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Physically Secure Lightweight Anonymous User Authentication Protocol for Internet of Things using Physically Unclonable Functions

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ABSTRACT The emergence of the Internet of Things (IoT) as an umbrella for the Internet-enabled devices for various applications, such as smart home, smart city, smart grid and smart healthcare, and their immense economic potential necessitates a robust authentication mechanism that needs to be lightweight and suitable for real-time applications. Moreover, the physical integrity of these devices cannot be assumed as these are designed to be deployed in an unattended environment with minimum human supervision. A user authentication mechanism for IoT, in addition to guaranteeing user anonymity and un-traceability functionality requirements, must also be resistant to device physical capture and related misuses. In this paper, we present a novel lightweight anonymous user authentication protocol for IoT environment by utilizing “cryptographic one-way hash function”, “Physically Unclonable Function (PUF)” and “bitwise exclusive-OR (XOR)” operations. Through the broadly accepted Real-Or-Random (ROR) model-based formal security analysis, formal security verification using the automated software verification tool, namely “Automated Validation of Internet Security Protocols and Applications (AVISPA)” and also non-mathematical (informal) security analysis, we show that the proposed scheme has the ability to resist various well-known attacks that are crucial for securing IoT environment. Through a detailed comparative study, we show that the proposed scheme outperforms other existing related schemes in terms of computation and communication costs, and also security & functionality features. Finally, a practical demonstration of the proposed scheme using the NS3 simulation for the network performance parameters, such as “network throughput” and “end-to-end delay”, has been provided.

INDEX TERMS Internet of Things (IoT), mutual authentication, key agreement, physically unclonable function, security, AVISPA.

I. INTRODUCTION

We live in the age of information and a significant portion of the information is derived from the innumerable Internet connected smart devices and sensors that make up the Internet of Things (IoT). It is projected that by the year 2020, the number of IoT devices will approach fifty billion [1]. This exponential growth in popularity of IoT devices, partly driven by the cultural shift of preference of smart (Internet enabled) consumer appliances, exposes a huge attack surface for the adversaries to exploit the information. Without adequate addressable of the concern regarding the security and privacy of the vast amount of sensitive data that is expected to flow through these IoT networks, popular consumer deployment of these technologies will be untenable [2]. The economic potential alone provides the impetus to develop robust authentication mechanism for IoT architecture. Fig. 1 describes a generalized IoT architecture.

The authors in [3] defined the objectives of IoT that bridges between the physical world and the computer-based systems unlocking great economic welfare, accuracy, and efficiency with minimal human action. Through this definition, IoT subsumes the wireless sensor networks (WSNs) domain. The authentication problem in IoT architecture is quite similar to the problems addressed for WSNs. Thus, the lessons learned developing anonymous authentication schemes for WSNs carry over to the IoT architecture. However, one difference between a typical IoT device and a typical WSN sensor is that generally the IoT device is more complex and expensive. Consequently, it is quite conceivable that an IoT device can have replaceable subsystems. The current standard threat model (defined in Section I-B) ensures that the stolen credentials from one system cannot be utilized to compromise the security of unrelated devices. But, in light of reusable modular IoT devices, a new attack must also be considered, such as impersonation of compromised devices. An adversary can extract the credentials from a physically captured smart device and using these credentials the adversary can impersonate the captured smart devices. As the users and gateway nodes will use the very same credentials to verify the identity of the device, this impersonating device cannot be also detected. In this scenario, even if the rest of the network is not compromised, the user who connects to the spurious devices will expose him/herself to the adversary. To get around a similar problem of stolen user credentials, a widely accepted approach is to incorporate user biometric into the authentication scheme. Analogously, we need to employ some sort of device biometric. Physically Unclonable Functions (PUFs) support such a functionality. In this paper, we present a novel physically secure lightweight anonymous authentication protocol for IoT using PUFs.

A. NETWORK MODEL

In this paper, we follow a similar network model to that presented in [4], [5]. The IoT architecture is composed of disjoint sub-networks consisting of multiple IoT smart devices operating as sensors or actuators, that are connected over

the public Internet. The smart devices are accessed through their respective gateway node (*GWN*) over a heterogeneous network. The authorized users, prior to enjoying services of a smart device (*SD*), must register with the corresponding *GWN*. The registered mobile users (*MUs*) can mutually authenticate with a smart device *SD* through the *GWN* in order to negotiate a session key for accessing the device real-time data. A standard security requirement for authentication is that it must support anonymity and intractability for both *MU* and *SD* [3].

B. THREAT MODEL

The authors in [3] defined the security requirements and also a threat model related to IoT ecosystems. In our work, we adhere to the broadly accepted Dolev-Yao (DY) threat model [6]. Under DY-threat model, an adversary \mathcal{A} will have complete control over the communication media. Thus, \mathcal{A} can eavesdrop upon, alter, delete and even forge messages that are transmitted through the communication media. Additionally, it is assumed that through power analysis attacks [7], \mathcal{A} can extract the sensitive data stored in a lost or stolen smart card. Furthermore, it is within \mathcal{A} 's capacity to physically capture some IoT smart devices as the IoT devices can be deployed in some unattended environment, such as in some IoT applications including healthcare and surveillance, and \mathcal{A} can extract the credentials stored in those captured devices. We work under the assumption that the *GWN*s are being physically secured under locking systems and thus, the *GWN*s are considered to be trusted entities in the IoT environment [8].

This proposed scheme is also based on the CK-adversary model [9]. The CK-adversary model is a stronger threat model and it is considered as the current *de facto* standard in modeling key-exchange protocols [10]. Under the CK-adversary model, the adversary \mathcal{A} , in addition to all capabilities of the adversary under the DY model, can compromise secure information like session state, private and session keys. Thus, the key-exchange protocols need to guarantee that in the event of ephemeral (short-term) secret leakage, the effect on the security of session keys established among the communicating entities in an authenticated key-exchange protocol should be minimal [11].

C. ORGANIZATION OF THE PAPER

The organization of the paper is as follows. We present the theoretical background relevant to the work in Section II. A short review of the relevant authentication schemes from the existing literature is presented in Section III. The proposed scheme is presented in IV with the detailed description of all the phases. In Section V, we provide the rigorous security analysis of the proposed scheme through the formal security analysis and verification using ROR model and AVISPA (verification) tool and also the informal analysis. We then present a comparative study showcasing the strength of the proposed scheme in Section VI with related existing schemes. A simulation study for the practical impact of the proposed scheme

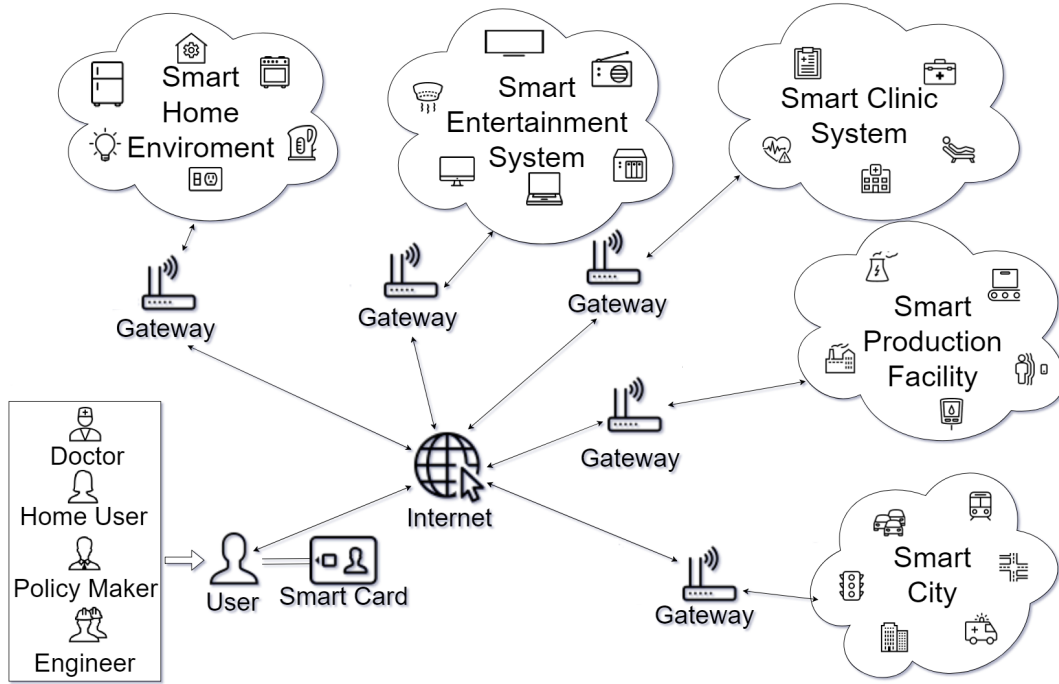


FIGURE 1: A generalized IoT architecture

through NS3 simulation is presented in Section VII. Finally, we draw the conclusions in Section VIII.

II. THEORETICAL BACKGROUND

In this section, we provide a short description of the theoretical background that is essential in this paper.

A. ONE-WAY CRYPTOGRAPHIC HASH FUNCTION

One-way hash functions are extensively applied for data integrity. Cryptographic one-way hash functions are designed in such a way that they should be highly sensitive to even slight perturbations to the input. For example, even for two very similar inputs with little difference, the outputs are produced in such a way that they cannot be correlated to each other. Formally, a “collision-resistant one-way hash function” can be defined as follows [8].

Definition 1: Let $h: \{0,1\}^* \rightarrow \{0,1\}^n$ denote a one-way hash function. Upon receiving a variable length input, $h(\cdot)$ gives a fixed-size output of n bits, called the message digest or hash output. If $Adv_A^{hash}(t)$ is defined as an adversary \mathcal{A} 's advantage in detecting hash collision in runtime t , $Adv_A^{hash}(t) = Pr[(x_1, x_2) \in_R \mathcal{A} : x_1 \neq x_2 \text{ and } h(x_1) = h(x_2)]$, where $Pr[X]$ is the probability of a random event X , and x_1 & x_2 are strings that are randomly selected by \mathcal{A} . An (ϕ, t) -adversary \mathcal{A} attacking a hash collision of $h(\cdot)$ means that with maximum execution time t , $Adv_{(\mathcal{A})}^{HASH}(t) \leq \phi$.

B. PHYSICALLY UNCLONABLE FUNCTION

The Physically Unclonable Functions (PUFs) are designed to map an input uniquely to an output based on the physical microstructure of a device. An input-output pair together

is known as a challenge-response pair and it is unique to each individual PUF circuit. A PUF circuit must exhibit the following properties [12]:

- The output of a PUF circuit must depend on the physical microstructure of the system.
- The output of a PUF must be unpredictable.
- The PUF circuit must be easy to evaluate as well as to implement.
- The PUF circuit must be unclonable.

