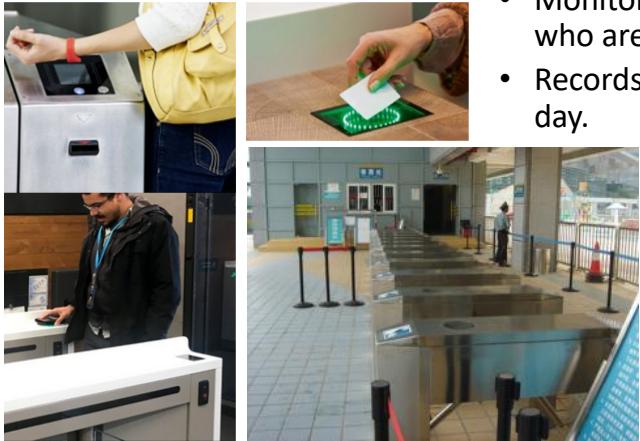


# Quiz

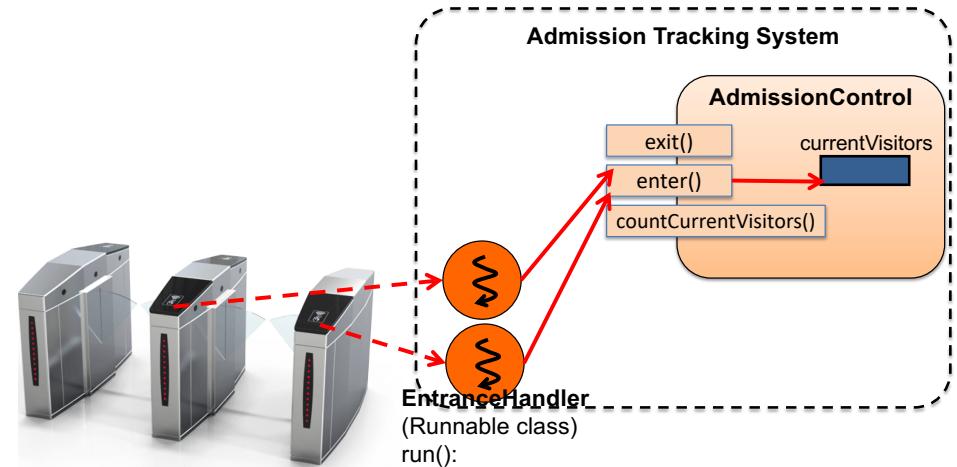
- Electronic, real-time admission tracking and control (in a museum, for example)



- Monitors the # of visitors who are currently in.
- Records the # of visitors per day.
- Records how long each visitor stays in.

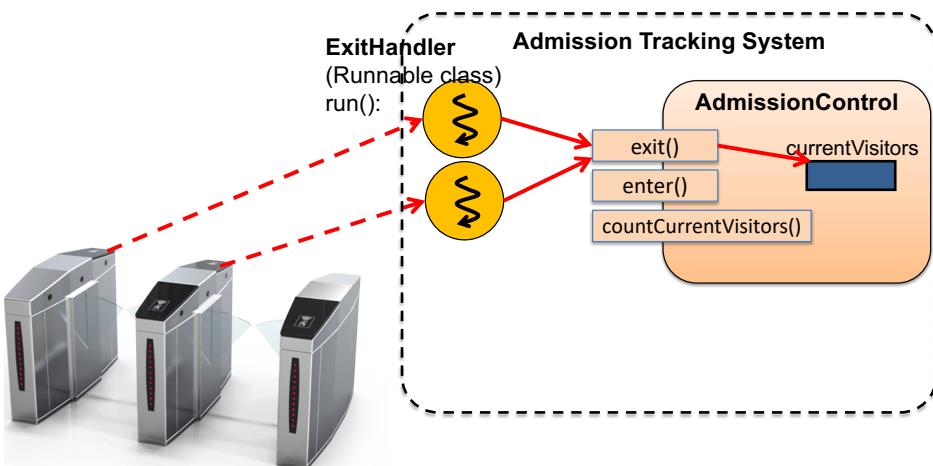
- Monitors the number of visitors who are currently in.

