

papergrid[®]
Reflects You.

*You may have to fight a battle
more than once to win it.*

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Roll No. _____ Subject _____ School/College _____

[illegible]



1. $b \neq 0$, implies x is in \mathbb{Z}_N .

2. $b = 0$, implies x is in \mathbb{Z}_N .

3. $b = 0$, implies x is in \mathbb{Z}_N .

4. $b = 0$, implies x is in \mathbb{Z}_N .

5. $b = 0$, implies x is in \mathbb{Z}_N .

6. $b = 0$, implies x is in \mathbb{Z}_N .

7. $b = 0$, implies x is in \mathbb{Z}_N .

□ Application: order-finding

$$x = kN + b.$$

$$\begin{array}{r} k \\ N \overline{) x} \\ \underline{b} \end{array}$$

$$x = b \pmod{N}$$

L.A

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$$b = x \text{ div } N$$

$$b = x \text{ mod } N$$

* Suppose N is a +ve integer, and a is coprime to N , $1 \leq a < N$.

The order of a modulo N is defined to be the least positive integer r such that $a^r = 1 \pmod{N}$. The order finding problem is to determine r , given a and N .

Ex: 5.10. Show that the order of $a=5$ modulo $N=21$ is 6.

Ans: $a=5$ modulo 21 = 5 div 21.

~~Ans~~ $5^r = 1 \pmod{21}$ (Ans) $21 \overline{) 5}$
 \underline{x}

$$5^1 = 5 \pmod{21}$$

$$5^2 = 4 \pmod{21}$$

$$5^3 = 19 \pmod{21}$$

$$5^4 = 5 \pmod{21}$$

$$5^5 = 17 \pmod{21}$$

$$5^6 = 1 \pmod{21}$$

Modular exponentiation

Recall the exponential function, a^x

The modular exponential function is obtained by taking this function and calculating the remainder on division by N .

$$\text{i.e., } F_N(x) = a^x \bmod N$$

The order of the modular exponential, referred to as the order of $a \bmod N$ or $\text{ord}(a)$, is the smallest positive integer r such that $a^r \bmod N = 1$.

Equivalently, we can say that r is the period of this function $F_N(x) = a^x \bmod N$.

$$a^x \bmod N = 1 \implies$$

$$N \overline{\begin{array}{r} k \\ a^x N \\ \hline 1 \end{array}}$$

$$a^x = kN + 1$$

$$\implies a^{x+1} = kNa + a$$

$$N \overline{\begin{array}{r} \cancel{a^{x+1}} \\ \hline a \end{array}}$$

$$\implies a^{x+1} \bmod N = a \bmod N$$

where $k \in \mathbb{Z}$.

$$12 \bmod 5 = 23 \bmod 5 \\ = 3 \bmod 5$$

$$\therefore F_N(x+t) = F_N(x)$$

where $F_N(x) = a^x \bmod N$

$\implies t$ is the period of $F_N(x)$

Note: $t \leq N$

Order-finding is believed to be a hard problem on a classical computer, in the sense that no algorithm is known to solve the problem using resources polynomial in the $O(L)$ bits needed to specify the problem, where

$L \approx \log(N)$ is the # of bits needed to specify N

The quantum algorithm for order-finding is just the phase estimation algorithm applied to the unitary operator,

$$U|y\rangle = |xy \pmod N\rangle$$

with $y \in \{0,1\}^L$.

$\{0,1\}^L$: vectors of length L where each component is 0 or 1.

The effect of U_x on the basis states $|y\rangle$ where $N \leq y \leq 2^L - 1$ is not specified.

Hence, it does not matter where those states are being mapped to by U_x , as long as U_x is a unitary operation.

One could choose to map these states to themselves, i.e., $U_x|y\rangle = |y\rangle$ for $N \leq y \leq 2^L - 1$.

But for our purpose, it suffices to assume that the mapping is unitary.

The operation U_x is invertible as x has a multiplicative inverse modulo N . Since x and N are coprime, which is $x^{-1} \pmod{N}$. Applying the operation $|y\rangle \rightarrow |x^{-1}y \pmod{N}\rangle$ for any $0 \leq y \leq N-1$ would lead to an inverse operation of U .

As U_x only cycles computational basis states, the length of any state vector remains unaltered, hence the operation U_x is indeed unitary, so it can be implemented in a quantum circuit.

* Given x is coprime to N , the operator U_x which is a $2^L-1 \times 2^L-1$ matrix defined as

$$U_x |y\rangle = |xy \pmod N\rangle \quad \text{when } 0 \leq y \leq N-1$$

$$U_x |y\rangle = |y\rangle \quad \text{when } N \leq y \leq 2^L-1$$

is unitary.

If the order of $x \pmod N$ is r ,

$$\text{i.e. } x^r = 1 \pmod N \quad \text{and} \quad U_x^r = I$$

then all eigenvalues of U_x are r^{th} roots of unity:

$$\lambda_s = e^{\frac{2\pi i s}{r}}, \quad s \in \{0, 1, 2, \dots, r-1\}$$

and the corresponding eigenstates are:

$$|u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-\frac{2\pi i s k}{r}} |x^k \pmod N\rangle$$

Proof

$$\textcircled{1} \quad U_x |y\rangle = |xy \pmod N\rangle \neq \langle y|U_x|y\rangle$$
$$U_x |z\rangle = |xz \pmod N\rangle, \quad x, y \in \{0, 1, \dots, N-1\}$$

and $x \neq y$

If U_x map $|y\rangle$ and $|z\rangle$ to the same vector, then

$$xy = xz \pmod N$$

x is coprime to N } x has a multiplicative
 $\gcd(x, N) = 1$ } modulo N .

$$\therefore y = z \pmod N$$

$$\Rightarrow y = z \quad \text{since } y, z \in \{0, 1, \dots, N-1\}.$$

— This is a contradiction.

\therefore When $0 \leq y \leq N-1$, U_x will map bijectively to another vector in that range.

When $N \leq y \leq 2^k-1$, we require that U_x map to y so that the function is invertible for all y .

If $0 \leq y \leq N-1$, then,

$$\langle y|U^\dagger U|z \rangle = \langle xy \pmod{N} | xz \pmod{N} \rangle$$

$$= \delta_{(xy \pmod{N}), xz \pmod{N}}$$

$$= \delta_{yz}$$

If $N \leq y \leq 2^L - 1$, then,

$$\langle y|U^\dagger U|z \rangle = \langle y|z \rangle = \delta_{yz}$$

If y is in one range, and z is in another, then $\langle y|U^\dagger U|z \rangle = 0$ because each will get mapped to a different part of the image.

