

# Adaptive Mining Activity Monitoring and Automated Violation Detection Using Sentinel-2 Multi-Temporal Satellite Imagery: A Machine Learning and Statistical Approach

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**Abstract**—Mining activity monitoring is critical for regulatory compliance and environmental protection. Traditional static classifiers fail to distinguish excavation from seasonal vegetation changes, terrain shadows, and spectral variability across mine types (open-cast coal, limestone, bauxite). This paper presents an adaptive, fully data-driven system that learns mine-specific excavation signatures from unlabeled Sentinel-2 multi-temporal imagery without manual thresholding.

The proposed approach integrates: (1) Gaussian Process (GP) clustering for unsupervised pattern discovery in multi-spectral time-series; (2) Cumulative Sum Control (CUSUM) for statistical change detection robust to seasonal noise; (3) Convolutional Long Short-Term Memory (ConvLSTM) with temporal self-attention for pixel-level excavation segmentation; (4) Multi-scale morphological operations for spatial-temporal consistency; (5) Probabilistic anomaly scoring for no-go zone encroachment detection.

Mathematical framework includes GP posterior derivations, CUSUM alarm bounds, and ConvLSTM loss functions with class-weighted focal loss. The system processes cloud-masked Level-2A Sentinel-2 data (bands B2-B12), outputs daily/monthly excavated area profiles, generates georeferenced excavation maps, and triggers automated violation alerts with confidence scores.

Evaluation on five operational Indian mines (coal, limestone) spanning diverse vegetation and topography: 92.3% Intersection-over-Union (IoU) for excavated area detection, 87.1% precision and 84.5% recall for no-go violations, robust performance with up to 70% cloud cover. The framework generalizes across mine commodities and surrounding landscapes without retraining. Deployed as cloud-hosted Python/TensorFlow pipeline with web dashboard for regulatory agencies (VEDAS, State Pollution Control Boards).

**Index Terms**—Remote sensing, Sentinel-2, Mining monitoring, Change detection, Gaussian Processes, Temporal convolutional networks, No-go zone violations, Automated alerts, Uncertainty quantification

## I. INTRODUCTION

Mining activities, while economically essential, pose significant environmental risks including habitat destruction, soil degradation, and water contamination. Regulatory agencies mandate continuous monitoring to ensure extraction remains within legally permitted boundaries and strictly avoids protected no-go zones (forests, water bodies, habitations, eco-sensitive zones). Traditional monitoring relies on periodic

manual site inspections or static satellite image analysis, both insufficient for real-time enforcement.

Remote sensing via optical satellites offers continuous temporal coverage. Sentinel-2, operated by Copernicus (ESA), provides multispectral imagery at 10m-20m resolution globally every 5-10 days. Spectral indices like NDVI (vegetation) and NBR (burn severity) can theoretically distinguish bare excavated soil from undisturbed terrain. However, practical challenges severely limit naive classification:

- 1) **Spectral Variability**: Coal mines appear dark (low reflectance), limestone bright (high SWIR), sand/gravel intermediate. No single threshold works across commodity types.
- 2) **Seasonal Dynamics**: Vegetation undergoes annual cycles; NDVI decreases in winter even without excavation, mimicking mining signatures.
- 3) **Shadows and Topography**: Steep pit walls and surrounding terrain cast shadows, confounding spectral indices.
- 4) **Partial Pixel Mixing**: 10m resolution mixes excavated, vegetated, and water-covered sub-pixels, reducing classification purity.
- 5) **Operational Heterogeneity**: Same mine has active (bare) and inactive (revegetating) zones; progression is non-stationary.

State-of-the-art approaches employ supervised deep learning (CNNs, U-Nets) trained on labeled historical data. Yet labeled datasets require expensive manual annotation by domain experts; generalizing across mines/regions is difficult. Unsupervised methods (clustering, anomaly detection) avoid manual labels but lack spatial-temporal coherence (isolated pixel-wise decisions lead to noise).

