

# Derivations of strongly rational vertex operator algebras

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January 31, 2022

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- 2 Basic concepts of vertex operator algebras
- 3 Derivation of strongly rational VOAs

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# Classical derivations

$$D = \frac{d}{dx} \quad D = f(x) \frac{d}{dx}.$$

Given an algebraic structure  $(A, *)$ ,

( For instance, a commutative algebra  $(\mathbb{C}[x], \cdot)$ , a Lie algebra  $(\mathfrak{g}, [\cdot, \cdot])$ , or an associative algebra  $(M_n(\mathbb{C}), \cdot)$  )

a derivation on  $A$  is a linear map  $D : A \rightarrow A$  satisfying the "Leibniz rule":

$$D(a * b) = (Da) * b + a * (Db),$$

for all  $a, b \in A$ .

# Derivation of semisimple Lie algebras

## Definition

A finite dimensional Lie algebra  $\mathfrak{g}$  over an algebraic closed field  $k$  is called semisimple if its maximal solvable ideal  $\text{rad}(\mathfrak{g}) = 0$ .

$$\mathfrak{sl}(2, k) = k e \oplus k h \oplus k f, \text{ with relations} \\ [h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$

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## Theorem (H.Weyl)

*A finite dimensional Lie algebra  $\mathfrak{g}$  is semisimple if and only if every finite dimensional  $\mathfrak{g}$  module is a direct sum of irreducible  $\mathfrak{g}$ -modules.*

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A derivation  $D$  of  $\mathfrak{g}$  is a linear map  $D : \mathfrak{g} \rightarrow \mathfrak{g}$  s.t.

$$D[x, y] = [Dx, y] + [x, Dy],$$

for all  $x, y \in \mathfrak{g}$ .

# Derivation of semisimple Lie algebras

$$\operatorname{ad} x [y, z] = [\operatorname{ad} x(y), z] + [y, \operatorname{ad} x(z)].$$

## Example

Fix  $x \in \mathfrak{g}$ , the linear map  $\operatorname{ad} x : \mathfrak{g} \rightarrow \mathfrak{g}, y \mapsto [x, y]$  is a derivation of  $\mathfrak{g}$ . Such derivations are called inner derivations.



# Derivation of semisimple Lie algebras

## Example

Fix  $x \in \mathfrak{g}$ , the linear map  $\text{adx} : \mathfrak{g} \rightarrow \mathfrak{g}, y \mapsto [x, y]$  is a derivation of  $\mathfrak{g}$ . Such derivations are called inner derivations.

## Theorem (K.Yosida, 1938)

*If a finite dimensional Lie algebra  $\mathfrak{g}$  is semisimple, then all derivations of  $\mathfrak{g}$  are inner derivations.*

$$\forall D : \mathfrak{g} \rightarrow \mathfrak{g}, \text{ s.t. } D[x, y] = [Dx, y] + [x, Dy], \\ \exists a \in \mathfrak{g} \text{ s.t. } D = \text{ada}.$$

# Derivation of semisimple associative algebras

$M_n(\mathbb{C})$

$\downarrow$   
A vector space  $A$ , with  $\cdot : A \times A \rightarrow A$

## Definition

An associative algebra  $A$  over a ring  $R$  is called semisimple if its left regular module  ${}_A A$  is a direct sum of irreducible left  $A$ -modules.

Ex:  $\forall F[G]$  with  $G$  finite,  $\text{char } F = 0 \Rightarrow$  semisimple.

(2)  $M_n(\mathbb{D})$ .  $\mathbb{D}$  is a division ring.

# Derivation of semisimple associative algebras

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Let  $A$  be an associative algebra, and let  $M$  be a bimodule over  $A$ . A derivation of  $A$  with coefficient in  $M$  is a linear map  $D: A \rightarrow M$  s.t.

$$D(a \cdot b) = a \cdot (Db) + (Da) \cdot b$$

for all  $a, b \in A$ .

$\begin{matrix} & A & \\ A \swarrow & & \searrow A \\ & A & \end{matrix}$

$(a \cdot x) \cdot b = a \cdot (x \cdot b)$

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## Example

For  $u \in M$ , the linear map  $D_u(a) := u.a - a.u$  is a derivation of  $A$  with coefficients in  $M$ .  $D_u$  is called an inner derivation.

