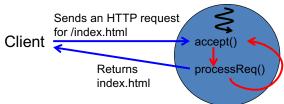
Race Conditions

- Race conditions can occur if...
 - Multiple threads share and access a variable (data field).
 - Solution to eliminate race conditions
 - Define a lock in the variable's enclosing class
 - Use the lock to access the variable
 - » Surround every read/write logic on the variable with lock() and unlock()
 - Multiple threads call an API method that is NOT threadsafe.
 - You cannot define a lock in the method's enclosing class (i.e., API class)
 - You need to perform thread synchronization in your client code that uses the API method.

Exercise: Access Counter for a Web Server

- Suppose you are developing a web server.
 - Receives a HTTP request that a client (browser) transmits to request an HTML file.
 - Returns the requested file to the client.
- What if the server receives multiple requests from multiple clients simultaneously?
 - If the server is single-threaded, it processes requests sequentially.

Single-threaded server



accept() waits/blocks for an incoming request. It returns once a request arrives at the server.

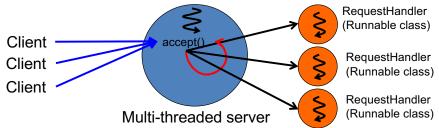
processReq() parses the request and returns a requested file.

Concurrent (Multi-threaded) Web Server

Client Client Client Client Client Client Client Client Client

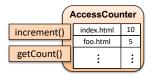
Thread-per-Request Concurrency

- Once the web server receives a request from a client, it creates a new thread.
 - The thread parses the incoming request and returns a requested file.
 - The thread terminates once the requested file is returned to the client.



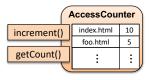
Access Counter in a Concurrent Web Server

- AccessCounter
 - Maintains a map that pairs a relative file path and its access
 - Assume java.util.HashMap<Path, Integer>
 - void increment(Path path)
 - accepts a file path and increments its access count.
 - int getCount(Path path)
 - accepts a file path and returns its access count.



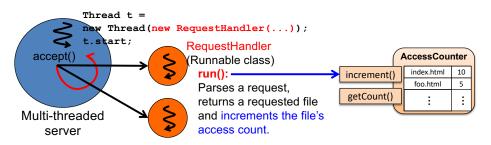
Concurrent Access Counter

- HashMap is NOT thread-safe.
 - All of its public methods never perform thread synchronization.
 - containsKey(), put(), get(), putIfAbsent(), replace(), etc.
 - Race conditions can occur in those public methods.
- Client code of those public methods need to perform thread synchronization.
 - - if(A requested path is in AC){
 increment the path's access count. }
 else{
 add the path and the access count of 1 to AC. }
 - getCount()
 - if(A requested path is in AC){ get the path's access count and return it. } else{ return 0. }

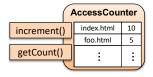


Access Counter in a Concurrent Web Server

- AccessCounter
 - Maintains a map that pairs a relative file path and its access
 - Assume java.util.HashMap<Path, Integer>
 - void increment(Path path)
 - accepts a file path and increments its access count.
 - int getCount(Path path)
 - accepts a file path and returns its access count.



- Client code of those public methods need to perform thread synchronization.
 - AccessCounter'S increment() and getCount()
 - increment()
 - lock.lock();
 if(A requested path is in AC){
 increment the path's access count. }
 else{
 add the path and the access count of 1 to AC. }
 lock.unlock();
 - getCount()
 - lock.lock();
 if(A requested path is in AC){
 get the path's access count and return it. }
 else{
 return 0. }
 lock.unlock();



HW 13

- Implement AccessCounter as a thread-safe Singleton class.
 - Define a HashMap<java.nio.Path, Integer>
 - Define a regular (non-static) lock and use the lock in increment() and getCount()
 - Define another (static) lock and use the lock in getInstance()
- Place some test/dummy files
 - AccessCounter.java RequestHandler.java a.html
 b.html
- RequestHandler: A Runnable class
 - run(): Picks up one of the files at random, calls increment() and getCount() for that file, and sleep for a few seconds. Repeat this forever with an infinite loop.
- main(): Test code
 - Creates and starts 10+ threads that execute RequestHandler's run().
- Implement 2-step thread termination in RequestHandler.
 - Have the main thread terminate those 10+ threads in 2 steps.