② If $|u\rangle$ is an eigenvector of U associated with eigenvalue λ then, $U|u\rangle = \lambda|u\rangle$

$$U^k|u\rangle = \lambda^k|u\rangle$$

$$U|y\rangle = |xy \pmod N\rangle \Rightarrow U^k|y\rangle = |x^k y \pmod N\rangle$$

for a computational basis state $|y\rangle$ encoding a y coprime to N .

Let r be the order of x modulo N , i.e., $x^r = 1 \pmod N$. Then,

$$U^r|u\rangle = \lambda^r|u\rangle = |u\rangle$$

$\Rightarrow \lambda$ is a # such that $\lambda^r = 1$.

The eigenvalues of U_N are the r th roots of unity.

$$\therefore \lambda_s = e^{2\pi i s/r} \quad \text{for } s = 0, 1, \dots, r-1$$

③ We begin with the observation that U_x permutes the states $|x^0 \pmod N\rangle, \dots, |x^{r-1} \pmod N\rangle$.

Consequently, the uniform superposition

$$|V_0\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |x^k \pmod N\rangle$$

is an eigenvector associated with eigenvalue 1.

Hoping that this might be a special case of a more general pattern, let's try

$$|V_{a_0, \dots, a_{r-1}}\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} a_k |x^k \pmod N\rangle,$$

$a_k \in \mathbb{C}$

$$U|V_{a_0, \dots, a_{r-1}}\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} a_k |x^{k+1} \pmod N\rangle$$

By definition of eigenvector, we need this reshuffling to allow us to pull a constant out in front of the sum; so we need $a_k = a^k$ for some constant a , i.e.,

$$|v_a\rangle = \frac{1}{\sqrt{s}} \sum_{k=0}^{s-1} a^k |\alpha^k \pmod N\rangle$$

$$U|v_a\rangle = \frac{1}{\sqrt{s}} \sum_{k=0}^{s-1} a^k |\alpha^{k+1} \pmod N\rangle$$

$$= a^{-1} \frac{1}{\sqrt{s}} \sum_{k=0}^{s-1} a^{k+1} |\alpha^{k+1} \pmod N\rangle$$

If $a^{-1} = 1$ then

$$U|v_a\rangle = \frac{a^{-1}}{\sqrt{s}} \sum_{k=0}^{s-1} a^k |\alpha^k \pmod N\rangle$$

$$= a^{-1} |v_a\rangle$$

and $|v_a\rangle$ is the eigenvector associated with eigenvalue $\lambda = a^{-1}$.

$$\Rightarrow a = e^{-2\pi i s / s}$$

$$\therefore |u_s\rangle = |v e^{-2\pi i s/r}\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i s k/r} |x^k \pmod{N}\rangle$$

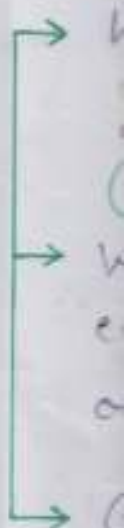
for integers $0 \leq s \leq r-1$ else

eigenstates of U_x associated with eigenvalue $e^{2\pi i s/r}$.

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The Phase Estimation algorithm, given U_N and $|u_s\rangle$, with high accuracy, could find s/r from which we can obtain the order r of U_N modulo N .

There are some obstacles to use the phase estimation procedure for this:

- We must have efficient procedures to implement a controlled- $U_N^{2^j}$ operation for any integer j (modular exponentiation).
- We must be able to efficiently prepare an eigenstate $|u_s\rangle$ with a non-trivial eigenvalue, or at least a superposition of such eigenstates.
- Once we have some n -bit approximation of (s/r) , how do we get r ?

Modular Exponentiation

How can we compute the sequence of controlled- U^{z^i} operations used by the phase estimation procedure as part of the order-finding algorithm?

That is, we wish to compute the transformation

$$\begin{aligned} |z\rangle|y\rangle &\longrightarrow |z\rangle U^{z, 2^{t-1}} \dots U^{z, 2^0} |y\rangle \\ &= |z\rangle |x^{z, 2^{t-1}} \dots \times x^{z, 2^0} y \pmod{N}\rangle \\ &= |z\rangle |x^z y \pmod{N}\rangle \end{aligned}$$

\therefore The sequence of controlled- U^{z^i} operations used in phase estimation is equivalent to multiplying the contents of the 2nd register by the modular exponential $x^z \pmod{N}$, where z is the contents of the 1st register.

This operation may be accomplished easily using the techniques of reversible computation.

The basic idea is to reversibly compute the function $x^2 \pmod N$ of x in a 3rd register, and then to reversibly multiply the contents of the 2nd register by $x^2 \pmod N$, using the trick of uncomputation to erase the contents of the 3rd register upon completion.

The algorithm for computing the modular exponential has 2 stages:

Step 1:

The 1st stage uses modular multiplication to compute $x^2 \pmod N$, by squaring x modulo N , then compute $x^4 \pmod N$ by squaring $x^2 \pmod N$, and continue in this way, computing $x^{2^j} \pmod N$ for all j upto $t-1$.

Step 2:

The 2nd stage of the algorithm is based upon

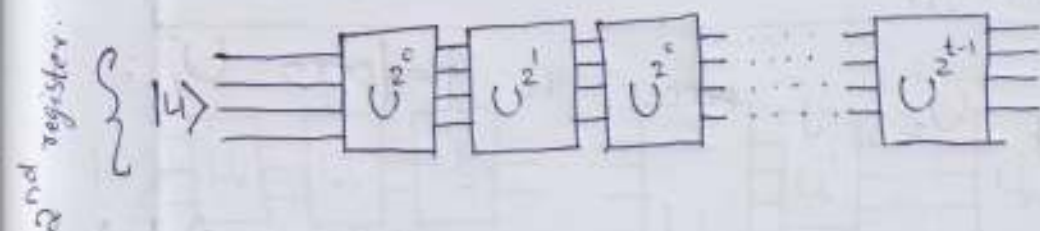
$$x^z \pmod N = \left(x^{z_t 2^{t-1}} \pmod N \right) \left(x^{z_{t-1} 2^{t-2}} \pmod N \right) \cdots \left(x^{z_1 2^0} \pmod N \right)$$

U_x operator : $U_x |y\rangle = |xy \pmod N\rangle$

Modular multiplication : $U_m(|x\rangle|y\rangle) = |x\rangle|xy \pmod N\rangle$

Modular squaring : $U_2|x\rangle = |x^2 \pmod N\rangle$

We can use the modular multiplication and the modular squaring above to build the modular exponentiation below:



