Lecture 19,20: String Matching Algorithms / Tries

BT 3051 - Data Structures and Algorithms for Biology

Karthik Raman

Department of Biotechnology Indian Institute of Technology Madras

ALGORITHM

KNUTH-MORRIS-PRATT

- ► What is a major inefficiency of Brute-Force/Boyer-Moore (in the worst cases)?
- ► For a certain alignment of the pattern, if we find several matching characters but then detect a mismatch, we ignore all the information gained by the successful comparisons after restarting with the next incremental placement of the pattern!
- ► What can we do instead

- ► What is a major inefficiency of Brute-Force/Boyer-Moore (in the worst cases)?
- ► For a certain alignment of the pattern, if we find several matching characters but then detect a mismatch, we ignore all the information gained by the successful comparisons after restarting with the next incremental placement of the pattern!
- What can we do instead

- ► What is a major inefficiency of Brute-Force/Boyer-Moore (in the worst cases)?
- ► For a certain alignment of the pattern, if we find several matching characters but then detect a mismatch, we ignore all the information gained by the successful comparisons after restarting with the next incremental placement of the pattern!
- ► What can we do instead?

Consider a partial match

$$a_1 \quad a_2 \quad \dots \quad a_{j-r+1} \quad \dots \quad a_j \quad a_{j+1}$$

$$= \quad = \quad = \quad \neq$$

$$b_1 \quad \dots \quad b_r \quad b_{r+1}$$

In the next step, we will want to align

Consider a partial match

$$a_1 \quad a_2 \quad \dots \quad a_{j-r+1} \quad \dots \quad a_j \quad a_{j+1}$$
 $= \quad = \quad = \quad \neq$
 $b_1 \quad \dots \quad b_r \quad b_{r+1}$

In the next step, we will want to align

- ► But, this information comes actually from the pattern itself, rather than the text!
- Can we intelligently pre-process the pattern, so that we can appropriately restart matching?
- ► We need to figure "what is the longest proper suffix of the matched string, which is also its prefix?"

- ► But, this information comes actually from the pattern itself, rather than the text!
- Can we intelligently pre-process the pattern, so that we can appropriately restart matching?
- We need to figure "what is the longest proper suffix of the matched string, which is also its prefix?"

- ► But, this information comes actually from the pattern itself, rather than the text!
- Can we intelligently pre-process the pattern, so that we can appropriately restart matching?
- ► We need to figure "what is the longest proper suffix of the matched string, which is also its prefix?"

Knuth-Morris-Pratt Complexity

- \triangleright O(n) for the search phase
- \triangleright O(m) for the pre-processing of the pattern
- ► The complexity analysis is non-trivia

Knuth-Morris-Pratt Complexity

- \triangleright O(n) for the search phase
- \triangleright O(m) for the pre-processing of the pattern
- ► The complexity analysis is non-trivia

Knuth-Morris-Pratt Complexity

- \triangleright O(n) for the search phase
- \triangleright O(m) for the pre-processing of the pattern
- ► The complexity analysis is non-trivial

String Matching Algorithm Design Summary (Skiena)

- ▶ Is the search pattern/text short? *Naïve matching*
- ► Is the search pattern/text very long? Knuth-Morris-Pratt
- Do we expect to find the pattern or not? Boyer-Moore
- ▶ Will we perform multiple queries on the same text? Suffix trees
- Will we search many texts using the same pattern? Complex algorithms ...
- What if the input contains a spelling error? Approximate string matching

Querying Multiple Patterns

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- ► Read mapping (Fragment alignment)

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- Read mapping (Fragment alignment)

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- Read mapping (Fragment alignment)

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- Read mapping (Fragment alignment)

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- Read mapping (Fragment alignment)

- ► Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- Read mapping (Fragment alignment)

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes!

Challenges

- Fragment (read) assembly
 - Inevitable for new species
 - Computationally challenging/expensive
- ► Read mapping (Fragment alignment)

Why is this important?

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes!

How do we assemble individual genomes efficiently using a reference genome?

Challenges

- Fragment (read) assembly
 - ► Inevitable for new species
 - Computationally challenging/expensive
- ► Read mapping (Fragment alignment)

Why is this important?