Concurrency and Immutability

Deadline: Nov 1 (Thu) midnight

Immutable Classes

- Classes that never change the state of each instance
 - Getter methods only; no setter methods available.
- All public methods are thread-safe because they never need thread synchronization.
 - No need to worry about race conditions.
 - No performance loss.
- An example: java.lang.String

```
- char[] str = {'u', 'm', 'b'};
   String string = new String(str);
- String string = "umb"; // Syntactic sugar for the above code
```

- A series of constructors to initialize string data.
- All non-constructor methods never change the initialized string data.
- No setter methods are available.

Example Methods in String

```
String str = "umb";
System.out.println( str );
                                           // umb
System.out.println( str.replace("b","1"));// uml
                        // Creates a new String instance that
                        // contains "uml" and returns it.
System.out.println( str );
System.out.println( str.toUpperCase() ); // UMB
                        // Creates a new String instance
                        // that contains "UMB" and returns it.
System.out.println( str );
System.out.println( str.substring(1,2) ); // mb
                        // Creates a new String instance that contains
                        // "mb" and returns it.
                                           // umb
System.out.println( str );
```

- Some methods of string look like setter methods, but they are actually NOT.
 - They never change the initialized string data ("umb").

• Each "setter-like" method of string creates another string instance that contains another string data.

– This is actually NOT a setter method!

String

- Final class, which cannot be extended (sub-classed)
 - public final class String{...}
 - Prevents its sub-classes from updating the initialized string data.
- Maintains the initialized string data (e.g., "umb") in a private and final data field.

```
- public final class String{
    private final char value[];
    ... }
```

- Once a value is assigned to a final variable, the value cannot be changed afterward.
 - No methods of string can change the value.

Benefits of Immutability

- For API designers
 - An immutable class never require thread synchronization in its methods.
 - No need to guard its data field (e.g., value in string) with a lock
 - The data field's value never changes.
 - All threads simply read "fixed" data from the data field.
 - Its methods are free from race conditions.
 - Makes it easier to do debugging.

Note That...

- for API users
 - Immutable classes are free from potential performance loss due to thread synchronization.
 - Thread synchronization forces every thread to acquire a lock.
 - There is some overhead to acquire a lock.
 - If the lock is not available, the thread needs to be in the "blocked" state until it becomes available.
 - It cannot do anything to make progress.

- An immutable class's methods are thread-safe, but...
- · Client code of those methods may or may not be thread-safe.
 - The code below is thread-safe.

- An immutable class's methods are thread-safe, but...
- Client code of those methods may or may not be thread-safe.
 - The code below is NOT thread-safe; it requires thread synchronization.

```
public class Person {
private String firstName, lastName; // Shared variables
public void setFirstName(String first) {
   firstName = first;
                                      // 2 steps. Not thread-safe }
public void setLastName(String last) {
   lastName = last;
                                      // 2 steps. Not thread-safe }
public String getLastName(){
   return lastName;
                                      // 2 Steps. Not thread-safe }
public String getFullName() {
   return firstName + " " + lastName; // Multi steps. Not thread-safe.
   // Syntax sugar for new StringBuilder().append(firstName).append(" ")
                                         .append(lastName).toString()
   // append() and toString() are thread-safe though. } }
```

Other Immutable Classes

- Wrapper classes for primitive types
- java.nio.file.Path
- java.util.regex.Pattern
- Some classes in java.net
 - e.g., url, url, inet4Address and inet6Address
- Date and Time API (java.time)
 - All the classes are immutable and thread-safe.

| Primitive type | Wrapper class |
|----------------|---------------|
| boolean | Boolean |
| byte | Byte |
| char | Character |
| float | Float |
| int | Integer |
| long | Long |
| short | Short |
| double | Double |
| | |

Integer

- Wrapper class of an int value
 - Final class, which cannot be extended (sub-classed)
 - Maintains the initialized int data in a private and final data field.

```
- Integer int = Integer.valueOf(10);
- Integer int = 10;  // Syntactic sugar for the above code
```

- Has no setter methods; no methods change the initialized int data.
- All methods are thread-safe.

