



Exploring Complexity Reduction in Deep Learning

Sourya Dey

PhD Candidate, University of Southern California
Advisors: Peter A. Beerel and Keith M. Chugg

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USC
Viterbi

School of Engineering
*Ming Hsieh Department
of Electrical and
Computer Engineering*

Outline

Introduction

Pre-Defined Sparsity

Reduce complexity of neural networks with minimal performance degradation

Deep-n-Cheap

Automated search framework to explore performance-complexity tradeoffs in CNN design

Dataset Engineering

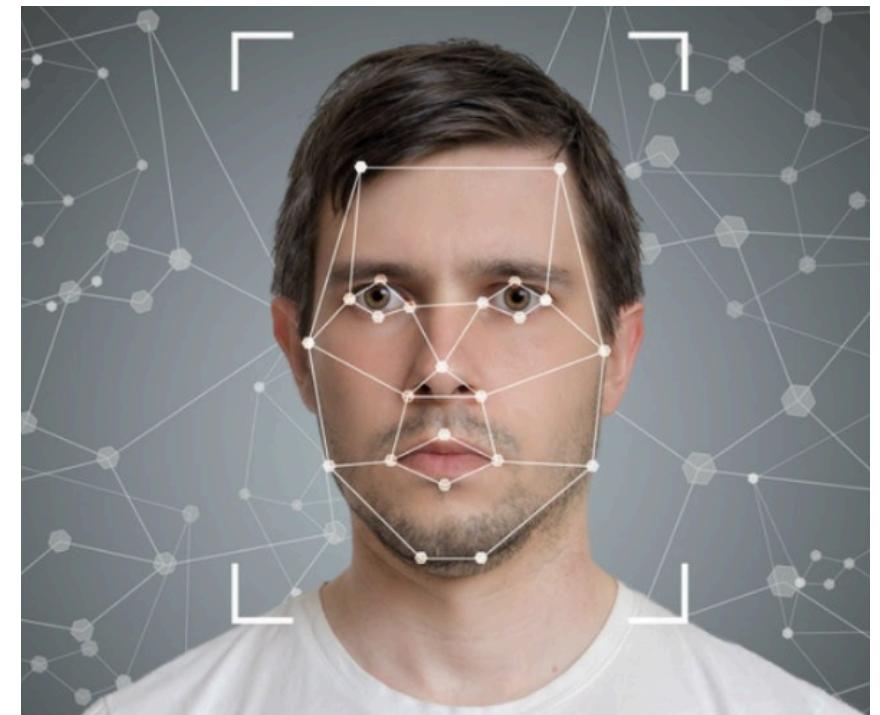
A family of synthetic datasets of customizable difficulty for ML classification problems

Introduction

Overview

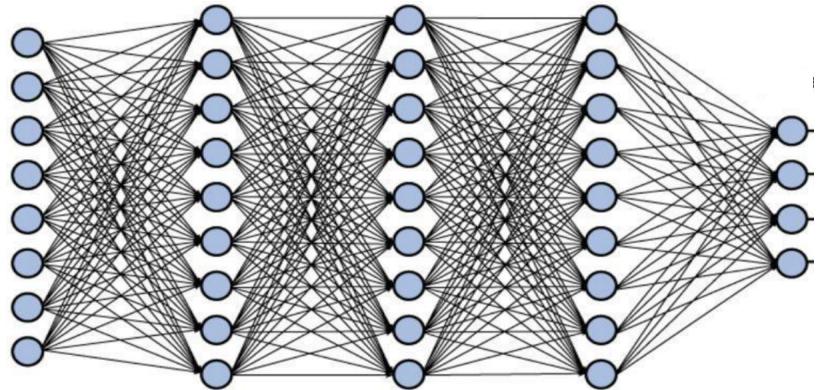
Neural networks (NNs) are key machine learning technologies

- Artificial intelligence
- Self-driving cars
- Speech recognition
- Face ID
- and more smart stuff ...



Motivation behind complexity reduction

Modern neural networks suffer from parameter explosion

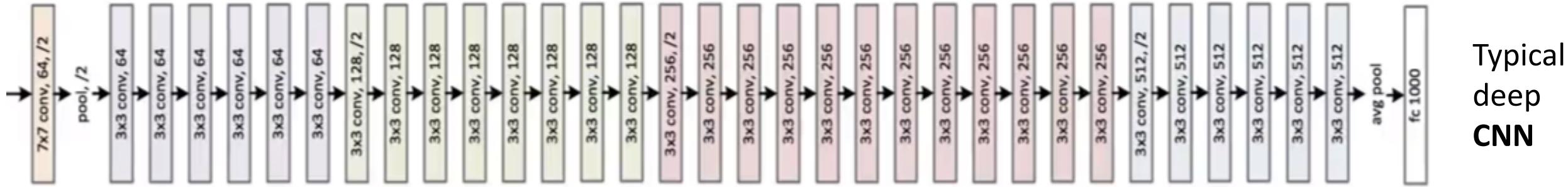


Training can take weeks on CPU
Cloud GPU resources are expensive



Google Cloud Platform

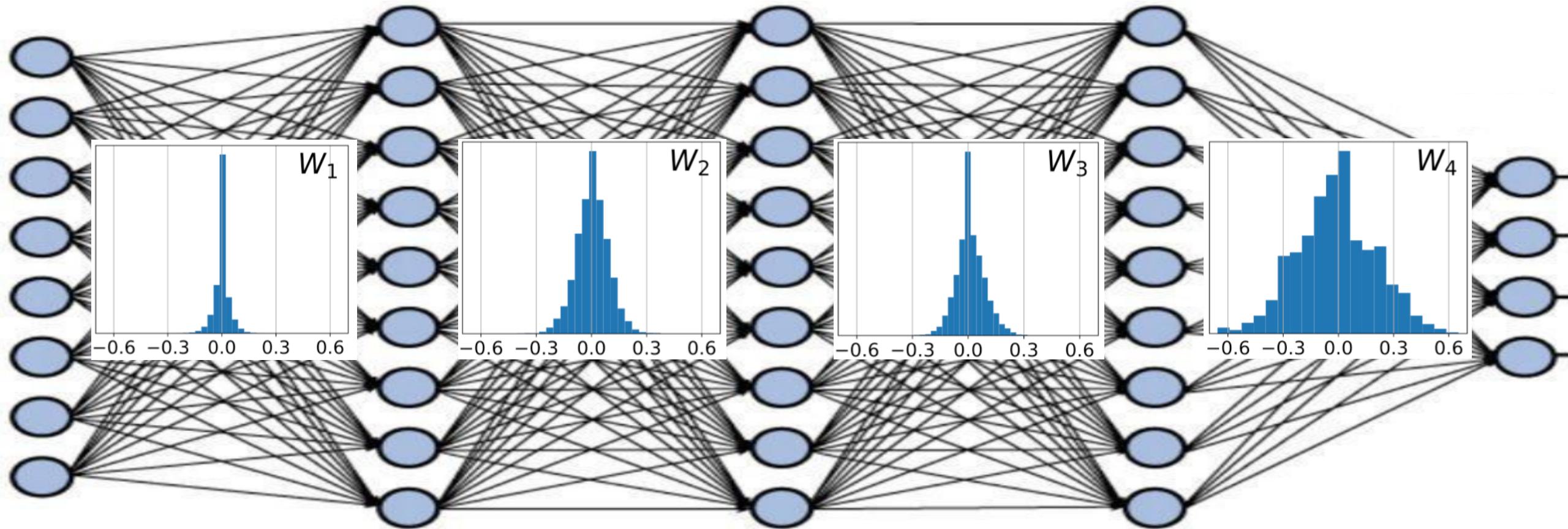
Fully connected (FC) Multilayer Perceptron (MLP)



Pre-Defined Sparsity

Reduce complexity of neural
networks with minimal
performance degradation

Motivation behind pre-defined sparsity



In a FC network, most weights are very small in magnitude after training

Pre-defined Sparsity

Pre-define a sparse connection pattern **prior to training**

Use this sparse network for both training and inference

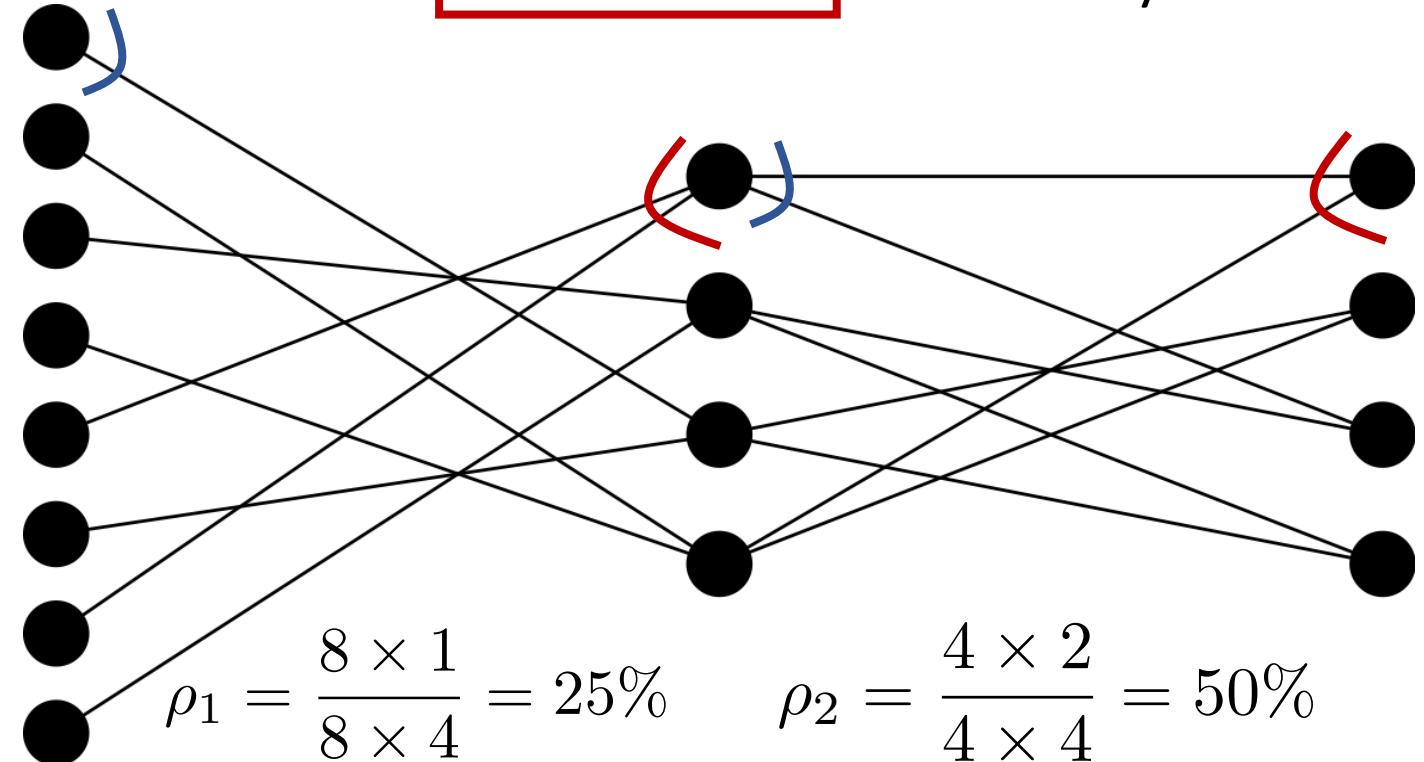
Reduced training
and inference
complexity

