

# Archaeological Survey: Finding Archaeological Sites



Reconstructing the historic garden patterns from soil features  
at George Washington's home at Mount Vernon

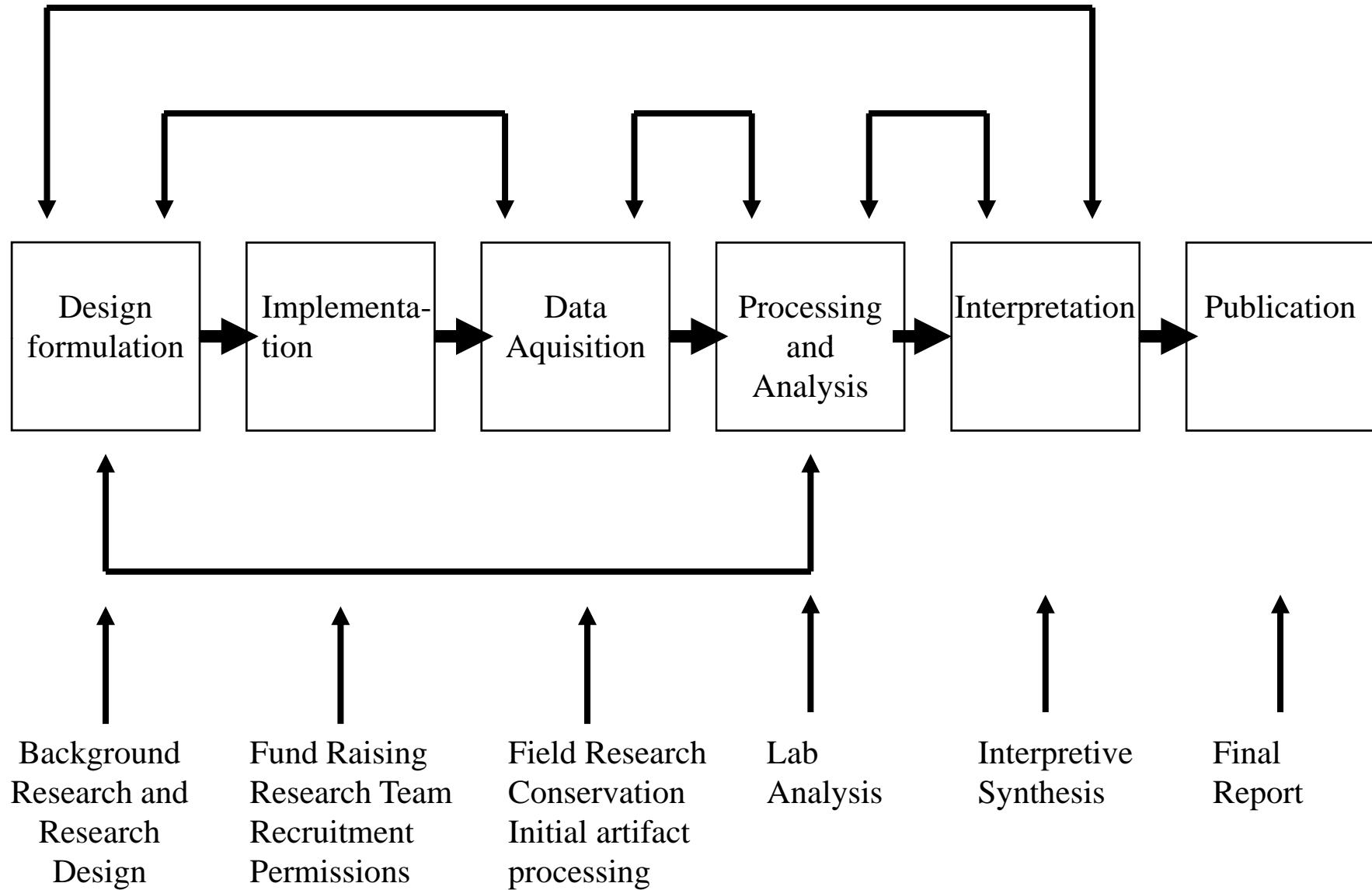
# **The Process of Archaeological Research**

## **Research Design**

- regional archaeology is a more complex process than mere site investigation
- research problems dictate the creation of systematic, detailed research designs
- research designs are like flow charts, created to monitor the validity of research results and to maximize efficient use of resources (money, people and time)
- divides the research process into specific stages, each of which is carefully designed to carry out certain functions;

- together they form a sequence of investigation that divides the flow chart into stages
- the stages do not necessarily follow one up on the other in close order and several may be carried out simultaneously
- the design must be flexible and fluid enough to accommodate ever-changing circumstances in the field
- it must also accommodate the diverse researchers in the group and bring together all relevant research for publication;

# The Process of Archaeological Research



## **Design and Formulation**

- the problem is defined, its feasibility tested, and the entire background for the project is researched very carefully
- background library research is especially important, as it provides an opportunity to refine research questions
- including a definition of the research problem and also a statement of specific goals, including sampling strategies to be used and specific hypotheses to be tested
- it is necessary to accurately define the kinds of data the research team will be looking for to test its hypotheses

## **Implementation**

- fund-raising
- gaining permission for access to land for survey or excavation
- acquiring equipment and work force

## **Data acquisition**

field research can have a variety of scales:

- a preliminary reconnaissance of the research area
- various forms of survey
- excavation

## **Assessing the sites**

- non-intrusive archaeology which involves recording the location of the site, surface finds, and sometimes by electronic subsurface detection

## **Excavation**

- investigating the site by excavation



Faunal (animal) remains



pottery

## Processing of finds



human remains

## **Processing and analysis**

Finds come in many forms:

- artifacts
- food remains
- remains of houses
- human skeletons;

These data, as well as detailed notes, drawings and other recorded data are analyzed

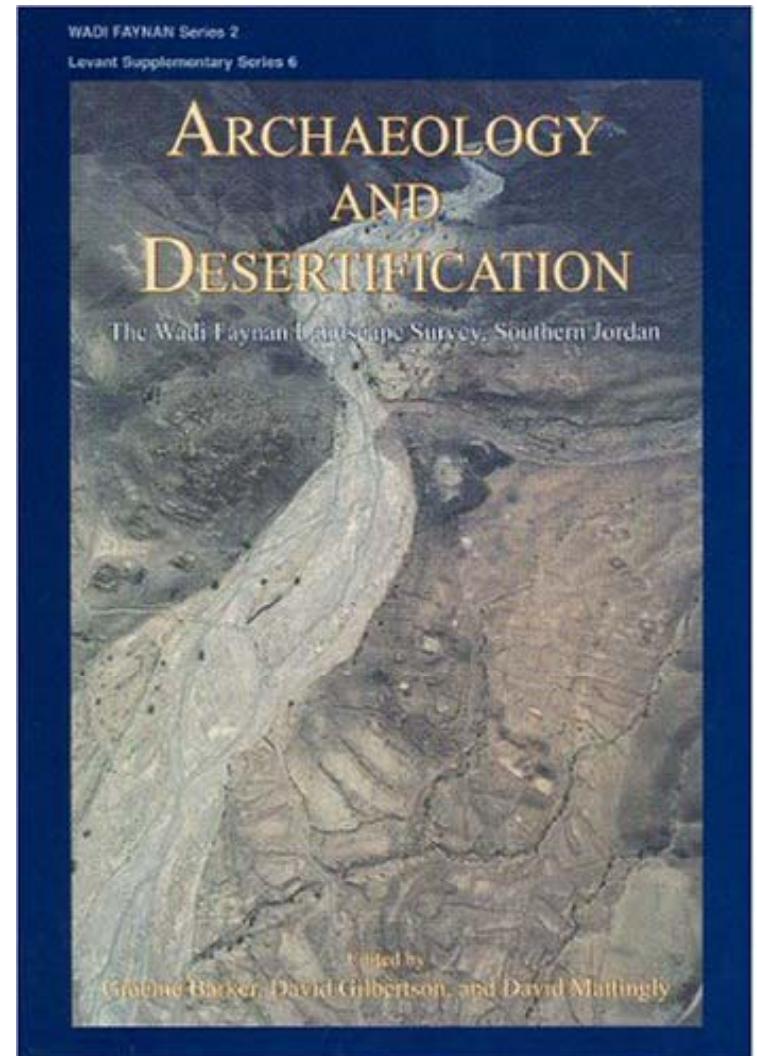
- some specific materials, such as radiocarbon samples and pollen grains are sent to specialists for analysis
- most lab analysis involves detailed artifact classification and study

## Interpretation

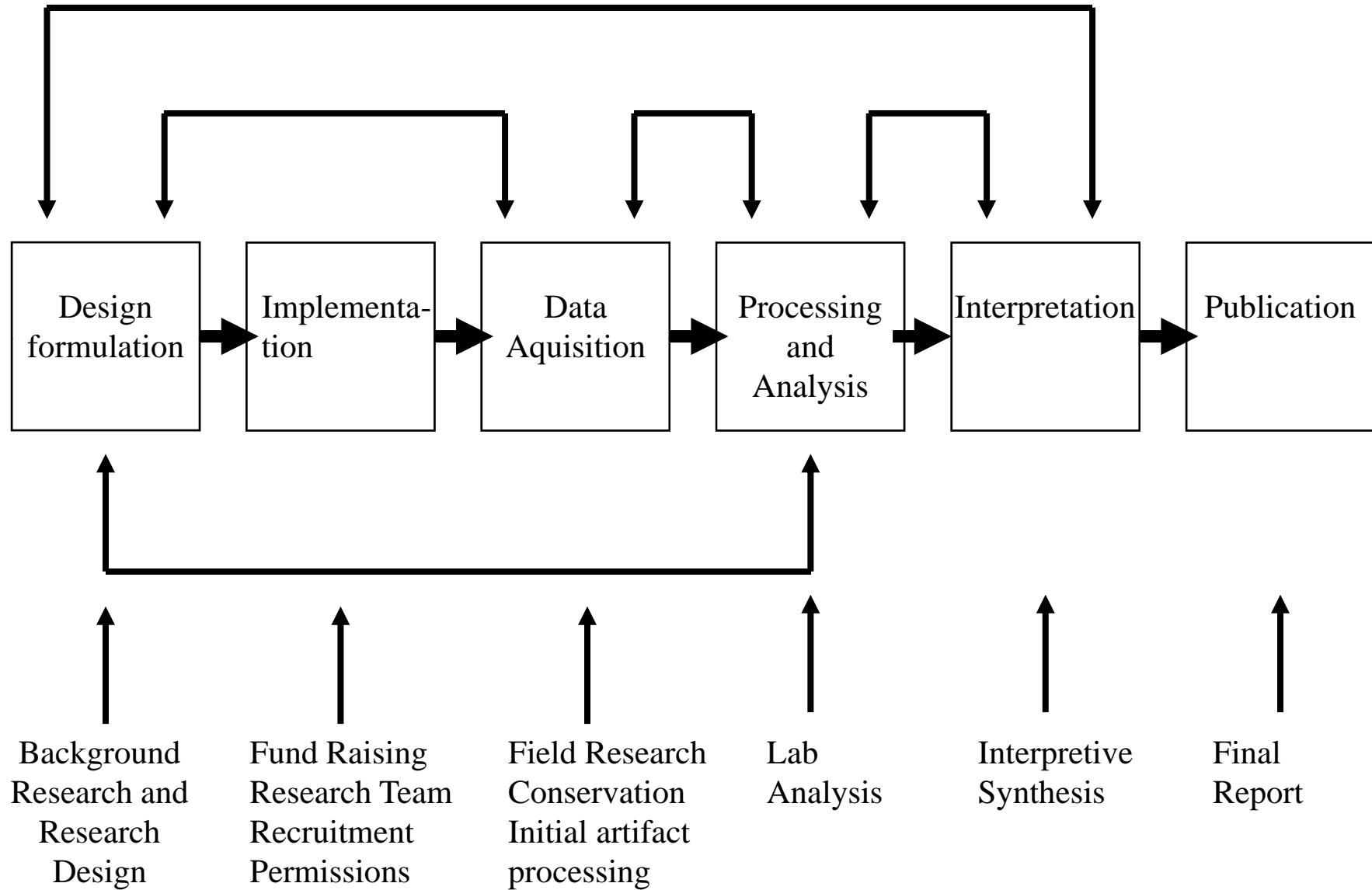
- during this phase, everything is brought together into an interpretive synthesis to answer the research questions posed in the original design

## Publication

- final results are published in a form accessible to other scholars



# The Process of Archaeological Research



## Finding Archaeological Sites

- Most archaeological sites are inconspicuous
- Many consist of limited artifacts (such as a few sherds of pottery)
- They may be buried metres underground

# Accidental Discoveries

## Ice-man as an example



At its most basic level, analytical field survey requires nothing more than keen observation skills and an inquisitive mind



# Archaeological Survey

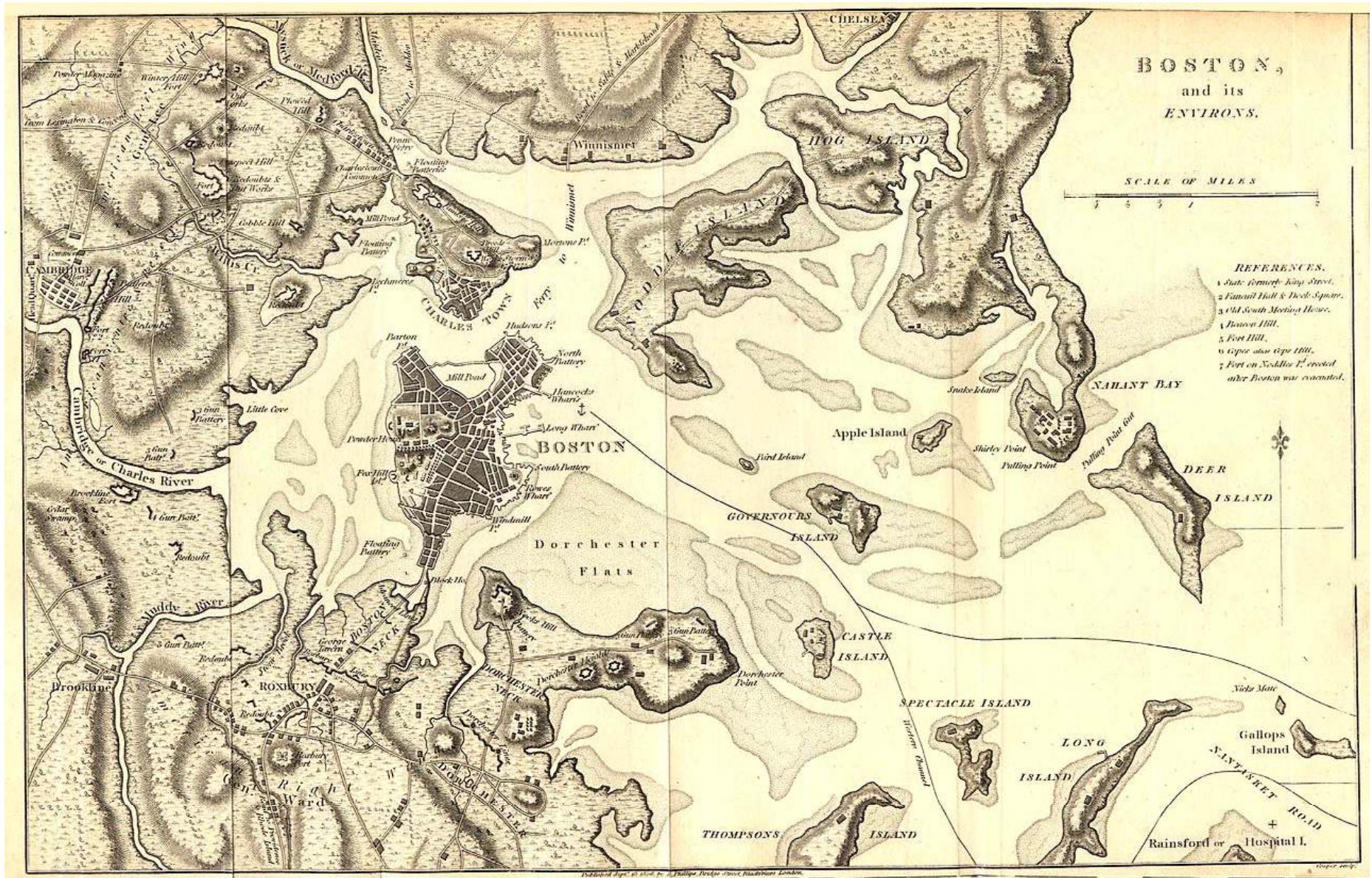
## Ground Reconnaissance

- to record all traces of ancient settlement in an area
- no survey will ever achieve total coverage
- the key lies in carefully designing the research in advance of fieldwork and in using techniques to estimate the probable density of archeological sites in the region to be studied

BOSTON,  
and its  
ENVIRONS.

SCALE OF MILES

REFERENCES.  
1 State former King Street.  
2 Faneuil Hall & Dock-Square.  
3 Old South Meeting House.  
4 Beacon Hill.  
5 Fort Hill.  
6 copy also 1766.  
7 Fort on Noddle I. erected  
after Boston was evacuated.



## **Documentary evidence**

Historical sources, documents and maps

Recent word of mouth sources

(involves collecting as much information about as many sites as possible from local informants and landowners)



## **Reconnaissance survey**

- look for the most prominent remains in a landscape, i.e. remnants of walled buildings, burial mounds, etc.
- signs: gray soil from a rodent burrow, a blurred mark in a plowed field, a potsherd
- has evolved in the last few decades from being simply the preliminary stage in fieldwork to a more or less independent kind of inquiry
- an area of research in its own right which can produce information quite different from that achieved by digging



Archaeologists in Lincolnshire England discover a Late Roman cemetery discovered upon demolition of a derelict pub prior to building of a new supermarket

Wood from the hull of the 18th century ship at the ground Zero site in New York, in an area where the Hudson River used to run.



## Salvage archaeology

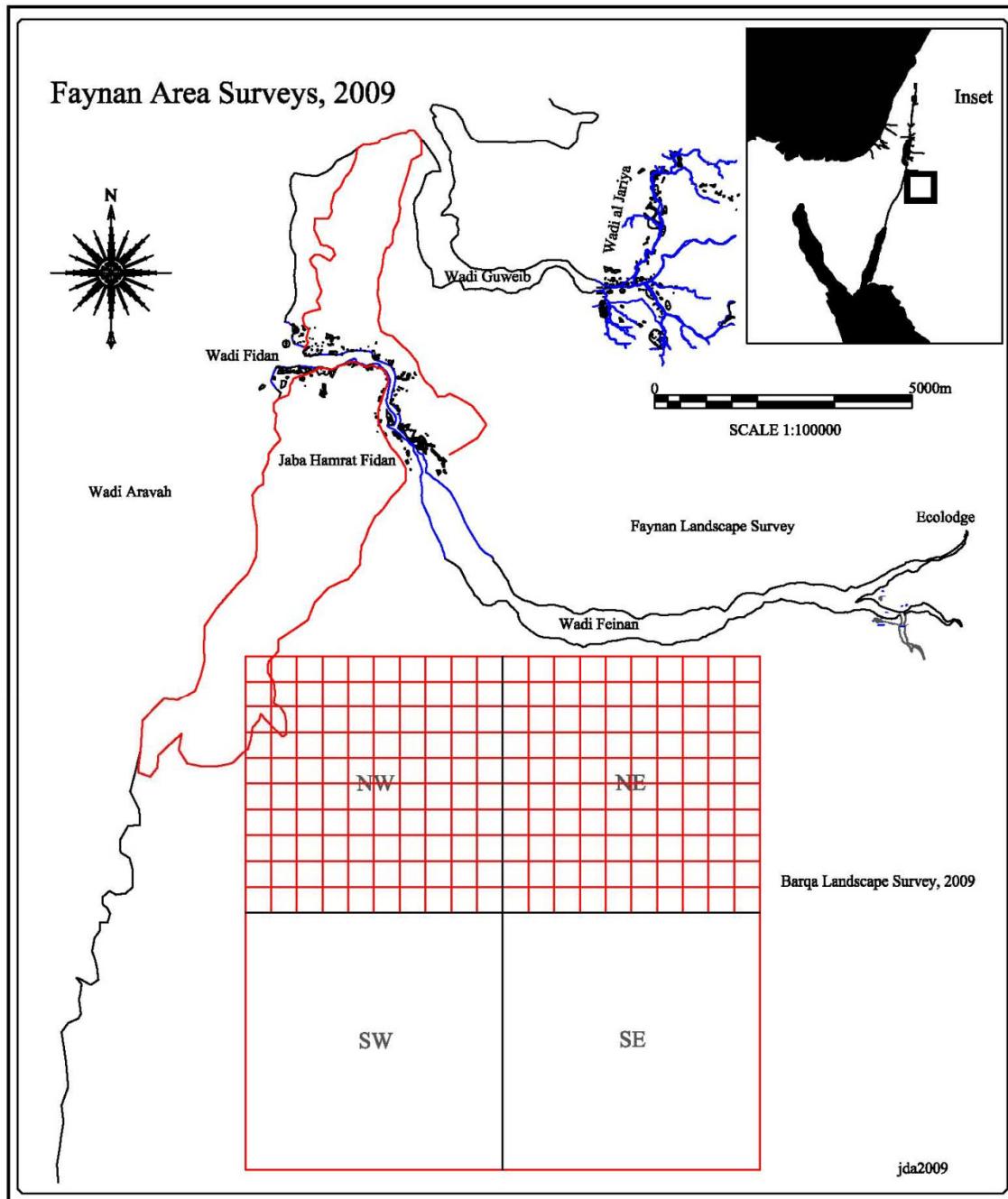
- In many countries the majority of archaeological sites are found through chance finds due to development and construction work
- Most countries have strict guidelines about reporting sites found
- Salvage archaeology is done in advance of construction work to locate and record as many sites as possible before they are destroyed by new roads, buildings, or dams, or by peat-cutting and drainage in wetland environments

- Salvage archaeology also responds to unexpected chance discoveries in construction and development work
- in some cases, excavation may not take place at all
- perhaps because permission to dig was not forthcoming, or because of lack of time or funds

## Steps

- the region to be surveyed needs to be defined: its boundaries may be either natural, cultural, or arbitrary
- need to study the area's history of development to assess previous archeological work and local materials, as well as the extent to which surface material may have been covered or removed by geomorphological processes
- intensity of surface coverage needs to be determined, on the basis of time and resources available, and how easy it is actually to reach and record an area
- conduct a systematic or unsystematic survey

# Surveys in Faynan, Jordan 1997-2009



## **Extensive and intensive survey**

- surveys can be made more extensive by combining results from a series of individual projects in neighboring regions to produce very large-scale views of change in landscape, land-use, and settlement though time