Date and Time API: History

- java.util.Date (Since JDK 1.0)
 - Poorly designed: Never try to use this class
 - It still exists only for backward compatibility
- java.util.Calendar (Since JDK 1.1)
 - Deprecated many methods of java.util.Date
 - Limited capability: Try not to use this class
- Date and Time API (java.time)
 - Since JDK 1.8
 - Always try to use this API.

Note That...

- An immutable class's methods are thread-safe, but...
- Client code of those methods may or may not be thread-safe.
 - The code below is thread-safe.

Date and Time API: Instant

- Represents an instantaneous point on the timeline, which starts at 01/01/1970 (on the prime Greenwich meridian).
 - Can be used as a timestamp.
- Duration
 - Represents an amount of time in between two Instants

```
- Instant start = Instant.now();
...
Instant end = Instant.now();
Duration timeElapsed = Duration.between(start, end);
long timeElapsedMSec = timeElapsed.toMillis();
```

 This code is thread-safe as far as all the variables are local variables.

Date and Time API: "Local" Classes

- LocalDate, LocalTime, LocalDateTime
 - Used to represent date and time without a time zone (time difference)
 - Apply leap-year rules automatically.

```
    LocalDate today = LocalDate.now();
    LocalDate birthday = LocalDate.of(2009, 9, 10);
    LocalDate 18thBirthday = birthday.plusYears(18);
    birthday.getDayOfWeek().getValue();
```

- Period
 - Represents an amount of time in between two local date/time.
 - Period period = today.until(18thBirthday); period.getDays();
- All these code are thread-safe as far as all the variables are local variables.

Implementing User-Defined (Your Own) Immutable Classes

- Immutable class
 - Defined as a final class
 - Has private final data fields only.
 - Has no setter methods.
 - c.f. A Strategy for Defining Immutable Objects
 - https://docs.oracle.com/javase/tutorial/essential/concurrency/imstrat.html
- Clearly state immutability in program comments, API documents, design documents, etc.
 - Use {frozen} or {immutable} in UML class diagrams
 - Java API documentation does so too.

Date and Time API: Other Classes

- TemporalAdjusters
 - Utility class that implements various calendaring operations.
 - e.g., Getting the first Sunday of the month.
- ZonedDateTime
 - Similar to LocalDateTime, but considers time zones (time difference) and time-zone rules such as daylight savings.
- DateTimeFormatter
 - Useful to parse and print date-time objects.
- All public methods are thread-safe in these classes.

An Example User-Defined Immutable Class

```
public final class SSN {
  private final int first3Digits, middle2Digits, last4Digits;
 public SSN(int first, int middle, int last) {
      this.first3Digits = first;
      this.middle2Digits = middle;
      this.last4Digits = last; }
 public int getLast4Digits() { return last4Digits; }
 public String toString() {
      return first3Digits + "-" + middle2Digits + "-" + last4Digits;
      // Multiple steps, but thread-safe
      // cancat() is thread-safe
      // Those 3 data fields are immutable }
 public Boolean equals( SSN anotherSSN ) {
      if( this.toString().equals(anotherSSN.toString()) ) { return true; }
      else{ return false; }
      // Multiple steps, but thread-safe
      // toString() and equals() are thread-safe
      // "this" and "anotherSSN" are immutable } }
```

```
• public final class SSN {
    private final int first3Digits, middle2Digits, last4Digits;

public SSN(int first, int middle, int last) { // Thread-safe this.first3Digits = first; this.middle2Digits = middle; this.last4Digits = last; }
```

- A constructor is always executed as an atomic code.
 - Only one thread can run a constructor on a class instance that is being created and initialized.
 - Multiple threads never call a constructor(s) on the same instance concurrently.
 - Until a thread returns/completes a constructor on a class instance, no other threads can call public methods on that instance.

- An immutable class's methods are thread-safe, but...
- Client code of those methods may or may not be thread-safe.
 - The code below is NOT thread-safe; it requires thread synchronization.

Person requires thread synchronization to guard ssn, although ssn does not.