$$N_{\text{net}} = (8, 4, 4)$$

$$d_{\text{net}}^{\text{out}} = (1, 2)$$

$$d_{\text{net}}^{\text{in}} = (2, 2)$$

Structured Constraints:
Fixed in-, out-degrees
for every node



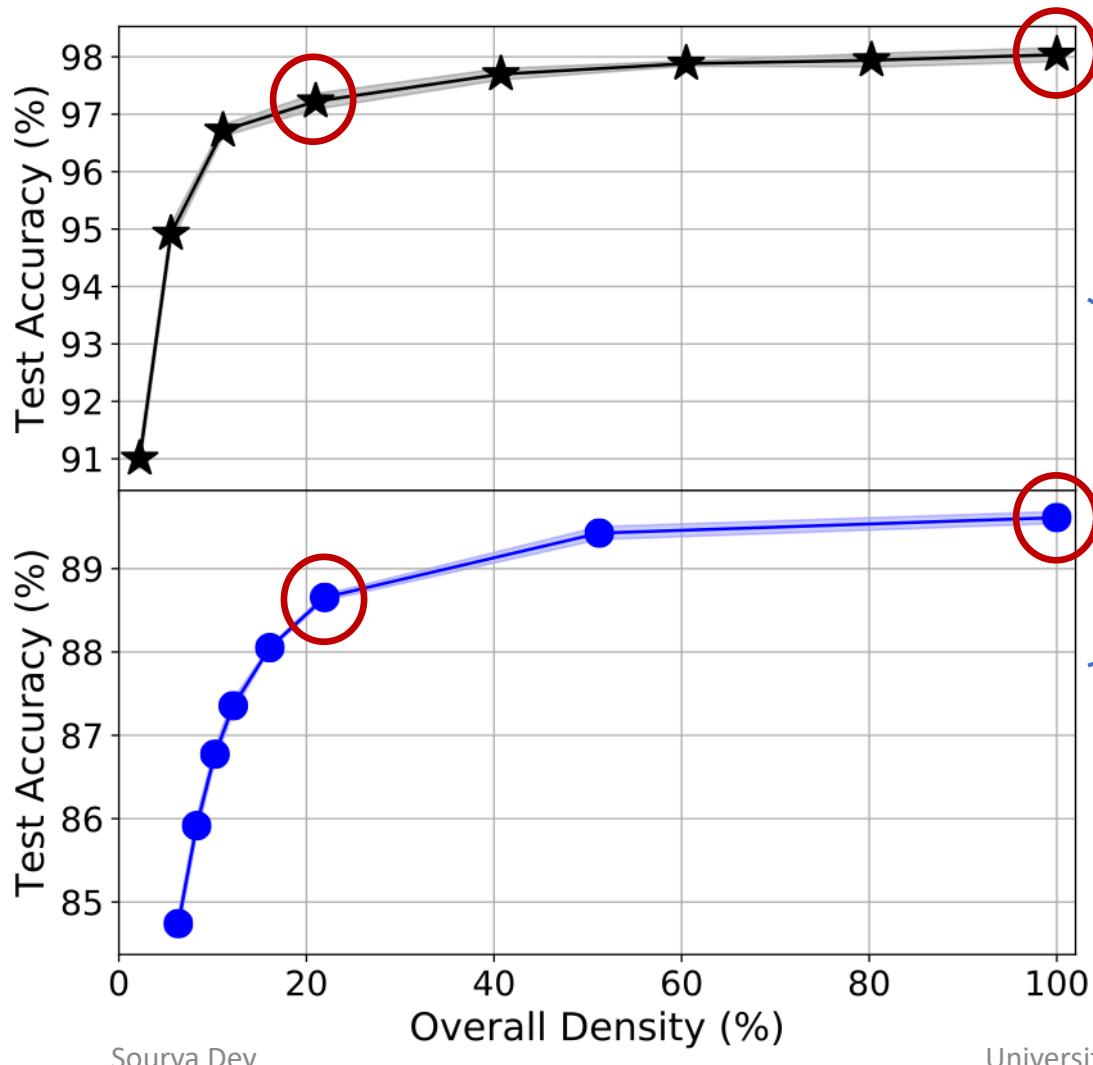
$$\rho_1 = \frac{8 \times 1}{8 \times 4} = 25\%$$

