

# **Section Summary**

- Linear Congruences
- The Chinese Remainder Theorem
- Computer Arithmetic with Large Integers (not currently included in slides, see text)
- Fermat's Little Theorem
- Pseudoprimes
- Primitive Roots and Discrete Logarithms

# **Linear Congruences**

**Definition**: A congruence of the form  $ax \equiv b \pmod{m}$ ,

where m is a positive integer, a and b are integers, and x is a variable, is called a *linear congruence*.

• The solutions to a linear congruence  $ax \equiv b \pmod{m}$  are all integers x that satisfy the congruence.

**Definition**: An integer  $\bar{a}$  such that  $\bar{a}a \equiv 1 \pmod{m}$  is said to be an *inverse* of a modulo m. **Example**: 5 is an inverse of 3 modulo 7 since  $5 \cdot 3 = 15 \equiv 1 \pmod{7}$ 

• One method of solving linear congruences makes use of an inverse  $\bar{a}$ , if it exists. Although we can not divide both sides of the congruence by a, we can multiply by  $\bar{a}$  to solve for x.

### Inverse of a modulo m

• The following theorem guarantees that an inverse of a modulo m exists whenever a and m are relatively prime. Two integers a and b are relatively prime when gcd(a,b) = 1.

**Theorem 1**: If a and m are relatively prime integers and m > 1, then an inverse of a modulo m exists. Furthermore, this inverse is unique modulo m. (This means that there is a unique positive integer  $\bar{a}$  less than m that is an inverse of a modulo m and every other inverse of a modulo m is congruent to  $\bar{a}$  modulo m.)

**Proof**: Since gcd(a,m) = 1, by Theorem 6 of Section 4.3, there are integers s and t such that sa + tm = 1.

- Hence,  $sa + tm \equiv 1 \pmod{m}$ .
- Since  $tm \equiv 0 \pmod{m}$ , it follows that  $sa \equiv 1 \pmod{m}$
- Consequently, *s* is an inverse of *a* modulo *m*.
- The uniqueness of the inverse is Exercise 7.

# Finding Inverses

 The Euclidean algorithm and Bézout coefficients gives us a systematic approaches to finding inverses.

**Example**: Find an inverse of 3 modulo 7.

**Solution**: Because gcd(3,7) = 1, by Theorem 1, an inverse of 3 modulo 7 exists.

- Using the Euclidian algorithm: 7 = 2.3 + 1.
- From this equation, we get -2.3 + 1.7 = 1, and see that -2 and 1 are Bézout coefficients of 3 and 7.
- Hence, −2 is an inverse of 3 modulo 7.
- Also every integer congruent to -2 modulo 7 is an inverse of 3 modulo 7, i.e., 5, -9, 12, etc.

# **Finding Inverses**

**Example**: Find an inverse of 101 modulo 4620.

**Solution**: First use the Euclidian algorithm to show that gcd(101,4620) = 1.

```
Working Backwards:
                                1 = 3 - 1.2
  4620 = 45 \cdot 101 + 75
                                1 = 3 - 1 \cdot (23 - 7 \cdot 3) = -1 \cdot 23 + 8 \cdot 3
  101 = 1.75 + 26
                                1 = -1.23 + 8.(26 - 1.23) = 8.26 - 9.23
  75 = 2.26 + 23
                                1 = 8.26 - 9.(75 - 2.26) = 26.26 - 9.75
  26 = 1.23 + 3
                                1 = 26 \cdot (101 - 1.75) - 9.75
  23 = 7 \cdot 3 + 2
                                       = 26 \cdot 101 - 35 \cdot 75
  3 = 1 \cdot 2 + 1
                                1 = 26 \cdot 101 - 35 \cdot (4620 - 45 \cdot 101)
  2 = 2 \cdot 1
                                    = -35.4620 + 1601.101
Since the last nonzero
                                                               1601 is an inverse of
remainder is 1,
                       Bézout coefficients: -35 and 1601
                                                               101 modulo 4620
gcd(101,4620) = 1
```

### Using Inverses to Solve Congruences

• We can solve the congruence  $ax \equiv b \pmod{m}$  by multiplying both sides by  $\bar{a}$ .

