

CS & IT ENGINEERING

Operating System

Process Synchronization

Lecture No. 6



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TOPICS TO BE COVERED

Synchronization
Hardware

TSL Instruction

Swap Instruction

Peterson's

→ 2-process Solution

→ Busy-waiting < wastage of cpu time >

→ u/m Impl.

→ $\langle L.V + S.A \rangle$

→ 3 Step Process

$flag[N] = F;$

$turn = i/j$

a) Guarantee M/E

b) "

Progress

c) "

Bounded
Waiting

(H/w)

```
Process (int i)
{
    int j = NOT(i);
    while(1)
    {
        a) Non-CS()
        b) while (turn != i);
        c) turn = j;
        d) <CS>
        e) turn = j;
    }
}
```

a) M/P is not guaranteed

Q.3

turn = 0
void Dekkers_Algorithm (int i)

```
{
    int j = ! (i);
    while (1)
    {
        (a) Non_CS();
        (b) flag[i] = TRUE;
        (c) while (flag[j] == TRUE)
        {
            if (turn == j)
            {
                flag[i] = FALSE;
                while (turn == j);
                flag[i] = TRUE;
            }
        }
        (d) <CS>
        (e) flag[i] = FALSE;
        turn = j;
    }
}
```

variation
g →

2-Process

flag[N]; Peterson's Sol'n
turn;

while (1)

```
{
    a) Non-CS();
    b) flag[i] = TRUE;
    c) turn = i;
    d) while (flag[j] == TRUE &&
        turn == j);
    e) <CS>
    f) flag[i] = FALSE;
}
```

M/E ✓

Progress ✓

B/W ✓



Q.



Processes P_1 and P_2 use critical_flag in the following routine to achieve mutual exclusion. Assume that critical_flag is initialized to FALSE in the main program.

get_exclusive_access()

```
{  
    if (critical_flag == FALSE)  
    {  
        critical_flag = TRUE;  
         $P_1, P_2$  critical_region(); <cs>  
        critical_flag = FALSE;  
    }  
}
```

Category

Non-Busy-waiting

Consider the following statements.

(i) It is possible for both P_1 and P_2 to access critical region concurrently. ✓

(ii) This may lead to a deadlock. ✗

Which of the following holds?

- A. (i) is false and (ii) is true
- B. Both (i) and (ii) are false
- C. (i) is true and (ii) is false ✓
- D. Both (i) and (ii) are true

Q.



Two processes, P_1 and P_2 , need to access a critical section of code. Consider the following synchronization construct used by the processes:

$W_1 = W_2 = F;$
/*P₁*/

while (true)

{

wants1 = true;

while (wants2 == true);

{ /* Critical Section */

wants2 = false;

}

}

/* Remainder section */

/*P₂*/

while (true)

{

wants2 = true;

while (wants1 == true);

{ /* Critical Section */

wants1 = false;

}


}

/* Remainder section */

Here, wants1 and wants2 are shared variables/ which are initialized to false. Which one of the following statements is TRUE about the above construct?

MCQ

- ✓ A. It does not ensure mutual exclusion.
- B. It does not ensure bounded waiting.
- C. It requires that processes enter the critical section in strict alternation.
- ✓ D. It does not prevent deadlocks but ensures mutual exclusion. ✓

Q.

Two processes X and Y need to access a critical section. Consider the following synchronization construct used by both the processes

**Process X**

```
/* other code for process X */  
while (true)  
{  
    varP = true;  
    while (varQ == true)  
    {  
        /* critical section */  
        varP = false;  
    }  
}  
/* other code for process X */
```

Process Y

```
/* other code for process Y */  
while (true)  
{  
    varQ = true;  
    while (varP == true)  
    {  
        /* critical section */  
        varQ = false;  
    }  
}  
/* other code for process Y */
```

(Cont.....)



Here, varP and varQ are shared variables and both are initialized to false. Which one of the following statements is true?



- ✓ A. The proposed solution prevents deadlock but fails to guarantee mutual exclusion
- B. The proposed solution guarantees mutual exclusion but fails to prevent deadlock
- C. The proposed solution guarantees mutual exclusion and prevents deadlock
- D. The proposed solution fails to prevent deadlock and fails to guarantee mutual exclusion

II: * SYNCHRONIZATION HARDWARE: Each Processor supports some Special Instrns (H/w)

a) TSL b) SWAP

- Busy-waiting ✓
- Can be used @ u/m as Spl Instrns
- H/w category ✓
- Multi-process Soln
- Lock-based Solutions

that are Atomic

< Execute
Non-Preemptively

K.M.

a) TSL: Test and Set lock;

Call: TSL(&lock); < Process executing TSL, ^{read} returns the current value of lock & ^(write) sets the value of lock always to TRUE >