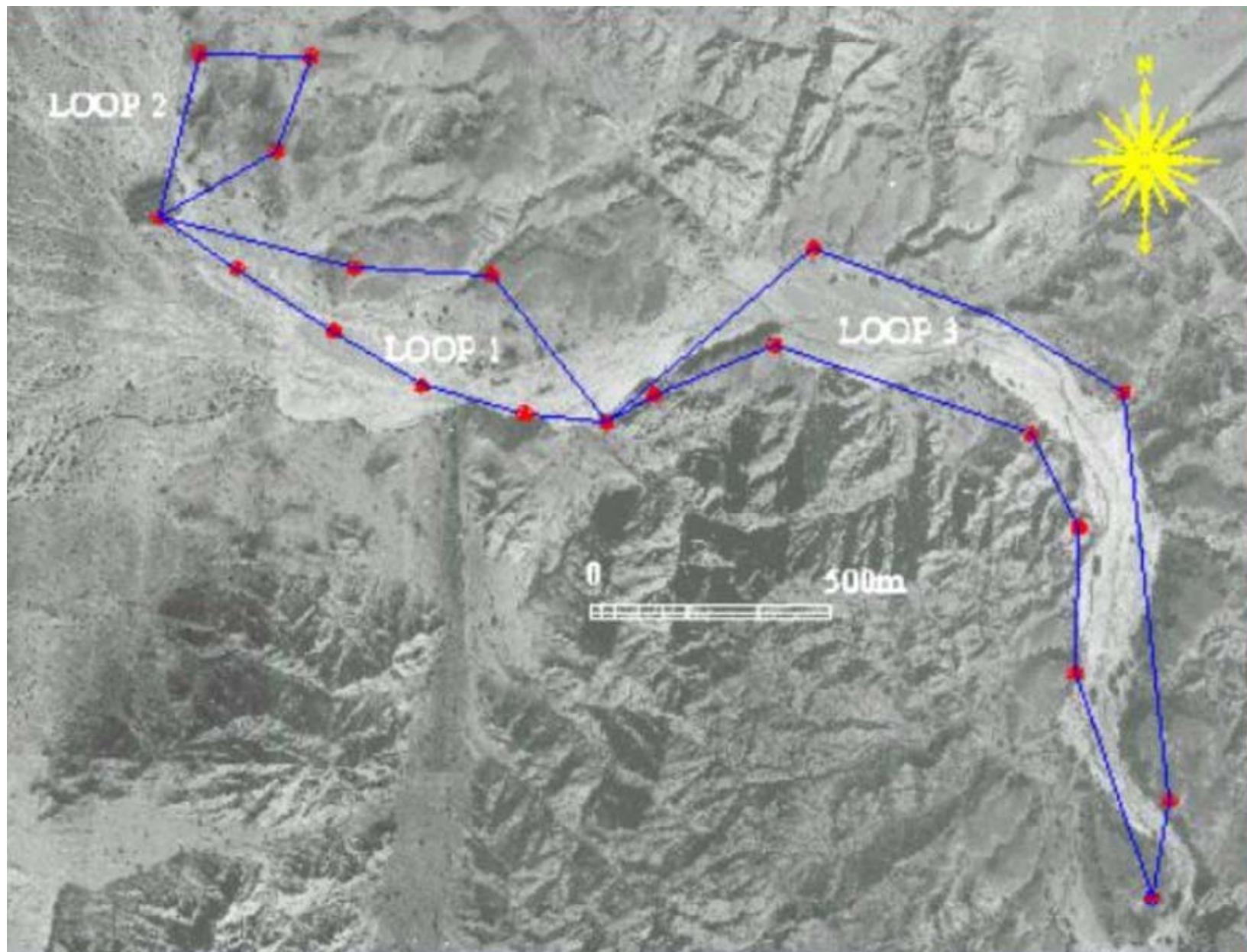
## Surveying using a Total Station



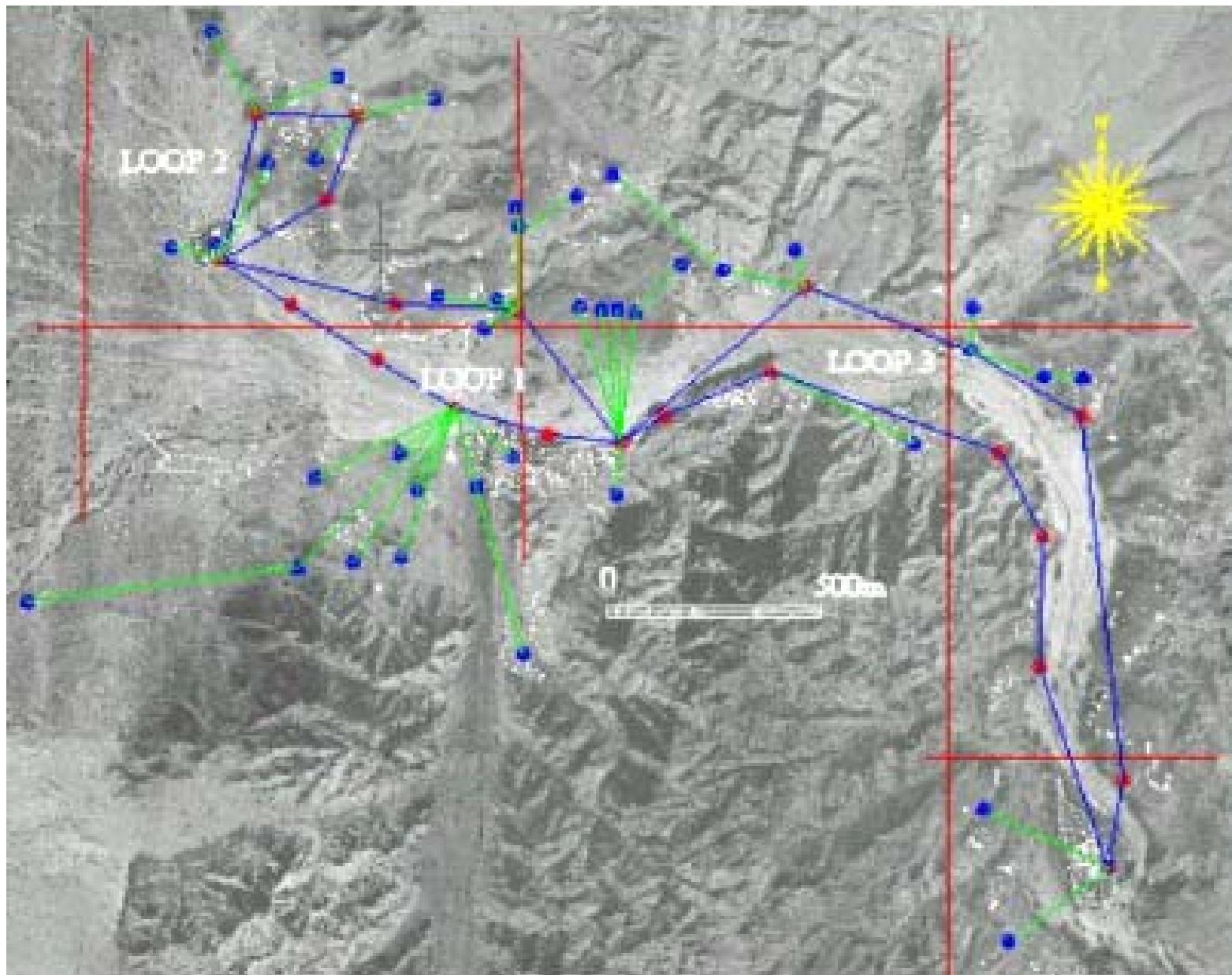


Creating ‘Bench Marks’ or permanent survey points

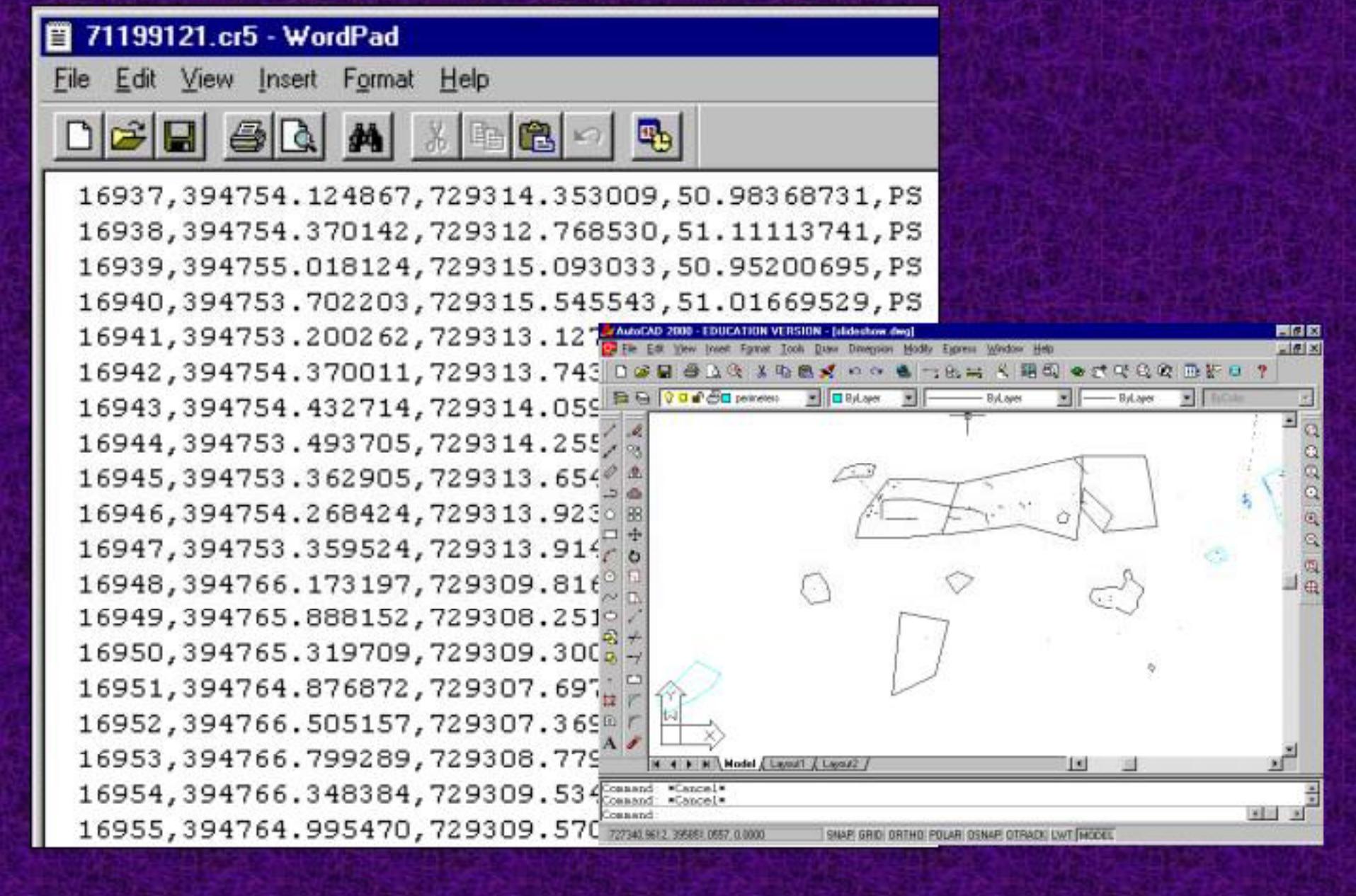
# Control Networks

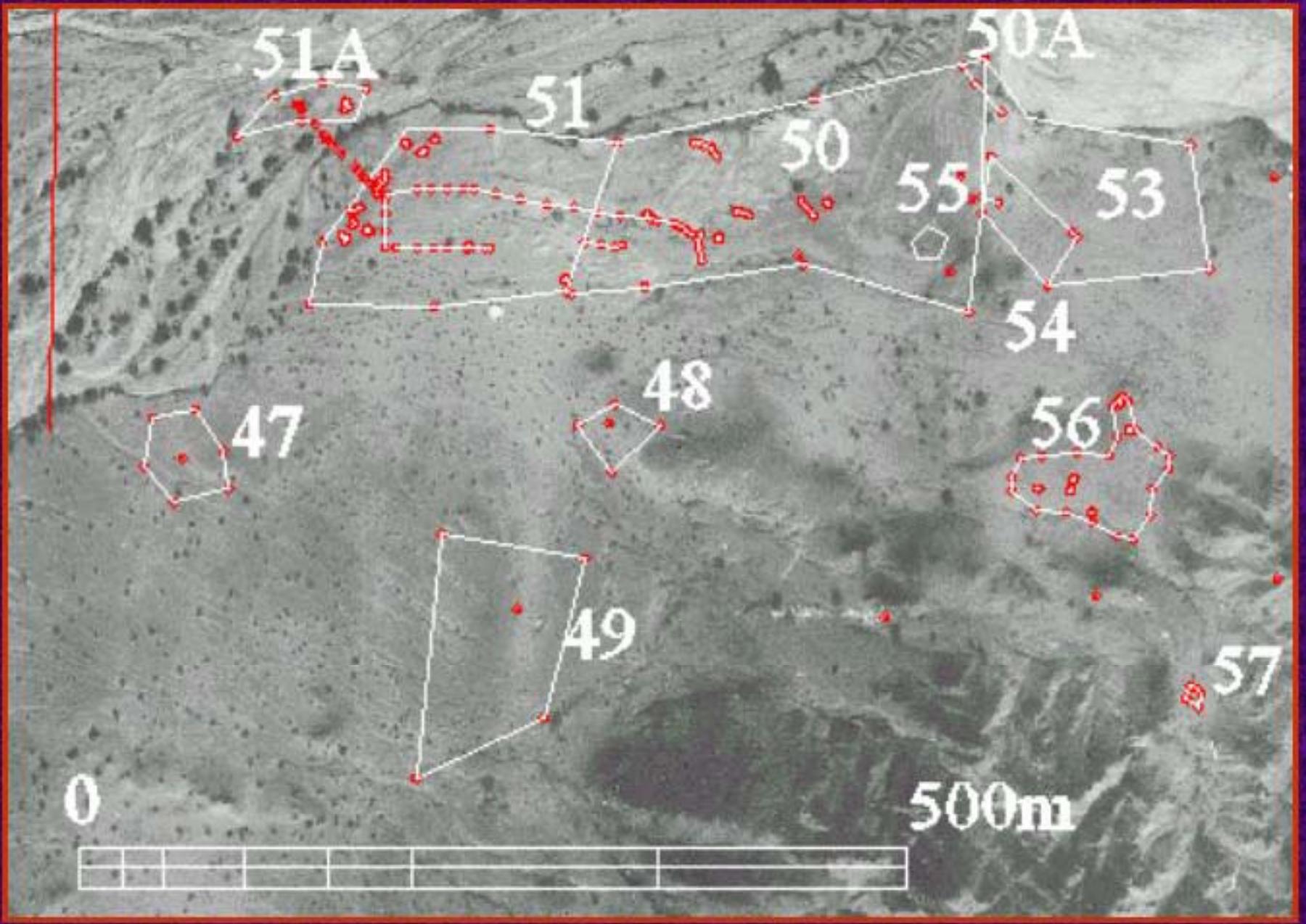


# Secondary Loop and collection points

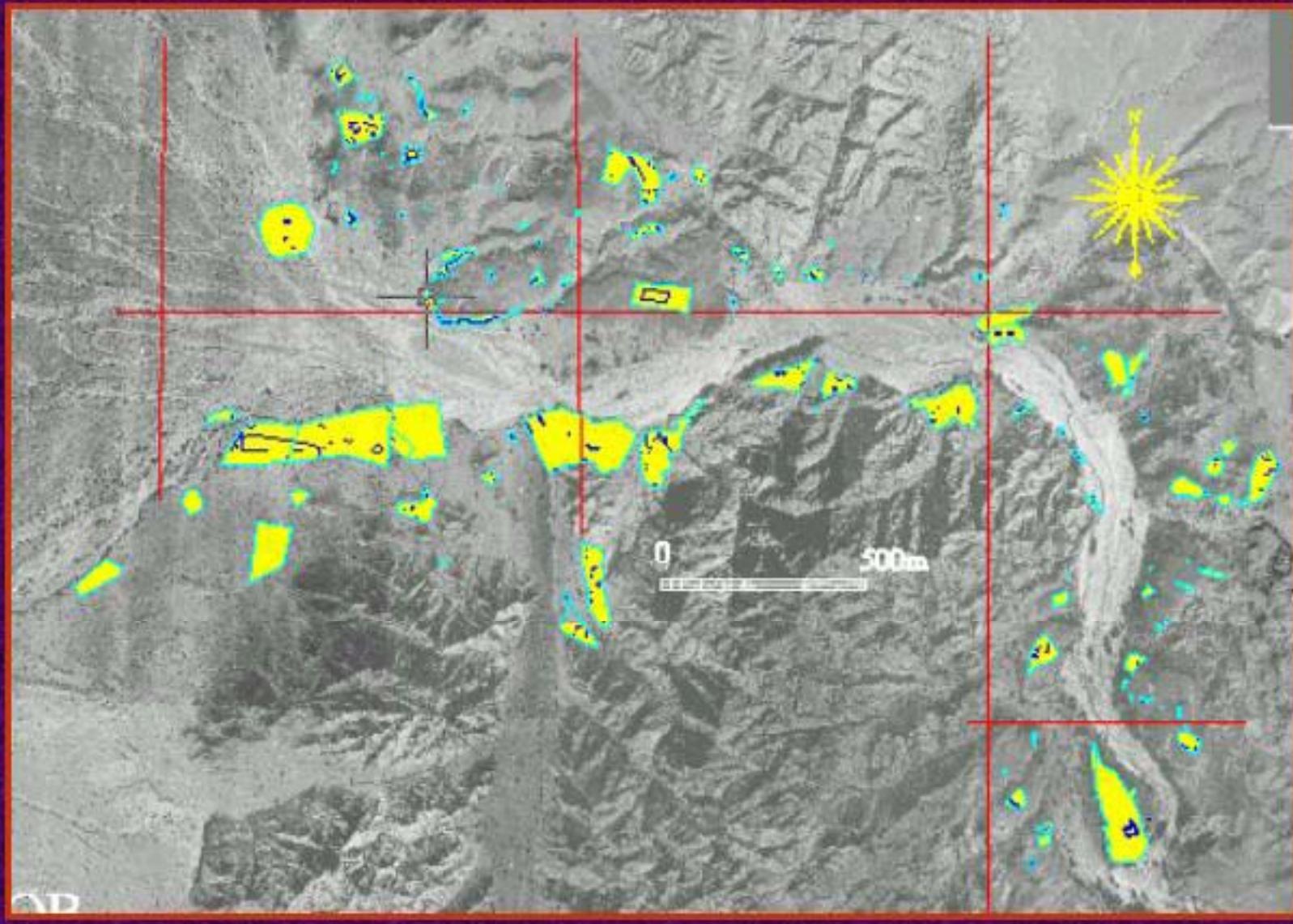


# Draughting: Sites and Structures





# General Sites and Structures



## **Sampling and Archaeological Survey**

- as so many sites are being destroyed today, archaeologists hurry to locate as many sites as possible
- the only way the archaeologists can estimate the extent of the site resource base is to survey selected areas in great detail, using formal sampling methods

# Sampling definition

“the science of controlling and measuring the reliability of information through the theory of probability”

- systematic and carefully controlled sampling of archaeological data is essential if we are to rely heavily on statistical approaches in studying ancient adaptations to changing environmental conditions
- sampling ensures a statistically reliable basis of archaeological data from which we can make generalizations about our research data
- these generalizations are often estimates of probability, which means they have to be based on unbiased data



What to look for:

- concentration of artifacts, enriched soil, modification to earth's surface (pits, mounds, etc.)
- “non-sites”: areas with low density of artifacts, but still cultural remains (individual fields, plowmarks, field boundaries)

Importance of sampling:

- Through sampling we can examine entire landscapes and relationships within
- This is a much less expensive and quicker process than excavation, is less destructive and provides different information



# Types of Sampling

## Non-probabilistic sampling

- some sites in a region may be more accessible than others, or more prominent in the landscape, which may prompt a less formally scientific research design
- areas selected on the basis of opinion (asking locals, using historical documents, early maps)



## **Example: simple pedestrian survey**

Involves walking across each part of the area, scanning the strip of ground along one's path, collecting or examining artifacts on the surface, and recording their location together with that of any surface features.

### **Problems with this approach:**

- Results may be biased or misleading
- Surveyors have an inherent desire to find material
- Tend to concentrate on those areas that seem richer
- Rather than obtaining a sample representative of the whole area
- Without which the archaeologist is unable to assess the varying distribution of material of different periods or types

## Probabilistic Sampling

Employs either a grid system or a series of equally spaced transects

- Transects are preferred in areas of dense vegetation
- It is far easier to walk along a series of paths than to locate accurately and investigate a large number of randomly distributed squares
- Using squares will expose more area to the survey, thus increasing the probability of intersecting sites
- A combination of the two methods is often best: using transects to cover long distances, but squares when larger concentrations of material are encountered
- The larger and better designed the sample, the more likely the results are to be valid

# Probabilistic Sampling vs. non-Probabilistic Sampling







# **Random sampling**

## Simple random sample

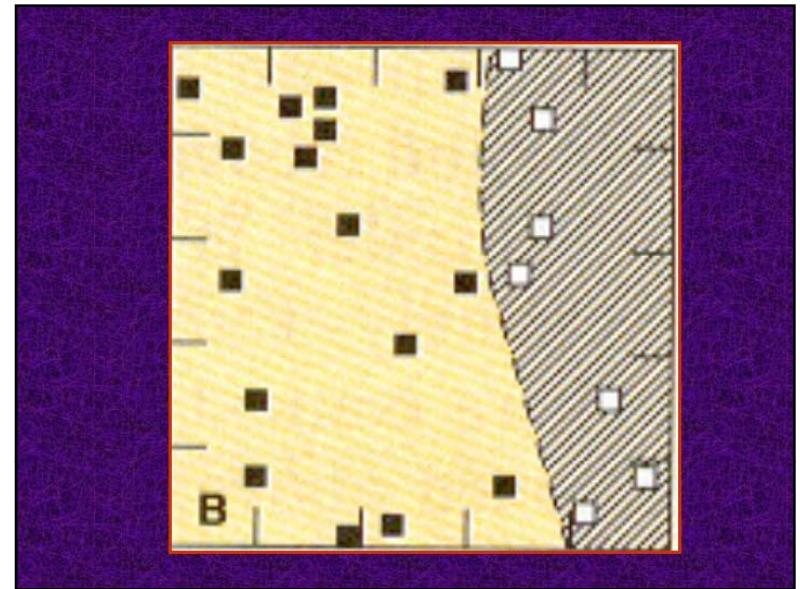
- The areas to be sampled are chosen using a table of random numbers;
- Choose the limits, then the units (size of squares, or quadrats in grid), sample fraction (how many squares to investigate)

### *Drawbacks:*

- It entails defining the site's boundaries beforehand, and these are not always known with certainty
- the nature of random numbers results in some areas being allotted clusters of squares, while others remain untouched

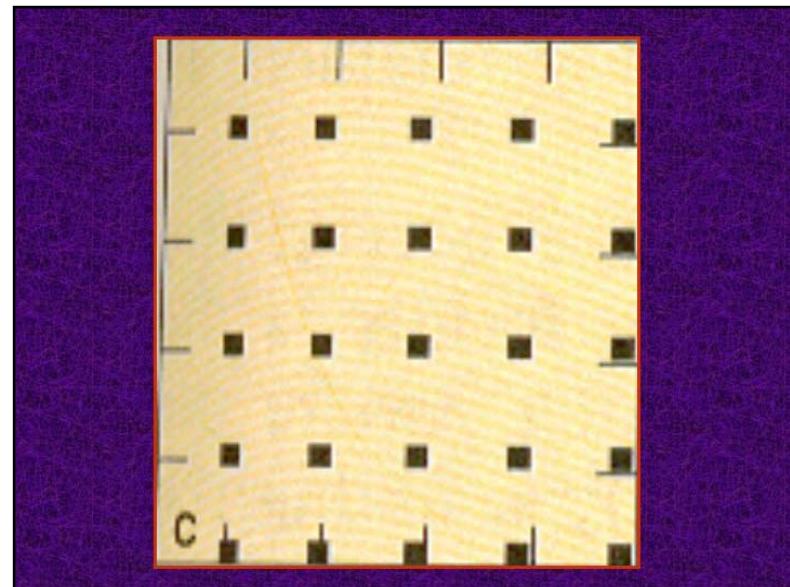
## Stratified random sample

- the region or site is divided into its natural zones (strata) these could be cultivated land and forest
- squares are then chosen by the same random-number procedure, except that each zone has the number of squares proportional to its area
- if forest comprises 85 percent of the area, it must be allotted 85 percent of the squares

