

# Classifier and Classifier-Free Diffusion Guidance

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# Review

## Question

- In the previous lecture, we saw diffusion methods that use noise as input to generate images. Can we generate an image for a desired output?

# Review

## Question

- In the previous lecture, we saw diffusion methods that use noise as input to generate images. Can we generate an image for a desired output? Yes! **See [this paper](#) and [this paper](#); they leverage Classifier and Classifier-Free Guidance, which we will talk about now**

# Unconditional Generation

## Problem

- Diffusion goes from noise to images step-by-step
- Not directly suitable to generate a desired output
- Due to their stochastic (random) nature, the generation cannot be controlled

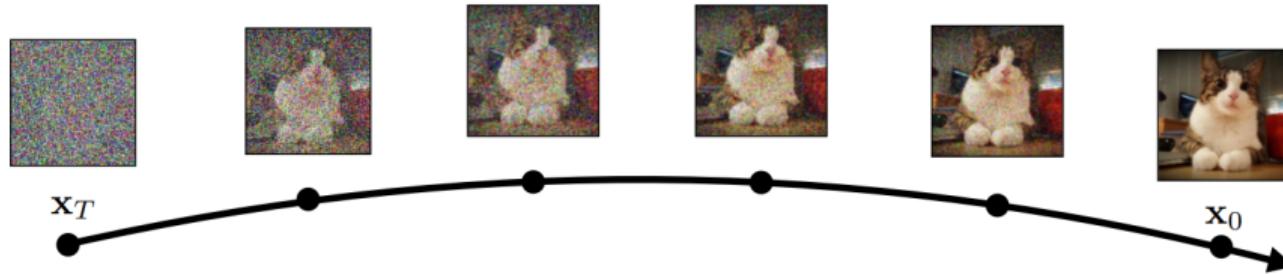
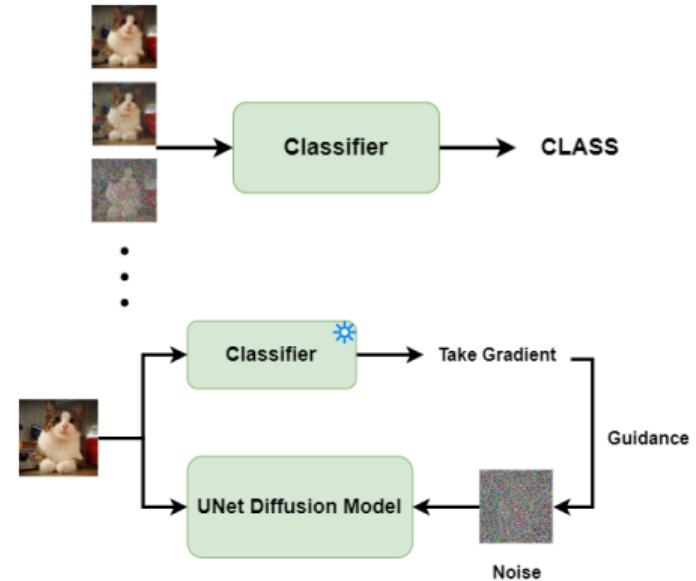