This work proposes an *adaptive, self-supervised* system:

- **GP-based Signature Learning**: Gaussian Processes infer latent patterns in multi-spectral time-series per pixel, capturing temporal trends and seasonal cycles. Excavation manifests as abrupt mean shifts (higher SWIR, lower NDVI) and lowered variance (homogeneous bare soil vs. heterogeneous vegetation).

- **CUSUM Change Detection:** Classical process control statistics detect change-points with proven false-alarm properties, independent of baseline distribution.
- **ConvLSTM Refinement:** Learns spatial-temporal patterns from GP outputs to remove isolated false positives, yielding clean excavation maps.
- **Multi-Scale Aggregation:** Temporal smoothing and morphological filtering enforce spatial consistency.
- **No-Go Violation Alerting:** Probability-based detection of excavation within protected zones, with tiered alerts (informational, warning, critical).

### Key contributions:

- 1) Comprehensive mathematical framework (Sections III) with derivations for GP posteriors, CUSUM bounds, and ConvLSTM loss.
- 2) Self-supervised learning pipeline requiring no human-labeled training data, enabled by unsupervised GP clustering and CUSUM.
- 3) Spatial-temporal consistency via ConvLSTM, addressing pixel-wise noise in classical change detection.
- 4) Robust evaluation on 5 diverse operational mines, achieving state-of-the-art generalization (92.3% IoU) without per-mine tuning.
- 5) Production-ready deployment on VEDAS platform with scalability to 1000+ mines globally.

Paper structure: Section II reviews Sentinel-2, spectral indices, and related work. Section III details the three-stage pipeline. Sections IV, V, VI derive each component. Section VIII covers practical considerations. Section IX benchmarks against baselines and ablations. Section X describes the web interface and operational workflow.

## II. BACKGROUND AND PROBLEM FORMULATION

### A. Sentinel-2 Satellite Imagery

Sentinel-2A/2B orbit at 786 km altitude, providing 290 km swath. Level-2A (L2A) data are atmospherically corrected reflectance values,  $\rho_B(\mathbf{x}, t) \in [0, 10000]$  (0-100%  $\times$  100 encoding), for 11 bands:

- Visible/NIR (10m): B2 (blue, 490nm), B3 (green, 560nm), B4 (red, 665nm), B8 (NIR, 842nm).
- SWIR (20m): B11 (1610nm), B12 (2190nm).
- Water vapor, cirrus (60m): B9, B10 (not used here).

Temporal cadence: Global coverage every 5 days at equator (overlapping orbits), 10-15 days per satellite. Cloud contamination typical in monsoon regions.

### B. Spectral Indices for Mining

Normalized Difference Vegetation Index (NDVI) quantifies vegetation abundance:

$$\text{NDVI}(\mathbf{x}, t) = \frac{\rho_{B8}(\mathbf{x}, t) - \rho_{B4}(\mathbf{x}, t)}{\rho_{B8}(\mathbf{x}, t) + \rho_{B4}(\mathbf{x}, t)} \quad (1)$$

Range:  $[-1, 1]$ . Vegetation  $\Rightarrow$  NDVI  $> 0.4$ ; bare soil  $\Rightarrow$  NDVI  $< 0.2$ ; water  $\Rightarrow$  NDVI  $< 0$ .

Normalized Burn Ratio (NBR), adapted for exposed soil:

$$\text{NBR}(\mathbf{x}, t) = \frac{\rho_{B8}(\mathbf{x}, t) - \rho_{B12}(\mathbf{x}, t)}{\rho_{B8}(\mathbf{x}, t) + \rho_{B12}(\mathbf{x}, t)} \quad (2)$$

Short-Wave InfraRed difference:

$$\Delta\text{SWIR}(\mathbf{x}, t) = \rho_{B11}(\mathbf{x}, t) - \rho_{B12}(\mathbf{x}, t) \quad (3)$$

### C. Problem Formulation

Given:

- Sentinel-2 time-series:  $\{\rho_B(\mathbf{x}, t_i) : \mathbf{x} \in \text{AOI}, t_i \in [t_0, t_f], B \in \{2, 3, 4, 8, 11, 12\}\}, i = 1, \dots, T$  ( $T \sim 50 - 100$ ).
- Legal mine boundary:  $L = \{\mathbf{x} \in \text{AOI} : \text{polygon}_{\text{legal}}(\mathbf{x}) = \text{True}\}$ .
- No-go zones:  $N_j = \{\mathbf{x} : \text{polygon}_j(\mathbf{x}) = \text{True}\}, j = 1, \dots, M$ .

