

**FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT**

**Statement as on: September 30,2021**  
**Statement of Investment and Income on Investment**  
**Periodicity of Submission: Quarterly**

Periodicity of Submission: Quarterly														(₹ in Lakhs)
No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.) <sup>2</sup>	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.) <sup>2</sup>	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.) <sup>2</sup>	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
A01	G-Sec													
A01	CENTRAL GOVT. BONDS	CGSB	487,235	8,627	1.8%	1.8%	482,811	17,353	3.6%	3.6%	364,933	13,679	3.7%	3.7%
A02	SPECIAL DEPOSITS	CDPS	-	-	-	-	-	-	-	-	-	-	-	-
A03	DEPOSIT UNDER SECTION 7 OF INSURANCE ACT 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
A04	TREASURY BILLS	CTRB	18,397	123	0.7%	0.7%	20,820	301	1.4%	1.4%	1,500	0	-	-
B01	G. Sec or Other Approved Sec/Guaranteed Sec													
B01	CENTRAL GOVERNMENT GUARANTEED LOANS	CGSL	38,471	753	2.0%	2.0%	35,387	1,384	3.9%	3.9%	32,325	1,279	4.0%	4.0%
B02	STATE GOVERNMENT BONDS	SGGB	73,712	1,617	2.2%	2.2%	56,257	2,269	4.0%	4.0%	707	76	10.7%	10.7%
B03	STATE GOVERNMENT GUARANTEED LOANS	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
B04	OTHER APPROVED SECURITIES (EXCLUDING INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS)	SGOA	165,434	3,018	1.8%	1.8%	163,036	6,042	3.7%	3.7%	138,282	5,659	4.1%	4.1%
B05	GUARANTEED EQUITY	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investments subject to Exposure Norms													
	(a) Housing & Loans to State Govt for Housing / FFE													
C01	LOANS TO STATE GOVERNMENT FOR HOUSING	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
C02	LOANS TO STATE GOVERNMENT FOR FIRE FIGHTING EQUIPMENT	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
C03	TERM LOAN - HUDCO/NHB/INSTITUTIONS ACCREDITED BY NHB	HTFL	-	-	-	-	-	-	-	-	-	-	-	-
C04	TERM LOAN TO INSTITUTIONS ACCREDITED BY NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
C05	HOUSING - SECURITISED ASSETS	HMBG	-	-	-	-	-	-	-	-	-	-	-	-
C06	DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	HDPG	66,300	1,191	1.8%	1.8%	66,326	2,365	3.6%	3.6%	51,034	1,949	3.8%	3.8%
	TAXABLE BONDS													
C07	BONDS/DEBENTURES ISSUED BY HUDCO	HTHD	-	-	-	-	-	-	-	-	-	-	-	-
C08	BONDS / DEBENTURES ISSUED BY NHB/INSTITUTIONS ACCREDITED BY NHB	HTDN	87,947	1,581	1.8%	1.8%	86,010	3,037	3.5%	3.5%	58,897	2,307	3.9%	3.9%
C09	BONDS / DEBENTURES ISSUED BY AUTHORITY CONSTITUTED UNDER ANY HOUSING / BUILDING SCHEME APPROVED BY C	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
C10	BONDS / DEBENTURES ISSUED BY HUDCO	HFHD	-	-	-	-	-	-	-	-	-	-	-	-
C11	BONDS / DEBENTURES ISSUED BY NHB	HFND	-	-	-	-	-	-	-	-	-	-	-	-
C12	BONDS / DEBENTURES ISSUED BY AUTHORITY CONSTITUTED UNDER ANY HOUSING / BUILDING SCHEME APPROVED BY C	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
