

# Week 9 Tutorial Notes

## ▼ Agenda

1,2,3,4,5,7

## Functional Dependency

When we see something like  $X \rightarrow Y$

- X determines Y (or Y is functionally dependent on X)

What does this mean?

- E.g. Position  $\rightarrow$  Salary
  - Position determines salary
  - For every unique position in our database, the salary for that given position is the same
  - Manager  $\rightarrow$  \$500 000
  - Ex Twitter Employee  $\rightarrow$  \$0
  - You  $\rightarrow$  \$50 000

## Laws of Functional Dependencies

Note: these are just to introduce functional logic behind dependencies, you do not necessarily have to memorise these (but probably should remember how they work)

F1. **Reflexivity** e.g.  $X \rightarrow X$

- a formal statement of *trivial dependencies*; useful for derivations

F2. **Augmentation** e.g.  $X \rightarrow Y \Rightarrow XZ \rightarrow YZ$

- if a dependency holds, then we can freely expand its left hand side

F3. **Transitivity** e.g.  $X \rightarrow Y, Y \rightarrow Z \Rightarrow X \rightarrow Z$

- the "most powerful" inference rule; useful in multi-step derivations
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## Inference Rules (cont)

While Armstrong's rules are complete, other useful rules exist:

F4. **Additivity** e.g.  $X \rightarrow Y, X \rightarrow Z \Rightarrow X \rightarrow YZ$

- useful for constructing new right hand sides of *fds* (also called **union**)

F5. **Projectivity** e.g.  $X \rightarrow YZ \Rightarrow X \rightarrow Y, X \rightarrow Z$

- useful for reducing right hand sides of *fds* (also called **decomposition**)

F6. **Pseudotransitivity** e.g.  $X \rightarrow Y, YZ \rightarrow W \Rightarrow XZ \rightarrow W$

- shorthand for a common transitivity derivation

## Closure

Given a set of functional dependencies (FDs), we can derive new ones (using the rules)

The largest collection of dependencies that can be derived from a set of FDs  $F$  is called the closure of  $F$  and is denoted  $F^+$

+

$\{ A \rightarrow B, B \rightarrow C \}$

$A^+ = \{ABC\}$

$B^+ = \{BC\}$

## Keys

- **Super key** is any combination of columns/attributes that uniquely identifies a row in a table
- **Candidate key** is a super key which cannot have any columns removed from it without losing the unique identification property

$R(A,B,C)$

$F = \{ A \rightarrow B, B \rightarrow C \}$

$A^+ = \{ABC\} = R \rightarrow$  candidate key

$AB^+ = \{ABC\} = R \rightarrow$  super key, but not candidate key



Tutorial Q1 and 2

## Normalisation

Normalisation is for creating better structure and reducing redundancies from your schema

### ▼ Example

Student (*zID*, *Name*, *Surname*, *Address*)

CourseEnrolments (*zID*, *Name*, *Surname*, *Course*, *Course Name*)

To normalise our databases, we put the schemas in a 'normal form'. We care only about two normal forms in this course:

- BCNF (Boyce Codd Normal form)
- 3NF

Although there are a lot more!

## 3NF Detection

To detect if a schema is 3NF, we must check for each functional dependency adheres to **one of the following**:

1. Is the RHS a subset of the LHS?

▼ Example

$AB \rightarrow A$

2. Is the LHS a **super key** (ie. super set of a candidate key)?

▼ Example

$R(A,B,C)$

$F = \{ A \rightarrow B, B \rightarrow C \}$

$A^+ = \{ABC\} = R \rightarrow$  candidate key

So,  $A \rightarrow B$  ✓, but  $B \rightarrow C$  ✗

3. Is the RHS a subset of a candidate key?

▼ Example

$R(A,B,C,D,E)$

$F = \{ A \rightarrow B, BC \rightarrow E, ED \rightarrow A \}$

Candidate keys are: ACD, BCD, CDE

So,  $A \rightarrow B$  ✓,  $BC \rightarrow E$  ✓,  $ED \rightarrow A$  ✓

## BCNF Detection

To detect if a schema is BCNF, we must check for each functional dependency to **one of the following**:

1. Is the RHS a subset of the LHS?
2. Is the LHS a **super key** (ie. super set of a candidate key)?



Tutorial Q3 and 4

## Decomposition & Minimal Cover

If a relation is not in a desired normal form, it can be decomposed into multiple relations that each are in that normal form. To do this, we take advantage of 'minimal cover'

"A set  $F$  of FDs is **minimal** if

- every FD  $X \rightarrow Y$  is simple  
( $Y$  is a single attribute)
- every FD  $X \rightarrow Y$  is left-reduced  
(no  $Z \subset X$  such that  $Z \rightarrow Y$  could replace  $X \rightarrow Y$  in  $F$  and preserve  $F^+$ )
- every FD  $X \rightarrow Y$  is necessary  
(no  $X \rightarrow Y$  can be removed without changes  $F^+$ )"

So we want to **right-reduce, left-reduce and eliminate redundant FDs**.

The procedure to do this are:

1. Split the right-hand attributes of all FDs (a.k.a. canonical cover)

eg.  $A \rightarrow XY \Rightarrow A \rightarrow X, A \rightarrow Y$

2. Find extraneous attributes and remove them

eg.  $AB \rightarrow C$

*Either A or B or none can be extraneous.*

*If A closure contains B then B is extraneous and it can be removed.*

*If B closure contains A then A is extraneous and it can be removed.*

3. Remove all redundant FDs

eg.  $\{ A \rightarrow B, B \rightarrow C, A \rightarrow C \}$

*Here  $A \rightarrow C$  is redundant since it can already be achieved using Transitivity Property*

## 3NF Decomposition

1. Find minimal cover
2. *Flatten* all FDs in the minimal cover (i.e. remove the arrows) and create new relation schemas.  
*e.g.  $A \rightarrow B, A \rightarrow C, A \rightarrow D$  becomes three new relation schemas  $R1(AB), R2(AC), R3(AD)$ .*
3. If the resulting set doesn't contain a candidate key, create a new relation schema.  
*e.g. if we have candidate key  $AC$  but only have relation schemas  $R1(AB)$  and  $R2(AD)$ , then create new relation schema  $R3(AC)$*

## BCNF Decomposition

For BCNF Decomposition, minimal cover is optional. The below describes the procedure:

### BCNF Decomposition

To decompose a schema into BCNF:

1. Calculate the candidate keys of the FDs.
2. Go through each FD for a relation. If the FD  $LHS \rightarrow RHS$  is not in BCNF, then split the relation  $R$  into two:

$S = LHS^+$ , with the FDs used to find that closure.

$T = R - LHS^+ + LHS$ , with all remaining FDs that don't completely change from the removed attributes.

Note that affecting the LHS of an FD would change entirely what the FD means, but removing attributes from the RHS of an FD is ok.

Credit: Kenneth Li



Tutorial Q7,(8or9), 15