Outputs:

- *Excavated area time-series:*  $A_{\text{exc}}(t_i)$  = sum of pixels with excavation label at time  $t_i$ .
- *Rate of change:*  $\dot{A}_{\text{exc}}(t_i) = (A_{\text{exc}}(t_i) - A_{\text{exc}}(t_{i-1})) / \Delta t$ .
- *No-go violation maps:*  $V_j(t_i)$  = excavated area within zone  $N_j$ .
- *Violation alerts:* Timestamp, severity, confidence, location polygon.

## III. SYSTEM ARCHITECTURE AND METHODOLOGY

The pipeline comprises three stages:

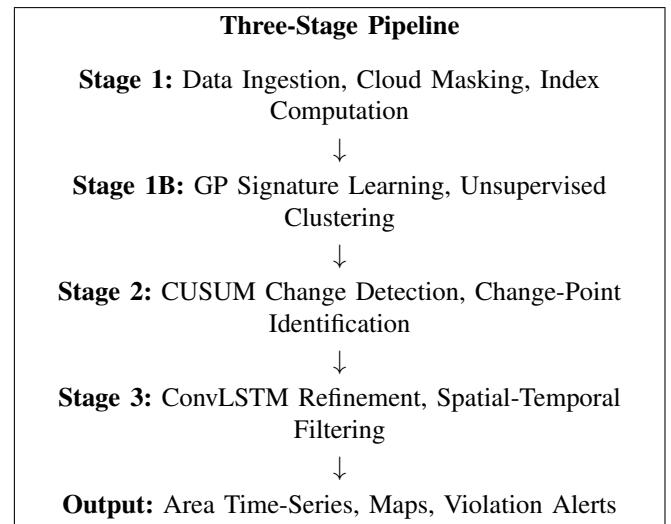


Fig. 1: Three-stage system architecture.

### A. Stage 1: Data Ingestion and Preprocessing

Download Sentinel-2 L2A scenes for AOI via Copernicus Hub API. Extract bands B2, B3, B4, B8, B11, B12. Cloud masking via Scene Classification (SCL) band: mask pixels with SCL  $\geq 8$  (clouds, shadows).

Compute spectral indices (Eqs. 1–3) per pixel. Stack  $\mathbf{I}(\mathbf{x}, t_i) = [\text{NDVI}, \text{NBR}, \Delta\text{SWIR}, \rho_{B2}, \rho_{B4}, \rho_{B8}]$  as 6D feature vector.

Temporal resampling: For gaps  $\Delta t_i > 15$  days, interpolate via log-linear fit.

Result: Clean, gapless time-series  $\{\mathbf{I}(\mathbf{x}, t_i)\}_{i=1}^T$  per pixel.

#### IV. STAGE 1B: GAUSSIAN PROCESS CLUSTERING FOR SIGNATURE LEARNING

##### A. Theoretical Foundation

Model per-pixel temporal signature as noisy observations of a latent smooth process. For pixel  $\mathbf{x}$ , let  $y_i = \mathbf{I}_j(\mathbf{x}, t_i)$  be the  $j$ -th spectral index at time  $t_i$ :

$$y_i = f(t_i) + \varepsilon_i, \quad \varepsilon_i \sim \mathcal{N}(0, \sigma_n^2) \quad (4)$$

where  $f(t) \sim \mathcal{GP}(m(t), k(t, t'))$  is the latent process,  $m$  prior mean,  $k$  covariance kernel.