Bool TSL(Bool *target)

{

return value

Bool rv;

rv = *target;

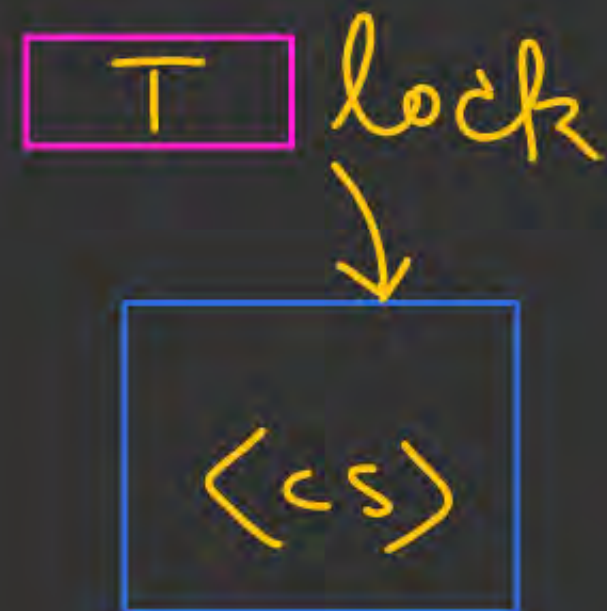
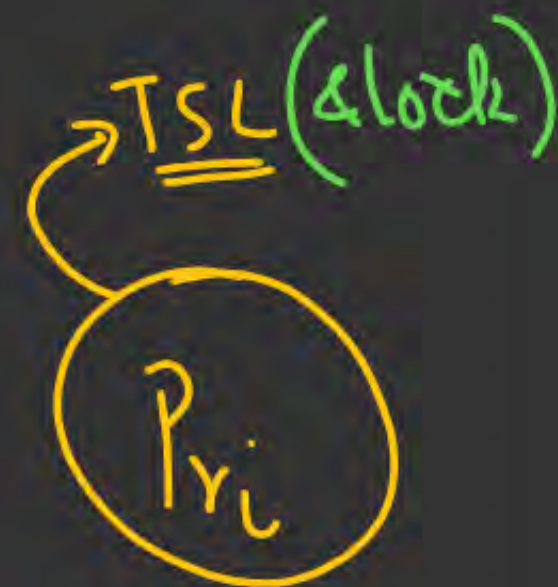
*target = TRUE;

return(rv);

Atomic
exec

K.O.M

Setting the value
of lock to TRUE



Solving CS Problem thru TSL

User Program
u.m

Bool Lock = F;

void Process(int i)

{ while (1)

a) Non-cs(.);

b) while (TSL(&Lock) == T);

c) <cs> Sys. call / Pr. Instr

d) Lock = F; Exit

Guarantee B/w

using

RQ

P1 P2 P3

Lock

~~F~~ T

CPU P2

<cs>

P1

Guarantee m/e

t1: P1: a; TSL: F; Pre

t2: P2: a; TSL: T

Does not guarantee Bounded wait

In Graham
Text Book
Synchronization

TSL:
In the entry Sec
(Additional Logic)

b) SWAP Instr \langle Atomic \rangle
 \swarrow Lock-Key
 SWAP(Bool *a, Bool *b)
 {
 Bool t;
 1. t = *a;
 2. *a = *b;
 3. *b = t;
 }

Atomic

Use Protos

Bool lock = F;

void Protos(int i)

{
 Bool Key = T;

 while (1)

 {
 a) Non-CS();

 b) while (Key == T)

 SWAP(&lock, &Key);

 c) \langle CS \rangle

 d) lock = F;

 }

\boxed{F} Lock

\boxed{CS}

$\bigcirc P_1 \square_K$

$\bigcirc P_2 \square_K$

$\square_K P_3$

B/W

Busy
waiting

a) Guarantee M/E

b) " Progress

c) " B/W
 (With Add on logic)

$\boxed{\cancel{F} T}$ lock

$\boxed{\bigcirc P_1}$
 $\langle CS \rangle$

$\bigcirc \boxed{\cancel{T} F}$
 Key
 P₁

$\bigcirc \boxed{T}$
 Key
 P₂

$\bigcirc \boxed{T}$
 Key
 P₃

P₁: a; ... SWAP ... Pre

P₂: a; SWAP

P₃: a; SWAP

Q.