AdmissionControl
- currentVisitors: int
+countCurrentVisitors():int
+enter(): void
+exit(): void



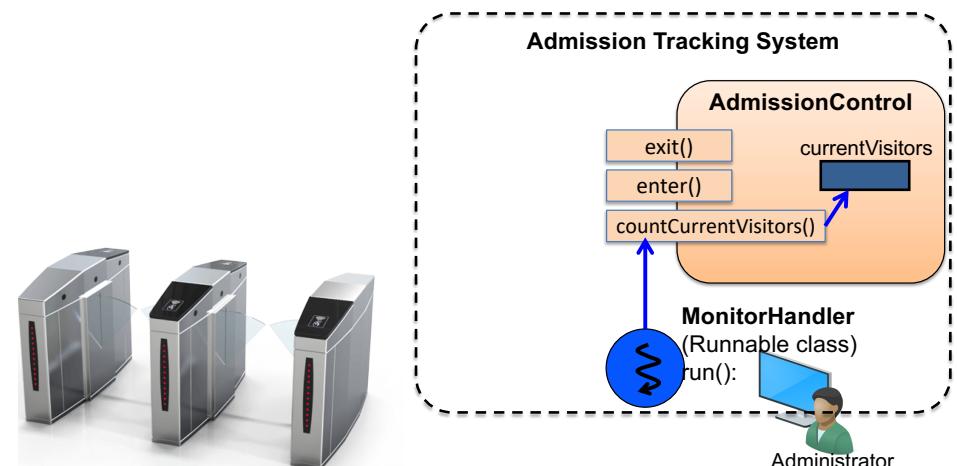
- Monitors the number of visitors who are currently in.

AdmissionControl
- currentVisitors: int
+countCurrentVisitors():int
+enter(): void
+exit(): void

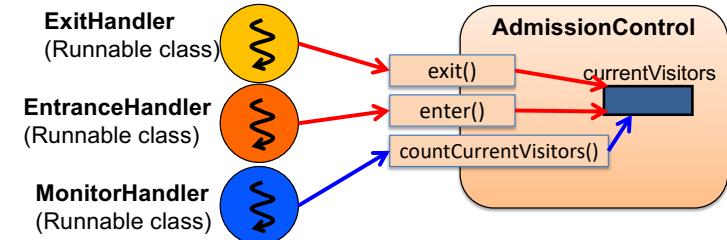
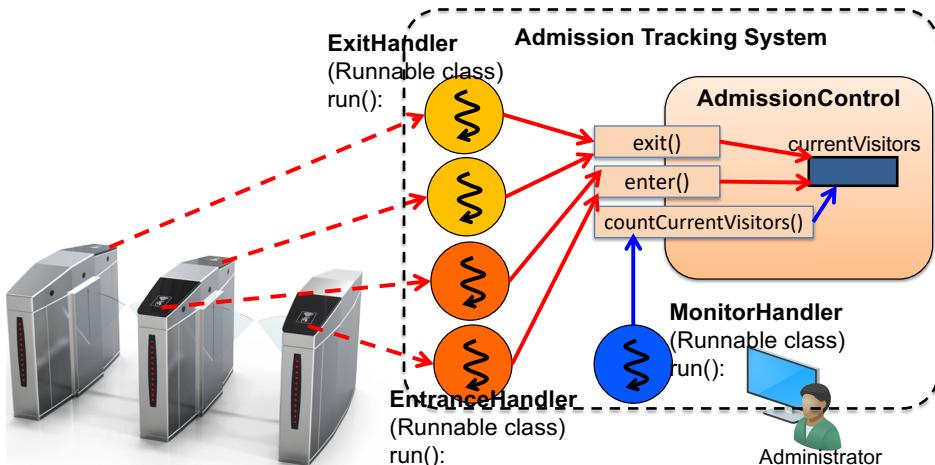


- Monitors the number of visitors who are currently in.

AdmissionControl
- currentVisitors: int
+countCurrentVisitors():int
+enter(): void
+exit(): void



- Monitors the number of visitors who are currently in.



```

class AdmissionControl{
    private int currentVisitors = 0;

    public void enter(){
        currentVisitors++;
    }

    public void exit(){
        currentVisitors--;
    }

    public int countCurrentVisitors(){
        return currentVisitors;
    }
}

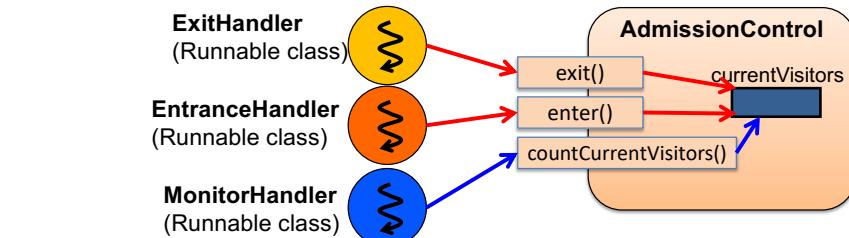
class EntranceHandler implements ...{
    private AdmissionControl control;
    public void run(){
        control.enter();
    }
}

class ExitHandler implements ...{
    private AdmissionControl control;
    public void run(){
        control.exit();
    }
}

class MonitorHandler implements ...{
    private AdmissionControl control;
    public void run(){
        control.countCurrentVisitors();
    }
}