- An immutable class's methods are thread-safe, but...
- Client code of those methods may or may not be thread-safe.
 - The code below is thread-safe.

HW 14

Implement your own immutable class:

```
- public final class Address {
   private final String street, city, state;
   private final int zipcode;
   ... }
```

- Define a constructor that takes 4 parameters and sets up an address.
- Define getters, equals() and tostring()
- Define change () to change the current address

• It sounds like a setter, but it is NOT. It creates a new instance and returns it.

```
public class Customer {
 private Address address;
                              // Shared variable
 public Person(Address addr) { address = addr; }
 public Address setAddress (Address addr) {
    address = addr;
                               // Customer needs a setter.
                               // 2 Steps. NOT thread-safe.
                               // A race condition occurs in between
                               // the 2 steps if setAddress() is
                                // called there. }
 public Address getAddress() { // 2 Steps. NOT thread-safe.
    return address:
                               // A race condition occurs in between
                               // the 2 steps if setAddress() is
                               // called there. } }
Customer customer = new Customer( new Address( ... ) );
customer.getAddress();
customer.setAddress( new Address ( ...) );
customer.setAddress( customer.getAddress().change( ... ) );
```

Customer requires thread synchronization to guard address, although Address does not.

Performance Implication

- An immutable object makes a bigger difference in performance
 - As more threads read data from the object more often.
- If you are interested, compare the performance of
 - Immutable Address and
 - Mutable Address that performs thread synchronization in its setters and getters.
 - Immutable Address is approx. 25% faster on my machine.

- Turn in
 - immutable Address
 - thread-safe customer
 - Runnable Class Whose run() Calls Customer's setAddress() and getAddress()
 - You can replace the Runnable class with a lambda expression, if you like
 - Test code to create and run multiple threads
- Deadline: Nov 6 (Tue) midnight

Well, Not All Classes can be Immutable...

- Immutable classes are good for both API designers and users.
- However, in practice, some/many classes need to be mutable...
- Think of separating a class to mutable and immutable parts
 - if read operations are called very often.

An Example: String and StringBuilder

Both represent string data.

• String

- Immutable: Its state never change.
- Thread-safe
- Faster to run read operations (getters).

• StringBuilder

- Mutable: Its state can change through its methods.
- Not thread-safe
- A LOT faster to perform write operations (setters).

• More visible difference in performance, if string concatenation is performed with multiple statements.

• No difference in performance.

37

```
    ArrayList<String> emailAddrs = ...;
    String commaSeparatedEmailAddrs;
for(emailAddr: emailAddrs) {
        commaSeparatedEmailAddrs += emailAddr + ", "; }
    StringBuilder commaSeparatedEmailAddrs;
for(emailAddr: emailAddrs) {
        commaSeparatedEmailAddrs.append(emailAddr).append(", "); }
```

• The latter code can run 20-100% faster depending on the number of collection elements.

StringBuffer

- Use string (immutable class) for read operations
- Use stringBuilder (mutable class) for write operations
- string-to-stringBuilder Conversion is implemented in a constructor of stringBuilder.
- stringBuilder-to-string conversion is implemented in a constructor of string.

Appendix:
NIO-based File/Path Handling and
Try-with-resources Statement

- Provides the same set of public methods as stringBuilder does.
- StringBuffer (Since Java 1.0)
 - All public methods are thread-safe with locking.
 - Client code of stringBuffer may still require locking.
 - DO NOT use this class.
 - It makes no sense to use it in single-threaded apps.
- StringBuilder (SINCE Java 5)
 - All public methods are NOT thread-safe.
 - Client code of stringBuilder require locking.
 - Use this class
 - regardless of single-threaded or multi-threaded apps.

