

Proof by Induction:Huffman Encoding
Induction Hypothesis:After the i'th step: There is an optimal tree containing the current subtrees as "leaves"
Base Case: After the 0'th step:There is an optimal tree containing all the characters.
Induction Step: Suppose that the Induction Hypothesis holds for t-1. After t-1 steps, there is an optimal tree containing all the current sub-trees as "leaves."
Want to show: After t steps, there is an optimal tree containing all the current sub-trees as leaves.
Lemma 1: If x and y are the two least-frequent letters, there is an optimal subtree where x and y are siblings.
Lemma 2: Suppose that there is an optimal tree containing a subtree. Then we might as well replace that subtree with a new letter that has frequency y
Conclusion: After the last step: There is an optimal tree containing this whole tree as a subtree.That is:After the last step, the tree that we've constructed is optimal.
Greedy Scheduling
schedule(jobs) :Sort jobs by the ratio: $r_i = c_i/t_i$ = cost of delaying job i/ time job i takes to complete
For each i, let sorted_JOBS[i] be the job with the i'th biggest r_i
Return sorted_JOBS.The running time is $O(n \log(n))$
Greedy Scheduling Induction
Inductive hypothesis:There is an optimal ordering so that the first t jobs are sorted_JOBS[1..t].
Base case:When t=0, this says that: "There is an optimal ordering so that the first 0 jobs are []." That's true.
Inductive Step: This says that: There is an optimal ordering on sorted_JOBS[t+1..n] in which sorted_JOBS[t+1] is first. That follows from our Lemma.(Given jobs where job i takes time t_i with cost c_i , there is an optimal schedule in which the first job is the one that maximizes ratio c_i/t_i)
Conclusion: When t=n, this says that: "There is an optimal ordering in which the first n jobs are sorted_JOBS." So what we returned is an optimal ordering of all n jobs

Hw6 3b)

We can reach any vertex if we do DFS(A,0). But if we executed DFS(C, 0), then vertices A and B would not be visited. But we could keep track of any unvisited vertices. Then, after executing DFS(C, 0), if any vertices were unvisited, we could find an unvisited vertex (e.g., B) and execute DFS(B, x+1). Initial/Base Case (number of elements is 1): A 1-element array is sorted.
Running Time of an efficient implementation of this algorithm? Your answer should be expressed using n, the number of vertices and m, the number of edges. Don't assume that the number of edges is $O(n^2)$. Background on this problem: This relates to an algorithm called Topological Sort, which works on acyclic directed graphs. In Topological Sort, you're given a set of edges, and you need to find a sequencing of all the vertices which "respects" the ordering specified by the edges. That means that for each edge, the source vertex appears earlier in the sequence than the corresponding destination vertex. For example, vertices could correspond to tasks, and some tasks might have to be finished before other tasks. If you can only do one task at a time, what's a sequence in which you can accomplish all the tasks? Topological Sort finds such a Sequence

Answer 3b):
An efficient implementation (which is called Topological Sort) would take time $O(n)$ to iterate through all the vertices (to ensure that they were all visited) + $O(m)$ to traverse each of the edges. Why $O(m)$? No vertex v is visited more than once. Also, each edge (u, v) is traversed only once, when we visit vertex u (which is not visited more than once). So there are two things that are done per vertex: visiting it and iterating through all the vertices to find vertices that haven't been visited. Hence work done by all vertices is $O(n)$. And the work done per edge is also constant, so the work for all edges is $O(m)$. Hence this algorithm is $O(n+m)$. That's the correct answer.
If you assume that m = n (reasonable assumption in most situations), then it's $O(m)$. Explanation for answer was not requested.
(Additional info about Topological Sort follows, for those who are interested in why this algorithm matters.) Suppose that we think of each edge as saying that a task must be completed before a subsequent task can be started. So for our graph, that says that A can be started immediately, but (for example) D can't be started until both A and B have been completed, because there are edges AD and BD. We'd like to find a legal order in which we can do the tasks, where "legal" means that they honor the requirements given by the edges. Topological Sort gives us a legal order for the tasks if we read off the Finish Times backwards (which is easy to do if we push tasks with new Finish Times on a Stack—sorting is not required). For our example, based on Finish Times:
If we went to B before D, we see that A B D C E would be a legal order for the tasks.
If we went to D before B, we also see that A B D C E would be a legal order for the tasks. (Same order; that's not always the case.) That's easy to see in this example, but it may be more difficult to determine a legal order when there are more tasks and more edges. Topological Sort determines a legal order only when the graph has no cycles (but can be written to detect cycles when cycles exist). And the Runtime for Topological Sort is $O(n+m)$, or if we assume that m = n, $O(m)$, and that's a great Runtime