$$\rho_2 = \frac{4 \times 2}{4 \times 4} = 50\%$$

$$\rho_{\text{net}} = \frac{8 + 8}{32 + 16} = 33\%$$

Overall Density
compared to FC

Pre-defined sparsity performance on MLPs



*Starting with only 20%
of parameters reduces
test accuracy by just 1%*

MNIST handwritten digits

Reuters news articles

TIMIT phonemes

CIFAR images

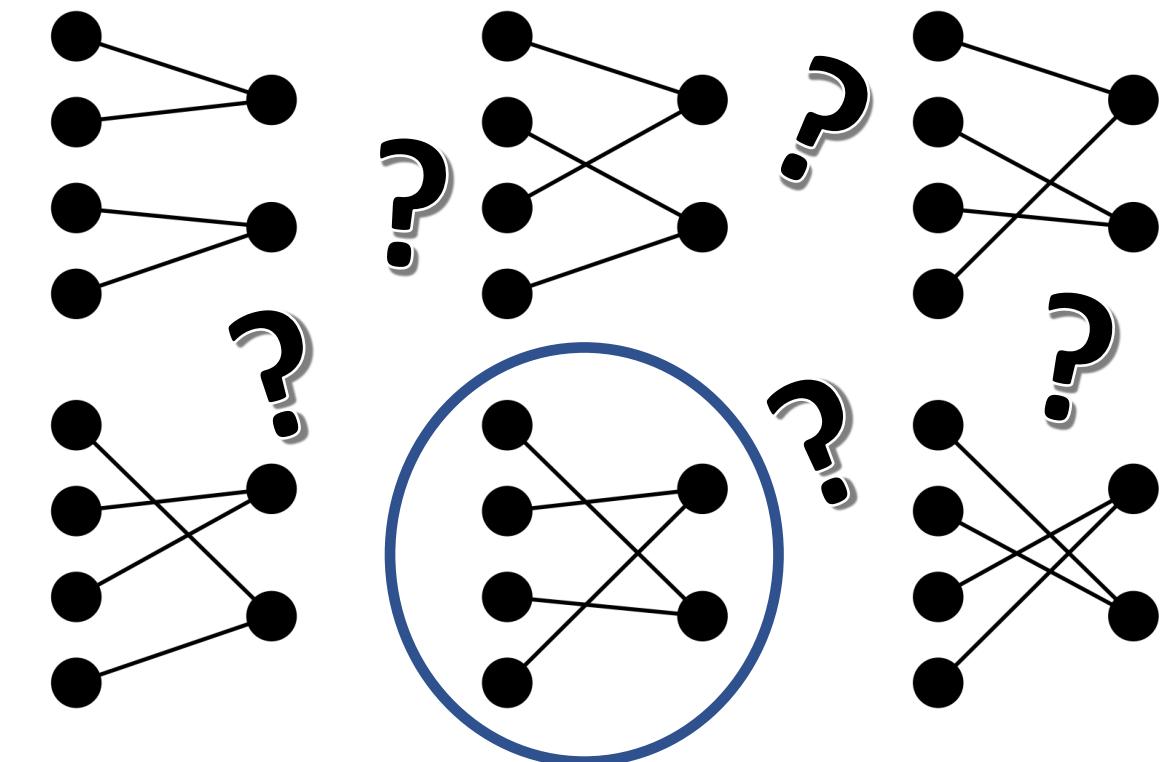
Morse symbols

Designing pre-defined sparse networks

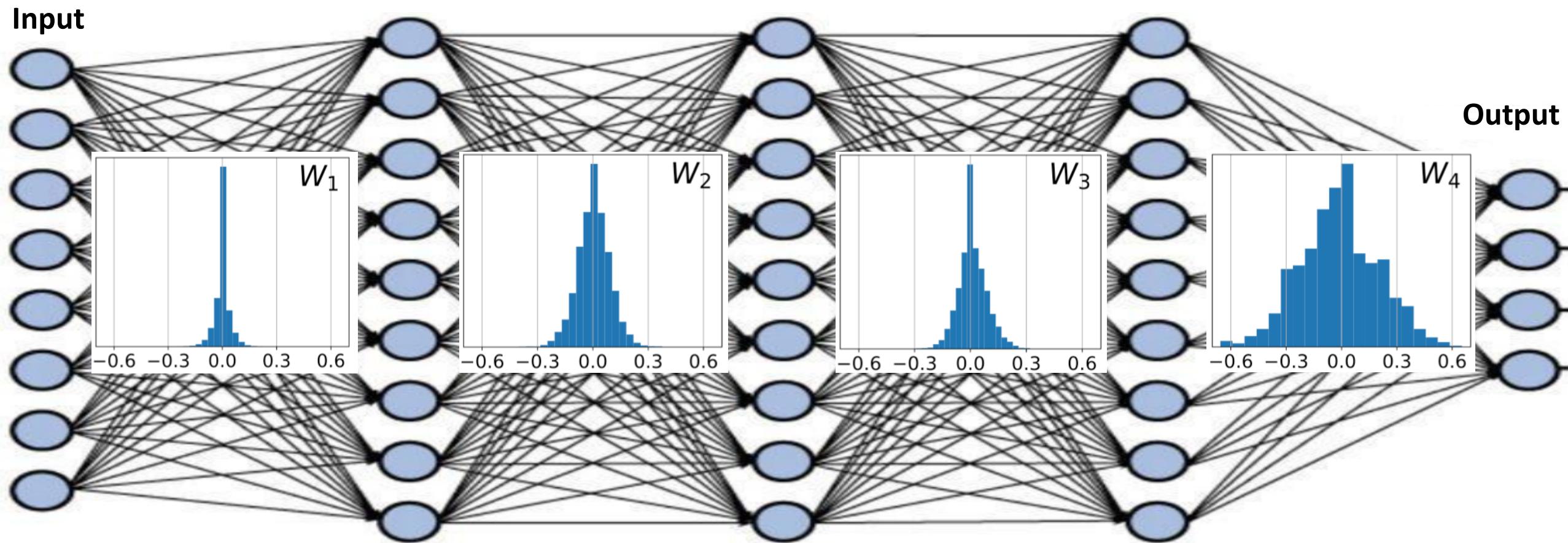
*A pre-defined sparse connection pattern is a **hyperparameter** to be set prior to training*

Find trends and guidelines to optimize pre-defined sparse patterns

S. Dey, K. Huang, P. A. Beerel and K. M. Chugg, "Pre-Defined Sparse Neural Networks with Hardware Acceleration," in *IEEE Journal on Emerging and Selected Topics in Circuits and Systems*, vol. 9, no. 2, pp. 332-345, June 2019.



1. Individual junction densities



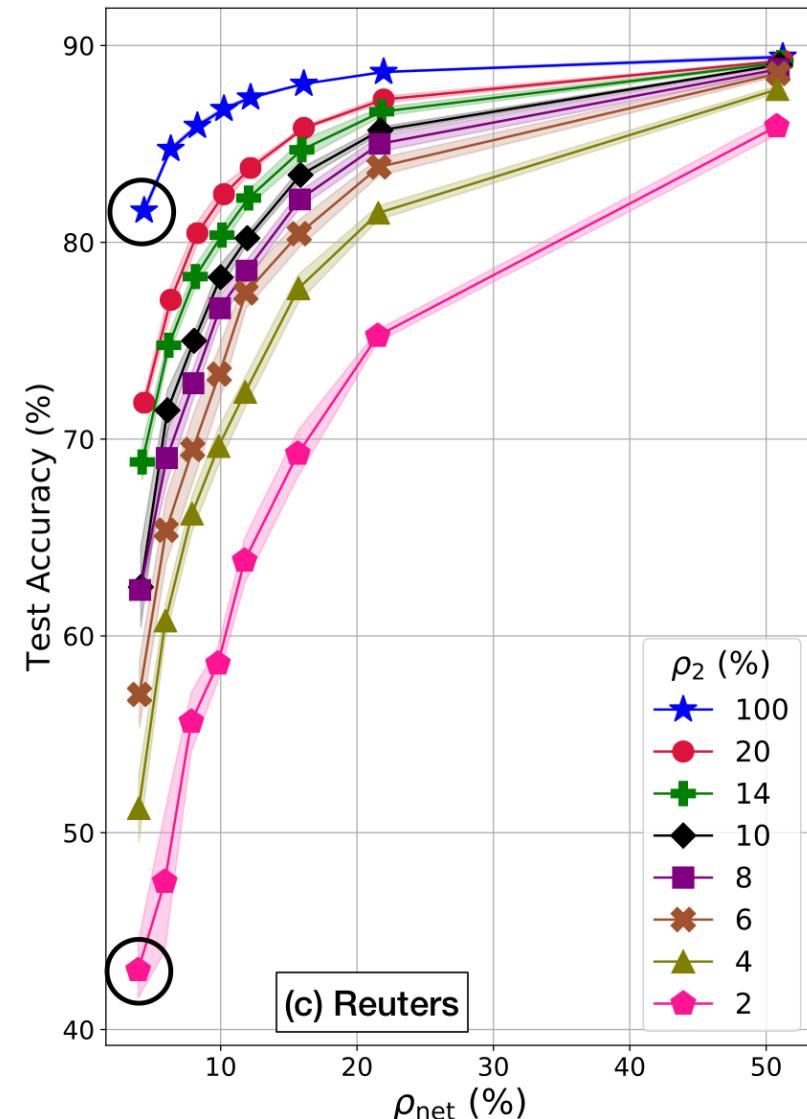
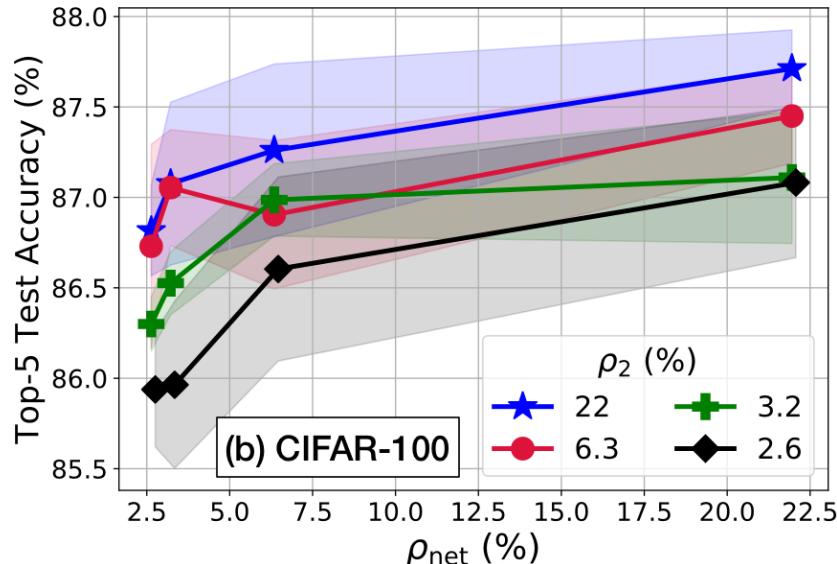
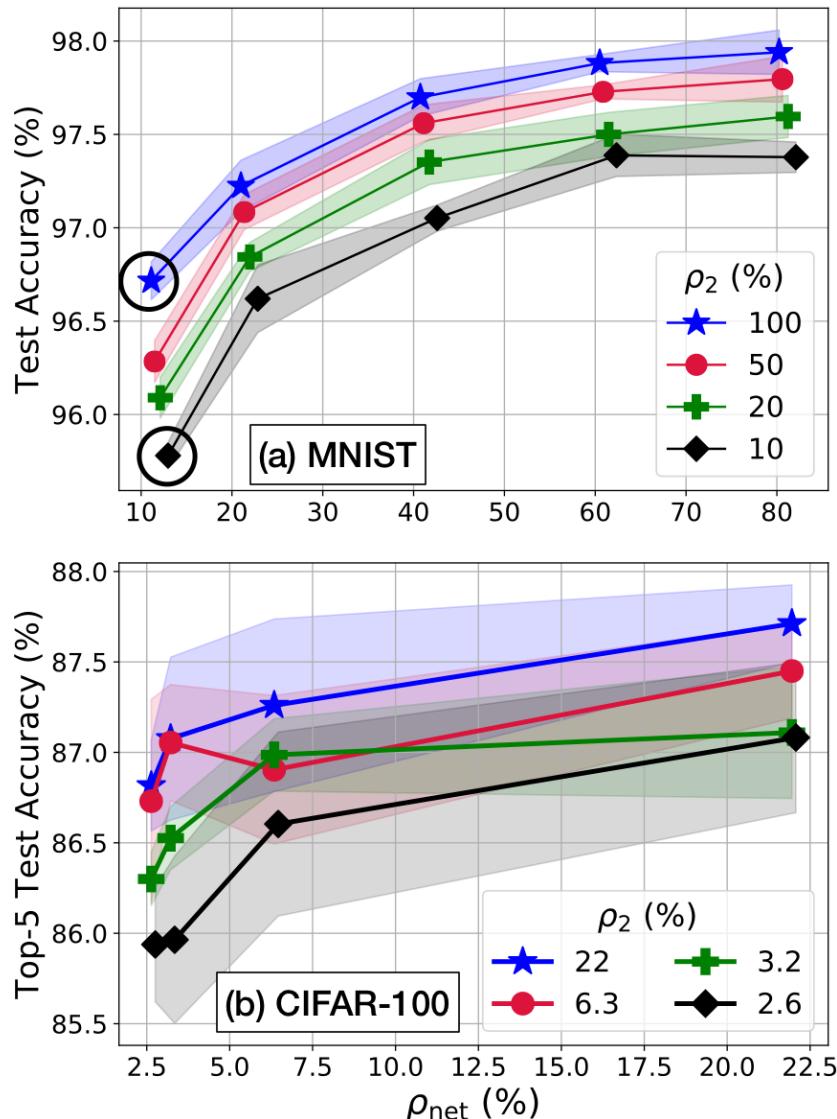
*Latter junctions (closer to the output) learn higher-order,
more complicated representations => They need to be denser*

