \sum_i \left\{ \Delta \eta\left(|r_i - s_i|/\Delta\right) - |r_i - s_i| \log \Delta \right\} \\ &= \Delta \sum_i \eta\left(|r_i - s_i|/\Delta\right) - \log \Delta \sum_i |r_i - s_i| \\ &= \Delta \sum_i \eta\left(|r_i - s_i|/\Delta\right) - \Delta \log \Delta \end{aligned}$$

$|S(\rho)|$

$$|S(p) - S(\sigma)| \leq \Delta \sum_i \gamma(|x_i - s_i|/\Delta) + \gamma(\Delta)$$

where  $\Delta = \sum_i |x_i - s_i|$

Theorem 11.2: If  $X$  is a random variable with  $d$  outcomes. Then  $H(X) = -p(x) \sum_x \log p(x) \leq \log d$ .

$$\sum_i \left( \frac{|x_i - s_i|}{\Delta} \right) = \frac{\sum_i |x_i - s_i|}{\Delta} = 1$$

$$\frac{|x_i - s_i|}{\Delta} = \frac{|x_i - s_i|}{\sum_i |x_i - s_i|} \leq 1$$

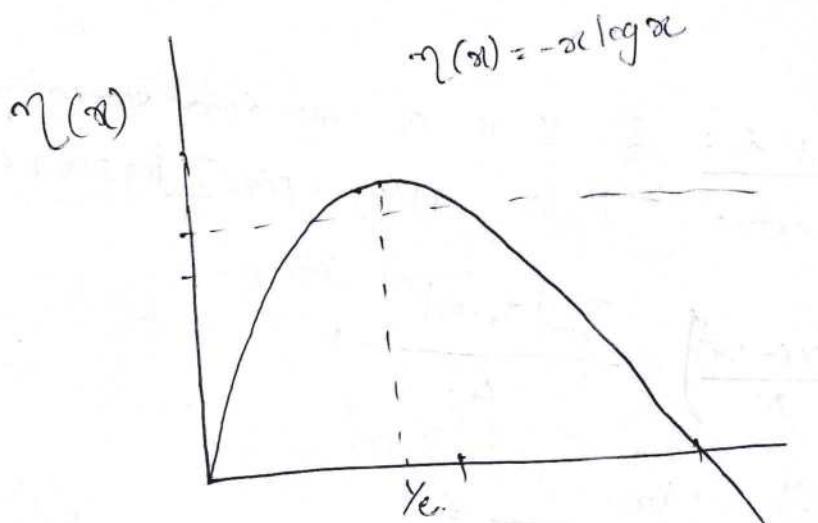
$\therefore \frac{|x_i - s_i|}{\Delta}$  form a probability distribution.

$$\Rightarrow - \sum_i \frac{|x_i - s_i|}{\Delta} \log \left( \frac{|x_i - s_i|}{\Delta} \right) = \sum_i \gamma \left( \frac{|x_i - s_i|}{\Delta} \right) \leq \log(d)$$

$$|S(p) - S(\sigma)| \leq \Delta \log(d) + \gamma(\Delta) \quad \text{--- (11.48)}$$

$$\text{Eq (II.46)} \Rightarrow 2T(\rho, \sigma) \geq \sum_i |\tau_i - s_i| = \Delta$$

$$\Rightarrow \Delta \leq 2T(\rho, \sigma)$$



$$n'(x) = 0 \Rightarrow \frac{1}{\ln(2)} \left[ -1 - \log x \right] = 0$$

$$\ln(x) = -1 = \ln(ye) \Rightarrow x = \underline{\underline{ye}}$$

$n(x)$  is increasing (strictly) on the interval  $[0, ye]$ .

$$|s(\rho) - s(\sigma)| \leq \Delta \log(d) + n(\Delta)$$

$$\leq 2T(\rho, \sigma) \log(d) + n(2T(\rho, \sigma))$$

□ Basic properties of von Neumann entropy

- ① The entropy is non-negative. The entropy is zero iff the state is pure.

$$S(P) \geq 0$$

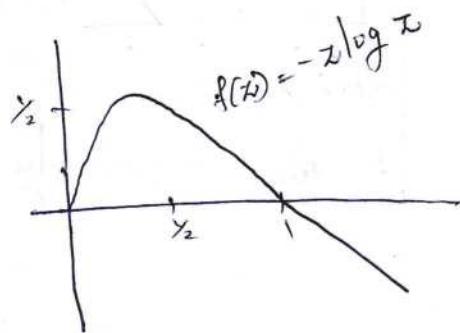
$$S(P) = 0 \text{ iff } P \text{ is pure}$$

Proof

$$S(P) = -\text{tr}(P \ln P) = -\sum_x \lambda_x \log \lambda_x$$

$$S(P) = -\sum_x \lambda_x \log \lambda_x = 0$$

$$\implies \lambda_x = 0 \text{ or } 1$$



② In a  $d$ -dimensional Hilbert space the entropy is at most  $\log d$ . The entropy is equal to  $\log d$  iff the system is in the completely mixed state  $I/d$ .

$$S(\rho) \leq \log d$$

$$S(\rho) = \log d \text{ iff } \rho = I/d$$

Proof

Let  $\rho = \sum_i p_i |i\rangle\langle i|$  is the orthonormal decomposition of  $\rho$  and  $\sigma = I/d = \frac{1}{d} \sum_i |i\rangle\langle i|$  is the completely mixed state.

$$\begin{aligned} S(\rho||\sigma) &= \text{tr}(\rho \log \rho) - \text{tr}(\rho \log \sigma) \\ &= -S(\rho) - \text{tr}(\rho \log \sigma) \\ &= -S(\rho) - \sum_i \langle i | \rho \log \sigma | i \rangle \\ &= -S(\rho) - \sum_i p_i \langle i | \log \sigma | i \rangle \end{aligned}$$

$$= -S(\rho) - \sum_i p_i \log d \langle i | i \rangle$$

$$= -S(\rho) + \log(d) \sum_i p_i$$

$$S(\rho \parallel \gamma_d) = -S(\rho) + \log d \geq 0$$

$$\Rightarrow \underline{S(\rho) \leq \log(d)}$$

③ Suppose a composite system AB is in a pure state. Then  $S(A) = S(B)$

$AB$  is a pure state  $\Rightarrow S(A) = S(B)$

Proof An arbitrary bipartite pure state is given as,

$$|\psi\rangle = \sum_i \sum_j c_{ij} |e_{1,i}\rangle \otimes |e_{2,j}\rangle \in \mathbb{C}^{d_1} \otimes \mathbb{C}^{d_2}$$

The coefficients  $c_{ij}$  form a matrix  $C_{d_1 \times d_2}$ .

The SVD of  $C$  is,  $C = U \Sigma V^T$ , where

$U, V$  are unitary operators.

$$|\psi\rangle = \sum_{i,j} \sum_{k,l} U_{ik} \sum_{j,l} V_{jl}^* |e_{1,i}\rangle \otimes |e_{2,j}\rangle$$

$$= \sum_{i,j,k,l} \sum_{kl} U_{ik} |e_{1,i}\rangle \otimes V_{jl}^* |e_{2,j}\rangle$$

$$= \sum_{k,l} \sum_{ki} \left( \sum_i U_{ik} |e_{1,i}\rangle \otimes \sum_j V_{jl}^* |e_{2,j}\rangle \right)$$

$$\Leftarrow \sum_{k,l} \sum_{kl} |\phi_{1,k}\rangle \otimes |\phi_{2,l}\rangle$$

$$\text{where, } |\phi_{1,k}\rangle = \sum_i U_{ik} |e_{1,i}\rangle \text{ and } |\phi_{2,l}\rangle = \sum_j V_{jl}^* |e_{2,j}\rangle$$

$$\text{such that } \|\phi_{1,k}\|^2 = \|\phi_{2,l}\|^2 = 1, \langle \phi_{1,k} | \phi_{1,m} \rangle = \delta_{km},$$

$$\langle \phi_{2,k} | \phi_{2,m} \rangle = \delta_{km}$$

$\therefore |f_{1k}\rangle, |f_{2,l}\rangle$  form an orthonormal basis for  $\mathcal{C}^{d_1}, \mathcal{C}^{d_2}$ , respectively.