```

- AdmissionStats** is not thread-safe. Explain why and how to make it thread-safe.



```

class AdmissionControl{
    private int currentVisitors = 0;
    private ReentrantLock lock;

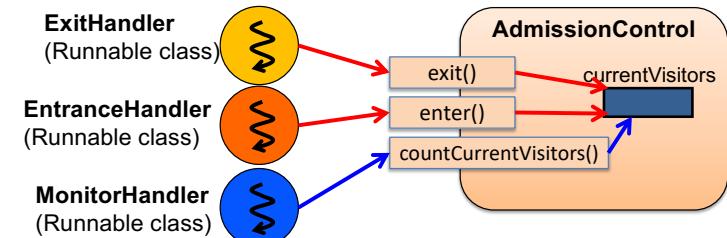
    public void enter(){
        lock.lock();
        currentVisitors++;
        lock.unlock();
    }

    public void exit(){
        lock.lock();
        currentVisitors--;
        lock.unlock();
    }

    public int countCurrentVisitors(){
        lock.lock();
        return currentVisitors;
        lock.unlock();
    }
}

```

- Guard the shared variable with a lock.



```

class AdmissionControl{
    private AtomicInteger currentVisitors;

    public void enter(){
        currentVisitors.incrementAndGet();
    }

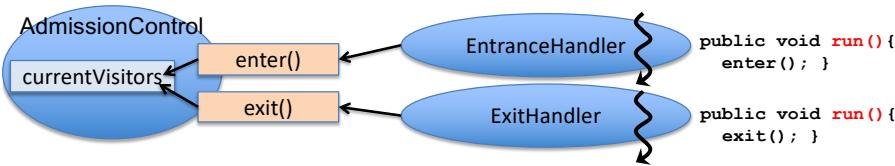
    public void exit(){
        currentVisitors.decrementAndGet();
    }

    public int countCurrentVisitors(){
        return currentVisitors.intValue();
    }
}

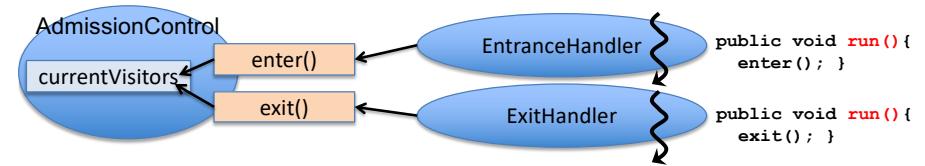
```

- Use **AtomicInteger** rather than **int**.

# Implement Conditional Admissions



- `enter() {  
 lock.lock();  
 while(currentVisitors >= 5){  
 System.out.print("Too many visitors. Please wait for a while!");  
 // waiting for the # of visitors to go below 5  
 Thread.sleep(1000);  
 }  
 currentVisitors++;  
 lock.unlock(); }`
- `exit(double amount) {  
 lock.lock();  
 currentVisitors--;  
 lock.unlock(); }`