(1) Dealing with File/Directory Paths in NIO

- java.nio.Paths
 - A utility class (i.e., a set of static methods) to create a path in the file system.
 - Path: A sequence of directory names
 - Optionally with a file name in the end.
 - A path can be absolute or relative.

```
    Path absolute = Paths.get("/Users/jxs/temp/test.txt");
    Path relative = Paths.get("temp/test.txt");
```

- java.nio.Path
 - Represents a path in the file system.
 - Given a path, resolve (or determine) another path.

```
    Path absolute = Paths.get("/Users/jxs/");
    Path another = absolute.resolve("temp/test.txt");
    Path relative = Paths.get("src");
    Path another = relative.resolveSibling("bin");
```

Just in Case: Passing a Variable # of Parameters to a Method

- Paths.get() can receive a variable number of parameter values (1 to many values)
 - c.f. Java API documentation

- Introduced in Java 5 (JDK 1.5)

- Parameter values are handled with an array.
 - class Foo{
 public void varParamMethod(String... strings){
 for(int i = 0; i < strings.length; i++){
 System.out.println(strings[i]); } } }
 Foo foo = new Foo();
 foo.varParamMethod("U", "M", "B");</pre>
- String... Strings is a syntactic sugar for String[] strings.
 - Your Java compiler transforms the above code to:

```
• class Foo{
    public void varParamMethod(String[] Strings){
        for(int i = 0; i < strings.length; i++) {
            System.out.println(strings[i]); } } }

• Foo foo = new Foo();
    String[] strs = {"U", "M", "B"};
    foo.varParamMethod(strs);</pre>
```

Reading and Writing into a File w/ NIO

- java.nio.file.Files
 - A utility class (i.e., a set of static methods) to process a file/directory.
 - Reading a byte sequence and a char sequence from a file

```
Path path = Paths.get("/Users/jxs/temp/test.txt"); byte[] bytes = Files.readAllBytes(path); String content = new String(bytes);
List<String> lines = Files.readAllLines(path); for(String line: lines){
    System.out.println(line); }
Writing into a file
    Files.write(path, bytes);
    Files.write(path, content.getBytes());
    Files.write(path, bytes, StandardOpenOption.CREATE);
    Files.write(path, lines);
    Files.write(path, lines, StandardOpenOption.WRITE);
StandardOpenOption: CREATE, WRITE, APPEND, DELETE ON CLOSE, etc.
```

NIO (java.nio) v.s. Traditional I/O (java.io)

- NIO provides simpler or easier-to-use APIs.
 - Client code can be more concise and easier to understand.
- NIO:

45

```
- Path path = Paths.get("/Users/jxs/temp/test.txt");
  byte[] bytes = Files.readAllBytes(path);
  String content = new String(bytes);

• java.io:
  - File file = ...;
```

```
File file = ...;
FileInputStream fis = new FileInputStream(file);
int len = (int)file.length();
byte[] bytes = new byte[len];
fis.read(bytes);
fis.close();
String content = new String(bytes);
```

NIO (java.nio) v.s. Traditional I/O (java.io)

```
    NIO:
```

```
- Path path = Paths.get("/Users/jxs/temp/test.txt");
     List<String> lines = Files.readAllLines(path);
java.io:
   - int ch=-1, i=0;
     ArrayList<String> contents = new ArrayList<String>();
     StringBuffer strBuff = new StringBuffer();
     File file = ...;
     InputStreamReader reader = new InputStreamReader(
                                       new FileInputStream(file));
     while( (ch=reader.read()) != -1 ){
          if ( (char) ch == \n' ) {
                                          //**line break detection
              contents.add(i, strBuff.toString());
              strBuff.delete(0, strBuff.length());
              continue;
          strBuff.append((char)ch);
     reader.close();
        ** The perfect (platform independent) detection of a line break should be more complex.
```

Files in Java NIO

Unix: '\n', Mac: '\r', Windows: '\r\n' c.f. BufferedReader.read()

- readAllBytes(), readAllLines()
 - Read the whole data from a file without buffering.
- write()
 - Write a set of data to a file without buffering.
- When using a large file, it makes sense to use BufferedReader and BufferedWriter With Files.

```
- Path path = Paths.get("/Users/jxs/temp/test.txt");
BufferedReader reader = Files.newBufferedReader(path);
while( (line=reader.readLine()) != null ){
      // do something
}
reader.close();
- BufferedWriter writer = Files.newBufferedWriter(path);
writer.write(...);
writer.close();
```

NIO (java.nio) v.s. Traditional I/O (java.io)