**Example**: What are the solutions of the congruence  $3x \equiv 4 \pmod{7}$ .

**Solution**: We found that -2 is an inverse of 3 modulo 7 (two slides back). We multiply both sides of the congruence by -2 giving

$$-2 \cdot 3x \equiv -2 \cdot 4 \pmod{7}$$
.

Because  $-6 \equiv 1 \pmod{7}$  and  $-8 \equiv 6 \pmod{7}$ , it follows that if x is a solution, then  $x \equiv -8 \equiv 6 \pmod{7}$ 

We need to determine if every x with  $x \equiv 6 \pmod{7}$  is a solution. Assume that  $x \equiv 6 \pmod{7}$ . By Theorem 5 of Section 4.1, it follows that  $3x \equiv 3 \cdot 6 = 18 \equiv 4 \pmod{7}$  which shows that all such x satisfy the congruence.

The solutions are the integers x such that  $x \equiv 6 \pmod{7}$ , namely, 6,13,20 ... and -1, -8, -15,...

### The Chinese Remainder Theorem

In the first century, the Chinese mathematician Sun-Tsu asked:

There are certain things whose number is unknown. When divided by 3, the remainder is 2; when divided by 5, the remainder is 3; when divided by 7, the remainder is 2. What will be the number of things?

有物不知其数,三三数之剩二,五五数之剩三,七七数之剩二。问物几何?

• This puzzle can be translated into the solution of the system of congruences:

```
x \equiv 2 \pmod{3},
```

 $x \equiv 3 \pmod{5}$ ,

 $x \equiv 2 \pmod{7}$ ?

• We'll see how the theorem that is known as the *Chinese Remainder Theorem* can be used to solve Sun-Tsu's problem.

### The Chinese Remainder Theorem

**Theorem 2**: (*The Chinese Remainder Theorem*) Let  $m_1, m_2, ..., m_n$  be pairwise relatively prime positive integers greater than one and  $a_1, a_2, ..., a_n$  arbitrary integers. Then the system

```
x \equiv a_1 \pmod{m_1}
x \equiv a_2 \pmod{m_2}
\vdots
\vdots
x \equiv a_n \pmod{m_n}
```

has a unique solution modulo  $m = m_1 m_2 \cdots m_n$ .

(That is, there is a solution x with  $0 \le x < m$  and all other solutions are congruent modulo m to this solution.)

• **Proof**: We'll show that a solution exists by describing a way to construct the solution. Showing that the solution is unique modulo *m* is Exercise 30.

continued  $\rightarrow$ 

## The Chinese Remainder Theorem

```
To construct a solution first let M_k = m/m_k for k = 1, 2, ..., n and m = m_1 m_2 \cdots m_n.
```

```
Since \gcd(m_k,M_k)=1, by Theorem 1, there is an integer y_k, an inverse of M_k \mod m_k, such that M_k y_k \equiv 1 \pmod {m_k}. Form the sum
```

 $x = a_1 M_1 y_1 + a_2 M_2 y_2 + \cdots + a_n M_n y_n.$ 

Note that because  $M_j \equiv 0 \pmod{m_k}$  whenever  $j \neq k$ , all terms except the kth term in this sum are congruent to  $0 \mod m_k$ . Because  $M_k y_k \equiv 1 \pmod{m_k}$ , we see that  $x \equiv a_k M_k y_k \equiv a_k \pmod{m_k}$ , for k = 1, 2, ..., n. Hence, x is a simultaneous solution to the n congruences.

```
x \equiv a_1 \pmod{m_1}
x \equiv a_2 \pmod{m_2}
\vdots
\vdots
x \equiv a_n \pmod{m_n}
```

•

### The Chinese Remainder Theorem

**Example**: Consider the 3 congruences from Sun-Tsu's problem:

```
x \equiv 2 \pmod{3}, x \equiv 3 \pmod{5}, x \equiv 2 \pmod{7}.
```