We start with some ancilla bits $|1\rangle$ and the eigenvector $|u\rangle$

$$|1\rangle|u\rangle$$

Applying the U_x operator,

$$U_x|1\rangle \rightarrow |x\rangle$$

$$|1\rangle|u\rangle \rightarrow |x\rangle|u\rangle$$

Then we apply the modular squaring repetitively,

Apply U_x j times to perform $U_x^{2^j}$:

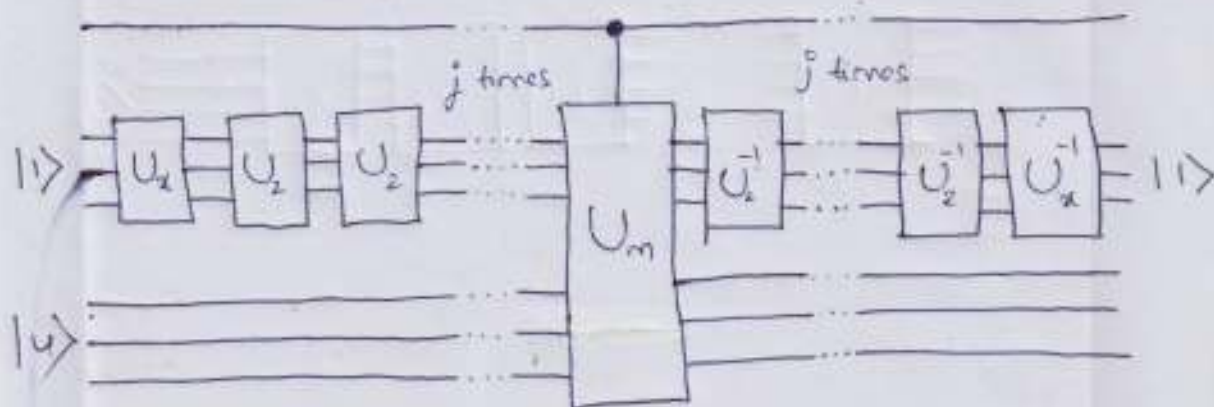
$$\begin{aligned} |x\rangle|u\rangle &\rightarrow |x^2 \pmod N\rangle|u\rangle \rightarrow |x^4 \pmod N\rangle|u\rangle \\ &\rightarrow |x^8 \pmod N\rangle|u\rangle \rightarrow \dots \rightarrow |x^{2^j} \pmod N\rangle|u\rangle \end{aligned}$$

Finally, apply the modular multiplication U_m

$$|x^{2^j}(\text{mod } N)\rangle |y\rangle \rightarrow |x^{2^j}(\text{mod } N)\rangle |x^{2^j}y(\text{mod } N)\rangle$$

\downarrow
 U^{2^j}

* The final circuit using those modules in producing the modular multiplication. The 2nd half of the circuit simply reverse the operation to recover the ancilla qubits.



2 Eigenstate Preparation

Given α is coprime to N ,

$$U_\alpha |y\rangle = |\alpha y \pmod N\rangle \quad \text{when } 0 \leq y \leq N-1$$

$$U_\alpha |y\rangle = |y\rangle \quad \text{when } N \leq y \leq 2^L - 1$$

such that U_α is unitary.

The eigenstates of U_α are

$$|u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-\frac{2\pi i s k}{r}} |\alpha^k \pmod N\rangle$$

and the corresponding eigenvalues are:

$$\lambda_s = e^{\frac{2\pi i s}{r}}, \quad s = \{0, 1, \dots, r-1\}$$

which are the r th roots of unity.

$$\langle + | = \langle u | \sum_{s=0}^{r-1} \frac{1}{r}$$

Preparing $|u_s\rangle$ requires that we know s beforehand, so this is out of the question.

There is a clever observation which allows us to circumvent the problem of preparing $|u_s\rangle$, which is that

$$\frac{1}{\sqrt{2}} \sum_{s=0}^{2^N-1} |u_s\rangle = |1\rangle$$

Proof

$$\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |u_s\rangle = \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} \left(\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{\frac{-2\pi i s k}{r}} |x^k \pmod{N}\rangle \right)$$

$$= \frac{1}{r} \sum_{s=0}^{r-1} \sum_{k=0}^{r-1} e^{\frac{-2\pi i s k}{r}} |x^k \pmod{N}\rangle$$

$$= \frac{1}{r} \sum_{k=0}^{r-1} \left(\sum_{s=0}^{r-1} e^{\frac{-2\pi i s k}{r}} \right) |x^k \pmod{N}\rangle$$

$$\sum_{s=0}^{r-1} e^{\frac{-2\pi i s k}{r}} = 0$$

$$= \frac{1}{r} \cdot r \cdot |x^0 \pmod{N}\rangle = |1\rangle$$

In fact, this is a particular case of a more general observation that,

$$\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{\frac{2\pi i s k}{r}} |u_s\rangle = |\alpha^k \pmod{N}\rangle$$

Proof

$$\begin{aligned} \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{\frac{2\pi i s k}{r}} |u_s\rangle &= \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} e^{\frac{2\pi i s k}{r}} \left(\frac{1}{\sqrt{r}} \sum_{k'=0}^{r-1} e^{\frac{-2\pi i s k'}{r}} |\alpha^{k'} \pmod{N}\rangle \right) \\ &= \frac{1}{r} \sum_{k'=0}^{r-1} |\alpha^{k'} \pmod{N}\rangle \sum_{s=0}^{r-1} e^{\frac{2\pi i s (k-k')}{r}} \\ &= \frac{1}{r} \sum_{k'=0}^{r-1} |\alpha^{k'} \pmod{N}\rangle (r \delta_{kk'}) \\ &= |\alpha^k \pmod{N}\rangle \end{aligned}$$

Let $k=0$, $\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |u_s\rangle = |1\rangle$

→ The state $|1\rangle$ is an equal superposition of the eigenstates of the operator U_N .

In performing the phase estimation procedure, if we use $t = 2L+1 + \log_2\left(2 + \frac{1}{\epsilon}\right)$ qubits in the 1st register, and prepare the 2nd register in the state $|1\rangle$ - which is trivial to construct, it follows that for each s in the range 0 through $r-1$, we'll obtain an estimate of the phase ϕ_s accurate to $2L+1$ bits i.e. to an accuracy 2^{-2L-1} with probability at least $|C_s|^2(1-\epsilon) = \frac{1}{r}(1-\epsilon)$.