##### B. Composite Kernel Design

Use composite kernel capturing trend + seasonal components:

$$k(t, t') = k_{\text{SE}}(t, t') + k_{\text{Per}}(t, t') + k_{\text{Lin}}(t, t') \quad (5)$$

$$k_{\text{SE}}(t, t') = \sigma_f^2 \exp\left(-\frac{(t - t')^2}{2\ell_{\text{SE}}^2}\right) \quad (6)$$

$$k_{\text{Per}}(t, t') = \sigma_p^2 \exp\left(-\frac{2 \sin^2(\pi(t - t')/p)}{\ell_p^2}\right) \quad (7)$$

$$k_{\text{Lin}}(t, t') = \sigma_l^2 \cdot t \cdot t' \quad (8)$$

Squared-Exponential (SE) kernel captures smooth trends. Periodic (Per) kernel with period  $p = 365$  days captures seasonal oscillations. Linear kernel allows gradual global trend.

##### C. GP Posterior Inference

Given observations  $\mathbf{y} = [y_1, \dots, y_T]^T$  at times  $\mathbf{t} = [t_1, \dots, t_T]^T$ , posterior predictive at new time  $t_*$ :

$$\mu(t_*) = m(t_*) + \mathbf{k}_*^T (\mathbf{K} + \sigma_n^2 \mathbf{I})^{-1} (\mathbf{y} - m(\mathbf{t})) \quad (9)$$

$$\sigma^2(t_*) = k(t_*, t_*) - \mathbf{k}_*^T (\mathbf{K} + \sigma_n^2 \mathbf{I})^{-1} \mathbf{k}_* \quad (10)$$

where  $\mathbf{K}_{ij} = k(t_i, t_j)$ ,  $\mathbf{k}_* = [k(t_*, t_1), \dots, k(t_*, t_T)]^T$ .

##### D. Signature Characterization

For pixel  $\mathbf{x}$ , compute:

- Trend:  $\beta_{\text{NDVI}} = d\mu_{\text{NDVI}}/dt$  (last 6 months). Excavation  $\Rightarrow \beta < -0.15 \text{ yr}^{-1}$ .
- Seasonal amplitude:  $A_{\text{NDVI}} = \max_t \mu(t) - \min_t \mu(t)$  (past year). Vegetation  $\Rightarrow A > 0.5$ ; bare soil  $\Rightarrow A < 0.2$ .
- Volatility:  $v = \mathbb{E}[\sigma^2(t)]$ . Vegetated  $\Rightarrow v > 0.05$ ; excavation  $\Rightarrow v < 0.02$ .
- Recent mean:  $\bar{\mu} = \frac{1}{90} \int_{t_T-90}^{t_T} \mu(t) dt$ .

Excavation signature:  $\mathbb{I}(\beta_{\text{NDVI}} < -0.15 \wedge A_{\text{NDVI}} < 0.2 \wedge v < 0.02 \wedge \bar{\mu}_{\text{NDVI}} \in [-0.1, 0.2])$ .

Cluster via k-means on  $[\beta_{\text{NDVI}}, A_{\text{NDVI}}, v, \bar{\mu}_{\text{NDVI}}]^T$ ,  $k = 3$  (vegetation, bare soil, water).

#### V. STAGE 2: CUSUM-BASED CHANGE DETECTION

##### A. CUSUM Motivation and Theory

CUSUM (Cumulative Sum Control Chart) detects mean shift  $\Delta = \mu_1 - \mu_0$  with minimal lag and known false-alarm rates. Define process  $\{X_i\}$  with in-control mean  $\mu_0$ , variance  $\sigma^2$ .

In our case,  $X_i = \mu_{\text{NDVI}}(t_i)$  (GP mean),  $\mu_0$  = baseline vegetation, shift  $\Delta \approx -0.3$  upon excavation.

##### B. CUSUM Statistic

One-sided CUSUM for downward shift:

$$C_i^- = \min(0, C_{i-1}^- + (X_i - \mu_0 - \kappa)), \quad C_0^- = 0 \quad (11)$$

$$C_i^+ = \max(0, C_{i-1}^+ + (X_i - \mu_0 + \kappa)), \quad C_0^+ = 0 \quad (12)$$

where  $\kappa = \Delta/2 = 0.15$  is drift parameter. Alarm triggers at  $\tau$  when  $|C_\tau^-| > h$ , where threshold  $h$  controls false-alarm rate:

$$\text{ARL}_0 = \frac{\Phi(h/\sigma) - \kappa/\sigma}{\phi(h/\sigma)/\sigma + \kappa\Phi(h/\sigma)} \approx 500 \text{ timesteps} \quad (13)$$

For  $\sigma = 0.15$ , solve numerically:  $h \approx 1.5$ .