Results

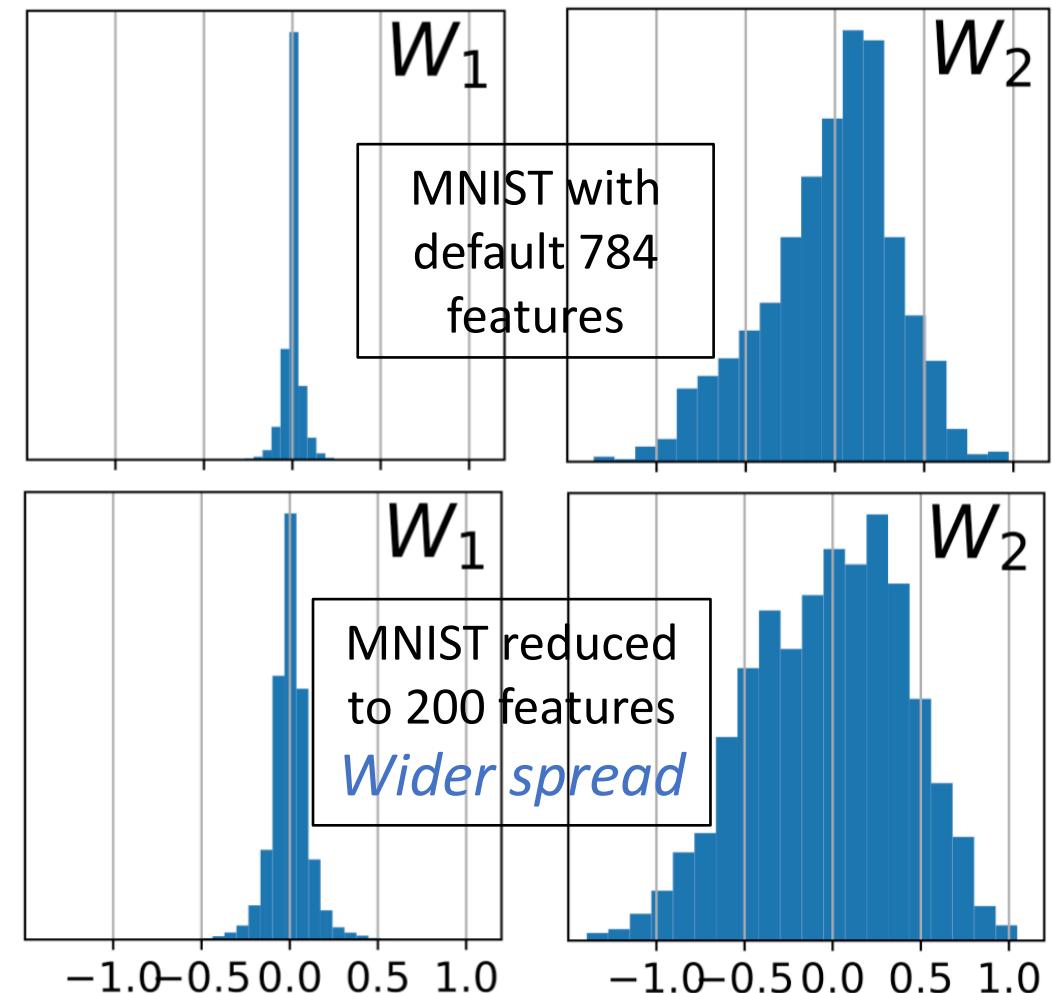
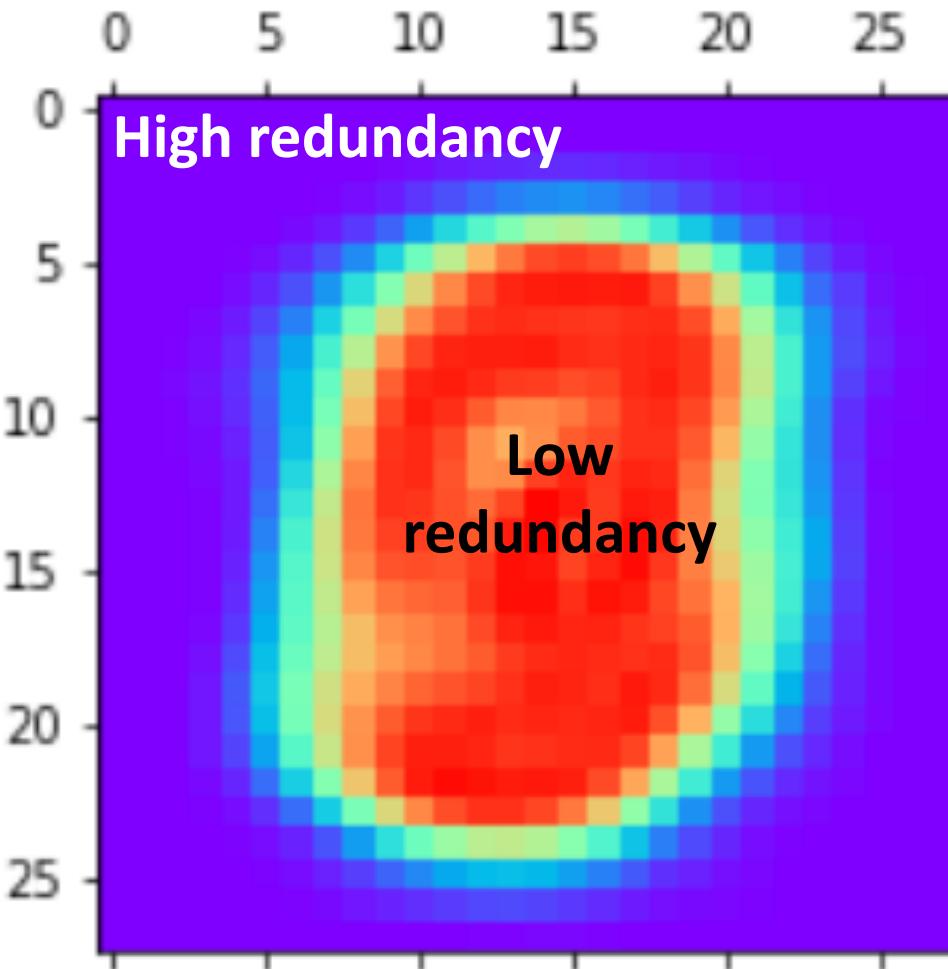
Each curve keeps ρ_2 fixed and varies ρ_{net} by varying ρ_1