As the output of PUF is dependent on the physical characteristics, any alteration to the system will change in the PUF output. It is further assumed that it is impossible to tamper the communication between PUF and its host device as in pointed out in [13].

Definition 2: A PUF, say (PUF_1) , is secure, if for two input challenges $C_1, C_2 \in \{0,1\}^k$, it produces output responses $R_1, R_2 \in \{0,1\}^k$ with at least d_1 variation, and for any two different PUFs (PUF_1, PUF_2) an input challenge C_1 should produce distinct output responses $R_1, R_2 \in \{0,1\}^k$ with at least d_2 variation. In other words,

$$Pr[HD(PUF_1(C_1), PUF_1(C_2)) > d_1] = 1 - \varepsilon,$$

$$Pr[HD(PUF_1(C_1), PUF_2(C_1)) > d_2] = 1 - \varepsilon,$$

where ε is a negligibly small value, C_1 and C_2 are challenges randomly selected by \mathcal{A} , HD defines the Hamming distance, and d_1 and d_2 are the error tolerance thresholds for PUF.

C. FUZZY EXTRACTOR

Even though now-a-days a PUF circuits can be developed with a high degree of reliability, noise in PUF remains an

important issue. The authors in [14] recommended the fuzzy extractor method [15] for this purpose. The fuzzy extractor is compromised of two methods, namely 1) probabilistic generation function $Gen(\cdot)$ and 2) deterministic re-production function $Rep(\cdot)$, that are defined below.

- *Gen*: For a challenge-response pair, say $PUF(C_i) = Res_i$, $Gen(\cdot)$ outputs a tuple comprising of a (secret) key R_i and helper data hd_i , that is, $Gen(Res_i) = (R_i, hd_i)$.
- *Rep*: Given a PUF output Res'_i , $Rep(\cdot)$ can recover the original secret key R_i with the help of the helper data hd_i provided the Hamming distance between the original PUF output Res_i and current PUF output Res'_i does not exceed a pre-defined error tolerance threshold value et . Thus, $Rep(Res'_i, hd_i) = R_i$.

One of the estimations on error tolerance threshold values provided by Cheon *et al.* [16] is provided as follows. If the Hamming distance between the original PUF output Res_i and current PUF output Res'_i is T and the number of bits in input string is in_b , then $et = \frac{T}{in_b}$.

III. LITERATURE SURVEY

Lamport [17] introduced a seminal on remote user authentication in 1981. Later, in the works by several other authors in [18], [19], [20] the concepts of mutual authentication, smart-card based authentication, user anonymity were introduced, which became the standard requirements for later authentication schemes. Wong *et al.* [21] proposed a hash-based lightweight user authentication scheme for the resource-constrained wireless sensor networks (WSNs). Das [22] presented an authentication scheme for the resource-constrained WSNs. However, the schemes [21], [22] were vulnerable to several attacks as identified and improved upon by the authors in [23], [24], [25].

Madhusudan and Mittal [26] identified that user anonymity is one of the ten desirable attributes for an ideal password authentication scheme. Turkanovic *et al.* [27] discussed WSN as a component of IoT and pointed out that user anonymity and un-traceability are the widely considered integral requirements for authentication schemes in WSN. Alqasen [28] concluded that owing to the diverse and heterogeneous nature of IoT, specific investigation into the security challenges for IoT architecture is also necessary.

Granjal *et al.* [29] identified “privacy, anonymity, liability and trust” as fundamental for the social acceptance of most of the future IoT applications. Mineraud *et al.* [30] analyzed malwares and highlighted inherent design flaws in the emerging IoT infrastructure and its associated security challenges. Makhdoom *et al.* [31], while discussing the threats to IoT, identified that user anonymity vis-a-vis id management as the key security and privacy challenges. Thus, user anonymity and un-traceability are necessary requirements for designing an authentication scheme for IoT environment.

Jeong *et al.* [32] proposed a “One-Time Password (OTP)” based approach for user authentication in smart home environment. Unfortunately, this scheme fails to assure

mutual authentication, user anonymity, and untraceability. Hunumanathappa *et al.* [33] also presented a pass-phrase based approach to ensure device attestation during user authentication for ubiquitous computing devices.

Santoso *et al.* [34] proposed a user authentication scheme for smart homes using “Elliptic Curve Cryptography (ECC)” technique. However, this scheme fails in ensuring anonymity and untraceability [8]. Porambage *et al.* [35] designed a scalable authentication protocol suitable for heterogeneous resource-constrained WSNs. Turkanovic *et al.* [27] also presented a computationally efficient scheme for authentication in WSNs. Chang and Le [36] proposed two schemes for user authentication: 1) the first one is based on bitwise XOR and hash operations, and 2) the second scheme additionally uses ECC apart from bitwise XOR and hash operations to provide high security. Unfortunately, Das *et al.* [37] demonstrated that both the schemes were vulnerable to several known attacks, including man-in-the-middle, offline password guessing and replay attacks. Wazid *et al.* [5] also observed that the scheme [27] was vulnerable to known attacks like privileged insider, offline password guessing, user impersonation. They proposed a lightweight authenticated key management protocol for a generic IoT network.

Jie *et al.* [38] proposed an RFID based multilayer architecture for smart homes. Song *et al.* [39] studied [38] and observed the certificate authority in smart devices were too computationally expensive for practical applications. As an alternative, they presented an authentication scheme based on hash functions and chaotic systems. Challa *et al.* [4] designed an authentication scheme intended for IoT deployment applying ECC signatures. Gope and Hwang [40] presented another lightweight scheme for user authentication in real-time WSN. However, their scheme does not support sensor node anonymity.

Amin *et al.* [41] presented a user authentication protocol for distributed cloud computing environment composed of IoT devices. However, Challa *et al.* [42] and Li *et al.* [43] demonstrated several security pitfalls in the scheme [41], such as privileged-insider and impersonation attacks. Apart from these, Amin *et al.*’s scheme [41] fails to guarantee some important requirements like user anonymity and forward secrecy properties.

Dhillon *et al.* [44] presented a multi-factor remote user authentication scheme for IoT environment. Chuang *et al.* [45] classified continuous authentication protocols for IoT into user-to-device model and device-to-device model, and presented a lightweight continuous authentication protocol for device-to-device authentication in IoT. Unfortunately, the schemes of Dhillon *et al.* [44] and Chuang *et al.* [45] fail to provide user and sensing device anonymity, respectively, and both also fail to satisfy untraceability property.

Zhou *et al.* [46] proposed an anonymous authentication scheme using only hash function and XOR operations. Unfortunately, their scheme is vulnerable to replay attack, and it also fails to preserve forward secrecy goal. The authors in [47] presented a detailed survey on various authentication

schemes including user authentication for IoT. In addition to the individual vulnerabilities, all the discussed schemes fail to prevent impersonation of compromised smart devices (sensor nodes) using the extracted credentials.

Gope *et al.* [12] used physically unclonable function (PUF) to physically secure sensor nodes in industrial wireless sensor networks. Devadas *et al.* [13] proposed PUF-based RFID integrated circuits for anti-counterfeiting application. Since then PUFs have been widely used in securing RFID systems. Gope *et al.* [14] discussed the issue of noise in PUF output and its implication on authentication schemes. They utilized fuzzy extractor technique [15] to circumvent this issue. Additionally, their scheme has high communication overhead, and it is only secure under the DY threat model and cannot resist ephemeral secret leakage attack under the current CK-adversary model (discussed in the threat model in Section I-B).

In this article, we aim to propose a novel secure lightweight anonymous authentication protocol for IoT environment using PUFs that can prevent impersonation of compromised smart devices in addition to resisting other well-known attacks needed for IoT security.

IV. THE PROPOSED SCHEME

In this section, we present our proposed scheme that is a physically secure lightweight user authentication scheme in the IoT environment based on PUFs. The proposed scheme is divided into five distinct phases, namely 1) setup, 2) device enrollment, 3) user registration, 4) mutual authentication and session key agreement, and 5) maintenance. In Table 1, we define the important notations and their significance that are used in the proposed scheme.

TABLE 1: Important notations and their significance

Symbol	Description
ID_u, SC_u	Identity and smart card of a user U , respectively
PW_u, BIO_u	Password & biometrics of U , respectively
ID_d, GWN	Identity of an IoT smart device SD and the gateway node, respectively
LTS	Long term secret of the GWN
$Gen(\cdot), Rep(\cdot)$	Fuzzy extractor probabilistic generation & reproduction functions, respectively
et	Error tolerance threshold applied in $Rep(\cdot)$
$PUF(\cdot)$	Physically unclonable function
(C_i, R_i)	i^{th} challenge response pair associated with $PUF(\cdot)$ rectified with fuzzy extractor
p	A sufficiently large prime number (160 bit number)
\mathbb{Z}_p	A finite (prime) field, $\mathbb{Z}_p = \{0, 1, \dots, p-1\}$
$h(\cdot)$	Collision-resistant cryptographic one-way hash function
$\ , \oplus$	String concatenation and bitwise exclusive (XOR) operations, respectively

The detailed description of all the phases related to the proposed scheme is provided in the following subsections.

A. SETUP PHASE

During the setup phase, the gateway node (GWN) defines the system parameters: a collision-resistant cryptographic one way hash function $h(\cdot)$, a physically unclonable function

$PUF(\cdot)$, and the fuzzy extractor generator and reproduction functions $Gen(\cdot)$ and $Rep(\cdot)$. The GWN selects a prime field \mathbb{Z}_p and also generates a long term secret key $LTS \in \mathbb{Z}_p$. After the setup, the system is ready for operations like device enrollment, user registration and other phases.

B. DEVICE ENROLLMENT PHASE

The IoT smart devices can be dynamically enrolled into the system in *offline mode* anytime after setup through the steps described below.

- *Step 1.* The GWN defines the identity ID_d of each smart device SD . The GWN then generates C_d , a set of n random challenges to be used during authentication for SD , where $C_d = \{C_{d_1}, \dots, C_{d_n}\}$.¹
- *Step 2.* The GWN computes the response set Res_d for the challenge set C_d as $Res_{d_i} = PUF(C_{d_i}), \forall i \in [1, n]$. The sets R_d and hd_d are then calculated by passing Res_d through the fuzzy generator function $Gen(\cdot)$, where $R_d = \{R_{d_i} | i \in [1, n]\}$, $hd_d = \{hd_{d_i} | i \in [1, n]\}$ and $\{R_{d_i}, hd_{d_i}\} = Gen(Res_{d_i}), \forall i \in [1, n]$.
- *Step 3.* The GWN stores the credentials $\{ID_d, C_d, hd_d\}$ along with the public system parameters $h(\cdot), PUF(\cdot), Gen(\cdot), Rep(\cdot), et$ (an error tolerance threshold parameter used in $Rep(\cdot)$ function) and \mathbb{Z}_p in the memory of SD prior to its deployment in the IoT environment. On the other hand, the GWN saves each device SD 's identity ID_d and the challenge response sets C_d and R_d along with the public system parameters $h(\cdot), PUF(\cdot), Gen(\cdot), Rep(\cdot), et$, and \mathbb{Z}_p , and also its own long term secret key LTS in its database.