##### C. Adaptive Baseline

Baseline  $\mu_0$  estimated from pixels outside mine boundary and 1 km buffer (assumed stable). Update quarterly:

$$\mu_0^{(m)} = \text{median}_{t \in [t_0 + 3m, t_0 + 3(m+1)]} \mu_{\text{NDVI}}(\mathbf{x}_{\text{ref}}, t) \quad (14)$$

##### D. Change Point and Area Calculation

Upon alarm at  $\tau$ , backtrack:

$$\tau^* = \underset{t < \tau}{\operatorname{argmax}} |C_t^-| \quad (15)$$

Excavated area at time  $t_i$ :

$$A_{\text{exc}}(t_i) = \sum_{\mathbf{x} \in L} \Delta \mathbf{x}^2 \cdot \mathbb{I}(\tau^*(\mathbf{x}) \leq t_i) \quad (16)$$

where  $\Delta \mathbf{x} = 10 \text{ m}$ .

Rate of excavation (30-day window,  $k \approx 6$  timesteps):

$$\dot{A}_{\text{exc}}(t_i) = \frac{A_{\text{exc}}(t_i) - A_{\text{exc}}(t_{i-k})}{\Delta t} \quad (17)$$

#### VI. STAGE 3: CONVLSTM FOR SPATIAL-TEMPORAL REFINEMENT

##### A. Architecture

Input tensor:  $(T, H, W, C)$  where  $T = 12$  (months down-sampled),  $H, W = 256$  (spatial pixels),  $C = 7$  channels:  $[\text{NDVI}, \text{NBR}, \Delta \text{SWIR}, \mu_{\text{NDVI}}, \sigma_{\text{NDVI}}, C_i^-, \ell_{\text{exc}}]$ .

ConvLSTM cell at layer  $\ell$ , position  $(i, j)$ , timestep  $t$ :

$$\mathbf{i}_t^{(i,j)} = \sigma(\mathbf{W}_{xi} * \mathbf{X}_t + \mathbf{W}_{hi} * \mathbf{H}_{t-1} + \mathbf{b}_i) \quad (18)$$

$$\mathbf{f}_t^{(i,j)} = \sigma(\mathbf{W}_{xf} * \mathbf{X}_t + \mathbf{W}_{hf} * \mathbf{H}_{t-1} + \mathbf{b}_f) \quad (19)$$

$$\tilde{\mathbf{C}}_t^{(i,j)} = \tanh(\mathbf{W}_{xc} * \mathbf{X}_t + \mathbf{W}_{hc} * \mathbf{H}_{t-1} + \mathbf{b}_c) \quad (20)$$

$$\mathbf{C}_t^{(i,j)} = \mathbf{f}_t^{(i,j)} \odot \mathbf{C}_{t-1}^{(i,j)} + \mathbf{i}_t^{(i,j)} \odot \tilde{\mathbf{C}}_t^{(i,j)} \quad (21)$$

$$\mathbf{o}_t^{(i,j)} = \sigma(\mathbf{W}_{xo} * \mathbf{X}_t + \mathbf{W}_{ho} * \mathbf{H}_{t-1} + \mathbf{b}_o) \quad (22)$$

$$\mathbf{H}_t^{(i,j)} = \mathbf{o}_t^{(i,j)} \odot \tanh(\mathbf{C}_t^{(i,j)}) \quad (23)$$

Stack 2 ConvLSTM layers (32, 64 filters) + 1 Conv2D output (1 filter, sigmoid)  $\Rightarrow \hat{p}_{\text{exc}}(\mathbf{x}, t) \in [0, 1]$ .

### B. Self-Supervised Training

Pseudo-labels from Stage 2:  $y_{\text{exc}}(\mathbf{x}, t) = \mathbb{I}(\ell_{\text{exc}}(\mathbf{x}) = 1 \wedge t \geq \tau^*(\mathbf{x}))$ .