Homework 2 1b) (asymptotic equivalence)

Prove that if $f(n) = g(n)$ then $f(n) = g(n) + o(g(n))$
Assume that $f(n) = g(n)$. By definition of $o()$, that means that $(f(n) - g(n))/g(n) = 0$. We need to prove that there is a function $h(n) = o(g(n))$ such that $f(n) = g(n) + h(n)$. Let $h(n) = f(n) - g(n)$. Then $g(n) + h(n) = g(n) + (f(n) - g(n)) = f(n)$, so yes, $f(n) = g(n) + h(n)$. But does $h(n) = o(g(n))$? To prove that, we'll show that $h(n)/g(n) = 0$. $h(n)/g(n) = (f(n) - g(n))/g(n) = (f(n)/g(n)) - (g(n)/g(n)) = (f(n)/g(n)) - 1$. So for $h(n) = f(n) - g(n)$ we have shown that: $(f(n) - g(n))/g(n) = h(n)/g(n) = o(g(n))$ are both true,

Homework 4 4b) Old Final 5 5b) jug matching

There are n red and n blue jugs. One of each red and blue jug match in size. Find the matching sets using only $\sim, <$ comparisons in $O(n \log n)$.
Answer 4b): Let the red jugs be R1, R2, ..., Rn and the blue jugs be B1, B2, ..., Bn. Begin by comparing R1 to each of the n blue jugs until a match is found. The blue jugs could be matched to the red jugs in any order. Thus, there's a total of i! ways to match the n red jugs to the blue jugs, so this problem has i! possible answers, and hence its Decision Tree has at least n! leaves.
We proved a Theorem that if a k-ary Decision Tree has n leaves, then the height of the tree must be at least $\log_k n$. For this problem, k=3, since two jugs could hold equal, amounts or the blue jug could hold more, or the red jug could hold more. Any algorithm can therefore be represented by a decision tree whose height satisfies: $h \log_3(i) = O(\log(i)) = O(\log n)$ using Stirling's Approximation.
[Remember that the base of the log only changes value be a constant factor.] Therefore, any algorithm solving this problem must perform at least $O(n \log n)$ comparisons.
Hw6 2c):DFS solves SSSP for both directed on undirected graphs. DFS wouldn't solve the SSSP problem for either directed or undirected graphs. Prove that DFS doesn't solve SSSP for undirected graphs. Do this by providing a counterexample graph, showing that DFS solves SSSP incorrectly for that counterexample graph.
Answer 2c): Using DFS, you report the distance to a vertex as soon as you encounter it, based on the depth-first path that you're on. That doesn't have to be the shortest path to the vertex (and often, it isn't). Simple counterexample graph: Suppose Greedy choice won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edge BC. Primal's algorithm finds the Minimum Spanning Tree (MST) no matter which vertex we use as a starting vertex. If we start at vertex C (not vertex B), the first edge that we choose will be BC, because that's the lowest cost edge that includes C. We know that this edge is part of an MST. Greedy choice won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edge BC. Primal's algorithm finds the Minimum Spanning Tree (MST) no matter which vertex we use as a starting vertex. If we start at vertex C (not vertex B), the first edge that we choose will be BC, because that's the lowest cost edge that includes C. We know that this edge is part of an MST. Greedy choice won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edge BC, DE and FG. Kruskal's algorithm finds the Minimum Spanning Tree (MST), always adding the edge with minimum cost that doesn't create a cycle. The edges DE (weight 2), AD (weight 3), and FG (weight 3) are the edges that have the lowest weights in the graph, and they don't create a cycle. We know that these Greedy choices won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edges AD, DE and FG.

Old Midterm 2 Question 4: Using the Substitution Method, prove that the solution of the Recurrence:

$T(n) = T(n/2) + n^2$ if $n > 1$
 $T(1) = 1$
is $O(n^2 \lg(n))$ for n = 3 by using the guess $f(n) = n^2 \lg(n)$. You must use the Substitution Method in your proof. You just have to show the Backward Induction, but you should identify all the Base Cases that will have to be addressed in the Forward Induction.
Answer 4: Proposition: $T(n) \leq c \cdot n^2 \lg(n)$ for n = n0 for some c and n0 not determined yet.
Let's go through the Backwards Induction using the guess $f(n) = n^2 \lg(n)$.
Induction Hypothesis: $T(n) \leq c \cdot n^2 \lg(n)$ for n = n0 for some c and n0 to be determined.
Base Cases: That Induction Hypothesis is false when n=1 because $\lg(1)=0$. When n=2, $T(2) = 5$ and $2^2 \lg(2) = 4$, so we'll need c = 5/4 for the Ind Hyp to be true when n=2. Or we could start with n0 = 3 with c=1, since $T(3)=10$ and $3^2 \lg(3) \approx 8.1$. We'll do that. The Q2 problem statement included the odd phrase "for n = 3" as a suggestion that you use n0 = 3.
Backwards Induction Step:
Now let's assume that $T(k) \leq c \cdot k^2 \lg(k)$ for 3 ≤ k < n. We want to be able to prove that $T(n) \leq c \cdot n^2 \lg(n)$.
 $T(n) = T(n/2) + n^2$
 $\leq c \cdot (n/2)^2 + (n/2)^2 + n^2$ By Ind Hyp, since $n/2 < n$
 $\leq c \cdot (n^2/2) + (n/2)^2 + n^2$ Since $x < x$ and \lg is increasing
 $\leq c \cdot n^2/4 + (n/2)^2 + n^2$ Multiplying, and since $\lg(n/2) < \lg(n)$
 $= n^2 * [c \cdot \lg(n)/4 + 1]$ Factoring out n^2
 $\leq c \cdot n \lg(n)$ whenever $c \cdot \lg(n)/4 + 1 \leq c \cdot \lg(n)$
Okay, when is $c \cdot \lg(n)/4 + 1 \leq c \cdot \lg(n)$? By algebra, that's true when $1 \leq (3c/4) * \lg(n)$, which is true when $4 \leq 3c \lg(n)$, which is true whenever $2^{4/3} \leq 2^{3c \lg(n)}$, which is true when $16 \leq n^{3c}$, which is true when picking $c=1$ whenever $3 \leq n$. So we've completed the Backwards Induction, and supposedly shown that $T(n) \leq n^2 \lg(n)$ for 3 ≤ n. [Or alternatively, we've shown that $T(n) \leq 5/4 * n^2 \lg(n)$ for 2 ≤ n. We'll continue using n0=3, not 2 below. The next discussion on Base Cases similar, but a little different, if we use c=5/4 and n=2. Either alternative is okay.] Except that our Induction Step won't work unless $n/2 \geq 3$, i.e., unless $n \geq 6$, since the Induction Hypothesis only holds when $k \geq 3$. So we'll have to check that our guess works not only for 3, but also for 4 and 5. (You only had to identify the Base Cases that require evaluation; you didn't have to evaluate them. But we'll evaluate them.) We've already checked above that $T(n) \leq n^2 \lg(n)$ when n=3.
When n=4, $T(4) = T(2) + 4^2 = 5 + 16 = 21$ and $4^2 \lg(4) = 32$.
When n=5, $T(5) = T(2) + 5^2 = 5 + 25 = 30$ and $5^2 \lg(5) \approx 40.2$. You weren't required to do the Forward Induction for Q4.

Old Midterm 2 Question 7

We used the following algorithm to solve the Longest Common Subsequence (LCS) Problem for strings X and Y, using Dynamic Programming. The length(X) is m, and the length(Y) is n. X[i] is the i'th character of X, and Y[j] is the j'th character of Y.
 $L[i,0] = C[0,j] = 0$ for all $i = 1, \dots, m, j = 1, \dots, n$.
For $i = 1, \dots, m$ and $j = 1, \dots, n$:
 if $X[i] = Y[j]$:
 $C[i,j] = C[i-1,j-1] + 1$
 else:
 $C[i,j] = \max\{C[i,j-1], C[i-1,j]\}$
After running this algorithm, the LCS of X and Y has length C[m,n]. But we haven't found an actual LCS of strings X and Y.