Ex: 5.14

The quantum state produced in the order-finding algorithm, before the IQFT is,

$$(a) \quad |\psi\rangle = \sum_{j=0}^{q-1} |j\rangle U^j |1\rangle = \sum_{j=0}^{q-1} |j\rangle |x^j \pmod{N}\rangle$$

If we initialize the 2nd register as $|1\rangle$.

Ans: After the application of the 1st unitary U_2^1 the tensor product of the last qubit and the 2nd register will be,

$$\begin{aligned} \frac{1}{\sqrt{2}} (|0\rangle|1\rangle + |1\rangle U_2 |1\rangle) &= \\ &= \frac{1}{\sqrt{2}} (|0\rangle|1\rangle + |1\rangle \frac{1}{\sqrt{2}} \sum_{k=0}^{q-1} e^{2\pi i k/q} |k\rangle) \\ &= \frac{1}{\sqrt{2}} (|0\rangle|1\rangle + |1\rangle |x^1 \pmod{N}\rangle) \end{aligned}$$

Consider the tensor product of the last two qubits and the 2nd register after the application of the 2nd unitary, U_2^2 .

$$\begin{aligned} \frac{1}{2} (|0\rangle|0\rangle|1\rangle + |0\rangle|1\rangle U_2 |1\rangle + |1\rangle|0\rangle U_2^2 |1\rangle + |1\rangle|1\rangle U_2^3 |1\rangle) \\ = \frac{1}{2} (|0\rangle|0\rangle|1\rangle + |0\rangle|1\rangle |x^1 \pmod{N}\rangle + |1\rangle|0\rangle |x^2 \pmod{N}\rangle + |1\rangle|1\rangle |x^3 \pmod{N}\rangle) \end{aligned}$$

The tensor product of the last 3 qubits and the 2nd register after the application of the 3rd unitary, U_{α}^3 .

$$\frac{1}{\sqrt{2}} \left(|0\rangle|0\rangle|0\rangle|1\rangle + |0\rangle|0\rangle|1\rangle U_{\alpha}|1\rangle + |0\rangle|1\rangle|0\rangle U_{\alpha}^2|1\rangle + |0\rangle|1\rangle|1\rangle U_{\alpha}^3|1\rangle \right. \\ \left. + |1\rangle|0\rangle|0\rangle U_{\alpha}^4|1\rangle + |1\rangle|0\rangle|1\rangle U_{\alpha}^5|1\rangle + |1\rangle|1\rangle|0\rangle U_{\alpha}^6|1\rangle + |1\rangle|1\rangle|1\rangle U_{\alpha}^7|1\rangle \right)$$

$$= \frac{1}{\sqrt{2}} \left(|0\rangle|0\rangle|0\rangle|1\rangle + |0\rangle|0\rangle|1\rangle |\alpha \pmod N\rangle + |0\rangle|1\rangle|0\rangle |\alpha^2 \pmod N\rangle \right. \\ \left. + |0\rangle|1\rangle|1\rangle |\alpha^3 \pmod N\rangle + |1\rangle|0\rangle|0\rangle |\alpha^4 \pmod N\rangle \right. \\ \left. + |1\rangle|0\rangle|1\rangle |\alpha^5 \pmod N\rangle + |1\rangle|1\rangle|0\rangle |\alpha^6 \pmod N\rangle \right. \\ \left. + |1\rangle|1\rangle|1\rangle |\alpha^7 \pmod N\rangle \right)$$

Leaving out the factor of $\frac{1}{\sqrt{2^t}}$, the result of the phase estimation algorithm before the inverse QFT is:

$$|\psi\rangle = \sum_{j=0}^{2^t-1} |j\rangle U^j |1\rangle = \sum_{j=0}^{2^t-1} |j\rangle |\alpha^j \pmod N\rangle$$

$$|\psi\rangle = \sum_{j=0}^{2^t-1} |j\rangle |x^j \pmod N\rangle = \sum_{j=0}^{2^t-1} |j\rangle \left(\frac{1}{\sqrt{8}} \sum_{s=0}^{7-1} e^{2\pi i s j / 8} |u_s\rangle \right)$$

$$= \sum_{j=0}^{2^t-1} |j\rangle \frac{1}{\sqrt{8}} \left(e^{2\pi i j (0/8)} |u_0\rangle + e^{2\pi i j (1/8)} |u_1\rangle + e^{2\pi i j (2/8)} |u_2\rangle + \dots + e^{2\pi i j (7/8)} |u_7\rangle \right)$$

$$= \frac{1}{\sqrt{8}} \sum_{j=0}^{2^t-1} e^{2\pi i j (0/8)} |j\rangle |u_0\rangle + \frac{1}{\sqrt{8}} \sum_{j=0}^{2^t-1} e^{2\pi i j (1/8)} |j\rangle |u_1\rangle + \dots + \frac{1}{\sqrt{8}} \sum_{j=0}^{2^t-1} e^{2\pi i j (7/8)} |j\rangle |u_7\rangle$$

$$\text{QFT } |j\rangle = \frac{1}{2^{t/2}} \sum_{k=0}^{2^t-1} e^{2\pi i j k / 2^t} |k\rangle$$

The inverse QFT acts on each sum inversely.
 The inverse QFT will give an equal superposition of all the possible phases in the 1st register

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$$(\text{QFT})^\dagger |\psi\rangle = \frac{1}{\sqrt{r}} \left(\left| \frac{2^t 0}{r} \right\rangle |u_0\rangle + \left| \frac{2^t 1}{r} \right\rangle |u_1\rangle + \left| \frac{2^t 2}{r} \right\rangle |u_2\rangle + \dots + \left| \frac{2^t (r-1)}{r} \right\rangle |u_{r-1}\rangle \right)$$

$$= \frac{1}{\sqrt{r}} \left(\left| \frac{0}{r} \right\rangle |u_0\rangle + \left| \frac{1}{r} \right\rangle |u_1\rangle + \left| \frac{2}{r} \right\rangle |u_2\rangle + \dots + \left| \frac{r-1}{r} \right\rangle |u_{r-1}\rangle \right)$$

\rightarrow A measurement of the 1st register will give an approximation to s/r accurate to $2L+1$ bits, with a probability of error less than ϵ . Increasing the # of bits in the 1st register can put a very low upper-bound on the error; so at the end of the phase estimation algorithm, we can expect to have an approximation to s/r for some s ranging from 0 to $r-1$.