$$\sum_{kl} = d_k \delta_{kl}$$

$$|\Psi\rangle = \sum_{k,l} d_k \delta_{kl} |f_{1k}\rangle \otimes |f_{2,l}\rangle \\ = \sum_{i=1}^{\gamma} d_i |f_{1i}\rangle \otimes |f_{2,i}\rangle$$

where,  $\gamma$ : # of non-vanishing diagonal elements  
in  $\sum$

$$\text{Normalization condition} \Rightarrow \langle \Psi | \Psi \rangle = \sum_i d_i^2 = 1$$

$\therefore$  Give a pure state  $|\Psi\rangle$  of a composite system AB, there exists orthonormal states  $|f_{1i}\rangle$  for system A, and orthonormal states  $|f_{2i}\rangle$  of system B such that,

$$|\Psi\rangle = \sum_i d_i |f_{1i}\rangle \otimes |f_{2i}\rangle$$

where  $d_i$  are non-negative real numbers satisfying

$\sum_i d_i^2 = 1$  known as Schmidt coefficients.

$$\begin{aligned}
 P_A = \text{tr}_B(P) &= \text{tr}_B(I_A \otimes \langle \Psi | \Psi \rangle) \\
 &= \text{tr}_B \left[ \left( \sum_{i=1}^r d_i |\psi_{1,i}\rangle \otimes |\psi_{2,i}\rangle \right) \left( \sum_{j=1}^s d_j \langle \psi_{1,j}| \otimes \langle \psi_{2,j}| \right) \right] \\
 &= \text{tr}_B \left[ \sum_{i,j} d_i d_j \langle \psi_{1,i}| \otimes |\psi_{2,i}\rangle \langle \psi_{1,j}| \otimes \langle \psi_{2,j}| \right] \\
 &= \text{tr}_B \left[ \sum_{i,j=1}^r d_i d_j |\psi_{1,i}\rangle \otimes |\psi_{2,i}\rangle \langle \psi_{1,j}| \otimes \langle \psi_{2,j}| \right]
 \end{aligned}$$

$\Rightarrow$

$$\begin{aligned}
 \text{tr}(A+B) &= \text{tr}(A) + \text{tr}(B) \\
 &\Rightarrow \sum_{i,j=1}^r \text{tr}_B \left[ d_i d_j |\psi_{1,i}\rangle \otimes |\psi_{2,i}\rangle \langle \psi_{1,j}| \otimes \langle \psi_{2,j}| \right]
 \end{aligned}$$

Partial trace defined on the Schmidt basis

$$\begin{aligned}
 \text{tr}_B(P) &= \sum_i (I_A \otimes \langle \psi_{2,i}|) P (I_A \otimes |\psi_{2,i}\rangle) \\
 &= \sum_{i,j=1}^r \left[ \sum_{k=1}^s (I_A \otimes \langle \psi_{2,k}|) (d_i d_j |\psi_{1,i}\rangle \otimes |\psi_{2,i}\rangle \langle \psi_{1,j}| \otimes \langle \psi_{2,j}|) (I_A \otimes |\psi_{2,k}\rangle) \right] \\
 &= \sum_{i,j=1}^r \left[ \sum_{k=1}^s d_i d_j |\psi_{1,i}\rangle \otimes \langle \psi_{2,k}| \langle \psi_{2,i}| \otimes \langle \psi_{2,j}| \right] \\
 &= \sum_{i,j=1}^r \left[ \sum_{k=1}^s d_i d_j |\psi_{1,i}\rangle \otimes \delta_{ki} \delta_{jk} \right] \\
 &= \sum_{i=1}^r d_i^2 |\psi_{1,i}\rangle
 \end{aligned}$$

$$\rho_A = \sum_{i=1}^s d_i^2 |\psi_{1,i}\rangle\langle\psi_{1,i}|$$

$$\rho_B = \sum_{i=1}^s d_i^2 |\psi_{2,i}\rangle\langle\psi_{2,i}|$$

$\Rightarrow$  The eigenvalues of  $\rho_A$  and  $\rho_B$  are identical and is the square of the Schmidt coefficients, namely  $d_i^2$  for both density matrices.

and the entropy is determined completely by the eigenvalues,  $S(\rho) = -\text{Tr}(\rho \log \rho) = -\sum_x \lambda_x \log \lambda_x$ .

$$\therefore \underline{S(A) = S(B)}$$

④ Suppose  $p_i$  are probabilities, and the states  $P_i$  have support on orthogonal subspaces. Then,

$$S\left(\sum_i p_i P_i\right) = H(P) + \sum_i p_i S(P_i)$$

The support of a matrix  $M$  is the orthogonal complement of its kernel, i.e.,  $\text{supp}(M) = \ker(M)^\perp$ .

Proof  $S(\rho) = -\text{tr}(\rho \log \rho) = -\sum_x \lambda_x \log \lambda_x$

Let  $\lambda_i^j$  and  $|x_i^j\rangle$  be the eigenvalues and corresp. eigenvectors of  $P_i$ .

$$\left(\sum_i p_i P_i\right) |x_i^j\rangle = p_i \lambda_i^j |x_i^j\rangle$$

since the states  $P_i$  have support on orthogonal subspaces

$\Rightarrow p_i \lambda_i^j$  and  $|x_i^j\rangle$  are the eigenvalues and eigenvectors of  $\sum_i p_i P_i$ .

$$\begin{aligned}
 S(\sum_i p_i \rho_i) &= - \sum_{i,j} p_i \lambda_i^j \log(p_i \lambda_i^j) \\
 &= \sum_{i,j} p_i \lambda_i^j \left[ \log(p_i) + \log(\lambda_i^j) \right] \\
 &= - \left( \sum_i p_i \log p_i \right) \sum_j \lambda_i^j - \sum_i p_i \sum_j \lambda_i^j \log \lambda_i^j \\
 &= - \sum_i p_i \log p_i - \sum_i p_i \sum_j \lambda_i^j \log \lambda_i^j \\
 &= H(p_i) + \sum_i p_i S(\rho_i)
 \end{aligned}$$

$\rho_i$  have support on orthogonal subspaces.

subspaces.  
 $\Rightarrow$  If a given  $\rho_i$  has an eigenvector  $|\lambda_i^j\rangle$  with a non-zero eigenvalue  $\lambda_i^j$ , then  $|\lambda_i^j\rangle$  is also an eigenvector of all other  $\rho_k$  for  $k \neq i$ , but  $\lambda_k^j = 0$ .

⑤ Joint entropy theorem

⇒

Suppose  $p_i$  are probabilities,  $|i\rangle$  are orthogonal states for a system A, and  $\rho_i$  is any set of density operators for another system B.  
Then,

$$S\left(\sum_i p_i |i\rangle \langle i| \otimes \rho_i\right) = H(p_i) + \sum_i p_i S(\rho_i)$$

ii)

S

Proof

$$\rho_{AB} = \sum_i p_i |i\rangle \langle i| \otimes \rho_i \quad \text{and} \quad \rho_i |\lambda_i^j\rangle = \lambda_i^j |\lambda_i^j\rangle$$

$$(|i\rangle \langle i| \otimes \rho_i)(|i\rangle \langle \lambda_i^j|) = |i\rangle \langle i| \otimes \rho_i |\lambda_i^j\rangle = \lambda_i^j (|i\rangle \langle \lambda_i^j|)$$

$$\begin{aligned} \rho_{AB} (|i\rangle \langle \lambda_i^j|) &= \left( \sum_i p_i |i\rangle \langle i| \otimes \rho_i \right) (|i\rangle \langle \lambda_i^j|) \\ &= p_i \lambda_i^j (|i\rangle \langle \lambda_i^j|) \end{aligned}$$

$\Rightarrow$  The eigenvectors of  $P_{AB} = \sum_i p_i |i\rangle\langle i| \otimes P_i$  are  
 $|i\rangle|\lambda_i^j\rangle$  with eigenvalue  $p_i \lambda_i^j$ .

$$\begin{aligned}
 S\left(\sum_i p_i |i\rangle\langle i| \otimes P_i\right) &= - \sum_{i,j} p_i \lambda_i^j \log p_i \lambda_i^j \\
 &= - \sum_{i,j} p_i \lambda_i^j (\log p_i + \log \lambda_i^j) \\
 &= - \sum_i p_i \log p_i \sum_j \lambda_i^j - \sum_i p_i \sum_j \lambda_i^j \log \lambda_i^j \\
 &= - \sum_i p_i \log p_i - \sum_i p_i \sum_j \lambda_i^j \log \lambda_i^j \\
 &= H(p_i) + \sum_i p_i S(P_i)
 \end{aligned}$$

Ex : 11.13 Entropy of a tensor product

Use the joint entropy theorem to show that

$$S(\rho \otimes \sigma) = S(\rho) + S(\sigma). \quad \text{Prove this result}$$

directly from the definition of the entropy.