The device enrollment steps have been summarized in Figure 2.

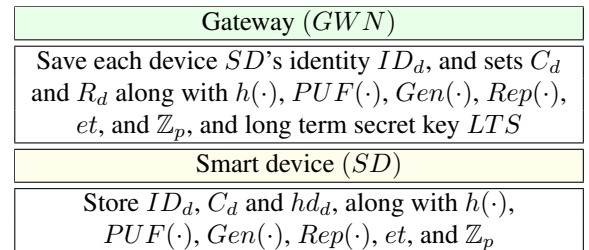


FIGURE 2: Summary of device enrollment

C. USER REGISTRATION PHASE

The users can register into the system anytime after the above setup phase in *offline mode* through secure channel with the following steps.

- *Step 1.* A user U picks his/her identity ID_u and sends it as the registration request message to the gateway node GWN through a secure channel.

¹Additional random challenges are unnecessary in order to handle the issue of desynchronization or denial of service (DoS) attack as described in [12], because our proposed scheme does not require synchronization between the GWN and the smart devices.

- **Step 2.** On receiving the registration request, the *GW**N* computes $k_{u_{pre}} = h(ID_u \parallel LTS)$ using the user *U*'s identity ID_u and its long term secret key *LTS*, and also generates a dynamic identity DID_d for the *U*. Additionally, to handle the issue of desynchronization or DoS attack as described in [12], the *GW**N* generates $PID_d = \{pid_0, \dots, pid_s\}$ as a set of unlinkable pseudo-identities for the user *U*. Finally, the *GW**N* issues a smart card SC_u containing the information $DID_u, k_{u_{pre}}, PID_u$ and the system parameters $param = \{h(\cdot), PUF(\cdot), Gen(\cdot), Rep(\cdot), et, \mathbb{Z}_p\}$ for the user *U* through a secure channel.
- **Step 3.** On receiving the smart card SC_u , the user *U* selects his/her password PW_u and imprints the biometric β_u , and calculates $\gamma_u = PUF(\beta_u)$. Using the fuzzy generator function $Gen(\cdot)$, the smart card SC_u generates the biometric token α_u and the corresponding reproduction parameter hd_u . Next, SC_u calculates $IPB = h(ID_u \parallel \alpha_u \parallel PW_u)$ and saves it in its memory. SC_u also encrypts hd_u as $hd_u^* = hd_u \oplus h(\alpha_u \parallel ID_u \parallel PW_u)$, DID_u as $DID_u^* = DID_u \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$ and PID_u as $PID_u^* = \{pid_i^* | i \in [1, s]\}$ where $pid_i^* = pid_i \oplus h(\alpha_u \parallel PW_u \parallel ID_u \parallel i)$. Finally, the values $DID_u, k_{u_{pre}}$ and PID_u in the smart card SC_u are replaced with $DID_u^*, k_{u_{pre}}^*$ and PID_u^* , respectively to complete the user registration process.

The summary of the user registration procedure has been presented in Figure 3.

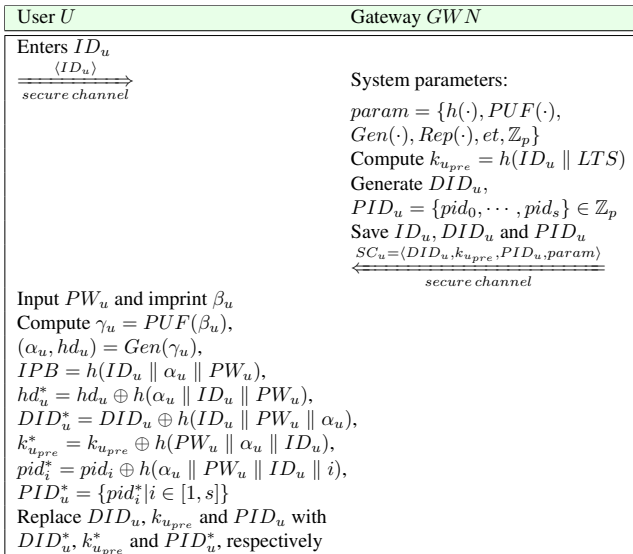


FIGURE 3: Summary of user registration

D. MUTUAL AUTHENTICATION AND SESSION KEY AGREEMENT PHASE

A registered user *U* can access an enrolled smart device *SD* using the following steps described below. In this phase, both the user *U* and smart device *SD* can mutually authenticate in presence of the *GW**N* and also negotiate a session key *SK*.

- **Step 1.** The user *U* provides his/he identity ID_u , password PW_u and imprints biometric β_u at the sensor of a specific terminal. The smart card SC_u then decrypts the biometric reproduction parameter hd_u from hd_u^* using ID_u and PW_u . By passing β_u and hd_u to the fuzzy reproduction function $Rep(\cdot)$, SC_u reconstructs the biometric token α_u . SC_u then calculates $IPB' = h(ID_u \parallel \alpha_u \parallel PW_u)$. If $IPB \neq IPB'$, the login attempt will fail. Otherwise, $DID_u = DID_u^* \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$ and $k_{u_{pre}} = k_{u_{pre}}^* \oplus h(PW_u \parallel \alpha_u \parallel ID_u)$ are recovered. SC_u also selects two random nonces $k_{u1}, k_{u2} \in \mathbb{Z}_p$ from which the short term keys $k_{ud} = h(k_{u1} \parallel ID_u)$ and $k_{ug} = h(k_{u2} \parallel ID_u)$ are computed. Next, the values $Q_{ud} = k_{ud} \oplus h(ID_u \parallel k_{u_{pre}})$, $Q_{ug} = k_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$, $DID_d = ID_d \oplus h(k_{ug})$ and $Auth_u = h(k_{ug} \parallel k_{ud} \parallel k_{u_{pre}} \parallel DID_d)$ are calculated. Note that ID_d and DID_d are the identity and the single-use dynamic identity of the smart device *SD*. Finally SC_u composes the login request message $M_1 = \langle DID_u, Q_{ug}, Q_{ud}, DID_d, Auth_u \rangle$ and sends it to the gateway node *GW**N* via open channel.
- **Step 2.** On receiving the login request message M_1 , the *GW**N* check for DID_u in its database. If DID_u is not found, the login request request is rejected. Otherwise, it looks up for the corresponding user identity ID_u and calculates $k_{u_{pre}} = h(ID_u \parallel LTS)$. The *GW**N* then recovers $k'_{ud} = Q_{ud} \oplus h(ID_u \parallel k_{u_{pre}})$ and $k'_{ug} = Q_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$ from Q_{ud} and Q_{ug} , respectively. The *GW**N* also calculates $Auth'_u = h(k'_{ug} \parallel k'_{ud} \parallel k_{u_{pre}} \parallel DID_d)$ and checks it against the received $Auth_u$. If the values match, the *GW**N* updates DID_u with $DID'_u = h(DID_u \parallel k_{ug})$ in its database and recovers $ID_d = DID_d \oplus h(k'_{ug})$. The *GW**N* looks up for the challenge response pair (C_{di}, R_{di}) using ID_d from the sets C_d and R_d , respectively. If it is so, the challenge-response pair (C_{di}, R_{di}) is purged from C_d and R_d . Now, the *GW**N* computes $Q_g = k'_{ud} \oplus R_{di}$, $Auth_{R_d} = h(k'_{ug} \parallel R_{di})$ and $Auth_g = h(C_{di} \parallel R_{di} \parallel k'_{ud} \parallel Auth_{R_d})$. The *GW**N* composes the authentication request message $M_2 = \langle C_{di}, Q_g, Auth_{R_d}, Auth_g \rangle$ and sends it to the accessed smart device *SD* for which the user *U* wants to access the real-time data, via an open channel.
- **Step 3.** On receiving the authentication request message M_2 , the designated smart device *SD* looks up for hd_{di} corresponding to C_{di} from the sets C_d and hd_d . Using hd_d , the $PUF(\cdot)$ and the fuzzy reproduction function $Rep(\cdot)$, the smart device *SD* calculates $R'_{di} = rep(PUF(C_{di}), hd_{di})$, $k'_{ud} = Q_g \oplus R'_{di}$ and $Auth'_g = h(C_{di} \parallel R'_{di} \parallel k'_{ud} \parallel Auth_{R_d})$, and then checks $Auth'_g$ against the received $Auth_g$. If these match, the *SD* selects a random nonce $k_d \in \mathbb{Z}_p$ from which the short term key $k_{du} = h(k_d \parallel ID_d)$ is derived. *SD* then computes $Q_d = R'_{di} \oplus k_{du}$, $Q_{R'_d} = h(k'_{ud}) \oplus R'_{di}$, the session key shared with the user *U* as $SK = h(k_{du} \parallel$