- (b) Show that the same state is obtained if we replace U^i with a different unitary transform V , which computes

$$V|j\rangle|k\rangle = |j\rangle|k + \alpha^i \pmod{N}\rangle$$

and start the 2nd register in the state $|0\rangle$.

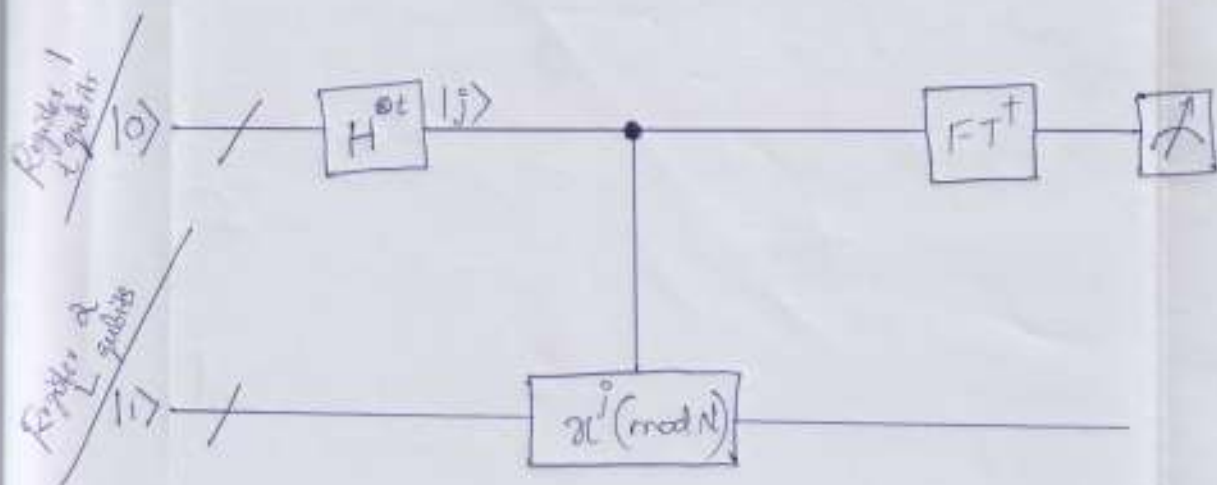
Also show how to construct V using $O(L^3)$ gates.

9.

Ans:

$$V|j\rangle|0\rangle = |j\rangle|0 + \alpha^i \pmod{N}\rangle = |j\rangle|\alpha^i \pmod{N}\rangle$$

* Quantum circuit for the order-finding algorithm.
 The 2nd register is shown as being initialized to the $|1\rangle$ state, but if the method of Ex: 5.14 is used, it can be initialized to $|0\rangle$ instead. This circuit can also be used for factoring.



③

The Continued fraction expansion

How to obtain the desired answer, r , from the result of the phase estimation algorithm, $\phi \approx s/r$?

We only know ϕ to $2L+1$ bits, but we also know a priori that it is a rational number, and if we could compute the nearest such fraction to ϕ we might obtain r .

Theorem 5.1: Suppose s/r is a rational number such that,

$$\left| \frac{s}{r} - \phi \right| \leq \frac{1}{2r^2}.$$

Then s/r is a convergent of the continued fraction for ϕ , and thus can be computed in $O(L^3)$ operations using the continued fraction algorithm.

PROOF
Appendix 4.3
Number Theory

QC work
13/4/2022

Since ϕ is an approximation of s/r accurate to $2L+1$ bits, i.e., to an accuracy of 2^{-2L-1} , it follows that

$$|\phi - s/r| \leq \frac{1}{2^n} = \frac{1}{2^{2L+1}}$$

$$2r^2 \leq 2\phi^2(N) \leq 2N^2 \leq 2(2^L)^2 = 2^{2L+1}$$

$$\Rightarrow \frac{1}{2^{2L+1}} < \frac{1}{2r^2}$$

\therefore

$$|s/r - \phi| \leq \frac{1}{2^{2L+1}} < \frac{1}{2r^2}$$

\rightarrow The theorem 5.1 applies.

QC Ques
13/4/2022

Given ϕ , the continued fraction algorithm efficiently produces numbers s and r with no common factor, such that $\frac{s}{r} = \frac{\phi}{1}$.

The number r is our candidate for the order. We can check to see whether it is the order by calculating $\alpha^r \bmod N$, and seeing if the result is 1. If so then r is the order of α modulo N , and we are done.

□ Performance of order-finding algorithm

How can the order-finding algorithm fail? There are 2 possibilities.

1st The phase estimation procedure might produce a bad estimate to s/r . This occurs with probability at most ϵ , and can be made small with a negligible increase in the size of the circuit since

$$\epsilon = \frac{1}{2(c-1)} = \frac{1}{2(2^{t-n}-2)}.$$

2nd More serious!

It might be that s and r have a common factor, in which case the number r' returned by the continued fractions algorithm be a factor of r , and not r itself.

There are at least 3 ways around this problem.

The degree of a vertex is the number of edges incident to it. The degree of a vertex is at least 2, and can be 2 or 3. The degree of a vertex is at least 2, and can be 2 or 3. The degree of a vertex is at least 2, and can be 2 or 3.

It is not possible to have a graph with 2 vertices and 3 edges. It is not possible to have a graph with 2 vertices and 3 edges. It is not possible to have a graph with 2 vertices and 3 edges.

Number of ways
3

①

For a randomly chosen s in the range 0 through $r-1$, it's pretty likely that s and r are coprime, in which case the continued fractions algorithm must return r .

Prime Number theorem $\Rightarrow \pi(x) \geq \frac{x}{\ln(x)}$

∴ The # of prime numbers less than x is at least $\frac{x}{2 \ln(x)}$.

i.e., $\pi(x) \geq \frac{x/2}{\ln(x)} = \frac{x}{2 \ln(x)}$

∴ The chance that s is prime (and therefore coprime to r) is at least

$$\frac{\pi(x)}{x} = \frac{1}{2 \ln(x)} > \frac{1}{2 \ln(N)}$$

Thus,

repeating the algorithm $2 \ln(N)$ times
well, with high probability, observe a
phase s/r such that s and r are coprime,
and therefore the continued fraction algorithm
produces r , as desired.

$$\frac{r}{(r) \ln 2} = \frac{1/r}{(r) \ln 2} \leq (r) \tau$$

$$\frac{1}{(r) \ln 2} \leq \frac{1}{(r) \ln 2} = \frac{1}{r}$$

②

If $r' \neq r$, then r' is guaranteed to be a factor of r , unless $s=0$ which possibility occurs with $\frac{1}{r} \leq \frac{1}{2}$, and which can be discounted further by a few repetitions.

s is chosen uniformly at random from 0 through $r-1$.

$$\therefore P(s=0) = \frac{1}{r}$$

We replace 'a' by $a' \equiv a^r \pmod{N}$. Then the order of a' is r/s . We can repeat the algorithm, and try to compute the order of a' , which if we succeed, allows us to compute the order of a , since $r = r' \times \frac{r}{r'}$.