Ans:

joint entropy theorem  $\Rightarrow$

$$S\left(\sum_i p_i |i\rangle\langle i| \otimes \rho_i\right) = H(p_i) + \sum_i p_i S(\rho_i)$$

Method:

Let  $\rho = \sum_i \gamma_i |i\rangle\langle i|$  be the spectral decomposition

Set  $p_i = \gamma_i$ ,  $\rho_i = \sigma$  for all  $i$ ,

$$\begin{aligned} S(\rho \otimes \sigma) &= S\left(\sum_i \gamma_i |i\rangle\langle i| \otimes \sigma\right) = H(\gamma_i) + \sum_i \gamma_i S(\sigma) \\ &= -\sum_i \gamma_i \log \gamma_i + S(\sigma) \sum_i \gamma_i \\ &= \underline{\underline{S(\rho) + S(\sigma)}} \end{aligned}$$

Method: 2 Let  $\rho = \sum_i \lambda_i |i\rangle\langle i|$  and  $\sigma = \sum_j \mu_j |j\rangle\langle j|$

$$\begin{aligned}\rho \otimes \sigma &= \left( \sum_i \lambda_i |i\rangle\langle i| \right) \otimes \left( \sum_j \mu_j |j\rangle\langle j| \right) \\ &= \sum_{ij} \lambda_i |i\rangle\langle i| \otimes \mu_j |j\rangle\langle j| \\ &= \sum_{ij} \lambda_i \mu_j |i\rangle\langle i| \otimes |j\rangle\langle j|\end{aligned}$$

$$\begin{aligned}s(\rho \otimes \sigma) &= - \sum_{ij} \lambda_i \mu_j \log (\lambda_i \mu_j) \\ &= - \sum_{ij} \lambda_i \mu_j \underbrace{[\log(\lambda_i) + \log(\mu_j)]}_{=} \\ &= - \sum_i \lambda_i \log \lambda_i \sum_j \mu_j - \sum_j \mu_j \log \mu_j \sum_i \lambda_i \\ &= - \sum_i \lambda_i \log \lambda_i - \sum_j \mu_j \log \mu_j \\ &= \underline{s(\rho) + s(\sigma)}\end{aligned}$$

Method 2  
Let  $\rho = \sum_i \gamma_i |i\rangle\langle i|$  and  $\sigma = \sum_j \mu_j |j\rangle\langle j|$

$$\begin{aligned}\rho \otimes \sigma &= \left( \sum_i \gamma_i |i\rangle\langle i| \right) \otimes \left( \sum_j \mu_j |j\rangle\langle j| \right) \\ &= \sum_{i,j} \gamma_i |i\rangle\langle i| \otimes \mu_j |j\rangle\langle j| \\ &= \sum_{i,j} \gamma_i \mu_j |i\rangle\langle j|\end{aligned}$$

$$\begin{aligned}S(\rho \otimes \sigma) &= - \sum_{i,j} \gamma_i \mu_j \log (\gamma_i \mu_j) \\ &= - \sum_{i,j} \gamma_i \mu_j \underbrace{\log (\gamma_i + \mu_j)} \\ &= - \sum_i \gamma_i \log \gamma_i \sum_j \mu_j - \sum_j \mu_j \log \mu_j \sum_i \gamma_i \\ &= - \sum_i \gamma_i \log \gamma_i - \sum_j \mu_j \log \mu_j \\ &= \underline{S(\rho) + S(\sigma)}\end{aligned}$$

The joint entropy  $S(A, B)$  for a composite system with 2 components A and B is defined as,

$$S(A, B) \equiv -\text{tr}(\rho_{AB}^{\text{AB}} \log \rho_{AB}^{\text{AB}})$$

$\rho_{AB}$ : density matrix of the system AB

We define the conditional entropy and mutual information by:

$$S(A|B) \equiv S(A, B) - S(B)$$

$$S(A : B) \equiv S(A) + S(B) - S(A, B)$$

$$= S(A) - S(A|B) = S(B) - S(B|A)$$

Some properties of the Shannon entropy fail to hold for the von Neumann entropy, and this has many interesting consequences for quantum information theory.

Ex:-

For random variables  $X$  and  $Y$ , the inequality  $H(X) \leq H(X,Y)$  holds.

- This makes intuitive sense: surely we can't be more uncertain about the state of  $X$  than we are about the joint state of  $X$  and  $Y$ .
- This intuition fails for quantum states!

Consider a system AB of 2 qubits in the entangled state  $\frac{|00\rangle + |11\rangle}{\sqrt{2}}$ , which is a pure state.

$$\Rightarrow S(A,B) = 0$$

The system A has density operator  $I/2$ , and thus has entropy equal to 1.

$$S(A) = -\frac{1}{2} \log \frac{1}{2} - \frac{1}{2} \log \frac{1}{2} = -\log \frac{1}{2} = \log 2 = 1$$

$$\Rightarrow S(B|A) = S(A,B) - S(A) \text{ is -ve.}$$

Ex: 11.14

### Entanglement and negative conditional entropy

Suppose  $|AB\rangle$  is a pure state of a composite system belonging to Alice and Bob. Show that  $|AB\rangle$  is entangled iff  $S(B|A) < 0$ .

$|AB\rangle$  is entangled iff  $S(B|A) < 0$

where  $|AB\rangle$  is a pure state of a composite system.

$$\rho \text{ is pure} \Rightarrow S(\rho) = 0$$

$$\text{Ans: } |AB\rangle \text{ is a pure state} \Rightarrow S(A_1B) = 0$$

$$S(B|A) = S(A_1B) - S(A) = -S(A) < 0$$

- If the initial multiparticle system was entangled, the reduced system is left in a mixed state.

$$A \text{ is pure state} \Leftrightarrow S(A) = 0$$

$$A_1B \text{ is entangled} \Leftrightarrow S(A) > 0$$

$$(A \text{ is mixed}) \Leftrightarrow S(B|A) < 0$$

## Measurements & Entropy

Suppose,

a projective measurement described by projectors  $P_i$  is performed on a quantum system, but we never learn the result of the measurement.

If the state of the system before the measurement was  $\rho$  then the state after is given by,

$$\begin{aligned}\rho' &= \sum_i p_i P_i^\dagger \\ &= \sum_i \text{tr}(P_i^\dagger P_i \rho) \frac{P_i \rho P_i^\dagger}{\text{tr}(P_i^\dagger P_i \rho)} \\ &= \sum_i P_i \rho P_i^\dagger \\ &= \sum_i P_i \rho P_i\end{aligned}$$

The following result shows that the entropy is never decreased by this procedure, and remains constant only if the state is not changed by the measurement.

Theorem 11.9: Projective measurements increase entropy

Suppose  $P_i$  is a complete set of orthogonal projectors and  $\rho$  is a density operator. Then the entropy of the state  $\rho' = \sum P_i \rho P_i$  of the system after the measurement is at least as great as the original entropy,

$$S(\rho') \geq S(\rho)$$

with equality if and only if  $\rho = \rho'$ .

Proof.

