

# A flight over Gromov's Non-squeezing Theorem

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January 2022

# Summary

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- Problem
- Nonsqueezing theorem
- Capacities

# Introduction

- A symplectic form in a smooth manifold  $M$  is a closed non degenerate 2-form  $\omega$ .
- A pair  $(M, \omega)$  where  $M$  is a smooth manifold, and  $\omega$  is a symplectic form on  $M$  is called a symplectic manifold.
- We will always consider the  $\mathbb{R}^{2n}$  with coordinates  $(p_1, \dots, p_n, q_1, \dots, q_n)$ , and symplectic form  $\omega_0 = \sum_{i=1}^n dp_i \wedge dq_i$ .

# Consequences of the Definition

- Any symplectic manifold is even dimension
- Any symplectic manifold is orientable.
- If  $\omega$  is a symplectic form on a manifold  $M$ , then  $\omega^n$  is a volume form.
- Any symplectomorphism preserve volume, i.e, if  $f : (M, \omega) \rightarrow (N, \eta)$  is a symplectomorphism (diffeomorphisms s.t  $f^*\eta = \omega$ ) then  $f^*\eta^n = \omega^n$
- If a closed manifold  $M$  admits a symplectic form, then  $H_2(M, \mathbb{R})$  is non trivial

# Examples and Non Examples

- Examples

- We will always consider the  $\mathbb{R}^{2n}$  with coordinates  $(p_1, \dots, p_n, q_1, \dots, q_n)$  and symplectic form  $\omega_0 = \sum_{i=1}^n dp_i \wedge dq_i$ .
- Any orientable surface ( $\mathbb{S}^2, \mathbb{T}^2$ , Genus  $g$  surface...).
- $\mathbb{CP}^n$ .
- $(B^{2n}(r), \omega_0)$ , where  $B^{2n}(r) = \{(p, q) \in \mathbb{R}^{2n} / |p|^2 + |q|^2 < r^2\}$ .
- $(Z^{2n}(r), \omega_0)$ , where  $Z^{2n}(r) = \{(p, q) \in \mathbb{R}^{2n} / |p|^2 + |q|^2 < r^2\} = B^2(r) \times \mathbb{R}^{2n-2}$ .

- Non Examples

- $\mathbb{RP}^n, \mathbb{S}^n (n > 2)$ .

## Question

We have seen that  $\text{Symp}(M, \omega) \subset \text{Diff}_v(M, \omega^n)$ . How different are these two groups? Is there any other symplectic invariant besides volume? For example consider the map  $\phi : B^{2n}(r) \rightarrow Z^{2n}(R)$  given by

$$\phi(p, q) = (\epsilon p_1, \frac{1}{\epsilon} p_2, \dots, \epsilon q_1, \frac{1}{\epsilon} q_2 \dots).$$

This is a volume preserving embedding for  $\epsilon$  small enough, but not a symplectic embedding (only when  $\epsilon = 1$ ).

## Theorem - Moser

Let  $M$  be a compact, connected and oriented manifold. If  $\alpha$  and  $\beta$  are two volume forms such that their volume agree, i.e.,

$$\int_M \alpha = \int_M \beta.$$

then there is a diffeomorphism  $f$  on  $M$  such that  $f^*\beta = \alpha$ .

this theorem is saying that volume is the only invariant of volume preserving diffeomorphisms.

In  $\mathbb{R}^2$  the symplectic form is equal to the volume form, so then volume is the only invariant

# Nonsqueezing theorem

## Theorem

[Gromov's Nonsqueezing theorem, 1985] If there is a symplectic embedding

$$B^{2n}(r) \hookrightarrow Z^{2n}(R).$$

then

$$r \leq R.$$



# Capacity

(Symplectic Capacity) Consider the class of all symplectic manifolds of fixed dimension  $2n$ . A symplectic capacity is a map which associate to every symplectic manifold  $(M, \omega)$ , a non negative number or  $\infty$ , satisfying the following properties.

- Monotonicity:  $c(M, \omega) \leq c(N, \tau)$ .
- If there exists a symplectic embedding  $\phi : (M, \omega) \rightarrow (N, \tau)$ .
- Conformality:  $c(M, \alpha\omega) = |\alpha|c(M, \omega)$ .
- Nontriviality:  $c(B^{2n}(1)) > 0$  and  $c(B^2(1) \times \mathbb{R}^{2n-2}) < \infty$ .

# Properties

For  $U \subset (\mathbb{R}^{2n}, \omega_0)$  open, and  $\lambda \neq 0$ ,

$$c(\lambda U) = \lambda^2 c(U)$$

In particular if  $c(B(1)) = \pi = c(Z(1))$ , then  $c(B(r)) = r^2\pi = c(Z(r))$ .

# Proof's main idea

At first, it is not so clear that there exist a capacity in  $\mathbb{R}^{2n}$ , but it is clear that the existence of a capacity such that

$$c(B^{2n}(1)) = c(Z^{2n}(1)) = \pi,$$

implies the Gromov's non-squeezing. Gromov's proof involves  $J$ -holomorphic curves.

## Capacities

Any smooth function  $H : M \rightarrow \mathbb{R}$  is associated with a vector field  $X_H$  by the relation

$$\omega(X_H, \cdot) = -dH$$

A  $T$ -periodic solution for the Hamiltonian equation is a solution  $x(t)$

$$x'(t) = X_H(x(t))$$

such that  $x(T) = x(0)$ . In particular singularities of the Hamiltonian are periodic solutions.

Consider  $\eta(M, \omega)$  to be the set of smooth functions that satisfies

- there is a compact  $K \subset M$  (depending on  $H$ ) such that  $K \subset (M - \partial M)$ , and

$$H(M - K) = m(H) \text{ (a constant).}$$

- There is an open set  $U \subset M$  on which

$$H(U) \equiv 0.$$

- $0 \leq H \leq m(H)$ , for all  $x \in M$ .



the constant  $m(H) = \max(H) - \min(H)$  is called oscillation of  $H$ . A function in  $\eta(M, \omega)$  will be called admissible if all the periodic solutions are either constant  $x(t) = x(0)$  for all  $t$  or have period  $T > 1$ . Denoting the set of admissible functions by  $\eta_a(M, \omega)$ , we define

$$c_0 = \sup\{m(H) \mid H \in \eta_a(M, \omega)\}.$$

### Theorem

$c_0$  is a symplectic capacity such that  $c(B(1)) = \pi = c(Z(1))$ .

# Darboux theorem

## Darboux Theorem

Let  $(M, \omega)$  be a symplectic manifold, and  $p$  any point in  $M$ . Then there exists coordinates  $(U, x_1, \dots, x_n, y_1, \dots, y_n)$  such that

$$\omega = \sum_{i=1}^n dx_i \wedge dy_i.$$

In particular, for every point  $p \in M^{2n}$ , there exists an symplectic embedding  $(B^{2n})(r), \omega_0 \hookrightarrow (M^{2n}, \omega)$ . It is natural to define the following: (Gromov width)

$$D(M, \omega) = \sup\{\pi r^2 \mid \text{there exists an embedding } (B^{2n})(r), \omega_0 \hookrightarrow (M^{2n}, \omega)\}.$$

# Gromov width

We define the Gromov width by

$$D(M, \omega) = \sup\{\pi r^2 \mid \text{there exists an embedding } (B^{2n}(r), \omega_0) \hookrightarrow (M^{2n}, \omega)\}.$$

## Gromov width

The Gromov width  $D(M, \omega)$  is a symplectic capacity.




Clearly the Gromov width is well defined (can be  $\infty$ ) and is a Symplectic invariant.





Thank you.

# Bibliography

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