If we fail, then we obtain r'' which is a factor of r/s , and we now try to compute the order of $a'' \equiv (a')^{r''} \pmod{N}$.

We iterate this procedure until we determine the order of 'a'.

$$r \leq N \leq 2^L \quad \rightarrow \quad \log_2(r) \leq \log_2(N) \leq L$$

At most $\log_2(r) = O(L)$ iterations are required, since each repetition reduces the order of the current candidate $a'' \dots$ by a factor of at least 2.

③

The 3rd method is better than the 1st two methods, in that it requires only a constant # of trials, rather than $O(L)$ repetitions.

The idea is to repeat the phase-estimation-continued fractions procedure twice, obtaining r_1, s_1 the 1st time, and r_2, s_2 the second time. Provided s_1 and s_2 have no common factors, x may be extracted by taking the least common multiple of r_1 and r_2 .

Ex:

Ex:-

$$\gamma = 60$$

the phase estimation step gives a good approximate to $\frac{s_1}{\tau} = \frac{40}{60}$ (i.e., $s_1 = 40$) with

$$\frac{s'_1}{\tau'_1} = \frac{2}{3} \text{ (i.e., } s'_1 = 2, \tau'_1 = 3) \text{ as the convergent.}$$

Case 1 / Performing the phase estimation again might give you a good approximate to $\frac{s_2}{\tau} = \frac{21}{60}$ (i.e., $s_2 = 21$), and you'll get $\frac{s'_2}{\tau'_2} = \frac{7}{20}$ (i.e., $s'_2 = 7, \tau'_2 = 20$) as a convergent.

$$\gcd(s_1, s_2) = \gcd(40, 21) = 1 \text{ \& } \gcd(s'_1, s'_2) = \gcd(2, 7) = 1$$

\therefore

$$\text{lcm}(\tau'_1, \tau'_2) = \text{lcm}(3, 20) = 60 = \gamma$$

If we got $\frac{40}{60} = \frac{2}{3}$ and $\frac{28}{60} = \frac{7}{15}$ in

Case 2 / our two attempts, i.e., $s_1 = 40$ & $s_2 = 28$.
 $s'_1 = 2, \tau'_1 = 3$; $s'_2 = 7, \tau'_2 = 15$.

$$\gcd(s_1, s_2) = \gcd(40, 28) = 4 \neq$$

$$\gcd(s'_1, s'_2) = \gcd(2, 7) = 1.$$

$$\therefore \text{lcm}(r'_1, r'_2) = \text{lcm}(3, 5) = 15 \neq 60 = r$$

Proof

Lemma: $\gcd(a, mn) = 1$ iff $\gcd(a, m) = 1$ & $\gcd(a, n) = 1$

Suppose that $\gcd(s_1, s_2) = 1$,

$$\frac{s_1'}{r_1'} = \frac{s_1' a}{r_1' a} = \frac{s_1}{r} \quad \& \quad \frac{s_2'}{r_2'} = \frac{s_2' b}{r_2' b} = \frac{s_2}{r}$$

with $\gcd(r_1', s_1') = \gcd(r_2', s_2') = 1$.

$$\gcd(s_1, s_2) = 1 \implies \gcd(s_1' a, s_2' b) = 1$$

Lemma implies, $\gcd(a, b) = 1$

$\tau = \tau_1' a = \tau_2' b$ & a, b have no common factors.
 $a | \tau_2' b$ & $b | \tau_1' a$

$$\implies a | \tau_2' \text{ \& } b | \tau_1'$$

$\therefore \tau_1' = k_1 b$ & $\tau_2' = k_2 a$ for some integers $k_1, k_2 \in \mathbb{Z}$

Then,

$$a k_1 b = \tau = a k_2 b$$

$$\implies k_1 = k_2 = k \text{ (say)}$$

Hence, $\gcd(\tau_1', \tau_2') = k$

$$\begin{aligned} \implies \text{lcm}(\tau_1', \tau_2') &= \frac{\tau_1' \tau_2'}{\gcd(\tau_1', \tau_2')} = \frac{k_1 b \times k_2 a}{k} \\ &= \frac{k b \times k a}{k} = a k b = \tau \end{aligned}$$

The probability that s_1 and s_2 have no common factors is given by,

$$1 - P(s_1 \text{ \& } s_2 \text{ have common factors}) =$$

$$= 1 - \sum_q P(q|s_1) P(q|s_2)$$

where the sum is over all prime numbers q , and $P(a|y)$ means the probability of a dividing y .

s, s_2 are chosen uniformly at random from 0 through $r-1$.

$s=0$ case is eliminated.

$$= \left(\text{number of } s \text{ such that } s \equiv 0 \pmod{q} \right) \cdot \frac{1}{r-1}$$

Let n be the largest integer such that $nq \leq r$, where q is the required prime number. Then there are n elements in $\{0, 1, 2, \dots, r-1\}$ which are divisible by q .

$$P(\text{chosen } s \text{ is divisible by } q) = P(q|s) = \frac{n}{r}$$

$$nq \leq r \implies \frac{n}{r} \leq \frac{1}{q} \quad \left\{ \begin{array}{l} P(q|s) \leq \frac{1}{q} \end{array} \right.$$

Stack
2/6/22

$P(=$

Ex: 5-16

Sho

Proo

$$\textcircled{1} \int_x^{x+1} \frac{1}{y^2}$$

Defi

$f(2)$

$f'(2)$

$$\therefore P(q|s_1) \leq \frac{1}{q} \quad \& \quad P(q|s_2) \leq \frac{1}{q}$$

$$P(s_1 \& s_2 \text{ have no common factors}) = 1 - \sum_q P(q|s_1)P(q|s_2) \\ \geq 1 - \sum_q \frac{1}{q^2}$$

Ex: 5.16 For all $x \geq 2$ prove that $\int_x^{x+1} \frac{dy}{y^2} \geq \frac{2}{3x^2}$.