Image Credit: CVPR 2023 Tutorial on Diffusion Models

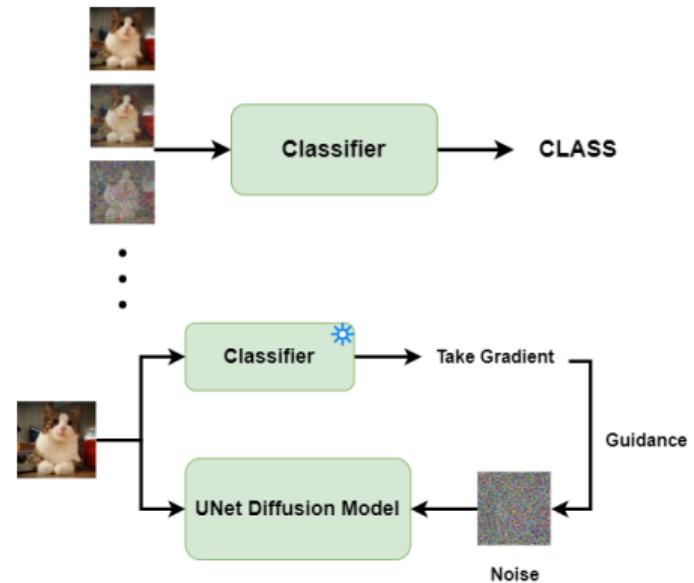
# Classifier Guidance Generation

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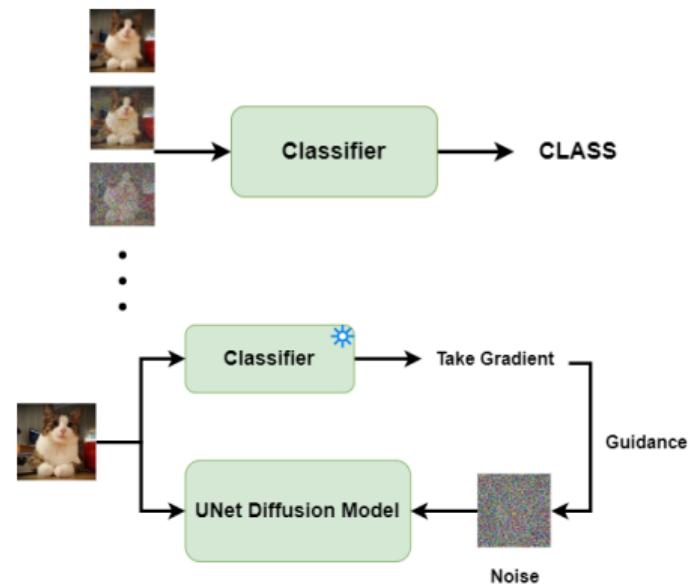
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- Perturb using gradients of a classifier  $\gamma \nabla_{x_t} \log p(y|x_t)$

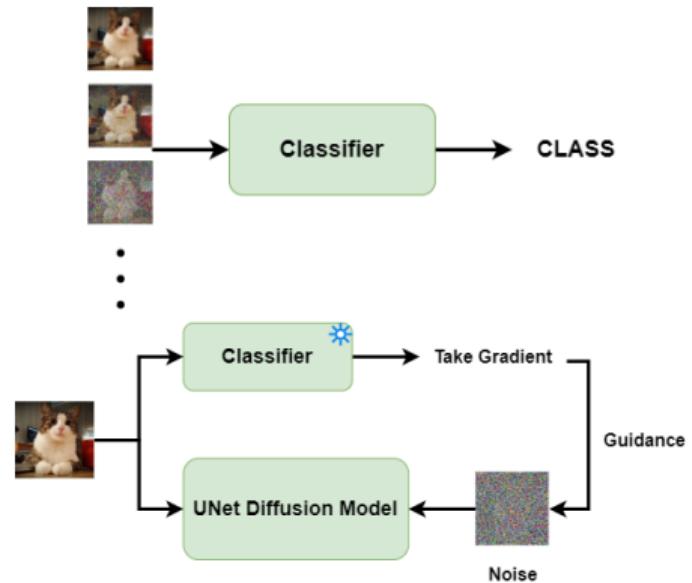


# Classifier Guidance Generation

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- Train a noisy image classifier  $p(y|x)$ , freeze the classifier and learn the diffusion model
- Perturb using gradients of a classifier  $\gamma \nabla_{x_t} \log p(y|x_t)$
- $\gamma$  (should be greater than 1) is used to amplify the influence of the conditioning signal:

$$\hat{\epsilon}(x_t, t, y) = \epsilon_\theta(x_t, t) - \gamma \nabla_{x_t} \log p(y|x_t)$$