D01	INFRASTRUCTURE - OTHER APPROVED SECURITIES	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
D02	INFRASTRUCTURE - PSU - EQUITY SHARES - QUOTED	ITPE	2,597	68	2.6%	2.6%	2,390	68	2.8%	2.8%	1,991	-	-	-
D03	INFRASTRUCTURE - CORPORATE SECURITIES - EQUITY SHARES- QUOTED	ITCE	1,909	244	12.8%	12.8%	1,981	250	12.6%	12.6%	2,490	(210)	-8.4%	-8.4%
D04	INFRASTRUCTURE - EQUITY AND EQUITY RELATED INSTRUMENTS (PROMOTER GROUP)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-
D05	INFRASTRUCTURE - SECURITISED ASSETS	IESA	-	-	-	-	-	-	-	-	-	-	-	-
D06	INFRASTRUCTURE - DEBENTURES / BONDS / CPS / LOANS - (PROMOTER GROUP)	IDPG	2,059	38	1.9%	1.9%	2,060	76	3.7%	3.7%	2,069	76	3.7%	3.7%
D07	INFRASTRUCTURE - INFRASTRUCTURE DEVELOPMENT FUND (IDF)	IDDF	-	-	-	-	-	-	-	-	-	-	-	-
D08	INFRASTRUCTURE - LONG TERM BANK BONDS	ILBI	28,755	567	2.0%	2.0%	28,761	1,124	3.9%	3.9%	23,570	949	4.0%	4.0%
	TAXABLE BONDS													
D09	INFRASTRUCTURE - PSU - DEBENTURES / BONDS	IPTD	281,346	5,279	1.9%	1.9%	277,886	9,999	3.6%	3.6%	196,723	8,584	4.4%	4.4%
D10	INFRASTRUCTURE - PSU - CPS	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
D11	INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES / BON	ICTD	40,025	833	2.1%	2.1%	41,658	1,738	4.2%	4.2%	50,919	2,057	4.0%	4.0%
D12	INFRASTRUCTURE - OTHER CORPORATE SECURITIES - CPS	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
D13	INFRASTRUCTURE - TERM LOANS (WITH CHARGE)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
D14	INFRASTRUCTURE / SOCIAL SECTOR - PSU - DEBENTURES / BONDS	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
D15	INFRASTRUCTURE / SOCIAL SECTOR - OTHER CORPORATE SECURITIES (APPROVED INVESTMENTS) - DEBENTURES / BO	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	OTHER INVESTMENTS													
D16	INFRASTRUCTURE - EQUITY ( INCLUDING UNLISTED)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
D17	INFRASTRUCTURE - DEBENTURES/BONDS/CPS/LOANS	IOSD	-	-	-	-	-	-	-	-	-	-	-	-
D18	INFRASTRUCTURE- SECURITISED ASSETS	IOSA	-	-	-	-	-	-	-	-	-	-	-	-
D19	INFRASTRUCTURE - EQUITY ( PROMOTER GROUP)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
D20	INFRASTRUCTURE-DEBENTURES/BONDS/CPS/LOANS(PROMOTER GROUP)	IOPD	-	-	-	-	-	-	-	-	-	-	-	-
	(c) Approved Investments													
E01	PSU - EQUITY SHARES - QUOTED	EAEQ	3,115	199	6.4%	6.4%	2,803	54	1.9%	1.9%	3,359	(825)	-24.6%	-24.6%
E02	CORPORATE SECURITIES - EQUITY SHARES (ORDINARY) - QUOTED	EACE	32,321	1,506	4.7%	4.7%	29,432	2,623	8.9%	8.9%	10,985	86	0.8%	0.8%
E03	EQUITY SHARES - COMPANIES INCORPORATED OUTSIDE INDIA (INVESTED PRIOR TO IRDA REGULATIONS)	EFES	-	-	-	-	-	-	-	-	-	-	-	-
E04	EQUITY SHARES (INCL. EQUITY RELATED INSTRUMENTS) - PROMOTER GROUP	EEPG	-	-	-	-	-	-	-	-	-	-	-	-
E05	CORPORATE SECURITIES - DEBENTURES (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
E06	CORPORATE SECURITIES - BONDS (TAX FREE)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
