

### Remarks on the second derivative test:

Recall that the second derivative test says the following:

1. If  $f'(a) = 0$  and  $f''(a) > 0$ , then  $f(x)$  has a local minimum at  $x = a$ .
2. If  $f'(a) = 0$  and  $f''(a) < 0$ , then  $f(x)$  has a local maximum at  $x = a$ .

**Note that the second derivative test does not cover the case when  $f'(a) = 0$  and  $f''(a) = 0$ .**

This is because  $f'(a) = 0$  and  $f''(a) = 0$  does not give us enough information about  $f(x)$  for us to be able to conclude anything.

Here are three examples of functions  $f(x)$ ,  $g(x)$ , and  $h(x)$ ; in each case, we will take  $a = 0$ .

Each function  $f(x)$ ,  $g(x)$ ,  $h(x)$  will satisfy  $f'(0) = 0$ ,  $g'(0) = 0$ ,  $h'(0) = 0$  and also  $f''(0) = 0$ ,  $g''(0) = 0$ ,  $h''(0) = 0$ .

However,  $f(x)$  will have a local minimum at  $x = 0$ ,  $g(x)$  will have a local maximum at  $x = 0$ , and  $h(x)$  will have neither a local maximum nor a local minimum at  $x = 0$ .

*Example 1.* Let  $f(x) = x^4$ .

We have  $f'(x) = 4x^3$ , so  $f'(0) = 4 * 0^3 = 0$ .

Also,  $f''(x) = 12x^2$ , so  $f''(0) = 12 * 0^2 = 0$ .

The graph of this function given below shows that  $f(x)$  has a local minimum at  $x = 0$ .

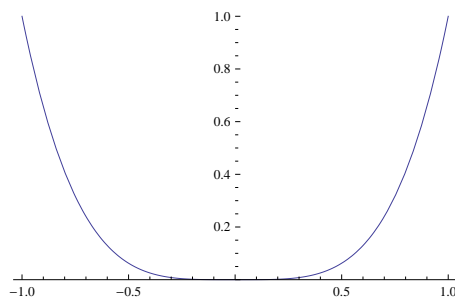


Figure 1:

*Example 2.* Let  $f(x) = -x^4$ .

We have  $f'(x) = -4x^3$ , so  $f'(0) = -4 * 0^3 = 0$ .

Also,  $f''(x) = -12x^2$ , so  $f''(0) = -12 * 0^2 = 0$ .

The graph of this function given below shows that  $f(x)$  has a local maximum at  $x = 0$ .

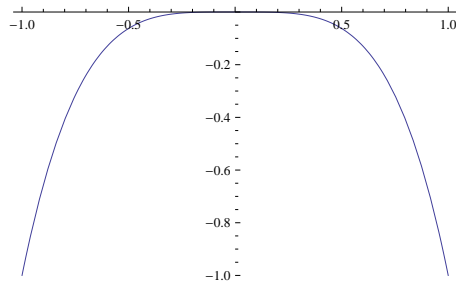


Figure 2:

*Example 3.* Let  $f(x) = 1$ .

We have  $f'(x) = 0$ , so  $f'(0) = 0$ .

Also,  $f''(x) = 0$ , so  $f''(0) = 0$ .

The graph of this function given below shows that  $f(x)$  has neither a local minimum nor a local maximum at  $x = 0$ .

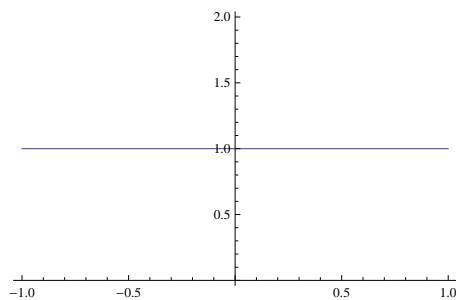


Figure 3:

These examples show that we cannot reliably use the second derivative test if  $f''(a) = 0$ . In such cases, it is better to use the first derivative test and construct a variation chart.