User U	Gateway GWN	Smart Device SD
$\langle IPB, DID_u^*, k_{u_{pre}}^*, hd_u^* \rangle$	$\langle (C_{d_i}, R_{d_i}), DID_u, LTS \rangle$	$\langle ID_d, \{hd_{d_i}\} \rangle$
<p>Enter ID_u & PW_u and imprint β_u Calculate $\gamma_u = PUF(\beta_u)$, $hd_u = hd_u^* \oplus h(ID_u \parallel PW_u)$, $\alpha_u = rep(\gamma_u, hd_u)$, $IPB' = h(ID_u \parallel \alpha_u \parallel PW_u)$ If $IPB \neq IPB'$ terminate Calculate $DID_u = DID_u^* \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$, $k_{u_{pre}} = k_{u_{pre}}^* \oplus h(PW_u \parallel \alpha_u \parallel ID_u)$ Select $k_{u1}, k_{u2} \in \mathbb{Z}_p$ Compute $k_{ud} = h(k_{u1} \parallel ID_u)$, $k_{ug} = h(k_{u2} \parallel ID_u)$, $Q_{ud} = k_{ud} \oplus h(ID_u \parallel k_{u_{pre}})$, $Q_{ug} = k_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$, $DID_d = ID_d \oplus h(k_{ug})$, $Auth_u = h(k_{ug} \parallel k_{ud} \parallel k_{u_{pre}} \parallel DID_d)$ $M_1 = \langle DID_u, Q_{ug}, Q_{ud}, DID_d, Auth_u \rangle$</p> <p>Check for DID_u Lookup $ID_u \leftarrow DID_u$ Compute $k_{u_{pre}} = h(ID_u \parallel LTS)$, $k'_{ud} = Q_{ud} \oplus h(ID_u \parallel k_{u_{pre}})$, $k'_{ug} = Q_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$, $Auth'_u = h(k'_{ug} \parallel k'_{ud} \parallel k_{u_{pre}} \parallel DID_d)$, If $Auth'_u \neq Auth_u$ terminate Update $DID'_u = h(DID_u \parallel k_{ug})$ Compute $ID_d = DID_d \oplus h(k'_{ug})$ Lookup $C_{d_i}, R_{d_i} \leftarrow ID_d$ Compute $Q_g = k'_{ud} \oplus R_{d_i}$, $Auth_{R_d} = h(k'_{ug} \parallel R_{d_i})$, $Auth_g = h(C_{d_i} \parallel R_{d_i} \parallel k'_{ud} \parallel Auth_{R_d})$ $M_2 = \langle C_{d_i}, Q_g, Auth_{R_d}, Auth_g \rangle$</p> <p>Compute $R'_{d_i} = rep(PUF(C_{d_i}), hd_{d_i})$, $k''_{ud} = Q_g \oplus R'_{d_i}$, $Auth'_g = h(C_{d_i} \parallel R'_{d_i} \parallel k''_{ud} \parallel Auth_{R_d})$ If $Auth'_g \neq Auth_g$ terminate Select $k_d \in \mathbb{Z}_p$ Calculate $k_{du} = h(k_d \parallel ID_d)$, $Q_d = R'_{d_i} \oplus k_{du}$, $Q_{R_d} = h(k''_{ud}) \oplus R'_{d_i}$, $SK = h(k_{du} \parallel k''_{ud} \parallel R'_{d_i})$, $Auth_d = h(SK \parallel R'_{d_i})$, $HQ_R = h(R'_{d_i} \parallel Q_{ud}) \oplus Auth_{R_d}$ $M_3 = \langle HQ_R, Q_d, Q_{R_d}, Auth_d \rangle$</p> <p>Compute $R''_{d_i} = Q_{R_d} \oplus h(k_{ud})$, $Auth'_{R_d} = HQ_R \oplus h(R''_{d_i} \parallel Q_{ud})$ If $Auth'_{R_d} \neq h(k_{ug} \parallel R''_{d_i})$ terminate Compute $k'_{du} = Q_d \oplus R''_{d_i}$, $SK' = h(k'_{du} \parallel k_{ud} \parallel R''_{d_i})$ If $Auth_d = h(SK \parallel R''_{d_i})$ $SK = SK'$, $DID'_u = h(DID_u \parallel k_{ug})$, $DID_u^* = DID'_u \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$</p>		

FIGURE 4: Summary of mutual authentication and session key exchange

$k''_{ud} \parallel R'_{d_i}$, $Auth_d = h(SK \parallel R'_{d_i})$ and $HQ_R = h(R'_{d_i} \parallel Q_{ud}) \oplus Auth_{R_d}$. Finally, SD composes the

authentication reply message $M_3 = \langle HQ_R, Q_d, Q_{R_d}, Auth_d \rangle$ and sends it to U via an open channel.

- **Step 4.** On receiving the authentication reply message M_3 , SC_u computes $R''_{d_i} = Q_{R_d} \oplus h(k_{ud})$ and $Auth'_{R_d} = HQ_R \oplus h(R''_{d_i} \parallel Q_{ud})$, and checks if $Auth'_{R_d}$ matches against the value $h(k_{ug} \parallel R''_{d_i})$. If this is satisfied, SC_u computes $k'_{du} = Q_d \oplus R''_{d_i}$ and the session key shared with the accessed smart device SD as $SK' = h(k'_{du} \parallel k_{ud} \parallel R''_{d_i})$. SC_u then checks if the received $Auth_d$ is equal to $h(SK \parallel R''_{d_i})$. If it is so, SC_u sets $SK = SK'$ and $DID'_u = h(DID_u \parallel k_{ug})$, and updates DID_u^* in its memory with $DID'_u \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$ for the subsequent authentication sessions in future.

The mutual authentication and session key agreement procedure has been summarized in Figure 4.

Remark 1: If the gateway node GWN fails to find DID_u in its database, it will reject the authentication request. This can occur in case of desynchronization between the GWN and a user U . In this case, the user U can reattempt with a pseudo-identity $pid_i \in PID_u$. Of course, once it is successfully authenticated with pid_i , the GWN and U will be resynchronized, and U can use DID_u as normal for subsequent authentication sessions. Additionally, if the check $Auth_d = h(SK \parallel R''_{d_i})$ fails, U should realize that the synchronization between the GWN and U has been lost, and he/she should use a pseudo-identity for the next authentication request. Note that the pseudo-identity pid_i is valid for a single use and must be purged from PID_u after use.

E. MAINTENANCE PHASE

In this section, we describe the auxiliary procedures that are necessary for the uninterrupted long-term operation of the scheme.

1) Password and Biometric Update Phase

The procedure for updating the password and biometric information of a legal registered user U under the proposed scheme is discussed in this section.

The user U first logs into the system as described in Section IV-D using Step 1. After that U enters the new password PW_u^{new} and imprints new biometric information β_u^{new} , and calculates $\gamma_u^{new} = PUF(\beta_u^{new})$. Note that if the user U does not want to update his/her current biometrics with new biometrics, β_u^{new} will be treated as old β_u . However, it is necessary for the user U to update his/her current password with new password.

Using the fuzzy generator function $Gen(\cdot)$, the smart card SC_u generates a new biometric token α_u^{new} and the corresponding reproduction parameter hd_u^{new} . Then SC_u recalculates $IPB^{new} = h(ID_u \parallel \alpha_u^{new} \parallel PW_u^{new})$, $hd_u^* = hd_u^{new} \oplus h(ID_u \parallel PW_u^{new})$, $DID_u^* = DID_u \oplus h(ID_u \parallel PW_u^{new} \parallel \alpha_u^{new})$, $k_{u_{pre}}^* = k_{u_{pre}} \oplus h(PW_u^{new} \parallel \alpha_u^{new} \parallel ID_u)$, $PID_u^* = PID_u \oplus h(\alpha_u^{new} \parallel PW_u^{new} \parallel ID_u)$ and commits the updated values in its memory. It is worth

noticing that this phase is completely executed locally without further involving the *GWN*.

The password and biometric update procedure have been summarized in Figure 5.

User <i>U</i>	Smart card <i>SC_u</i>
	$\langle DID_u^*, k_{u_{pre}}^*, hd_u^* \rangle$
Enter ID_u & PW_u and imprint β_u	Calculate $\gamma_u = PUF(\beta_u)$, $hd_u = hd_u^* \oplus h(ID_u \parallel PW_u)$, $\alpha_u = rep(\gamma_u, hd_u)$, $IPB' = h(ID_u \parallel \alpha_u \parallel PW_u)$ If $IPB \neq IPB'$ terminate Compute $DID_u = DID_u^* \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$, $k_{u_{pre}} = k_{u_{pre}}^* \oplus h(PW_u \parallel \alpha_u \parallel ID_u)$, $PID_u = PID_u^* \oplus h(\alpha_u \parallel PW_u \parallel ID_u)$
Enter new PW_u^{new} and imprint β_u^{new}	Calculate $(\alpha_u^{new}, hd_u^{new}) = Gen(\gamma_u^{new})$, $IPB^{new} = h(ID_u \parallel \alpha_u^{new} \parallel PW_u^{new})$, $hd_u^* = hd_u^{new} \oplus h(ID_u \parallel PW_u^{new})$, $DID_u^* = DID_u \oplus h(ID_u \parallel PW_u^{new} \parallel \alpha_u^{new})$, $k_{u_{pre}}^* = k_{u_{pre}} \oplus h(PW_u^{new} \parallel \alpha_u^{new} \parallel ID_u)$, $PID_u^* = PID_u \oplus h(\alpha_u^{new} \parallel PW_u^{new} \parallel ID_u)$ Update values in <i>SC_u</i>

FIGURE 5: Summary of password and biometric update

2) Pseudo Identity Renewal Phase

As noted in Remark 1, the user *U* utilizes $pid_i \in PID_d$ to authenticate in case of desynchronization with the *GWN*. The set PID_d is finite and will eventually get exhausted. Before this happens, the user *U* must acquire additional pseudo identities. The following steps are essential to achieve this goal.

- *Step 1.* The user *U* logs into the system as described in Section IV-D (see Step 1), and other steps that are very similar. *U* then composes the message $M_{pid_1} = \langle DID_u, Q_{ug}, Auth_u \rangle$ which is sent to the gateway node *GWN* via open channel.
- *Step 2.* On receiving M_{pid_1} , the *GWN* checks for DID_u in its database. If DID_u is not found, the authentication request is rejected. Otherwise, the *GWN* looks up for the corresponding user identity ID_u and calculates $k_{u_{pre}} = h(ID_u \parallel LTS)$, and also recovers $k'_{ug} = Q_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$ from Q_{ug} . The *GWN* then calculates $Auth'_u = h(k'_{ug} \parallel k_{u_{pre}})$ and checks it against the received $Auth_u$. If the values match, the *GWN* updates DID_u with $DID'_u = h(DID_u \parallel k_{ug})$ and generates $PID'_d = \{pid_0, \dots, pid_s\}$ as a new set of unlinkable pseudo-identities for the user *U*. The *GWN* saves DID'_u as DID_u and appends PID'_d to PID_d in its memory. Now, the *GWN* computes $EPID = PID'_u \oplus h(k'_{ug} \parallel k_{u_{pre}} \parallel ID_u)$, $Auth'_g = h(PID'_u \parallel k'_{ud} \parallel ID_u)$, and finally composes the message $M_{pid_2} = \langle EPID, Auth'_g \rangle$ to send it to the user *U* via open channel.

- *Step 3.* On receiving M_{pid_2} , *U* computes $PID'_u = EPID' \oplus h(k'_{ug} \parallel k_{u_{pre}} \parallel ID_u)$, $Auth'_g = h(PID'_u \parallel k'_{ud} \parallel ID_u)$ and checks it against the received $Auth'_g$. If the values match, *SC_u* updates $DID'_u = h(DID_u \parallel k_{ug})$, prepends PID_u to PID'_u and calculates $PID_u^* = PID'_u \oplus h(\alpha_u \parallel PW_u \parallel ID_u)$ and $DID_u^* = DID'_u \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$. Finally, *SC_u* commits the updated DID_u^* and PID_u^* into its memory.

The pseudo identity renewal procedure has been summarized in Figure 6.