For the same ρ_{net} , $\rho_2 > \rho_1$ improves performance

Mostly similar trends observed for deeper networks



2. Dataset redundancy

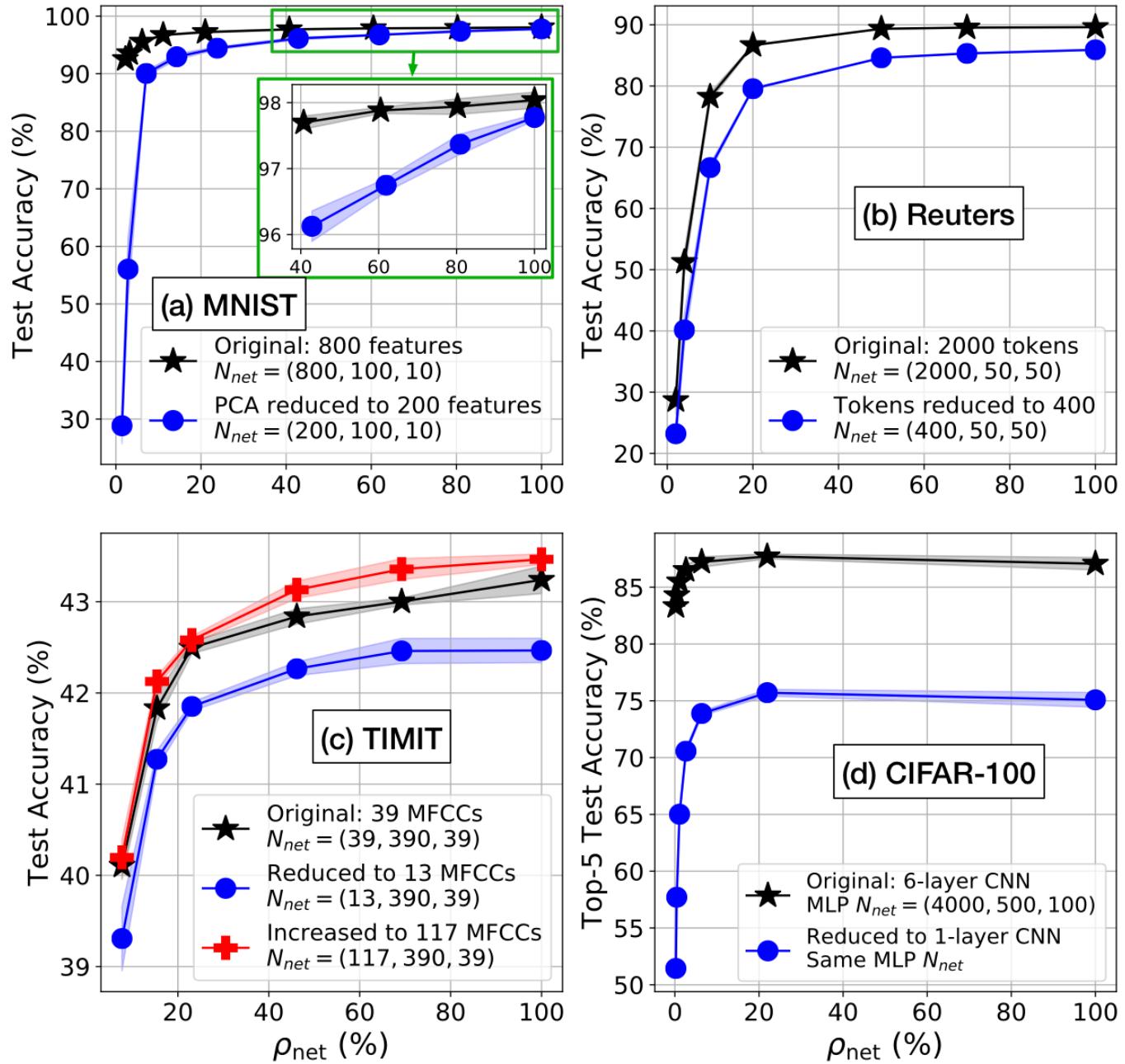


*Less redundancy => Less
sparsification possible*

Results

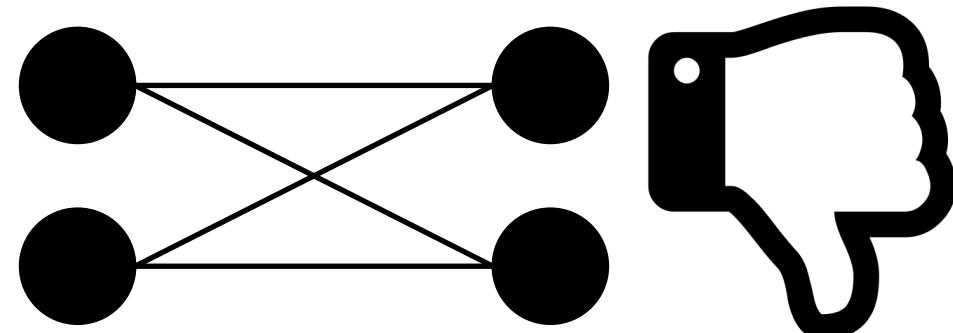
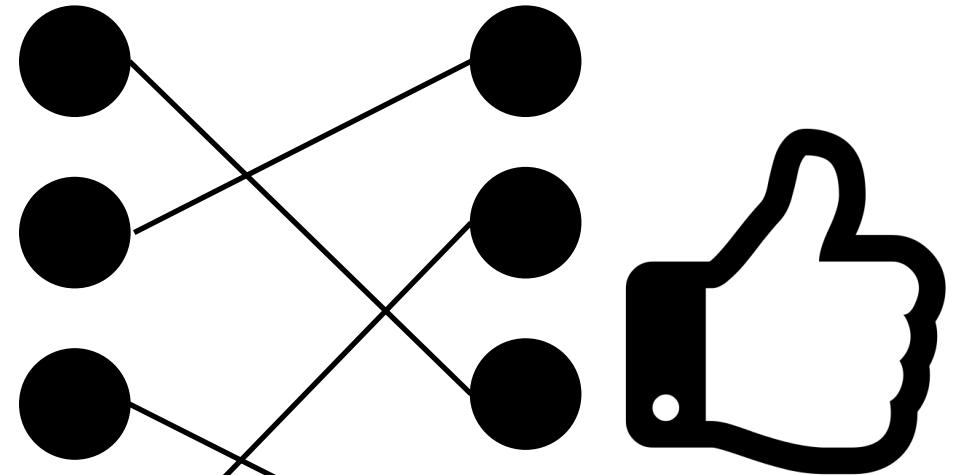
Reducing redundancy leads to increased performance degradation on sparsification

Pre-defined sparse design is problem-dependent



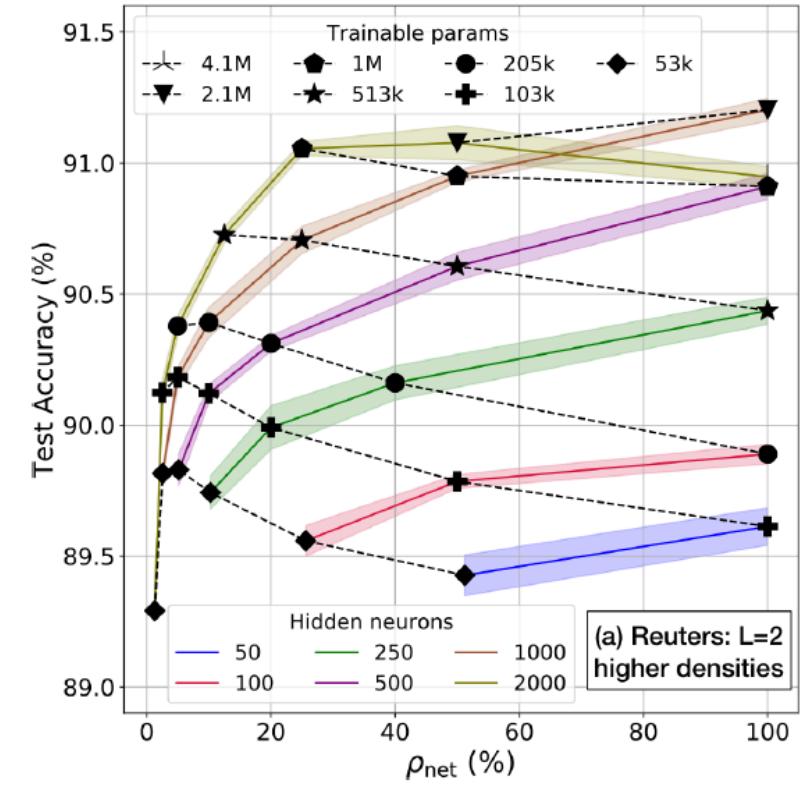
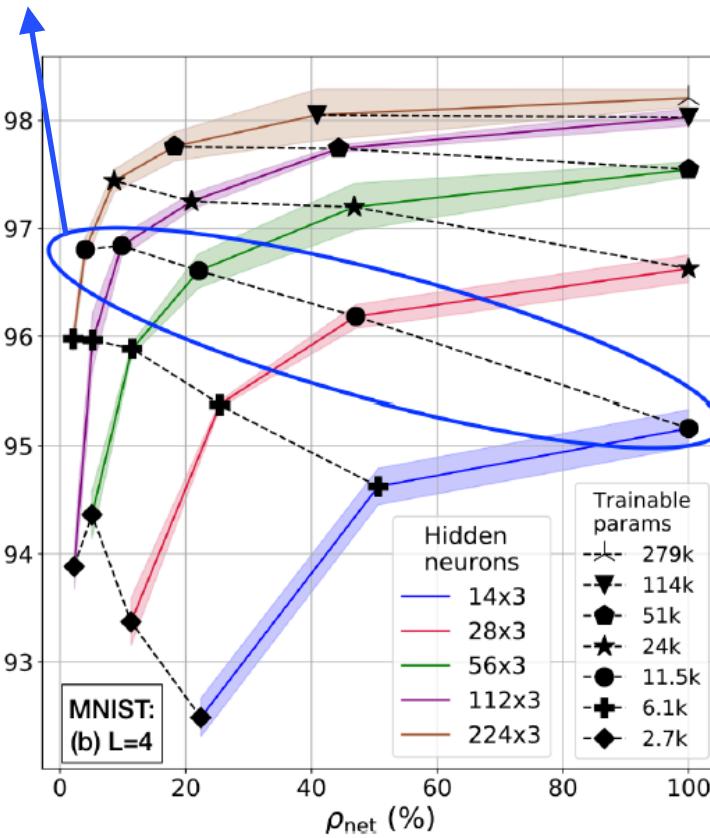
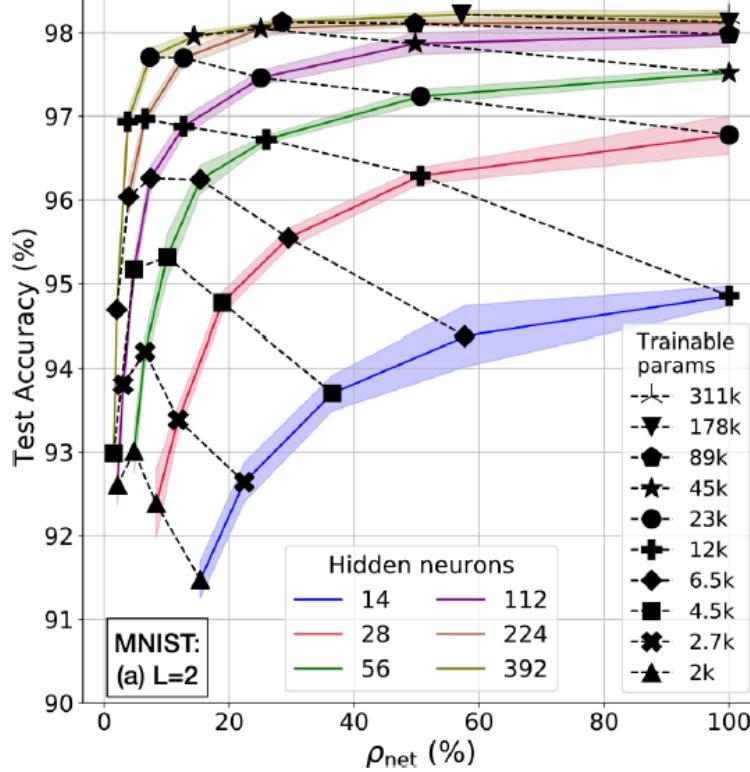
3. ‘Large sparse’ vs ‘small dense’ networks

A sparser network with more hidden nodes will outperform a denser network with less hidden nodes, when both have same number of weights



