

**COS 226, SPRING 2015**

**ALGORITHMS  
AND  
DATA STRUCTURES**

**KEVIN WAYNE**



**PRINCETON  
UNIVERSITY**

**<http://www.princeton.edu/~cos226>**

# COS 226 course overview

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## What is COS 226?

- Intermediate-level survey course.
- Programming and problem solving, with applications.
- **Algorithm:** method for solving a problem.
- **Data structure:** method to store information.

topic	data structures and algorithms
<b>data types</b>	stack, queue, bag, union-find, priority queue
<b>sorting</b>	quicksort, mergesort, heapsort, radix sorts
<b>searching</b>	BST, red-black BST, hash table
<b>graphs</b>	BFS, DFS, Prim, Kruskal, Dijkstra
<b>strings</b>	KMP, regular expressions, tries, data compression
<b>advanced</b>	B-tree, kd-tree, suffix array, maxflow

# Why study algorithms?

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Their impact is broad and far-reaching.

Internet. Web search, packet routing, distributed file sharing, ...

Biology. Human genome project, protein folding, ...

Computers. Circuit layout, file system, compilers, ...

Computer graphics. Movies, video games, virtual reality, ...

Security. Cell phones, e-commerce, voting machines, ...

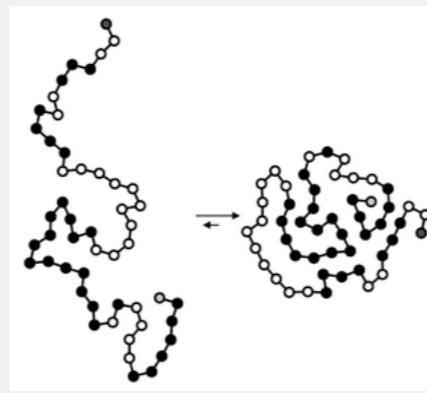
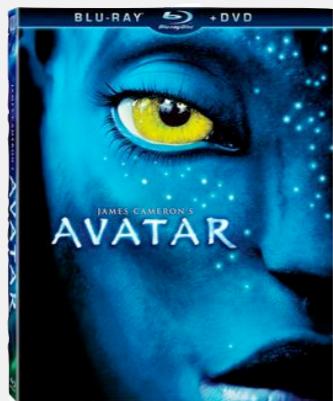
Multimedia. MP3, JPG, DivX, HDTV, face recognition, ...

Social networks. Recommendations, news feeds, advertisements, ...

Physics. N-body simulation, particle collision simulation, ...

:

Google  
YAHOO!  
bing

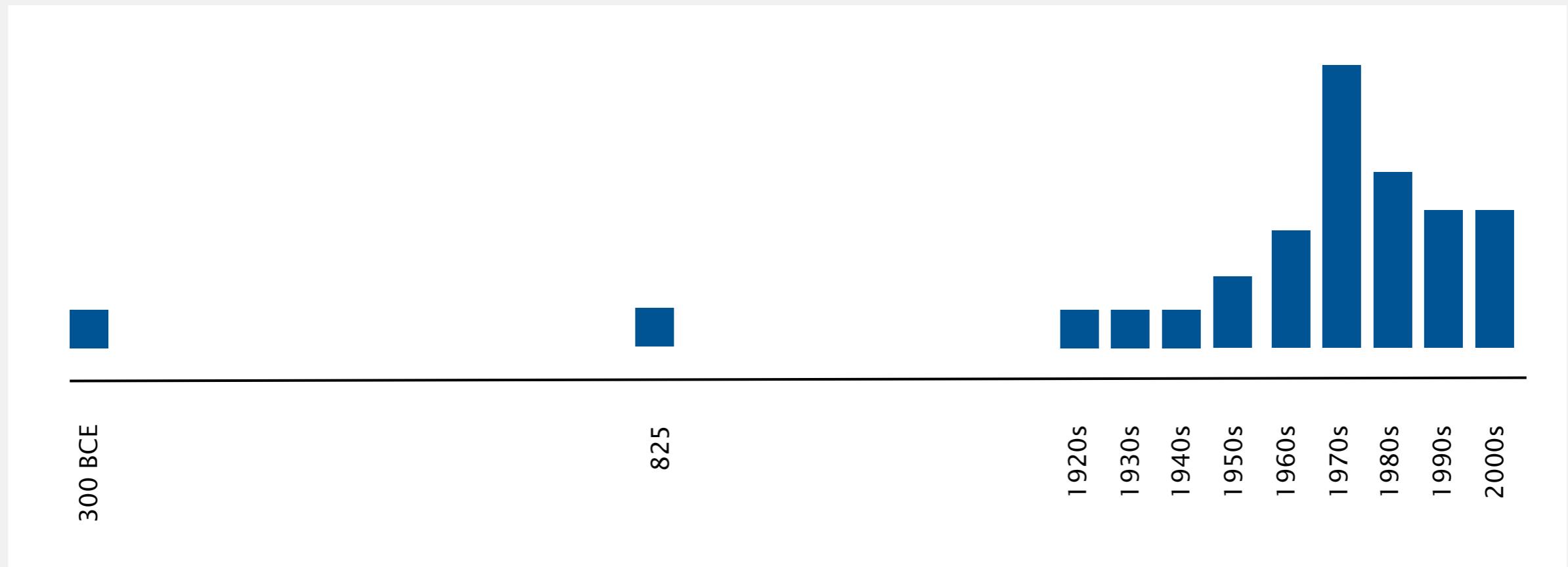


# Why study algorithms?

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## Old roots, new opportunities.

- Study of algorithms dates at least to Euclid.
- Named after Muḥammad ibn Mūsā al-Khwārizmī.
- Formalized by Church and Turing in 1930s.
- Some important algorithms were discovered by undergraduates in a course like this!



# Why study algorithms?

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To become a proficient programmer.

*“I will, in fact, claim that the difference between a bad programmer and a good one is whether he considers his code or his data structures more important. Bad programmers worry about the code. Good programmers worry about data structures and their relationships.”*

— Linus Torvalds (creator of Linux)



# Why study algorithms?

## For intellectual stimulation.

*“For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even mysterious. But once unlocked, they cast a brilliant new light on some aspect of computing.” — Francis Sullivan*

## FROM THE EDITORS

# THE JOY OF ALGORITHMS

*Francis Sullivan, Associate Editor-in-Chief*



**T**HE THEME OF THIS FIRST-OF-THE-CENTURY ISSUE OF COMPUTING IN SCIENCE & ENGINEERING IS ALGORITHMS. IN FACT, WE WERE BOLD ENOUGH—AND PERHAPS FOOLISH ENOUGH—to call the 10 examples we've selected "THE TOP 10 ALGORITHMS OF THE CENTURY."

Computational algorithms are probably as old as civilization. Samerian cuneiform, one of the most ancient written records, consists partly of algorithmic descriptions for reckoning in base 60. And I suppose we could claim that the Druid algorithm for estimating the start of summer is embedded in Stonehenge. (That's really hard handwriting.)

I have no idea what the mechanism effects... [redacted]

Like so many other things that technology affects, algorithms have advanced in startling and unexpected ways in the 20th century—at least it looks that way to us now. The algorithms we chose for this issue have been essential for progress in communications, health care, manufacturing, economics, and many other fields.

weather prediction, defense, and fundamental science. Conversely, progress in these areas has stimulated the search for ever better algorithms. I would like to consider first a real Malthusian shock we sometimes ask—namely, what does the evolution of the observed behavior of sea gulls, sometimes give us? What must be the right answer?—namely, a very hungry sea gull.

There is an analog for things such as logic, multidimensionality and probability theory in the field of computer science, namely the ability to solve specific kinds of "impossible" problems. Instances of NP-complete problems crop up in almost every field of science and technology, so it is important to know efficient ways to attack them.

Another example, though, will be given after our next evolution in our understanding of the foundations of computational theory. Questions already arising from quantum computing include: What is the nature of the distribution of random numbers in logic, what do we know about the nature of the problem of computing, logic, and the nature of physical reality?

For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even physical works.

## **DEAR MYSTERY ALGORITHM THAT HOGGED GLOBAL FINANCIAL TRADING LAST WEEK: WHAT DO YOU WANT?**

ON FRIDAY, A SINGLE MYSTERIOUS PROGRAM WAS RESPONSIBLE FOR 4 PERCENT OF ALL STOCK QUOTE TRAFFIC AND SUCKED UP 10 PERCENT OF THE NASDAQ'S TRADING BANDWIDTH. THEN IT DISAPPEARED.

By Clay Dillon Posted October 10, 2012



# Why study algorithms?

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They may unlock the secrets of life and of the universe.