User <i>U</i>	Gateway <i>GWN</i>
Enter ID_u & PW_u and imprint β_u	Enter ID_u & PW_u and imprint β_u
Compute $\gamma_u = PUF(\beta_u)$, $hd_u = hd_u^* \oplus h(ID_u \parallel PW_u)$, $\alpha_u = rep(\gamma_u, hd_u)$, $IPB' = h(ID_u \parallel \alpha_u \parallel PW_u)$ If $IPB \neq IPB'$ terminate Compute $DID_u = DID_u^* \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$, $k_{u_{pre}} = k_{u_{pre}}^* \oplus h(PW_u \parallel \alpha_u \parallel ID_u)$, Select $k_{u1} \in \mathbb{Z}_p$ Compute $k_{ud} = h(k_{u1} \parallel ID_u)$, $k_{ug} = h(k_{u2} \parallel ID_u)$, $Q_{ug} = k_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$, $Auth_u = h(k_{ug} \parallel k_{u_{pre}})$ $M_{pid_1} = \langle DID_u, Q_{ug}, Auth_u \rangle$	Check for DID_u Lookup $ID_u \leftarrow DID_u$ Compute $k_{u_{pre}} = h(ID_u \parallel LTS)$, $k'_{ug} = Q_{ug} \oplus h(k_{u_{pre}} \parallel ID_u)$, $Auth'_u = h(k'_{ug} \parallel k_{u_{pre}})$ If $Auth'_u \neq Auth_u$ terminate Compute $DID'_u = h(DID_u \parallel k'_{ug})$ Generate $PID'_d = \{pid_0, \dots, pid_s\} \in \mathbb{Z}_p$ Update DID_u, PID_u Compute $EPID = PID'_u \oplus h(k'_{ug} \parallel k_{u_{pre}} \parallel ID_u)$, $Auth'_g = h(PID'_u \parallel k'_{ud} \parallel ID_u)$ $M_{pid_2} = \langle EPID, Auth'_g \rangle$
Compute $PID'_u = EPID' \oplus h(k'_{ug} \parallel k_{u_{pre}} \parallel ID_u)$ If $Auth'_g \neq Auth'_g$ terminate Compute $PID_u^* = PID'_u \oplus h(\alpha_u \parallel PW_u \parallel ID_u)$, $DID_u^* = h(DID_u \parallel k_{ug})$, $DID_u^* = DID'_u \oplus h(ID_u \parallel PW_u \parallel \alpha_u)$ Update values in <i>SC_u</i>	$\leftarrow M_{pid_2} = \langle EPID, Auth'_g \rangle$

FIGURE 6: Summary of pseudo identity renewal

3) Challenge Response Renewal Phase

The challenge-response pairs $(C_{di} \in C_d, R_{di} \in R_d)$ utilized for mutual authentication between a smart device *SD* and gateway node *GWN* are finite and will also eventually get exhausted. Before this situation occurs, the gateway node *GW* must acquire additional challenge-response pairs for future operation. The steps involved in this process are described below.

- *Step 1.* The *GWN* looks up for a challenge response pair (C_{di}, R_{di}) using ID_d from the sets C_d and R_d .

GWN then selects a nonce $k_g \in \mathbb{Z}_p$ from which the short term key $k_{gd} = h(k_g \parallel ID_d)$ is computed. The GWN also generates C_d^{new} as a set of another n random challenges to be used for future authentication, computes $Q_g = k_{gd} \oplus R_{d_i}$, $Auth_g = h(C_{d_i} \parallel R_{d_i} \parallel k_{gd} \parallel C_d^{new})$ and sends the message $M_{(c,r)_1} = \langle C_{d_i}, C_d^{new}, Auth_g \rangle$ to the smart device SD via open channel.

- **Step 2.** On receiving $M_{(c,r)_1}$, SD looks up for hd_{d_i} corresponding to C_{d_i} from the sets C_d and hd_d . Using hd_d , the $PUF(\cdot)$ and the fuzzy reproduction function $Rep(\cdot)$, SD calculates $R'_{d_i} = Rep(PUF(C_{d_i}), hd_{d_i})$, $k'_{gd} = Q_g \oplus R'_{d_i}$ and $Auth'_g = h(C_{d_i} \parallel R'_{d_i} \parallel k'_{gd} \parallel C_d^{new})$ and checks $Auth'_g$ against the received $Auth_g$. If these values match, SD computes the response set Res_d^{new} for the challenge set C_d^{new} as $Res_d^{new} = PUF(C_d^{new}) \forall i \in [1, n]$. The sets R_d^{new} and hd_d^{new} are then calculated by passing Res_d^{new} through the fuzzy generator function $Gen(\cdot)$ a $(R_d^{new}, hd_d^{new}) = Gen(Res_d^{new}) \forall i \in [1, n]$. SD appends the challenge C_d^{new} , the corresponding set of reconstruction data hd_d^{new} to C_d and hd_d , respectively. Finally, SD computes $ER_d = R_d^{new} \oplus k'_{gd}$, $Auth_d = h(R_d^{new} \parallel k'_{gd})$, composes another message $M_{(c,r)_2} = \langle ER_d, Auth_d \rangle$ and sends it to the gateway node GWN via open channel.
- **Step 3.** On receiving $M_{(c,r)_2}$, the GWN computes $R_d^{new} = ER_d \oplus k_{gd}$ and $Auth'_g = h(R_d^{new} \parallel k_{gd})$. If the calculated $Auth'_g$ is equal to $Auth_g$, the GWN appends C_d^{new} and R_d^{new} to C_d and R_d , respectively. The GWN commits the updated values its database.

The challenge-response renewal procedure has been summarized in Figure 7.

Gateway GWN	Smart Device SD
Lookup $C_{d_i}, R_{d_i} \leftarrow ID_d$ Select $k_g \in \mathbb{Z}_p$, $C_d^{new} = \{C_{d_1}, \dots, C_{d_n}\} \in \mathbb{Z}_p$, Compute $k_{gd} = h(k_g \parallel ID_d)$, $Q_g = k_{gd} \oplus R_{d_i}$, $Auth_g = h(C_{d_i} \parallel R_{d_i} \parallel k_{gd} \parallel C_d^{new})$, $M_{(c,r)_1} = \langle C_{d_i}, C_d^{new}, Auth_g \rangle$	Compute $R'_{d_i} = Rep(PUF(C_{d_i}), hd_{d_i})$, $k'_{gd} = Q_g \oplus R'_{d_i}$, $Auth'_g = h(C_{d_i} \parallel R'_{d_i} \parallel k'_{gd} \parallel C_d^{new})$ If $Auth'_g \neq Auth_g$ terminate Compute $Res_d^{new} = PUF(C_d^{new})$, $R_d^{new}, hd_d^{new} = gen(Res_d^{new})$ Save C_d^{new} and hd_d^{new} Compute $ER_d = R_d^{new} \oplus k'_{gd}$, $Auth_d = h(R_d^{new} \parallel k'_{gd})$, $M_{(c,r)_2} = \langle ER_d, Auth_d \rangle$
Compute $R_d^{new} = ER_d \oplus k_{gd}$, $Auth'_g = h(R_d^{new} \parallel k_{gd})$ If $Auth'_g \neq Auth_g$ terminate Save ID_d, C_d^{new} and R_d^{new}	

FIGURE 7: Summary of challenge response renewal

V. SECURITY ANALYSIS

In Section V-A, we utilize the broadly-accepted Real-Or-Random (ROR) model [48] to formally analyze the security of the proposed scheme. In Section V-B, through the formal security verification using AVISPA simulation tool [49] we verify that the proposed scheme is free from man-in-the-middle and replay attacks. Additionally, in Section V-C, we also informally demonstrate that the proposed scheme is also secure against various other well-known attacks.

A. FORMAL SECURITY ANALYSIS THROUGH REAL-OR-RANDOM MODEL

In this section, we describe the Real-Or-Random (ROR) model proposed in [48], and then utilize it for formal security analysis.

Participants: Let π_U^u, π_{GWN}^g and π_{SD}^d denote the u^{th}, g^{th} and d^{th} instances corresponding to a user U , gateway node GWN and smart device SD , respectively. These are also called oracles [8], [36].

Partnering: Two instances π_U^u and π_{SD}^d are said to be partnered if and only if the following “two conditions are fulfilled simultaneously: 1) the communication session id sid is common between them and 2) partial transcript of all message exchanged between them are unique”.

Freshness: π_U^u and π_{SD}^d are freshly provided that the session key SK between U and SD has not been divulged to an adversary \mathcal{A} .

Adversary: Under the ROR model, the adversary \mathcal{A} is assumed have complete control over the communication channel. Consequently, \mathcal{A} can eavesdrop, alter, delete and even insert fabricated messages during communication. Additionally, the adversary \mathcal{A} can execute the following queries.

- $Execute(\pi^u, \pi^d)$: By execution of this query, \mathcal{A} can intercept all the transmitted messages among U , GWN and SD . Due to intercepting nature, an eavesdropping attack is modeled under this query.
- $Send(\pi^d, m)$: Execution of this query enables \mathcal{A} to send a message, say msg to its participating instance π^d , and also to receive a response message in reply. This query is treated as an active attack.
- $CorruptSC(\pi^u)$: By executing this query, \mathcal{A} can learn the credentials $\{IPB, DID_u^*, k_{u_{pre}}^*, and hd_u^*\}$ stored in a legal user U 's stolen or lost smart card, SC_u .
- $CorruptSD(\pi^d)$: By executing this query, \mathcal{A} can extract the credentials $\{ID_d, hd_{d_i}\}$ from a captured IoT sensing device SD . It is also assumed that the queries $CorruptSC$ and $CorruptSD$ provide the weak corruption model [36]. Consequently, a participant instance's short-term keys and the internal data are not corrupted.
- $Test(\pi^u, \pi^d)$: This query determines the semantic security of the established session key SK between U and SD following the indistinguishability in the ROR model [48]. At first \mathcal{A} performs an unbiased coin toss c . The outcome of this coin toss decides the result of the $Test$ query. If SK is fresh, π^u or π^d produces SK upon the satisfaction of the condition $c = 1$ or a random number

for the fulfillment of the condition $c = 0$. In all other cases, it returns a null value.

Semantic security of session key: According to the ROR model, \mathcal{A} must distinguish between an instance's actual session key and a random key. \mathcal{A} can perform the repeated number of $Test(\cdot)$ queries to π^u or π^d , and saves the result of $Test$ into bit b . \mathcal{A} wins the game if $b = b'$, where b' is a randomly guessed bit. The advantage of \mathcal{A} in breaking the semantic security of the proposed authenticated key agreement (AKE), say \mathcal{P} in time t is defined as $Adv_{\mathcal{P}, \mathcal{A}}^{AKE}(t) = |2 \cdot Pr[SUCCESS] - 1|$, where $SUCCESS$ represents an event such that \mathcal{A} wins the game.

Random oracle: All communicating entities in the proposed scheme including \mathcal{A} will have access to the secure PUF, $PUF(\cdot)$ as well as a collision-resistant hash function, $h(\cdot)$. Both are modeled as random oracles, say \mathcal{HO} .

Security proof: By utilizing the definition of the secure PUF and the collision-resistant hash function from Section II, and acknowledging that passwords obey Zipf's law [50] and the above described ROR model, Theorem 1 provides the semantic security of the proposed scheme.