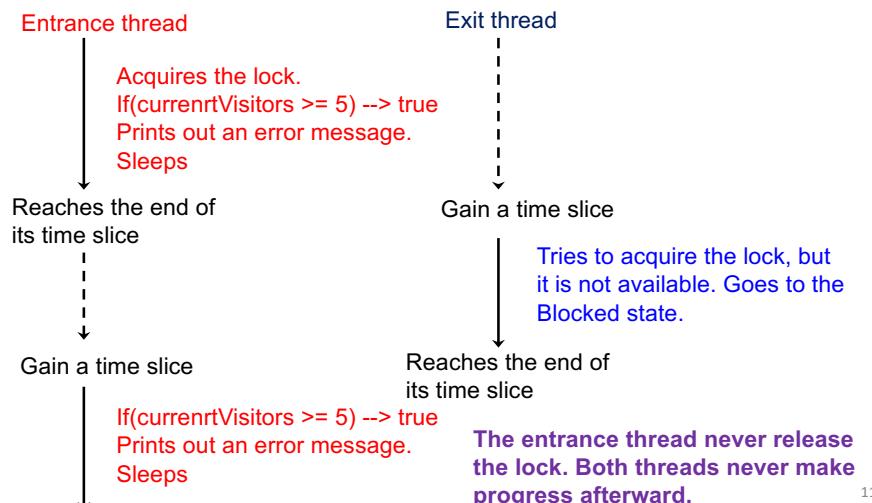


- `enter() {  
 lock.lock();  
 while(currentVisitors >= 5){  
 System.out.print("Too many visitors. Please wait for a while!");  
 // waiting for the # of visitors to go below 5  
 Thread.sleep(1000);  
 }  
 currentVisitors++;  
 lock.unlock(); }`
- `exit(double amount) {  
 lock.lock();  
 currentVisitors--;  
 lock.unlock(); }`

This code can cause a deadlock.

## How Can a Deadlock Occur?

- Suppose 5 visitors are already in.



## Note

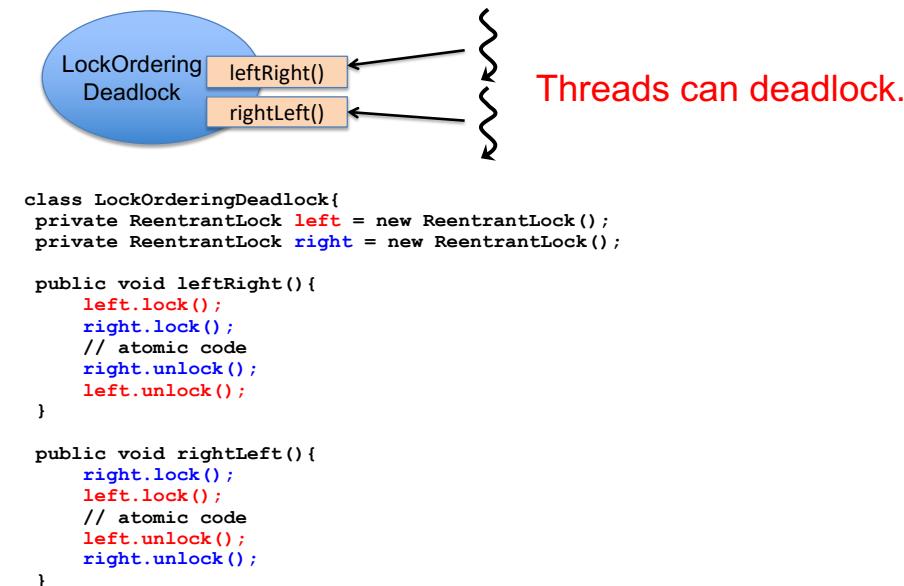
- DO NOT allow a thread to conditionally stop making progress (i.e., wait until a certain condition is satisfied) with a lock held.
  - Use a condition object, so the thread can temporarily release the lock.

# HW 17

- Submit a thread-safe version of AdmissionControl
  - Avoid race conditions
  - Avoid deadlocks with a condition object
- [OPTIONAL] Implement 2-step thread termination to terminate “entrance” and “exit” threads.
- Deadline: December 21 midnight

## Lock-ordering Deadlocks

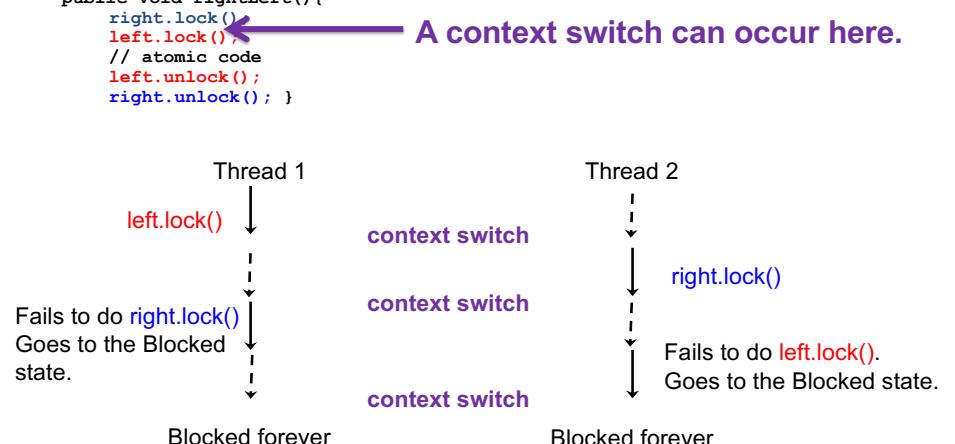
### Lock-ordering Deadlocks



```
• class LockOrderingDeadlock{
    private ReentrantLock left = new ReentrantLock();
    private ReentrantLock right = new ReentrantLock();

    public void leftRight(){
        left.lock();
        right.lock(); ← A context switch can occur here.
        // atomic code
        right.unlock();
        left.unlock();
    }

    public void rightLeft(){
        right.lock();
        left.lock(); ← A context switch can occur here.
        // atomic code
        left.unlock();
        right.unlock();
    }
}
```



