

Quantum Approximate Optimization Algorithm

Performance on Max-Cut using Heuristic Parameter
determination

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Supervisors

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computing

Max-Cut

QAOA

The general
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Applying QAOA to
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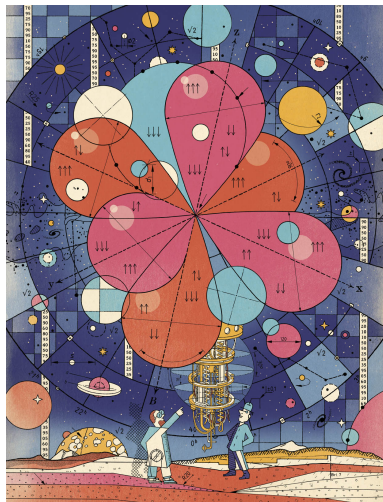
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Conclusions and Future Research

- Medicine
- Chemistry
- Cryptography
- Optimization



Are we there yet?

The current state of Quantum Computers

- 10 ~ 100 qubits
- Limited connectivity
- Non-negligible error rates
- No error correction

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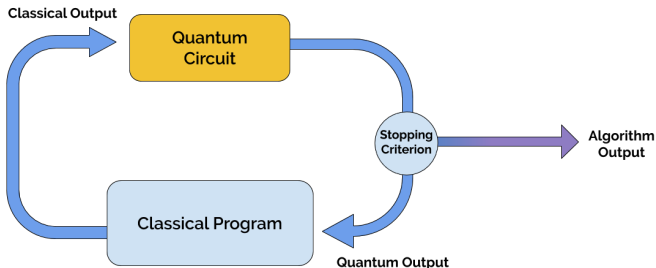
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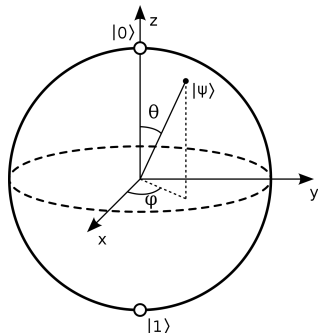
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One quantum bit or qubit can be described as a **superposition**, or (linear) combination of two states with corresponding **amplitudes**

$$|\psi\rangle = \alpha_1|0\rangle + \alpha_2|1\rangle \quad (1)$$

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Similarly, for a two qubit system we the system is described with four amplitudes.

$$\alpha_1|00\rangle + \alpha_2|01\rangle + \alpha_3|10\rangle + \alpha_4|11\rangle \quad (2)$$

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In general, we need 2^n amplitudes to describe an n qubit system and some say the system is in **2^n states** “at the same time”. Hence the exponential power of the quantum computer.

$$\alpha_1|0\dots 0\rangle + \dots + \alpha_{2^n}|1\dots 1\rangle \quad (3)$$

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However, we are not able to measure the amplitudes directly. Upon measurement, the system **collapses** into *one* classical state with probability related to the magnitude of the corresponding amplitude.

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Example with 2 qubits

Given the following system

$$\alpha_1|00\rangle + \alpha_2|01\rangle + \alpha_3|10\rangle + \alpha_4|11\rangle \quad (4)$$

we find the following results with their corresponding probabilities

State	Amplitude	Probability
00	α_1	$ \alpha_1 ^2$
01	α_2	$ \alpha_2 ^2$
10	α_3	$ \alpha_3 ^2$
11	α_4	$ \alpha_4 ^2$

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So the amplitudes have a special meaning: **the squared magnitude signifies the probability of a particular state.** We can change the amplitudes by applying **gates**, which are described by (unitary) matrices.