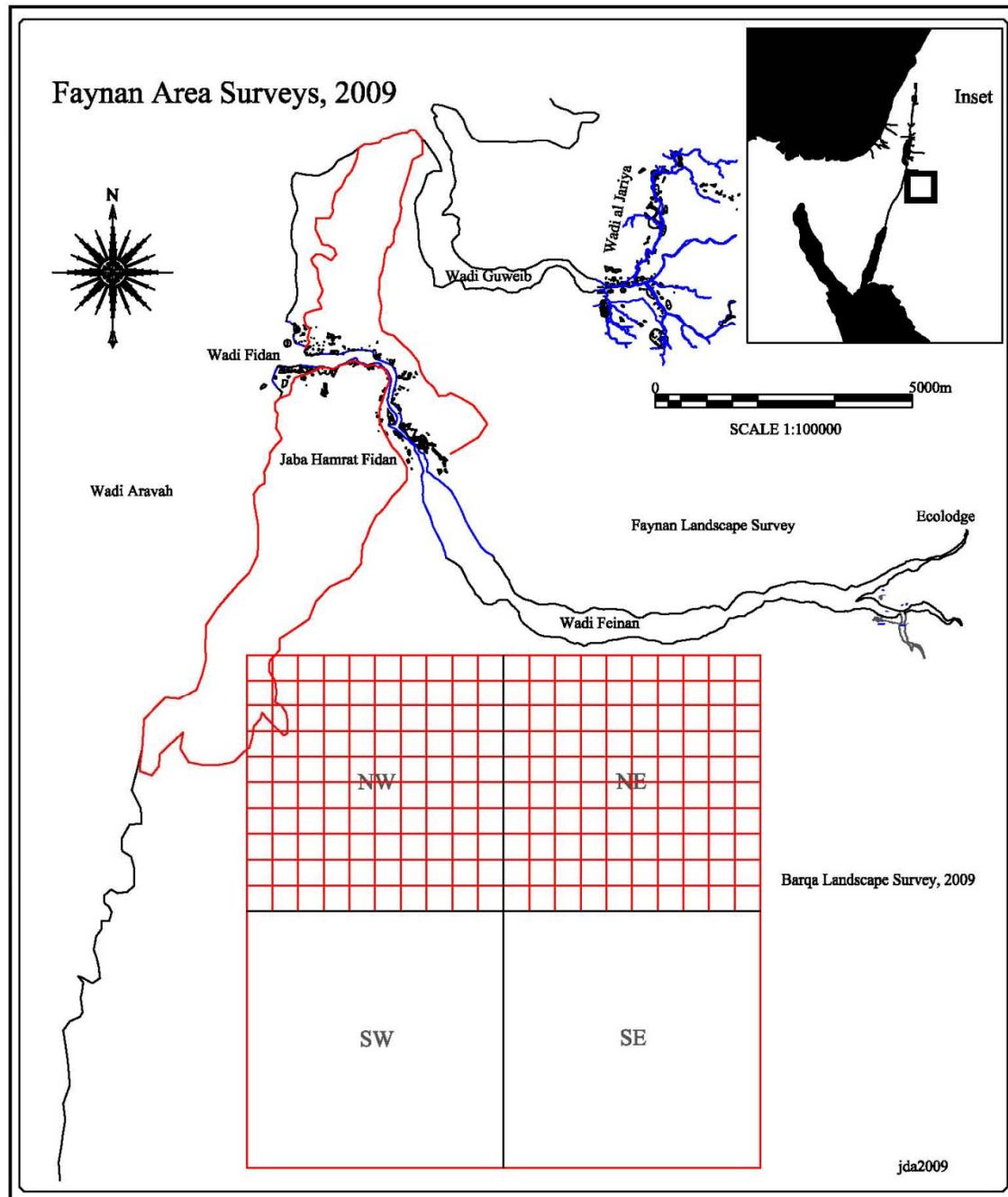


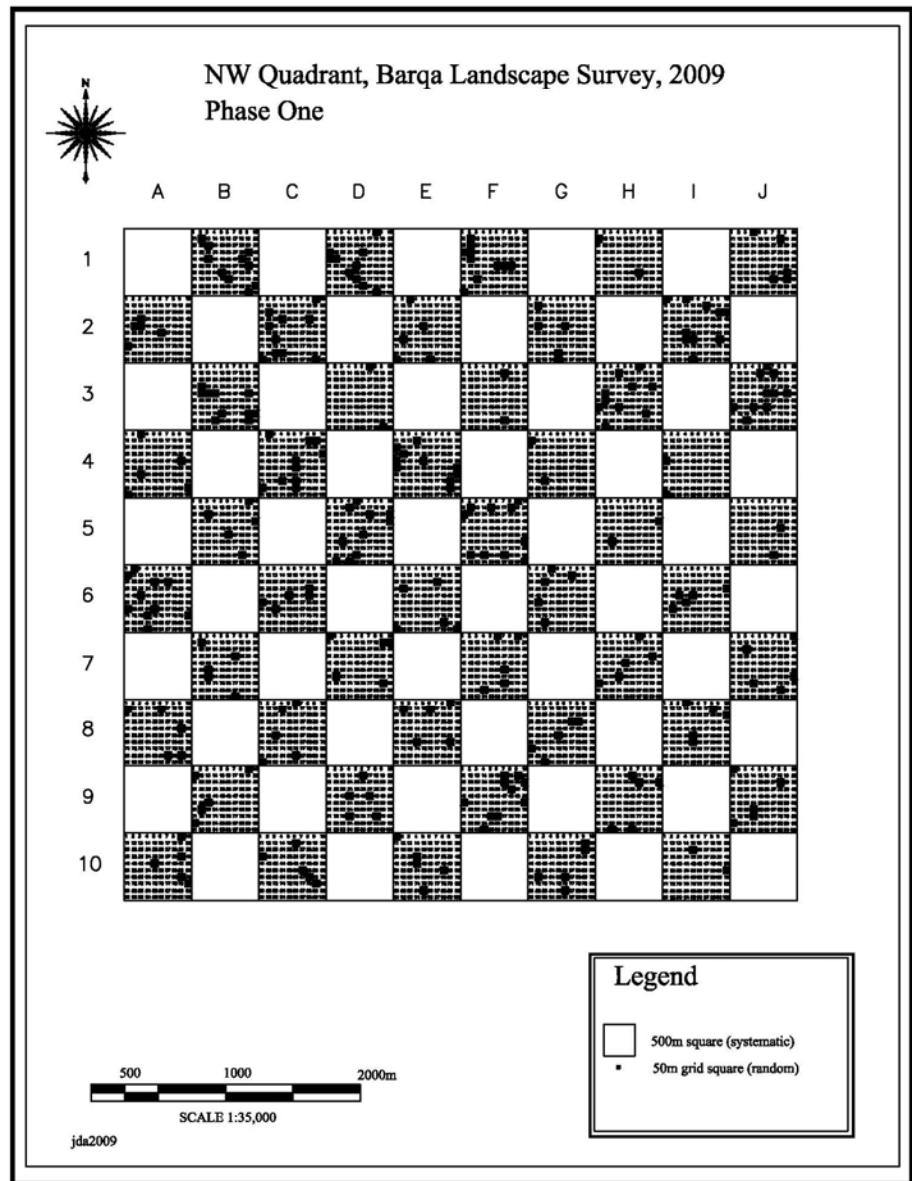
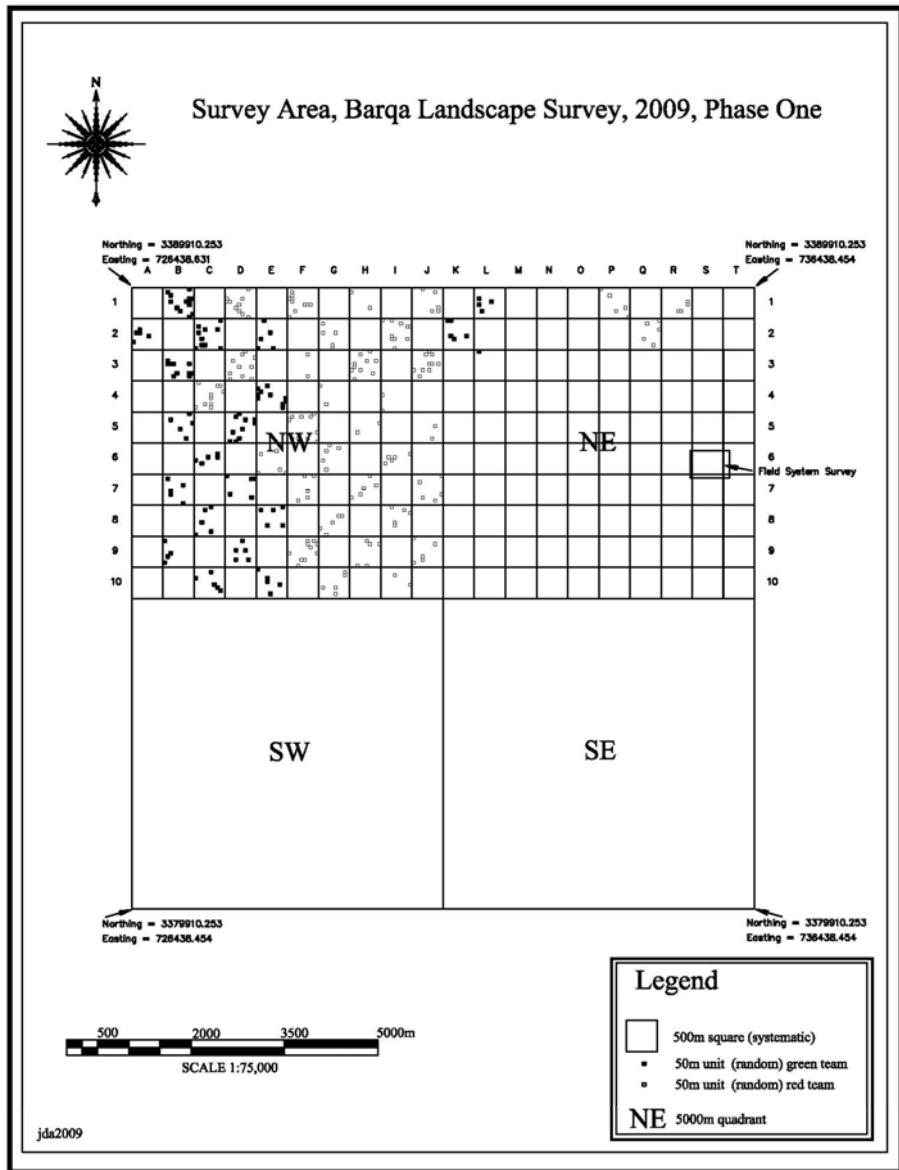
## Systematic sampling

- The selection of a grid of equally spaced locations: choosing every other square
- However, one might miss or hit every single example in an equally regular pattern of distribution



# Barqa Landscape Survey, Jordan 2009

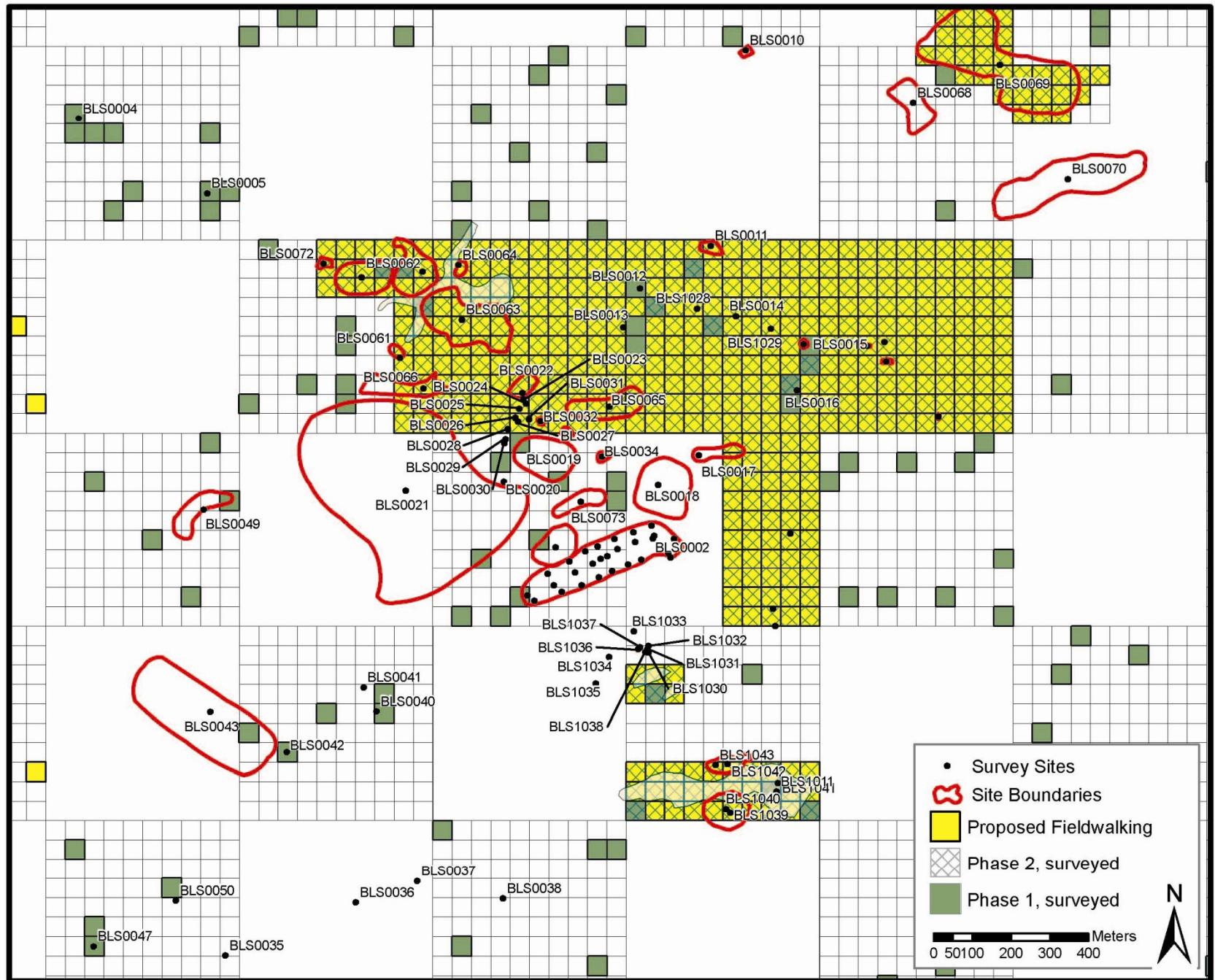




## Stratified unaligned systematic sample

Example:

- Use a grid oriented along the site's main N-S and E-W axes
- Selects samples with reference to these axes
- Choose strata to investigate blocks, choosing one square in each block for excavation by selecting its N-S/E-W coordinates from a table of random numbers
- This method will ensure an unbiased set of samples, more evenly distributed over the whole site
- It also makes it unnecessary to define the boundaries since the grid can be extended in any direction



## **Non-probabilistic sampling**

Simple pedestrian surveys

Less formal site location and visits

Local knowledge

Historical maps and documents

## **Probabilistic Sampling**

Random sampling

Stratified Random sampling

Systematic sampling

Stratified unaligned systematic sample

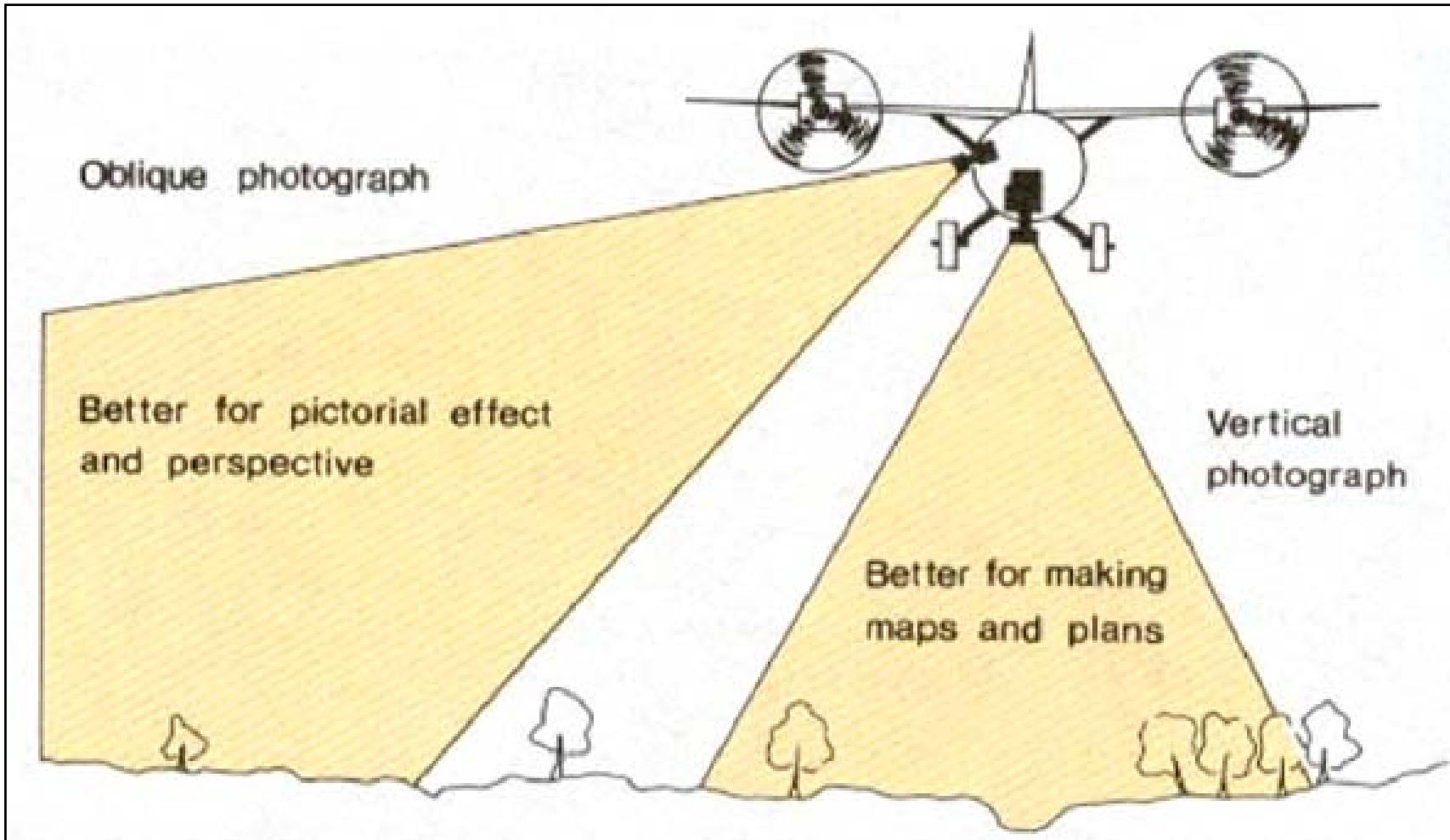


# Aerial Reconnaissance

## Remote Sensing

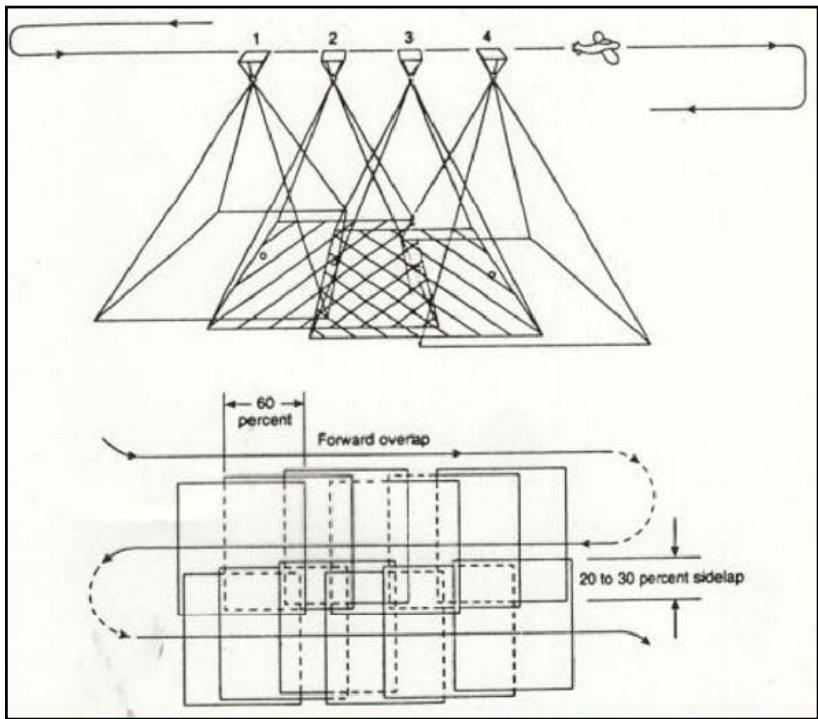
### Aerial Photography

- began in 1900 or so with the photographs taken in a balloon of Roman Ostia
- much of the world has been photographed from 24,000 ft by military photographers
- sites can be photographed from many directions, at different times of day, and at various seasons;
- numerous sites that left almost no surface traces have been discovered by analyzing aerial photographs
- Wide variety of technology used, from balloons, to low and medium altitude aircraft, to satellites.

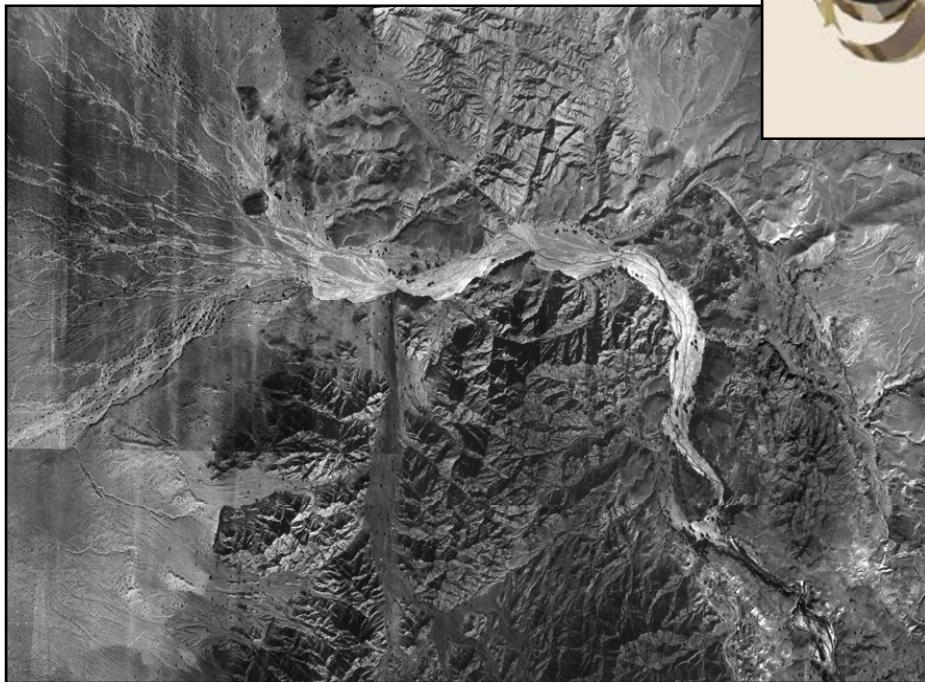
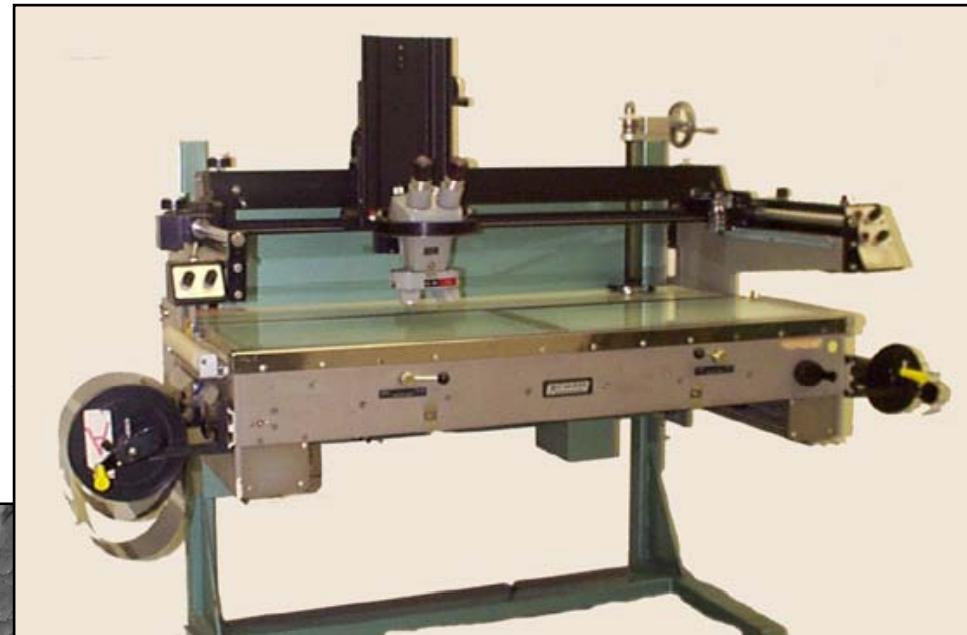


**oblique photographs:** better for pictorial effect and perspective

**vertical photographs:** better for making plans and maps and for photogrammetry



Vertical photographs





**vertical photographs:** better for making plans and maps and for photogrammetry



**oblique photographs:**  
better for pictorial effect and  
perspective

(right)  
Historic building inventory, UK  
(left)  
Theban mapping project, Egypt

# Oblique Aerial Photographs

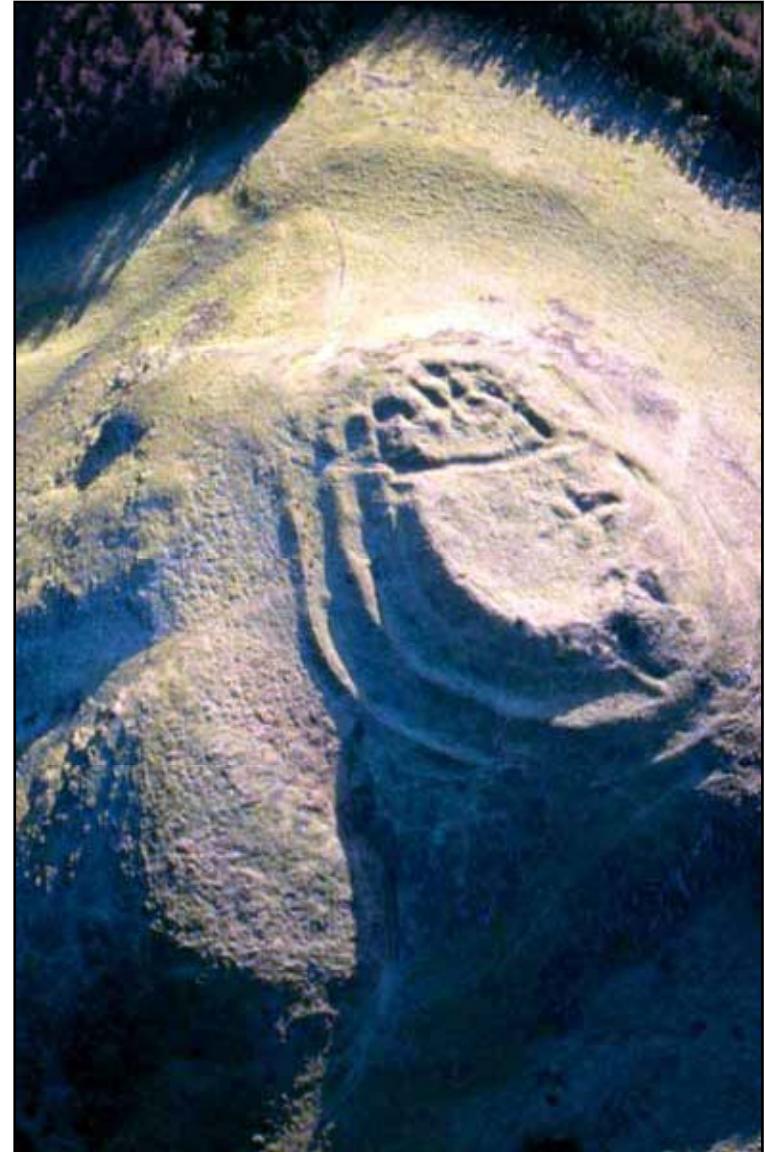
## *Crop marks and features*

### Sun Marks

- Earthworks are banks and associated ditches, or stone-walled features
- The sun can make shadows, emphasizing the relief of almost-vanished banks or ditches
- They show in relief:
  - when viewed as a stereoscopic pair, in oblique light
  - differences in vegetation supported by banks and ditches
  - by differential melting or drifting of snow
  - or by retention of water in ditches in times of flood



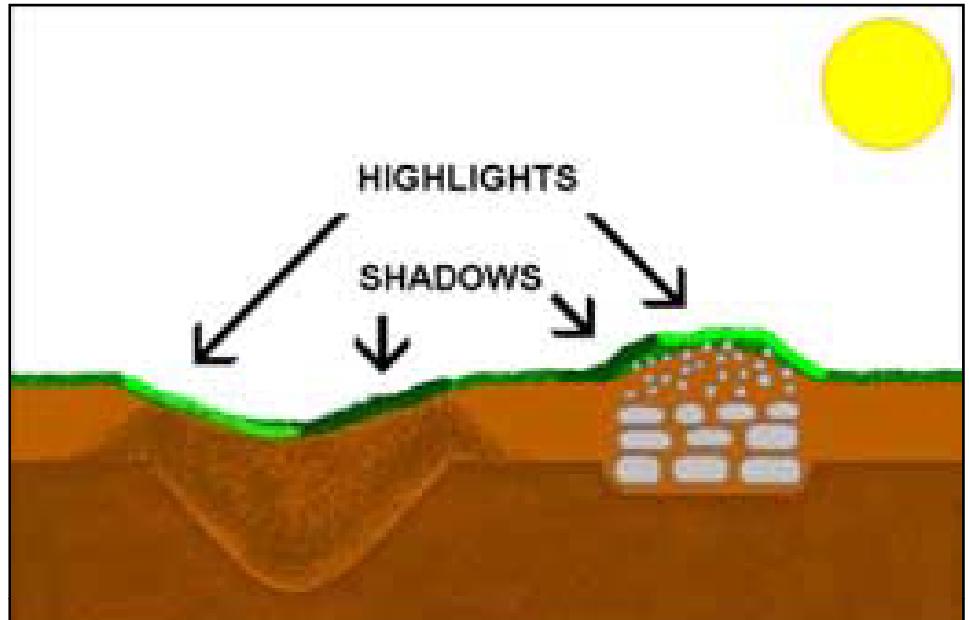
**Maiden Castle**  
Dorset, England  
Iron Age Hillfort



**Dunsinnan Hill Fort**  
Perthshire, Scotland  
(long associated with MacBeth's castle)



Snow also causes shadows

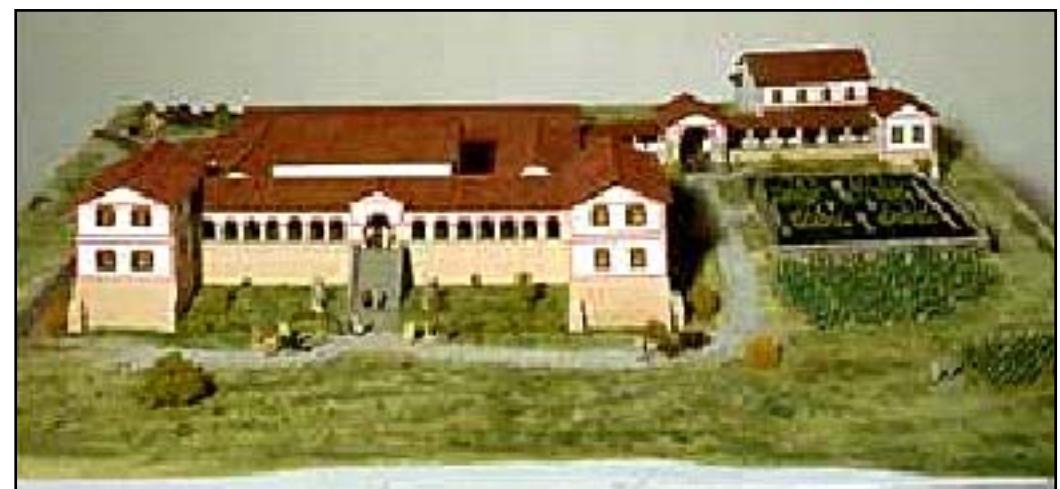
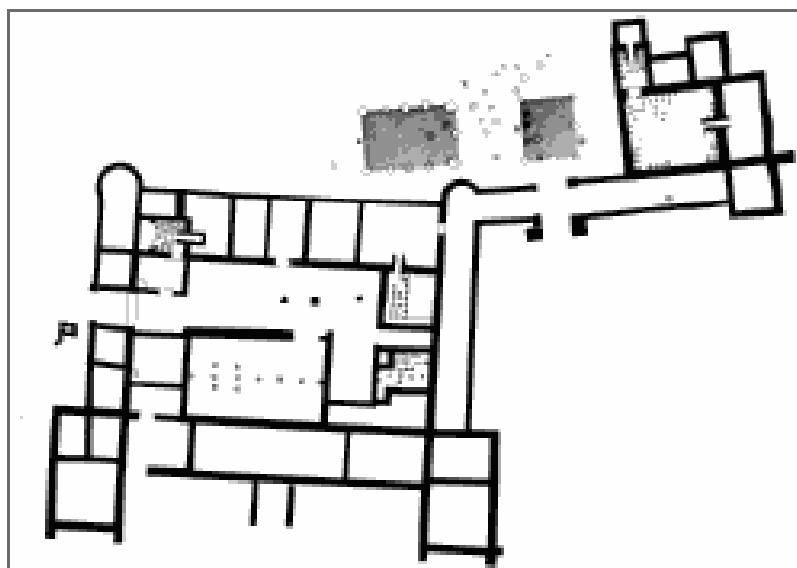