- Let  $m = 3.5 \cdot 7 = 105$ ,  $M_1 = m/3 = 35$ ,  $M_2 = m/5 = 21$ ,  $M_3 = m/7 = 15$ .
- We see that
  - 2 is an inverse of  $M_1 = 35 \text{ modulo } 3 \text{ since } 35 \cdot 2 \equiv 2 \cdot 2 \equiv 1 \pmod{3}$
  - 1 is an inverse of  $M_2 = 21 \mod 5$  since  $21 \equiv 1 \pmod 5$
  - 1 is an inverse of  $M_3 = 15$  modulo 7 since  $15 \equiv 1 \pmod{7}$
- Hence,

```
x = a_1 M_1 y_1 + a_2 M_2 y_2 + a_3 M_3 y_3
= 2 \cdot 35 \cdot 2 + 3 \cdot 21 \cdot 1 + 2 \cdot 15 \cdot 1 = 233 \equiv 23 \text{ (mod 105)}
```

 We have shown that 23 is the smallest positive integer that is a simultaneous solution. Check it!

### **Back Substitution**

• We can also solve systems of linear congruences with pairwise relatively prime moduli by rewriting a congruences as an equality using Theorem 4 in Section 4.1, substituting the value for the variable into another congruence, and continuing the process until we have worked through all the congruences. This method is known as *back substitution*.

**Example**: Use the method of back substitution to find all integers x such that  $x \equiv 1 \pmod{5}$ ,  $x \equiv 2 \pmod{6}$ , and  $x \equiv 3 \pmod{7}$ .

**Solution**: By Theorem 4 in Section 4.1, the first congruence can be rewritten as x = 5t + 1

### **Back Substitution**

x = 5t + 1, where t is an integer.

- Substituting into the second congruence yields  $5t + 1 \equiv 2 \pmod{6}$ .
- $5t + 1 + 4 \equiv 2 + 4 \pmod{6}$ .  $5(t+1) \equiv 0 \pmod{6}$ . So that  $t \equiv 5 \pmod{6}$ .
- Using Theorem 4 again gives t = 6u + 5 where u is an integer.
- Substituting this back into x = 5t + 1, gives x = 5(6u + 5) + 1 = 30u + 26.
- Inserting this into the third equation gives  $30u + 26 \equiv 3 \pmod{7}$ .
- $30u + 26 + 4 \equiv 3 + 4 \pmod{7}$ .  $30(u+1) \equiv 0 \pmod{7}$ . So that  $u \equiv 6 \pmod{7}$ .
- By Theorem 4, u = 7v + 6, where v is an integer.
- Substituting this expression for u into x = 30u + 26, tells us that x = 30(7v + 6) + 26 = 210u + 206.

Translating this back into a congruence we find the solution  $x \equiv 206 \pmod{210}$ .



## Fermat's Little Theorem

Pierre de Fermat (1601-1665)

**Theorem 3**: (*Fermat's Little The*orem) If p is prime and a is an integer not divisible by p, then  $a^{p-1} \equiv 1 \pmod{p}$ 

Furthermore, for every integer a we have  $a^p \equiv a \pmod{p}$  (proof outlined in Exercise 19)

Fermat's little theorem is useful in computing the remainders modulo *p* of large powers of integers.

Example: Find 7<sup>222</sup> mod 11.

By Fermat's little theorem, we know that  $7^{10} \equiv 1 \pmod{11}$ , and so  $(7^{10})^k \equiv 1 \pmod{11}$ , for every positive integer k. Therefore,

$$7^{222} = 7^{22 \cdot 10 + 2} = (7^{10})^{22} 7^2 \equiv (1)^{22} \cdot 49 \equiv 5 \pmod{11}.$$

Hence,  $7^{222}$  mod 11 = 5.

# **Pseudoprimes**

n也可以不是质数

• By Fermat's little theorem *n* > 2 is prime, where

 $2^{n-1} \equiv 1 \pmod{n}.$ 

• But if this congruence holds, n may not be prime. Composite integers n such that  $2^{n-1} \equiv 1 \pmod{n}$  are called *pseudoprimes* to the base 2.