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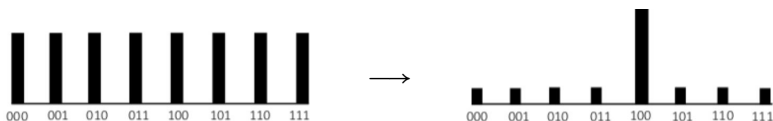
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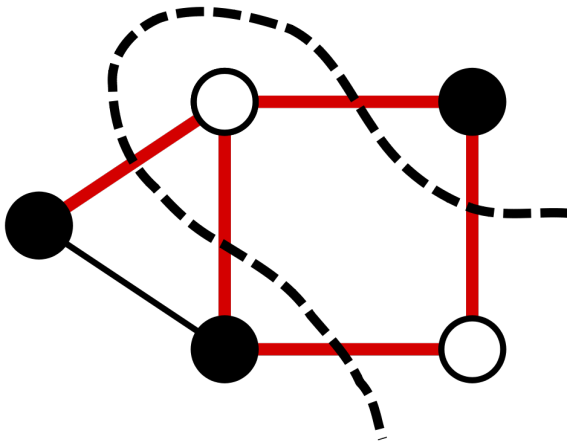
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The Max-Cut problem



We would like to find a **bipartition** that maximizes the following **cost function**

$$C = \sum_{i \in S, j \in \bar{S}} w_{i,j} \quad (5)$$

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Equivalently, we can use a **binary string** to represent the bipartition

$$C = \sum_{\{i,j\}} \frac{w_{i,j}}{2} (1 - z_i z_j) \quad (6)$$

where $\mathbf{z} \in \{-1, 1\}^n$ and $z_i = \begin{cases} 1, & \text{if } i \in S \\ -1, & \text{if } i \in \bar{S} \end{cases}$

The Quantum Approximate Optimization Algorithm

The Quantum Approximate Optimization Algorithm is designed to tackle combinatorial optimization problems. In general, these can be specified with n bits and m clauses. The aim is to satisfy as many clauses as possible

$$C = \sum_{\alpha=1}^m C_{\alpha} \quad (7)$$

$$\text{where } C_{\alpha} = \begin{cases} 1, & \text{if clause } C_{\alpha} \text{ is satisfied} \\ 0, & \text{if clause } C_{\alpha} \text{ is *not* satisfied} \end{cases}$$

Schematic of the QAOA circuit

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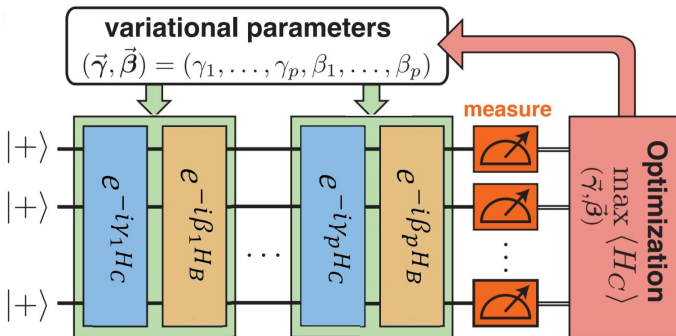


Figure adapted from Zhou et al. Quantum Approximate Optimization Algorithm: Performance, Mechanism, and Implementation on Near-Term Devices (2018)

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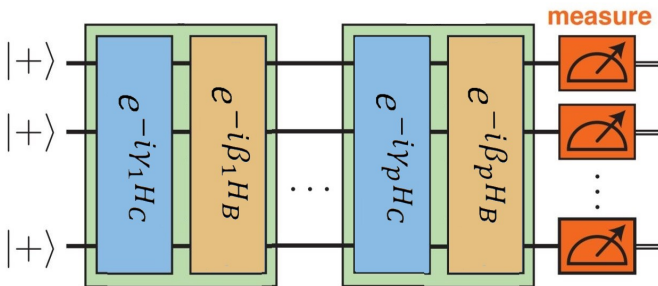
QAOA