Applying Klein's inequality to  $\rho$  and  $\rho'$ ,

$$S(\rho || \rho') = -S(\rho) - \text{tr}(\rho \log \rho') \geq 0$$

The result will follow if we can prove that

$$-\text{tr}(\rho \log \rho') = S(\rho')$$

Applying the completeness relation  $\sum_i P_i = I$ ,  
 the relation  $P_i^2 = P_i$ , and the cyclic  
 property of the trace:

$$\begin{aligned}
 -\text{tr}(P \log P) &= -\text{tr}(I e^{\log P}) \\
 &= -\text{tr}\left(\sum_i P_i P \log P\right) \\
 &= -\text{tr}\left(\sum_i P_i^2 P \log P\right) \\
 &= -\text{tr}\left(\sum_i P_i P \log P^i P_i\right)
 \end{aligned}$$

$$P^i P_i = \left(\sum_j P_j P P_j\right) P_i = P_i P P_i^2 = P_i P P_i = P_i P^i$$

$$\begin{aligned}
 \log(B) &= \sum_{k=1}^{\infty} (-i)^{k+1} \frac{(B-I)^k}{k} \\
 &= (B-I) - \frac{(B-I)^2}{2} + \frac{(B-I)^3}{3} - \frac{(B-I)^4}{4} + \dots
 \end{aligned}$$

if  $\|B-I\| < 1$  then the series converges and

$$e^{\log(B)} = B.$$

For  $\rho'$ ,  $0 \leq \lambda_i \leq 1$

$$\Rightarrow -1 \leq \lambda_i - 1 \leq 0$$

$$\Rightarrow \|\rho' - I\| \leq 1$$

where,  $(\rho')^+ = \rho' \Rightarrow (\rho' - I)^+ = \rho' - I$

$\therefore \rho' - I$  is hermitian

$$\therefore \sigma_{(\rho' - I)}^{\max} = |\lambda_i|_{\max}$$

Note:

$P_i$  commutes with  $\rho' \Rightarrow P_i$  commutes with  $\log \rho'$ .

$$\begin{aligned}\therefore -\text{tr}(\rho \log \rho') &= -\text{tr} \left( \sum_i P_i \rho \log \rho' P_i \right) \\ &= -\text{tr} \left( \sum_i P_i \rho P_i \log \rho' \right) \\ &= -\text{tr}(\rho' \log \rho') = S(\rho')\end{aligned}$$

$$S(\rho||\rho') = -S(\rho) - k(\rho \log \rho')$$

$$= -S(\rho) + S(\rho') \geq 0$$

$$\Rightarrow \underline{S(\rho')} \geq \underline{S(\rho)}$$

Note:

Example

Start with the state  $|+\rangle$  and measure it in the Z basis, so you get answers  $|0\rangle$  and  $|1\rangle$  with  $\frac{1}{2}$  probability each since

$$Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = |0\rangle\langle 0| - |1\rangle\langle 1|$$

If we knew which result we have got, then you have a pure state and 0 entropy. But, if we don't know the result our best description of the state is  $\frac{1}{2}|0\rangle\langle 0| + \frac{1}{2}|1\rangle\langle 1|$  which has 1 bit of entropy.

Ex:11.15 Generalized measurements can decrease entropy.

Suppose a qubit in the state  $\rho$  is measured using the measurement operators  $M_1 = |0\rangle\langle 0|$  and  $M_2 = |0\rangle\langle 1|$ . If the result of the measurement is unknown to us then the state of the system afterwards is,  $\rho' = M_1 \rho M_1^\dagger + M_2 \rho M_2^\dagger$ . Show that this procedure can decrease the entropy of the qubit.

Ans:

$$\begin{aligned}\rho' &= M_1 \rho M_1^\dagger + M_2 \rho M_2^\dagger \\ &= \underbrace{|0\rangle\langle 0|}_{P_{00}} \rho \underbrace{|0\rangle\langle 0|}_{P_{00}} + \underbrace{|0\rangle\langle 1|}_{P_{11}} \rho \underbrace{|0\rangle\langle 1|}_{P_{11}} \\ &= P_{00} |0\rangle\langle 0| + P_{11} |0\rangle\langle 1| \\ &= \begin{bmatrix} P_{00} & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}\end{aligned}$$

$$\boxed{P_{00} + P_{11} = \text{Tr}(\rho) = 1}$$

$$\begin{aligned}S(\rho') &= -\text{Tr}(\rho' \log \rho') \\ &= -\sum_x \lambda_x \log \lambda_x = 0\end{aligned}$$

□ Subadditivity.

Suppose distinct quantum systems A and B have a joint state  $\rho^{AB}$ . Then the joint entropy of the 2 systems satisfies the inequalities:

i) Subadditivity inequality for von Neumann entropy

$$S(A,B) \leq S(A) + S(B)$$

with equality iff  $\rho^{AB} = \rho^A \otimes \rho^B$

i.e., systems A and B are uncorrelated

Proof

Klein's inequality

$$\Rightarrow S(\rho || \sigma) = -S(\rho) - \text{tr}(\rho \log \sigma) \geq 0$$

$$S(\rho) \leq -\text{tr}(\rho \log \sigma)$$

Setting  $\rho = \rho^{AB}$  and  $\sigma = \rho^A \otimes \rho^B$ ,

$$\log(\rho^A \otimes \rho^B) = \log(\rho^A) \otimes I_B + I_A \otimes \log(\rho^B)$$

Proof Let  $\rho^A = \sum_i \lambda_i^A |i\rangle\langle i|$  and  $\rho^B = \sum_j \lambda_j^B |j\rangle\langle j|$

$$\rho^A \otimes \rho^B = \left( \sum_i \lambda_i^A |i\rangle\langle i| \right) \otimes \left( \sum_j \lambda_j^B |j\rangle\langle j| \right)$$

$$= \sum_{i,j} \lambda_i^A |i\rangle\langle i| \otimes \lambda_j^B |j\rangle\langle j|$$

$$= \sum_{i,j} \lambda_i^A \lambda_j^B |i\rangle\langle i| \otimes |j\rangle\langle j|$$

$$= \sum_{i,j} \lambda_i^A \lambda_j^B (|i\rangle\langle i| \otimes |j\rangle\langle j|)$$

which is the spectral decomposition of  $\rho^A \otimes \rho^B$ .

$$\log(\rho^A \otimes \rho^B) = \sum_{i,j} \log(\lambda_i^A \lambda_j^B) |i\rangle\langle i| \otimes |j\rangle\langle j|$$

$$= \sum_{i,j} (\log(\lambda_i^A) + \log(\lambda_j^B)) |i\rangle\langle i| \otimes |j\rangle\langle j|$$

$$= \sum_{i,j} [\log(\lambda_i^A) |i\rangle\langle i| \otimes |j\rangle\langle j|] + [ |i\rangle\langle i| \otimes \log(\lambda_j^B) |j\rangle\langle j|]$$

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$$\begin{aligned}
 &= \sum_i \log(\lambda_i^A) |i\rangle\langle i| \otimes \sum_j |j\rangle\langle j| \\
 &\quad + \sum_i |i\rangle\langle i| \otimes \sum_j \log(\lambda_j^B) |j\rangle\langle j| \\
 &= \underline{\log(P^A) \otimes I_B + I_A \otimes \log(P^B)}
 \end{aligned}$$

Q. 3  
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$$\text{tr} \left( P^{AB} (\sigma^A \otimes I) \right) = \text{tr} \left( P^A \sigma^A \right)$$

Q. ②

Proof:

$$\text{Let's write } P^{AB} = \sum_{a,a',b,b'} P_{a,a',b,b'}^{\text{AB}} |a\rangle\langle a'| \otimes |b\rangle\langle b'|$$

$$\text{and } \sigma^A = \sum_{a,a'} \sigma_{a,a'}^A |a\rangle\langle a'| \text{ where } \{|a\rangle\} \text{ and } \{|b\rangle\}$$

are orthonormal basis.