## **Soil marks**

- reveal presence of buried ditches, banks, or foundations by the changes in subsoil colour caused when plowing catches and turns over part of the buried feature, bringing it to the surface
- most soil-marked sites are being destroyed by modern cultivation
- they are mostly visible in photographs taken in winter months



Soil colour marks  
Gallo-Roman villa  
Arroux Valley, France



# Soil marks



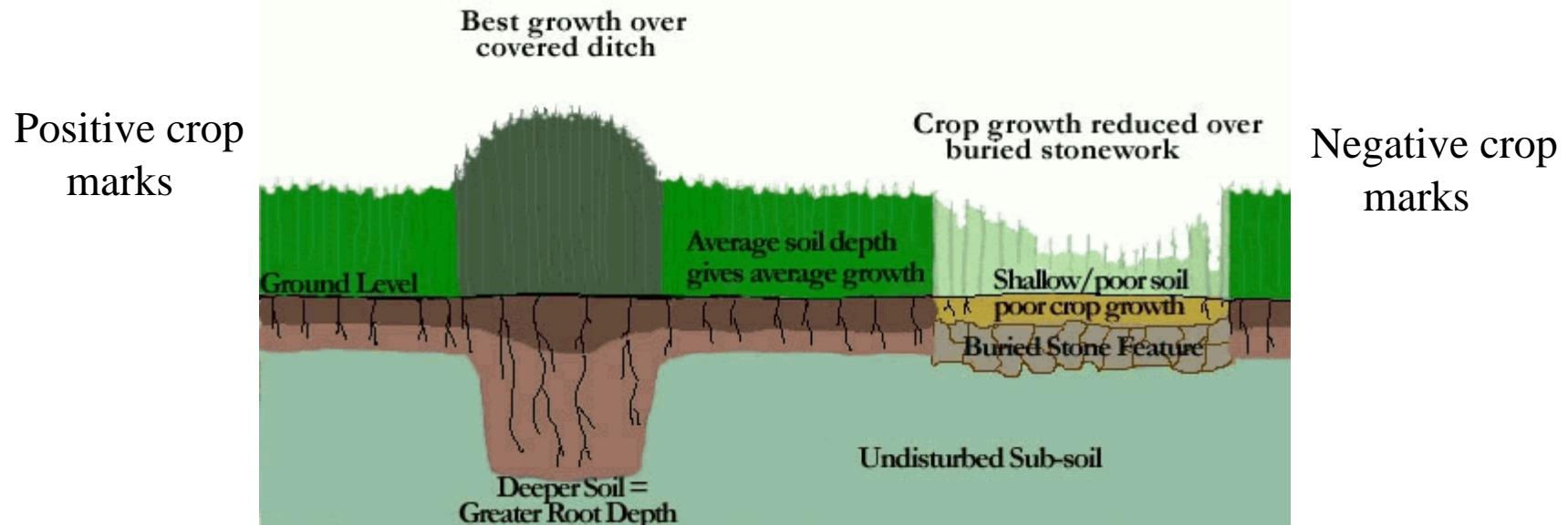
Bronze Age cemetery (above) and  
Ring-Ditch (right)  
Essex, England



# Crop-marks

- develop when a buried wall or ditch either decreases (negative crop marks) or enhances (positive crop marks) crop growth by affecting the availability of moisture and nutrients through changing the depth of soil
- suitable crops, such as wheat, barley, and some root vegetables, provide a perfect medium for revealing features in the underlying soil

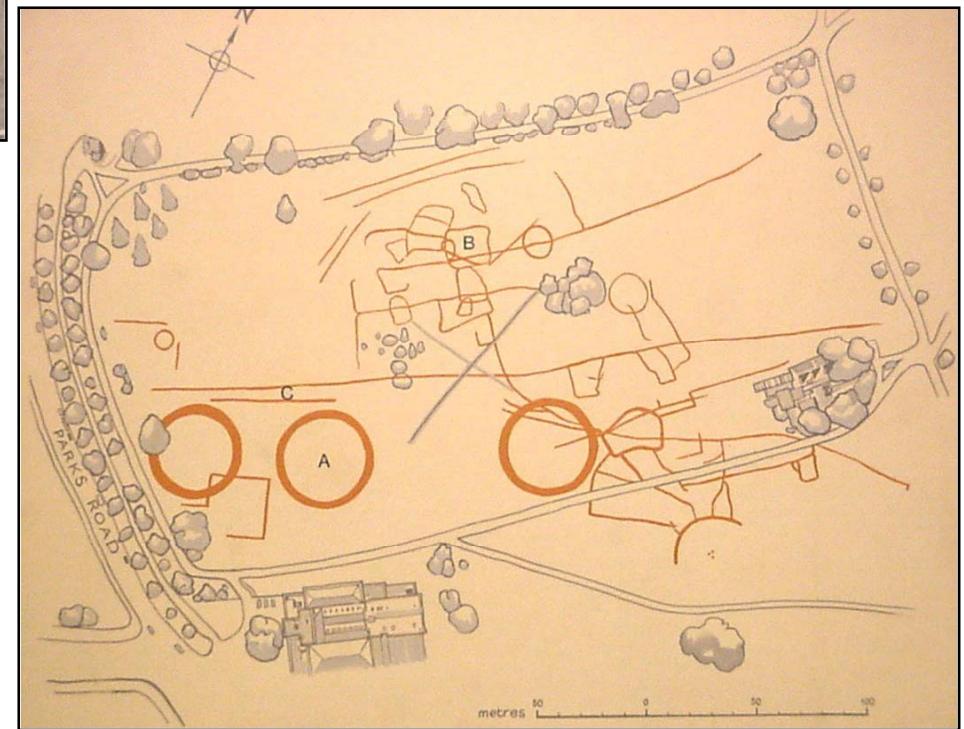
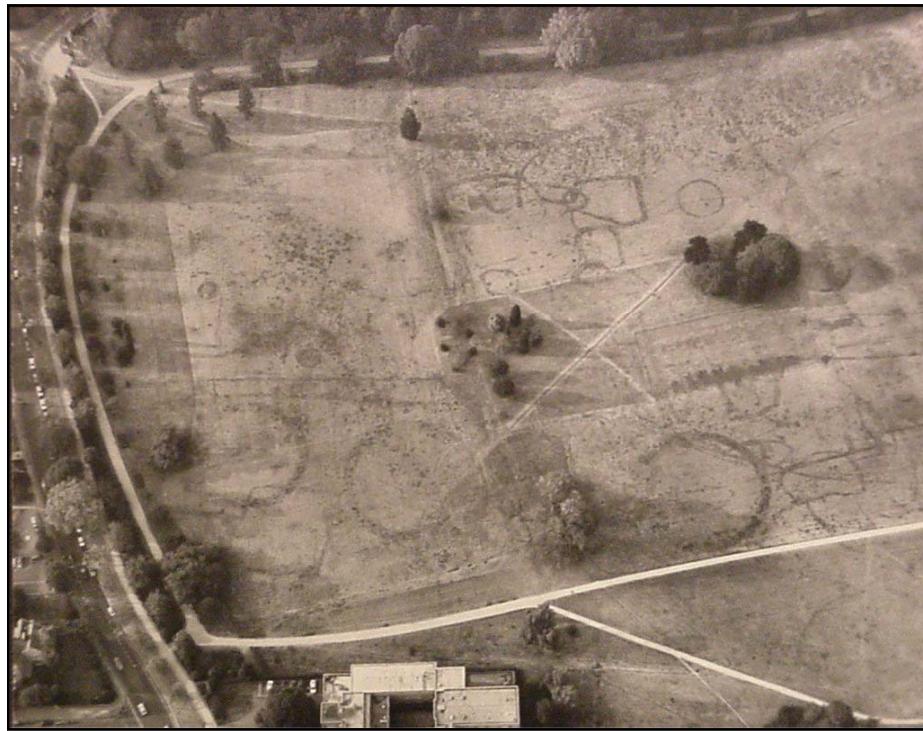
How Crop marks are Caused: by Underlying Archaeology



## Variation in crop marks due to types of crop



# Oxford University Parks, Oxford, England



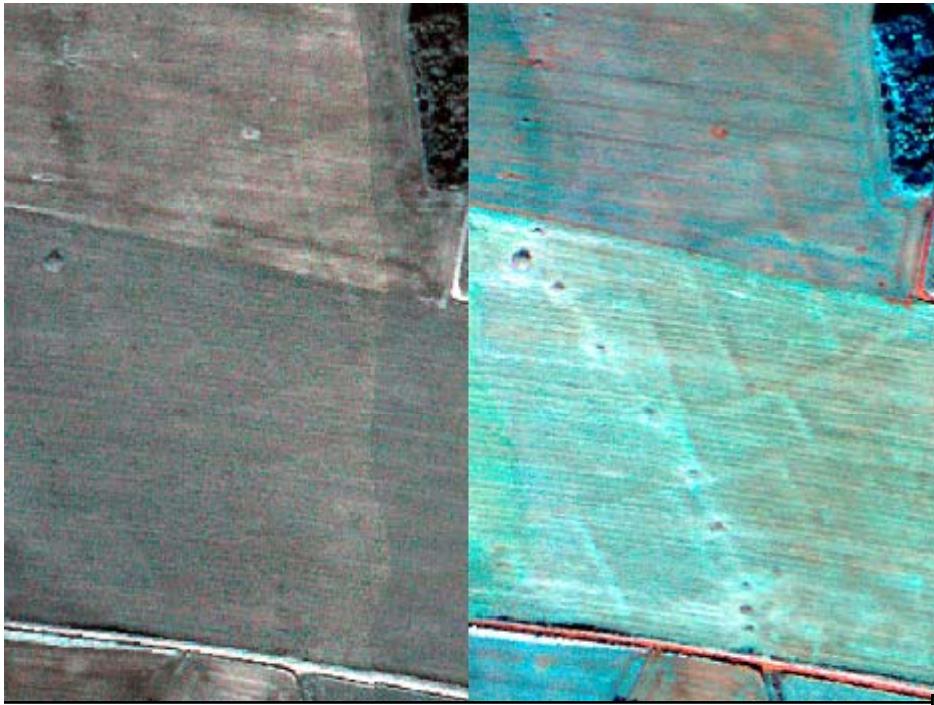


Bloodgate Iron Age Hill Fort,  
Norfolk, England

Aerial photo and crop marks

Artists reconstruction





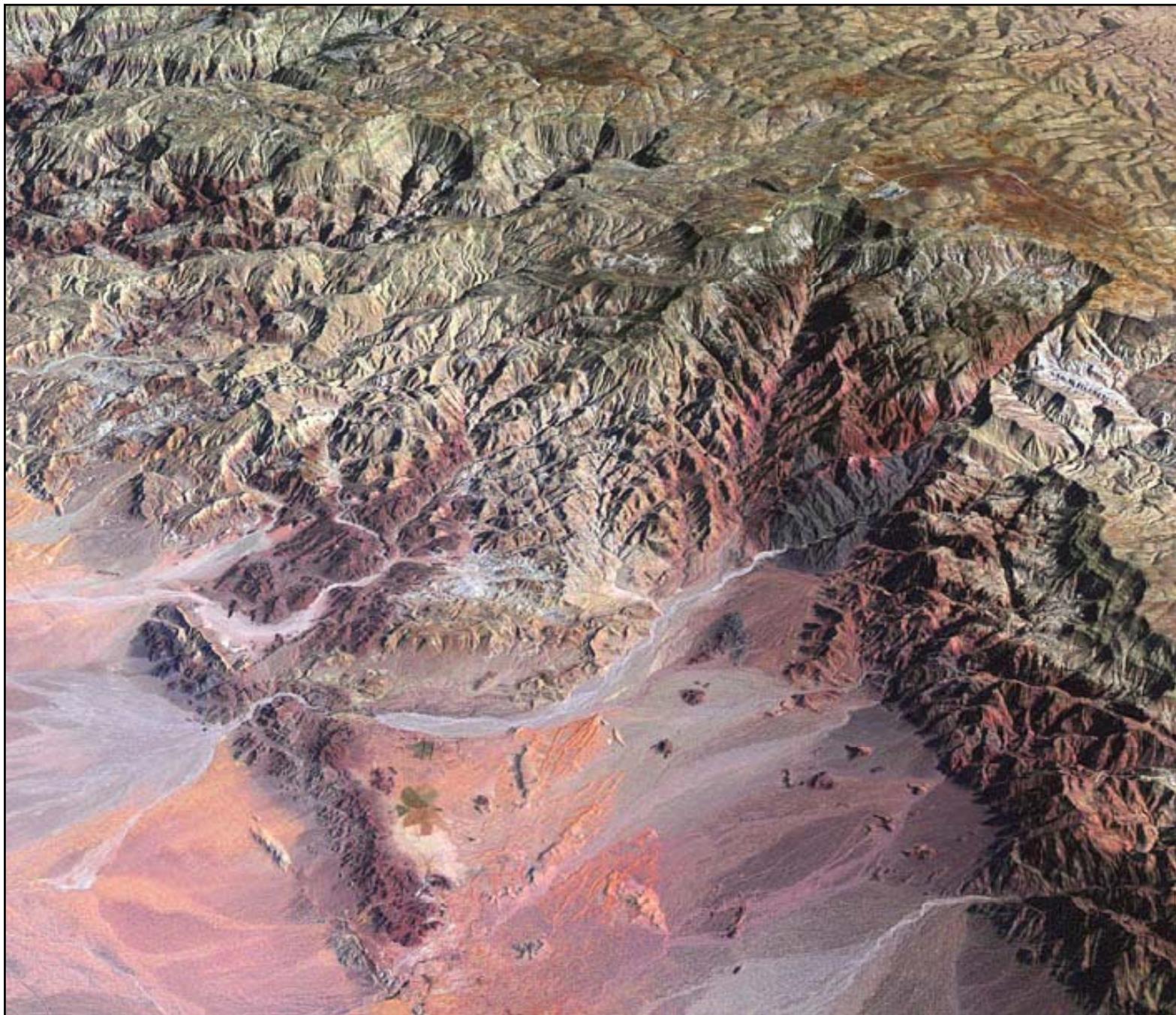
## Multi-spectral photography

- provide multi-spectral data, covering at least the near infra red (NIR) and several other wavelengths rather than being confined to the standard visible spectrum.
- By manipulating the different wavelengths it is possible to reveal features not easily discernible on conventional photography.
- This is particularly valuable for environmental monitoring, but also has some potential for archaeological survey.



## Film

- black-and-white panchromatic film;
- infra-red film: has three layers sensitized to green, red, and infrared
- detects reflected solar radiation at the near end of the electromagnetic spectrum
- some of this radiation is invisible to the naked eye
- the different reflections from cultural and natural features are translated by the film into distinctive ‘false’ colours
- vigorous grass growth on river plains shows up in bright red



## **LANDSAT: satellite sensor imagery**

- scan the earth with readers that detect the intensity of reflected light and infrared radiation from the earth's surface
- the latest images pick up features only 90 feet wide, while the French SPOT satellites can work to within 60 feet
- can be expensive, but increasingly available for free
- offers an integrated view of a large region and is made of light reflected from many components of the earth: soil, vegetation, topography
- computer enhanced LANDSAT images can be used to construct environmental cover maps of large survey regions that are a superb backdrop for both aerial and ground reconnaissance for archaeology, at 1:1,000,000;

CAMBRIDGE

MANUALS IN ARCHAEOLOGY

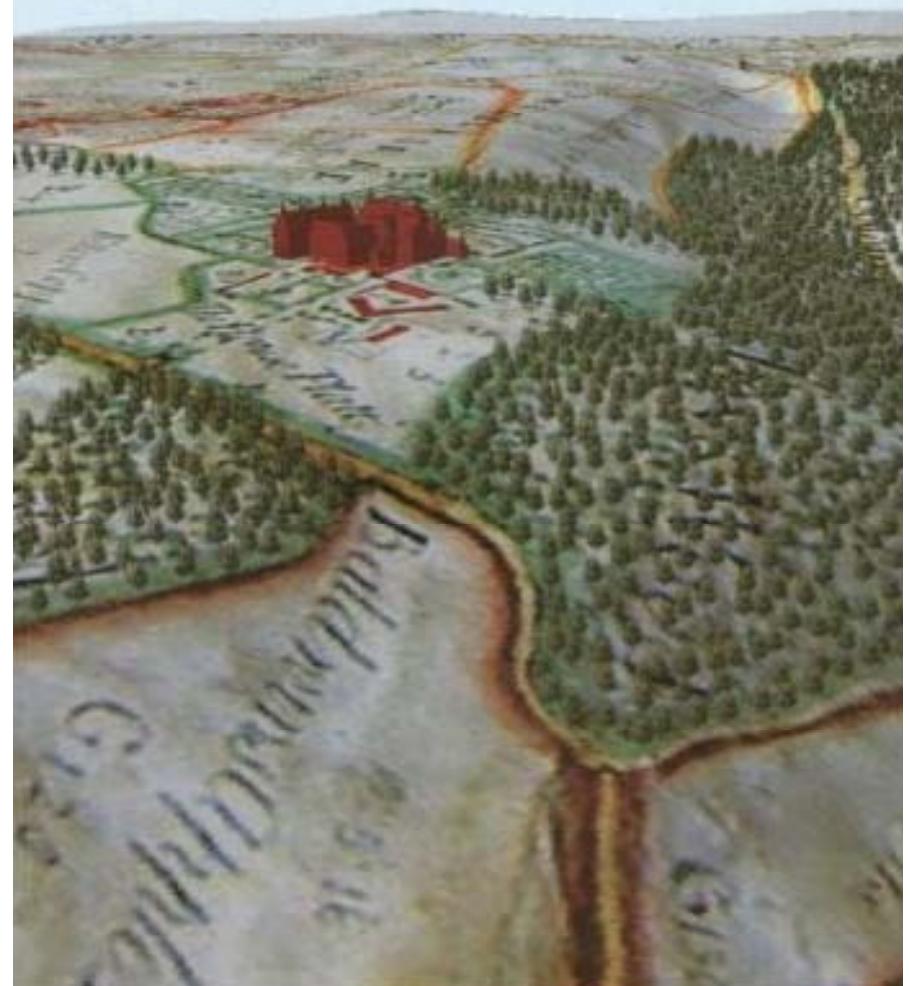
# Geographical Information Systems in Archaeology

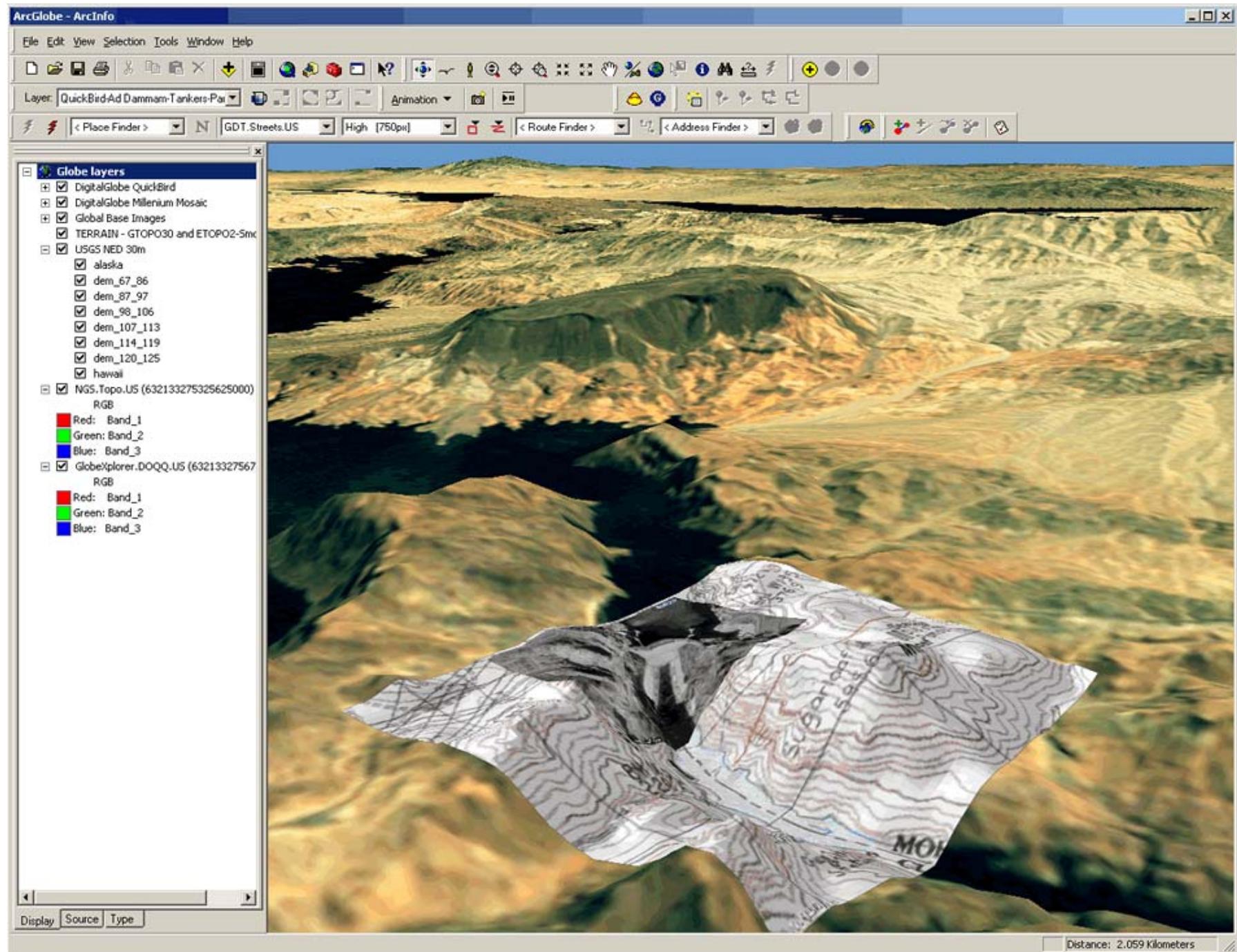
J. Conolly and M. Lake



Henry Chapman

## Landscape Archaeology and GIS



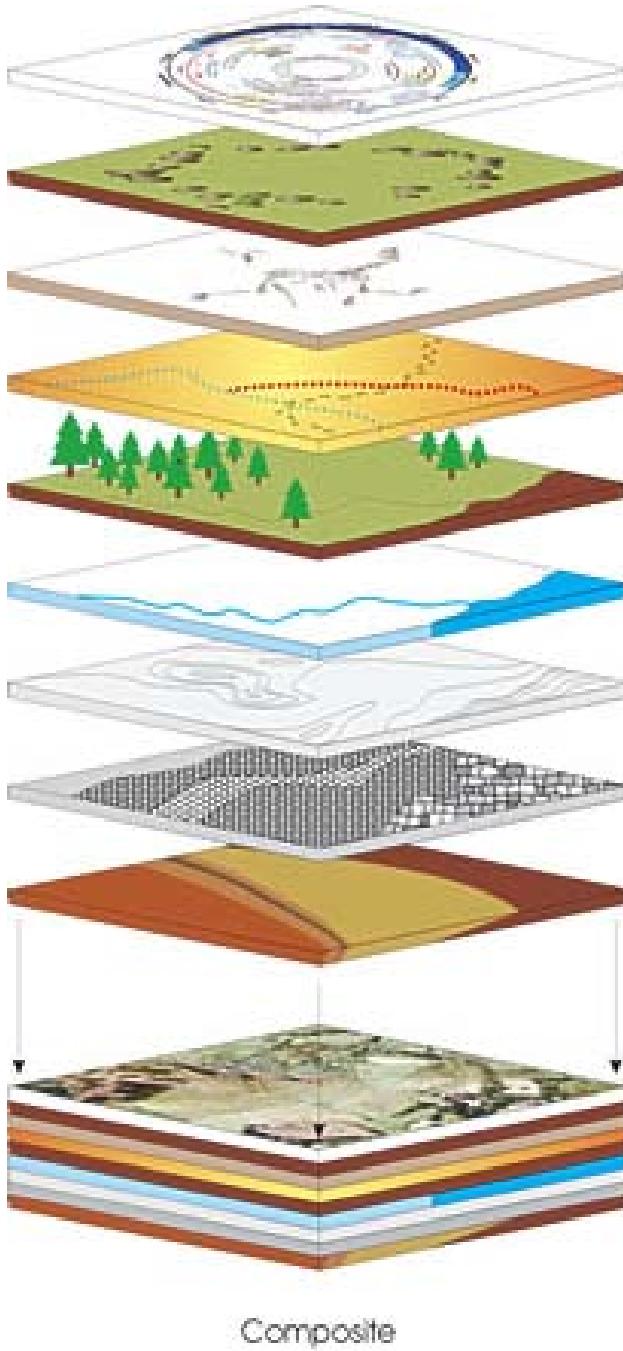


# Mapping: Geographic Information Systems (GIS)

## Description

**computer-aided systems for the collection, storage, retrieval, analysis and presentation of spatial data of all kinds**

- Developed for geographic sciences, now widely used in a variety of disciplines including archaeology
- Incorporates computer-aided mapping, computerized data bases and statistical packages, and is best thought of as a computer data base with mapping capabilities
- It also has the ability to generate new information based on the data within it
- Software packages allow the acquisition, processing analysis and presentation for data of many kinds