Some Lemmas for Disjoint-Set Operations with Union by Rank and Path Compression
Amortized cost is taken across m MAKE-SET, UNION and FIND operations, which are converted into $O(m)$ MAKE-SET, LINK and FIND operations. UNION uses 2 FIND operations and 1 LINK operation.
Lemma: The cost of each MAKE-SET operation is $O(1)$.
Lemma: The amortized cost of each LINK operation is $O(\alpha(n))$.
Lemma: The amortized cost of each FIND operation is $O(\alpha(n))$.
Theorem: Any sequence of m MAKE-SET, UNION and FIND operations, n of which are MAKE-SET operations, can be performed on a Disjoint Set Forest in worst-case time $O(m \alpha(n))$.
Theorem: If we use the Union by Rank operation, done by Rank, then the amortized cost of each MAKE-SET operation is $O(1)$.
• FIND operations use Path Compression (any version works).
In theory, this is not linear, but in practice it is, ... since $\log^* n$ for 4 values of n that come up in practice.
Induction example
Prove that for n = 0, the nth Fibonacci number F(n) is less than 2n.
Proposition/Statement:
For n = 0, the nth Fibonacci number F(n) is less than 2n, where:
 $F(0) = 0, F(1) = 1$
For n = 2, $F(n) = F(n-1) + F(n-2)$
Strong Induction.
Base Cases are for n=0 and n=1.
 $F(0) = 0 < 2 \cdot 0 = 0$ and $F(1) = 1 < 2 \cdot 1 = 2$ Check!
Induction Hypothesis: For all $0 \leq k < n$, the kth Fibonacci number $F(k) < 2k$.
[Strong Induction]
Induction Step: We need to prove that for all n = 2, if $F(k) < 2k$ for all k such that $0 \leq k < n$, then $F(n) < 2n$. Okay, assume that we have a value of n = 2, and that for n = 2, $F(k) < 2k$ for all k such that $0 \leq k < n$. Let's see if we can prove that $F(n) < 2n$.
Since n = 2, $F(n) = F(n-1) + F(n-2)$. Also since n = 2, both n-1 and n-2 are = 0, so we can use the Induction Hypothesis, which says that $F(n-1) < 2(n-1)$ and $F(n-2) < 2(n-2)$. Hence $F(n) = F(n-1) + F(n-2) < 2(n-1) + 2(n-2) = 2n$.

Strong induction: Merge-Sort returns a sorted array that has the original values in it.
Initial/Base Case (number of elements is 1): A 1-element array is sorted.
Maintenance: Suppose that:
– the left half L, which is $A[p:q]$, is the original $A[p:q]$ sorted, and
– the right half R, which is $A[q+1:r]$, is the original $A[q+1:r]$ sorted.
Then Merge(L,R) places values in $A[p:r]$ that are the original values in $A[p:r]$ sorted.
Termination: The top recursive call, Merge-Sort(A,1,n) places values in $A[1:n]$ that are the original values in $A[1:n]$ sorted.
Hence Merge-Sort correctly sorts the array $A[1:n]$.

Old Final 11c:

Since the Bellman-Ford algorithm solves Single Source Shortest Path for graphs in which the edge weights are arbitrary and values, why would anyone ever choose to use Dijkstra's algorithm, rather than always using Bellman-Ford?
Answer 11c): Bellman-Ford algorithm runs in time $O(nm)$ on a graph G with n vertices and m edges, which is $O(n^3)$ on a graph that has $O(n^2)$ edges. Dijkstra is a lot faster. Hope that you justified that using at least one of the following:
• If we use Red-Black trees, Dijkstra runs in time $O(n^2 + m \log(n))$, which is $O(m \log n)$ if we assume that m = n.
• If we use Priority Queue/Heap the running time is $O(n \log(n) + m \log(n))$, which is $O(m \log(n))$ if we assume that m = n.
• If we use Fibonacci heaps (not discussed in Lecture, so you're not expected to remember this one), Dijkstra runs in (amortized) runtime $O(m + n \log(n))$
Induction proof ex: For all integers n = 1, the sum of the first n integers is $n * (n+1) / 2$.
Proposition P(n): For all integers n = 1, the sum of the first n integers is $n * (n+1) / 2$.
Base Case P(1): The sum of the first 1 integer is $1 * (1+1)/2$. Check!
Induction Hypothesis: For integers n = 1, the sum of the first n integers is $n * (n+1) / 2$.
Induction Step: We need to prove P(n+1).
For integers n = 1, the sum of the first n+1 integers is $(n+1) * (n+2) / 2$.
 $i(n+1) = i * (i+1) = n * (n+1) / 2 + (n+1)$

Hw6 #4: For both an actual MST (if justified as mst) would also be acceptable, but more work