- We essentially sample gradients from a classifier when classifying an image of a desired class and feed that gradient information into the diffusion model to lead it to generate the desired class



# Classifier Guidance Generation: How does it work?

- To introduce the class-conditioning, the probability  $p(x_t)$  becomes conditional probability  $p(x_t|y)$ , i.e.  $\nabla_{x_t} \log p(x_t) \rightarrow \nabla_{x_t} \log p(x_t|y)$
- Using Bayes Theorem, the new score function for the diffusion model becomes:

$$\nabla_{x_t} \log p(x_t|y) = \nabla \log \left( \frac{p(x_t)p(y|x_t)}{p(y)} \right) = \boxed{\nabla \log p(x_t)} + \boxed{\nabla \log p(y|x_t)}$$

Standard  
diffusion  
model term      Addition for  
conditional  
generation

## Classifier Guidance Generation: How does it work?

- Consider the gradient  $\gamma \nabla_{x_t} \log p(y|x_t)$ , where  $\gamma$  is a guidance scale on importance for classifier guidance
- Revert the gradient and the logarithm operations to see what's going on:

$$p_\gamma(x_t|y) \propto p(x_t) \cdot p(y|x_t)^\gamma$$

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- Raising the conditional distribution term to power of  $\gamma$  corresponds to tuning the temperature of that distribution, where  $\gamma$  is the inverse temperature parameter
- Classifier guidance thus enables us to apply temperature tuning selectively to the segment of the distribution that reflects the impact of the conditioning signal

# Classifier Guidance Algorithm

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**Algorithm 2** Classifier guided DDIM sampling, given a diffusion model  $\epsilon_\theta(x_t)$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

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Input: class label  $y$ , gradient scale  $s$

$x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$

**for all**  $t$  from  $T$  to 1 **do**

$$\hat{\epsilon} \leftarrow \epsilon_\theta(x_t) - \sqrt{1 - \bar{\alpha}_t} \nabla_{x_t} \log p_\phi(y|x_t)$$

$$x_{t-1} \leftarrow \sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}}{\sqrt{\bar{\alpha}_t}} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \hat{\epsilon}$$

**end for**

**return**  $x_0$

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## Classifier Guidance Generation: Sample Outputs



$$\gamma = 1.0$$



$$\gamma = 10.0$$

Classifier guidance to condition on class “*Pembroke Welsh corgi*”. Using  $\gamma = 1.0$  does not produce convincing samples, whereas a guidance scale of 10.0 produces more class-consistent images

Credit: Dhariwal et al, *Diffusion Models Beat GANs on Image Synthesis*, NeurIPS 2021

## Classifier Guidance Generation: Limitations

- Requires a dedicated classifier specifically for guidance
- Classifier used for guidance also needs to tackle high noise levels to provide a useful signal
- Classifier gradients are imperfect and yield arbitrary directions in input space

# Classifier-Free Guidance Generation

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- From Bayes rule:

$$p(y|x_t) = \frac{p(x_t | y) \cdot p(y)}{p(x_t)}$$

$$\log p(y | x_t) = \log p(x_t | y) + \log p(y) - \log p(x_t)$$

$$\nabla_{x_t} \log p(y|x_t) = \nabla_{x_t} \log p(x_t|y) - \nabla_{x_t} \log p(x_t)$$

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- A few slides ago, we had:

$$\nabla_{x_t} \log p(x_t|y) = \nabla \log \left( \frac{p(x_t)p(y|x_t)}{p(y)} \right) = \nabla \log p(x_t) + \gamma \nabla \log p(y|x_t)$$

## Classifier-Free Guidance Generation

Substituting, we get:

$$\nabla_{x_t} \log p(x_t|y) = \nabla \log p(x_t) + \gamma \left( \nabla_{x_t} \log p(x_t|y) - \nabla_{x_t} \log p(x_t) \right) \quad (1)$$

$$= \gamma \nabla_{x_t} \log p(x_t|y) - (\gamma - 1) \nabla_{x_t} \log p(x_t) \quad (2)$$

$$\begin{array}{ccc} \text{Conditional} & & \text{Unconditional} \\ \text{score} & & \text{score} \\ | & & | \\ \approx \gamma [s_\theta(s_t, y, t)] - (\gamma - 1) [s_\theta(s_t, \emptyset, t)] \end{array} \quad (3)$$

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Same neural network can generate both unconditional and conditional scores!