$$\text{We can also write } P^A = \sum_{a,a'} P_{a,a'}^A |a\rangle\langle a'|.$$

$$\Rightarrow \langle a' | P^A | a \rangle = P_{a,a'}^A$$

[1]

$$\text{tr}(\rho^A \sigma^A) = \sum_{a,a'} \rho_{a,a'}^A \text{tr}(|a\rangle\langle a| \sigma^A)$$

$$= \sum_{a,a'} \rho_{a,a'}^A \langle a' | \sigma^A | a \rangle$$

$$= \sum_{a,a'} \rho_{a,a'}^A \sigma_{a'a}^A$$

$$\text{tr}(\rho^{AB} (\sigma^A \otimes I)) = \sum_{a,a',b,b'} \rho_{a,a',b,b'}^{AB} \text{tr}(|a\rangle\langle a| \sigma^A \otimes |b\rangle\langle b|)$$

$$= \sum_{a,a',b,b'} \rho_{a,a',b,b'}^{AB} \text{tr}(|a\rangle\langle a| \sigma^A) + \text{tr}(|b\rangle\langle b|)$$

$$= \sum_{a,a',b,b'} \rho_{a,a',b,b'}^{AB} \langle a' | \sigma^A | a \rangle \langle b' | b \rangle$$

$$= \sum_{a,a',b,b'} \rho_{a,a',b,b'}^{AB} \langle a' | \sigma^A | a \rangle \cdot \delta_{b',b}$$

$$= \sum_{a,a',b} \rho_{a,a',b}^{AB} \sigma_{a',a}^A$$

$$\rho^A = \text{tr}_B(\rho^{AB}) = \sum_{b''} (I \otimes \langle b'' |) \rho^{AB} (I \otimes |b'' \rangle)$$

$$= \sum_{b''} (I \otimes \langle b'' |) \left( \sum_{a,a',b,b'} \rho_{a,a',b,b'}^{AB} |a\rangle\langle a| \otimes |b\rangle\langle b| \right) (I \otimes |b'' \rangle)$$

$$= \sum_{a,a',b,b'} P_{a,a',b,b'}^{AB} |a\rangle\langle a'| \otimes \langle b''| b\rangle\langle b' | b''\rangle$$

$$= \sum_{a,a',b,b'} P_{a,a',b,b'}^{AB} |a\rangle\langle a'| \delta_{b'',b} \delta_{b',b''}$$

$$= \sum_{a,a',b} P_{a,a',b,b}^{AB} |a\rangle\langle a'|$$

$$\rightarrow P_{a,a'}^A = \langle a | P^A | a' \rangle = \sum_b P_{a,a',b,b}^{AB}$$

$$\begin{aligned} \therefore \text{tr} \left( P^{AB} (\sigma^A \otimes I) \right) &= \sum_{a,a',b} P_{a,a',b,b}^{AB} \sigma_{a',a}^A \\ &= \sum_{a,a'} \left( \sum_b P_{a,a',b,b}^{AB} \right) \sigma_{a',a}^A \\ &= \sum_{a,a'} P_{a,a'}^A \sigma_{a',a}^A \\ &= \text{tr} \left( P^A \sigma^A \right) \end{aligned}$$

$$\begin{aligned}
 -\text{tr}(\rho \log \sigma) &= -\text{tr}\left(\rho^{AB} \log (\rho^A \otimes \rho^B)\right) \\
 &= -\text{tr}\left(\rho^{AB} \left( \log (\rho^A) \otimes I_B + I_A \otimes \log (\rho^B) \right)\right) \\
 &= -\text{tr}\left(\rho^{AB} \left( \log (\rho^A) \otimes I_B \right)\right) - \text{tr}\left(\rho^{AB} \left( I_A \otimes \log (\rho^B) \right)\right) \\
 &\leq -\text{tr}(\rho^A \log (\rho^A)) - \text{tr}(\rho^B \log (\rho^B))
 \end{aligned}$$

$$= S(\rho^A) + S(\rho^B)$$

$$S(\rho^{AB}) \leq -\text{tr}(\rho^{AB} \log \sigma) = S(\rho^A) + S(\rho^B)$$

$$\therefore \underline{S(A,B)} \leq S(A) + S(B)$$

The equality conditions give equality conditions  
 inequality give equality conditions  
 $\rho^{AB} = \rho^A \otimes \rho^B$  for subadditivity.

$\rho = \sigma$  for Klein's

iii) Triangle inequality / Araki-Lieb inequality

$$S(A, B) \geq |S(A) - S(B)|$$

- It is the quantum analogue of the inequality  
 $H(x, y) \geq H(x)$

### Proof

Introduce a system  $R$  which purifies systems  $A$  and  $B$ .

Applying subadditivity we have,

$$S(R) + S(A) \geq S(A, R)$$

$ABR$  is in a pure state

$$\hookrightarrow S(A, R) = S(B) \text{ and } S(R) = S(A, B)$$

$$S(A, B) + S(A) \geq S(B)$$

$$\therefore S(A, B) \geq \underline{S(B) - S(A)}$$

By symmetry b/w the systems  $A$  and  $B$  we have,  $S(A, B) \geq S(A) - S(B)$

$$\left. \begin{array}{l} S(A, B) \geq S(A) - S(B) \\ S(A, B) \geq S(B) - S(A) \end{array} \right\} \quad S(A, B) \geq \underline{|S(A) - S(B)|}$$

## Concavity of the entropy

- The entropy is a concave function of its inputs.

i.e.,

Given probabilities  $p_i$  - non-negative real numbers such that  $\sum p_i = 1$ , and the corresponding density operators  $P_i$ , the entropy satisfies the inequality:

$$S\left(\sum_i p_i P_i\right) \geq \sum_i p_i S(P_i)$$

Intuition:  $\sum_i p_i P_i$  expresses the state of a quantum system which is in an unknown state  $P_i$  with probability  $p_i$ . Our uncertainty about this mixture of states should be higher than the average uncertainty of the states  $P_i$ , since the state  $\sum_i p_i P_i$  expresses ignorance not only due to the states  $P_i$ , but also a contribution due to our ignorance of the index  $i$ .

- Equality holds iff all the states  $P_i$  for which  $P_i > 0$  are identical

Proof

i.e.,  
the entropy is a strictly concave function of  
its inputs.

Proof Suppose the  $P_i$  are states of a system A.

Introduce an auxiliary system B whose state space has an orthonormal basis  $|i\rangle$  corresponding to the index i on the density operators  $P_i$ .

Define a joint state of AB by,

$$\rho^{AB} = \sum_i P_i P_i \otimes |i\rangle\langle i|$$

$$Tr_B(P_1 \otimes P_2) = P_1, Tr_B(P_2) = P_1$$

$$P_A = Tr_B \left( \sum_i P_i P_i \otimes |i\rangle\langle i| \right)$$

$$= \sum_i P_i Tr_B(P_i \otimes |i\rangle\langle i|) = \sum_i P_i P_i$$

$$P_B = Tr_A \left( \sum_i P_i P_i \otimes |i\rangle\langle i| \right) = \sum_i P_i Tr_A(P_i \otimes |i\rangle\langle i|)$$

$$= \sum_i P_i |i\rangle\langle i|$$

$$S(A) = S \left( \sum_i P_i P_i \right)$$

$$S(B) = S \left( \sum_i P_i |i\rangle\langle i| \right) = - \sum_i P_i \log P_i = H(P_i)$$

$$S(A,B) = S\left(\sum_i p_i p_i \otimes |i\rangle\langle i|\right)$$

$$= H(p_i) + \sum_i p_i S(p_i)$$

Joint entropy theorem  
(check 1st half)

Applying the subadditivity inequality,

$$S(A,B) \leq S(A) + S(B) \text{ obtains,}$$

$$H(p_i) + \sum_i p_i S(p_i) \leq S\left(\sum_i p_i p_i\right) + H(p_i)$$

$$\sum_i p_i S(p_i) \leq S\left(\sum_i p_i p_i\right)$$

- The intuition behind the introduction of the auxiliary system B

- We want to find a system of which, part is in the state  $\sum_i p_i p_i$ , where the value of  $i$  is not known. System B effectively stores the 'true' value of  $i$ ; if  $X$  were truly in state  $p_i$ , the system B would be in state  $|i\rangle\langle i|$ , and observing system B in the  $|i\rangle$  basis would reveal this fact.

Ex: 1.1.8

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App  
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Ex: 11.18 Prove that equality holds in the concavity inequality iff all the  $p_i$ 's are the same.