- Huge number of genomes being sequenced
- e.g. Personal Genome Project (UK): 10⁵ genomes!

How do we assemble individual genomes efficiently using a reference genome?

or

How do we match several patterns against a single text!?

Exact Pattern Matching: Multiple Patterns

Problem

Where do each of the reads match the reference genome exactly?

- ► Input: A collection of strings (reads: *Patterns*) and a string (genome: *Text*)
- Output: All positions in the genome *Text* where a string in *Patterns* appears as a substring

Exact Pattern Matching: Multiple Patterns

Problem

Where do each of the reads match the reference genome exactly?

- ► Input: A collection of strings (reads: *Patterns*) and a string (genome: *Text*)
- Output: All positions in the genome *Text* where a string in *Patterns* appears as a substring

Exact Pattern Matching: Multiple Patterns

Problem

Where do each of the reads match the reference genome exactly?

- ► Input: A collection of strings (reads: *Patterns*) and a string (genome: *Text*)
- Output: All positions in the genome Text where a string in Patterns appears as a substring

Can we store the patterns intelligently, in an efficient data structure?



TRIES

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree *T* with the following properties:
 - Each node of T, except the root, is labeled with a character of Σ
 The children of an internal node of T have distinct labels
 T has a leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- ► Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree *T* with the following properties:
 - \triangleright Each node of T, except the root, is labeled with a character of Σ
 - The children of an internal node of T have distinct labels
 - T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- ► Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree T with the following properties:
 - **Each** node of T, except the root, is labeled with a character of Σ
 - The children of an internal node of T have distinct labels
 - T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- Thus, a trie T represents the strings of S with paths from the root to the leaves of T
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree T with the following properties:
 - \triangleright Each node of T, except the root, is labeled with a character of Σ
 - ► The children of an internal node of *T* have distinct labels
 - T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree *T* with the following properties:
 - \triangleright Each node of T, except the root, is labeled with a character of Σ
 - ► The children of an internal node of *T* have distinct labels
 - ► T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- ► Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree T with the following properties:
 - **Each node of** T, except the root, is labeled with a character of Σ
 - ► The children of an internal node of *T* have distinct labels
 - ► T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- ► Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

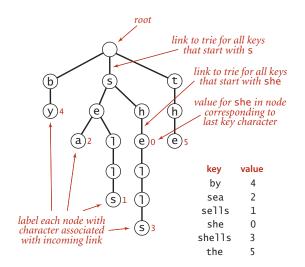
^afrom retrieval, pronounced 'try'

- Let S be a set of s strings from alphabet Σ such that no string in S is a prefix of another string
- ► A standard trie^a for S is an ordered tree T with the following properties:
 - **Each node of** T, except the root, is labeled with a character of Σ
 - ► The children of an internal node of *T* have distinct labels
 - ► T has s leaves, each associated with a string of S, such that the concatenation of the labels of the nodes on the path from the root to a leaf v of T yields the string of S associated with v.
- ► Thus, a trie *T* represents the strings of *S* with paths from the root to the leaves of *T*
- Note the importance of assuming that no string in S is a prefix of another string
 - this ensures that each string of S is uniquely associated with a leaf of T

^afrom retrieval, pronounced 'try'

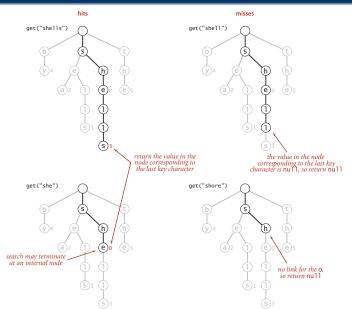
Example Trie

Figure Courtesy: Sedgewick



Trie Searches

Figure Courtesy: Sedgewick



- ► Slide the trie down the text!
- Search for patterns at every step
- Memory usage is high!

- ► Slide the trie down the text!
- Search for patterns at every step
- Memory usage is high!

- ► Slide the trie down the text!
- Search for patterns at every step
- Memory usage is high!

- ► Slide the trie down the text!
- Search for patterns at every step
- Memory usage is high!

What is the time complexity?