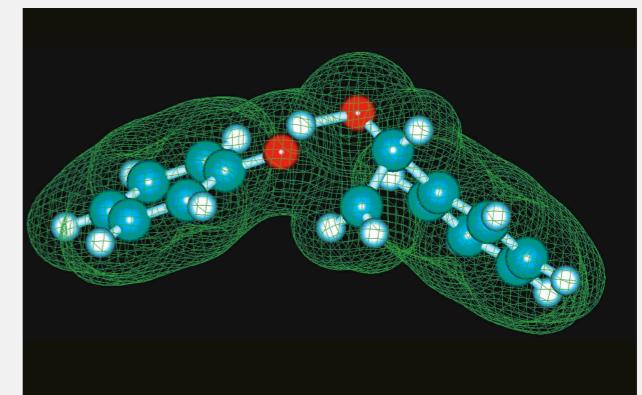
*“ Computer models mirroring real life have become crucial for most advances made in chemistry today.... Today the computer is just as important a tool for chemists as the test tube. ”*

— Royal Swedish Academy of Sciences

(Nobel Prize in Chemistry 2013)



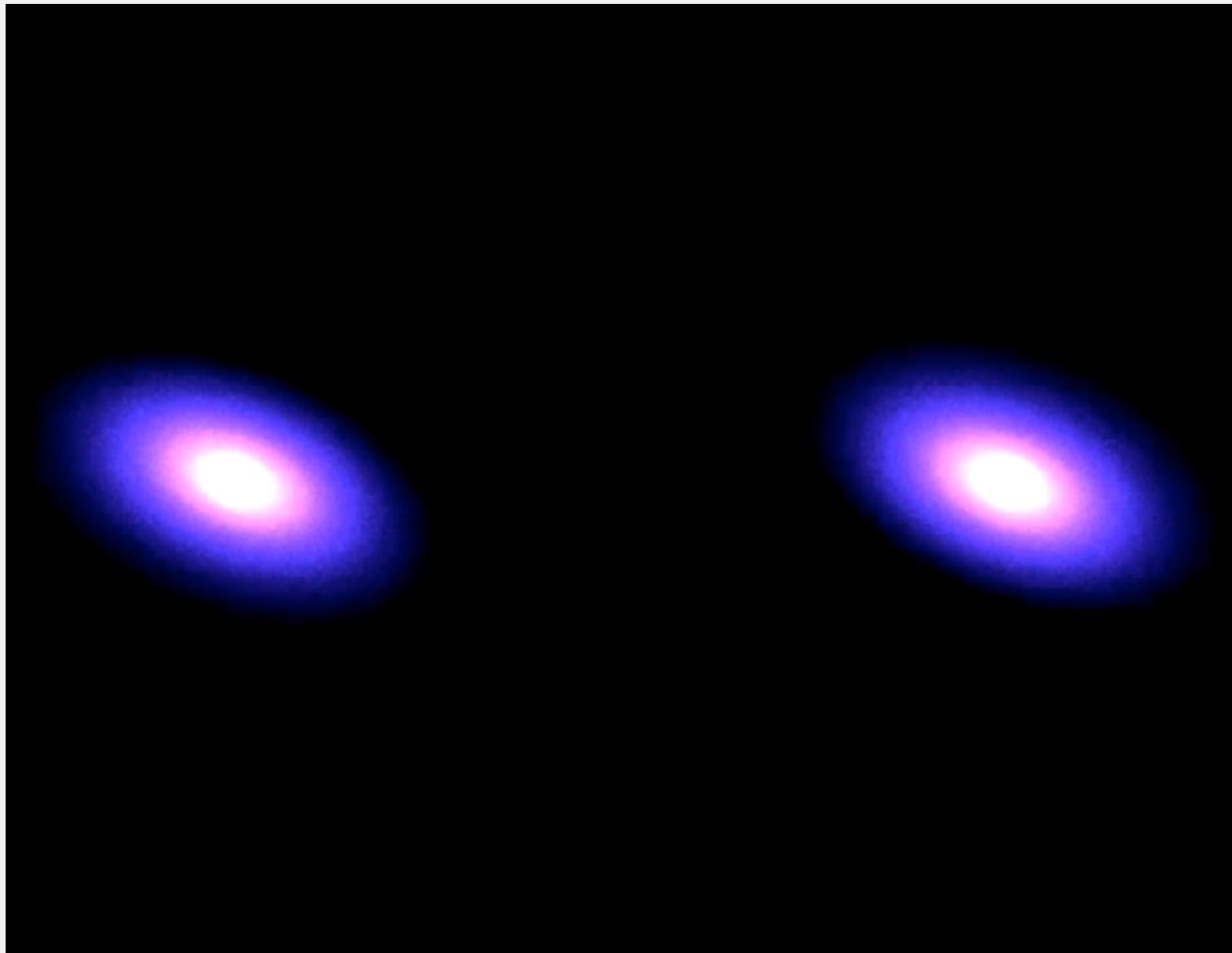
Martin Karplus, Michael Levitt, and Arieh Warshel



# Why study algorithms?

---

To solve problems that could not otherwise be addressed.



[http://www.youtube.com/watch?v=ua7YIN4eL\\_w](http://www.youtube.com/watch?v=ua7YIN4eL_w)

# Why study algorithms?

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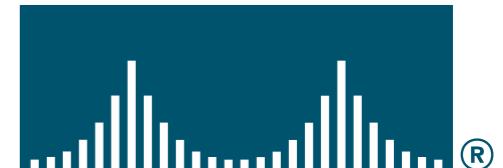
For fun and profit.



Apple Computer

facebook®

CISCO SYSTEMS



Google™

IBM

Nintendo®

JANE STREET

Morgan Stanley

NETFLIX

Adobe™

RSA  
SECURITY™

D E Shaw & Co

ORACLE®

P  
PANDORA®

Akamai

YAHOO!

amazon.com

Microsoft®

P I X A R  
ANIMATION STUDIOS

# Why study algorithms?

---

- Their impact is broad and far-reaching.
- Old roots, new opportunities.
- To become a proficient programmer.
- For intellectual stimulation.
- They may unlock the secrets of life and of the universe.
- To solve problems that could not otherwise be addressed.
- For fun and profit.

Why study anything else?



# Lectures

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Traditional lectures. Introduce new material.

Electronic devices. Permitted, but only to enhance lecture.



no



no



no

What	When	Where	Who	Office Hours
L01	MW 11-12:20	McCosh 10	Kevin Wayne	see web

# Lectures

---

Traditional lectures. Introduce new material.

## Flipped lectures.

- Watch videos online **before** lecture.
- Complete pre-lecture activities.
- Attend two "flipped" lecture per week (interactive, collaborative, experimental).
- Apply via web by midnight today; results by noon tomorrow.



What	When	Where	Who	Office Hours
L01	MW 11-12:20	McCosh 10	Kevin Wayne	see web
L02	MW 11-12:20	Frist 307	Andy Guna	see web

# Precepts

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Discussion, problem-solving, background for assignments.

What	When	Where	Who	Office Hours
P01	<b>Th 11-11:50</b>	<b>Friend 108</b>	<b>Andy Guna</b> †	see web
P01A	<b>Th 11-11:50</b>	<b>Friend 109</b>	<b>Shivam Agarwal</b>	see web
P02	<b>Th 12:30-1:20</b>	<b>Friend 108</b>	<b>Andy Guna</b> †	see web
P03	<b>Th 1:30-2:20</b>	<b>Friend 108</b>	<b>Swati Roy</b>	see web
P04	<b>F 10-10:50</b>	<b>Friend 108</b>	<b>Robert MacDavid</b>	see web
P05	<b>F 11-11:50</b>	<b>Friend 108</b>	<b>Robert MacDavid</b>	see web
P05A	<b>F 11-11:50</b>	<b>Friend 109</b>	<b>Shivam Agarwal</b>	see web
P06	<b>F 2:30-3:20</b>	<b>Friend 108</b>	<b>Jérémie Lumbroso</b>	see web
P06A	<b>F 2:30-3:20</b>	<b>COS 102</b>	<b>Josh Wetzel</b>	see web
P06B	<b>F 2:30-3:20</b>	<b>Friend 112</b>	<b>Ryan Beckett</b>	see web
P07	<b>F 3:30-4:20</b>	<b>Friend 108</b>	<b>Jérémie Lumbroso</b>	see web

† lead preceptor

# Coursework and grading

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## Programming assignments. 45%

- Due at 11pm on Wednesdays via electronic submission.
- Collaboration/lateness policies: see web.

## Exercises. 10%

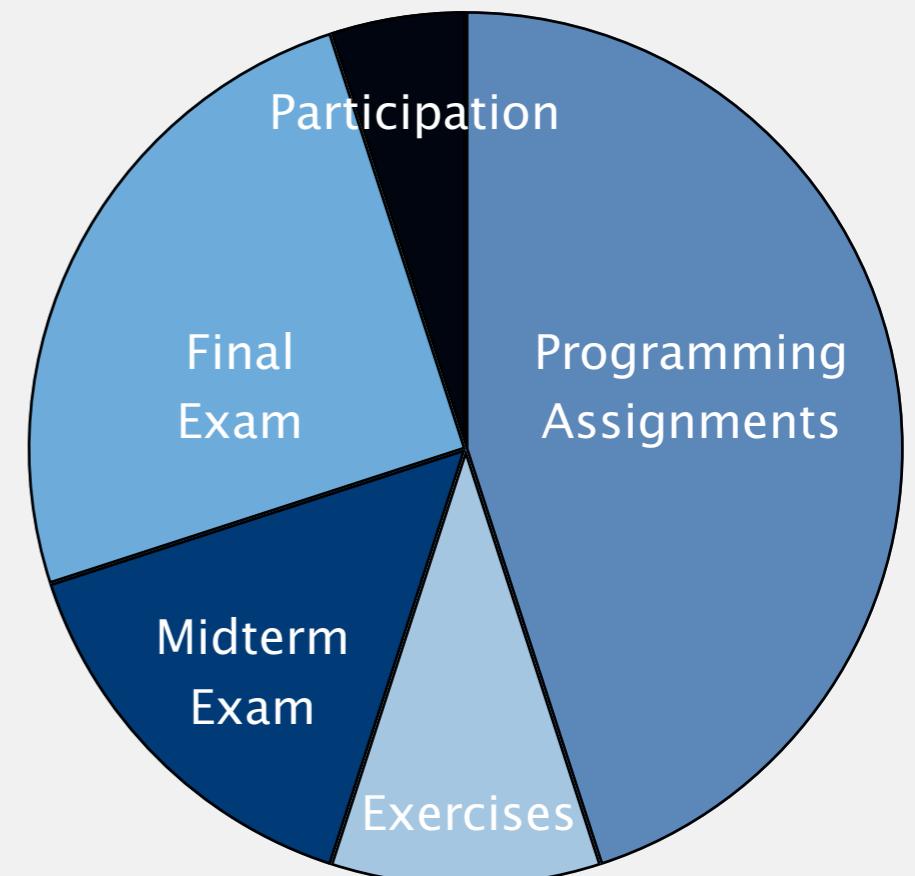
- Due at 11pm on Sundays via Blackboard.
- Collaboration/lateness policies: see web.