# Derivation of semisimple associative algebras

In the Hochschild cochain complex

$$0 \rightarrow M = C^0(A, M) \xrightarrow{d} C^1(A, M) \xrightarrow{d} C^2(A, M) \rightarrow \dots,$$

one has  $C^n(A, M) = \{ f: \underbrace{A \times \dots \times A}_n \rightarrow M \mid f \text{ is multilinear} \}.$

$$Z^1(A, M) = \text{Der}(A, M)$$

$$B^1(A, M) = \text{Inn}(A, M).$$

For  $f \in C^n(A, M),$

$$(df)(a_1, a_2, \dots, a_{n+1}) = a_1 f(a_2, \dots, a_{n+1}) + \sum_{i=1}^n f(a_1, \dots, a_i a_{i+1}, \dots, a_{n+1}) \\ + (-1)^{n+1} f(a_1, \dots, a_n) a_{n+1}$$

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## Theorem (Hochschild)

Let  $A$  be an associative algebra over a perfect field  $\mathbb{F}$ . Then TFAE:

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## Theorem (Hochschild)

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- ②  *$H^1(A, M) = 0$ . i.e. The derivations of  $A$  with coefficients in  $M$  are all inner.*

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- ③  *$H^n(A, M) = 0$  for all  $n \geq 1$ .*



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# Definition of Vertex Operator Algebras

$V \longrightarrow A(V) - Zhu's Alg.$

Thm:  $A(V)$  is Noetherian (1984) Borcherds.

The Heisenberg VOA

A vertex operator algebra (VOA) is a graded vector space  $V = \bigoplus_{n=0}^{\infty} V_n$  with  $\dim V_n < \infty$  for all  $n \geq 0$ , and a linear map

$$M(1,0) \cong \mathbb{C}[X_1, X_2, X_3, \dots]$$

The state-field correspondence.

$$Y: V \rightarrow \text{End}(V)[[z, z^{-1}]]$$

$$a \mapsto Y(a, z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1} \quad \omega = \frac{1}{2} X^2, 1 = 1.$$

Jacobi Identity

( $Y(a, z)$  is called the vertex operator of  $a$ ) and two special elements

$\mathbf{1} \in V_0$ ,  $\omega \in V_2$  (called the Virasoro element) satisfying certain axioms.

$\downarrow$   
the vacuum elt

# Definition of Vertex Operator Algebras

$$V = \bigoplus_{n=-\infty}^{\infty} V_n$$

- The graded subspace  $V_n$  is called the  $n$ th-level of  $V$ , and for  $k \in \mathbb{Z}$ ,  $[a_k b]$  is called the  $k$ th-product of  $a$  and  $b$ .

$$\gamma(a, z) = \sum_{k \in \mathbb{Z}} a_k \cdot z^{-k-1}, \quad a_k b \quad a_0 \approx [a, -]$$

$\uparrow$   
 $\text{End}(V)$

# Definition of Vertex Operator Algebras

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- For  $a \in V_m$ ,  $m$  is called the weight of  $a$ . So in particular,  $\mathbf{1}$  has weight 0 and  $\omega$  has weight 2.  ~~$\omega \in V_2$~~

# Definition of Vertex Operator Algebras

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- For  $a \in V_m$ ,  $m$  is called the weight of  $a$ . So in particular,  $\mathbf{1}$  has weight 0 and  $\omega$  has weight 2.
- The first level  $V_1 \subset V$  is a Lie algebra with respect to the bracket:

$$[a, b] := a_0 b,$$

for all  $a, b \in V_1$ .

Thm (Dong & Mason 2004) If  $V$  is strongly  
rational, then  $V_1$  is a reductive Lie alg.

# Strongly rational VOAs

Let  $V$  be a VOA. A module over  $V$  is a graded vector space

$M = \bigoplus_{n=0}^{\infty} M(n)$ , together with a linear map

$$Y_M : V \rightarrow \text{End}(M)[[z, z^{-1}]]$$

$$a \mapsto Y_M(a, z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1}$$

$$\Delta \times \mathfrak{h} \rightarrow \mathfrak{h}$$

satisfying certain axioms that are similar to the VOA axioms.

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satisfying certain axioms that are similar to the VOA axioms.

## Definition

A VOA  $V$  is called strongly rational if the category of  $V$  module is semisimple. i.e. every  $V$ -module is a direct sum of irreducible  $V$ -modules.

# Strongly rational VOAs

## Definition

A VOA  $V$  is called strongly rational, if  $V$  is of CFT-type:  $V_0 = \mathbb{F}\mathbf{1}$ , simple,  $V \cong V'$ ,  $\omega_2 V_1 = 0$ , rational and  $C_2$ -cofinite i.e.  
 $\dim V / \text{span}\{a_{-2}b : a, b \in V\} < \infty$ .



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- 1 The Virasoro VOA  $L(c, 0)$  with  $c = c_{p,q} = 1 - \frac{6(p-q)^2}{pq}$  and  $p, q \in \{2, 3, \dots\}$  is strongly rational.
- 2 The affine VOA  $L_{\hat{\mathfrak{g}}}(k, 0)$  is strongly rational.
- 3 The lattice VOA  $V_L$  is strongly rational.
- 4 The Heisenberg VOA  $M_{\hat{\mathfrak{h}}}(k, 0)$  is NOT strongly rational.

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# Derivation of VOAs

Let  $V$  be a VOA. A derivation  $D$  of  $V$  is a linear map  $D: V \rightarrow V$  satisfying  $D\mathbf{1} = 0$ ,  $D\omega = 0$ , and the "Leibniz rule":

$$D(Y(a, z)b) = Y(Da, z)b + Y(a, z)Db$$

for all  $a, b \in V$ . The space of derivations of  $V$  is denoted by  $\text{Der}(V)$ .