Show that $\sum_q \frac{1}{q^2} \leq \frac{3}{2} \int_2^{\infty} \frac{dy}{y^2} = \frac{3}{4}$.

Proof

$$\textcircled{1} \int_x^{x+1} \frac{1}{y^2} dy = \left[\frac{-1}{y} \right]_x^{x+1} = \frac{1}{x} - \frac{1}{x+1} = \frac{1}{x(x+1)}$$

Define, $f(x) = \frac{1}{x(x+1)} - \frac{2}{3x^2}$

$$f(2) = \frac{1}{6} - \frac{2}{12} = 0$$

$$f'(x) = \frac{-(2x+1)}{x^2(x+1)^2} + \frac{2}{3x^2} = \frac{-6x - 3 + 2x(x+1)^2}{3x^2(x+1)^2}$$

$$x \geq 2 \implies 2x(x+1)^2 \geq 36$$

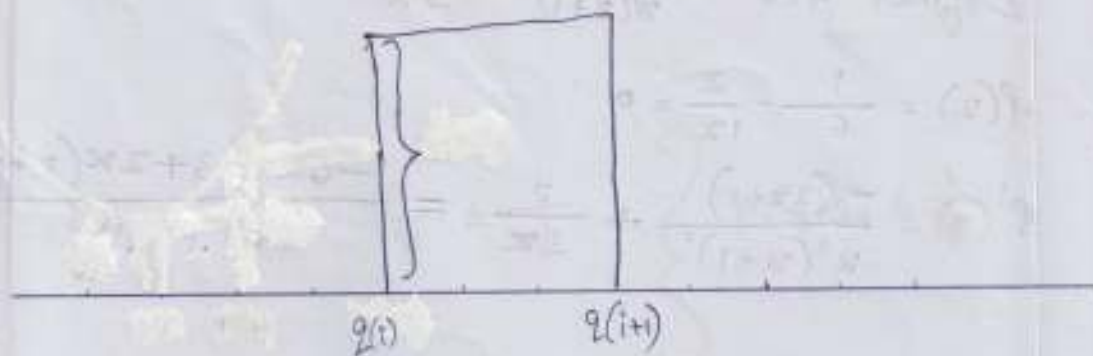
$$-(6x+3) \geq -15$$

$$\underline{\underline{-6x-3+2x(x+1)^2 \geq 21}}$$

$\implies f'(x)$ is +ve for all $x \geq 2$

$$\therefore \int_x^{x+1} \frac{1}{y^2} dy \geq \frac{2}{3x^2} \quad \forall x \geq 2$$

$$\textcircled{2} \quad \frac{1}{x^2} \leq \frac{3}{2} \int_x^{x+1} \frac{dy}{y^2} \implies \boxed{\frac{1}{x^2} \leq \frac{3}{2} \int_{g(i)}^{g(i+1)} \frac{dy}{y^2}}$$



$$\frac{1}{q^{(1)}} + \frac{1}{q^{(2)}} + \dots \leq \frac{3}{2} \int_{q^{(1)}}^{q^{(2)}} \frac{dy}{y^2} + \frac{3}{2} \int_{q^{(2)}}^{q^{(3)}} \frac{dy}{y^2} + \dots$$

$$\begin{aligned} \sum_q \frac{1}{q^2} &\leq \frac{3}{2} \int_2^{\infty} \frac{dy}{y^2} = \frac{3}{2} \left[-\frac{1}{y} \right]_2^{\infty} \\ &= \frac{3}{2} \left[0 - \frac{1}{2} \right] = \frac{3}{4} \end{aligned}$$

\therefore

$$\sum_q \frac{1}{q^2} \leq \frac{3}{2} \int_2^{\infty} \frac{dy}{y^2} = \frac{3}{4}$$

$$\left. \sum_q \frac{1}{q^2} \leq \frac{3}{4} \right\} 1 - \sum_q \frac{1}{q^2} \geq 1 - \frac{3}{4} = \frac{1}{4}$$

$$P(s_1, s_2 \text{ have no common factors}) =$$

$$= 1 - P(s_1, s_2 \text{ have common factors})$$

$$= 1 - \sum_q P(q|s_1) P(q|s_2)$$

$$\geq 1 - \sum_q \frac{1}{q^2} \geq \frac{1}{4}$$



Period - finding

Suppose f is a periodic function producing a single bit as output and such that $f(x+r) = f(x)$ for some unknown $0 < r < 2^L$, where $x, r \in \{0, 1, 2, \dots\}$.

Given a quantum black box U which performs the unitary transform

$$U|x\rangle|y\rangle \longrightarrow |x\rangle|y \oplus f(x)\rangle$$

\oplus : addition modulo 2.

Truncation Error



In practice U operates on a finite domain, whose size is determined by the desired accuracy for ϵ .

$$\langle \epsilon \rangle \approx \frac{1}{N} \sum_{i=1}^N \epsilon_i \leftarrow \langle \epsilon \rangle \approx \frac{1}{N} \sum_{i=1}^N \epsilon_i$$

Alg

Inputs

Output

Result

Process

- ①
- ② →

Algorithm: Period-finding.

Inputs: ① A black box which performs the operation

$$U|x\rangle|y\rangle = |x\rangle|y \oplus f(x)\rangle$$

② An n state to store the function evaluation, initialized to $|0\rangle$

③ $t = O(L + \ln(1/\epsilon))$ qubits initialized to $|0\rangle$

Outputs: The least integer $r > 0$ such that
 $f(x+r) = f(x)$

Runtime: One use of U , and $O(L^2)$ operations
succeeds with probability $O(1)$.

Procedure:

① $|0\rangle|0\rangle$

initial state

② $\rightarrow \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle|0\rangle$

create superposition

$$\textcircled{3} \rightarrow \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle |f(x)\rangle \quad \text{apply } U$$

$$\frac{1}{\sqrt{2^t}} \sum_{l=0}^{2^t-1} \sum_{x=0}^{2^t-1} e^{2\pi i l x / 2^t} |x\rangle |\hat{f}(l)\rangle$$

$$\textcircled{4} \rightarrow \frac{1}{\sqrt{2^t}} \sum_{l=0}^{2^t-1} |\tilde{l}/2^t\rangle |\hat{f}(l)\rangle \quad \begin{array}{l} \text{apply inverse} \\ \text{Fourier transform} \\ \text{to 1st register} \end{array}$$

$$\textcircled{5} \rightarrow \tilde{l}/2^t \quad \text{measure first register}$$

$$\textcircled{6} \rightarrow x \quad \text{apply continued fraction algorithm.}$$

The uniform superposition is given by,

$$H^{\otimes t} |0^t\rangle = \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle$$

— We initialize our system in the state

$$|\psi_1\rangle = |0^t\rangle |0^q\rangle$$

Next, we create a uniform superposition over $\{0, 1, 2, \dots, 2^t - 1\}$ in the 1st register

$$|0\rangle|0\rangle \xrightarrow{H^{ot}} \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle|0\rangle = |\psi_2\rangle$$

Classically, we could solve this period finding problem by querying our function with subsequent inputs until the function repeats. This takes $O(n) = O(2^t)$ queries to the function. With a Quantum computer, we can access the function in superposition to query the function with $N = 2^t$ inputs for each t qubits at the same time.