## Exams. 15% + 25%

- Midterm (in class on Wednesday, March 11).
- Final (to be scheduled by Registrar).

## Participation. 5%

- Attend and participate in precept/lecture.
- Answer questions on Piazza.



## Required device for lecture.

- Any hardware version of i▶clicker.
- Available at Labyrinth Books (\$25). save serial number  
to maintain resale value
- You must register your i▶clicker in Blackboard.  
(sorry, insufficient WiFi in this room to support i▶clicker GO)

Which model of i▶clicker are you using?

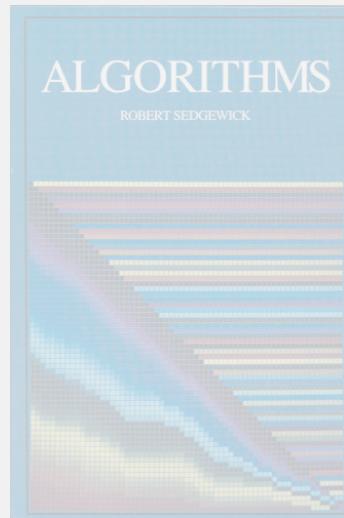
- A. i▶clicker.
- B. i▶clicker+.
- C. i▶clicker 2.
- D. I don't know.
- E. I don't have one yet.



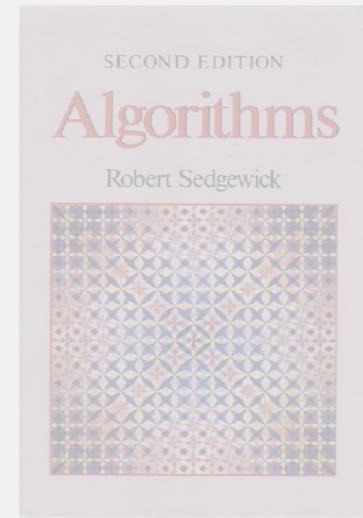
# Resources (textbook)

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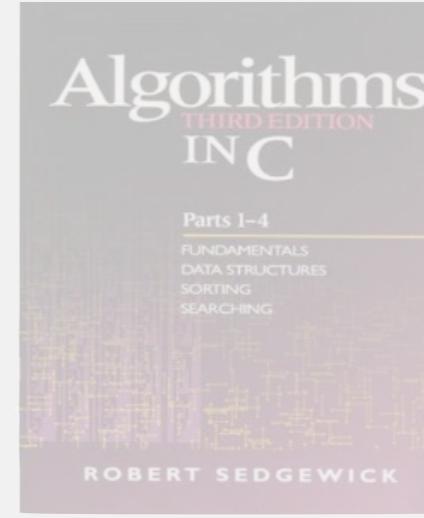
**Required reading.** Algorithms 4<sup>th</sup> edition by R. Sedgewick and K. Wayne, Addison-Wesley Professional, 2011, ISBN 0-321-57351-X.



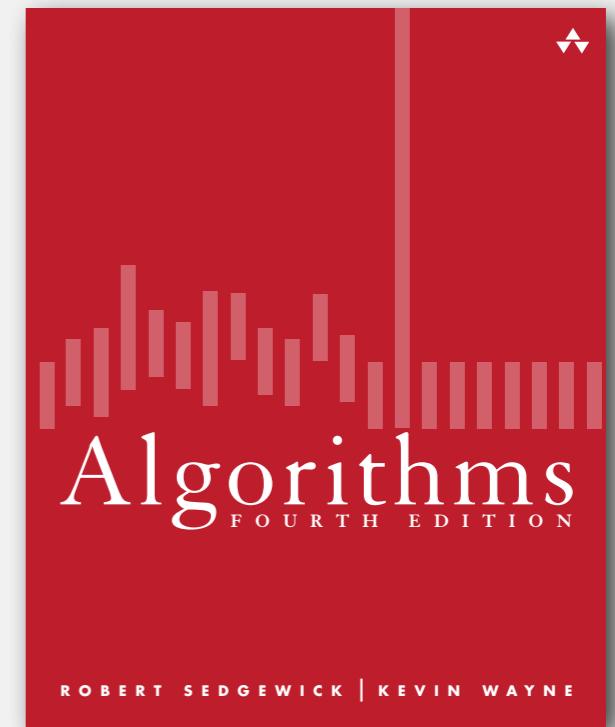
1<sup>st</sup> edition (1982)



2<sup>nd</sup> edition (1988)



3<sup>rd</sup> edition (1997)



4<sup>th</sup> edition (2011)

**Available in hardcover and Kindle.**

- Online: Amazon (\$60 hardcover, \$50 Kindle, \$20 rent), ...
- Brick-and-mortar: Labyrinth Books (122 Nassau St.).
- On reserve: Engineering library.

# Resources (web)

## Course content.

- Course info.
- Lecture slides.
- Flipped lectures.
- Programming assignments.
- Exercises.
- Exam archive.

 PRINCETON  
UNIVERSITY

**COMPUTER SCIENCE 226**  
**ALGORITHMS AND DATA**  
**STRUCTURES**  
**SPRING 2015**

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[Course Information](#) | [Lectures](#) | [Flipped](#) | [Assignments](#) | [Exercises](#) | [Exams](#)

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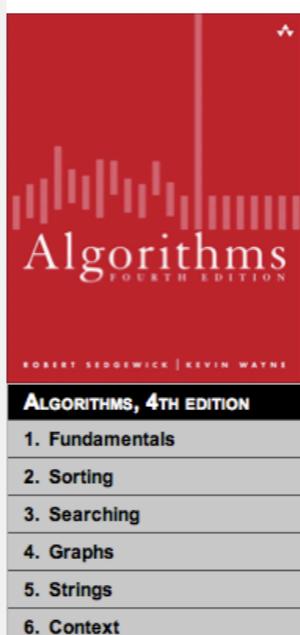
**COURSE INFORMATION**

**Description.** This course surveys the most important algorithms and data structures in use on computers today. Particular emphasis is given to algorithms for sorting, searching, and string processing. Fundamental algorithms in a number of other areas are covered as well, including geometric and graph algorithms. The course will concentrate on developing implementations, understanding their performance characteristics, and estimating their potential effectiveness in applications.

<http://www.princeton.edu/~cos226>

## Booksite.

- Brief summary of content.
- Download code from book.
- APIs and Javadoc.



**ALGORITHMS, 4TH EDITION**

*essential information that every serious programmer needs to know about algorithms and data structures*

**Textbook.** The textbook *Algorithms, 4th Edition* by Robert Sedgewick and Kevin Wayne [ [Amazon](#) · [Addison-Wesley](#) ] surveys the most important algorithms and data structures in use today. The textbook is organized into six chapters:

- *Chapter 1: Fundamentals* introduces a scientific and engineering basis for comparing algorithms and making predictions. It also includes our programming model.
- *Chapter 2: Sorting* considers several classic sorting algorithms, including insertion sort, mergesort, and quicksort. It also includes a binary heap implementation of a priority queue.
- *Chapter 3: Searching* describes several classic symbol table implementations, including binary search trees, red-black trees, and hash tables.

<http://algs4.cs.princeton.edu>

# Resources (people)

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## Piazza discussion forum.

- Low latency, low bandwidth.
- Mark solution-revealing questions as private.



<http://piazza.com/princeton/spring2015/cos226>

## Office hours.

- High bandwidth, high latency.
- See web for schedule.



<http://www.princeton.edu/~cos226>

## Computing laboratory.

- Undergrad lab TAs.
- For help with debugging.
- See web for schedule.



<http://labta.cs.princeton.edu>

# What's ahead?

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Today. Attend traditional lecture (everyone).

Wednesday. Attend traditional/flipped lecture.

Thursday/Friday. Attend precept (everyone).



FOR i = 1 to N

Sunday: two sets of exercises due.

Monday: traditional/flipped lecture.

Tuesday: programming assignment due.

Wednesday: traditional/flipped lecture.

Thursday/Friday: precept.

protip: start early

## Q+A

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Not registered? Go to any precept this week.

Change precept? Use SCORE.

All possible precepts closed? See Colleen Kenny-McGinley in CS 210.