Traditional Knowledge

Archaeology

Palaeontology

Trails

Vegetation

Hydrology

Elevation

Surficial Geology

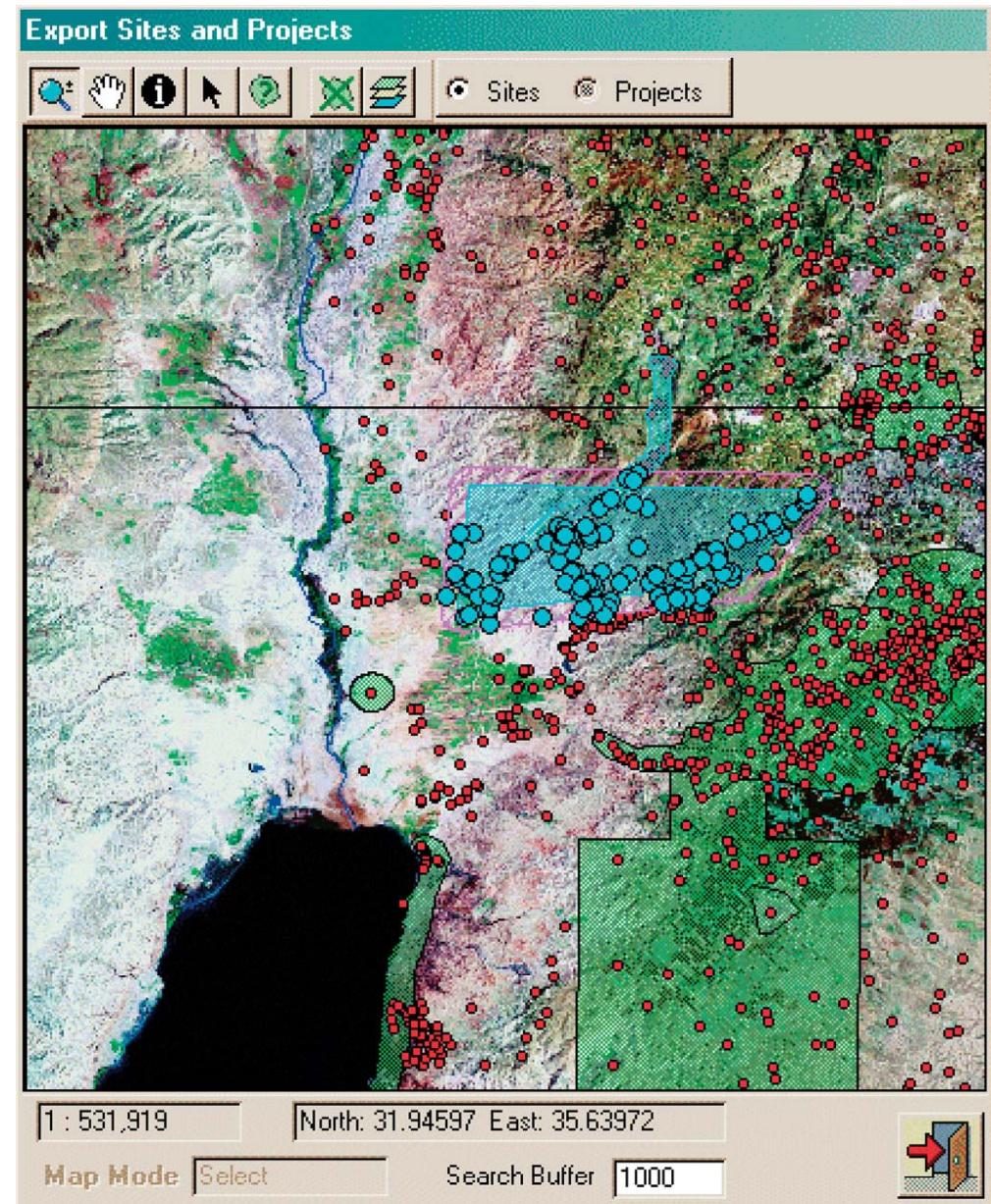
Bedrock Geology

# Geographical Information System (GIS)

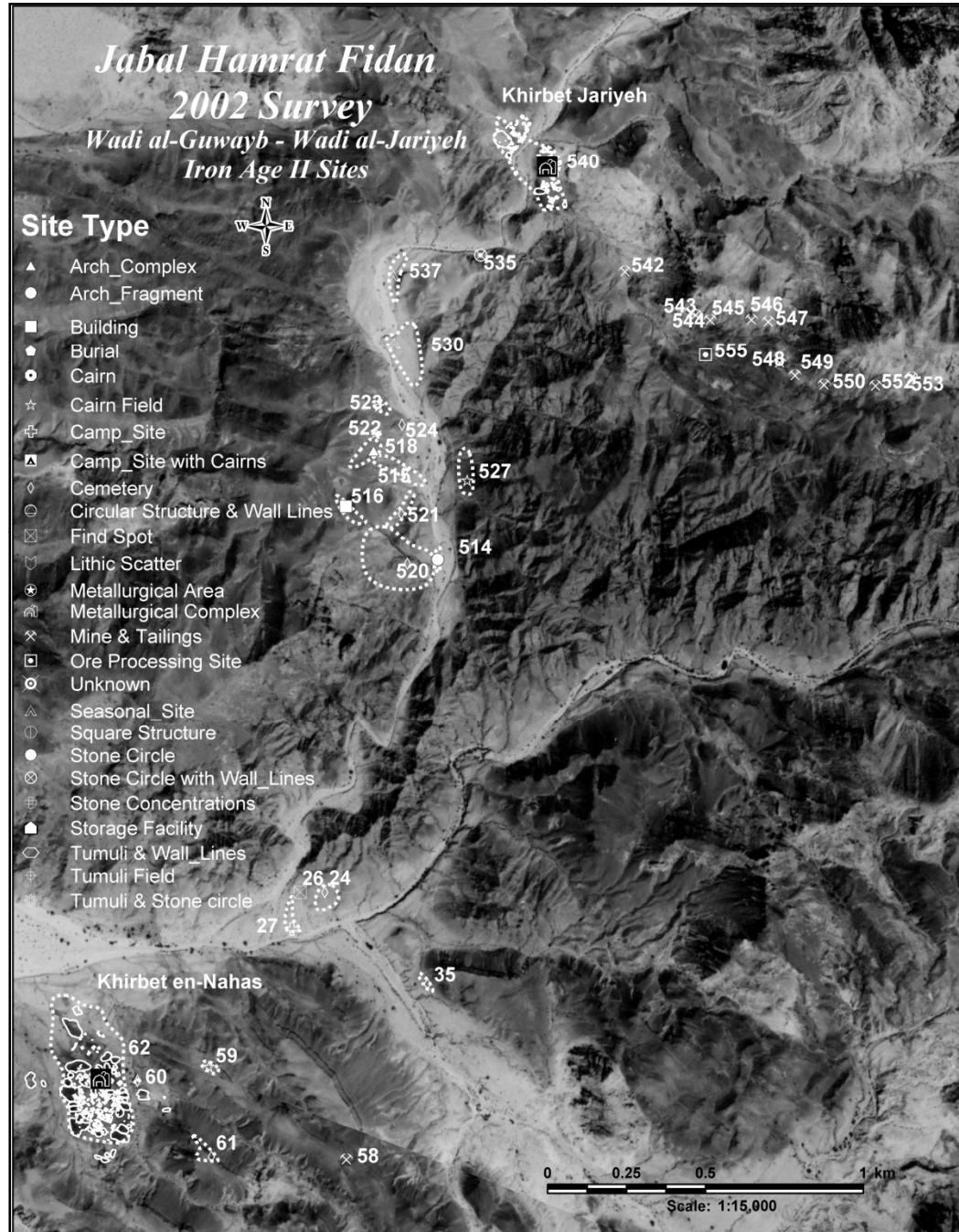
# Advantages of using GIS

- Allows the manipulation of large amounts of data, especially useful for solving complex settlement analysis problems
- Satellites acquire environmental and topographic information
- The archaeological data can be added to the same data base
- Data in the GIS is **multi-layered**, allowing the incorporation, display and manipulation of all layers of data, either separately or together
- Analyses that once took years can be done in minutes, even seconds

- Used to inventory, analyze and manage complex data sets
- Particularly useful for visually displaying **patterns in data** which may not otherwise be obvious



Jordan Archaeological Database Information System (JADIS)





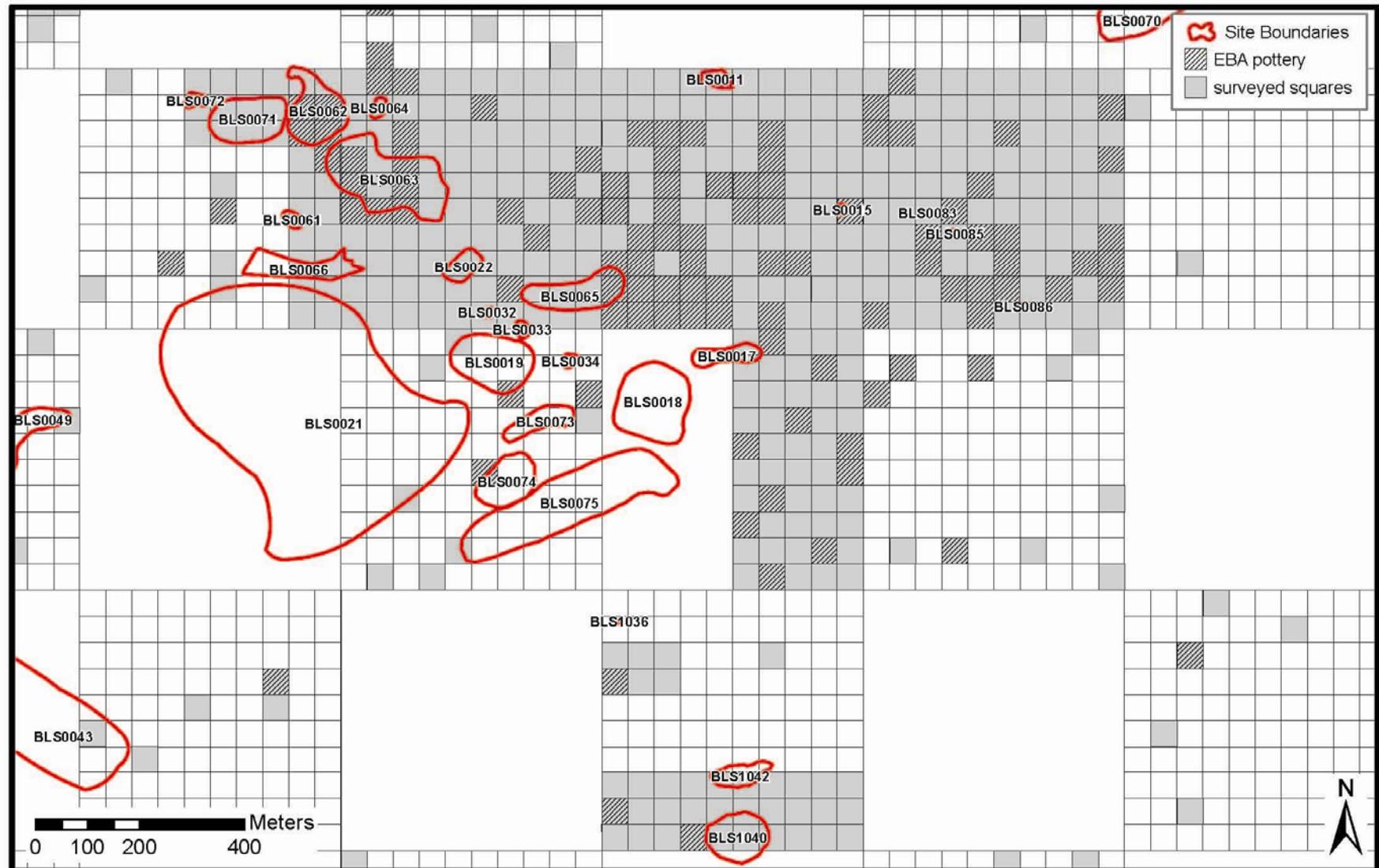
## Transect walking





## Surface Collection

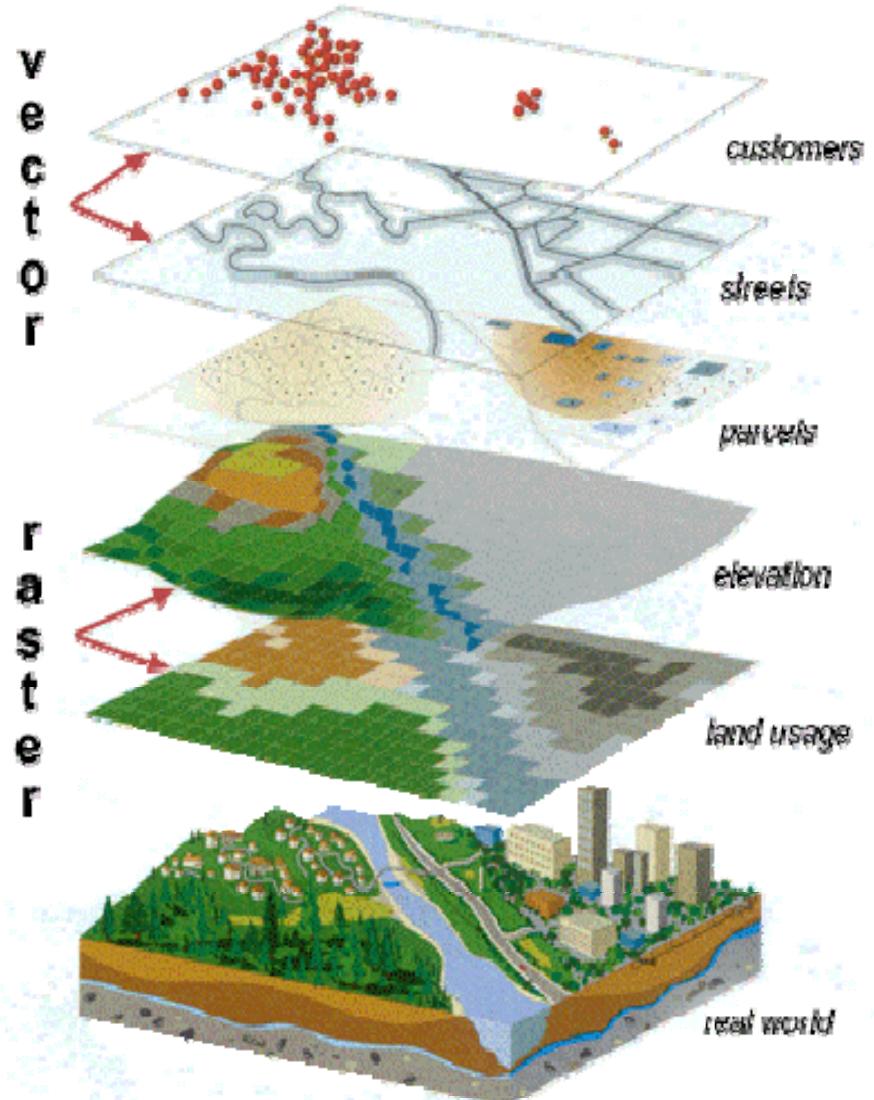
- controlled surface collection is a vital part of site assessment
- representative samples of artifacts from the modern ground surface can provide vital information on the age of the site and the various periods of occupation
- samples can be used to establish chronologies, as well as what activities took place on the site
- the areas of lesser/greater densities, and therefore the most productive if excavated



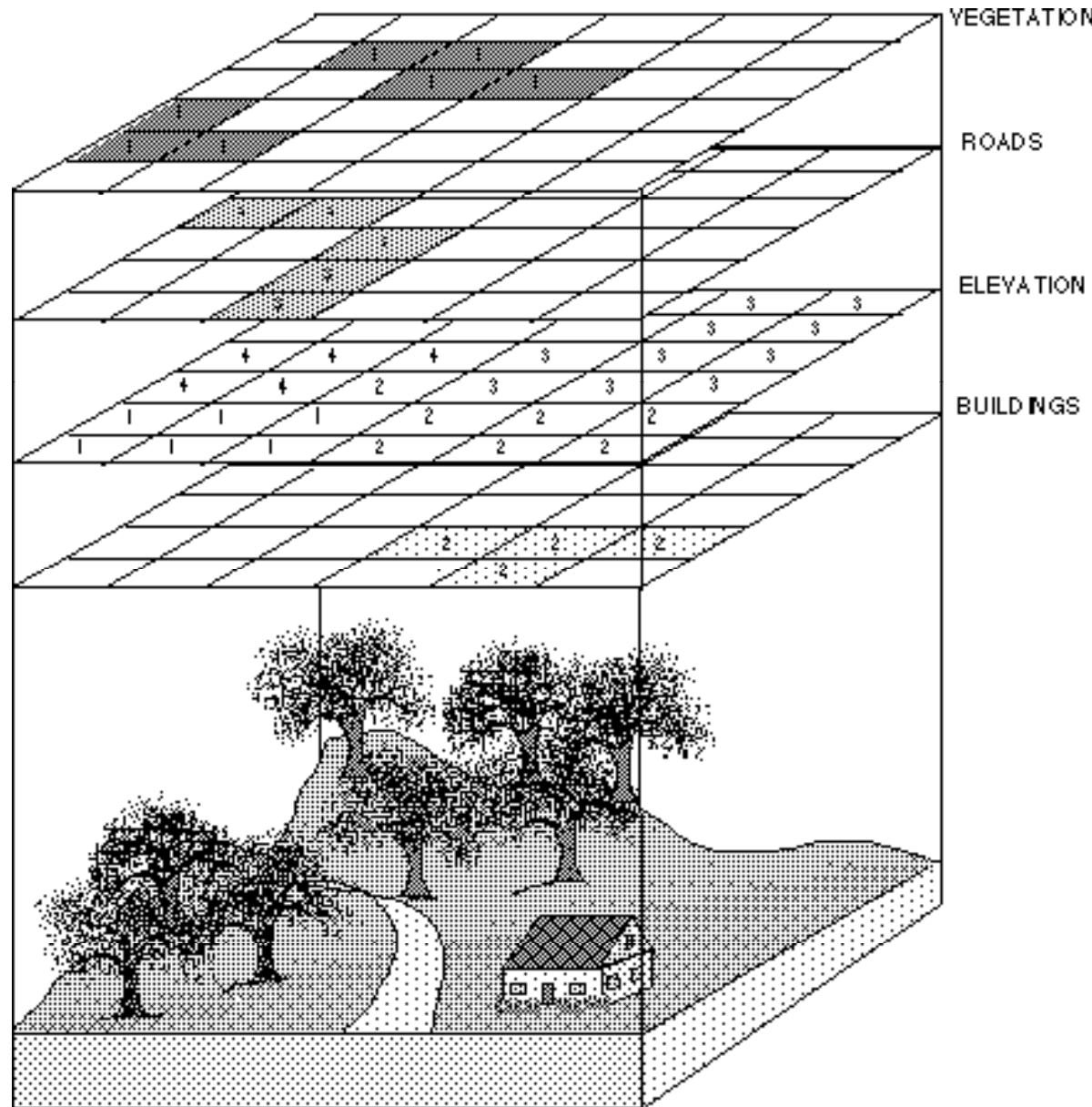
Early Bronze Age pottery distribution in the Barqa Landscape Survey

- each map, or layer, may comprise a combination of points, lines, and polygons, along with their non-spatial attributes
- can accommodate many different types of data: site plans, satellite images, aerial photographs, geophysical survey, as well as maps
- Incorporates collection data which can be added as layers in the GIS to show distribution of artifacts

The data in a GIS can be both *vector* (points, lines and polygons), or *raster* (for example a grid where each cell contains information)



*raster* datasets are composed of rectangular arrays of regularly spaced square grid cells. Each cell has a value, representing a property or attribute of interest.



**There are three main types of *vector* data:**

**Points:**

- have no length or area at the given scale
- have a single X, Y coordinate
- represent a feature that is too small to be displayed as a line or area

**Lines:**

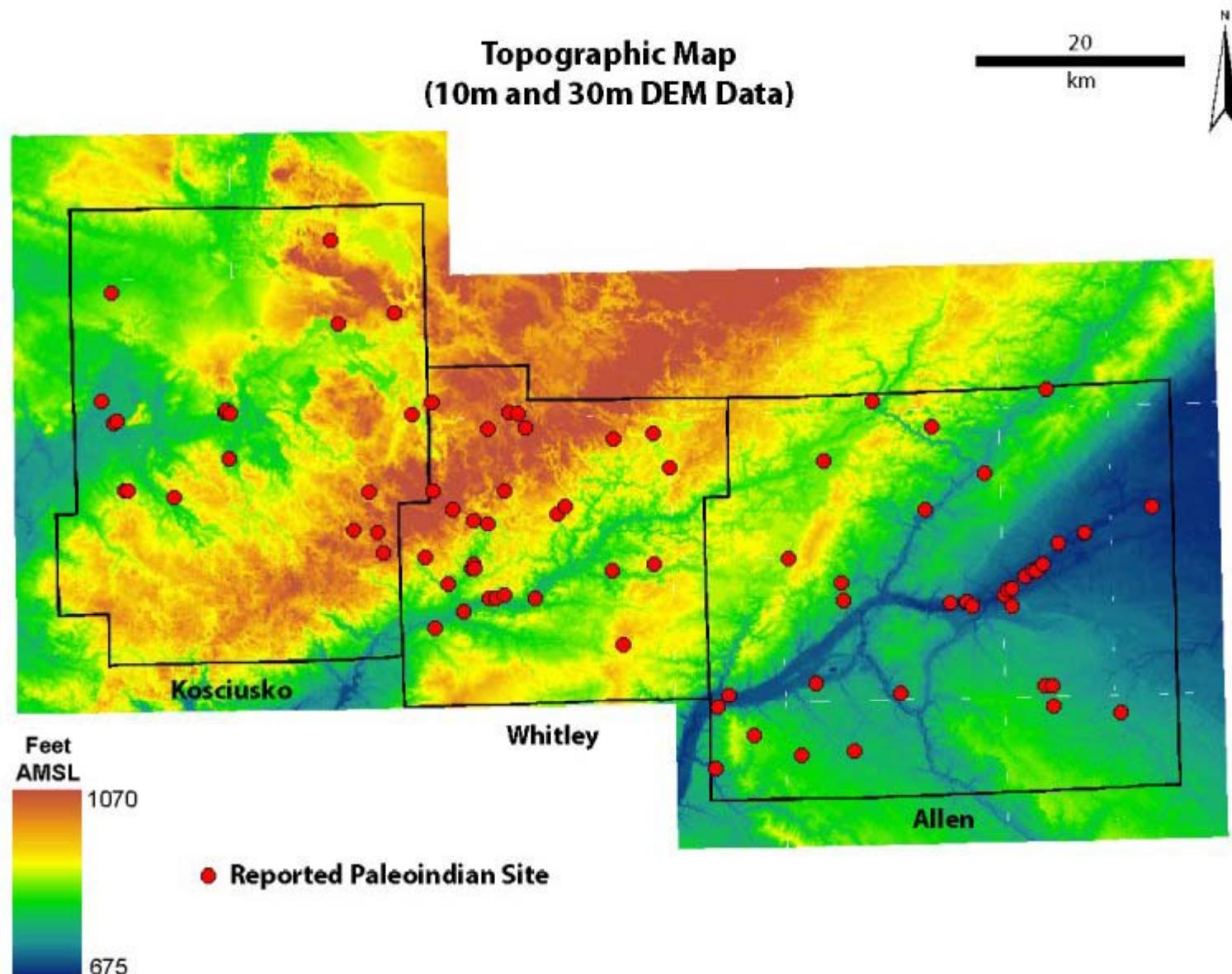
- have length but too narrow for width to be shown, e.g. a creek, small road
- a set of linked coordinates as nodes and vertices

**Areas (polygons):**

- have an area that is given by the lines (arcs) that make the boundary.
- are used to represent features that have area (e.g. lakes, large cities, islands)

A GIS layer does NOT combine points, lines and polygons  
each type requires a separate layer

# Combined *raster* and *vector* data

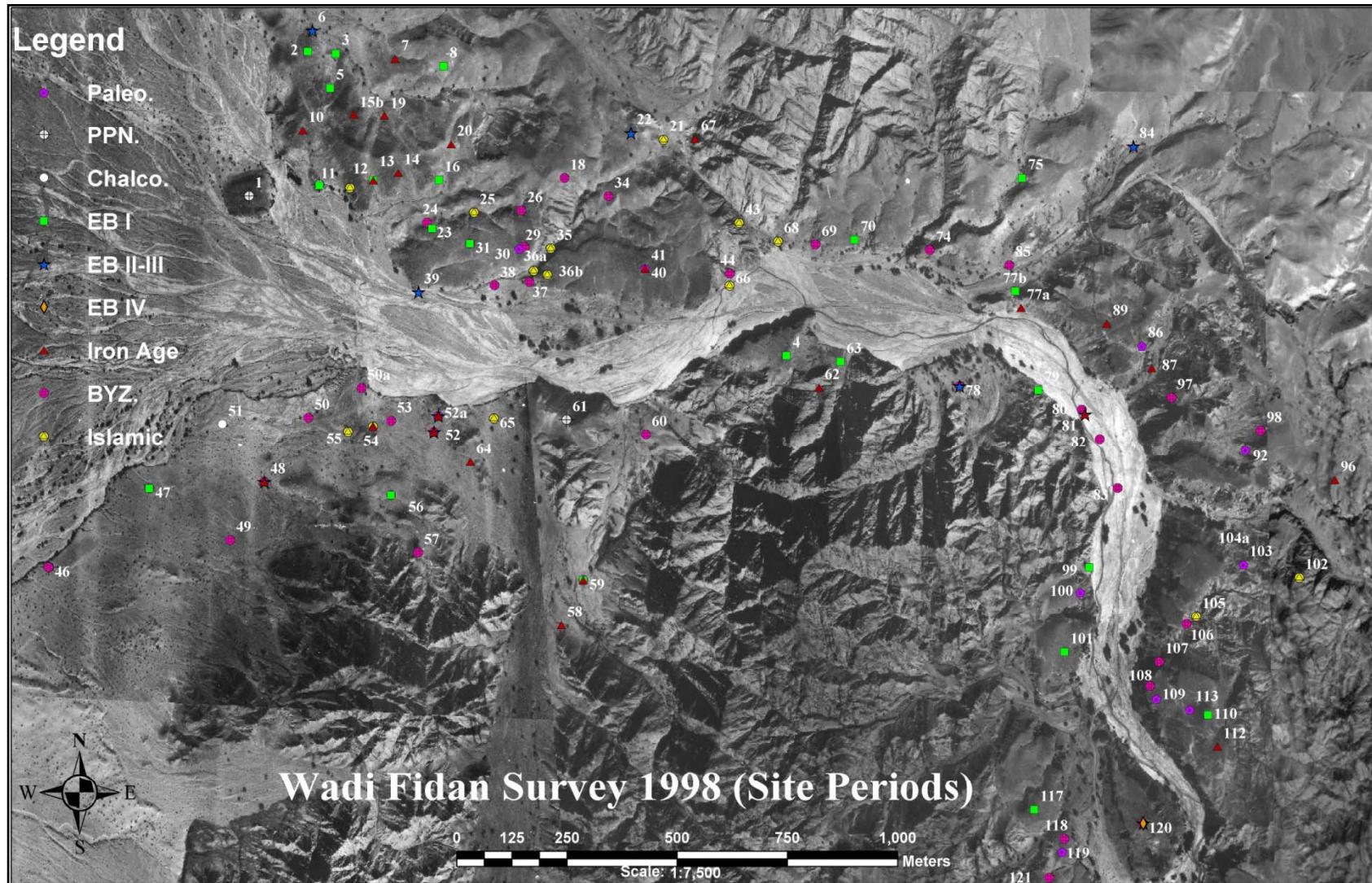


## Procedure

- spatial data (point, line, and polygon) can be stored along with an identifying label and a number of non-spatial attributes, such as name, date, or material
- a single archaeological find might therefore be represented by an easting and northing and a find number, while an ancient road would be recorded as a string of coordinate pairs and its name
- a field system could be defined as strings of coordinates following each field boundary, along with reference names or numbers

# Survey of the Wadi Fidan, Jordan

Sites, by chronological period located in a GIS, using an aerial photograph



# Survey of the Wadi Fidan, Jordan

Site perimeter



## **Types of queries a GIS can Answer**

### **Location**

WHAT exists here  
what is at a particular location?