Aus:  $P^{AB} = \sum_i p_i P_i \otimes I_i X_i I_i$

 $P^A = \sum_i p_i P_i \text{ and } P^B = \sum_i p_i I_i X_i I_i$

$S(A, B) = S(A) + S(B) \text{ iff } P^{AB} = P^A \otimes P^B$

$\sum_i p_i P_i \otimes I_i X_i I_i = \sum_j p_j P_j \otimes \sum_k p_k I_k X_k I_k$

Applying  $I \otimes I^m$  on the left &  $I \otimes I^m$  on the right,

$P_m P_m = \sum_j p_j P_j \otimes P_m$

$$P_m = \sum_j P_j P_j \quad \text{for all } m$$

$\therefore$  All  $P_m$ 's are the same.

□ The entropy of a mixture of quantum states

For a mixture  $\sum p_i p_i$  of quantum states  $p_i$  the following inequality holds:

$$\sum_i p_i S(p_i) \leq S(\sum_i p_i p_i) \leq \sum_i p_i S(p_i) + H(p_i)$$

→ Our uncertainty about the state  $\sum_i p_i p_i$  is never more than our average uncertainty about the state  $p_i$ , plus an additional contribution of  $H(p_i)$  which represents the maximum possible contribution our uncertainty about the index  $i$  contributes to our total uncertainty.

Theorem 11.10: Suppose  $\rho = \sum_i p_i \rho_i$ , where  $p_i$  are some set of probabilities, and the  $\rho_i$  are density operators. Then,

$$S(\rho) \leq \sum_i p_i S(\rho_i) + H(p_i)$$

with equality iff. the states  $\rho_i$  have support on orthogonal subspaces.

Proof.

Case 1 -  $\rho_i = |\psi_i\rangle\langle\psi_i|$ , pure state.

Suppose the  $\rho_i$  are states of a system A, and introduce an auxiliary system B with an orthonormal basis  $|i\rangle$  corresponding to the index i on the probabilities  $p_i$ .

Define

$$|\text{AB}\rangle \equiv \sum_i \sqrt{p_i} |\psi_i\rangle|i\rangle$$

$$\rho = |AB\rangle\langle AB| = \sum_{i,j} |\psi_i\rangle\langle\psi_j|$$

$$\begin{aligned}\rho &= \text{tr}_B(|AB\rangle\langle AB|) = \sum_{i,j} \sqrt{P_i P_j} |\psi_i\rangle\langle\psi_j| - \text{tr}(|i\rangle\langle j|) \\ &= \sum_{i,j} \sqrt{P_i P_j} |\psi_i\rangle\langle\psi_j| - \langle j|i \rangle = \sum_{i,j} \sqrt{P_i P_j} |\psi_i\rangle\langle\psi_j| \cdot \delta_{ij} \\ &= \sum_i P_i |\psi_i\rangle\langle\psi_i| = \sum_i P_i P_i = \rho\end{aligned}$$

$|AB\rangle$  is a pure state  $\Rightarrow$

$$S(B) = S(A) = S\left(\sum_i P_i |\psi_i\rangle\langle\psi_i|\right) = S\left(\sum_i P_i P_i\right) = S(\rho)$$

We also have,

$$\begin{aligned}(I \otimes P_k) \rho^{AB} (I \otimes P_k) &= \sum_{i,j} \sqrt{P_i P_j} |\psi_i\rangle\langle\psi_j| \otimes P_k |i\rangle\langle j| P_k \\ &= P_k |\psi_k\rangle\langle\psi_k| \otimes |k\rangle\langle k|\end{aligned}$$

$$\rho^{AB'} = \sum_k P_k \rho_k^{AB'} = \sum_k (I \otimes P_k) \rho^{AB} (I \otimes P_k)$$

$$= \sum_k P_k |\psi_k\rangle\langle\psi_k| \otimes |k\rangle\langle k|$$

$$\rho^B = \text{tr}_A(\rho^{AB}) = \text{tr}_A \left( \sum_k P_k |\psi_k\rangle\langle\psi_k| \otimes |k\rangle\langle k| \right)$$

$$= \sum_k P_k |k\rangle\langle k| \cdot \text{tr}(|\psi_k\rangle\langle\psi_k|)$$

$$= \sum_k P_k |k\rangle\langle k| \cdot \langle\psi_k|\psi_k\rangle$$

$$= \sum_k P_k |k\rangle\langle k|$$

If we perform a  $\downarrow$  projective measurement on the system B in the  $|i\rangle$  basis. After the measurement the state of system B is,

$$\rho^B = \sum_i P_i |i\rangle\langle i|$$

Theorem 11.9: Projective measurements never decrease entropy.

$$\therefore S(\rho) = S(A) = S(B) \leq S(B') = H(P_i)$$

$$S(\rho) \leq H(P_i) \quad \text{where } \rho = \sum_i P_i |\psi_i\rangle\langle\psi_i|$$

$$= \sum_i P_i P_i$$

$S(\rho_i) = 0$  for a pure state  $\rho_i = |\psi_i\rangle\langle\psi_i|$

$\therefore S(\rho) \leq H(\rho) + \sum_i p_i S(\rho_i)$  where  $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$

The equality holds if and only if  $B = B'$ ,  
Theorem 11.9

we have

$$\begin{aligned}\rho^B &= \text{tr}_A((ABX_{AB})) = \text{tr}_A\left(\sum_{i,j} \sqrt{p_i p_j} |\psi_i\rangle\langle\psi_j| \otimes |i\rangle\langle j|\right) \\ &= \sum_{i,j} \sqrt{p_i p_j} \text{tr}(|\psi_i\rangle\langle\psi_j|) |i\rangle\langle j| \\ &= \sum_{i,j} \sqrt{p_i p_j} \langle\psi_j|\psi_i\rangle |i\rangle\langle j|\end{aligned}$$

$$\rho^{B'} = \sum_i p_i |i\rangle\langle i|$$

$\rho^B = \rho^{B'}$  iff the states  $|\psi_i\rangle$  are orthonormal.

Case 2 -  $P_i$  is a mixed state

Let  $P_i = \sum_j P_j^i |e_j^i\rangle\langle e_j^i|$  be orthonormal decompositions for the states  $P_i$

$$\begin{aligned} \text{So, } \rho &= \sum_i P_i P_i \\ &= \sum_i P_i \sum_j P_j^i |e_j^i\rangle\langle e_j^i| \\ &= \sum_{i,j} P_i P_j^i |e_j^i\rangle\langle e_j^i| \end{aligned}$$

Apply the pure state result  $S(\sum_k P_k |\psi_k\rangle\langle\psi_k|) \leq H(P_k)$   
and  $\sum_j P_j^i = 1$  for each  $i$ , and  $\sum_{i,j} P_i P_j^i = \sum_i P_i \sum_j P_j^i = \sum_i P_i = 1$

$$\begin{aligned} S(\rho) &= S\left(\sum_{i,j} P_i P_j^i |e_j^i\rangle\langle e_j^i|\right) \\ &\leq H(P_i P_j^i) \\ &= - \sum_{i,j} P_i P_j^i \log(P_i P_j^i) \\ &= - \sum_{i,j} P_i P_j^i [\log(P_i) + \log(P_j^i)] \end{aligned}$$

$$\begin{aligned}
 &= - \sum_{i,j} p_i p_j^i \log(p_i) - \sum_{i,j} p_i p_j^i \log(p_j^i) \\
 &= - \sum_i p_i \log(p_i) \sum_j p_j^i - \sum_i p_i \sum_j p_j^i \log(p_j^i) \\
 &= - \sum_i p_i \log(p_i) - \sum_i p_i \sum_j p_j^i \log(p_j^i) \\
 &= H(p_i) - \sum_i p_i S(p_i)
 \end{aligned}$$

Equality conditions for  
 the pure state case  $\Rightarrow S\left(\sum_{i,j} p_i p_j^i |e_j\rangle\langle e_j|\right) = H(p_i p_j^i)$   
 $S\left(\sum_i p_i |\psi_i\rangle\langle\psi_i|\right) = H(p_i)$   
 iff  $|\psi_i\rangle$  are orthonormal.