Theorem 1: Let \mathcal{A} be a polynomial time adversary running against the proposed scheme \mathcal{P} under the ROR model, in which user-chosen passwords follow the Zipf's law [50], and l_1 and l_2 denote the number of bits in the biometric secret key α_u and the secret user identity ID_u , respectively. If $Adv_{\mathcal{P}, \mathcal{A}}^{AKE}$ denotes \mathcal{A} 's advantage in breaking \mathcal{P} 's semantic security in order to derive the session key between a legal registered user U and an accessed IoT sensing device SD , then

$$Adv_{\mathcal{P}, \mathcal{A}}^{AKE}(t) \leq \frac{q_h^2}{|Hash|} + \frac{q_P^2}{|PUF|} + 2 \max\{C' \cdot q_s', \frac{q_s}{2^{l_1}}, \frac{q_s}{2^{l_2}}\},$$

where q_h , q_P and q_s are the number of hash, PUF and $Send$ queries, respectively, $|Hash|$ and $|PUF|$ define the range spaces of $h(\cdot)$ and $PUF(\cdot)$, respectively, and C' and s' are the Zipf's parameters [50].

Proof 1: We follow our proof analogous to the proof that presented in [12], [51], [52]. G_0 - G_4 are the five sequential games are defined. The event $SUCCESS_i$ denotes that the adversary \mathcal{A} can successfully guess the bit c in the game G_j , $j \in [0, 4]$. The games are described in detailed as follows.

- **Game G_0 :** This game corresponds to an actual (real) attack on the proposed scheme, \mathcal{P} by \mathcal{A} . Since bit c is guessed at the beginning of G_0 , it is follows that

$$Adv_{\mathcal{P}, \mathcal{A}}^{AKE}(t) = |2 \cdot Pr[SUCCESS_0] - 1|. \quad (1)$$

- **Game G_1 :** This game models as an eavesdropping attack, where \mathcal{A} can query $Execute(\pi^u, \pi^d)$ oracle to intercept the messages $M_1 = \langle DID_u, Q_{ug}, Q_{ud}, DID_d, Auth_u \rangle$, $M_2 = \langle C_{d_i}, Q_g, Auth_{R_d}, Auth_g \rangle$ and $M_3 = \langle HQ_R, Q_d, Q_{R'_d}, Auth_d \rangle$ during login & authentication process. Afterwards \mathcal{A} can also query $Test$ oracle and determine if the result is the actual session key SK or a random number. Note that in the proposed scheme, $SK = h(k_{du} \parallel k'_{ud} \parallel R'_{d_i}) = h(k'_{du} \parallel k_{ud} \parallel R'_{d_i})$ is

the established session key between a user U and a smart device SD . To compute SK , \mathcal{A} requires the parallel knowledge of short term secrets (k_{u1} and k_d) as well as long term secrets (ID_u and ID_d). As these values are unknown to \mathcal{A} , only the intended user U and smart device SD can compute SK . Therefore, \mathcal{A} 's probability of winning the game G_1 is not increased through an eavesdropping attack. Consequently, we have the following result:

$$Pr[SUCCESS_1] = Pr[SUCCESS_0]. \quad (2)$$

- **Game G_2 :** Under this game, the $Send$ and hash queries are simulated. This game is modeled as an active attack, where \mathcal{A} can attempt to fool a legitimate participant into accepting a modified message. \mathcal{A} is permitted to make repeated queries to the random oracles to examine the presence of hash collisions. However, since all the messages M_1 , M_2 and M_3 contain random nonces, no hash coalition occurs when \mathcal{A} queries the $Send$ oracle with the help of $h(\cdot)$. It is worth noticing that both the games G_1 and G_2 are "indistinguishable" except the $Send$ and hash queries are simulated in G_2 . Thus, by using the birthday paradox results, we have

$$|Pr[SUCCESS_2] - Pr[SUCCESS_1]| \leq \frac{q_h^2}{2|Hash|}. \quad (3)$$

- **Game G_3 :** This game is as an extension to G_2 where the simulation of PUF queries are included in this game. Using analogous argument provided in G_2 , the secure $PUF(\cdot)$ function property (discussed in Section II-B) gives the following result:

$$|Pr[SUCCESS_3] - Pr[SUCCESS_2]| \leq \frac{q_P^2}{2|PUF|}. \quad (4)$$

- **Game G_4 :** This is the final game and it is considered as an extension of the previous game G_3 , which incorporates the $CorruptSC$ and $CorruptSD$ queries simulation. Through the queries to these oracles, \mathcal{A} can extract $\langle IPB, DID_u^*, k_{upre}^*, hd_u^* \rangle$ and $\langle ID_d, \{hd_{d_i}\} | \forall i \in [1, n] \rangle$ from the smart card of the user U and from the smart device SD , respectively. For non-compromised IoT smart device SD , both ID_d and the set $\{hd_{d_i}\}$ are also distinct. However, the probability of guessing the biometric secret key σ_i of l_1 bits, and secret identity of l_2 bits, are approximately $\frac{1}{2^{l_1}}$ and $\frac{1}{2^{l_2}}$, respectively [53]. In addition, \mathcal{A} can leverage the Zipf's law on passwords [50] to guess the passwords. If we just consider trawling guessing attacks, the advantage of \mathcal{A} will be over 0.5 when $q_s = 10^7$ or 10^8 [50]. Furthermore, if we account for targeted guessing attacks where \mathcal{A} can utilize the target user's personal information, \mathcal{A} will have an advantage over 0.5 when $q_s \leq 10^6$ [50]. In practice, arbitrarily many wrong password attempts are not permitted in the system. In the absence of guessing

attacks, both the games G_3 and G_4 are identical. Thus, we have following result [51]:

$$|Pr[SUCCESS_4] - Pr[SUCCESS_3]| \leq \max\{C' \cdot q_s', \frac{q_s}{2^{l_1}}, \frac{q_s}{2^{l_2}}\}. \quad (5)$$

Finally, to win the game G_4 , \mathcal{A} needs to guess bit b' after querying the *Test* oracle. Thus, it is clear that

$$|Pr[SUCCESS_4] = \frac{1}{2}. \quad (6)$$

From Eqs. (1), (2) and (6), we have

$$\begin{aligned} \frac{1}{2} Adv_{\mathcal{P}, \mathcal{A}}^{AKE}(t) &= |Pr[SUCCESS_0] - \frac{1}{2}| \\ &= |Pr[SUCCESS_1] - \frac{1}{2}| \\ &= |Pr[SUCCESS_1] - |Pr[SUCCESS_4]|. \end{aligned} \quad (7)$$

Applying the triangular inequality and using Eqs. (3), (4) and (5), we obtain

$$\begin{aligned} &|Pr[SUCCESS_1] - |Pr[SUCCESS_4]| \\ &\leq |Pr[SUCCESS_1] - |Pr[SUCCESS_3]| \\ &\quad + |Pr[SUCCESS_3] - |Pr[SUCCESS_4]| \\ &\leq |Pr[SUCCESS_1] - |Pr[SUCCESS_2]| \\ &\quad + |Pr[SUCCESS_2] - |Pr[SUCCESS_3]| \\ &\quad + |Pr[SUCCESS_3] - |Pr[SUCCESS_4]| \\ &\leq \frac{q_h^2}{2|Hash|} + \frac{q_p^2}{2|PUF|} \\ &\quad + \max\{C' \cdot q_s', \frac{q_s}{2^{l_1}}, \frac{q_s}{2^{l_2}}\}. \end{aligned} \quad (8)$$

Finally, by solving Eqs. (7) and (8), we obtain the required result:

$$Adv_{\mathcal{P}, \mathcal{A}}^{AKE}(t) \leq \frac{q_h^2}{|Hash|} + \frac{q_p^2}{|PUF|} + 2 \max\{C' \cdot q_s', \frac{q_s}{2^{l_1}}, \frac{q_s}{2^{l_2}}\}.$$

B. FORMAL SECURITY VERIFICATION THROUGH AVISPA SIMULATION

In this section, we perform the formal security verification on the proposed scheme using the broadly-accepted AVISPA tool [49].

AVISPA is a push button tool for the automated validation of security protocols. AVISPA implements the Dolev-Yao (DY) threat model [6] to test if a security protocol is safe or unsafe against replay & man-in-the-middle attacks. The security protocol to be analyzed in AVISPA requires to be implemented under the role-oriented language, known as “High Level Protocol Specification Language (HLPSSL)” [54]. A built-in translator, called HLPSSL2IF, converts HLPSSL code to the “Intermediate Format (IF)”. The IF is then passed into one of the four available backends for AVISPA to produce the “Output Format (OF)”.

The four backends in AVISPA are as follows [49]:

- The first backend is “On-the-fly Model-Checker (OFMC) that does several symbolic techniques to explore the state space in a demand-driven way”.
- The second backend is the “CL-AtSe (Constraint-Logic-based Attack Searcher) that provides a translation from any security protocol specification written as transition relation in intermediate format into a set of constraints which are effectively used to find whether there are attacks on protocols”.
- The third backend is the “SAT-based Model-Checker (SATMC) that builds a propositional formula which is then fed to a state-of-the-art SAT solver and any model found is translated back into an attack”.
- The fourth backend is the “TA4SP (Tree Automata based on Automatic Approximations for the Analysis of Security Protocols) that approximates the intruder knowledge by using regular tree languages”.

The OF has various sections as described below [49].

- **SUMMARY:** It mentions “whether the tested protocol is safe, unsafe, or whether the analysis is inconclusive”.
- **DETAILS:** It tells “a detailed explanation of why the tested protocol is concluded as safe, or under what conditions the test application or protocol is exploitable using an attack, or why the analysis is inconclusive”.
- **PROTOCOL:** This defines the “HLPSSL specification of the target protocol in IF”.
- **GOAL:** It is “the goal of the analysis which is being performed by AVISPA using HLPSSL specification”.
- **BACKEND:** It provides “the name of the back-end that is used for the analysis, that is, one of OFMC, CL-AtSe, SATMC, and TA4SP”.
- **Final section** includes “the trace of possible vulnerability to the target protocol, if any, along with some useful statistics and relevant comments”.

More details regarding AVISPA and HLPSSL can be found in [49].

The user registration, device enrollment, login & authentication phases for the proposed scheme are implemented in HLPSSL. In our implementation, three basic roles for a user U , the GWN and a smart device SD are defined in HLPSSL. The compulsory roles for the session and goal & environment are also defined in HLPSSL.

We then evaluate the proposed scheme against replay and man-in-the-middle attacks under the popular backends, OFMC and CL-AtSe using the SPAN, the Security Protocol ANimator for AVISPA tool [55]. Fig. 8 presents the simulation results showing that the proposed scheme is secure against replay & man-in-the middle attacks.