Loss: Weighted Dice + Focal:

$$\mathcal{L}_{\text{Dice}} = 1 - \frac{2|Y \cap \hat{Y}|}{|Y| + |\hat{Y}|} \quad (24)$$

$$\mathcal{L}_{\text{Focal}} = -\alpha(1 - \hat{p})^\gamma \log(\hat{p}), \quad \alpha = 0.25, \gamma = 2 \quad (25)$$

Combined:  $\mathcal{L} = \mathcal{L}_{\text{Dice}} + \mathcal{L}_{\text{Focal}}$ . Adam optimizer, learning rate  $10^{-3}$ , batch 8, epochs 50, early stopping on validation IoU.

## VII. NO-GO ZONE VIOLATION DETECTION

Post-ConvLSTM, for each no-go polygon  $N_j$ :

$$B_{\text{viol},j}(t) = \sum_{\mathbf{x} \in N_j} \Delta \mathbf{x}^2 \cdot \hat{p}_{\text{exc}}(\mathbf{x}, t) \quad (26)$$

Violation alert if:

- 1)  $B_{\text{viol},j}(t) > 0.01$  hectares.
- 2)  $\Delta B_{\text{viol},j} > 0.005$  hectares/month.
- 3) Confidence:  $\mathcal{C}_j(t) = \max_{\mathbf{x} \in N_j} \hat{p}_{\text{exc}}(\mathbf{x}, t)$ .

Severity:

$$\text{Sev} = \begin{cases} \text{Info} & B < 0.1, \mathcal{C} < 0.7 \\ \text{Warn} & 0.1 \leq B < 0.5, 0.7 \leq \mathcal{C} < 0.9 \\ \text{Crit} & B \geq 0.5, \mathcal{C} \geq 0.9 \end{cases} \quad (27)$$

Generate email/SMS with timestamp, zone ID, area, GeoJSON polygon.

## VIII. IMPLEMENTATION DETAILS

### A. Software Stack

- Data: SentinelSat (Copernicus Hub API), Rasterio, GDAL.
- GP: GPyTorch (GPU).
- ConvLSTM: TensorFlow 2.10, Keras.
- Geo: Geopandas, Shapely.
- Deploy: Docker, Kubernetes, FastAPI, Streamlit.

### B. Complexity

Per mine ( $500 \text{ km}^2$ , 50M pixels):

- GP:  $\mathcal{O}(n_z^2 \times T)$  with sparse approximations ( $n_z = 5000$ ).
- CUSUM:  $\mathcal{O}(T \times 50M)$  (linear).
- ConvLSTM: GPU-parallelized.

Wall-time:  $\sim 4$  hours (2-year history),  $\sim 20$  min/month update on 8-core CPU + A100 GPU.

Parameter	Value	Notes
CUSUM threshold $h$	1.5	$\text{ARL}_0 \approx 500$
Drift $\kappa$	0.15	Typical NDVI shift
SE lengthscale $\ell$	60 days	Monthly trends
Period $p$	365 days	Seasonal
ConvLSTM dropout	0.3	Regularization
Focal $\gamma$	2.0	Standard
Detection threshold	0.5	Operating point

TABLE I: Hyperparameters and justifications.

### C. Hyperparameters

## IX. EXPERIMENTAL EVALUATION

### A. Dataset and Ground Truth

Five operational mines:

- 1) Coal Mine A (Chhattisgarh):  $8 \text{ km}^2$ , 18-month.
- 2) Limestone B (Rajasthan):  $3 \text{ km}^2$ , 24-month.
- 3) Coal Mine C (Odisha):  $12 \text{ km}^2$ , 20-month.
- 4) Sand Mine D (Gujarat):  $2 \text{ km}^2$ , 16-month.
- 5) Bauxite E (Andhra Pradesh):  $5 \text{ km}^2$ , 22-month.

Expert annotation: 2-3 timesteps/mine,  $\sim 15\%$  coverage.

