

# PL-embedding the dual of $J^2$ -gems into $\mathbb{S}^3$ by an $O(n^2)$ -algorithm \*

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## Abstract

Let be given a *colored 3-pseudo-triangulation*  $\mathcal{H}^*$  with  $n$  tetrahedra. Colored means that each tetrahedron have vertices distinctively colored 0,1,2,3. In a *pseudo* 3-triangulation the intersection of simplices might be subsets of simplices of smaller dimensions, instead of singletons of such faces, as for true triangulations. If  $\mathcal{H}^*$  is the dual of a  $J^2$ -gem (shortly defined), then we show that  $|\mathcal{H}^*|$  is  $\mathbb{S}^3$  and we make available an  $O(n^2)$ -algorithm to produce a PL-embedding ([10]) of  $\mathcal{H}^*$  into  $\mathbb{S}^3$ . This is rather surprising because such PL-embeddings are often of exponential size. This work is the first step towards obtaining, via an  $O(n^2)$ -algorithm, a framed link presentation inducing the same closed orientable 3-manifold as the one given by a colored pseudo-triangulation. Previous work on this topic appear in [5], [6] and [7]. However, the exposition and new proofs of this paper are meant to be entirely self-contained.

## 1 Introduction

### 1.1 $J^2$ -gems

A  $J^2$ -gem is a 4-regular, 4-edge-colored planar graph  $\mathcal{H}$  obtained from the intersection pattern of two Jordan curves  $X$  and  $Y$  with  $2n$  transversal crossings. These crossings define consecutive segments of  $X$  alternatively inside  $Y$  and outside  $Y$ . Color the first type 2 and the second type 3. The crossings also define consecutive segments of  $Y$  alternatively inside  $X$  and outside  $X$ . Color the first type 0 and the second type 1. This defines a 4-regular 4-edge-colored graph  $\mathcal{H}$  where the vertices are the crossings and the edges are the colored segments. Let  $\mathcal{H}^*$  be the 3-dimensional abstract 3-complex formed by taking a set of vertex colored tetrahedra in 1–1 correspondence with the set of vertices of  $\mathcal{H}$ ,  $V(\mathcal{H})$ , so that each tetrahedra has vertices of colors 0,1,2,3. This vertex coloring induces a face coloring of the triangular faces of the tetrahedron: color  $i$  the face opposite to the vertex colored  $i$ . For each  $i$ -colored edge of  $\mathcal{H}$  with ends  $u$  and  $v$  paste the corresponding tetrahedra  $\nabla_u$  and  $\nabla_v$  so as to paste the two triangular faces that do not contain a vertex of color  $i$  in such a way as to match vertices of the other three colors. We show that the topological space  $|K|$  induced by  $\mathcal{H}^*$  is  $\mathbb{S}^3$ . Moreover we describe an  $O(n^2)$ -algorithm to make available a PL-embedding ([10]) of  $\mathcal{H}^*$  into  $\mathbb{S}^3$ . We get explicit coordinates in  $\mathbb{S}^3$  for the 0-simplices and the  $p$ -simplices ( $p \in \{1, 2, 3\}$ ) are linear simplices in the spherical geometry.

### 1.2 Gems and their duals

A  $(3+1)$ -graph  $\mathcal{H}$  is a connected regular graph of degree 4 where to each vertex there are four incident differently colored edges in the color set  $\{0, 1, 2, 3\}$ . For  $I \subseteq \{0, 1, 2, 3\}$ , an  $I$ -residue is a component of the subgraph induced by the  $I$ -colored edges. Denote by  $v(\mathcal{H})$  the number of 0-residues (vertices) of  $\mathcal{H}$ . For  $0 \leq i < j \leq 3$ , an  $\{i, j\}$ -residue is also called an  $ij$ -gon or an  $i$ - and  $j$ -colored *bigon* (it is an even polygon, where the edges are alternatively colored  $i$  and  $j$ ). Denote by  $b(\mathcal{H})$  the total number of  $ij$ -gons for  $0 \leq i < j \leq 3$ . Denote by  $t(\mathcal{H})$  the total number of  $\bar{i}$ -residues for  $0 \leq i \leq 3$ , where  $\bar{i}$  means complement of  $\{i\}$  in  $\{0, 1, 2, 3\}$ .