NIO:

51

```
- Path path = Paths.get("/Users/jxs/temp/test.txt");
List<String> lines = Files.readAllLines(path);
```

java.io (a bit simplified version):

```
- int ch=-1, i=0;
ArrayList<String> contents = new ArrayList<String>();
StringBuffer strBuff = new StringBuffer();
File file = ...;
FileReader reader = new FileReader(file); //***
while( (ch=reader.read()) != -1 ){
   if( (char)ch == '\n' ){ //** Line break detection contents.add(i, strBuff.toString());
    strBuff.delete(0, strBuff.length());
   i++;
   continue;
}
strBuff.append((char)ch);
}
reader.close();
```

*** FileReader: A convenience class for reading character files.

50

Just in case: Buffering

- At the lowest level, read/write operations deal with data byte by byte, or char by char.
 - File access occurs byte by byte, or char by char.
- Inefficient if you read/write a lot of data.
- Buffering allows read/write operations to deal with data in a coarse-grained manner.
 - Chunk by chunk, not byte by byte or char by char
 - Chunk = a set of bytes or a set of chars
 - The size of a chunk: 512 bytes by default, but configurable

Getting Input/Output Streams from Files

 Input and output streams can be obtained from Files.

```
- Path path = Paths.get("/Users/jxs/temp/test.txt");
InputStream is = Files.newInputStream(path);
```

- is contains an instance of ChannelInputStream, which is a subclass of InputStream.
- Make sure to call is.close() in the end.
- Can decorate the input/output stream with filters.

• Make sure to call zis.close() in the end.

- Path path = Paths.get("/Users/jxs/temp/test.txt");
BufferedReader reader = Files.newBufferedReader(path);
try{
 while((line=reader.readLine()) != null){
 // do something
 }
}catch(IOException ex){
 ... // Error handling
}finally{
 reader.close();
}

Never Forget to Call close()

- Need to call close() on each input/output stream (or its filer) in the end.
 - Must-do: Follow the *Before/After* design pattern.
 - In Java, use a try-catch-finally or try-finally statement.
 Open a file here.

```
try{
   Do something with the file here.
    Throw an exception if an error occurs.
}catch(...){
    Error-handling code here.
}finally{
    Close the file here.
}
```

— Note: No need to call close() when using readAllBytes(), readAllLines() and write() Of Files.

(2) Try-with-resources Statement

- Allows you to skip calling close() explicitly in the finally block.
 - Try-catch-finally

```
- Open a file here.
  try{
     Do something with the file here.
}catch(...){
     Handle errors here.
}finally{
     Close the file here.
}
```

Try-with-resources

```
• try ( Open a file here ) {
        Do something with the file here.
}
```

- -

• close() is automatically called on a resource used for reading or writing to a file, when exiting a try block.

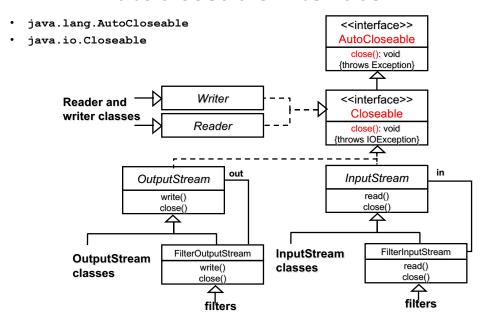
• No explicit call of close() on reader in the finally block. reader is expected to implement the AutoCloseable interface.

```
try( BufferedReader reader = Files.newBufferedReader(...);
    PrintWriter writer = new PrintWriter(...) ){
    while( (line=reader.readLine()) != null ){
        // do something
        writer.println(...); }
```

• Can specify multiple resources in a try block. close() is automatically called on all of them. They all need to implement AutoCloseable.

- Recap: No need to call close() when using readAllBytes(), readAllLines() and write() Of Files.
 - Those methods internally use the try-with-resources statement to read and write to a file.

AutoCloseable Interface



Try-with-resources-Catch-Finally

• Catch and finally blocks can be attached to a trywith-resources statement.

• The catch and finally blocks run (if necessary) <u>AFTER close() is called on reader.</u>

60