# Dynamic Lock-ordering Deadlocks

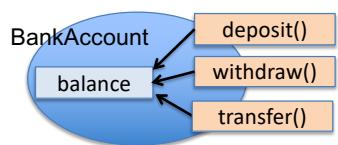
- Problem:

- Threads try to acquire *the same set of locks in different orders.*
- Inconsistent lock ordering
  - Thread 1: left → right
  - Thread 2: right → left

- To-do:

- Have all threads acquire the locks in a *globally-fixed order.*

- **Be careful when you use multiple locks in order!**



```

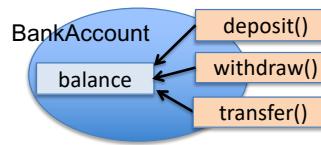
class BankAccount{
    private ReentrantLock lock = ...;
    ...
    public void deposit(...){...}
    public void withdraw(...){...}
    public void transfer(...){...}
}

```

- void transfer(Account destination, double amount){
 

```

lock.lock();
if( balance < amount )
    // generate an error msg or throw an exception
else{
    withdraw(amount);           // Nested locking. No problem.
    destination.deposit(amount); // Acquire another lock.
}
lock.unlock();
```
- It looks as if all threads acquire the two locks (source account's lock and destination's lock) in the same order.
- However, this code can cause a lock-ordering deadlock.



```

class BankAccount{
    private ReentrantLock lock = ...;
    ...
    public void deposit(...){...}
    public void withdraw(...){...}
    public void transfer(...){...}
}

```

- void deposit(double amount){
 

```

lock.lock();
balance += amount;
lock.unlock();
```

}
- void withdraw(double amount){
 

```

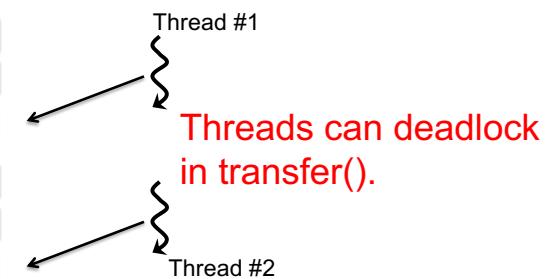
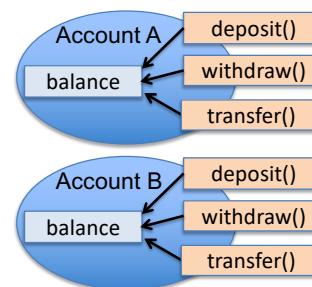
lock.lock();
balance -= amount;
lock.unlock();
```

}
- void transfer(Account destination, double amount){
 

```

lock.lock();
if( balance < amount )
    // generate an error msg or throw an exception
else{
    withdraw(amount);           // Nested locking. No problem.
    destination.deposit(amount); // Acquire another lock.
}
lock.unlock();
```