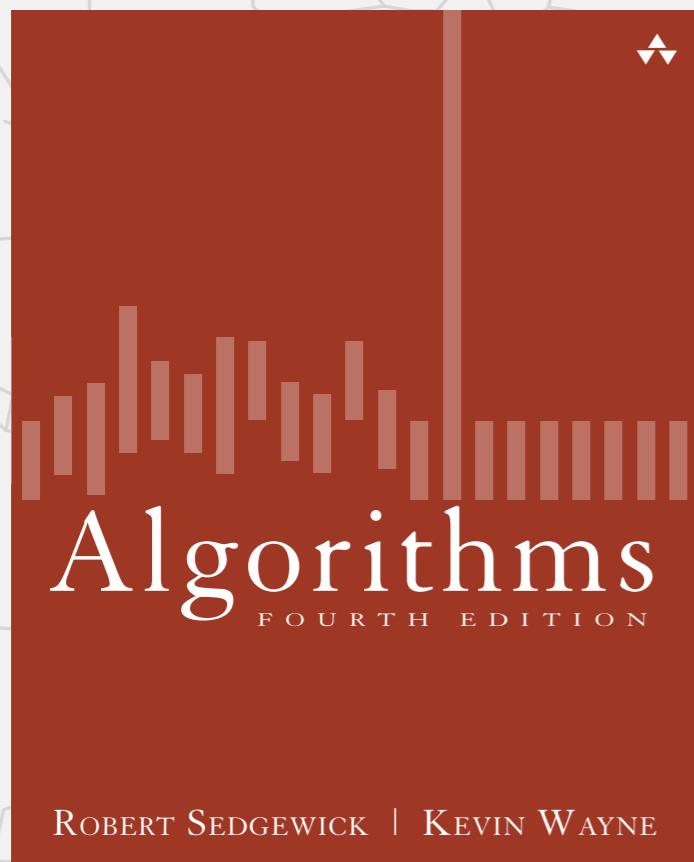
Haven't taken COS 126? See COS placement officer.

Placed out of COS 126? Review Sections 1.1–1.2 of Algorithms 4/e.



# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE



ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

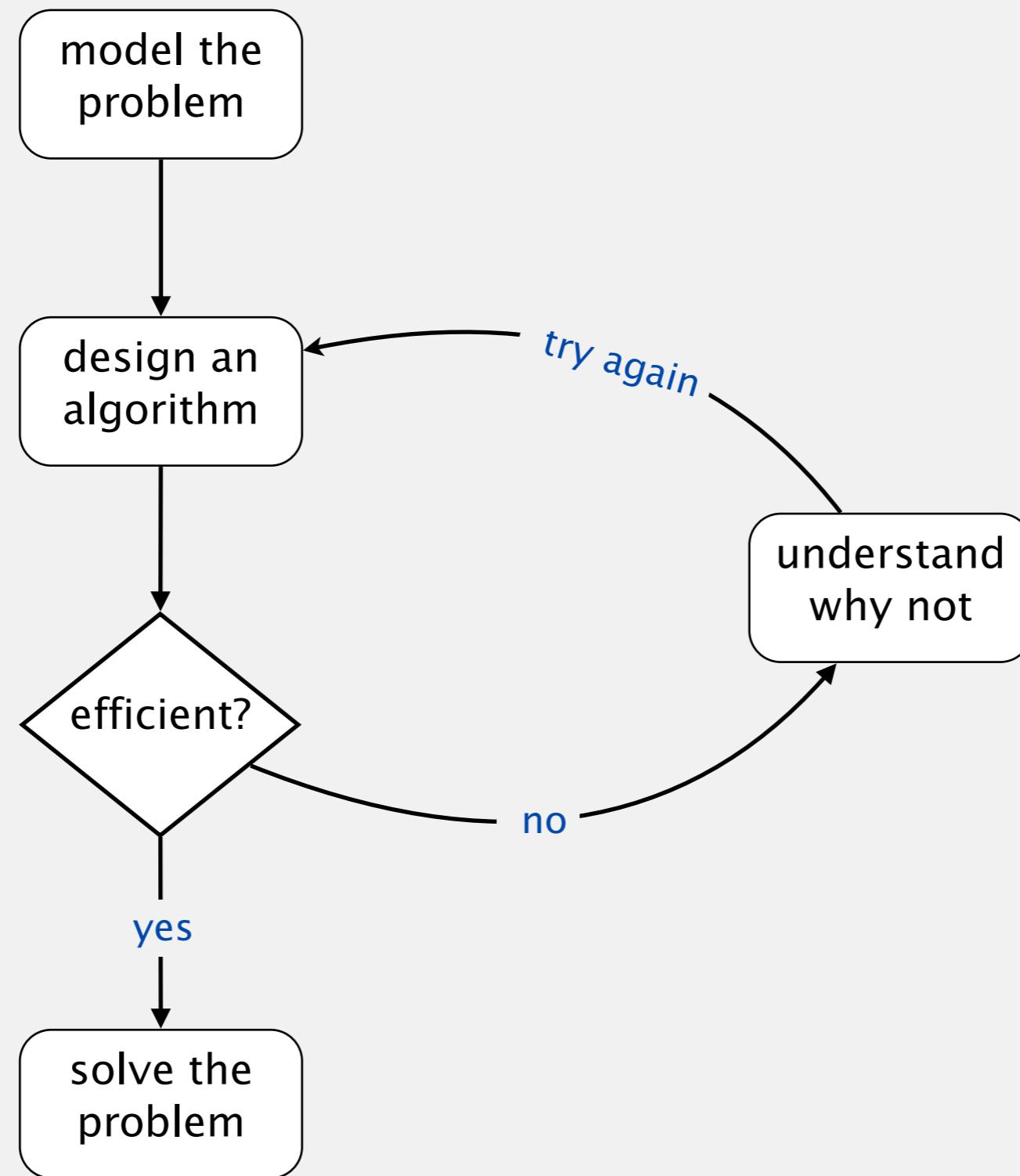
---

- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Subtext of today's lecture (and this course)

---

Steps to developing a usable algorithm to solve a computational problem.



# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Dynamic-connectivity problem

---

Given a set of N elements, support two operation:

- Connection command: directly connect two elements with an edge.
- Connection query: is there a path connecting two elements?