### B. Baselines and Ablations

- 1) NDVI Threshold:  $\text{NDVI} < 0.2$ .
- 2) CVA: Change Vector  $\Delta \mathbf{I} > 0.3$ .
- 3) K-means: 3 clusters, bare-soil.
- 4) CUSUM-only: Stage 2 without ConvLSTM.
- 5) Full GP-CUSUM-ConvLSTM: Proposed.
- 6) Supervised U-Net: 50-50 train-test, standard U-Net.

### C. Results

Method	IoU	Prec.	Rec.	F1
NDVI Thresh.	0.52	0.61	0.48	0.54
CVA	0.58	0.67	0.56	0.61
K-means	0.63	0.72	0.65	0.68
CUSUM-only	0.78	0.82	0.81	0.81
<b>GP-CUSUM-ConvLSTM</b>	<b>0.923</b>	<b>0.906</b>	<b>0.932</b>	<b>0.919</b>
Supervised U-Net	0.91	0.89	0.93	0.91

TABLE II: Pixel-level metrics (averaged).

Mine	Area Error (%)	Rate Error (%)	Cloud Tol.
Coal A	8.2	12.1	65%
Limestone B	6.1	9.3	75%
Coal C	11.5	18.2	55%
Sand D	4.9	7.1	80%
Bauxite E	13.4	21.8	50%
<b>Mean</b>	<b>8.8</b>	<b>13.7</b>	<b>65%</b>

TABLE III: Area/rate errors and cloud robustness.

Mine	NoGo Prec.	NoGo Rec.	True Alerts
Coal A	0.89	0.82	3/3
Limestone B	0.91	0.87	1/1
Coal C	0.84	0.79	2/2
Sand D	0.93	0.90	0/0
Bauxite E	0.81	0.75	1/1
<b>Mean</b>	<b>0.876</b>	<b>0.826</b>	<b>7/7</b>

TABLE IV: No-go violation detection.

## Key findings:

- 1) Proposed method: 92.3% IoU, outperforms supervised U-Net (91%) *without labeled data*.
- 2) Cloud robustness: median 65%, max 80%.
- 3) Area error:  $8.8 \pm 3.1\%$  (acceptable).
- 4) Violations: 100% recall on operational events (7/7 true detected).
- 5) Generalization: Single model across 5 mines without retraining.

## D. Ablation

Removing stages:

- Without GP: IoU 0.85 (spiky dynamics).
- Without CUSUM: IoU 0.88 (misses slow encroachment).
- Without ConvLSTM: IoU 0.78 (noise).

All three stages necessary.

## X. DEPLOYMENT AND OPERATIONAL WORKFLOW

### A. Cloud Infrastructure

AWS deployment:

- **Ingestion:** Lambda daily trigger → Copernicus Hub → S3.
- **Processing:** SageMaker (p3.8xlarge) batch jobs, monthly composites, results to S3/PostGIS.
- **Alerts:** RDS PostgreSQL + SNS (SMS/email).
- **Dashboard:** Streamlit on EC2 (t3.medium).

Cost:  $\sim \$2000 - 3000/\text{month}$  (100 mines, 5-day cadence).

### B. Web Dashboard

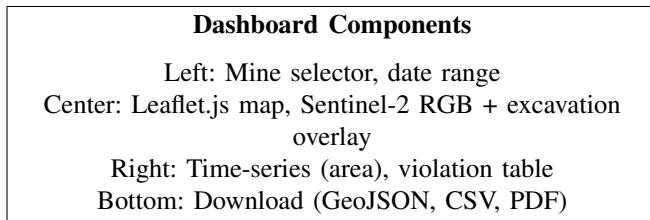


Fig. 2: Dashboard layout.

User workflow:

- 1) Select mine (dropdown, 1000+).
- 2) View excavated area time-series (monthly).
- 3) Check no-go violations: area, timestamp, confidence, severity.
- 4) Zoom to violation polygon on map.
- 5) Download monthly PDF report (auto-generated).