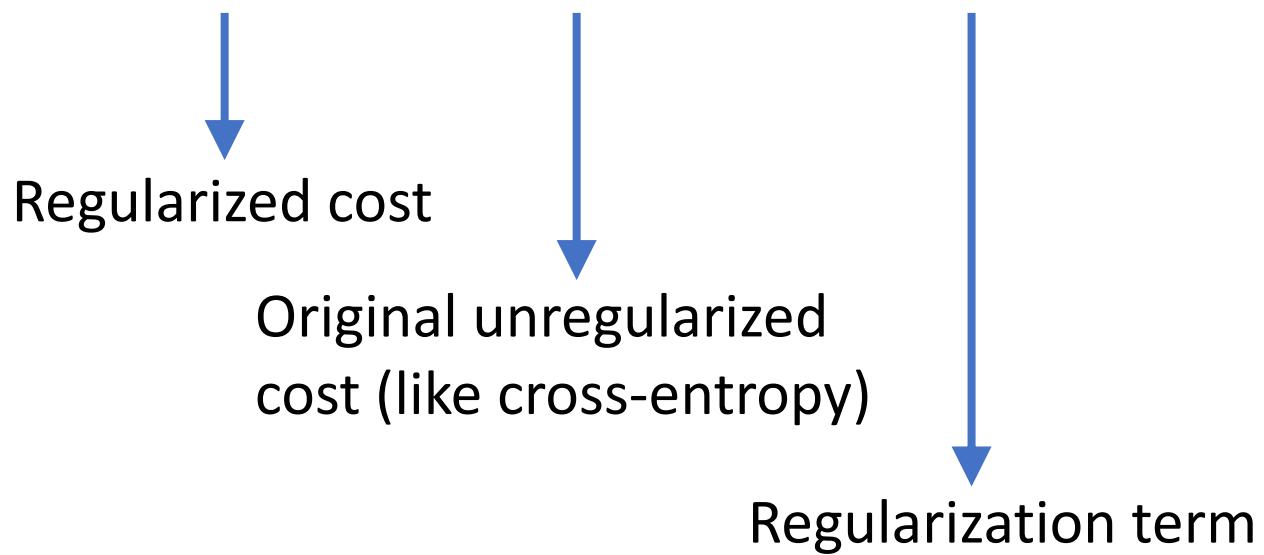
Results

Networks with same number of parameters go from bad to good as #nodes in hidden layers is increased



4. Regularization

$$C(\mathbf{w}) = C_0(\mathbf{w}) + \lambda \|\mathbf{w}\|_2^2$$



Pre-defined sparse networks need smaller λ (as determined by validation)

Overall Density	λ
100 %	1.1×10^{-4}
40 %	5.5×10^{-5}
11 %	0

Example for MNIST 2-junction networks

Pre-defined sparsity reduces the overfitting problem stemming from over-parametrization in big networks

Applications and extensions of pre-defined sparsity

A hardware architecture for on-device training and inference, prototype implemented on FPGA

S. Dey, Y. Shao, K. M. Chugg and P. A. Beerel, "Accelerating training of deep neural networks via sparse edge processing," in *26th International Conference on Artificial Neural Networks (ICANN)* Part 1, pp. 273-280. Springer, Sep 2017.

Transferred to and currently being developed by team SAPIENT, in collaboration with DTRA and USC Information Sciences Institute (ISI).

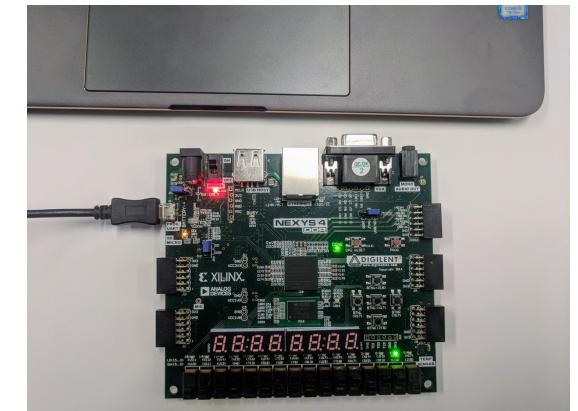
Sparsifying kernels / filters in convolutional layers

S. Kundu, M. Nazemi, M. Pedram, K. M. Chugg and P. A. Beerel, "Pre-defined Sparsity for Low-Complexity Convolutional Neural Networks," in *IEEE Transactions on Computers*, 2020.

Custom sparse libraries in software frameworks

- torch.sparse – Experimental API with room for improvement
- tensorflow.sparse

S. Dey, "Sparse Matrices in Pytorch," in *Towards Data Science, Medium publication*, 2019.
<https://towardsdatascience.com/sparse-matrices-in-pytorch-be8ecacae6>



\mathbf{W}_{11}	\mathbf{W}_{12}	\mathbf{W}_{13}
\mathbf{W}_{21}	\mathbf{W}_{22}	\mathbf{W}_{23}
\mathbf{W}_{31}	\mathbf{W}_{32}	\mathbf{W}_{33}

Deep-n-Cheap

Automated search framework to
explore performance-complexity
tradeoffs in CNN design

Objective

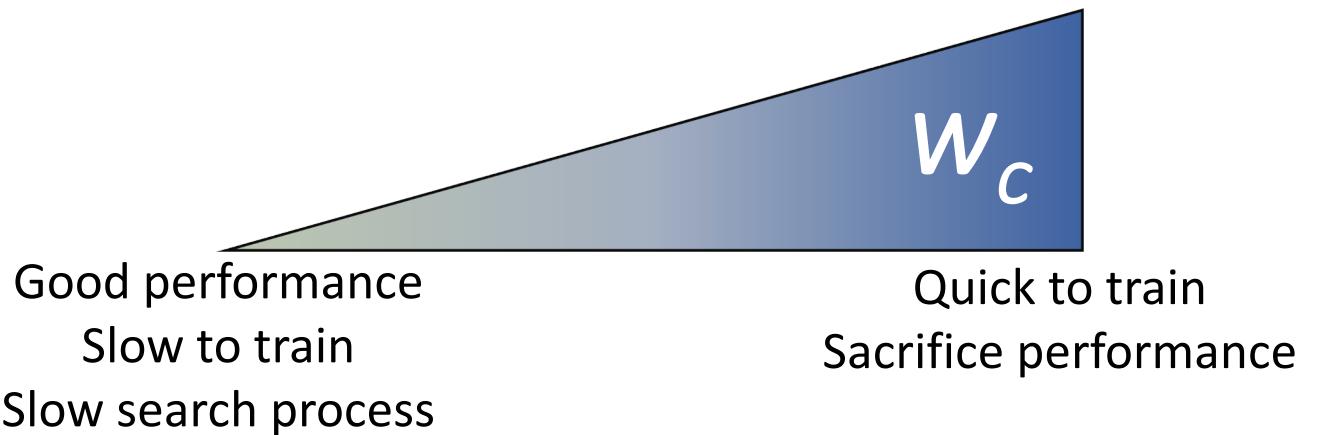
*Find networks which optimize performance
keeping in mind given complexity constraints*

Loss function for search: $f = f_p(\text{Performance}) + w_c * f_c(\text{Complexity})$

1 – val accuracy

Wall clock time to
train per epoch

w_c is like regularization



The optimization problem for f

Performance and complexity are both functions of overall config:

- Discrete architecture hyperparameters (#layers, type of layer, ...), AND
- Continuous training hyperparameters (learning rate, weight decay, ...)

Example: $\mathbf{x}_i = (\text{10 conv layers}, \text{6 batchnorm layers}, \text{lr}=10^{-3}, \text{weight_decay}=10^{-4})$

Approaches:

- **Bayesian optimization**
- *Grid search*

Bayesian optimization

Sample $f(\cdot)$ for n initial configs and model via a *Gaussian process*

$$f(\mathbf{X}_{1:n}) \sim \mathcal{N} \left(\begin{matrix} \boldsymbol{\mu} \\ n \times 1 \end{matrix}, \Sigma \right)$$

$$\Sigma = \begin{bmatrix} k(\mathbf{x}_1, \mathbf{x}_1) & \cdots & k(\mathbf{x}_1, \mathbf{x}_n) \\ \vdots & \text{Covariance} & \vdots \\ k(\mathbf{x}_n, \mathbf{x}_1) & \cdots & k(\mathbf{x}_n, \mathbf{x}_n) \end{bmatrix}$$

Calculate *expected improvement* for new configs

$$EI(\mathbf{x}_{\text{new}}) = (f^* - \mu) P \left(\frac{f^* - \mu}{\sigma} \right) + \sigma p \left(\frac{f^* - \mu}{\sigma} \right)$$

Current best
 $N(0,1)$ cdf
 $N(0,1)$ pdf

Expensive f evaluations are minimized

Designing the ‘ramp’ kernel function

Distance $d(x_1, x_2) = \omega \left(\frac{|x_1 - x_2|}{u - l} \right)^r$

Kernel $k(x_1, x_2) = e^{-d^2/2}$

Example: batch_size

Given: $l=32 \leq \text{batch_size} \leq u=512$

$x_1 = 200, x_2 = 100, \omega = 3, r = 1$

=> $k = 0.82$

Kernel values for each search parameter are combined to get overall kernel between 2 configs