*add edge between 4 and 3*

*connect 3 and 8*

*connect 6 and 5*

*connect 9 and 4*

*connect 2 and 1*

*are 8 and 9 connected? ✓*

*are 5 and 7 connected? ✗*

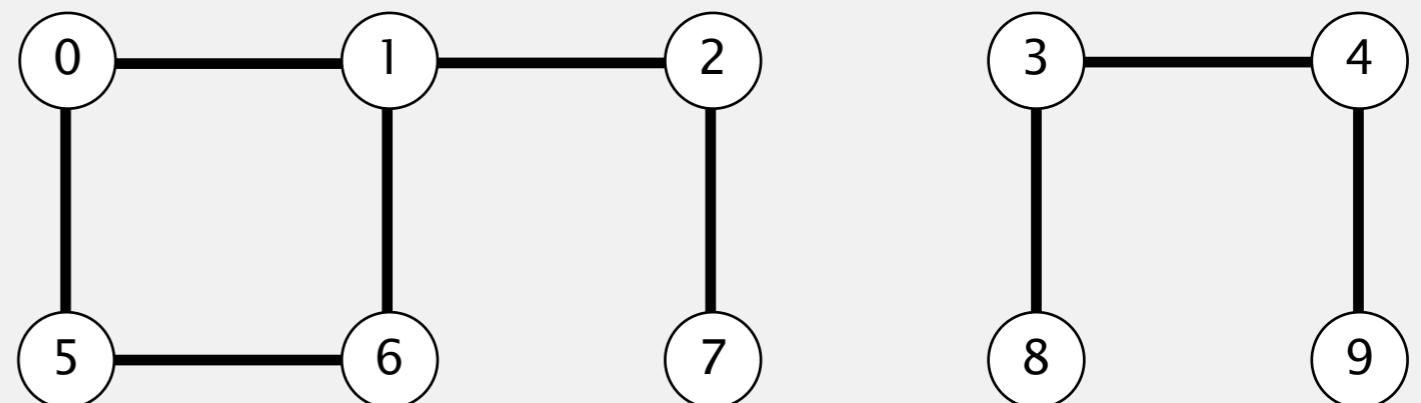
*connect 5 and 0*

*connect 7 and 2*

*connect 6 and 1*

*connect 1 and 0*

*are 5 and 7 connected? ✓*

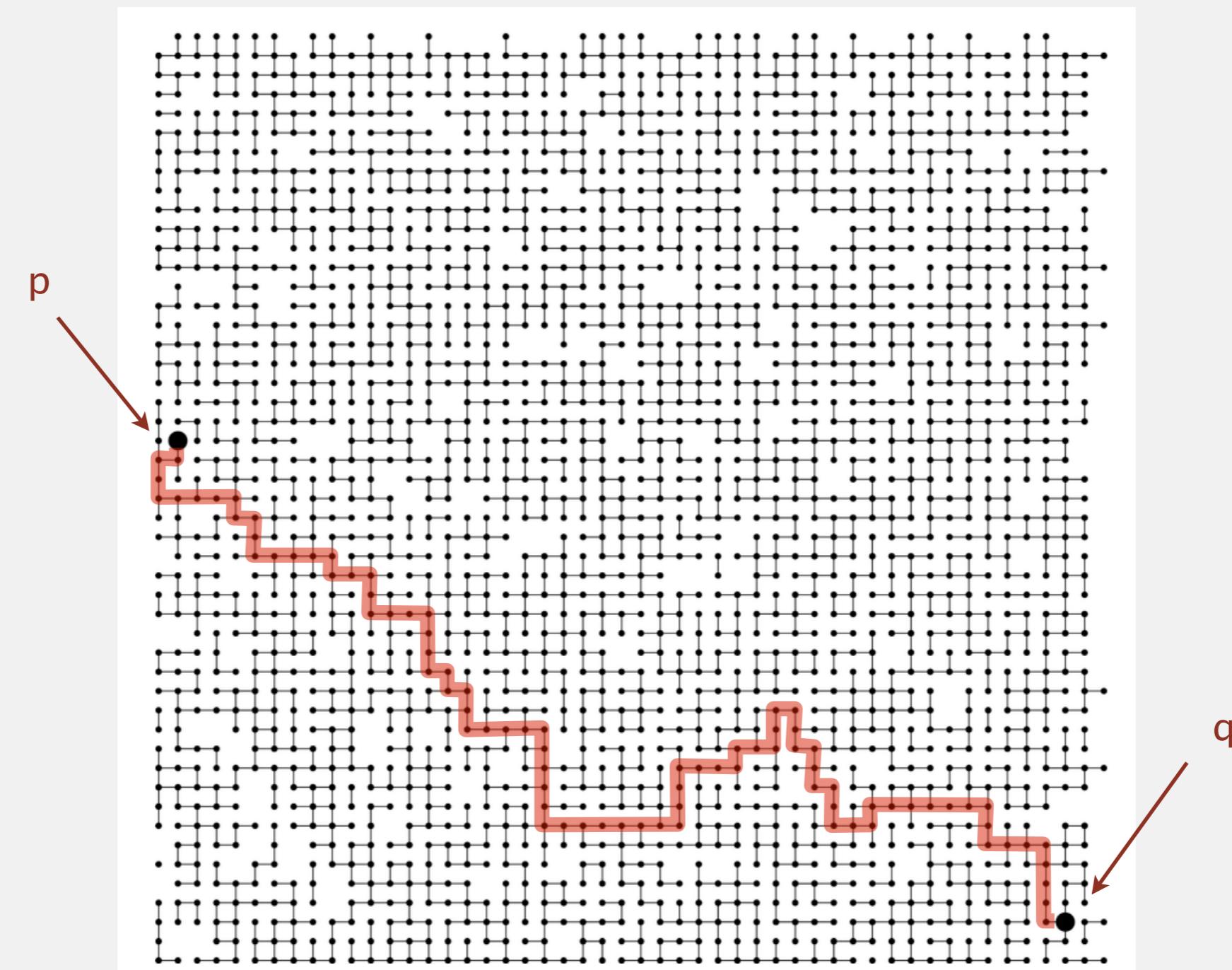


# A larger connectivity example

---

Q. Is there a path connecting elements  $p$  and  $q$ ?

finding the path explicitly is a harder problem  
(stay tuned for graph algorithms in Chapter 4)



A. Yes.

# Modeling the elements

---

Applications involve manipulating elements of all types.

- Pixels in a digital photo.
- Computers in a network.
- Friends in a social network.
- Transistors in a computer chip.
- Elements in a mathematical set.
- Variable names in a Fortran program.
- Metallic sites in a composite system.

When programming, convenient to name elements 0 to  $N - 1$ .

- Use integers as array index.
- Suppress details not relevant to union-find.



can use symbol table to translate from site names to integers (stay tuned for Chapter 3)

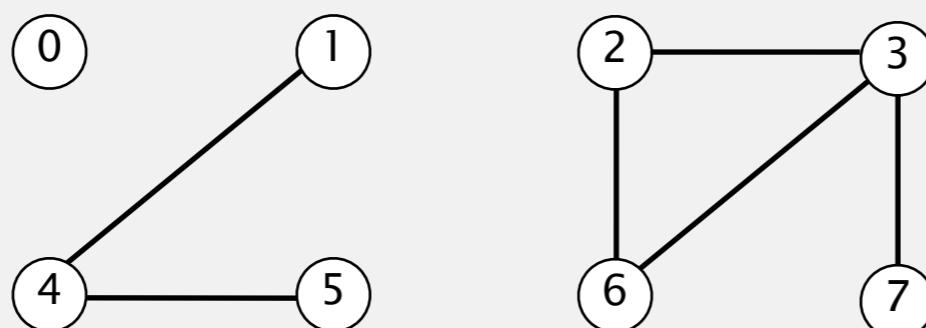
# Modeling the connections

---

We model "is connected to" as an equivalence relation:

- Reflexive:  $p$  is connected to  $p$ .
- Symmetric: if  $p$  is connected to  $q$ , then  $q$  is connected to  $p$ .
- Transitive: if  $p$  is connected to  $q$  and  $q$  is connected to  $r$ ,  
then  $p$  is connected to  $r$ .

**Connected component.** Maximal set of elements that are mutually connected.



{ 0 } { 1, 4, 5 } { 2, 3, 6, 7 }

3 disjoint sets

(connected components)

## Two core operations on disjoint sets

---

**Union.** Replace set  $p$  and  $q$  with their union.

**Find.** In which set is element  $p$ ?

**union(2, 5)**

{ 0 } { 1, 4, 5 } { 2, 3, 6, 7 }

3 disjoint sets



**find(5) == find(6) ✓**

{ 0 } { 1, 2, 3, 4, 5, 6, 7 }

2 disjoint sets

# Modeling the dynamic-connectivity problem using union-find

Q. How to model the dynamic-connectivity problem using union-find?

A. Maintain disjoint sets that correspond to connected components.

- Connect elements  $p$  and  $q$ .
- Are elements  $p$  and  $q$  connected?

**union(2, 5)**

{ 0 } { 1, 4, 5 } { 2, 3, 6, 7 }

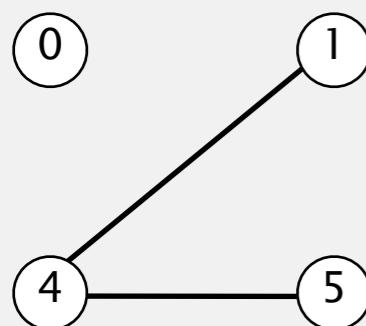
3 disjoint sets

**find(5) == find(6) ✓**

{ 0 } { 1, 2, 3, 4, 5, 6, 7 }

2 disjoint sets

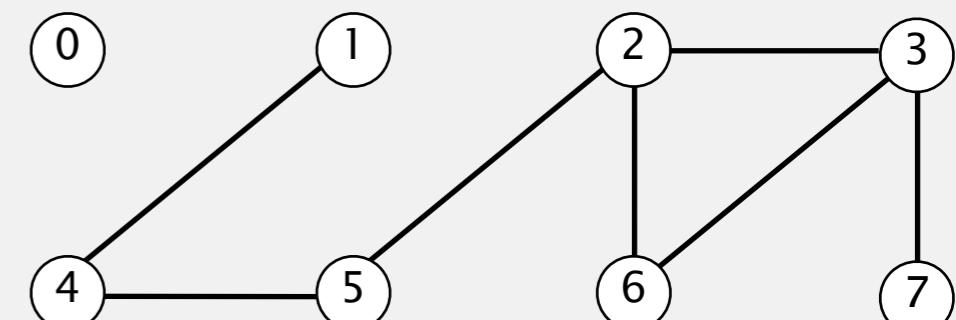
**connect 2 and 5**



3 connected components



**are 5 and 6 connected?**



2 connected components

# Union-find data type (API)

---

**Goal.** Design an efficient union-find data type.

- Number of elements  $N$  can be huge.
- Number of operations  $M$  can be huge.
- Union and find operations can be intermixed.

```
public class UF
```

```
UF(int N)
```

*initialize union-find data structure  
with  $N$  singleton sets (0 to  $N - 1$ )*

```
void union(int p, int q)
```

*merge sets containing  
elements  $p$  and  $q$*

```
int find(int p)
```

*identifier for set containing  
element  $p$  (0 to  $N - 1$ )*

# Dynamic-connectivity client

- Read in number of elements  $N$  from standard input.
- Repeat:
  - read in pair of integers from standard input
  - if they are not yet connected, connect them and print pair

```
public static void main(String[] args)
{
    int N = StdIn.readInt();
    UF uf = new UF(N);
    while (!StdIn.isEmpty())
    {
        int p = StdIn.readInt();
        int q = StdIn.readInt();
        if (uf.find(p) != uf.find(q))
        {
            uf.union(p, q);
            StdOut.println(p + " " + q);
        }
    }
}
```

% **more tinyUF.txt**

10	
4	3
3	8
6	5
9	4
2	1
8	9
5	0
7	2
6	1
1	0
6	7

already connected  
(don't print these)

# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

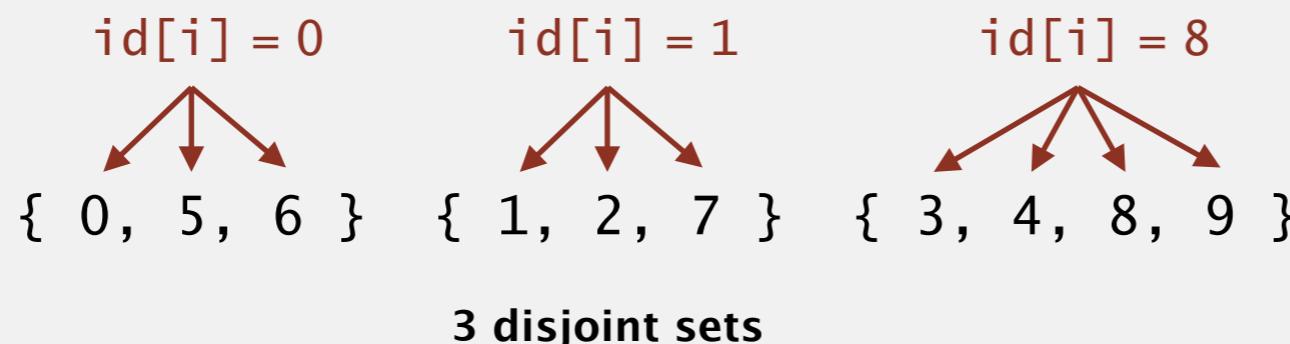
# Quick-find [eager approach]

---

## Data structure.

- Integer array  $\text{id}[]$  of length  $N$ .
- Interpretation:  $\text{id}[p]$  identifies the set containing element  $p$ .

