Name of the Program: BBA

Course Code: BBA 4.2.2

Name of the Course: FINANCIAL MARKETS & SERVICES

<b>Course Credits</b>	No. of Hours per Week	Total No. of Teaching Hours
4 Credits	4 Hrs.	56 Hrs.

**Pedagogy:** Classroom lectures and Tutorials

### Course Outcomes: On successful completion of the course, the Students will be able to:

- Understand the financial system, Institutions, financial markets and services.
- Analyse the concepts relevant to Indian financial market and relevance.
- understand concept of financial services, types and functions.
- Understand the types of financial Instruments.
- Demonstrate an understanding the functioning of stock markets.

Syllabus:	Hours
Module No. 1: Overview of Financial System	08

Introduction to Financial System – Features, Constituents of Financial System; Financial Institutions; Financial Services; Financial Markets and Financial Instruments.

## Module No. 2: Financial Institutions 16

Characteristics of Financial Institutions, Broad Categories – Money Market Institutions and Capital Market Institutions. Objectives and Functions of Industrial Finance Corporation of India, Industrial Development Bank of India, State Financial Corporations, Industrial Credit and Investment Corporation of India, EXIM Bank of India, National Small Industrial Development Corporation, National Industrial Development Corporation, RBI Measures for NBFCs.

### Module No. 3: Financial Services 12

Financial Services – Meaning, Objectives, Functions, Characteristics; Types of Financial Services - Merchant Banking – Functions and Operations, Leasing, Mutual Funds, Venture Capital & Credit Rating.

# Module No. 4: Financial Markets and Instruments

Meaning and Definition, Role and Functions of Financial Markets, Constituents of Financial Markets; Money Market Instruments, Capital Market and Instruments; SEBIguidelines for Listing of Shares and Issue of Commercial Papers.

### **Module No. 5: Stock Markets**

10

Meaning of Stock, Nature and Functions of Stock Exchange; Stock Market Operations - Trading, Settlement and Custody (Brief discussion on NSDL & CSDL); Brief discussion of BSE, NSE and OTCEI.

### **Skill Developments Activities:**

- Visit any financial institution and prepare a report regarding its structure, functions and performance.
- Analyze the ratings given by any credit rating agency, for at least 5 companies.
- Collect information on NASDAQ, Nifty, Sensex and write brief report on the same.
- Identify a company of your choice and record its share prices for one month.

#### Text Books:

- 1. L.M. Bhole, Financial Institutions & Markets, McGraw Hill
- 2. Khan, M.Y, Indian Financial System, McGraw Hill
- 3. Sharma, Meera, Management of Financial Institutions, Eastern Economy Edition
- **4.** Bhole and Mahakud, Financial Institutions and Markets Structure, Growth and Innovations, McGraw Hill
- **5.** Guruswamy, S., Financial Services and System, McGraw Hill
- 6. Edminister. R.O, Financial Institutions, Markets & Management, McGraw Hill
- 7. Khan. M.Y, Indian Financial System, Vikas Pub. House
- 8. H.R Machiraju, Indian Financial System, Vikas Pub. House
- 9. E.Gorden & K. Nataraj, Financial Markets and Services, HPH

Note: Latest edition of text books may be used.