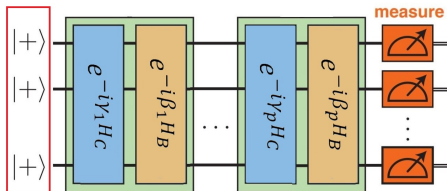
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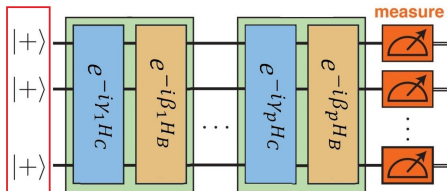
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We start from $|+\rangle^{\otimes n}$ which is the **equal superposition** over all 2^n bit strings (or equivalently bipartitions)

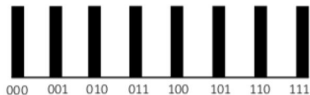
$$|+\rangle^{\otimes n} = \frac{1}{\sqrt{2^n}} \sum_{\mathbf{x} \in \{0,1\}^n} |\mathbf{x}\rangle \quad (8)$$

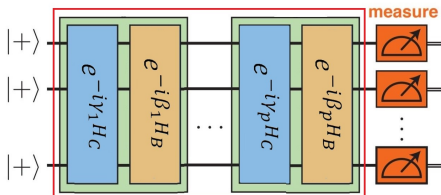


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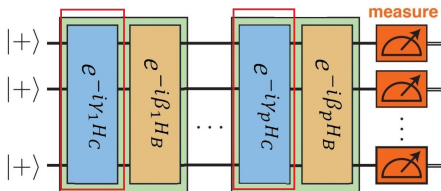
So the amplitude of every bit string is the same





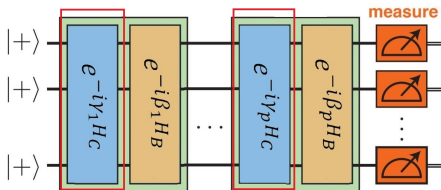
Next we alternately apply two gates, the **cost unitary** and the **mixer unitary**, derived from two Hamiltonians.

We repeat this p times



First of the two being the **cost unitary**. This unitary is derived from the **cost Hamiltonian** that encodes the objective function C

$$H_C \equiv \hat{C} = \begin{bmatrix} C(0, \dots, 0) & & \\ & \ddots & \\ & & C(1, \dots, 1) \end{bmatrix} \quad (9)$$



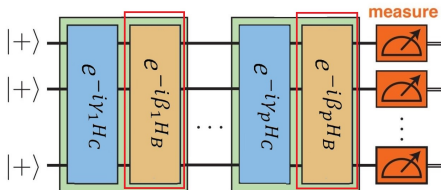
From the cost Hamiltonian we derive the **cost unitary**

$$H_C \longrightarrow U(H_C, \gamma) \quad (10)$$

with

$$U(H_C, \gamma) = e^{-i\gamma H_C} \quad (11)$$

for some real parameter $\gamma \in \mathbb{R}$

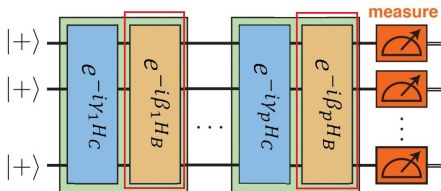


Secondly, the **mixer unitary**, derived from the **mixer Hamiltonian**

$$H_B = \sum_{k=1}^n \sigma_x^{(k)} \quad (12)$$

with $\sigma_x^{(k)}$ is the Pauli- X gate applied to the k th qubit, which is also called the quantum NOT-gate

$$\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad (13)$$



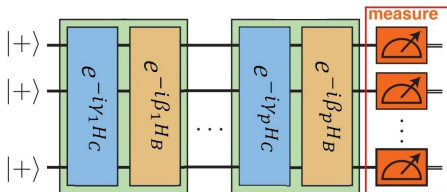
Analogous to the cost unitary we derive the **mixer unitary** is derived from the mixer Hamiltonian

$$H_B \longrightarrow U(H_B, \beta) \quad (14)$$

the mixer unitary is given by

$$U(H_B, \beta) = e^{-i\beta H_B} \quad (15)$$

for some real parameter $\beta \in \mathbb{R}$



In the end we prepared the parametrized state

$$|\gamma, \beta\rangle = \underbrace{U(H_B, \beta_p) U(H_C, \gamma_p) \dots U(H_B, \beta_1) U(H_C, \gamma_1)}_{p \text{ layers}} |+\rangle^{\otimes n} \quad (16)$$

one layer

after which we measure the outcome.