	0	1	2	3	4	5	6	7	8	9
$\text{id}[]$	0	1	1	8	8	0	0	1	8	8



Q. How to implement  $\text{find}(p)$ ?

A. Easy, just return  $\text{id}[p]$ .

# Quick-find [eager approach]

---

## Data structure.

- Integer array  $\text{id}[]$  of length  $N$ .
- Interpretation:  $\text{id}[p]$  identifies the set containing element  $p$ .

**union(6, 1)**

	0	1	2	3	4	5	6	7	8	9
$\text{id}[]$	1	1	1	8	8	1	1	1	8	8
	↑				↑	↑				

problem: many values can change

Q. How to implement  $\text{union}(p, q)$ ?

A. Change all entries whose identifier equals  $\text{id}[p]$  to  $\text{id}[q]$ .

# Quick-find: Java implementation

```
public class QuickFindUF
{
    private int[] id;
```

```
public QuickFindUF(int N)
{
```

```
    id = new int[N];
    for (int i = 0; i < N; i++)
        id[i] = i;
```

```
}
```

set id of each element to itself  
( $N$  array accesses)

```
public int find(int p)
{    return id[p]; }
```

return the id of p  
(1 array access)

```
public void union(int p, int q)
{
```

```
    int pid = id[p];
    int qid = id[q];
    for (int i = 0; i < id.length; i++)
        if (id[i] == pid) id[i] = qid;
```

```
}
```

change all entries with id[p] to id[q]  
( $N+2$  to  $2N+2$  array accesses)

## Quick-find is too slow

---

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find
quick-find	$N$	$N$	1

number of array accesses (ignoring leading constant)

Union is too expensive. Processing a sequence of  $N$  union operations on  $N$  elements takes more than  $N^2$  array accesses.

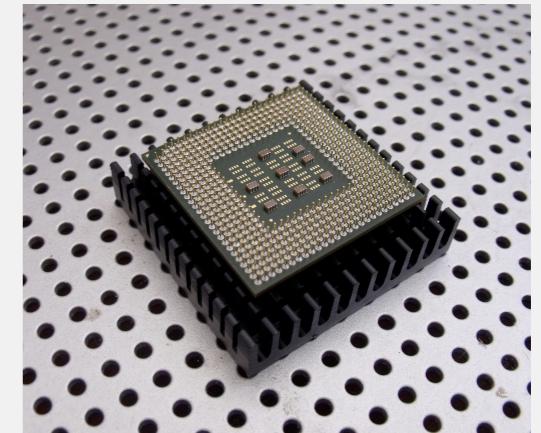
quadratic

# Quadratic algorithms do not scale

Rough standard (for now).

- $10^9$  operations per second.
- $10^9$  words of main memory.
- Touch all words in approximately 1 second.

a truism (roughly  
since 1950!)

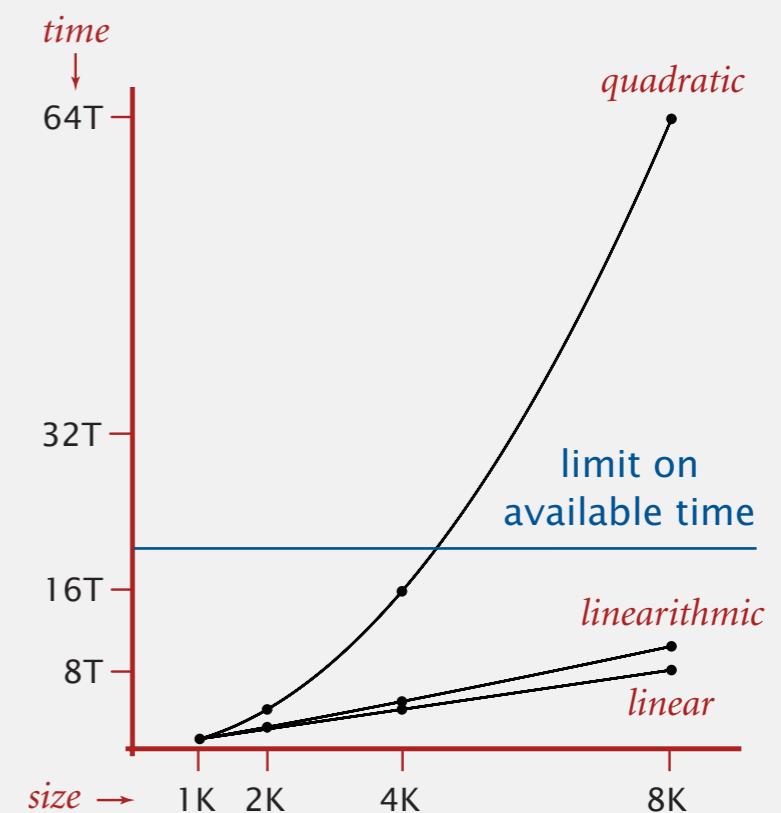


Ex. Huge problem for quick-find.

- $10^9$  union commands on  $10^9$  elements.
- Quick-find takes more than  $10^{18}$  operations.
- 30+ years of computer time!

Quadratic algorithms don't scale with technology.

- New computer may be 10x as fast.
- But, has 10x as much memory ⇒ want to solve a problem that is 10x as big.
- With quadratic algorithm, takes 10x as long!



# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

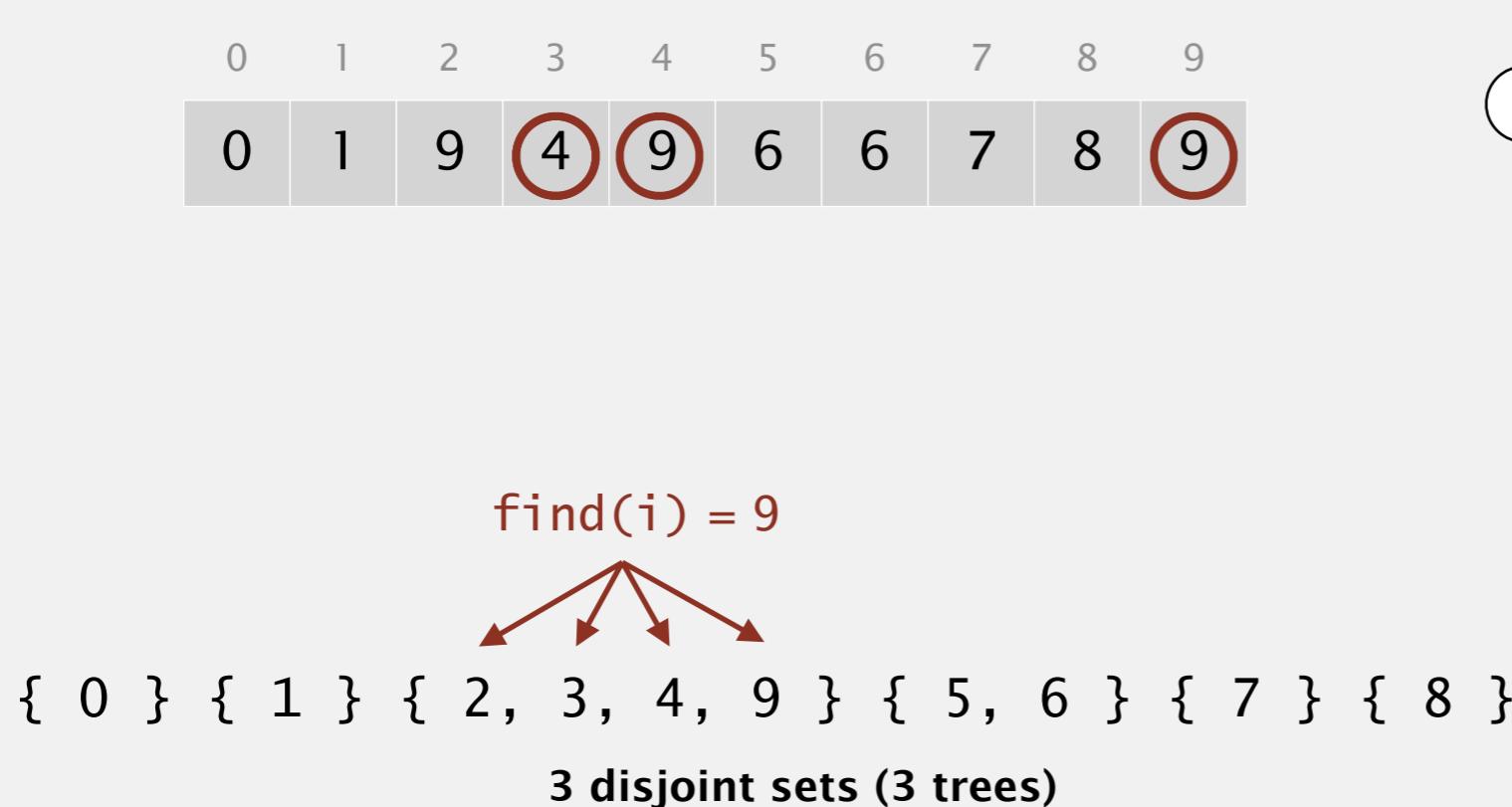
---

- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Quick-union [lazy approach]

## Data structure.

- Integer array `parent[]` of length  $N$ , where `parent[i]` is parent of  $i$  in tree.
- Interpretation: elements in a tree corresponding to a set.