### **Condition**

WHERE are specific conditions-  
Example: rainfall patterns

### **Trends**

WHAT HAS CHANGED (over time)

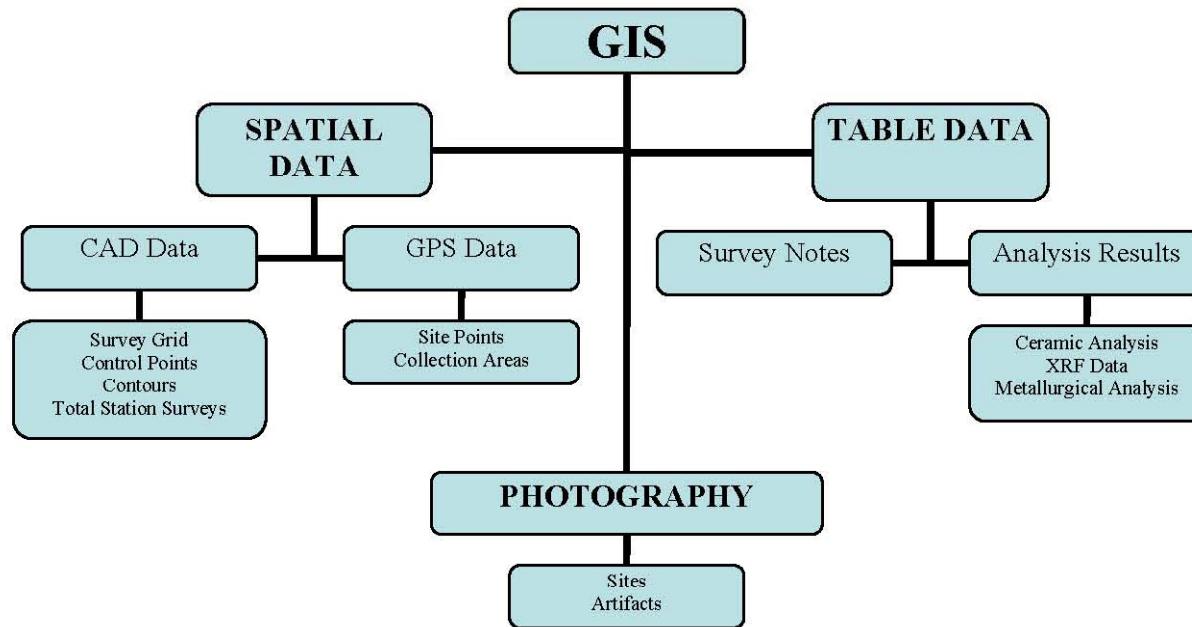
### **Patterns**

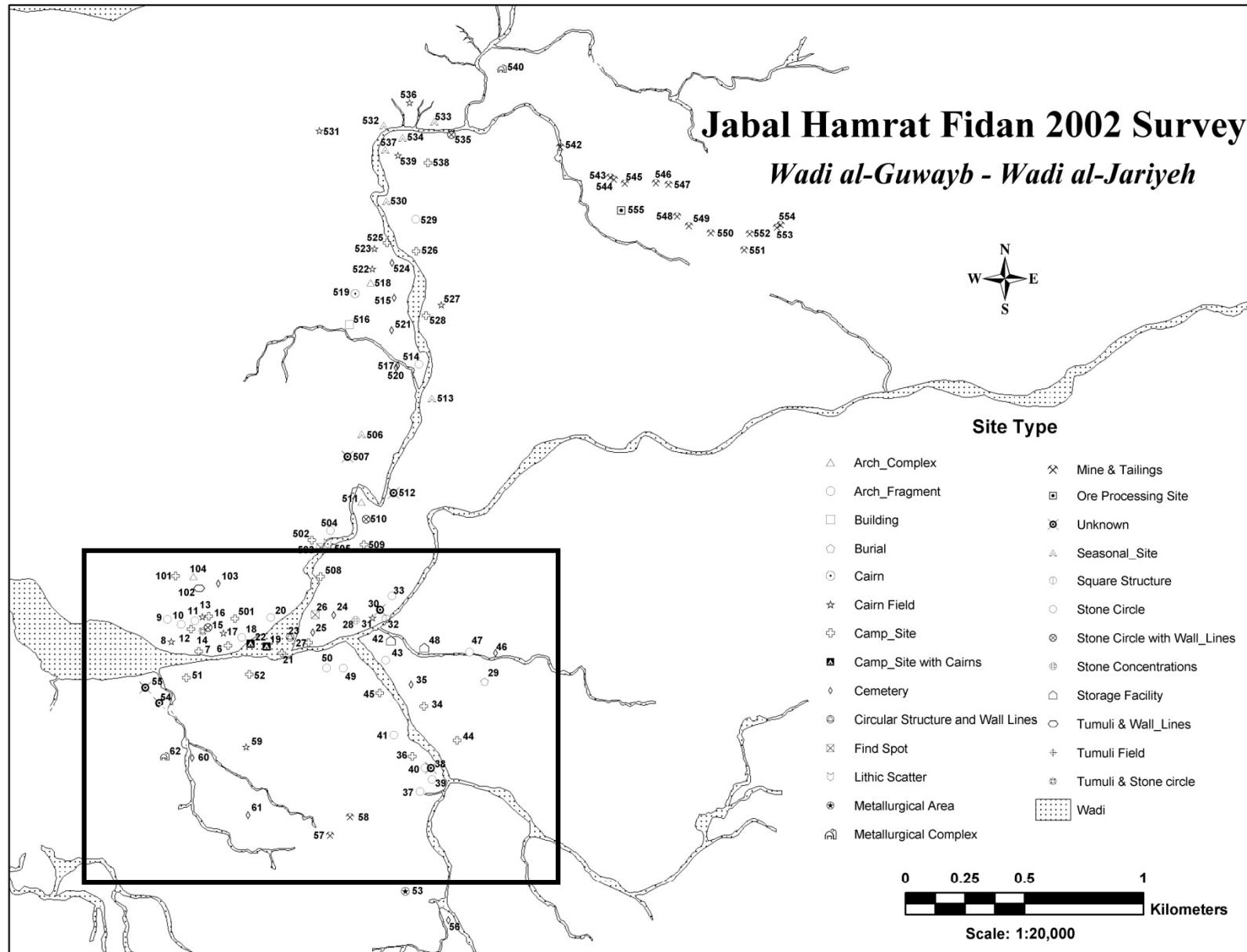
HOW are patterns related

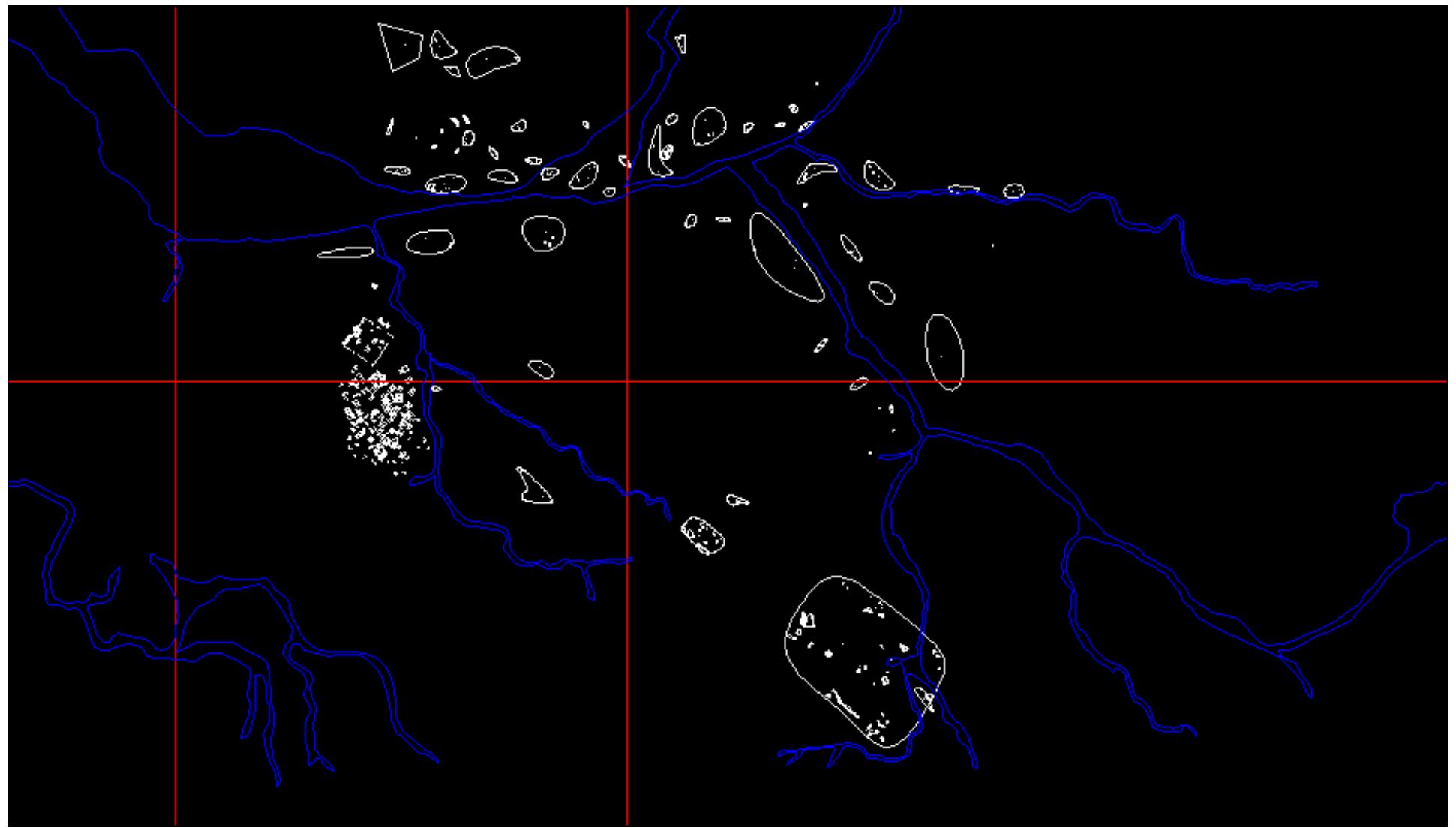
### **Modelling**

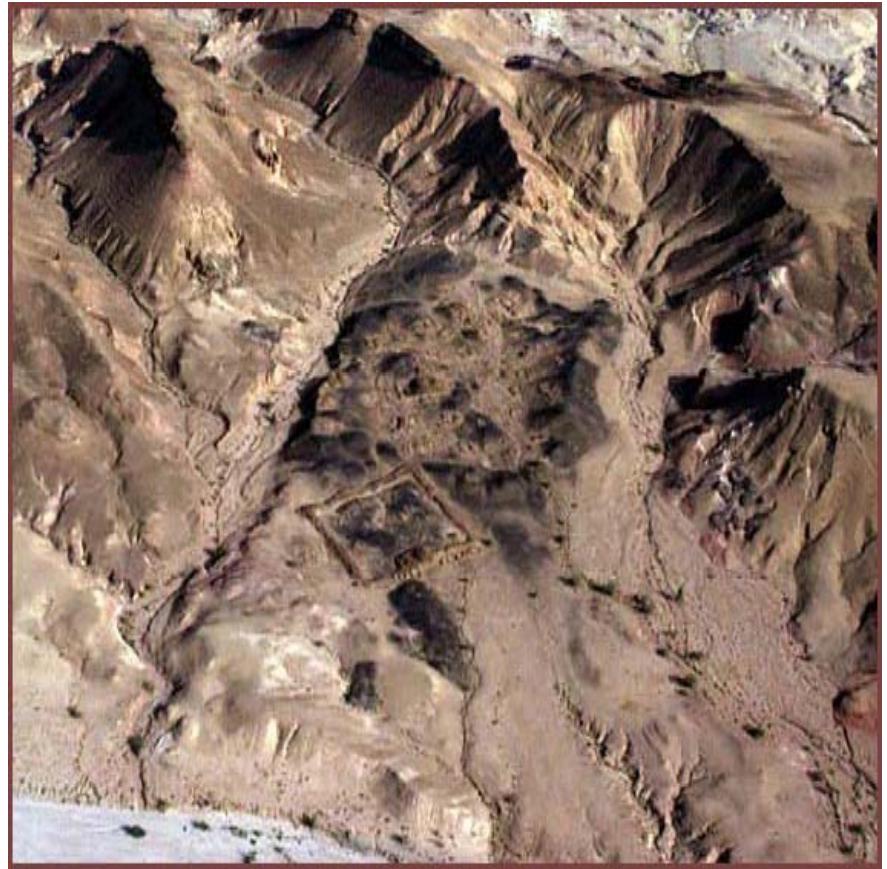
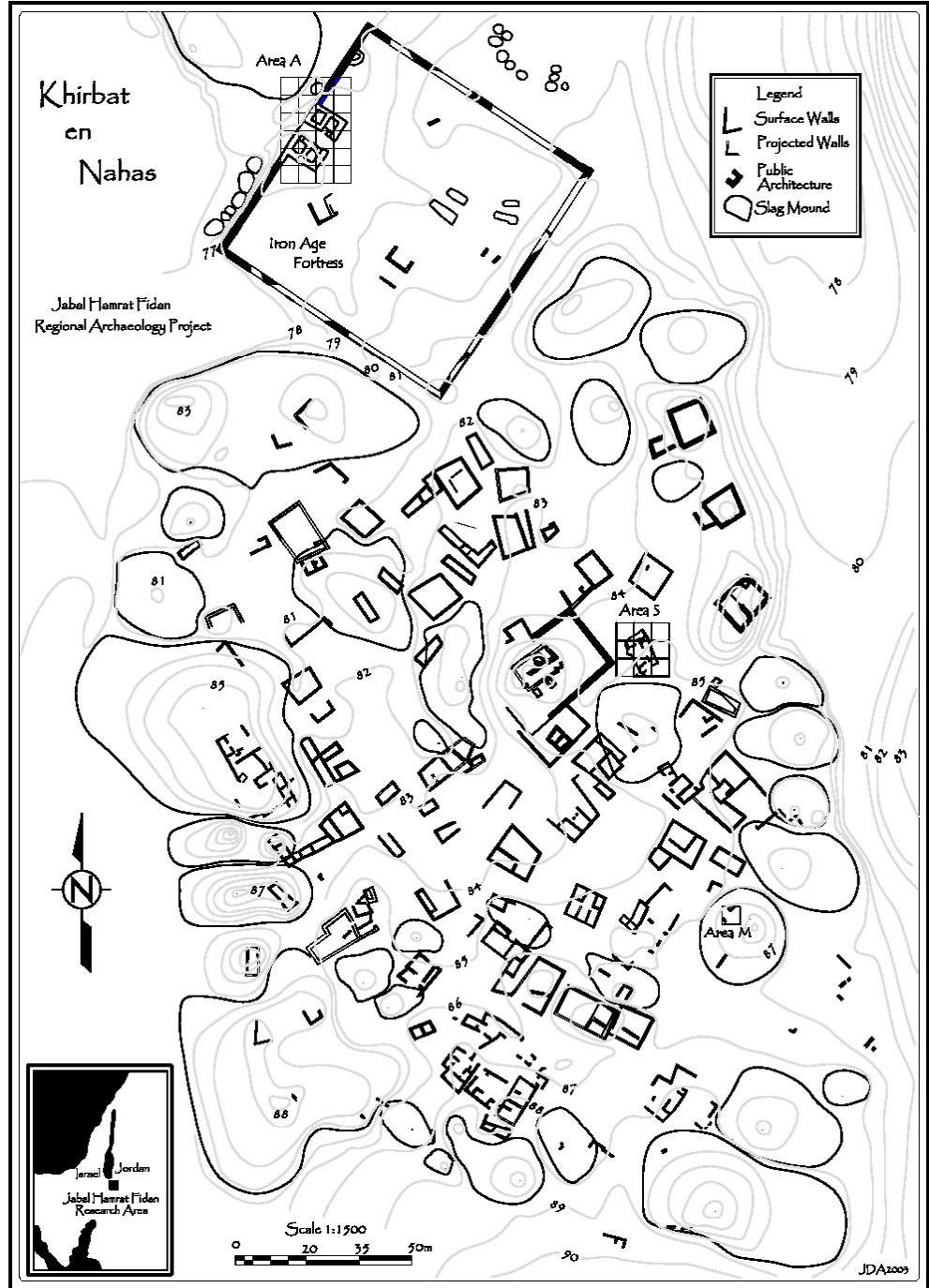
WHAT IF ..?

# Typical data flow in a GIS









# Assessing Archaeological Sites

## Processes

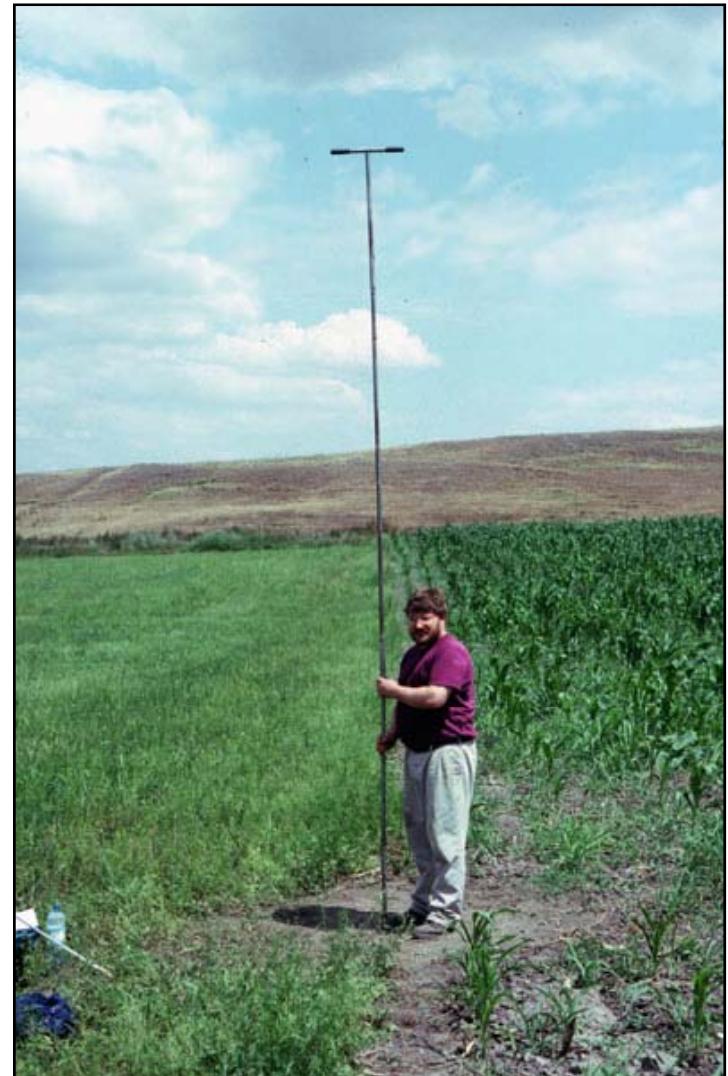
### Accurate mapping

- mapping of the site and recording its precise geographic location
- it is not enough just to record a site on a map, even if this is a GIS record with background environmental data
- special forms are used to record location, features and other information on surface features, landowner, etc.
- the site is given a name and number and any potential threats to the resource are noted

# Subsurface Detection Methods

## Probes

- One of the oldest techniques for probing the soil
- Uses rods or borers
- notes the positions where they strike solids or hollows
- Used more often these days for environmental and archaeological coring to retrieve samples at specified depths



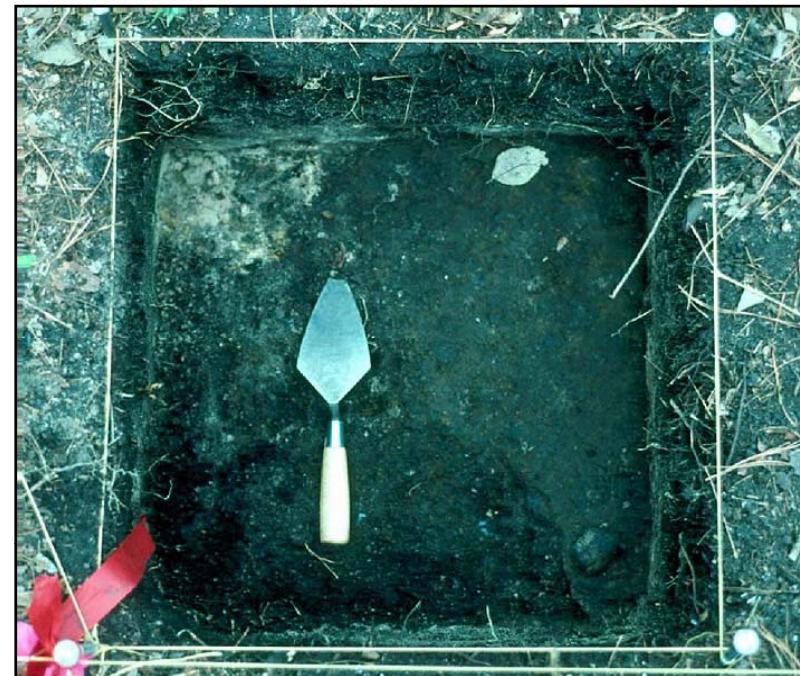
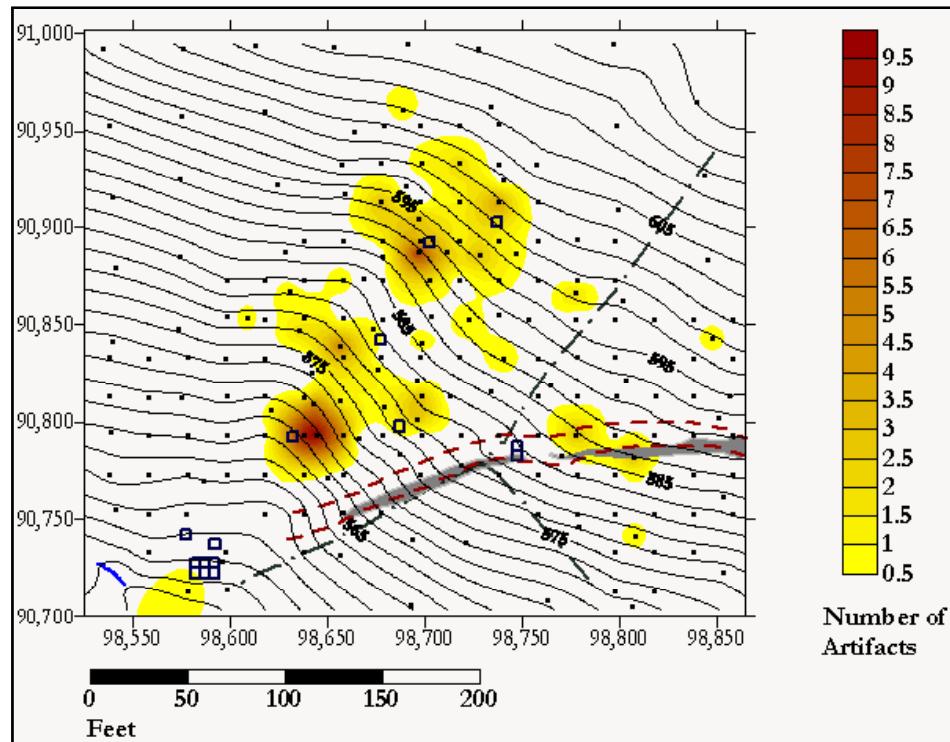
# Hand Coring