The goal: maximize the expectation value

Our aim is to prepare a state such that the **expectation value of the cost Hamiltonian** is maximized

$$F_p(\gamma, \beta) = \langle \gamma, \beta | H_C | \gamma, \beta \rangle \quad (17)$$

for some sequences of parameters

$$\gamma = (\gamma_1, \dots, \gamma_p)$$

$$\beta = (\beta_1, \dots, \beta_p)$$

Applying QAOA to Max-Cut

We **translate** the objective function into a **Hamiltonian**

$$C = \sum_{\{i,j\}} w_{i,j} (1 - z_i z_j) \quad (18)$$



$$H_C = \sum_{\{i,j\}} w_{i,j} (I - \sigma_z^{(i)} \sigma_z^{(j)}) \quad (19)$$

Applying QAOA to Max-Cut

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Using the fact σ_z has eigenvalues 1 and -1 . Here $\sigma_z^{(i)}$ denotes the Pauli-Z matrix applied to the i th qubit.

$$\sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad (20)$$

Applying QAOA to Max-Cut

We can construct the necessary unitaries with local operators

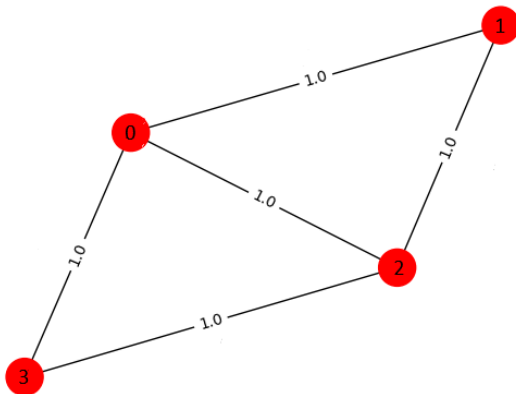
$$U(H_C, \gamma) = e^{-i\gamma H_C} = \prod_{\{i,j\} \in E} e^{-i\gamma w_{i,j} (1 - \sigma_z^{(i)} \sigma_z^{(j)})} \quad (21)$$

$$U(H_B, \beta) = e^{-i\beta H_B} = \prod_{k \in V} e^{-i\beta \sigma_x^{(k)}} \quad (22)$$

Note that the circuit is dependent on the graph $G = (V, E)$

Now we are set to construct the circuit for Max-Cut!

Example - the Diamond graph



Example - the circuit

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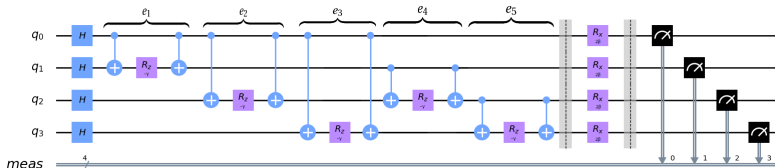
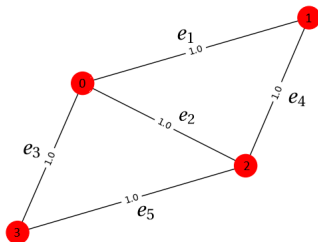
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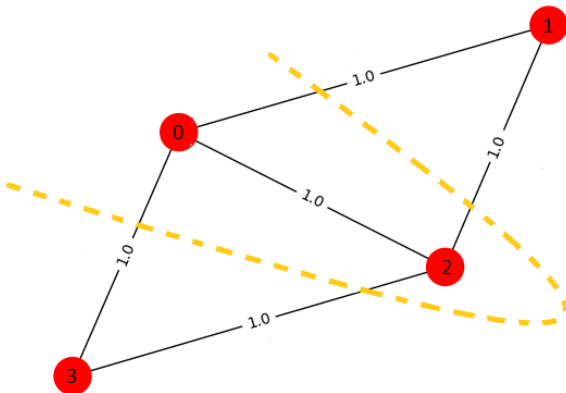
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Example - the optimal cut