**Example**: The integer 341 is a pseudoprime to the base 2.

 $341 = 11 \cdot 31$ 

 $2^{340} \equiv 1 \pmod{341}$  (see in Exercise 37)

• We can replace 2 by any integer  $b \ge 2$ .

**Definition**: Let *b* be a positive integer. If *n* is a composite integer, and  $b^{n-1} \equiv 1 \pmod{n}$ , then *n* is called a *pseudoprime to the base b*.

# **Pseudoprimes**

- Given a positive integer n, such that  $2^{n-1} \equiv 1 \pmod{n}$ :
  - If *n* does not satisfy the congruence, it is composite.
  - If *n* does satisfy the congruence, it is either prime or a pseudoprime to the base 2.
- Doing similar tests with additional bases *b*, provides more evidence as to whether *n* is prime.
- Among the positive integers not exceeding a positive real number x, compared to primes, there are relatively few pseudoprimes to the base b.
  - For example, among the positive integers less than 10<sup>10</sup> there are 455,052,512 primes, but only 14,884 pseudoprimes to the base 2.

# Carmichael Numbers (optional)



Robert Carmichael (1879-1967)

• There are composite integers n that pass all tests with bases b such that gcd(b,n) = 1.

**Definition**: A composite integer n that satisfies the congruence  $b^{n-1} \equiv 1 \pmod{n}$  for all positive integers b with gcd(b,n) = 1 is called a *Carmichael* number.

**Example**: The integer 561 is a Carmichael number. To see this:

- 561 is composite, since  $561 = 3 \cdot 11 \cdot 13$ .
- If gcd(b, 561) = 1, then gcd(b, 3) = 1, then gcd(b, 11) = gcd(b, 17) = 1.
- Using Fermat's Little Theorem:  $b^2 \equiv 1 \pmod{3}$ ,  $b^{10} \equiv 1 \pmod{11}$ ,  $b^{16} \equiv 1 \pmod{17}$ .
- Then

```
b^{560} = (b^2)^{280} \equiv 1 \pmod{3},

b^{560} = (b^{10})^{56} \equiv 1 \pmod{11},

b^{560} = (b^{16})^{35} \equiv 1 \pmod{17}.
```

- It follows (see Exercise 29) that  $b^{560} \equiv 1 \pmod{561}$  for all positive integers b with gcd(b,561) = 1. Hence, 561 is a Carmichael number.
- Even though there are infinitely many Carmichael numbers, there are other tests (described in the exercises) that form the basis for efficient probabilistic primality testing. (see Chapter 7)

### **Primitive Roots**

**Definition**: A primitive root modulo a prime p is an integer r in  $\mathbb{Z}_p$  such that every nonzero element of  $\mathbb{Z}_p$  is a power of r.

**Example**: Since every element of  $\mathbf{Z}_{11}$  is a power of 2, 2 is a primitive root of 11

Powers of 2 modulo 11:  $2^1 = 2$ ,  $2^2 = 4$ ,  $2^3 = 8$ ,  $2^4 = 5$ ,  $2^5 = 10$ ,  $2^6 = 9$ ,  $2^7 = 7$ ,  $2^8 = 3$ ,  $2^9 = 6$ ,  $2^{10} = 1$ .

**Example**: Since not all elements of  $\mathbb{Z}_{11}$  are powers of 3, 3 is not a primitive root of 11.

Powers of 3 modulo 11:  $3^1 = 3$ ,  $3^2 = 9$ ,  $3^3 = 5$ ,  $3^4 = 4$ ,  $3^5 = 1$ , and the pattern repeats for higher powers.

**Important Fact**: There is a primitive root modulo *p* for every prime number *p*.

### **Discrete Logarithms**

Suppose p is prime and r is a primitive root modulo p. If a is an integer between 1 and p-1, that is an element of  $\mathbf{Z}_p$ , there is a unique exponent e such that  $r^e = a$  in  $\mathbf{Z}_p$ , that is,  $r^e \mod p = a$ . **Definition**: Suppose that p is prime, r is a primitive root modulo p, and a is an integer between 1

**Definition**: Suppose that p is prime, r is a primitive root modulo p, and a is an integer between 1 and p-1, inclusive. If  $r^e \mod p = a$  and  $1 \le e \le p-1$ , we say that e is the *discrete logarithm* of a modulo p to the base r and we write  $\log_r a = e$  (where the prime p is understood).