Q. How to implement `find(p)` operation?

A. Return **root** of tree containing  $p$ .

# Quick-union [lazy approach]

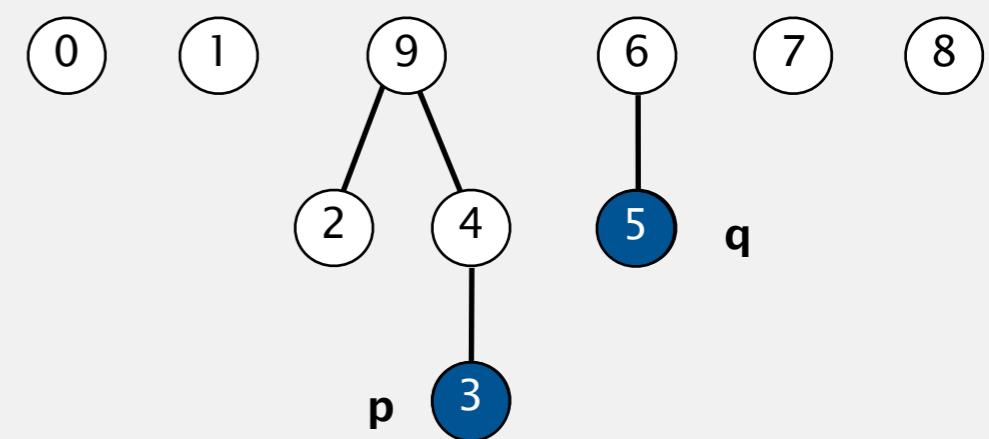
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## Data structure.

- Integer array `parent[]` of length  $N$ , where `parent[i]` is parent of  $i$  in tree.
- Interpretation: elements in a tree corresponding to a set.

`union(3, 5)`

0	1	2	3	4	5	6	7	8	9
0	1	9	4	9	6	6	7	8	9



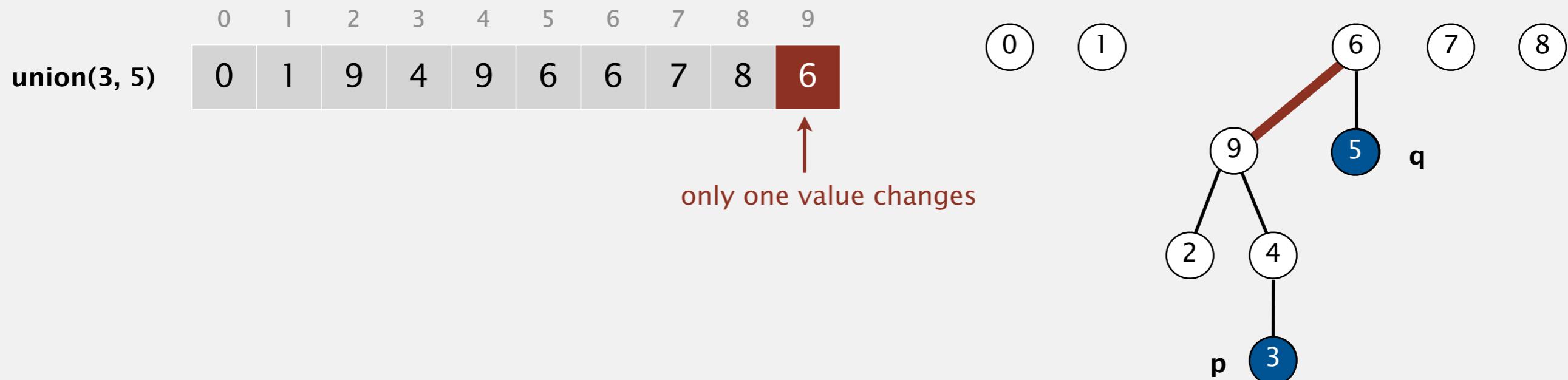
Q. How to implement `union(p, q)`?

A. Set parent of p's root to parent of q's root.

# Quick-union [lazy approach]

## Data structure.

- Integer array `parent[]` of length  $N$ , where `parent[i]` is parent of  $i$  in tree.
- Interpretation: elements in a tree corresponding to a set.



Q. How to implement `union(p, q)`?

A. Set parent of p's root to parent of q's root.

# Quick-union demo

---

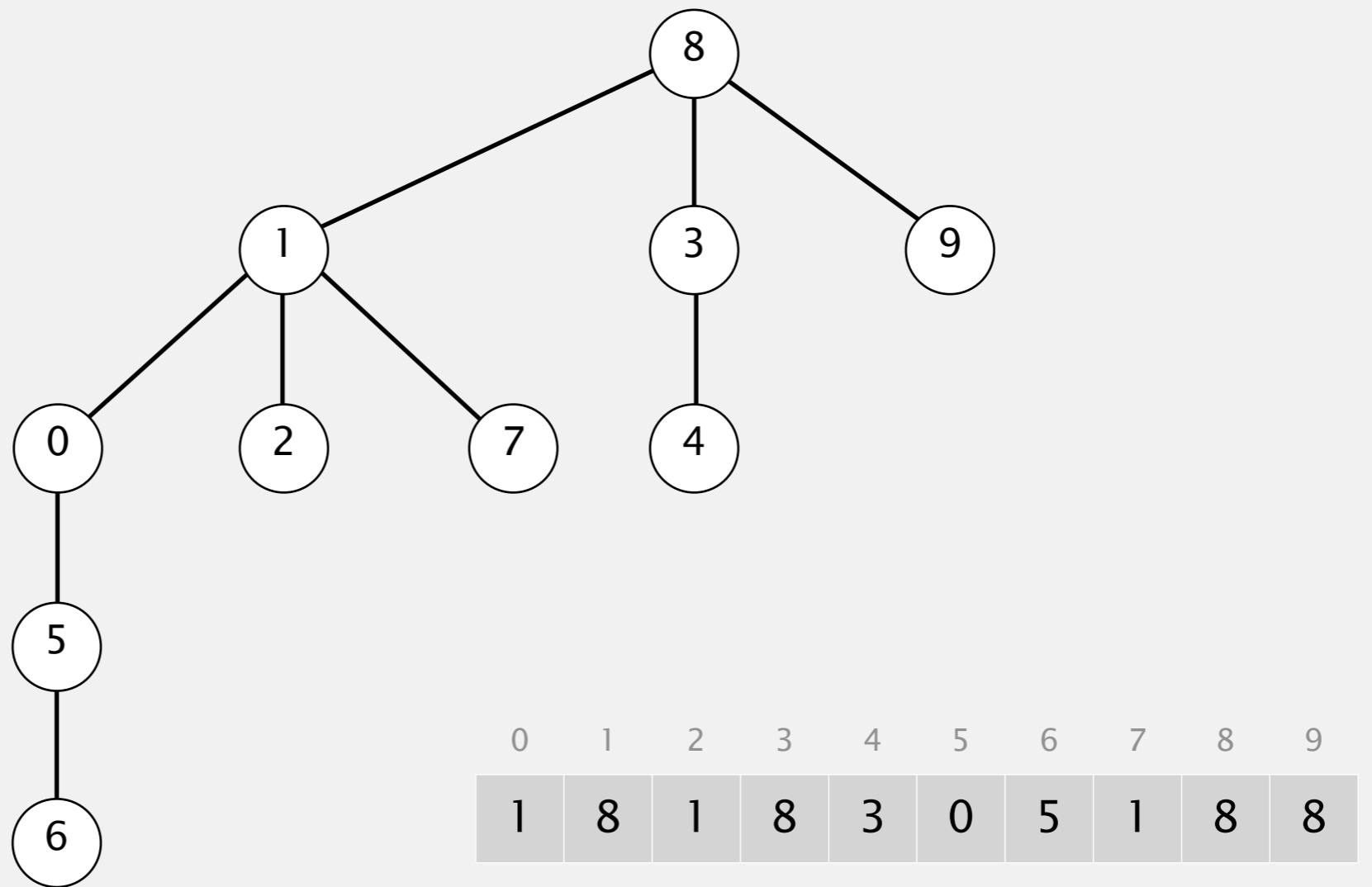


0    1    2    3    4    5    6    7    8    9

0	1	2	3	4	5	6	7	8	9
0	1	2	3	4	5	6	7	8	9

# Quick-union demo

---



# Quick-union: Java implementation

```
public class QuickUnionUF
{
    private int[] parent;

    public QuickUnionUF(int N)
    {
        parent = new int[N];
        for (int i = 0; i < N; i++)
            parent[i] = i;
    }

    public int find(int p)
    {
        while (p != parent[p])
            p = parent[p];
        return p;
    }

    public void union(int p, int q)
    {
        int i = find(p);
        int j = find(q);
        parent[i] = j;
    }
}
```

set parent of each element to itself  
( $N$  array accesses)

chase parent pointers until reach root  
(depth of  $p$  array accesses)

change root of  $p$  to point to root of  $q$   
(depth of  $p$  and  $q$  array accesses)

# Quick-union is also too slow

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find
<b>quick-find</b>	$N$	$N$	1
<b>quick-union</b>	$N$	$N^\dagger$	$N$

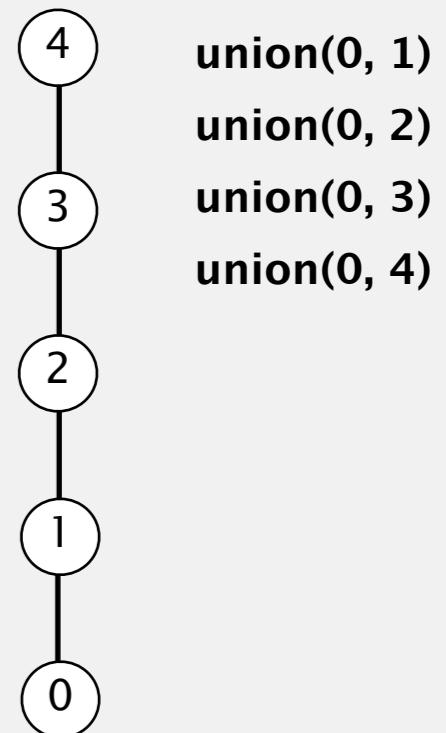
$\dagger$  includes cost of finding two roots

← worst case

## Quick-find defect.

- Union too expensive (more than  $N$  array accesses).
- Trees are flat, but too expensive to keep them flat.

worst-case input



## Quick-union defect.

- Trees can get tall.
- Find too expensive (could be more than  $N$  array accesses).