Let U be the unitary transformation that carries out our function, and implement it:

$$\frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle |0\rangle \xrightarrow{U} \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle |f(x)\rangle = |\psi_3\rangle$$

For analysis's purpose, we create a modified Fourier transform that makes sense for our periodic function. Specifically, if we restrict ourselves to the domain $x \in \{0, 1, \dots, 2^t-1\}$, we can use $|f(x)\rangle$ rather than $|x\rangle$ as our basis states. This is because f is not permitted to have any duplicate values each part of the domain.

The modified Fourier transform is now defined as:

$$|\hat{f}(l)\rangle = \frac{1}{\sqrt{r}} \sum_{x=0}^{r-1} e^{-2\pi i l x / r} |f(x)\rangle$$

which is defined for $0 \leq l < r$.

$$\Rightarrow |f(x)\rangle = \frac{1}{\sqrt{r}} \sum_{l=0}^{r-1} e^{2\pi i l x / r} |\hat{f}(l)\rangle$$

$$\therefore |\psi_3\rangle = \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} |x\rangle \left(\frac{1}{\sqrt{r}} \sum_{l=0}^{r-1} e^{2\pi i l x / r} |\hat{f}(l)\rangle \right)$$

$$= \frac{1}{\sqrt{r 2^t}} \sum_{l=0}^{r-1} \sum_{x=0}^{2^t-1} e^{2\pi i l x / r} |x\rangle |\hat{f}(l)\rangle$$

$$= \frac{1}{\sqrt{r 2^t}} \left[\sum_{x=0}^{2^t-1} e^{2\pi i x \left(\frac{0}{r}\right)} |x\rangle |\hat{f}(0)\rangle + \sum_{x=0}^{2^t-1} e^{2\pi i x \left(\frac{1}{r}\right)} |x\rangle |\hat{f}(1)\rangle + \dots + \sum_{x=0}^{2^t-1} e^{2\pi i x \left(\frac{r-1}{r}\right)} |x\rangle |\hat{f}(r-1)\rangle \right]$$

$$\text{QFT } |j\rangle = \frac{1}{\sqrt{2^t}} \sum_{k=0}^{2^t-1} e^{2\pi i j k / 2^t} |k\rangle$$

$$\Rightarrow (\text{QFT})^\dagger \frac{1}{\sqrt{2^t}} \sum_{\alpha=0}^{2^t-1} e^{2\pi i \alpha (l/r)} |\alpha\rangle = \left| \frac{2^t l}{r} \right\rangle$$

$$(\text{QFT})^\dagger |u\rangle = \frac{1}{\sqrt{r}} \left(\left| \frac{2^t \cdot 0}{r} \right\rangle |\hat{f}(0)\rangle + \left| \frac{2^t \cdot 1}{r} \right\rangle |\hat{f}(1)\rangle + \dots + \left| \frac{2^t (r-1)}{r} \right\rangle |\hat{f}(r-1)\rangle \right)$$

$$= \frac{1}{\sqrt{r}} \left(\left| \frac{\tilde{0}}{r} \right\rangle |\hat{f}(0)\rangle + \left| \frac{\tilde{1}}{r} \right\rangle |\hat{f}(1)\rangle + \dots + \left| \frac{\tilde{r-1}}{r} \right\rangle |\hat{f}(r-1)\rangle \right)$$

\therefore
 Applying the inverse Fourier transform to the
 1st register, in step 4, gives an estimate of
 the phase $1/\phi$, where ϕ is chosen randomly.
 ϕ can be obtained efficiently in the final
 step using a continued fraction expansion.

Note

Step 3:

$$\frac{1}{\sqrt{2^t}} \sum_{l=0}^{2^t-1} \sum_{x=0}^{2^t-1} e^{2\pi i l x / 2^t} |x\rangle |\hat{f}(l)\rangle =$$

$$= \frac{1}{\sqrt{2^t}} \sum_{l=0}^{2^t-1} \left(\frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} e^{2\pi i x \left(\frac{l 2^t}{2^t}\right) / 2^t} |x\rangle \right) |\hat{f}(l)\rangle$$

$$FT |j\rangle = \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} e^{2\pi i j x / 2^t} |x\rangle$$

j in the exponent's power is an integer, and j in $|j\rangle$ is a binary representation of an integer j by a quantum state.

① $\frac{l 2^t}{2^t}$ is integer, i.e.,

if 2^t is an integer multiple of 2^t

then the expression inside round brackets is exactly the QFT of the state $|\frac{l 2^t}{2^t}\rangle$.

$$\Rightarrow \frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} e^{2\pi i x \left(\frac{l 2^t}{2^t}\right) / 2^t} |x\rangle = FT(|l 2^t / 2^t\rangle)$$

② $\frac{12^t}{8}$ is not an integer
 i.e. if 2^t is not an integer multiple of γ

$$\frac{1}{\sqrt{2^t}} \sum_{x=0}^{2^t-1} e^{2\pi i x (12^t/8)/2^t} |x\rangle \neq \text{FT}(|12^t/8\rangle)$$

Since for rational $12^t/8$ there is no integer binary representation, and thus, no quantum state $|12^t/8\rangle$.

In this case, what we get from $|12^t/8\rangle = |\frac{\gamma}{1}\rangle$ in step 4 is only an approximation.

- The order of an element 'a' in the group (\mathbb{Z}_p^*, \cdot) is the smallest +ve integer r such that $a^r \equiv 1 \pmod{p}$.

The order finding can be seen as an instance of period finding for the function $f_a(s) = a^s \pmod{p}$, since the period of the function is exactly the order,

ie,

$$\begin{aligned} f_a(s+r) &= a^{s+r} \pmod{p} = a^s a^r \pmod{p} \\ &= a^s \pmod{p} = f_a(s) \end{aligned}$$