$\therefore S(p) = \sum_i p_i S(p_i) + H(p_i)$  iff the states  $p_i$  have  
 support on orthogonal subspaces.



## Strong subadditivity

The strong subadditivity inequality for von Neumann entropies states that for a trio of quantum systems  $A, B, C$ ,

$$S(A, B, C) + S(B) \leq S(A, B) + S(B, C)$$

\* Suppose  $f(A, B)$  is a real-valued function of two matrices  $A$  and  $B$ . Then  $f$  is said to be jointly concave in  $A$  and  $B$  if for all  $0 \leq \lambda \leq 1$ ,

$$f(\lambda A_1 + (1-\lambda)A_2, \lambda B_1 + (1-\lambda)B_2) \geq \lambda f(A_1, B_1) + (1-\lambda) f(A_2, B_2)$$

\* A real valued function  $f(x)$  on an interval  $(a, b)$  is called concave, provided for each pair of points  $x_1, x_2 \in (a, b)$  and each  $\lambda$  with  $0 \leq \lambda \leq 1$ , we have

$$f(\lambda x_1 + (1-\lambda)x_2) \geq \lambda f(x_1) + (1-\lambda) f(x_2) \quad \forall \lambda \in [0, 1]$$

Ex: n.23 Joint concavity implies concavity in each input

Let  $f(A, B)$  be a jointly concave function, then  
 $f(A, B)$  is concave in A, with B held fixed.

Find a function of 2 variables that is  
concave in each of its ip, but is not  
jointly concave.

\* For matrices  $A$  and  $B$ ,

$A \leq B$  if  $B-A$  is a positive matrix

$A \geq B$  if  $B \leq A$ .

Ex: A61

$\leq$  is preserved under conjugation

(OR)

If  $A \leq B$ , show that  $XAX^T \leq XBX^T$  for all matrices  $X$

Ans:  $A \leq B \implies B - A$  is a positive matrix

$XAX^T \leq XBX^T \implies XBX^T - XAX^T = X(B-A)X^T$  is a positive matrix.

$$v^T(B-A)v \geq 0 \quad (*)$$

$$u^T X(B-A)X^T u = (X^T u)^T (B-A)(X^T u) \geq 0 \quad (**)$$

$$u^T X(B-A)X^T u \geq 0 \quad (***)$$

$\therefore u^T [X(B-A)X^T] u \geq 0$   $\forall u$   
 $\implies X(B-A)X^T$  is a positive matrix

(OR)

$$B - A = M^T M$$

$$\Rightarrow X(B-A)X^T = X M^T M X^T = (MX^T)^T (MX^T)$$

$A \leq B$  if  $B - A$

$\therefore X(B-A)X^T$  is a positive matrix.

Ex: A 6.2

$A \geq 0$  iff  $A$  is a positive operator

Ans:

Ex: A6.3

$\leq$  is a partial order

$\leq$  is transitive:  $A \leq B$  and  $B \leq C \Rightarrow A \leq C$

$\leq$  is asymmetric:  $A \leq B$  and  $B \leq A \Rightarrow A = B$

$\leq$  is reflexive:  $A \leq A$

Ans:

i)  $A \leq B$  and  $B \leq C \Rightarrow B-A$  &  $C-B$  are positive

$$\Rightarrow \sqrt{(B-A)v^t} \geq 0 \quad \cancel{\text{+}} \quad \checkmark$$

$$\text{and } \sqrt{(C-B)v^t} \geq 0 \quad \cancel{\text{+}} \quad \checkmark \quad \cancel{c}$$

$$\cancel{\sqrt{(C-A)v^t} \geq 0} \quad \cancel{\text{+}} \quad \checkmark \quad \cancel{c}$$

$$\therefore A \leq C$$

ii)  $A \leq B$  and  $B \leq A \Rightarrow B-A$  &  $A-B$  are positive

$$\Rightarrow \sqrt{(B-A)v^t} \geq 0 \quad \text{and} \quad \sqrt{(A-B)v^t} \geq 0 \quad \cancel{\text{+}} \quad \checkmark \quad \cancel{c}$$

$$\Rightarrow \sqrt{(A-B)v^t} \leq 0 \quad \text{and} \quad \sqrt{(A-B)v^t} \geq 0 \quad \cancel{\text{+}} \quad \checkmark$$

$$\Rightarrow \sqrt{(A-B)v^t} = 0 \quad \cancel{\text{+}} \quad \checkmark \quad \Rightarrow A-B = 0$$

$$\Rightarrow A = B$$

Let  $A$  be an arbitrary matrix. We define the norm of  $A$  by,

$$\|A\| = \max_{\langle u|u\rangle=1} |\langle u|A|u\rangle|$$

whereas,

$$\sigma_{\max} = \max_{|\alpha\rangle \neq 0} \frac{\|A|\alpha\rangle\|}{\||\alpha\rangle\|} = \max_{\langle \alpha|\alpha\rangle=1} \langle \alpha|A^+ A|\alpha\rangle$$

$\xrightarrow{LA 10}$

Ex:A 6.4 Suppose  $A$  has eigenvalues  $\lambda_i$ . Define  $\lambda$  to be the maximum of the set  $|\lambda_i|$ . Then,

$$\textcircled{1} \quad \|A\| \geq \lambda$$

\textcircled{2} When  $A$  is Hermitian,  $\|A\| = \lambda$

\textcircled{3} When

$$A = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$\|A\| = \sqrt{2} > 1 = \lambda$$

Ans:

\textcircled{1} When  $|u\rangle$  is an <sup>(unit)</sup> eigenvector of  $A$ , such that  $A|u\rangle = \lambda_u|u\rangle$  where  $\lambda = |\lambda_u|$  is the largest.

date  
23/2023

$$K_u|A|u\rangle = |\lambda_u \langle u|u\rangle| = \lambda$$

$$\therefore \|A\| = \max_{\langle u|u\rangle=1} |K_u|A|u\rangle| \geq \lambda$$

(2)

$A$  is hermitian  $\Rightarrow$  eigenvectors form an orthonormal basis  
 $\{e_1, e_2, \dots, e_n\}$

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LAQ

where,

$$A|e_k\rangle = \lambda_k |e_k\rangle \text{ and } \langle e_j | e_k \rangle = \delta_{jk}$$

$$\text{such that } A = \sum_k \lambda_k |e_k\rangle \langle e_k|$$

A general unit vector  $|u\rangle$  can be written as a linear combination of the eigenvector basis,  
 $u = \sum_i c_i |e_i\rangle$  such that  $\|u\|^2 = \sum_i |c_i|^2 = 1$

We have,

$$\begin{aligned} \langle u | A u \rangle &= \left( \sum_i c_i^* \langle e_i | \right) \left( \sum_k \lambda_k |e_k\rangle \langle e_k| \right) \left( \sum_j c_j |e_j\rangle \right) \\ &= \left( \sum_i c_i^* \langle e_i | \right) \sum_{k,j} \lambda_k c_j |e_k\rangle \langle e_k| \langle e_k | e_j \rangle \\ &= \left( \sum_i c_i^* \langle e_i | \right) \left( \sum_k \lambda_k c_k |e_k\rangle \langle e_k | \right) \\ &= \sum_{i,k} c_i^* c_k \lambda_k \langle e_i | e_k \rangle \\ &= \sum_i |c_i|^2 \lambda_i \end{aligned}$$

$\|A\| = \max_{\{u|u\|=1\}} |Ku|A|u\rangle$  is the maximum value of  $|\sum_i |c_i|^2 \lambda_i|$ , subjected to the constraint that  $\sum_i |c_i|^2 = 1$ .