Note that the optimal cut is $S = \{0, 2\}$, $\bar{S} = \{1, 3\}$ or in terms of a binary string 0101 or 1010

Example - Maximizing the expectation value

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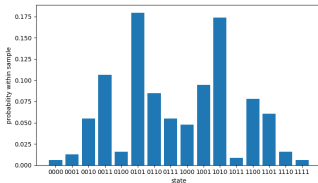
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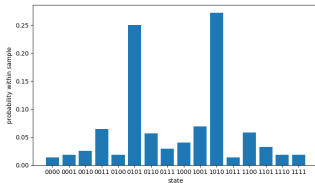
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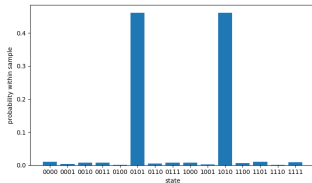
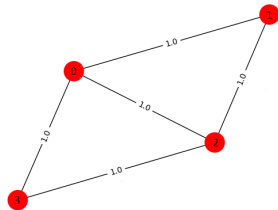
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$$p=1, F_1 = 3.24$$



$$p=2, F_2 = 3.39$$



$$p=3, F_3 = 3.87$$

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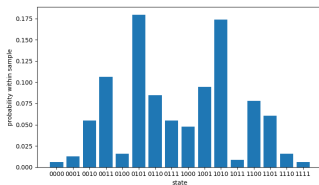
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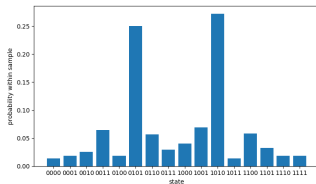
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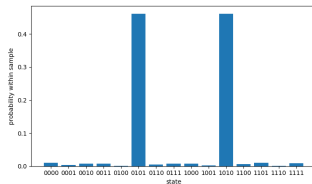
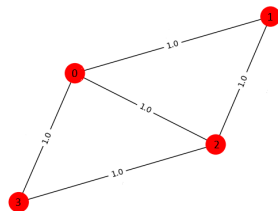
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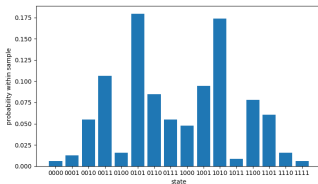
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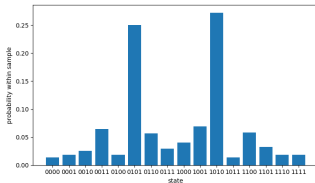
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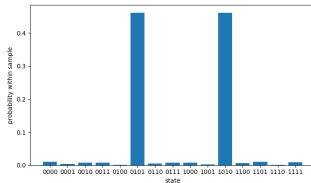
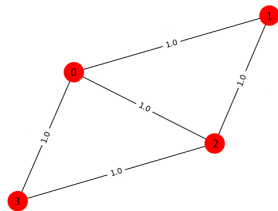
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Determining the parameters γ, β