**Example 1**: We write  $\log_2 3 = 8$  since the discrete logarithm of 3 modulo 11 to the base 2 is 8 as  $2^8 = 3$  modulo 11.

**Example 2**: We write  $\log_2 5 = 4$  since the discrete logarithm of **5 modulo 11** to the base 2 is 4 as  $2^4 = 5$  modulo 11.

There is no known polynomial time algorithm for computing the discrete logarithm of a modulo p to the base r (when given the prime p, a root r modulo p, and a positive integer  $a \in \mathbb{Z}_p$ ). The problem plays a role in cryptography as will be discussed in Section 4.6.

### **Exercise**

• Sec 4.4 9, 21



# **Section Summary**

- Hashing Functions
- Pseudorandom Numbers
- Check Digits

# **Hashing Functions**

**Definition**: A *hashing function h* assigns memory location h(k) to the record that has k as its key.

- A common hashing function is  $h(k) = k \mod m$ , where m is the number of memory locations.
- Because this hashing function is onto, all memory locations are possible.

**Example**: Let  $h(k) = k \mod 111$ . This hashing function assigns the records of customers with social security numbers as keys to memory locations in the following manner:

 $h(064212848) = 064212848 \mod 111 = 14$ 

h(037149212) = 037149212 mod 111 = 65

h(107405723) = 107405723 mod 111 = 14, but since location 14 is already occupied, the record is assigned to the next available position, which is 15.

- The hashing function is not one-to-one as there are many more possible keys than memory locations. When more than one record is assigned to the same location, we say a *collision* occurs. Here a collision has been resolved by assigning the record to the first free location.
- For collision resolution, we can use a *linear probing function*:

h(k,i) = (h(k) + i) mod m, where i runs from 0 to m - 1.

There are many other methods of handling with collisions. You may cover these in a later CS course.

### **Pseudorandom Numbers**

- Randomly chosen numbers are needed for many purposes, including computer simulations.
- Pseudorandom numbers are not truly random since they are generated by systematic methods.
- The linear congruential method is one commonly used procedure for generating pseudorandom numbers.
- Four integers are needed: the modulus m, the multiplier  $a_i$ , the increment c, and seed  $x_0$ ,  $2 \le a < m, 0 \le c < m, 0 \le x_0 < m.$
- We generate a sequence of pseudorandom numbers  $\{x_n\}$ , with n, by successively using the recursively defined function

 $0 \le x_n < m$  for all

 $x_{n+1} = (ax_n + c) \bmod m.$ 

(an example of a recursive definition, discussed in Section 5.3)

• If psudorandom numbers between 0 and 1 are needed, then the generated numbers are divided by the modulus,  $x_n/m$ .

### **Pseudorandom Numbers**

- **Example**: Find the sequence of pseudorandom numbers generated by the linear congruential method with modulus m = 9, multiplier a = 7, increment c = 4, and seed  $x_0 = 3$ . seed  $x_0 = 3$ .
- **Solution**: Compute the terms of the sequence by successively using the congruence  $x_{n+1} = (7x_n + 4)$ **mod** 9, with  $x_0 = 3$ .

```
x_1 = 7x_0 + 4 \mod 9 = 7.3 + 4 \mod 9 = 25 \mod 9 = 7,
x_2 = 7x_1 + 4 \mod 9 = 7.7 + 4 \mod 9 = 53 \mod 9 = 8,
x_3 = 7x_2 + 4 \mod 9 = 7.8 + 4 \mod 9 = 60 \mod 9 = 6,
x_4 = 7x_3 + 4 \mod 9 = 7.6 + 4 \mod 9 = 46 \mod 9 = 1,
x_5 = 7x_4 + 4 \mod 9 = 7.1 + 4 \mod 9 = 11 \mod 9 = 2,
x_6 = 7x_5 + 4 \mod 9 = 7.2 + 4 \mod 9 = 18 \mod 9 = 0,
x_7 = 7x_6 + 4 \mod 9 = 7.0 + 4 \mod 9 = 4 \mod 9 = 4,
x_8 = 7x_7 + 4 \mod 9 = 7 \cdot 4 + 4 \mod 9 = 32 \mod 9 = 5,
```

