

**FORM NL-12 & 12A -INVESTMENT SCHEDULE**

(₹ in Lakhs)

|   | Particulars  | NL -12                         |                                | NL -12A                        |                                | Total                          |                                |
|---|--|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
|   |  | Shareholders                   |                                | Policyholders                  |                                |                                |                                |
|   |  | As at<br>September 30,<br>2021 | As at<br>September 30,<br>2020 | As at<br>September 30,<br>2021 | As at<br>September 30,<br>2020 | As at<br>September<br>30, 2021 | As at<br>September<br>30, 2020 |
|   | <b>LONG TERM INVESTMENTS</b>   |                                |                                |                                |                                |                                |                                |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 98,039                         | 94,285                         | 380,487                        | 399,707                        | 478,526                        | 493,993                        |
| 2 | Other Approved Securities  | 51,458                         | 26,847                         | 199,706                        | 113,812                        | 251,163                        | 140,658                        |
| 3 | Other Investments  |                                |                                |                                |                                | -                              | -                              |
|   | (a) Shares   |                                |                                |                                |                                | -                              | -                              |
|   | (aa) Equity  | 12,290                         | 4,834                          | 47,695                         | 20,491                         | 59,985                         | 25,325                         |
|   | (bb) Preference  | -                              | 64                             | -                              | 273                            | -                              | 337                            |
|   | (b) Mutual Funds   | 217                            | 184                            | 842                            | 780                            | 1,059                          | 963                            |
|   | (c) Derivative Instruments   | -                              | -                              | -                              | -                              | -                              | -                              |
|   | (d) Debentures/ Bonds  | 50,366                         | 40,238                         | 195,470                        | 170,581                        | 245,836                        | 210,819                        |
|   | (e) Other Securities (Bank Deposits)   | 49                             | 294                            | 192                            | 1,247                          | 241                            | 1,541                          |
|   | (f) Subsidiaries   | -                              | -                              | -                              | -                              | -                              | -                              |
|   | (g) Investment Properties-Real Estate  | -                              | -                              | -                              | -                              | -                              | -                              |
| 4 | Investments in Infrastructure and Housing                                      | 94,531                         | 76,571                         | 366,872                        | 324,608                        | 461,403                        | 401,179                        |
| 5 | Other than Approved Investments  | -                              | -                              | -                              | -                              | -                              | -                              |
|   | <b>TOTAL</b>   | <b>306,949</b>                 | <b>243,316</b>                 | <b>1,191,264</b>               | <b>1,031,499</b>               | <b>1,498,213</b>               | <b>1,274,815</b>               |
|   | <b>SHORT TERM INVESTMENTS</b>  |                                |                                |                                |                                |                                |                                |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 8,944                          | 3,576                          | 34,712                         | 15,160                         | 43,657                         | 18,736                         |
| 2 | Other Approved Securities  | 459                            | -                              | 1,780                          | -                              | 2,238                          | -                              |
| 3 | Other Investments  |                                |                                |                                |                                | -                              | -                              |
|   | (a) Shares   |                                |                                |                                |                                | -                              | -                              |
|   | (aa) Equity  | 929                            | -                              | 3,604                          | -                              | 4,532                          | -                              |
|   | (bb) Preference  | 34                             | -                              | 133                            | -                              | 168                            | -                              |
|   | (b) Mutual Funds   | 10,484                         | 16,177                         | 40,687                         | 68,580                         | 51,171                         | 84,757                         |
|   | (c) Derivative Instruments   | -                              | -                              | -                              | -                              | -                              | -                              |
|   | (d) Debentures/ Bonds  | 8,367                          | 5,916                          | 32,474                         | 25,080                         | 40,841                         | 30,996                         |
|   | (e) Other Securities (Commercial Papers and Certificate of Deposits)           | 4,480                          | 10,038                         | 17,387                         | 42,555                         | 21,867                         | 52,593                         |
|   | (f) Subsidiaries   | -                              | -                              | -                              | -                              | -                              | -                              |
|   | (g) Investment Properties-Real Estate  | -                              | -                              | -                              | -                              | -                              | -                              |
| 4 | Investments in Infrastructure and Housing                                      | 10,824                         | 3,527                          | 42,009                         | 14,952                         | 52,833                         | 18,479                         |
| 5 | Other than Approved Investments  | -                              | -                              | -                              | -                              | -                              | -                              |
|   | <b>TOTAL</b>   | <b>44,521</b>                  | <b>39,234</b>                  | <b>172,785</b>                 | <b>166,327</b>                 | <b>217,306</b>                 | <b>205,561</b>                 |
|   | <b>GRNAD TOTAL</b>   | <b>351,470</b>                 | <b>282,550</b>                 | <b>1,364,049</b>               | <b>1,197,825</b>               | <b>1,715,519</b>               | <b>1,480,376</b>               |

**A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments**

(₹ in Lakhs)

|  | Particulars                     | Shareholders                   |                                | Policyholders                  |                                | Total                          |                                |
|--|---------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
|  |                                 | As at<br>September 30,<br>2021 | As at<br>September 30,<br>2020 | As at<br>September 30,<br>2021 | As at<br>September 30,<br>2020 | As at<br>September<br>30, 2021 | As at<br>September<br>30, 2020 |
|  |                                 |                                |                                |                                |                                |                                |                                |
|  | <b>Long Term Investments--</b>  |                                |                                |                                |                                |                                |                                |
|  | Book Value                      | 294,443                        | 238,299                        | 1,142,726                      | 1,010,228                      | 1,437,169                      | 1,248,527                      |
|  | market Value                    | 304,584                        | 249,434                        | 1,182,084                      | 1,057,436                      | 1,486,669                      | 1,306,871                      |
|  | <b>Short Term Investments--</b> |                                |                                |                                |                                |                                |                                |
|  | Book Value                      | 33,109                         | 23,057                         | 128,494                        | 97,746                         | 161,603                        | 120,804                        |
|  | market Value                    | 33,358                         | 23,247                         | 129,462                        | 98,553                         | 162,821                        | 121,800                        |