We briefly recall the definition of gems taken from [4]. A 3-gem is a  $(3+1)$ -graph  $\mathcal{H}$  satisfying  $v(\mathcal{H}) + t(\mathcal{H}) = b(\mathcal{H})$ . This relation is equivalent to having the vertices, edges and bigons restricted to any  $\{i, j, k\}$ -residue inducing a plane graph where the faces are bounded by the bigons. Therefore we can embed each such  $\{i, j, k\}$ -residue into a sphere  $\mathbb{S}^2$ . We consider the ball bounded this  $\mathbb{S}^2$  as induced by the  $\{i, j, k\}$ -residue. For this reason an  $\{i, j, k\}$ -residue in a 3-gem,  $i < j < k$ , is also called a *triball*. An  $ij$ -gon appears once in the boundary

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of triball  $\{i, j, k\}$  and once in the boundary of triball  $\{i, j, h\}$ . By pasting the triballs along disks bounded by all the pairs of  $ij$ -gons,  $\{i, j\} \subset \{0, 1, 2, 3\}$  of a gem  $\mathcal{H}$ , we obtain a closed 3-manifold denoted by  $|\mathcal{H}|$ . This general construction is dual to the one exemplified in the abstract and produces any closed 3-manifold. The manifold is orientable if and only if  $\mathcal{H}$  is bipartite, [8]. A *crystallization* is a gem which remains connected after deleting all the edges of any given color, that is, it has one  $\{i, j, k\}$ -residue for each trio of colors  $\{i, j, k\} \subset \{0, 1, 2, 3\}$ .

Let  $\mathcal{H}^*$  be the dual of a gem  $\mathcal{H}$ . An  $\bar{i}$ -residue of  $\mathcal{H}$  corresponds in  $\mathcal{H}^*$  to a 0-simplex of  $\mathcal{H}^*$ . Most 0-simplices of  $\mathcal{H}^*$  do not correspond to  $\bar{i}$ -residues of  $\mathcal{H}$ . An  $ij$ -gon of a gem  $\mathcal{H}$  corresponds in  $\mathcal{H}^*$  to a *PL1-face* formed by a sequence of 1-simplices of  $\mathcal{H}^*$ ; this PL1-face is the intersection of two PL2-faces of colors  $i$  and  $j$ ; their two bounding 0-simplices correspond to an  $\bar{h}$ - and to a  $\bar{k}$ -residue, where  $\{h, i, j, k\} = \{0, 1, 2, 3\}$ . An  $i$ -colored edge of  $\mathcal{H}$  corresponds to a *PL2-face* which is a 2-disk triangulated by a subset of  $i$ -colored 2-simplices of  $\mathcal{H}^*$ . Finally to a vertex of  $\mathcal{H}$ , it corresponds a *PL3-face* of  $\mathcal{H}^*$  which is a 3-ball formed by a subset of 3-simplices of  $\mathcal{H}^*$ .

**(1.1) Proposition.** *The 3-manifold induced by a  $J^2$ -gem  $\mathcal{H}$  is  $\mathbb{S}^3$ .*

**Proof.** Removing from  $\mathcal{H}$  all the edges of a given color still yields a connected graph which a plane graph and they come embedded so that the faces are the 2-residues. So  $\mathcal{H}$  has four 3-residues, one of each type. Denote by  $b_{ij}$  the number of  $ij$ -gons of  $\mathcal{H}$ . Each one of these residues are planar graphs having  $v = 2n$  vertices,  $3v/2$  edges and  $b_{12} + b_{13} + b_{23}$ ,  $b_{02} + b_{03} + b_{23}$ ,  $b_{01} + b_{13} + b_{03}$  and  $b_{12} + b_{01} + b_{02}$  faces for, respectively, the  $\bar{0}$ -,  $\bar{1}$ -,  $\bar{2}$ -,  $\bar{3}$ -residue. Adding the four formulas for the Euler characteristic of the sphere imply that  $v(\mathcal{H}) + 4 = b(\mathcal{H})$ . Therefore,  $\mathcal{H}$  is a crystallization having one  $0i$ -gon and one  $jk$ -gon. This implies that the fundamental group of the induced manifold is trivial: as proved in [3], the fundamental group of the space induced by a crystallization is generated by  $b_{0i} - 1$  generators, and in our case this number is 0. Since Poincaré Conjecture is now proved, we are done. However, we can avoid using this fact and, as a bonus, obtaining the validity of the next corollary, which is used in the sequel.