C. INFORMAL SECURITY ANALYSIS

In this section, through informal security analysis, we demonstrate the security features of the proposed scheme as well as its resilience against well-known attacks.

SUMMARY SAFE	SUMMARY SAFE
DETAILS BOUNDED_NUMBER_OF_SESSIONS	DETAILS BOUNDED_NUMBER_OF_SESSIONS
PROTOCOL /home/soumya/span//testsuite/results/IOT.if	PROTOCOL /home/soumya/span//testsuite/results/IOT.if
GOAL as specified	GOAL As specified
BACKEND OFMC	BACKEND CL-AtSe
STATISTICS TIME 62 ms parseTime 0 ms visitedNodes: 8 nodes depth: 3 plies	STATISTICS Analysed : 2 states Reachable : 0 state Translation: 0.12 seconds Computation: 0.00 seconds

FIGURE 8: The simulation results under OFMC and CL-AtSe back-ends

1) Attainment of Mutual Authentication

In the proposed authentication scheme, during the authentication phase the *GWN* establishes trust in the authenticity of *U* if it can look up its identity ID_u from its memory using the received DID_u . The check on $Auth_u$ ensures the integrity of the received message. *SD* on receiving the message $M_2 = \langle C_{d_i}, Q_g, Auth_{R_d}, Auth_g \rangle$ computes $Auth'_g$ and checks it against the received $Auth_g$. If the values match, *SD* can trust that the message is genuinely from the *GWN*. *U* on receiving the message $M_3 = \langle HQ_R, Q_d, Q_{R'_d}, Auth_d \rangle$, computes R''_{d_i} and $Auth'_{R_d}$ from the received values. If $Auth'_{R_d} \neq h(k_{ug} \parallel R''_{d_i})$, *U* authenticates *SD*. Thus, mutual authentication between the user *U* and the smart device *SD* is attained in the proposed scheme.

2) Attainment of Anonymity and Untraceability

An eavesdropping adversary \mathcal{A} can monitor the messages M_1 , M_2 and M_3 . However, none of these eavesdropped messages contain any identifying information for user or smart device in plaintext formats. Thus, the proposed scheme provides both user and smart device anonymity. Moreover, all of these messages are composed using random nonces and long-term secrets, and thus, these are dynamic in nature across different authentication sessions. Therefore, it is infeasible for \mathcal{A} to trace both the user and smart device across sessions. Thus, the proposed scheme preserves the untraceability property for user and smart device.

3) Attainment of Forward and Backward Secrecy

Assuming that \mathcal{A} can somehow learn the session key SK along with all its contributing secret values k_{u_1} , ID_u , k_d , ID_d and R_{d_i} under the CK-adversary model (as discussed in the threat model in Section I-B). All other values are for single use, and therefore, compromise of a particular session will not compromise the session keys of any sessions previously established or to be established in the future. Thus, the proposed scheme ensures forward & backward secrecy.

4) Resilience against Ephemeral Secret Leakage (ESL) Attack

In the proposed scheme, both a user *U* and a smart device *SD* establish a common session key $SK = h(k_{du} \parallel k_{ud} \parallel R_{d_i})$ during the execution of login & authentication phase, where k_{ud} is a secret comprising of a short term secret k_{u_1} and long term secret ID_u . Similarly, k_{du} is another secret comprising of a short term secret k_d and long term secret ID_d , and R_{d_i} is a long term single use secret.

The security of the session key SK is then based on the following two cases:

- *Case 1.* Assume that \mathcal{A} has the short term secret credentials k_{u_1} and k_d . Then, it is computationally infeasible for \mathcal{A} to calculate the session key SK without knowledge of the long term secret credentials.
- *Case 2.* If some or all of the long term secrets ID_u , ID_d and R_{d_i} are revealed to \mathcal{A} , it is also computationally infeasible for \mathcal{A} to calculate SK without short term secrets k_{u_1} and k_d .

Thus, \mathcal{A} can derive the valid session key SK only if both short and long term secrets are exposed at once. Hence, it is evident that the proposed scheme is resilient against ESL attack.

5) Resilience against Impersonation Attacks

Assume that an adversary \mathcal{A} attempts to impersonate a legitimate user *U*. As the pre-shared dynamic identity DID_U and the set pseudo-identities $\{PID_u\}$ are of single use, \mathcal{A} cannot composite the message $M_1 = \langle DID_u, Q_{ug}, Q_{ud}, DID_d, Auth_u \rangle$. Similarly, if \mathcal{A} attempts to impersonate the *GWN* by intercepting M_1 , generating the message $M_2 = \langle C_{d_i}, Q_g, Auth_{R_d}, Auth_g \rangle$ is computationally infeasible as \mathcal{A} does not have access to the challenge response pair (C_{d_i}, R_{d_i}) . Hence, \mathcal{A} will not succeed in composing $Auth_g = h(C_{d_i} \parallel R_{d_i} \parallel K_{ug} \parallel Auth_{R_d})$ that is consistent with C_{d_i} . Finally, if \mathcal{A} attempts to impersonate *SD* by generating $M_3 = \langle HQ_R, Q_d, Q_{R'_d}, Auth_d \rangle$, it will be computationally infeasible. As \mathcal{A} is not able to recreate R_{d_i} and $HQ_R = h(R'_{d_i} \parallel Q_{ud}) \oplus Auth_{R_d}$, $Q_{R'_d} = h(k'_{ud}) \oplus R'_{d_i}$ and $Auth_d = h(SK \parallel R'_{d_i})$, he or she cannot compose a consistent M_3 . Thus, the proposed scheme is resistant against impersonation attacks.

6) Resilience against Stolen Smart Card and Offline Guessing Attacks

Assume that an adversary \mathcal{A} extracts the secret credentials from a lost or stolen SC_i of a registered user *U* through power analysis attacks [7]. Then, \mathcal{A} will have the credentials IPB , DID_u^* , $k_{u_{pre}}^*$ and hd_u^* . But, as all of these values are secured with the secret identity ID_u , password PW_u and the biometric key α_u , \mathcal{A} needs simultaneous guessing of all three factors to compromise the security of the proposed scheme. Thus, it becomes a computationally infeasible problem for \mathcal{A} , and as a result, the proposed scheme is secure against offline guessing attacks in conjunction with the stolen smart card attack.

TABLE 2: Computation costs comparison

Scheme	User	Gateway node	Sensing device	Total cost
Our	$17T_h + T_f$ ≈ 71.575 ms	$8T_h$ ≈ 4 ms	$6T_h + T_f$ ≈ 66.075 ms	$31T_h + 2T_f$ ≈ 141.65 ms
Gope <i>et al.</i> [12]	$6T_h + 3T_f$ ≈ 192.225 ms	$9T_h$ ≈ 4.5 ms	$4T_h + 2T_f$ ≈ 128.15 ms	$19T_h + 5T_f$ ≈ 324.875 ms
Zhou <i>et al.</i> [46]	$10T_h$ ≈ 5 ms	$7T_h$ ≈ 3.5 ms	$19T_h$ ≈ 9.5 ms	$36T_h$ ≈ 18 ms
Wazid <i>et al.</i> [5]	$13T_h + 2T_{E_s} + T_f$ ≈ 86.975 ms	$5T_h + 4T_{E_s}$ ≈ 37.3 ms	$4T_h + 2T_{E_s}$ ≈ 19.4 ms	$22T_h + 8T_{E_s} + T_f$ ≈ 143.68 ms
Gope <i>et al.</i> [40]	$8T_h$ ≈ 4 ms	$9T_h$ ≈ 4.5 ms	$6T_h$ ≈ 3 ms	$23T_h$ ≈ 11.5 ms
Chang-Le [36]	$7T_h + 2T_m$ ≈ 129.65 ms	$9T_h$ ≈ 4.5 ms	$5T_h + 2T_m$ ≈ 128.65 ms	$21T_h + 4T_m$ ≈ 262.8 ms

7) Resilience against Privileged-Insider Attack

An adversary \mathcal{A} , who acts as a privileged-insider user of the GWN , can intercept the initial registration request information ID_u . Also, none of the authentication messages contains any value dependent on the secrecy of ID_u . Additionally, assuming that the privileged adversary attempts the previously discussed offline guessing attack with a stolen smart card, he or she will still need to simultaneously guess password PW_u and biometric key α_u . It is then a computationally infeasible task for \mathcal{A} too. Thus, the proposed scheme is secure against privileged-insider attack.

8) Resilience against Physical Capture of Smart Device

Suppose \mathcal{A} can physically capture some smart devices. Then, \mathcal{A} can extract all the secret credentials from the memory of a physically captured smart device SD compromising of the information $\{ID_d, C_d, hd_d\}$ from SD 's memory. However, as ID_d and $\{C_d\}$ are generated randomly, these are distinct and independent for all deployed smart devices. Hence, the compromised information does not help in computing the session keys among a user U and other non-compromised sensing devices SD' . Additionally, due to the use of $PUF(\cdot)$ in this authentication scheme, \mathcal{A} cannot even impersonate already compromised smart devices. This is because of the nature of $PUF(\cdot)$, \mathcal{A} cannot compute $\{R_d\}$ from $\{C_d, hd_d\}$, which is essential for generating the valid message $M_3 = \langle HQ_R, Q_d, Q_{R_d}, Auth_d \rangle$. Thus, the proposed scheme is unconditionally secure against physical capture of smart devices.

VI. COMPARATIVE STUDY

In this section, we preform a detailed comparative study of the proposed scheme in terms of security & functionality features, communication and computational overheads with other existing related schemes, such as the schemes proposed by Gope *et al.* [12], Zhou *et al.* [46], Wazid *et al.* [5] and Chang and Le [36]. For the scheme [36], we consider its ECC-based version as it is more secure than its basic version.

A. COMPUTATION COSTS COMPARISON

We use the notations T_{E_s} , T_m , T_f and T_h to denote the time needed for computing symmetric encryption/decryption, elliptic curve point (scalar) multiplication, fuzzy extractor op-

eration and hash operation, respectively. Based on experimental results reported in [56], [57], we have $T_{E_s} \approx 8.7$, $T_m \approx 63.075$, $T_f \approx T_m = 63.075$ and $T_h \approx 0.5$ milliseconds, respectively.

In the proposed scheme, during the login and authentication process, the user U , the GWN and the smart device SD need to perform $17T_h + T_f$, $8T_h$ and $6T_h + T_f$ operations, respectively. Thus, the total computation cost is $31T_h + 2T_f$, that requires approximately 141.65 ms. Table 2 summarizes the computational cost for the compared schemes. It is clear that the proposed scheme has a much lower computational overhead as compared to that for other schemes² with the exception of the schemes [40], [46]. However, both the schemes [40], [46] are two-factor authentication schemes with poor security & functionality features (see Table 4) and higher communication overhead (see Table 3).