The `enter_CS()` and `leave_CS()` functions to implement critical section of a process are realized using test-and-set instruction as follows:

```
void enter_CS(X)
```

```
{
```

```
while (test-and-set(X));
```

```
}
```

```
void leave_CS(X)
```

```
{
```

```
X=0;
```

```
}
```

while (TSL(&lock) == 1);

without Add m

In the above solution, X is a memory location associated with the CS and is initialized to 0. Now consider the following statements:

- I. The above solution to CS problem is deadlock-free. ✓
- II. The solution is starvation free. ✗ does not guarantee Bounded wait
- III. The processes enter CS in FIFO order. ✗
- IV. More than one process can enter CS at the same time. ✗

Which of the above statements are TRUE?

- A. I only ✓
- B. I and II
- C. II and III
- D. IV only

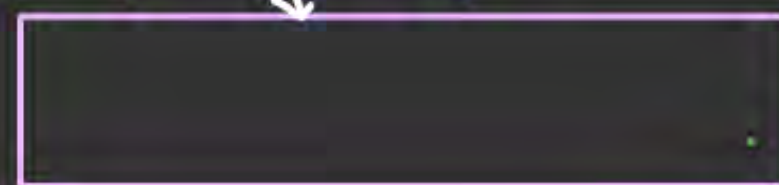
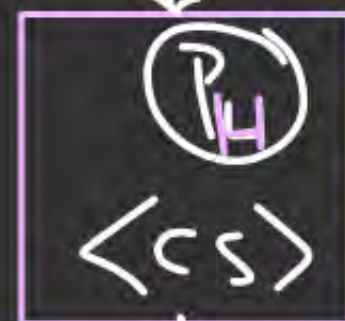
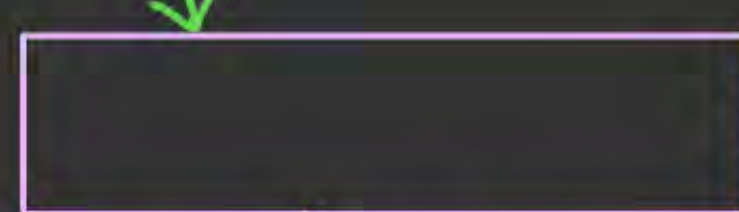
Priority-Inversion Problem : \angle All Correct Busy-wait
 Soln Suffers from Priority
 : Pre-emptive Priority based Scheduling

$H > L$

→ If (P_H) is NOT Intr'd in CS then there is no Problem



t:
t':



Entry



Exit

Pet | Dek | TSL | SWAP

→ If (P_H) is also intr'd in "CS"

"Deadlock"

Priority-Inheritance



2B

Fetch_And_Add (X, i) is an atomic Read-Modify-write instruction that reads the value of memory location X , increments it by the value i and returns the old value of X . It is used in the pseudocode shown below to implement a busy-wait lock. L is an unsigned integer shared variable initialized to 0. The value of 0 corresponds to lock being available, while any non-zero value corresponds to the lock being not available.

Entry

AcquireLock(L)

```
{  
  While (Fetch_And_Add ( $L, 1$ ))  
     $L = 1$ ;  
}
```

ReleaseLock(L)

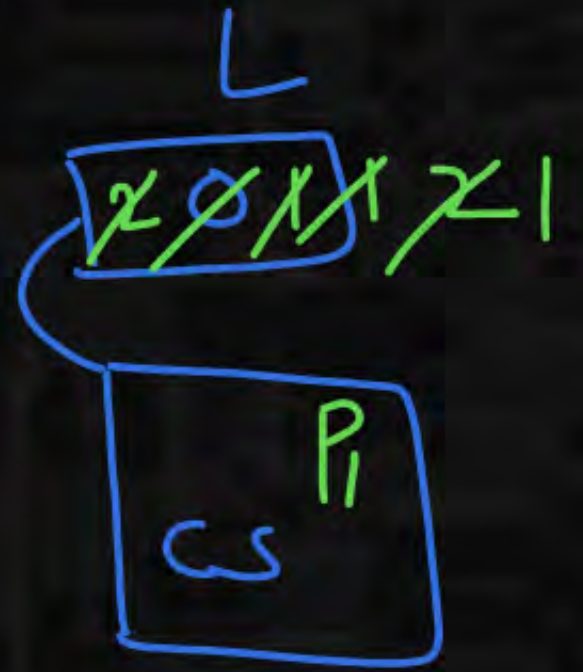
```
{  
   $L = 0$ ;  
}
```

Exit

This implementation

(MSQ)

Atomic {
 $\text{int } F_And_A(\text{int } x, \text{int } i)$
 $\text{int } rv$;
 $rv = x$;
 $x = x + i$;
 return (rv);
}



$P_1: \underline{FA}: 0$

$P_2: FA: 1$;
 $FA: 1$

- A. fails as L can overflow
- B. fails as L can take on a non-zero value when the lock is actually available
- C. works correctly but may starve some processes
- D. works correctly without starvation