E07	CORPORATE SECURITIES - PREFERENCE SHARES	EPQS	161	7	4.3%	4.3%	158	14	8.7%	8.7%	336	10	2.9%	2.9%
E08	CORPORATE SECURITIES - INVESTMENT IN SUBSIDIARIES	ECTS	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
E09	CORPORATE SECURITIES (APPROVED INVESTMENT) - DEBENTURES (Taxable)	ECOS	261,893	4,867	1.9%	1.9%	262,290	9,763	3.7%	3.7%	171,580	7,829	4.6%	4.6%
E10	CORPORATE SECURITIES - DEBENTURES / BONDS/ CPS / LOAN - (PROMOTER GROUP)	EDPG	12,138	188	1.5%	1.5%	10,484	325	3.1%	3.1%	2,000	88	4.4%	4.4%
E11	CORPORATE SECURITIES (APPROVED INVESTMENT) - DERIVATIVE INSTRUMENT	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
E12	INVESTMENT PROPERTIES - IMMOVABLE	EINP	-	-	-	-	-	-	-	-	-	-	-	-
E13	LOANS - POLICY LOANS	ELPL	-	-	-	-	-	-	-	-	-	-	-	-
E14	LOANS - SECURED LOANS - MORTGAGE OF PROPERTY IN INDIA (TERM LOAN)	ELMI	-	-	-	-	-	-	-	-	-	-	-	-
E15	LOANS - SECURED LOANS - MORTGAGE OF PROPERTY OUTSIDE INDIA (TERM LOAN)	ELMO	-	-	-	-	-	-	-	-	-	-	-	-
E16	DEPOSITS - DEPOSIT WITH SCHEDULED BANKS, F I s ( INCL. BANK BALANCE AWAITING INVESTMENT) CCIL RBI	ECDB	2,246	9	0.4%	0.4%	3,328	83	2.5%	2.5%	5,049	234	4.6%	4.6%
E17	DEPOSITS - CDS WITH SCHEDULED BANKS	EDCD	19,776	183	0.9%	0.9%	25,841	473	1.8%	1.8%	30,744	799	2.6%	2.6%
E18	DEPOSITS - REPO / REVERSE REPO	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
E19	DEPOSIT WITH PRIMARY DEALERS DULY RECOGNISED BY RESERVE BANK OF INDIA	EDPD	-	-	-	-	-	-	-	-	-	-	-	-
E20	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
E21	COMMERCIAL PAPERS	ECAP	-	-	-	-	-	-	-	-	-	-	-	-
E22	APPLICATION MONEY	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
E23	PERPETUAL DEBT INSTRUMENTS OF TIER I AND II CAPITAL ISSUED BY PSU BANKS	EUPD	-	-	-	-	-	-	-	-	-	-	-	-
E24	PERPETUAL DEBT INSTRUMENTS OF TIER I AND II CAPITAL ISSUED BY NON-PSU BANKS	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
E25	PERPETUAL NON-CUM. P.SHARES AND REDEEMABLE CUMULATIVE P.SHARES OF TIER 1 AND 2 CAPITAL ISSUED BY PSU	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
E26	PERPETUAL NON-CUM. P.SHARES AND REDEEMABLE CUMULATIVE P.SHARES OF TIER 1 AND 2 CAPITAL ISSUED BY NON	EPPS	-	-	-	-	-	-	-	-	-	-	-	-
E27	FOREIGN DEBT SECURITIES (INVESTED PRIOR TO IRDA REGULATIONS)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
E28	MUTUAL FUNDS - GILT / G SEC / LIQUID SCHEMES	EGMF	87,254	706	0.8%	0.8%	94,728	1,506	1.6%	1.6%	69,478	1,256	1.8%	1.8%
E29	MUTUAL FUNDS - (UNDER INSURERS PROMOTER GROUP)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
E30	NET CURRENT ASSETS (ONLY IN RESPECT OF ULIP BUSINESS)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
E31	ADDITIONAL TIER I (BASEL III COMPLIANT) PERPETUAL BONDS - PRIVATE BANKS	EAPB	8,000	182	2.3%	2.3%	8,000	362	4.5%	4.5%	8,000	360	4.5%	4.5%
E32	DEBT CAPITAL INSTRUMENTS ( DCI-BASLE III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
E33	PASSIVELY MANAGED EQUITY ETF ( NON PROMOTER GROUP)	EETF	-	-	-	-	-	-	-	-	-	-	-	-

**FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT**

Statement as on: September 30, 2021  
Statement of Investment and Income on Investment  
Periodicity of Submission: Quarterly

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>4</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>4</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>4</sup>	Net Yield (%) <sup>2</sup>
	<b>(d) Other Investments</b>													
F01	BONDS - PSU - TAXABLE	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
F02	BONDS - PSU - TAX FREE	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
F03	EQUITY SHARES (INCL. PSU & UNLISTED)	OESH	2,675	95	3.6%	3.6%	2,541	95	3.8%	3.8%	1,160	-	-	-
F04	EQUITY SHARES (PSUS AND UNLISTED)	OEPJ	532	-	0.0%	0.0%	532	-	0.0%	0.0%	532	-	-	-
F05	EQUITY SHARES (INCL. EQUITY RELATED INSTRUMENTS) - PROMOTER GROUP	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
F06	DEBENTURES	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
F07	DEBENTURES / BONDS/ CPS / LOANS ETC. - (PROMOTER GROUP)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
F08	COMMERCIAL PAPERS	OACP	-	-	-	-	-	-	-	-	-	-	-	-
F09	PREFERENCE SHARES	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
F10	VENTURE FUND	OVMF	-	-	-	-	-	-	-	-	-	-	-	-
F11	SHORT TERM LOANS (UNSECURED DEPOSITS)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
F12	TERM LOANS (WITHOUT CHARGE)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
F13	MUTUAL FUNDS -DEBT /INCOME/SERIAL PLANS/LIQUID SCHEMES	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
F14	MUTUAL FUNDS - (UNDER INSURERS PROMOTER GROUP)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
F15	PASSIVELY MANAGED EQUITY ETF (NON PROMOTER GROUP)	OETF	-	-	-	-	-	-	-	-	-	-	-	-
F16	DERIVATIVE INSTRUMENTS	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
F17	SECURITISED ASSETS	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
F18	INVESTMENT PROPERTIES - IMMOVABLE	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
F19	SEBI APPROVED ALTERNATE INVESTMENT FUND ( CATEGORY I)	OQFA	482	15	3.0%	3.0%	472	36	7.5%	7.5%	416	27	6.5%	6.5%
F19	SEBI APPROVED ALTERNATE INVESTMENT FUND ( CATEGORY II)	OQFB	710	-	0.0%	0.0%	709	-	0.0%	0.0%	543	-	-	-
F20	RECLASSIFIED APPROVED INVESTMENT -DEBT	ORAD	10,000	337	3.4%	3.4%	10,230	588	5.8%	5.8%	15,063	694	4.6%	4.6%
F21	RECLASSIFIED APPROVED INVESTMENT - EQUITY	ORAE	1,796	-	0.0%	0.0%	1,678	21	1.3%	1.3%	698	-	-	-
	<b>Total *</b>		<b>1,734,285</b>	<b>32,233</b>	<b>1.9%</b>	<b>1.9%</b>	<b>1,716,900</b>	<b>61,949</b>	<b>3.6%</b>	<b>3.6%</b>	<b>1,243,915</b>	<b>46,963</b>	<b>3.78%</b>	<b>3.78%</b>

**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time  
<sup>1</sup> Based on daily simple Average of Investments  
<sup>2</sup> Yield netted for Tax  
<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown  
<sup>4</sup> FORM shall be prepared in respect of each fund.  
<sup>5</sup> YTD Income on investment shall be reconciled with figures in P&L and Revenue account  
<sup>6</sup> Investment Regulations, as amended from time to time, to be referred