TABLE 3: Communication costs comparison

Scheme	No. of bytes	No. of messages
Our	256	3
Gope <i>et al.</i> [12]	280	6
Zhou <i>et al.</i> [46]	732	3
Wazid <i>et al.</i> [5]	324	4
Gope <i>et al.</i> [40]	275	4
Chang-Le [36]	284	4

B. COMMUNICATION COSTS COMPARISON

In order to compute the communication overheads of the different schemes, we assume that the hash digest (assuming SHA-1 hash algorithm [58]) and identity to be 160 bits each, random nonce and PUF challenge-response pair each to be 128 bits long. For other schemes, we additionally assume the timestamp to be 32 bits long, ECC point to be 320 bits and a ciphertext block (assuming Advanced Encryption Standard (AES-128) symmetric encryption) to be 128 bits.

In the proposed scheme, three exchanged messages $M_1 = \langle DID_u, Q_{ug}, Q_{ud}, DID_d, Auth_u \rangle$, $M_2 = \langle C_d, Q_g, Auth_{R_d}, Auth_g \rangle$ and $M_3 = \langle HQ_R, Q_d, Q_{R_d}, Auth_d \rangle$ require $(160+160+160+160+160) = 800$ bits, $(128+160+$

²It should be noted that the scheme in [12], as presented by Gope *et al.*, assumes ideal PUFs. But, all other schemes account for noisy PUF/biometric for the sake of fairness. We have assumed that all PUFs and Biometric are processed through the corresponding fuzzy extractor. The values reported in Table 2 are account for use of fuzzy extractor.

TABLE 4: Security & functionality features comparison

Feature	Our	Gope et al. [12]	Zhou et al. [46]	Wazid et al. [5]	Gope et al. [40]	Chang-Le [36]
\mathcal{FR}_1	✓	✓	✓	✓	✓	✓
\mathcal{FR}_2	✓	✓	NA	✓	✗	✗
\mathcal{FR}_3	✓	✓	✓	✓	✓	✗
\mathcal{FR}_4	✓	✓	✓	✓	✗	✗
\mathcal{FR}_5	✓	✓	✓	✓	✓	✗
\mathcal{FR}_6	✓	✓	✓	✓	✓	✓
\mathcal{FR}_7	✓	✓	✓	✓	✓	✓
\mathcal{FR}_8	✓	✓	✓	✓	✓	✓
\mathcal{FR}_9	✓	✓	✗	✓	✓	✓
\mathcal{FR}_{10}	✓	✓	NA	✗	✗	✗
\mathcal{FR}_{11}	✓	✓	✓	✓	✗	✗
\mathcal{FR}_{12}	✓	✓	✗	✓	✓	✓
\mathcal{FR}_{13}	✓	✓	✗	✓	✓	✓
\mathcal{FR}_{14}	✓	✓	✓	✓	✓	✓
\mathcal{FR}_{15}	✓	✗	✗	✓	✗	✗
\mathcal{FR}_{16}	✓	✓	✓	✓	✓	✗
\mathcal{FR}_{17}	3	3	2	3	2	2
\mathcal{FR}_{18}	✓	✓	✓	✓	✓	✗
\mathcal{FR}_{19}	✓	✓	✓	✗	✓	✗
\mathcal{FR}_{20}	✓	✓	NA	✓	✓	✗

Note: ✓: a scheme supports a feature or it is resilient against an attack; ✗: a scheme does not support a feature or it is not secure against an attack. NA: not applicable for the scheme

\mathcal{FR}_1 : user anonymity; \mathcal{FR}_2 : smart device anonymity; \mathcal{FR}_3 : untraceability; \mathcal{FR}_4 : resilience against offline password guessing attack; \mathcal{FR}_5 : fast detection of erroneous inputs; \mathcal{FR}_6 : mutual authentication; \mathcal{FR}_7 : session key agreement; \mathcal{FR}_8 : resilience against impersonation attack; \mathcal{FR}_9 : resilience against smart device physical capture attack; \mathcal{FR}_{10} : resilience against compromised device impersonation attack; \mathcal{FR}_{11} : resilience against privileged insider attack; \mathcal{FR}_{12} : resilience against forward secrecy; \mathcal{FR}_{13} : resilience against replay attack; \mathcal{FR}_{14} : resilience against man-in-the-middle attack; \mathcal{FR}_{15} : resistant to ESL attack; \mathcal{FR}_{16} : resilience against stolen smart card attack; \mathcal{FR}_{17} : two/three factor authentication; \mathcal{FR}_{18} : local password and biometric change; \mathcal{FR}_{19} : no clock synchronization; \mathcal{FR}_{20} : dynamic smart device addition

$160 + 160 = 608$ bits and $(160 + 160 + 160 + 160) = 640$ bits during the time of the login and authentication phase. The total communication overhead of the proposed scheme is then $(800 + 608 + 640) = 2048$ bits, that is, 256 bytes. In Table 3, we summarize the communication costs as well as the number of messages exchanged for the proposed schemes and compared schemes. We can observe that the proposed scheme commends the lowest communication overhead as compared to that for the other schemes.

C. SECURITY AND FUNCTIONALITY FEATURES COMPARISON

Table 4 tabulates the security & functionality features of the proposed scheme and other existing schemes. It is apparent that the proposed scheme offers superior security and more functionality features as compared to other compared schemes. The schemes proposed in [12] and [5], while these are closed in terms of functionally & security features, the scheme [12] achieves these at much higher computation and communication overheads. Additionally, the scheme [12] fails to resist ESL attack under the CK adversary model. On the other hand, the scheme [5] requires clock synchronization and it provides no resolution for device impersonation attack.

VII. PRACTICAL IMPACT STUDY

In this section, through a simulation study using the widely accepted NS3 (3.28) simulator [59], we measure the impact of the proposed scheme on various network performance parameters, such as network throughput (in bytes per second), end-to-end delay (in seconds), packet delivery ratio and

packet loss rate (in number of packets per seconds).

We ran several simulations, with different number of users and smart devices for each. We simulated a single fixed access point which operated as the gateway node. The smart devices were radially scattered along a ring (inner radius 20 m and outer radius 100 m) centered on the gateway node *GW*N. The users were permitted to move freely (and randomly) across a square area of side 150 m and centered on the *GW*N. All the nodes communicate over 2.4 GHz IEEE 802.11 wi-fi standard. Additional details about the simulations are tabulated in Table 5. Any details that are not explicitly mentioned means that those are assume the default values as specified by NS3 (3.28) simulator.

TABLE 5: Simulation parameters

Parameter	Description	
Platform	NS3(3.28) / Ubuntu 16.04 LTS	
Network scenarios	No. of users	No. of devices
1	8	15
2	10	15
3	8	20
4	10	20
5	8	35
6	10	35
7	8	50
8	10	50
Mobility	random (0-3 m/s)	
Simulation time	1200 sec	

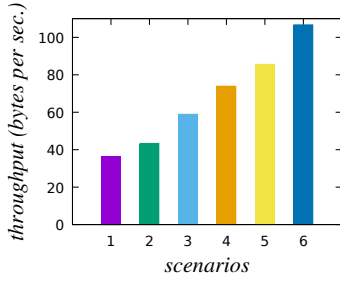


FIGURE 9: Throughput (bytes per second)

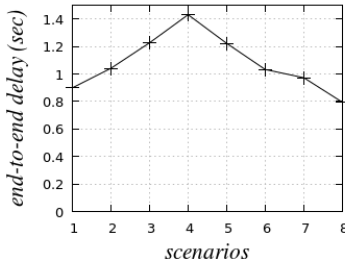


FIGURE 10: End-to-end delay in seconds

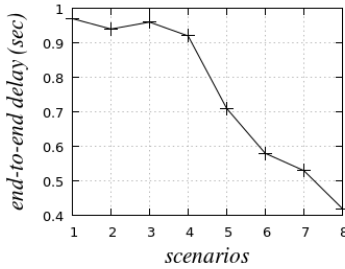


FIGURE 11: Packet Delivery Ratio

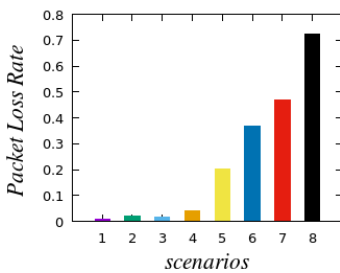


FIGURE 12: Packet Loss Rate (no of packets/seconds)

A. IMPACT ON NETWORK THROUGHPUT

In Figure 9, we plot the network throughput along the y-axis and different scenarios are along with the x-axis. The throughput is calculated by the expression $(\nu_r \times |\rho|)/T_\delta$, where T_δ , ν_r and $|\rho|$ represent the total time in seconds, the number of received packets and its size, respectively. From Figure 9, we observed that the network throughput increases with the number of messages exchanged.

B. IMPACT ON END-TO-END DELAY

In Figure 10, we plot the end-to-end delay along the y-axis and different scenarios along the x-axis. The end-to-end delay is formulated by the expression $\sum_{i=1}^{\nu_p} (T_{rcv_i} - T_{snd_i})/\nu_p$, where ν_p , T_{rcv_i} and T_{snd_i} represent the total number of packets, the time needed for receiving and sending a data packet i , respectively. We also observe that the end-to-end delay increases with the number of transmitted messages but then decreases. This can be attributed to the increased number of messages contributing to the network congestion which leads to packet loss.

C. IMPACT ON PACKET DELIVERY RATIO

In Figure 11, we plot the packet delivery ratio along the y-axis and different scenarios along the x-axis. The packet delivery ratio is formulated by the expression ν_t/ν_r , where ν_t and ν_r represent the total number of packets transmitted and received, respectively. We also observe that the packet delivery ratio is initially low and steady, but with the growth in the number of transmitted messages, it degrades quickly. This can be attributed to the increased number of messages contributing to network congestion and channel saturation.

D. IMPACT ON PACKET LOSS RATE

In Figure 12, we plot the packet loss rate along the y-axis and different scenarios along the x-axis. The packet loss rate can be estimated by the expression $(\nu_t - \nu_r)/T_\delta$, where ν_t and ν_r represent the total number of packets transmitted and received and T_δ represent the total time in seconds, respectively. We also observe that the packet loss rate corresponds to the packet delivery ratio (figure 11). As discussed previously this is the result of an increased number of messages contributing to the network congestion.

VIII. CONCLUSION

In this article, we discussed the necessity of designing a physically secure user authentication scheme for IoT environment. As a solution to the raised problem, we presented a novel physically secure lightweight anonymous user authentication protocol for IoT using physically unclonable functions. Through the rigorous analysis using the ROR model, formal security verification under AVISPA tool and informal security analysis, we demonstrated the security & functionality features of the proposed scheme. We also evaluated the practical impact of the proposed scheme using NS3 simulation and presented a comparative summary to demonstrate its potential to be deployed in a real-world environment.

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