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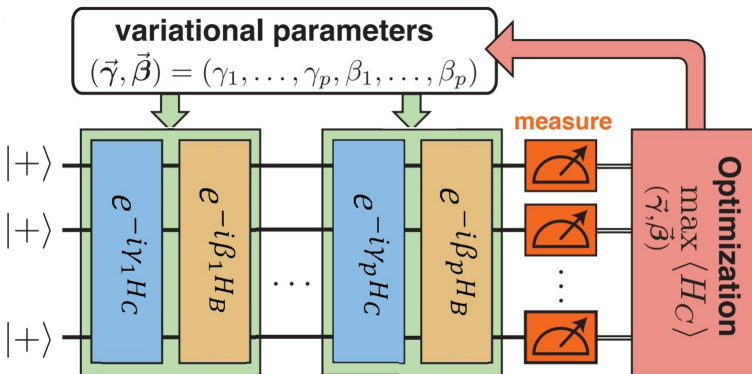
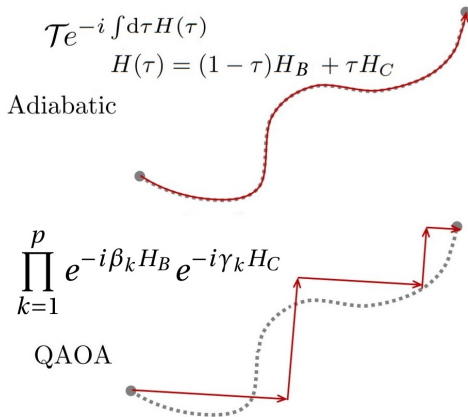


Figure: Schematic of the QAOA circuit using VQE optimization.¹

¹Figure adapted from Zhou et al. Quantum Approximate Optimization Algorithm: Performance, Mechanism, and Implementation on Near-Term Devices (2018)

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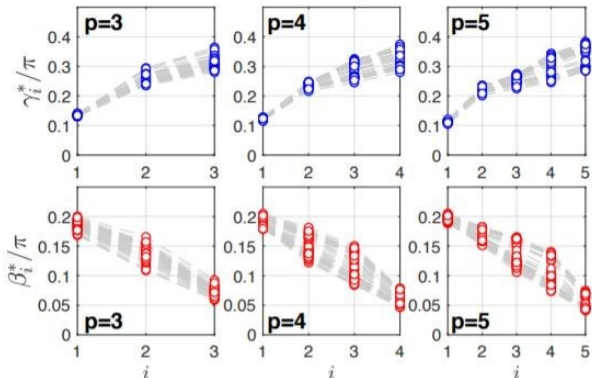
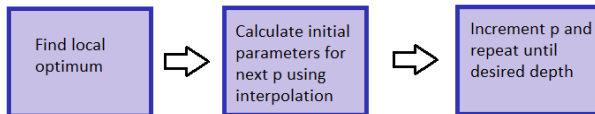


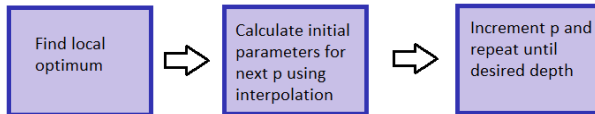
Figure: Optimal parameter patterns for unweighted 3-regular graphs with 16 nodes²

²Zhou et al. Quantum Approximate Optimization Algorithm: Performance, Mechanism, and Implementation on Near-Term Devices (2018)

Exploiting the relation to QAA - the INTERP method



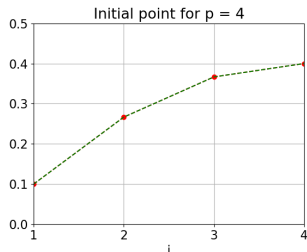
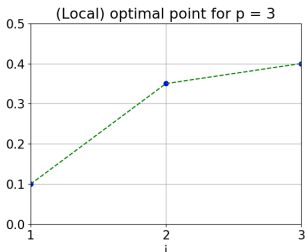
Exploiting the relation to QAA - the INTERP method