}



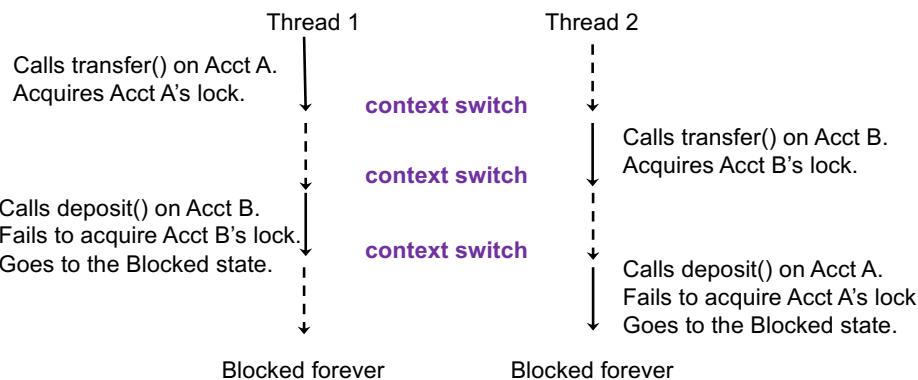
- Imagine a scenario where
  - a thread (#1) transfers money from Account A to B
  - another thread (#2) transfers money from B to A.

```

• public void transfer(Account destination, double amount) {
    lock.lock();
    if( balance < amount )
        // generate an error msg or...
    else{
        withdraw(amount);
        destination.deposit(amount);
    }
    lock.unlock();
}

```

A context switch can occur here.



## • Problem

- Threads try to acquire *the same set of locks in different orders*.

- *Inconsistent lock ordering*.

- Thread 1: Acct A's lock → Acct B's lock
- Thread 2: Acct B's lock → Acct A's lock

- This can occur with bad timing although code looks OK.

- A → B and C → D at the same time (No lock-ordering deadlock)
- A → B and A → C at the same time (No lock-ordering deadlock)
- A → B and B → A at the same time (Possible lock-ordering deadlock)

• **Be careful when you use multiple locks in order!!!**

## Solutions

- Static lock
- Timed locking
- Ordered locking
- Nested tryLock()

## Solution 1: Static Lock

```

• private static ReentrantLock lock = new ReentrantLock();

• public void deposit(double amount) {
    lock.lock();
    this.balance += amount;
    lock.unlock();
}

• public void transfer(Account destination, double amount) {
    lock.lock();
    if( this.balance < amount )
        // generate an error msg or throw an exception
    else{
        this.withdraw(amount);          // Nested locking
        destination.deposit(amount);   // Nested locking!
    }
    lock.unlock();
}

```

## Solution 2: Timed Locking

- Pros
  - Simple solution
    - Uses only one lock (not two)

- Cons
  - Performance penalty

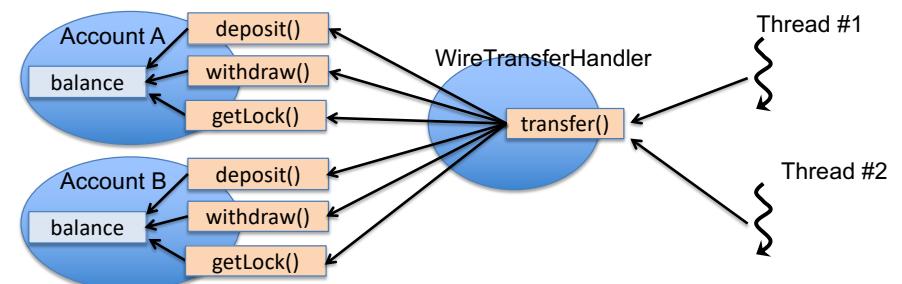
- Transfers on different accounts are performed sequentially (not concurrently).
  - Deposit operations on different accounts are performed sequentially (not concurrently).
  - Withdrawal operations on different accounts are performed sequentially (not concurrently).

```
• public void deposit(double amount){  
    if( !lock.tryLock(3, TimeUnit.SECONDS) ) {  
        // generate an error msg or throw an exception  
    }else{  
        this.balance += amount;  
        lock.unlock(); } }  
  
• public void transfer(Account destination, double amount){  
    lock.lock();  
    if( this.balance < amount )  
        // generate an error msg or throw an exception  
    else{  
        this.withdraw(amount);           // Nested locking  
        destination.deposit(amount);  
    }  
    lock.unlock(); } //Make sure to release this lock when  
                    // an error/exception occurs.
```

## Solution 3: Ordered Locking

- Pros
  - Simple solution
  - More efficient than Solution #1
    - By using a non-static lock

- Cons
  - Transfers and deposits might never be completed.
    - May look like unprofessional.



```

• public void transfer( Account source,
                      Account destination,
                      double amount){
    if( source.getAcctNum() < destination.getAcctNum() ){
        source.getLock().lock();
        destination.getLock().lock();
        if( source.getBalance() < amount )
            // generate an error msg or throw an exception
        else{
            source.withdraw(amount);      //Nested locking
            destination.deposit(amount); //Nested locking
        }
        destination.getLock().unlock();
        source.getLock().unlock();
    }
    else if( source.getAcctNum() > destination.getAcctNum() ){
        destination.getLock().lock();
        source.getLock().lock();
        ...
        source.getLock().unlock();
        destination.getLock().unlock();
    }
}

```

- Pros

- Locks are always acquired in the same order.
- More efficient than Solution #1
  - By using a non-static lock
- More professional than Solution #2
  - Transfers and deposits complete for sure.