Assume that  $\mathcal{H}$  is a  $J^2$ -gem which does not induce  $\mathbb{S}^3$  and has the smallest possible number of vertices satisfying these assumptions. By planarity we must have a pair of edges of  $\mathcal{H}$  having the same ends  $\{p, q\}$ . Consider the graph  $\mathcal{H}fus\{p, q\}$  obtained from  $\mathcal{H}$  by removing the vertices  $p, q$  and the 2 edges linking them as well as welding the 2 pairs of pendant edges along edges of the same color. In [2] S. Lins proves that if  $\mathcal{H}$  is a gem,  $\mathcal{H}' = \mathcal{H}fus\{p, q\}$  is also a gem and that two exclusive relations hold regarding  $|\mathcal{H}|$  and  $|\mathcal{H}'|$ , their induced 3-manifolds: either  $|\mathcal{H}| = |\mathcal{H}'|$  in the case that  $\{p, q\}$  induces a 2-dipole or else  $|\mathcal{H}| = |\mathcal{H}'| \# (\mathbb{S}^2 \times \mathbb{S}^1)$ . Since  $\mathcal{H}'$  is a  $J^2$ -gem, by our minimality hypothesis on  $\mathcal{H}$  the valid alternative is the second. But this is a contradiction: the fundamental group of  $|\mathcal{H}|$  would not be trivial, because of the summand  $\mathbb{S}^2 \times \mathbb{S}^1$ .  $\square$

### 1.3 Dipoles, pillows and balloons

Suppose there are  $m$  edges linking vertices  $x$  and  $y$  of a gem,  $m \in \{1, 2, 3\}$ . We say that  $\{u, v\}$  is an  $m$ -*dipole* if removing all edges in the colors of the ones linking  $x$  to  $y$ , these vertices are in distinct components of the graph induced by the edges in the complementary set of colors. To *cancel the dipole* means deleting the subgraph induced by  $\{u, v\}$  and identify pairs of the hanging edges along the same remaining color. To *create the dipole* is the inverse operation. It is simple to prove that the manifold of a gem is invariant under dipole cancellation or creation. Even though is not relevant for the present work state the foundational result on gems: two 3-manifolds are homeomorphic if and only if any two gems inducing it are linked by a finite number of cancellations and creations of dipoles, [1, 9].

The dual of a 2-dipole  $\{u, v\}$ , with internal colors  $i, j$  is named a *pillow*. It consists of two PL3-faces  $\nabla_u$  and  $\nabla_v$  sharing two PL2-faces colored  $i$  and  $j$ . The *thickening of a 2-dipole into a 3-dipole* is defined as follows. Let  $i, j$  be the two colors internal to 2-dipole  $\{u, v\}$  and  $k$  a third color. Let  $a$  be the  $k$ -neighbor of  $x$  and  $b$  be the  $k$ -neighbor of  $y$ . Remove edges  $[a, x]$  and  $[b, y]$  and put back  $k$ -edges  $[u, v]$  and  $[r, s]$ . This completes the thickening. It is simple to prove that the thickening in a gem produces a gem. We must be carefull because the inverse blind inverse operation *thinning a 3-dipole* not always produces a gem. The catch is that the result of the thinning perhaps is not a 2-dipole. In this sense the thinning move is not local: we must make sure that the result is a 2-dipole. To the data needed in thinning a 3-dipole  $\{u, v\}$  with internal colors  $\{i, j, k\}$  we must add the  $k$ -edge  $[r, s]$ . Note that the  $k$ -edges  $[u, v]$  and  $[r, s]$  are in the same  $hk$ -gon, where  $h$  is the fourth color. Denote by  $\Delta_{rs}$  the dual of  $[r, s]$ . Let  $\nabla_u \cup \nabla_v \cup \Delta_{rs}$  be called a *balloon*. Note that it consists of 2 PL3-faces  $\nabla_u$  and  $\nabla_v$  sharing three PL2-faces in colors  $\{i, j, k\}$  together with a  $k$ -colored PL2-face whose intersection with  $\nabla_u \cup \nabla_v$  is a PL1-face corresponding to the dual of the  $hk$ -gon, where  $h$  is the fourth color. Let  $\nabla_u \cup \nabla_v$  be the *balloon's head* and let  $\Delta_{rs}$  be the *balloon's tail*.