## Mechanical Coring

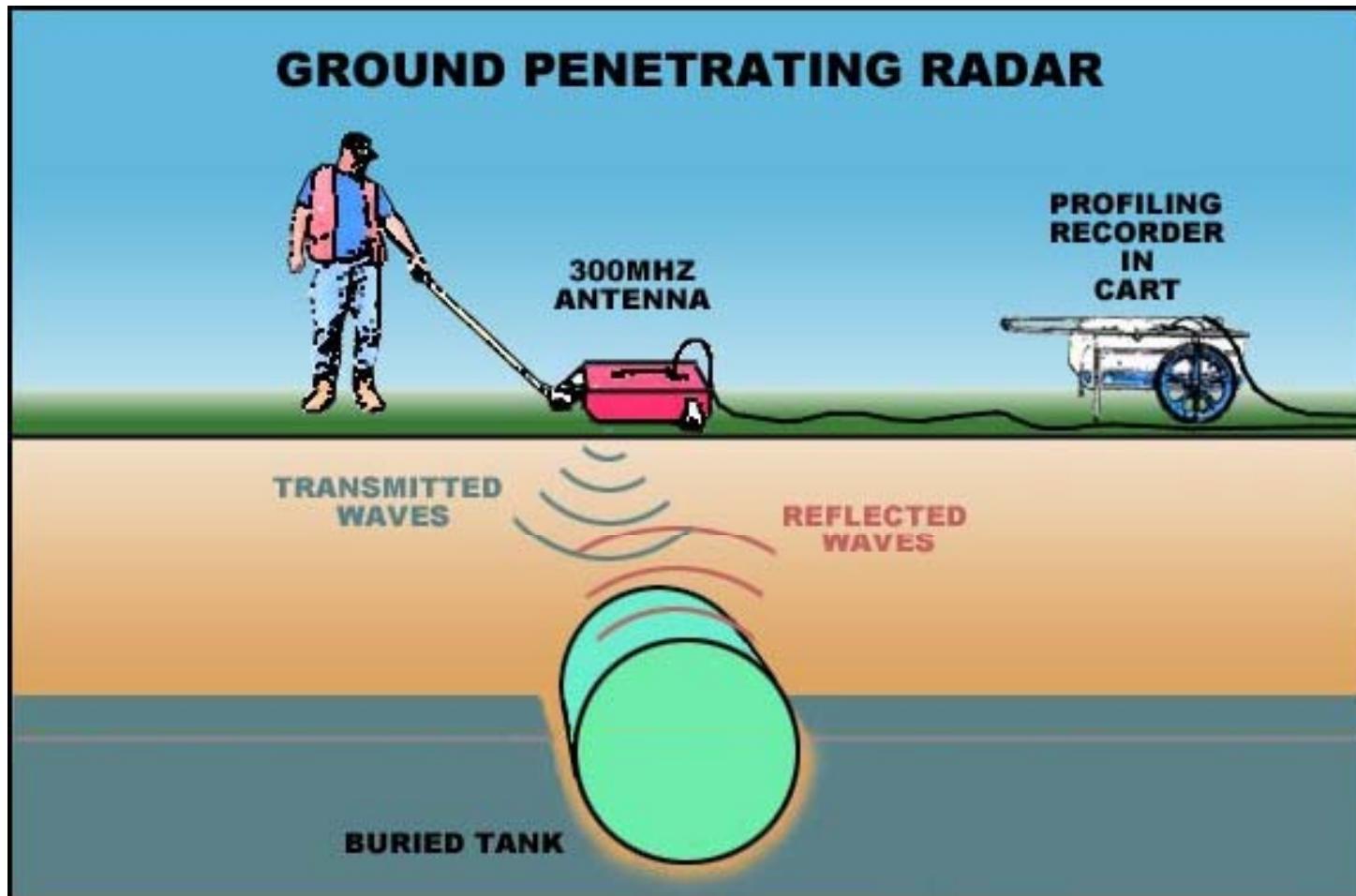
# Shovel Testing/Test pits

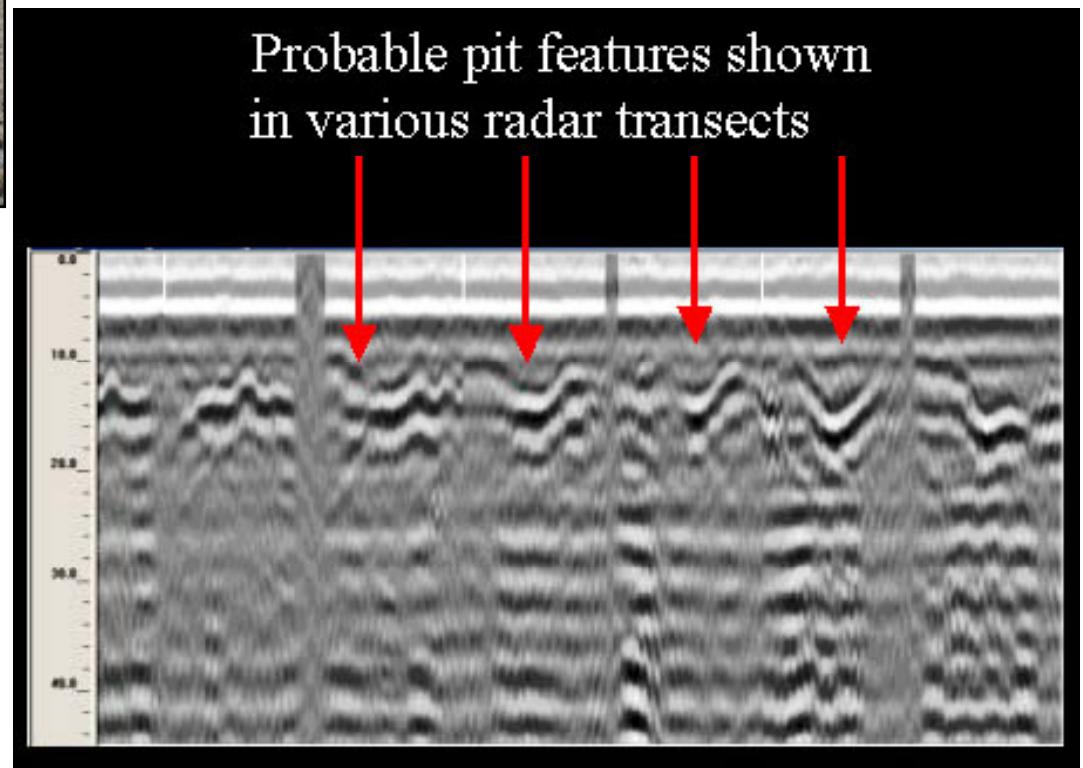
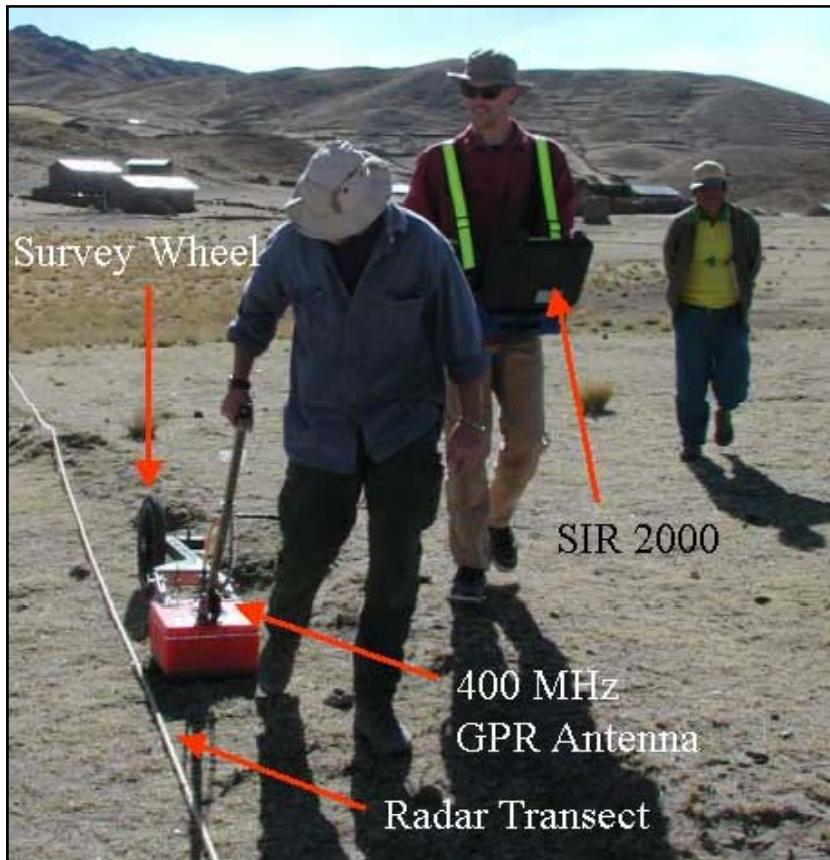


Shovel test pits reveal density of artifacts at Site 6, Monticello

# Ground Penetrating Radar

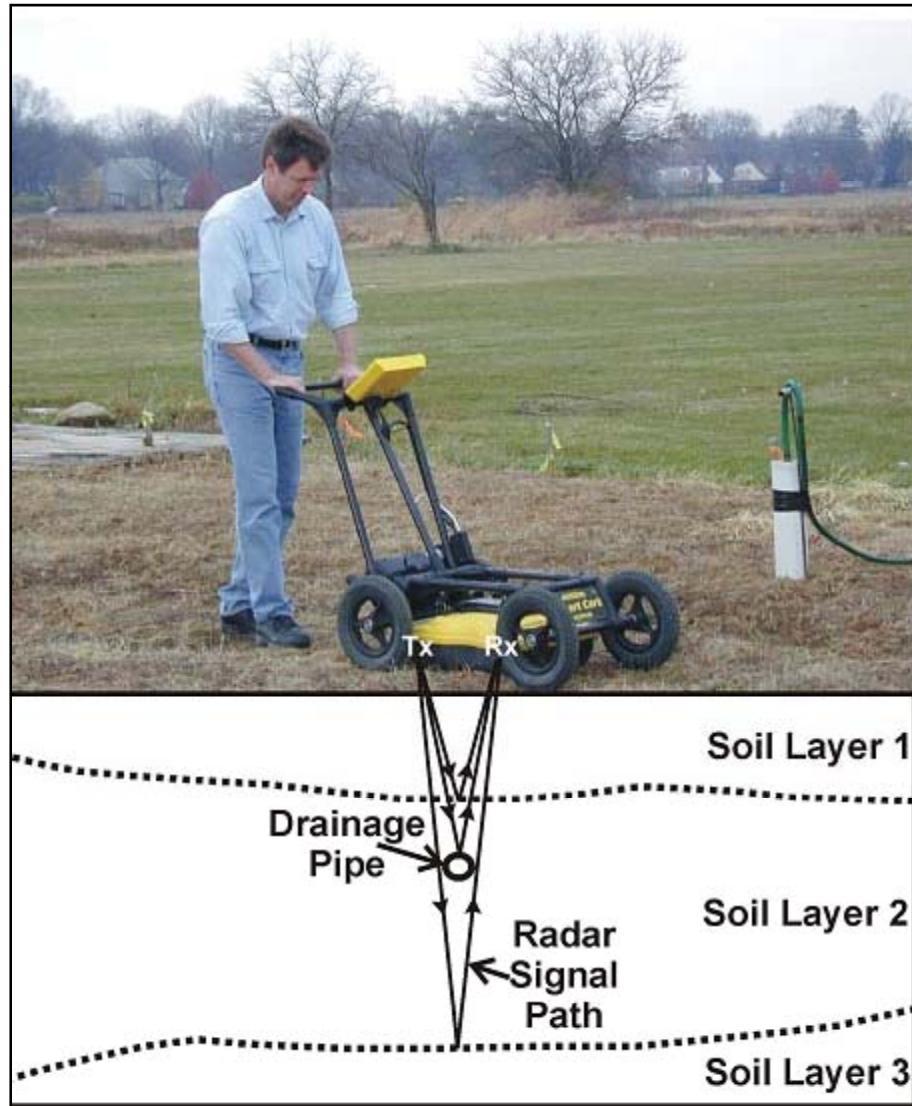
- early versions were mounted on a ‘sled’ and dragged across a site, emitting radio waves into the earth and then measuring the response
- fairly large and awkward to use, although the results were very good



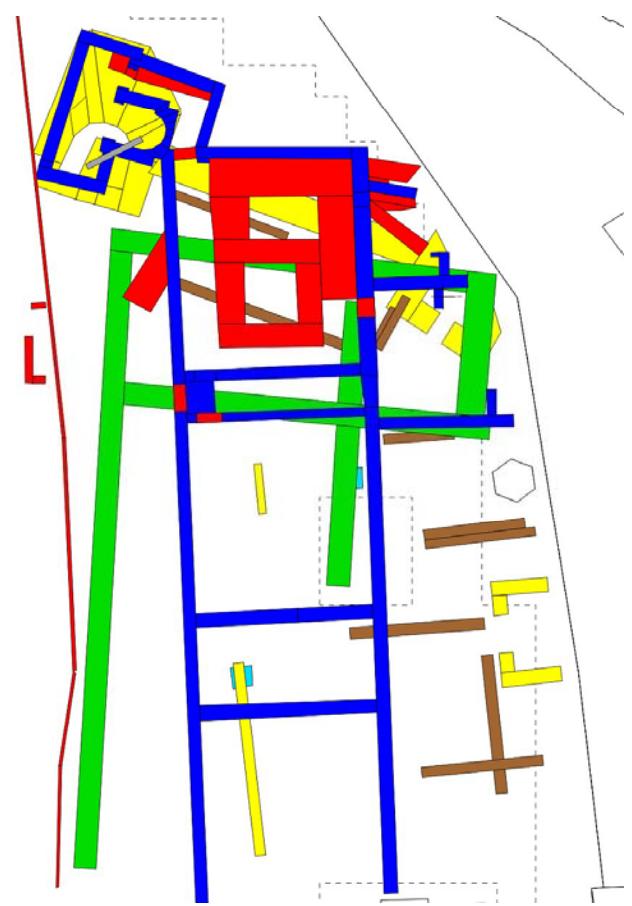




Small, portable and easy to use



# Ground Penetrating Radar (GPR)



## Military application - locating IEDs



# Resistivity surveys

- measures the variation on the resistance of the ground to electric current
- usually recorded by walking a set of ‘transects’ (lanes across a site)

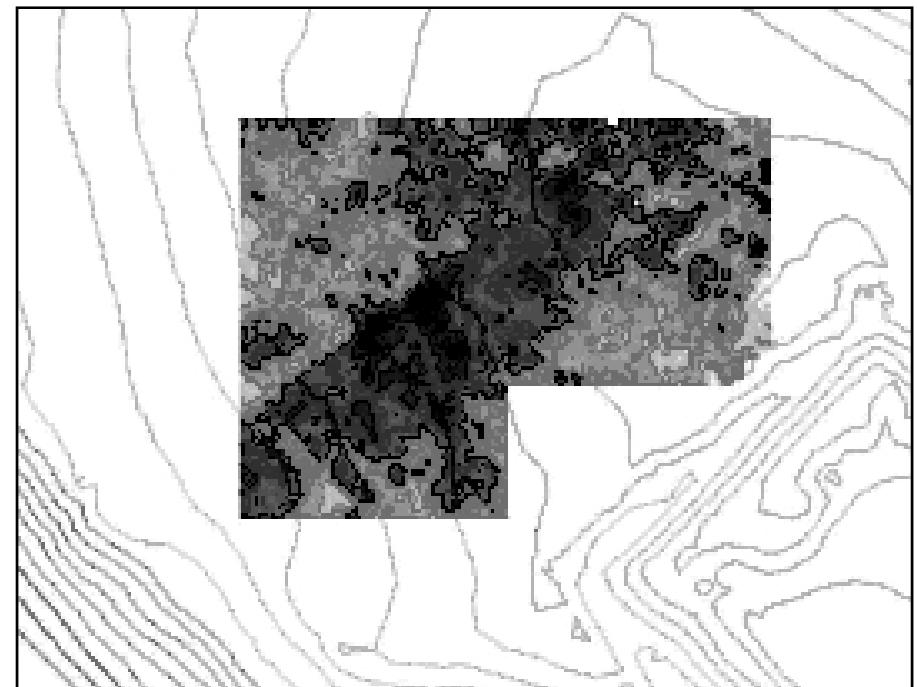


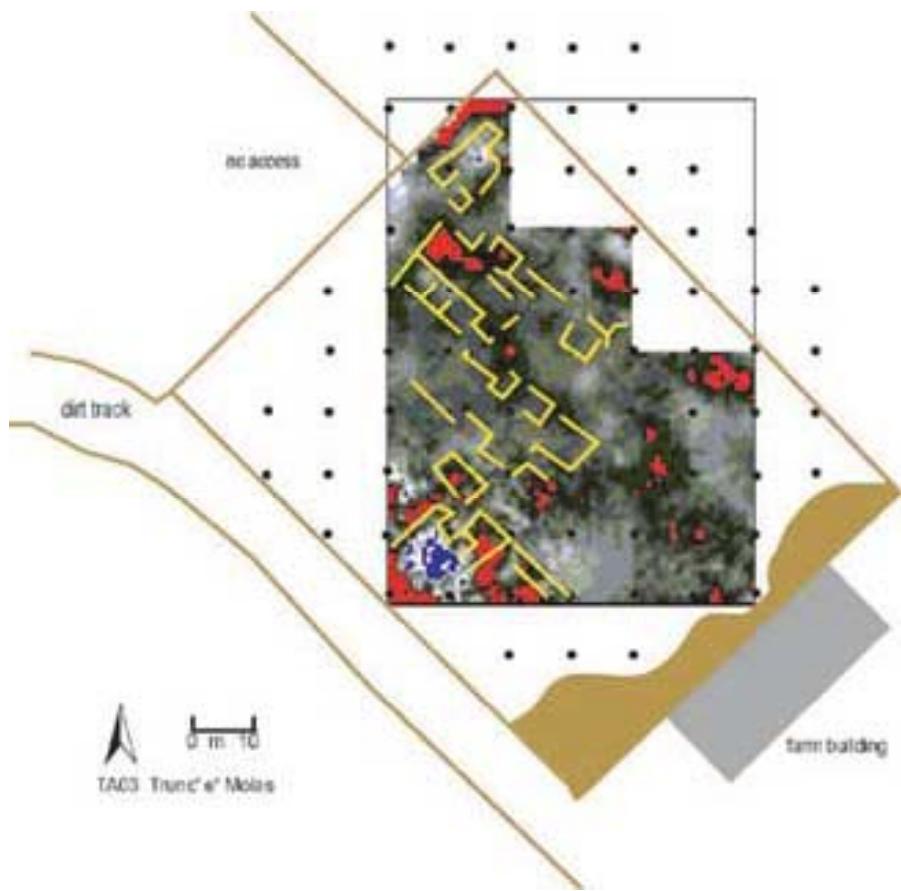
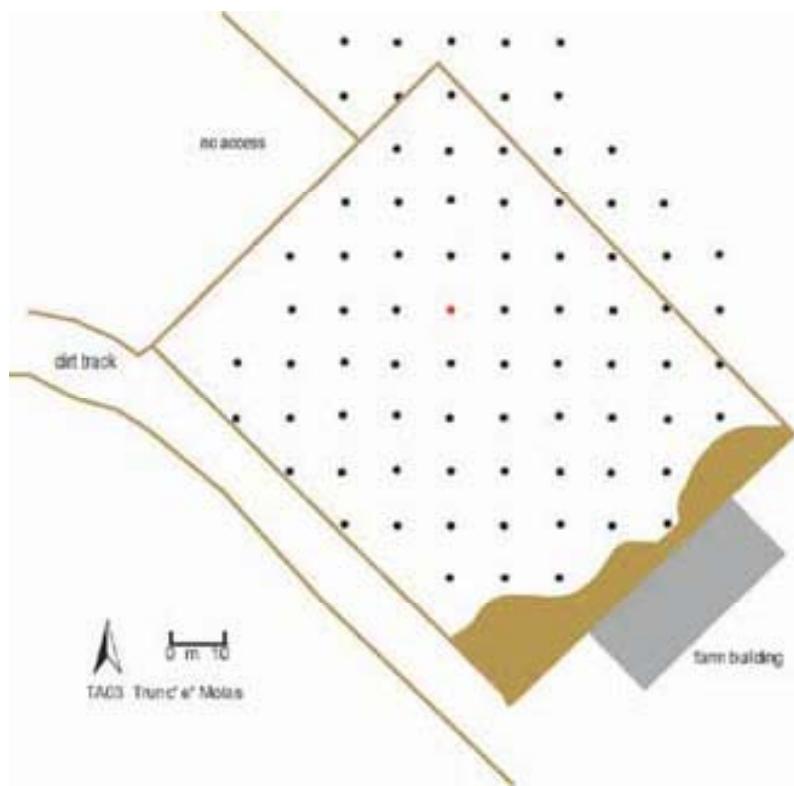
# Resistivity surveys

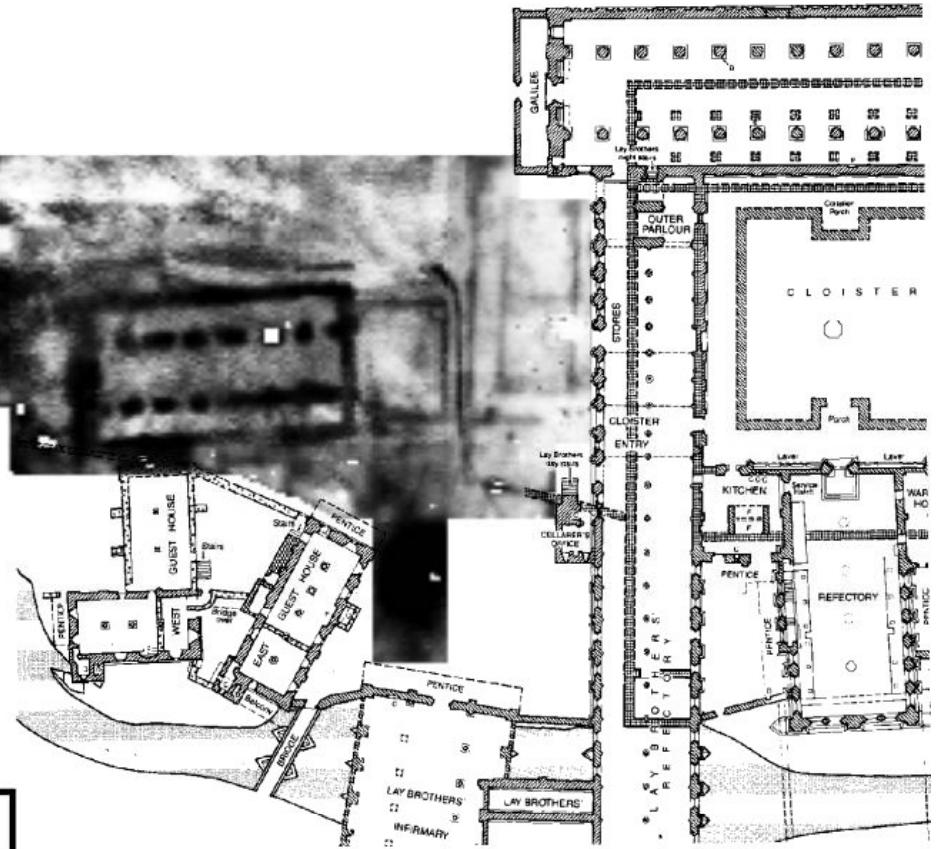
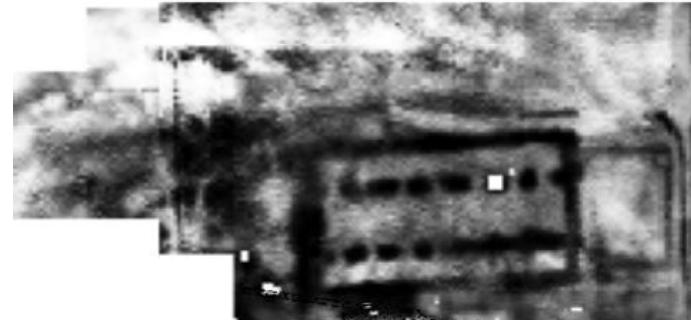
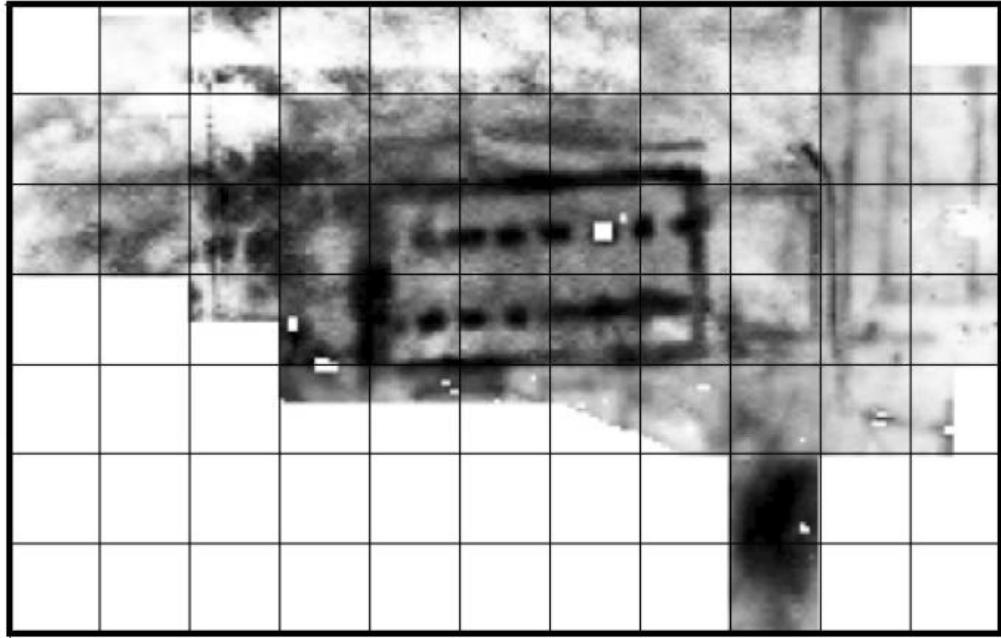
- measures the variation on the resistance of the ground to electric current
- stone walls or hard pavement retain less dampness than a deep pit filled with soft earth or a silt-filled ditch
- these differences can be measured accurately with a resistivity meter, which records the resistivity contours across a grid of squares laid out on the site
- works well for ditches and pits in chalk and gravel and masonry in clay
- Better in temperate climates than very dry ones, where ground moisture is minimal at the surface



# Resistivity surveys







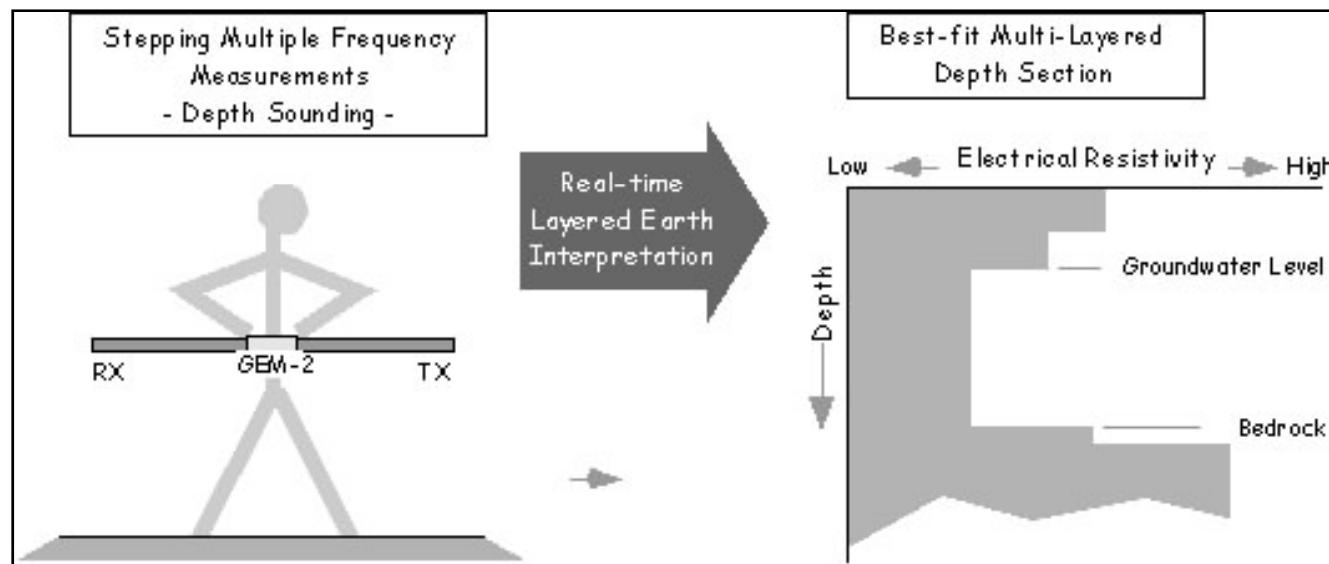
# Resistivity survey

# Electro-magnetic induction

emits electronic pulses into the earth and then measures the response

GEM 2:

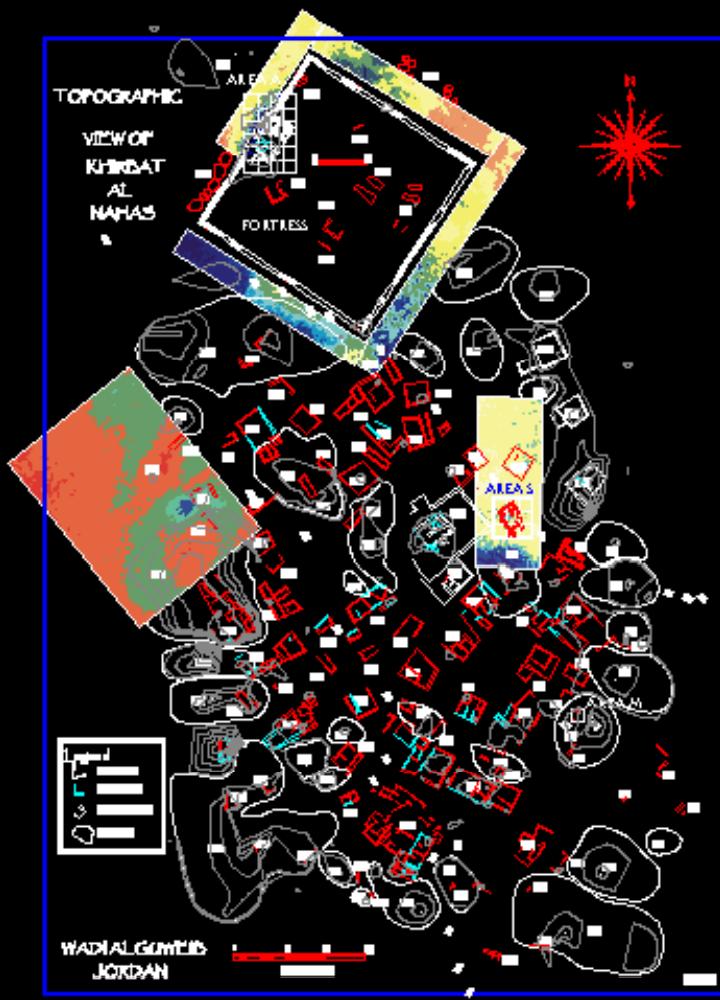
- The sensor weighs only 9 pounds, operates in a frequency band between 90 Hz to about 24 kHz.
- Its built-in operating software allows a surveyor to cover about one acre per hour at line spacing of five feet.
- Along a survey line about 20,000 data points per acre per hour can be collected

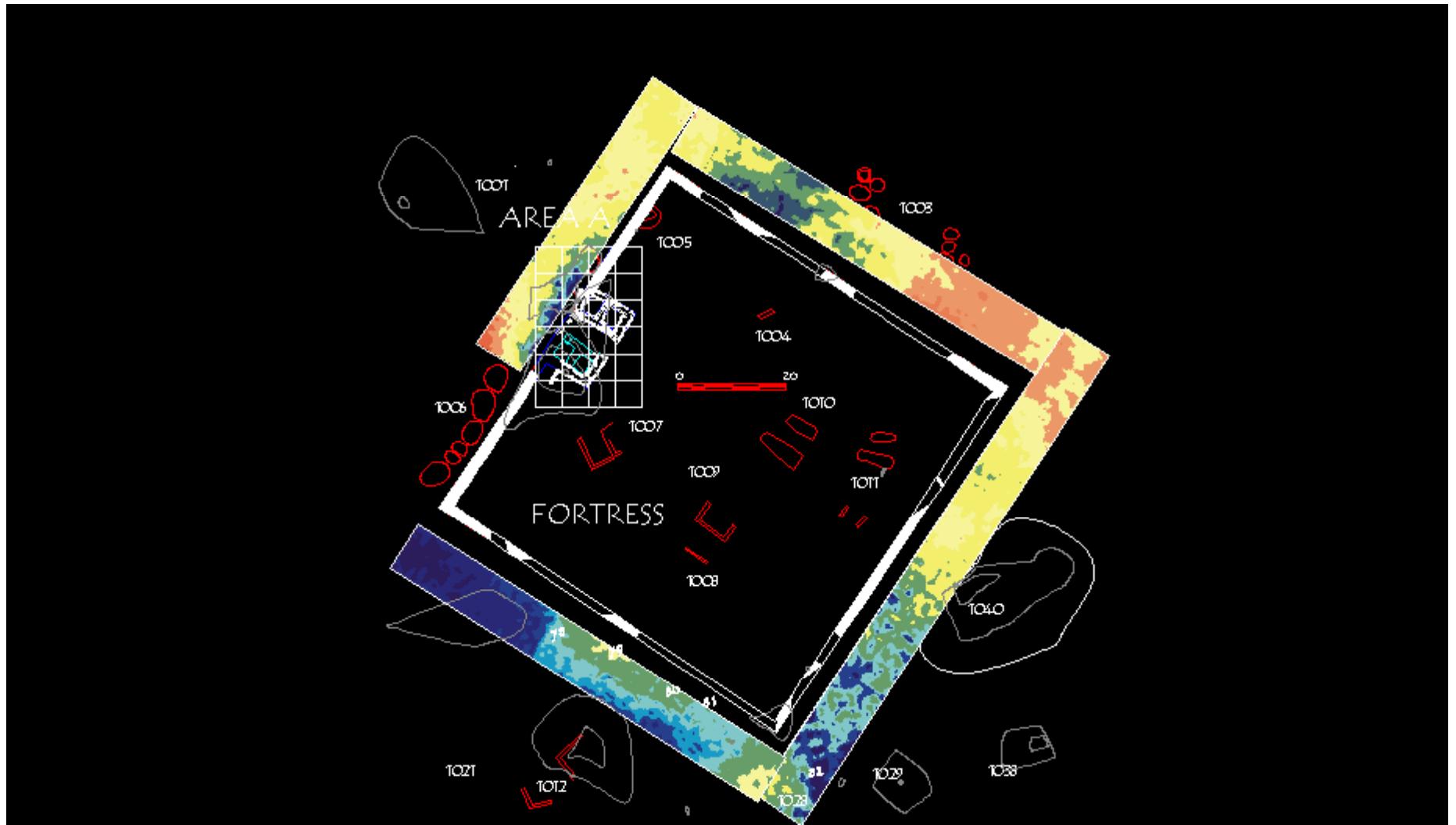


# Electro-magnetic induction

GEM 2:







# Magnetometry

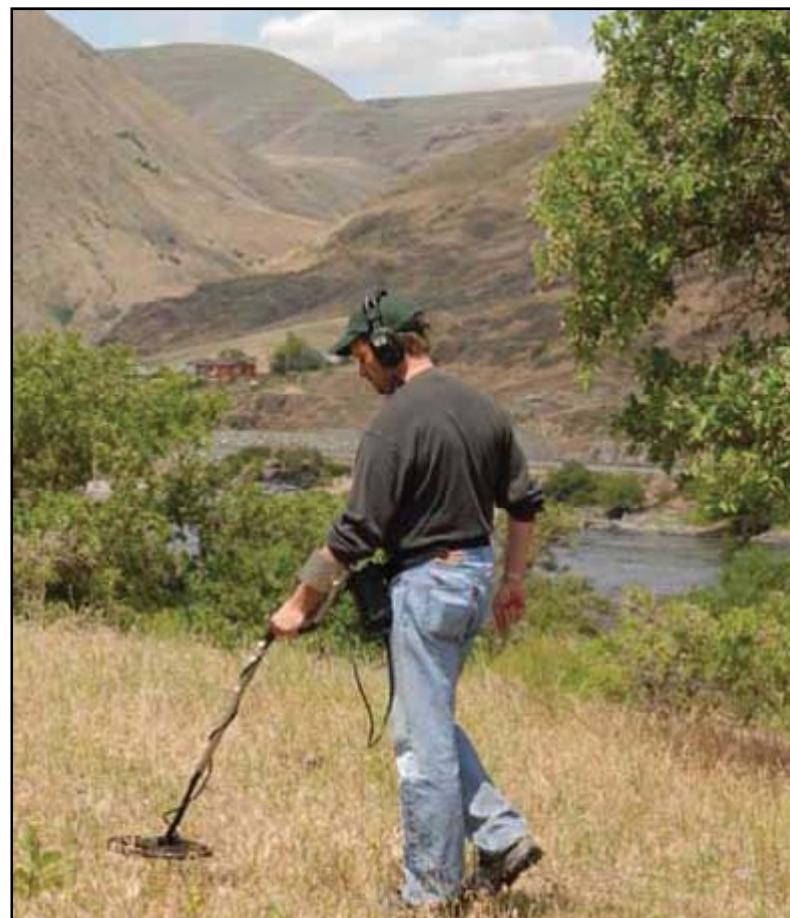
- helpful in locating fired clay structures such as hearths and pottery kilns, iron objects, and pits and ditches
- such produce slight but measurable distortions in the earth's magnetic field
- based on the presence of iron, even if in minute amounts
- if clay is heated to about 700 C, iron within lines up to earth's magnetic field



## **Features a magnetometry survey can locate:**

- Ferrous (iron rich) materials
- Fired materials such as brick, roof tiles, kilns, hearths, burned daube
- Middens, pits, trenches, activity areas and graves
- Structural features such as walls, floor surfaces, foundations
- Soil differences
- Modern utilities

# Metal detectors



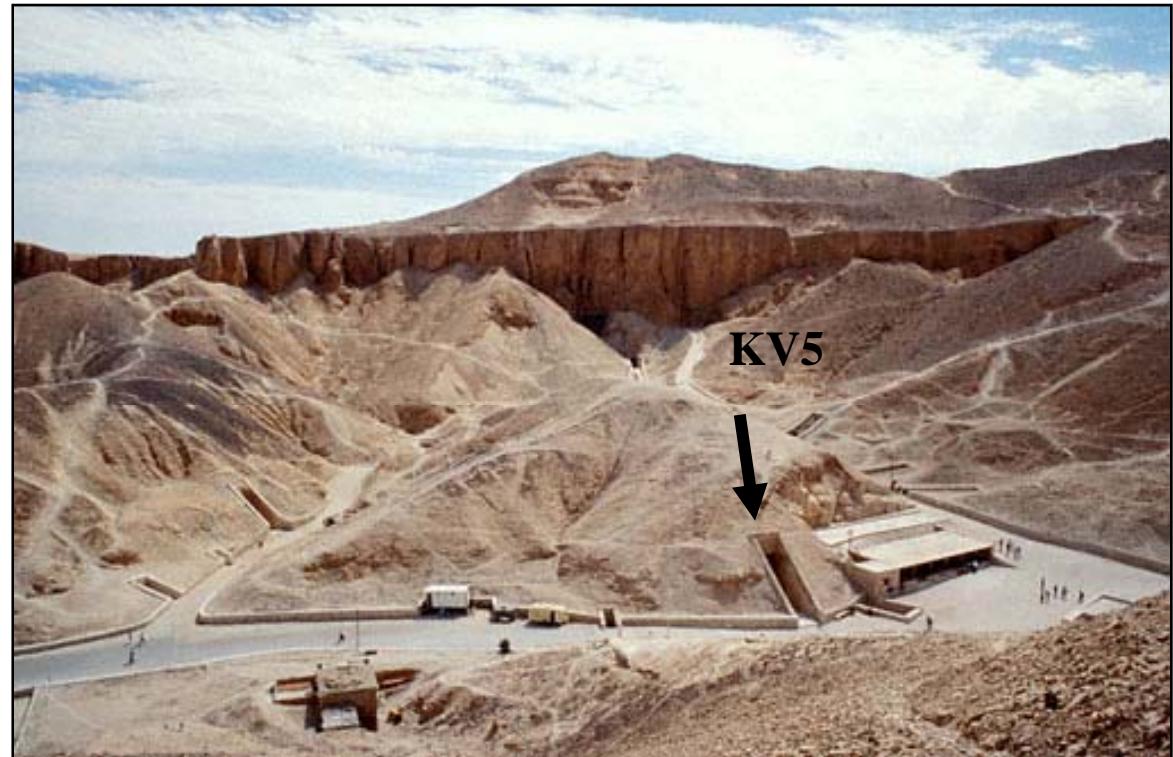
# Metal detectors

- Although quite helpful in some situations, they are rarely used by professional archaeologists.
- Extensively used by amateur ‘treasure hunters’ to look for buried objects
- This sets up a conflict with the main aim of archeology, since we are interested in the context of a find, rather than the find itself
- Lack of recording of finds by amateurs means that the find is lost to the archaeological record
- In Europe some countries, like England, have introduced the Portable Antiquity Scheme, to record artifacts found by the public. Check out the web site at: <http://finds.org.uk/>

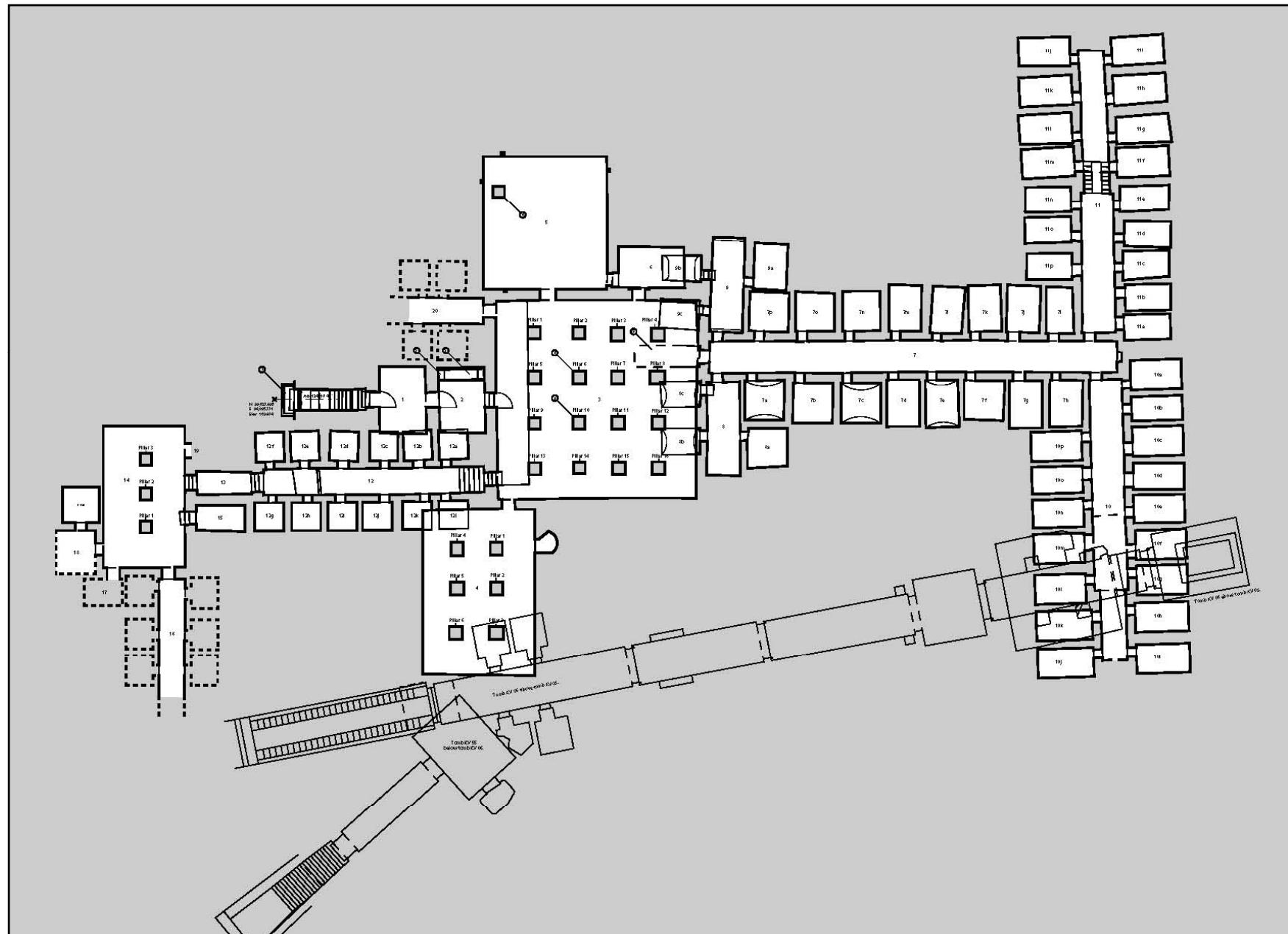
# Seismic and acoustic methods

Example: Egypt, Valley of the Kings – KV5

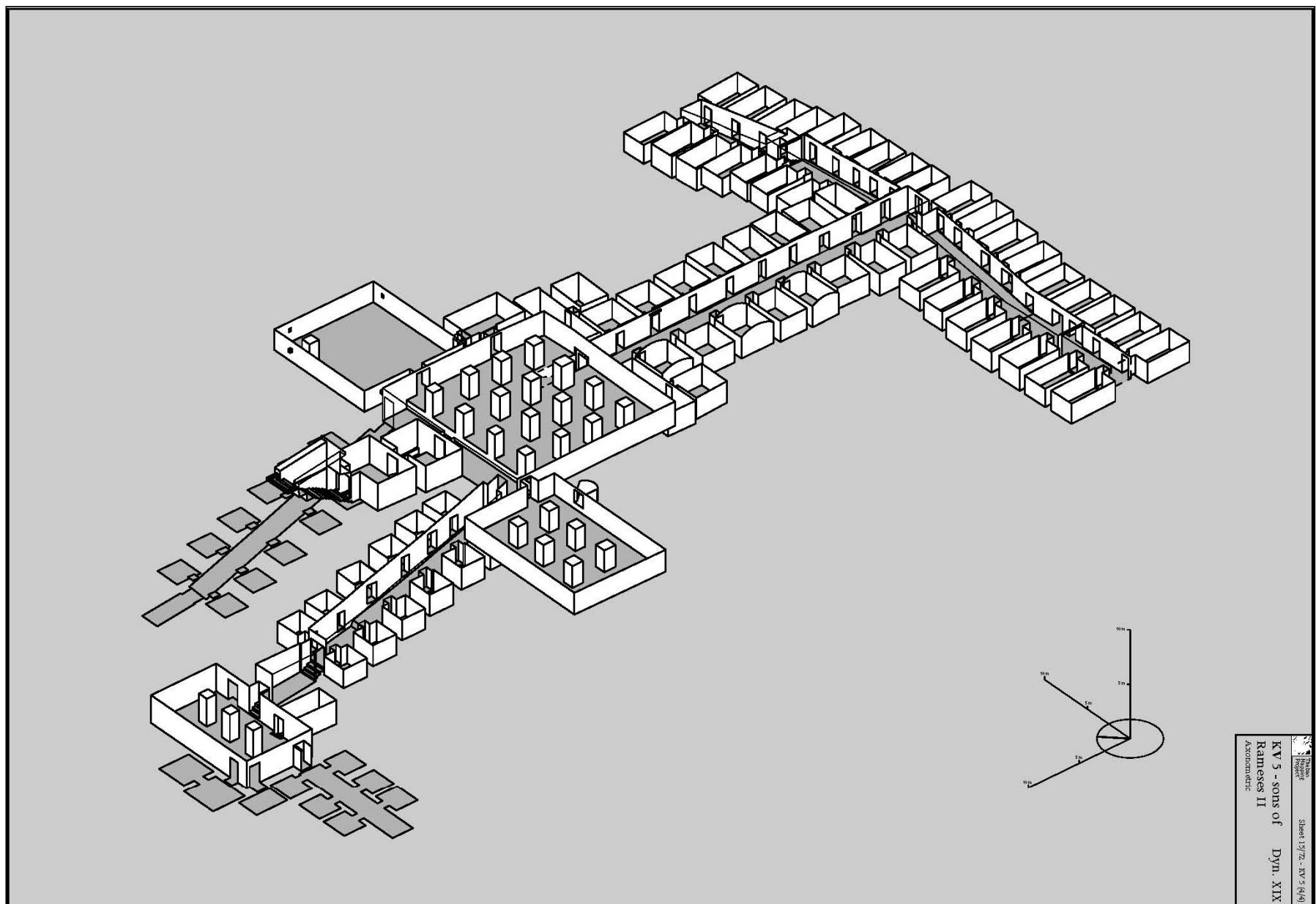
- Kent Weeks used a combination of hot-air balloon, X-rays, and sonic detectors to map subterranean features and hidden chambers
- using this method they have discovered a long-lost tomb with 67 subterranean chambers built for the 50 sons of the the Pharaoh Ramesses II.



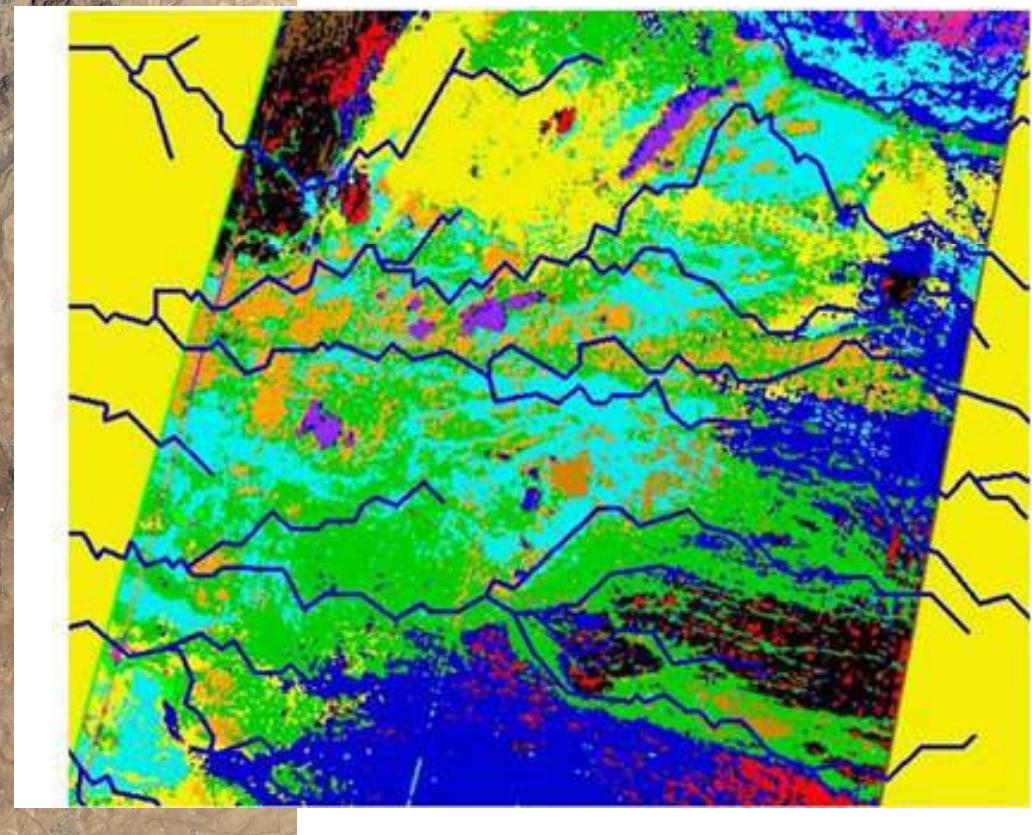
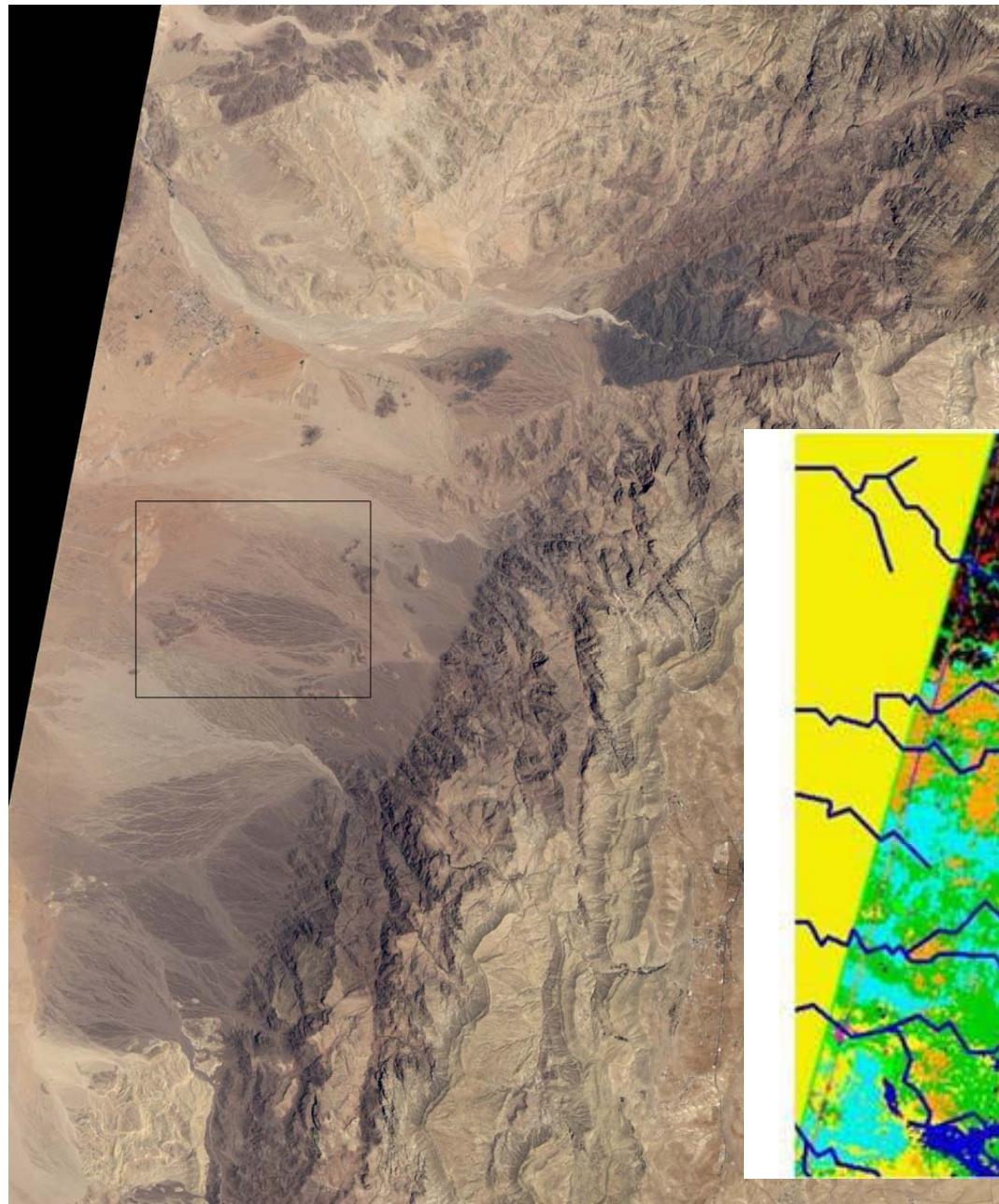
# KV5 – Tomb of Ramesses II



# KV5



# Space remote-sensing



# **Points to consider when choosing a geophysical survey method**

## **Physical surface area of survey area:**

- Topography
- Ground cover
- Surface structures, roads, fences
- Overhead powerlines
- Recent history of land use

# **Points to consider when choosing a geophysical survey method**

## **Sub-surface of survey area:**

- Soil type
- Underlying geology
- Drainage and soil saturation
- Conductivity of soil
- Tree root systems
- Bioturbation

# **Points to consider when choosing a geophysical survey method**

## **Archeological characteristics of site:**

- Suspected buried features (walls, pits, floor surfaces, graves, tombs, etc.)
- Expected depth to features
- Approximate dimension of features
- Physical properties of features (limestone foundations, humic-rich pit fill, 18th century burial with iron nails and possible grave goods, etc.)
- Site occupation history up to present land use