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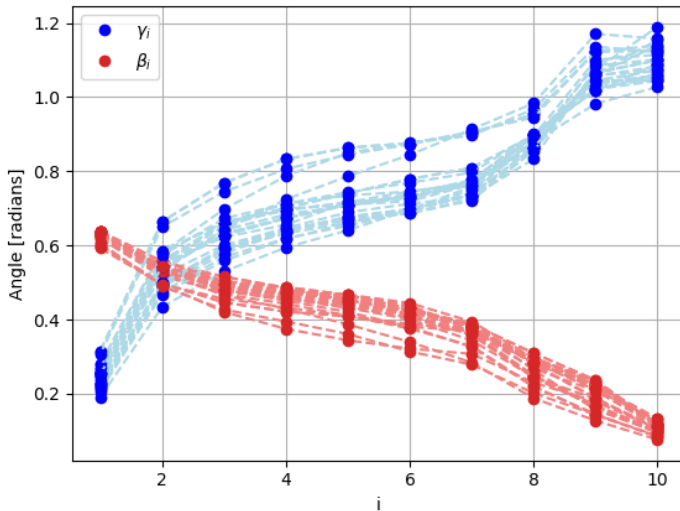
- ① analysis on **INTERP method** on different graphs
 - cyclic graphs
 - 3-regular graphs, weighted and unweighted
 - Erdős-Rényi graphs
- ② benchmark against **Goemans-Williamson**, the best known classical approximation algorithm
- ③ polynomial time

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Adiabatic Algorithm

My results

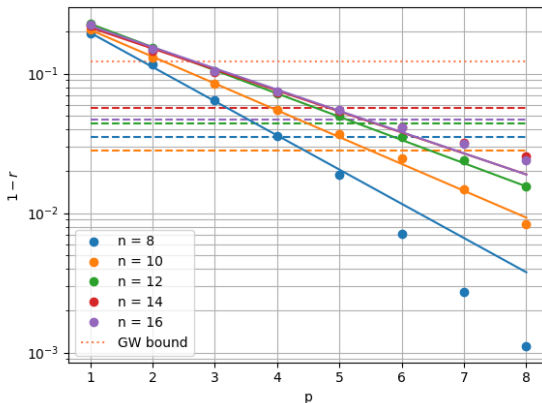
Found patterns

Fractional error

Conclusions
and Future
Research

Unweighted 3-regular graphs

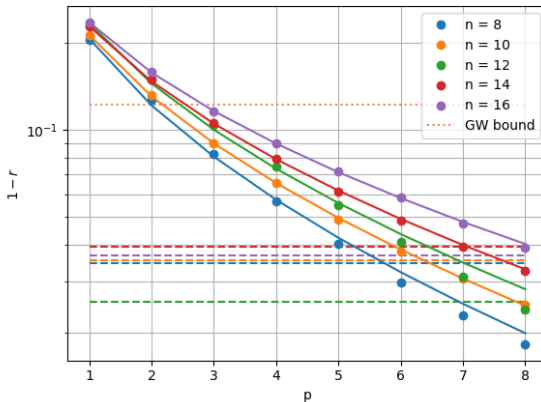
Fractional error $1 - r$ decays exponentially with p



The horizontal lines indicate the average performance of the classical Goemans-Williamson

Weighted 3-regular graphs

Fractional error $1 - r$ decays exponentially with \sqrt{p}



The horizontal lines indicate the average performance of the classical Goemans-Williamson

Similar relations were found for the Erdős-Rényi graphs

Conclusions

- INTERP beats the classical Goemans-Williamson for relatively low $p \approx 7$ for small graphs
- The method needs a lot of function evaluations to determine good angles
- However, this number increases polynomially with p and n so it might offer advantages for large graphs

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Quantum
computing

Max-Cut

QAOA

The general
algorithm

Applying QAOA to
Max-Cut

Example - the
Diamond graph

How to determine
the parameters?

Relation to Quantum
Adiabatic Algorithm

My results

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Thank you!

Questions?

Time complexity

In Zhou et al. (2018) it was claimed the INTERP method is polynomial in p , and since the quantum circuit has depth $3m + n$, we find that the complete INTERP method is polynomial in both n and p , but is this true in practice?