Numbering the  $\lambda_i$ 's and  $|e_i\rangle$  so that  $\lambda_1$  is the eigenvalue with highest magnitude, and the maximum value of  $|\sum_i |c_i|^2 \lambda_i|$  is achieved when  $c_1=1$  and  $c_2=\dots=c_n=0$ . The value achieved is  $|\lambda_1|$ .

$$\left( \sum_i |c_i|^2 \lambda_i \right) - \lambda_{\min} = \sum_i |c_i|^2 (\lambda - \lambda_{\min}) \geq 0 \Rightarrow \lambda_{\min} \leq \sum_i |c_i|^2 \lambda_i.$$

$$\lambda_{\max} - \left( \sum_i |c_i|^2 \lambda_i \right) = \sum_i |c_i|^2 (\lambda_{\max} - \lambda_i) \geq 0 \Rightarrow \sum_i |c_i|^2 \lambda_i \leq \lambda_{\max}$$

$$\lambda_{\min} \leq \sum_i |c_i|^2 \lambda_i \leq \lambda_{\max} \Rightarrow$$

$$|\sum_i |c_i|^2 \lambda_i| \leq \max(|\lambda_{\min}|, |\lambda_{\max}|) = |\lambda_{\max}|$$

$$③ \quad A = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

~~Start 18/3/2023~~

$$\langle u | A | u \rangle = [a^* \ b^*] \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = [a^* \ b^*] \begin{bmatrix} a \\ a+b \end{bmatrix}$$

$$= |a|^2 + ab^* + |b|^2 = 1 + ab^*$$

We have  $\langle u | u \rangle = |a|^2 + |b|^2 = 1$

$$\Rightarrow u = \begin{bmatrix} a \\ b \end{bmatrix} = \begin{bmatrix} \cos t e^{i\theta_1} \\ \sin t e^{i\theta_2} \end{bmatrix}$$

$$\langle u | A | u \rangle = 1 + ab^* = 1 + \sin t \cos t e^{i(\theta_1 - \theta_2)}$$

$$= 1 + \frac{1}{2} \sin 2t \cdot e^{i\theta}$$

$$= 1 + \frac{1}{2} (\sin 2t \cdot e^{i\theta}) \stackrel{\text{closure}}{=} R = \sin \theta - (\sin \theta) \frac{e^{i\theta}}{1}$$

$$\theta = \theta_1 - \theta_2$$

$$f(t, \theta) := |\langle u | A | u \rangle|^2 = \left( 1 + \frac{1}{2} \sin 2t e^{i\theta} \right) \left( 1 + \frac{1}{2} \sin 2t e^{-i\theta} \right)$$

$$= 1 + \frac{1}{2} \sin 2t [2 \cos \theta] + \frac{1}{4} \sin^2 2t$$

$$= 1 + \sin 2t \cdot \cos \theta + \frac{1}{4} \sin^2 2t$$

$$|R| = \left( \max f(t, \theta) \right)_{\theta \in \mathbb{R}} = \left| \sin 2t \right|$$

$$\frac{\partial f}{\partial \theta} = -\sin 2t \sin \theta = 0 \Rightarrow \sin 2t = 0 \text{ or } \sin \theta = 0$$

$$\begin{aligned}\frac{\partial f}{\partial t} &= 2 \cos at \cos \theta + \frac{1}{4} \times 2 \sin 2t \times \cos 2t \times 2 \\ &= 2 \cos at \cos \theta + \sin 2t \cos 2t \\ &= \cos at [2 \cos \theta + \sin 2t] = 0\end{aligned}$$

$$\sin 2t = 0 : \cos 2t = \pm 1$$

$$\cos \theta = 0 \rightarrow$$

$$\sin \theta = 0 : \cos \theta = \pm 1$$

$$\begin{aligned}\cos 2t [\pm 2 + \sin 2t] &= 0 \\ \cos 2t = 0 \text{ (or) } \sin 2t &= \pm 2 \quad (\text{Not possible})\end{aligned}$$

$$\Rightarrow \sin 2t = \pm 1$$

$$\sin 2t = 0, \cos \theta = 0 \Rightarrow f(t, \theta) = 1$$

$$\sin 2t = \pm 1, \cos \theta = \pm 1 \Rightarrow$$

$$\begin{aligned}f(t, \theta) &= 1 - 1 + \frac{1}{4} \quad (\text{or}) \quad 1 + 1 + \frac{1}{4} \\ &= \frac{9}{4} \quad (\text{or}) \quad \frac{9}{4}\end{aligned}$$

$$\therefore \max_{\langle u | u \rangle = 1} |\langle u | A | u \rangle| = \sqrt{9/4} = \underline{\underline{3/2}}$$

Ex: AG.5  $AB$  and  $BA$  have the same eigenvalues.

Ans: Let  $A$  and  $B$  be square matrices of same order.  
If one of them is invertible, say  $A$  is  
then

$$A^{-1}(AB)A = BA$$

$\Rightarrow AB \& BA$  are similar  
 $\Rightarrow$  same eigenvalues.

Let  $A = S^{-1}BS$

$$\begin{aligned} P_A(\lambda) &= \det(A - \lambda I) = \det [S^{-1}BS - \lambda S^{-1}S] \\ &= \det [S^{-1}(B - \lambda I)S] = \det(S^{-1}) \det(B - \lambda I) \det(S) \\ &= \det(S^{-1}) \det(S) \det(B - \lambda I) \\ &= \det(S^{-1}S) \det(B - \lambda I) \\ &= \det(B - \lambda I) = P_B(\lambda) \end{aligned}$$

case 2 If  $A$  &  $B$  are not invertible.

Define 2 matrices  $C$  and  $D$  of order  $n \times n$ .  
as follows,

$$C = \begin{bmatrix} xI_n & A \\ B & I_n \end{bmatrix} \quad \text{and} \quad D = \begin{bmatrix} I_n & 0 \\ -B & xI_n \end{bmatrix}$$

where  $x$  is an indeterminate.

$$\det \begin{bmatrix} A & B \\ C & D \end{bmatrix} = \det(A) \cdot \det(S) = \det(A) \cdot \det(D - CA^{-1}B)$$

$$\det \begin{bmatrix} A & 0 \\ C & D \end{bmatrix} = \det(A) \det(D)$$

$$\det(CD) = \det \begin{bmatrix} xI_n - AB & xA \\ 0 & xI_n \end{bmatrix}$$

$$= \det(xI_n - AB) \cdot \det(xI_n) = x^n \det(xI_n - AB)$$

$$\det(DC) = \det \begin{bmatrix} xI_n & A \\ 0 & xI_n - BA \end{bmatrix} = \det(xI_n) \det(xI_n - BA) \\ = x^n \det(xI_n - BA)$$

$$\det(D) = \det(DC) \Rightarrow \det(xI_n - AB) = \det(xI_n - BA)$$

$$\underline{\text{Hence proved}} \quad P_{AB}(x) = P_{BA}(x)$$

Ex: A6.6 Suppose  $A$  &  $B$  are such that  $AB$  is hermitian. Show that  $\|AB\| \leq \|BA\|$

$$\text{Ans: } \|A\| = \max_{\langle u|u\rangle=1} |\langle u|Au\rangle|$$

$$A \text{ is hermitian} \implies \|A\| = |\lambda|_{\max}$$

$$P_{AB}(\lambda) = P_{BA}(\lambda)$$

$$\therefore \|AB\| = \|BA\|$$

Ex: A 6.7 Suppose  $A$  is +ve. Show that  $\|A\| \leq 1$  if and only if  $A \leq I$

Ans:  $\|A\| = \max_{\{u|u \neq 0\}} |u| |A| u \rangle | = |\lambda|_{\max}$  since  $A$  is +ve

$$\lambda_i \geq 0$$

If  $A \leq I$ ,

(case 1)  $(I - A) \geq 0 \Rightarrow 1 - \lambda_i \geq 0 \quad \forall i$   
 $\lambda_i \leq 1 \quad \forall i$

$$\therefore \|A\| = |\lambda|_{\max} \leq 1$$

(case 2)

If  $\|A\| \leq 1$ ,

$$\|A\| = |\lambda|_{\max} \leq 1$$

$$A \text{ is +ve} \Rightarrow \lambda_i \geq 0$$

$$\lambda_i \leq \lambda_{\max} \leq 1 \Rightarrow \lambda_i \leq 1 \quad \forall i$$

$$1 - \lambda_i \geq 0 \quad \forall i$$

$$\therefore I - A \geq 0 \Rightarrow \underline{\underline{A \leq I}}$$

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