 $x_9 = 7x_8 + 4 \mod 9 = 7.5 + 4 \mod 9 = 39 \mod 9 = 3.$ The sequence generated is 3,7,8,6,1,2,0,4,5,3,7,8,6,1,2,0,4,5,3,...

It repeats after generating 9 terms.

Commonly, computers use a linear congruential generator with increment c=0. This is called a *pure multiplicative generator*. Such a generator with modulus  $2^{31}-1$  and multiplier  $7^5=16,807$  generates  $2^{31}$ 2 numbers before repeating.

# Check Digits: UPCs

A common method of detecting errors in strings of digits is to add an extra digit at the end, which is evaluated using a function. If the final digit is not correct, then the string is assumed not to be correct.

Example: Retail products are identified by their Universal Product Codes (UPCs). Usually these have 12 decimal digits, the last one being the check digit. The check digit is determined by the congruence:

```
3x_1+x_2+3x_3+x_4+3x_5+x_6+3x_7+x_8+3x_9+x_{10}+3x_{11}+x_{12}\equiv 0\ (\text{mod }10). Suppose that the first 11 digits of the UPC are 79357343104. What is the check digit?
```

- Is 041331021641 a valid UPC?

### Solution:

```
3.7 + 9 + 3.3 + 5 + 3.7 + 3 + 3.4 + 3 + 3.1 + 0 + 3.4 + x_{12} \equiv 0 \pmod{10}
21 + 9 + 9 + 5 + 21 + 3 + 12 + 3 + 3 + 0 + 12 + x_{12} \equiv 0 \pmod{10}
98 + x_{12} \equiv 0 \pmod{10}
x_{12} \equiv 0 \pmod{10} So, the check digit is 2.
```

 $3.0 + 4 + 3.1 + 3 + 3.3 + 1 + 3.0 + 2 + 3.1 + 6 + 3.4 + 1 \equiv 0 \pmod{10}$  $0+4+3+3+9+1+0+2+3+6+12+1=44 \equiv 4 \not\equiv \pmod{10}$ Hence, 041331021641 is not a valid UPC.

# **Check Digits:ISBNs**

Books are identified by an *International Standard Book Number* (ISBN-10), a 10 digit code. The first 9 digits identify the language, the publisher, and the book. The tenth digit is a check digit, which is determined by the following congruence

$$x_{10} \equiv \sum_{i=1}^{9} ix_i \pmod{11}.$$

The validity of an ISBN-10 number can be evaluated with the equivalent  $\sum_{i=1}^{10} ix_i \equiv 0 \pmod{11}.$ 

- a. Suppose that the first 9 digits of the ISBN-10 are 007288008. What is the check digit?
- b. Is 084930149X a valid ISBN10?

### Solution:

- a.  $X_{10} \equiv 1.0 + 2.0 + 3.7 + 4.2 + 5.8 + 6.8 + 7.0 + 8.0 + 9.8 \pmod{11}$ . X is used  $X_{10} \equiv 0 + 0 + 21 + 8 + 40 + 48 + 0 + 0 + 72 \pmod{11}$ . for the  $X_{10} \equiv 189 \equiv 2 \pmod{11}$ . Hence,  $X_{10} \equiv 2.0 \pmod{11}$  Hence,  $X_{10} \equiv 2.0 \pmod{11}$
- A single error is an error in one digit of an identification number and a transposition error is the accidental interchanging of two
  digits. Both of these kinds of errors can be detected by the check digit for ISBN-10. (see text for more details)
- The ISBN of our book is 978-7-111-38550-9

## **Exercise**

• 无