A) Prove that there is a MST for the above graph that includes edge BC
Prim's algorithm finds the Minimum Spanning Tree (MST) no matter which vertex we use as a starting vertex. If we start at vertex C (not vertex B), the first edge that we choose will be BC, because that's the lowest cost edge that includes C. We know that this edge is part of an MST. Greedy choice won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edge BC. Primal's algorithm finds the Minimum Spanning Tree (MST) no matter which vertex we use as a starting vertex. If we start at vertex C (not vertex B), the first edge that we choose will be BC, because that's the lowest cost edge that includes C. We know that this edge is part of an MST. Greedy choice won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edge BC, DE and FG. Kruskal's algorithm finds the Minimum Spanning Tree (MST), always adding the edge with minimum cost that doesn't create a cycle. The edges DE (weight 2), AD (weight 3), and FG (weight 3) are the edges that have the lowest weights in the graph, and they don't create a cycle. We know that these Greedy choices won't prevent us from reaching an Optimal Solution, an MST. So there must be an MST that includes edges AD, DE and FG.
Old Midterm 2 Question 7a
Write pseudocode that finds an LCS of X and Y. It's okay to find the LCS backwards, appending to a sequence of characters.
You should assume that you already have the C[m,n] array. Your algorithm's runtime should be efficient.
Result = Empty String // Empty Common Subsequence
 $i = m //$ Position in X
 $j = n //$ Position in Y
WHILE $i > 0$ AND $j > 0$ // Looking for matches until a position hits 0
 IF $X[i] = Y[j]$ // Found match; diagonal move
 Append (or Prepend) C[i,j] to Result
 $i = i - 1$
 $j = j - 1$
 ELSE IF $C[i,j] = C[i,j-1]$ // No match; move left
 $j = j - 1$
 ELSE IF $C[i,j] = C[i-1,j]$ // No match; move up
 $i = i - 1$
 ELSE SIGNAL ERROR // Impossible
• Could do the comparisons between C[i,j] and the left/up positions in either order.
• Must have gotten value of C[i,j] from either left or up neighbor (or both) if X[i] is not equal to Y[j].
• Okay if you didn't check for the impossible error case

Old Final Question 7 (Longest Weakly Decreasing Subsequence, Dynamic Programming):

This question involves an efficient to solve the Longest Weakly Decreasing Subsequence Problem. We need to find the length of the longest subsequence in an array A[1:n] such that the array values for that subsequence are never getting bigger.
For example, if the values in array A[1:8] are 21, 11, 21, 5, 16, 16, 14, 2:
• Positions 1, 2, 4 and 8 of A are a Weakly Decreasing Subsequence, with values 21, 11, 5, 2. That subsequence has length 4.
• But the Longest Weakly Decreasing Subsequence of A has length 6, corresponding to positions 1, 3, 5, 6, 7, 8, with values 21, 21, 16, 16, 4, 2.
• And the value that we are seeking, the length of the Longest Weakly Decreasing Subsequence, is 6.
7a) What is the Optimal Substructure for a Dynamic Programming solution to this problem?
The Optimal Substructure is that the optimal solution to the Longest Weakly Decreasing Subsequence problem involves computing the length of the Longest Weakly Decreasing Subsequence of the subarray A[1:i] that ends with index i. To calculate L[i], we consider the Longest Weakly Decreasing Subsequence lengths L[j] of all the previous values, and select the greatest such that A[j] ≤ A[i] and j < i. If you find any value, you set L[i] to be the maximum (of the appropriate L[j] values) + 1, and if you don't find any, you set L[i] to be 1.
7b) Provide the Recurrence that can be used to solve this problem, and explain clearly why that Recurrence is correct.
The recurrence is correct because you get the longest weakly decreasing subsequence if you put A[i] after a sequence that ends in A[j] if and only if j is before i and A[j] ≤ A[i]. And the longest of those (j+1 since you're appending A[i]) gives you the longest subsequence ending in A[i]. It is, however, possible that A[i] is bigger than all the preceding values, in which case L[i] must be 1.
Thus, L[i] can be written as:
 $L[i] = 1 + \max\{L[j] \mid \text{if there is at least one } j \text{ such that } j < i \text{ and } A[j] \leq A[i]\}$
 $L[i] = 1 //$ if there are no such j
Note that the second clause implies that L[1] = 1.
The recurrence is correct because you get the longest weakly decreasing subsequence if you put A[i] after a sequence that ends in A[j] if and only if j is before i and A[j] ≤ A[i]. And the longest of those (j+1 since you're appending A[i]) gives you the longest subsequence ending in A[i]. It is, however, possible that A[i] is bigger than all the preceding values, in which case L[i] must be 1.
7c) Provide pseudocode for your algorithm.
LongestWeaklyDecreasingSubsequence(A[1:n])
FOR $i = 1$ TO n DO
 $L[i] = 1$
 FOR $j = 1$ TO $i-1$ DO
 IF $A[j] \leq A[i]$ THEN // Maybe we can improve L[i]
 $L[i] = \max\{L[i], L[j] + 1\}$
 RETURN MAX{L[i]} //where the maximum is taken over all j from 1 to n
// We could also just keep track of the biggest value of L[i] that's ever set in the algorithm so that we don't have to iterate through the values of L[i] at the end.