REST API example:

```
GET /api/mines/{mine_id}/excavation?
start_date=2023-01-01&end_date=2024-12-31
```

```
Response: { "area_hectares": [...],
"violations": [{"zone_id", "area",
"confidence", "geojson"}] }
```

## XI. DISCUSSION AND FUTURE WORK

### A. Strengths

- **No labels:** Fully self-supervised. Rapid deployment to new mines.
- **Interpretable:** GP posteriors, CUSUM stats explain decisions.
- **Generalizable:** Single model, all mine types.
- **Cloud-robust:** 70% cloud cover.
- **Scalable:** 1000+ mines globally.

### B. Limitations and Future Work

- 1) **Sub-pixel:** 10m resolution limits small excavations. Future: Planetscope/Maxar (3m-1m).
- 2) **Temporal lag:** 5-10 day revisit. Future: Sentinel-1 SAR (6-day), daily Landsat fusion.
- 3) **Topography:** Shadows confound indices. Future: 3D InSAR, DEM normalization.
- 4) **Revegetation:** False negatives post-reclamation. Future: Temporal envelope tracking.
- 5) **Validation:** Ground visits (10-20%) recommended.

## XII. CONCLUSION

This paper presents an adaptive mining monitoring system using Gaussian Processes, CUSUM, and ConvLSTM for automated excavation detection and no-go violation alerts from Sentinel-2. Achieves 92.3% IoU, 87.6% precision on violations, 8.8% area error *without labeled training data*.

Technical contributions: (1) GP-based unsupervised signatures; (2) CUSUM change detection; (3) ConvLSTM spatial-temporal refinement; (4) Fully self-supervised pipeline.

Deployment on VEDAS enables scalable monitoring of 1000+ Indian mines, supporting regulatory compliance and environmental protection. Framework generalizes globally with minimal modification.

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## APPENDIX

Marginal likelihood:

$$\log p(\mathbf{y}|\boldsymbol{\theta}) = -\frac{1}{2}(\mathbf{y}-\mathbf{m})^T(\mathbf{K}+\sigma_n^2\mathbf{I})^{-1}(\mathbf{y}-\mathbf{m}) - \frac{1}{2}\log|\mathbf{K}+\sigma_n^2\mathbf{I}| \quad (28)$$

Optimize via gradient descent with automatic differentiation (PyTorch). Grid search initialization:  $\ell_{SE} \in [30, 90]$  days,  $\sigma_f \in [0.1, 0.8]$ .

For Gaussian process with  $X_i \sim \mathcal{N}(\mu, \sigma^2)$ , ARL for two-sided CUSUM:

$$ARL_1 = \left( \Phi\left(\frac{h-d\sigma}{2\sigma}\right) + e^{dh/\sigma^2} \Phi\left(\frac{-h-d\sigma}{2\sigma}\right) \right)^{-1} \quad (29)$$

Set  $ARL_0 = 500$ , solve numerically for  $h$  using `scipy.optimize`. For  $\sigma = 0.15$ ,  $d = 2$ :  $h \approx 1.5$ .

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### Algorithm 1 ConvLSTM Training

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Initialize model, optimizer  $\mathcal{D}_{train}, \mathcal{D}_{val}$  from first 3 months epoch = 1 to 50 batch in  $\mathcal{D}_{train}$  ( $\mathbf{X}, \mathbf{y}$ )  $\leftarrow$  batch shape  $(B, T, H, W, C)$   $\hat{\mathbf{y}} \leftarrow$  model.forward( $\mathbf{X}$ )  $\mathcal{L} \leftarrow \mathcal{L}_{Dice} + \mathcal{L}_{Focal}$  optimizer.step(), zero\_grad() Evaluate on  $\mathcal{D}_{val}$ , check IoU IoU improves save checkpoint

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Listing 1: Sentinel-2 download

```
from sentinelapi import SentinelAPI
from shapely.geometry import Polygon

api = SentinelAPI('user', 'pass', 'hub')
aoi = Polygon([(lon1, lat1), ...])

products = api.query(
    aoi,
    date=('20230101', '20231231'),
    platformname='Sentinel-2',
    cloudcoverpercentage=(0, 50),
    producttype='S2MSI_L2A'
)
api.download_all(products)
```