

# Hush-hush Gradients: A Review of Differential Privacy for Deep Learning

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## Introduction

The field of Deep Learning (DL) is for many subfields moving towards a setup where large multi-purpose foundation models are developed and trained at major companies or research institutions, and then released for engineers to adapt to specific applications [Bom+21, pp. 3]. This application of the open-source principle to pre-trained models improves scientific reproduction ability [HO20, pp. 3] and technology accessibility [Bom+21, pp. 139]. One risk, however, is an adversarial actor exploiting a property of DL models: Parts of training data is generally recoverable from model weights [NSH19; Sho+17]. This might expose proprietary data or the private data of individuals as exemplified for Natural Language Processing (nlp) language models in Figure 1. As large-scale data sets are here to stay [Sun+17], algorithmic methods for improving the privacy of foundation models are required. The methods of Differential Privacy (DP) are suitable for this task and the relevant con-

cepts, algorithms and problems will here be reviewed.

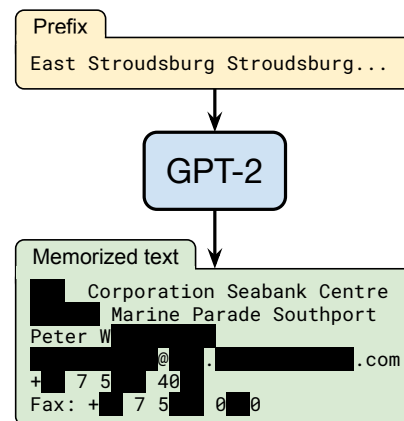


Figure 1: The extraction attack performed on GPT-2 [Car+21, Fig. 1] (private data redacted).

## Fundamental Concepts

Achieving DP corresponds to making a promise of hiding information about individuals when publishing quantitative patterns about groups [DR14, pp. 5]. This

general problem is historically faced in releases of statistical data analyses by e.g. official organizations [Dal77; Wik22]. An algorithm is thus differentially private if a third party observer cannot extract individual information from its' computation. In this context, a Machine Learning (ML) model  $f(x|w) = \hat{y} \approx y$  trained on a data set  $\mathcal{D}$  exposes data patterns when either its' parametrization  $w$  or predictions  $(x, \hat{y})$  are released.

Let  $\mathcal{D}_i \in \mathbb{D}$  be a data set containing the private information of individual  $i$  and  $\mathcal{D}_{\hat{i}} \in \mathbb{D}$  be identical except excluding this private information. For most approaches, the goal is to maximise DP by minimising the impact of individual data on computation which can be quantified by measures such as  $\ell_1$ -sensitivity [DR14, pp.31]  $\Delta g$  of a numeric statistic  $g : \mathbb{D} \rightarrow \mathbb{R}^k$ ,

$$\Delta g = \max_{i, \hat{i} \in \mathbb{D}} \|g(x) - g(y)\|_1. \quad (1)$$

To achieve this, additive noise mechanisms can be used. For  $\Delta g$ , this can be achieved by adding Gaussian noise to outputs [DR14, p. D 3.3]

$$f(x) + (Y_1, \dots, Y_k), Y_i \sim \mathcal{N}(0, \sigma_{\Delta g}^2) \quad (2)$$

The end goal of such DP mechanisms on random algorithms  $\mathcal{F}(\mathcal{D})$  outputting  $w \in \text{Im}(\mathcal{F})$  with probability  $p_{\mathcal{F}}(w|\mathcal{D})$ , is to guarantee  $(\varepsilon, \delta)$ -privacy [DR14, Def. 2.4]

requiring  $\forall S \in \text{Im}(\mathcal{F})$  that

$$P(\mathcal{F}(\mathcal{D}_i) \in S) \leq \exp(\varepsilon)P(\mathcal{F}(\mathcal{D}_{\hat{i}}) \in S) + \delta. \quad (3)$$

Thus, for  $1 - \delta$  of the probability density over algorithmic randomness, it is promised that adding your private data to  $\mathcal{D}$  does not raise your risk of harm by more than  $\exp(\varepsilon)$  [DR14, pp. 21]. Often,  $\delta = 0$ , requiring the stronger  $\varepsilon$ -privacy [Wik22]. For the Gaussian additive noise mechanism (2),  $(\varepsilon, \delta)$ -privacy is achieved when  $\sigma_{\Delta g}^2 = \Delta g \ln(1/\delta)\varepsilon^{-1}$  [DR14, App. A].

ML algorithms are randomized algorithms and as such, simple  $(\varepsilon, \delta)$ -privacy can be applied directly, though many approaches such as additive noise mechanisms raise the number of samples required to obtain similar performance [DR14, pp.221]. A practical way to integrate the DP mechanism into DL training is to modify how the loss gradient estimate  $g_t = \nabla \mathcal{L}(\hat{y}, y|w_t)$  is used by the optimizer  $w_{t+1} = w_t - \eta_t m(g_t)$  [RE19].  $m$  could add noise or clip the gradient [Aba+16].

## State of the Art

## Open Problems

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