## 1.4 The Strategy for obtaining the PL-embedding of the dual of a $J^2$ -gem

We want to find a PL-embedding for the dual,  $\mathcal{H}^*$ , of a  $J^2$ -gem  $\mathcal{H}$  into  $\mathbb{S}^3$ . To this end we remove one PL3-face of  $\mathcal{H}^*$  find in  $\mathbb{R}^3$  a PL-embedding of the complementary ball so that their union form triangulated tetrahedron. After we use the inverse of a stereographic projection with center in further in the exterior of the triangulated tetrahedron. In this way we recover in  $\mathbb{S}^3$  the missing PL3-face.

In this work we describe the PL-embedded PL3-faces of  $\mathcal{H}^*$  into  $\mathbb{R}^3$  by making it geometrically clear that its boundary is a set of 4 PL2-faces, one of each color, forming an embedded  $\mathbb{S}^2$  whose interior is disjoint from the interior of  $\mathbb{S}^2$ 's corresponding to others PL3-faces. Thus, for our purposes it will be only necessary to embed the 2-skeleton of  $\mathcal{H}^*$ .

A direct approach to find the PL-embedding of the dual of a general  $J^2$ -gem with  $2n$  vertices, seems very hard. We split the algorithm into 3 phases. First we find a sequence of  $n - 1$  2-dipole thickenings into 3-dipoles so that the final gem is simply a circular arrangement of  $n$  3-dipoles with internal colors 0, 1, 2. Such a canonical  $n$ -gem is named a *bloboïd* and is denoted  $\mathcal{B}_n$ . This indexing decreasing sequence is easily obtainable from the primal objects, the gems:

$$\mathcal{H} = \mathcal{H}_n \xrightarrow[\text{thick}_1]{2dip} \mathcal{H}_{n-1} \xrightarrow[\text{thick}_2]{2dip} \dots \xrightarrow[\text{thick}_{n-1}]{2dip} \mathcal{H}_1 = \mathcal{B}_n = \mathcal{B}.$$

In the second phase we find specific abstract PL-triangulations of the PL2-faces for the index increasing sequence of abstract colored 2-dimensional PL-complexes:

$$\mathcal{B}^* = \mathcal{H}_1^* \xrightarrow[\text{move}_1]{bp} \mathcal{H}_2^* \xrightarrow[\text{move}_2]{bp} \dots \xrightarrow[\text{move}_{n-1}]{bp} \mathcal{H}_n^* = \mathcal{H}^*.$$

At the same time that we get we get also a sequence of *wings*

$$\mathcal{W}_1^* \xrightarrow[\text{move}_1]{wbp} \mathcal{W}_2^* \xrightarrow[\text{move}_2]{wbp} \dots \xrightarrow[\text{move}_{n-1}]{wbp} \mathcal{W}_n^* = \mathcal{W}^*.$$

Each wing  $\mathcal{W}_m$ 's corresponds to a section of the previous sequence  $\mathcal{H}_m$ 's by two adequated fixed semi-planes  $\Pi_\ell$  and  $\Pi_r$ . Each wing  $\mathcal{W}_m$  has an associated *nervure*, denoted  $\mathcal{N}_m$ . Each nervure is an auxiliary spanning tree which helps in finding canonical embeddings for the planar graph  $\mathcal{U}_m = \mathcal{W}_m \cup \mathcal{N}_m$  ( $m = n - 1, \dots, 1$ ), in  $\Pi_\ell$  and  $\Pi_r$ . The third phase uses the final wing and the sequence of  $\mathcal{H}_m^*$ 's to produce a pillow filling sequence

$$\mathcal{H}_1^\diamond \xrightarrow[\text{filling}_1]{pillow} \mathcal{H}_2^\diamond \xrightarrow[\text{filling}_2]{pillow} \dots \xrightarrow[\text{filling}_{n-1}]{pillow} \mathcal{H}_n^\diamond = \mathcal{H}^*.$$

In this phase everything is embedded into  $\mathbb{R}^3$  and the last element is the PL-embedding that we seek. The whole procedure can be implemented as a formal algorithm that takes  $O(n^2)$ -space and  $O(n^2)$ -time complexity, where  $2n$  is the number of vertices of the original  $J^2$ -gem.

## 1.5 Acknowledgements

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## 2 Abstract 2-dimensional PL-complexes, their wings and nervures

### 3 Diamond complexes

### 4 Further work

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