- Cons

- Using an application-specific/dependent data.
- Account numbers should not be changed after accounts are set up.
  - If you allow dynamic changes of account numbers, you need to use an extra lock.

## Solution 3a: Ordered Locking with Instance IDs

- Instance IDs

- Unique IDs (hash code) that the local JVM assigns to individual class instances.
  - Unique and intact on the same JVM
    - 2 instances of the same class have different IDs.
    - No instances share the same ID.
    - IDs never change after they are assigned to instances.

- Use System.identifyHashCode()

```

• public void transfer( Account source,
                      Account destination,
                      double amount){
    int sourceID = System.identifyHashCode(source);
    int destID = System.identifyHashCode(destination);

    if( sourceID < destID ){
        source.getLock().lock();
        destination.getLock().lock();
        if( source.getBalance() < amount )
            // generate an error msg or throw an exception
        else{
            source.withdraw(amount);      //Nested locking
            destination.deposit(amount); //Nested locking
        }
        destination.getLock().unlock();
        source.getLock().unlock();
    }
    if( sourceID > destID ){
        destination.getLock().lock();
        source.getLock().lock();
        ...
        source.getLock().unlock();
        destination.getLock().unlock();
    }
}

```

## Solution 4: Nested Timed Locking

- Pros

- Locks are always acquired in the same order.
- More efficient than Solution #1
  - By using a non-static lock
- More professional than Solution #2
  - Transfers and deposits complete for sure.
- No application-specific data (e.g., account numbers) are necessary to order locking.

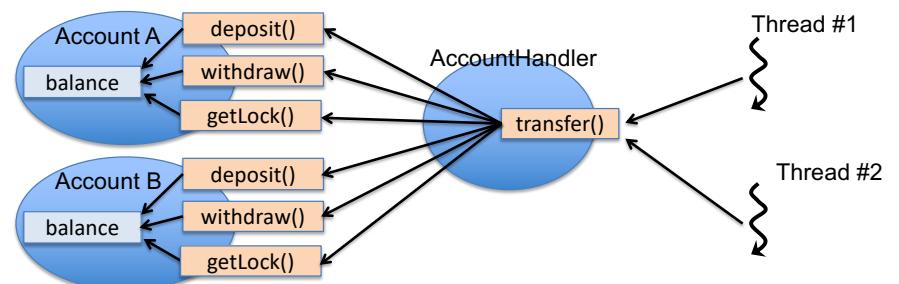
- Cons

- N/A

```
• public void transfer(Account source,
                      Account destination,
                      double amount){
    Random random = new Random();

    while(true){
        if( source.getLock().tryLock() ){
            try{
                if( destination.getLock().tryLock() ){
                    try{
                        if( source.getBalance() < amount )
                            // generate an error msg/exception
                        else{
                            source.withdraw(amount);
                            destination.deposit(amount);
                        }
                    } catch( Exception e ) {
                        return;
                    }
                } finally{
                    destination.getLock().unlock();
                }
            } finally{
                source.getLock().unlock();
            }
        }
        Thread.sleep(random.nextInt(1000));
    }
}
```

- If the first tryLock() fails, then sleep.
- If the first tryLock() succeeds but the second one fails, unlock the first lock and sleep.



- Use nested tryLock() calls to implement an ALL-OR-NOTHING policy.
  - Acquire both of A's and B's locks, OR
  - Acquire none of them.
- Avoid a situation where a thread acquires one of the two locks and fails to acquire the other.

- Pros

- More efficient than Solution #1
  - By using a non-static lock
- More professional than Solution #2
  - Transfers and deposits complete for sure.
- No application-specific data (e.g., account numbers) are necessary to order locking.

- Cons

- Not that simple

## **HW 18**

- Complete thread-safe code with Solution 3, 3a OR 4.
  - Modify ThreadSafeBankAccount2.java
- Deadline: December 21 midnight