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*Ho et al, Classifier-Free Diffusion Guidance, NeurIPS Workshop 2021*

# Classifier-Free Guidance Generation

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# Classifier-Free Guidance Generation

How to get class guidance without an independent classifier?

- Use the diffusion model itself to get perturbations for guidance!
- Train a conditioned diffusion model  $\epsilon_\theta(x_t, t, y)$  with conditioning dropout  $\epsilon_\theta(x_t, t, \phi)$ , where conditioning information  $y$  is removed to make the model unconditional
- In practice, it is often replaced with a special input value representing the absence of conditioning information
- Both conditioned and unconditioned diffusion models can be learned via a single neural network

# Classifier-Free Guidance Algorithm

**Algorithm 1** Joint training a diffusion model with classifier-free guidance

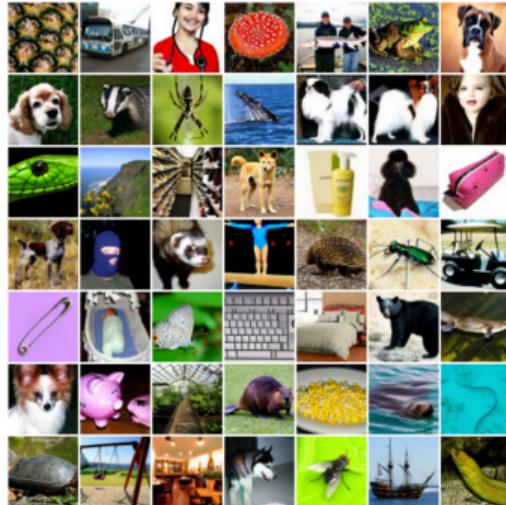
**Require:**  $p_{\text{uncond}}$ : probability of unconditional training

- ```

1: repeat
2:    $(\mathbf{x}, \mathbf{c}) \sim p(\mathbf{x}, \mathbf{c})$                                  $\triangleright$  Sample data with conditioning from the dataset
3:    $\mathbf{c} \leftarrow \emptyset$  with probability  $p_{\text{uncond}}$   $\triangleright$  Randomly discard conditioning to train unconditionally
4:    $\lambda \sim p(\lambda)$  $\triangleright$  Sample log SNR value
5:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
6:    $\mathbf{z}_\lambda = \alpha_\lambda \mathbf{x} + \sigma_\lambda \epsilon$                  $\triangleright$  Corrupt data to the sampled log SNR value
7:   Take gradient step on  $\nabla_\theta \|\epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c}) - \epsilon\|^2$        $\triangleright$  Optimization of denoising model
8: until converged

```

## Classifier-Free Guidance Generation: Sample Outputs



$$\gamma = 0.0$$



$$\gamma = 1.0$$

For  $\gamma = 0$ , we recover the unconditional model, and for  $\gamma = 1$ , we get the standard conditional model

# Classifier-Free Guidance vs Classifier Guidance

Classifier-free guidance seems to work better than classifier guidance. Why?

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- Key reason is that we have constructed the “classifier” from the generative model itself!
- Generative model gradients are much more robust

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- Key reason is that we have constructed the “classifier” from the generative model itself!
- Generative model gradients are much more robust
- We have to train a single generative model, and conditioning dropout is trivial to implement

# Homework

## Readings

- [Lilian Weng, What are Diffusion Models?](#)
- [\(YouTube video\) Tutorial on Denoising Diffusion-based Generative Modeling: Foundations and Applications](#)
- [Papers referenced in the initial slide](#)