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$$D(Y(a, z)b) = Y(Da, z)b + Y(a, z)Db$$

$$Y(a, z) = \sum u_n z^{-n-1}$$

$u_0$

for all  $a, b \in V$ . The space of derivations of  $V$  is denoted by  $\text{Der}(V)$ .

## Example

Let  $V = \bigoplus_{n=0}^{\infty} V_n$  be a VOA. For any  $u \in V_1$ ,  $u_0 : V \rightarrow V$  is a derivation of  $V$ . It is called an inner derivation. The space of inner derivations is denoted by  $\text{Inn}(V)$ .

# Structure of the derivation algebra

$$\text{Der}(A) \text{ is a Lie alg with} \\ [D_1, D_2] = D_1 \circ D_2 - D_2 \circ D_1.$$

## Theorem (Dong & Griess 2002)

Let  $V$  be a strongly rational VOA, and assume that  $V_0 + \dots + V_N$  generates  $V$ . Then the derivation Lie algebra  $\text{Der}(V)$  is a direct sum of ideals  $\text{Inn}(V)$  and  $\text{Inn}(V)^\perp = \{d \in \text{Der}(V) : \text{tr}|_{V_N} D \circ u_0 = 0, \forall u \in V_1\}$ .

$$\underline{\text{Der}(V)} = \text{Inn}(V) \oplus \text{Inn}(V)^\perp.$$

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**Conjecture**(Dong): If  $V$  is strongly rational, then  $\text{Der}(V) = \text{Inn}(V)$ .

# Examples of the derivation algebra

$$D\omega = 0$$

- If  $V = L(c, 0)$  is a Virasoro VOA, then  $L(c, 0) = \langle \omega \rangle$

$$\text{Der}(L(c, 0)) = 0 = \text{Inn}(L(c, 0)).$$

# Examples of the derivation algebra

- If  $V = L(c, 0)$  is a Virasoro VOA, then

$$\text{Der}(L(c, 0)) = 0 = \text{Inn}(L(c, 0)).$$

Freundel & Zhu (1992)

$$\hat{\mathfrak{g}} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}k.$$

- If  $V = L_{\hat{\mathfrak{g}}}(k, 0)$  is an affine VOA, then

$\mathfrak{g}$  is f.d. s.s. Lie alg.

$$\text{Der}(L_{\hat{\mathfrak{g}}}(k, 0)) \cong \text{Der}(\mathfrak{g}) = \text{Inn}(\mathfrak{g}) = \text{Inn}(L_{\hat{\mathfrak{g}}}(k, 0)).$$

$$\text{Der}(L_{\hat{\mathfrak{g}}}(k, 0)) \hookrightarrow \text{Der}(\hat{\mathfrak{g}})$$

$$D \mapsto D|_{V_1} = g$$



# Examples of the derivation algebra

$L$  - A positive definite even lattice. e.g.  $E_8$ .  
FLM (1988).  $D_{16}$

- If  $V = V_L$  is a lattice VOA associate to a positive definite even lattice  $L$  of rank 1 or 2, then  $\text{Der}(V_L) = \text{Inn}(V_L)$ .

# Examples of the derivation algebra

- If  $V = V_L$  is a lattice VOA associate to a positive definite even lattice  $L$  of rank 1 or 2, then  $\text{Der}(V_L) = \text{Inn}(V_L)$ .
- If  $V = M_{\hat{\mathfrak{h}}}(k, 0)$  is a Heisenberg VOA associate to a vector space  $\mathfrak{h}$  of dimension  $n$ , then

$$\text{Der}(M_{\hat{\mathfrak{h}}}(k, 0)) \cong \underbrace{\{A \in M_n(\mathbb{C}) : A^t = -A\}}_{\mathcal{O}(\mathfrak{h}, \mathbb{C})},$$

while  $\text{Inn}(M_{\hat{\mathfrak{h}}}(k, 0)) = 0$ .

# Explorations of the derivation conjecture

Let  $V$  be a strongly rational VOA, we can prove the derivation conjecture under certain conditions.

# Explorations of the derivation conjecture

$V_{\mathbb{R}}/\mathbb{R}$  is a subVOA of  $V$   
s.t.  $\mathbb{C} \otimes_{\mathbb{R}} V_{\mathbb{R}} = V$ .

Let  $V$  be a strongly rational VOA, we can prove the derivation conjecture under certain conditions.

## Theorem

If  $V$  possesses a real VOA form  $V_{\mathbb{R}}$  s.t.  $\text{Der}(V) = \mathbb{C} \otimes_{\mathbb{R}} \text{Der}(V_{\mathbb{R}})$ , then  $\text{Der}(V) = \text{Inn}(V)$ .

$V_{\mathbb{R}}$

*Thank you for your attention!*

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