Search framework

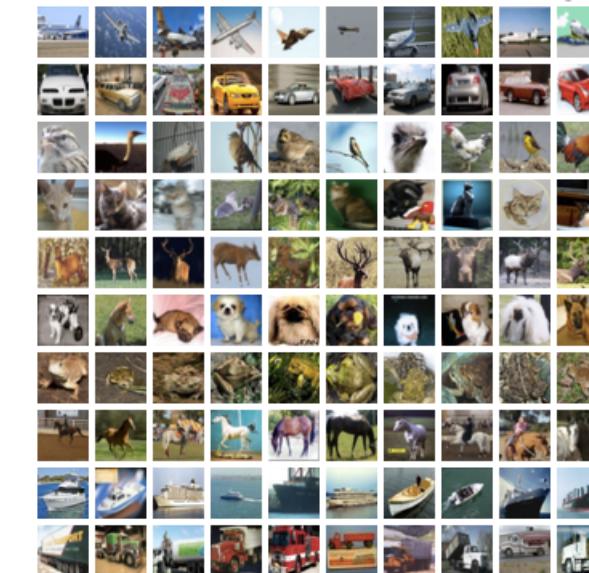
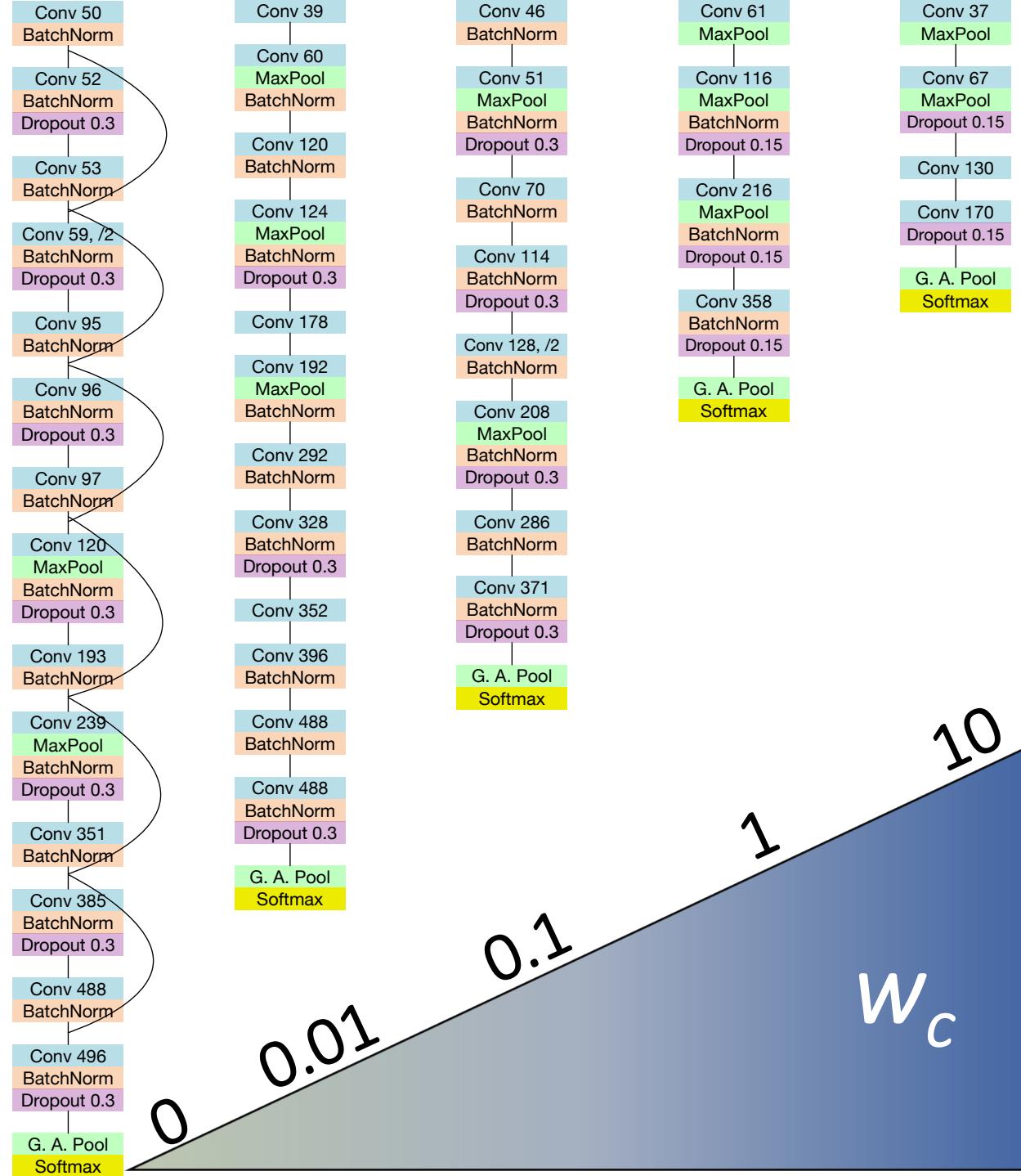
- Step 1 – **Core architecture** using Bayesian optimization
 - Number of convolutional layers
 - Number of filters in each

Combined space
- Step 2 – **Remaining architecture** using grid search
 - 1. Strides vs max pooling
 - 2. Batch normalization locations
 - 3. Dropout locations and probabilities
 - 4. Shortcut connections

*Sequential, but
order can be
interchanged*
- Step 3 – **Training hyperparameters** using Bayesian optimization
 - Initial learning rate
 - Weight decay
 - Batch size

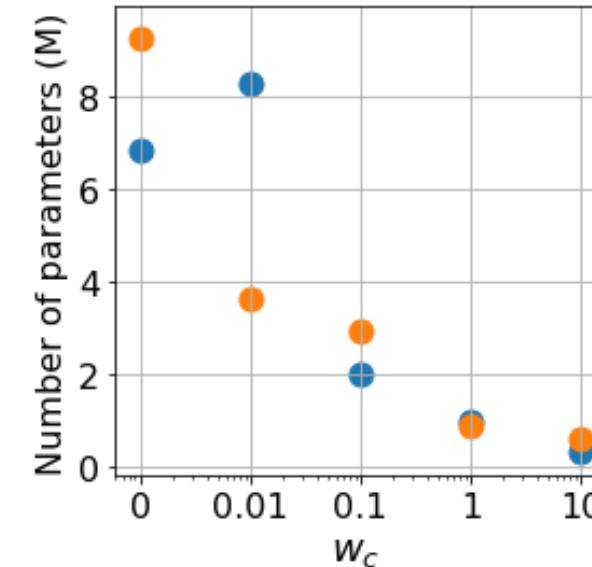
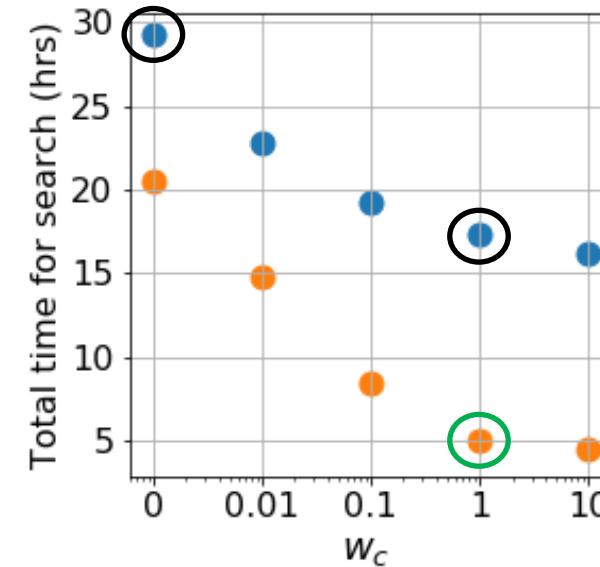
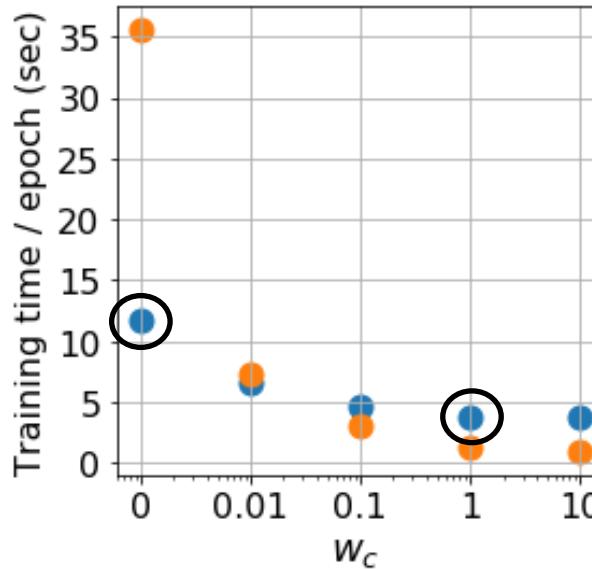
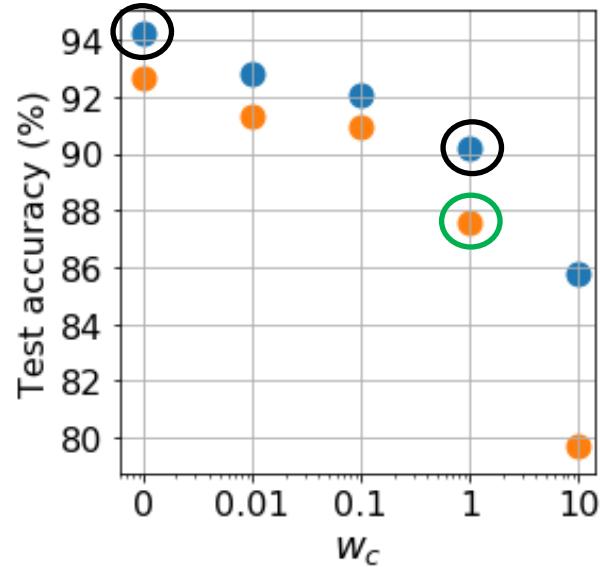
Combined space

CIFAR-10 Results



w_c	Initial lr	Weight decay	Batch size
0	0.001	3×10^{-4}	195
0.01	0.001	8.3×10^{-5}	256
0.1	0.001	1.2×10^{-5}	459
1	0.003	0	452
10	0.001	0	256

Effect of w_c



Legend:

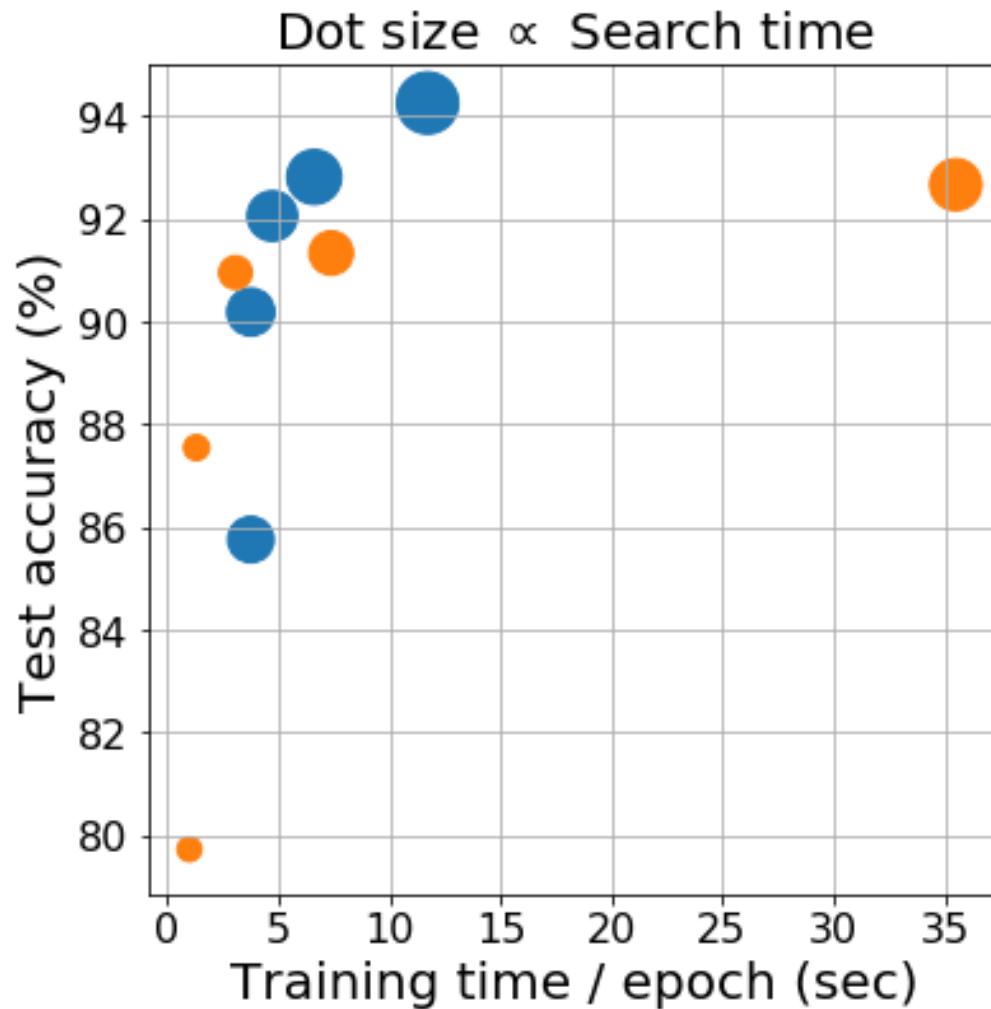
CIFAR-10 with basic augmentation – normalization, flips, crops. Incurs data loading overheads!

CIFAR-10 without any preprocessing / augmentation. No data loading overheads!

Some observations:

- *Tradeoffs when switching from $w_c = 0$ (14 layers) to $w_c = 1$ (4 layers) :* Per epoch training time reduces by 3X and overall search time by >10 hrs at the cost of 4% performance
- *A 5 hr search yields a net with 88% accuracy on CIFAR-10.*

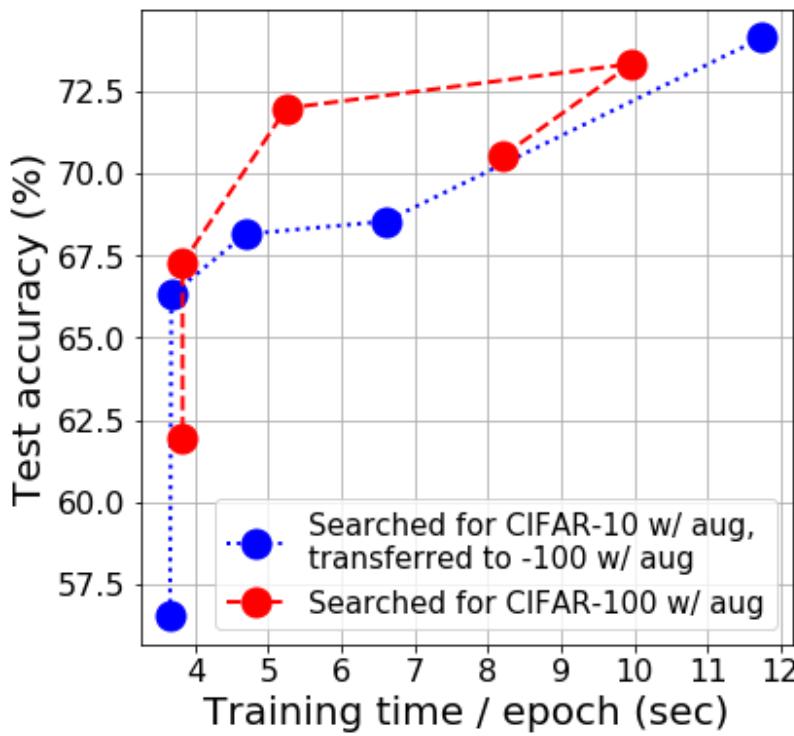
Performance - Complexity Tradeoff



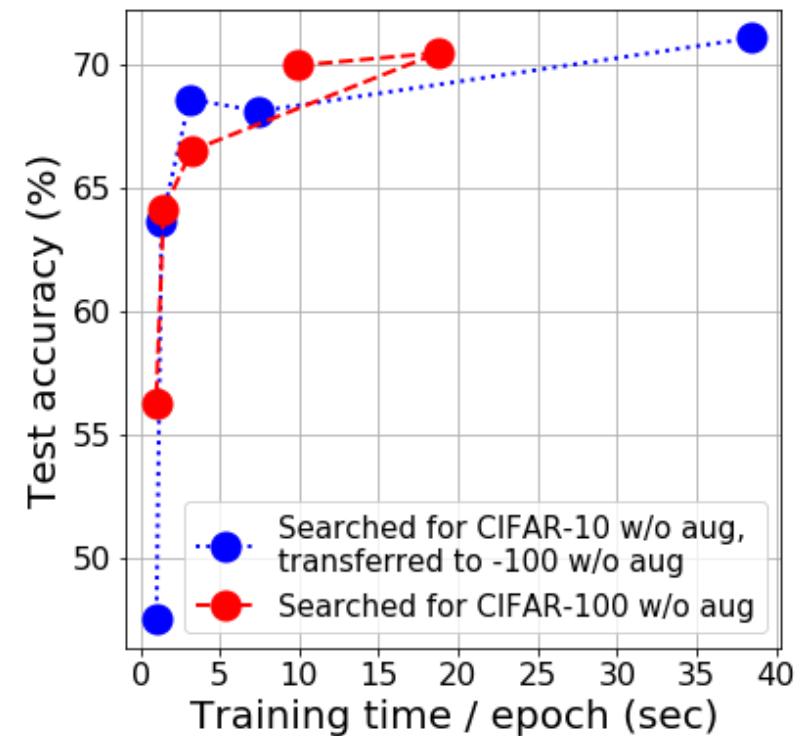
Spend a lot to get that last bit of performance!

Transfer learning to CIFAR-100

*Given a search process optimized for dataset A, how do the **best configs** perform on dataset B?
In other words, how do they compare with configs from a **separate search** optimized for B?*



Best network for CIFAR-10 performs better on CIFAR-100 than the best network for CIFAR-100!



w_c varies through [10, 1, 0.1, 0.01, 0] along each line

Our upcoming work...

- We will release Deep-n-Cheap as an autoML framework for people to freely use to explore performance-complexity tradeoffs. (*ETA: On Github in April*)
 - Existing frameworks like AutoKeras and AutoGluon are limited in the architectures they search. For example, AutoGluon takes mins / epoch to try >50 layer networks on CIFAR-10.
 - Other existing frameworks like Auto-sklearn and Auto-PyTorch only support MLPs.

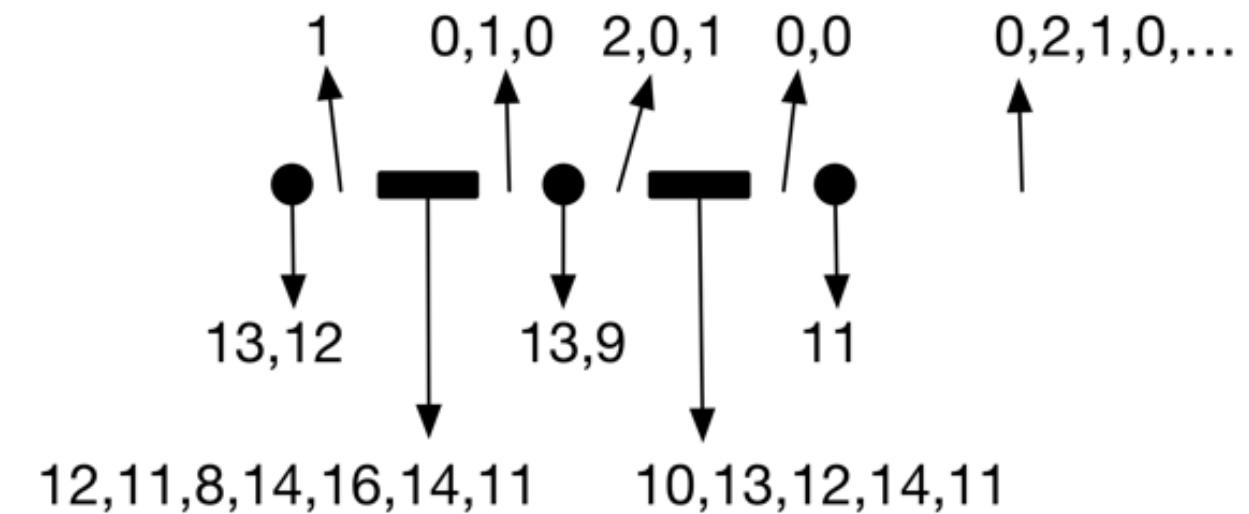
Dataset Engineering

A family of synthetic datasets
of customizable difficulty for
ML classification problems

Synthetic Datasets on Morse Code Classification

- Inputs: Intensity values for dots, dashes and spaces in Morse codewords
- Outputs: The actual symbol represented by the codeword
- Added features to customize difficulty such as noise and dataset size
- Cheaply generate large quantities of data

S. Dey, K. M. Chugg and P. A. Beerel, "Morse Code Datasets for Machine Learning," in ICCCNT 2018. *Won Best Paper award.*
<https://github.com/usc-hal/morse-dataset>



Team Members



Peter Beerel
Professor



Keith Chugg
Professor



Leana Golubchik
Professor



Kuan-Wen Huang
PhD Student



Andrew Schmidt
Senior Computer Scientist, USC ISI



Souvik Kundu
PhD Student



Saikrishna C. Kanala
MS Student

... and others

Thank you!

I'll graduate soon and plan to
conduct postdoctoral research

<https://souryadey.github.io/>