# Algorithms

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## 1.5 UNION-FIND

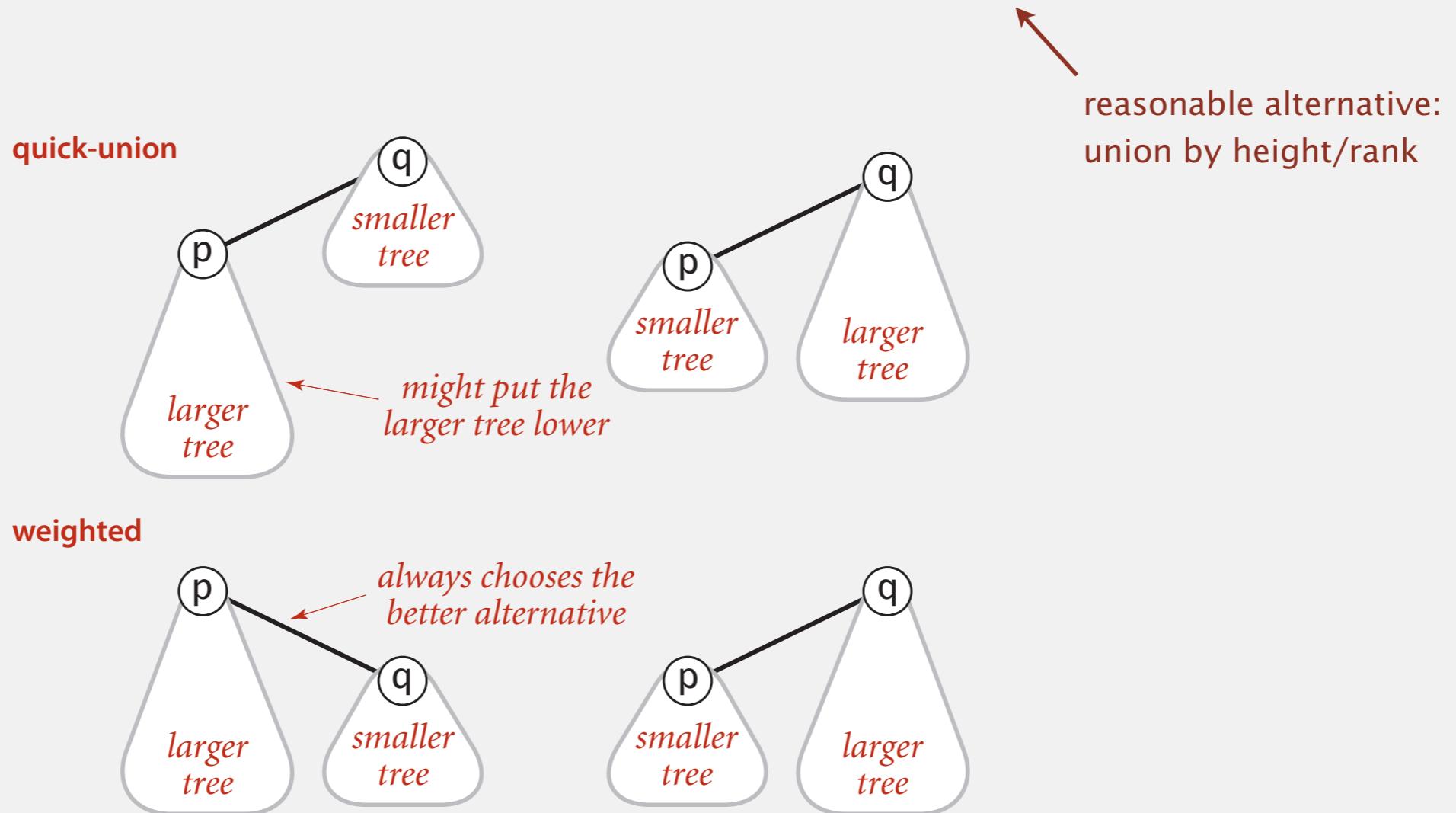
---

- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ ***improvements***
- ▶ *applications*

# Improvement 1: weighting

## Weighted quick-union.

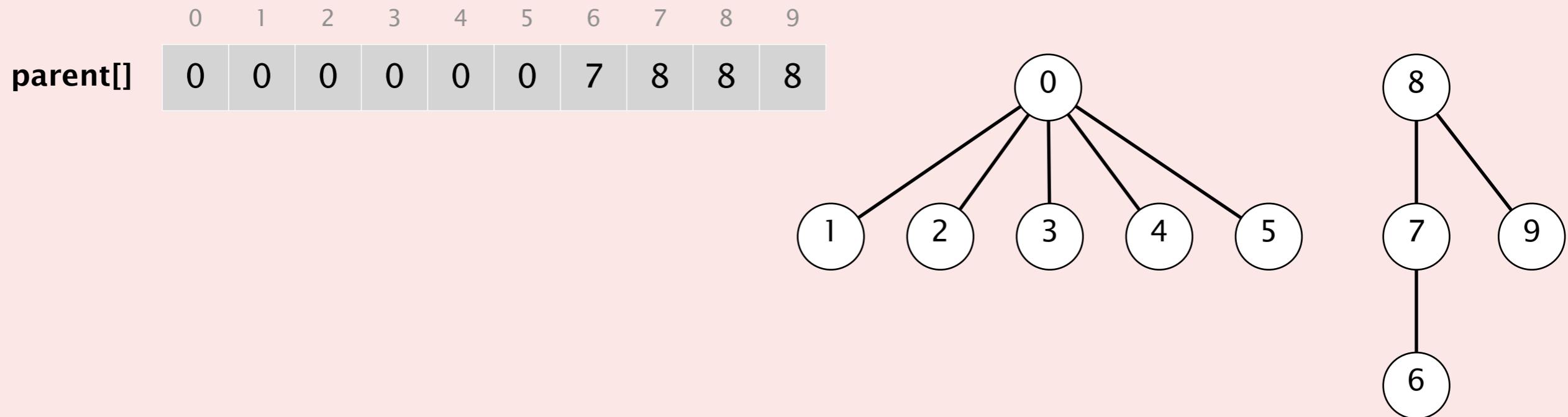
- Modify quick-union to avoid tall trees.
- Keep track of size of each tree (number of elements).
- Always link root of smaller tree to root of larger tree.



# Weighted quick-union quiz

---

Suppose that the parent[] array during weighted quick union is:



Which parent[] entry changes during union(2, 6)?

- A. parent[0]
- B. parent[2]
- C. parent[6]
- D. parent[8]

# Weighted quick-union demo

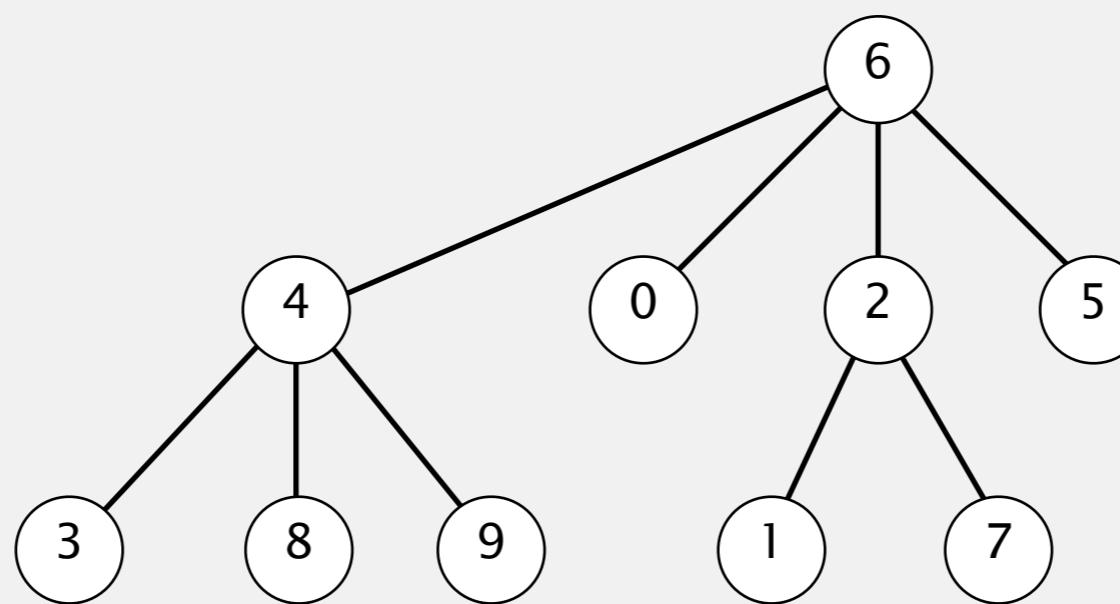
---



	0	1	2	3	4	5	6	7	8	9
<b>parent[]</b>	0	1	2	3	4	5	6	7	8	9

# Weighted quick-union demo

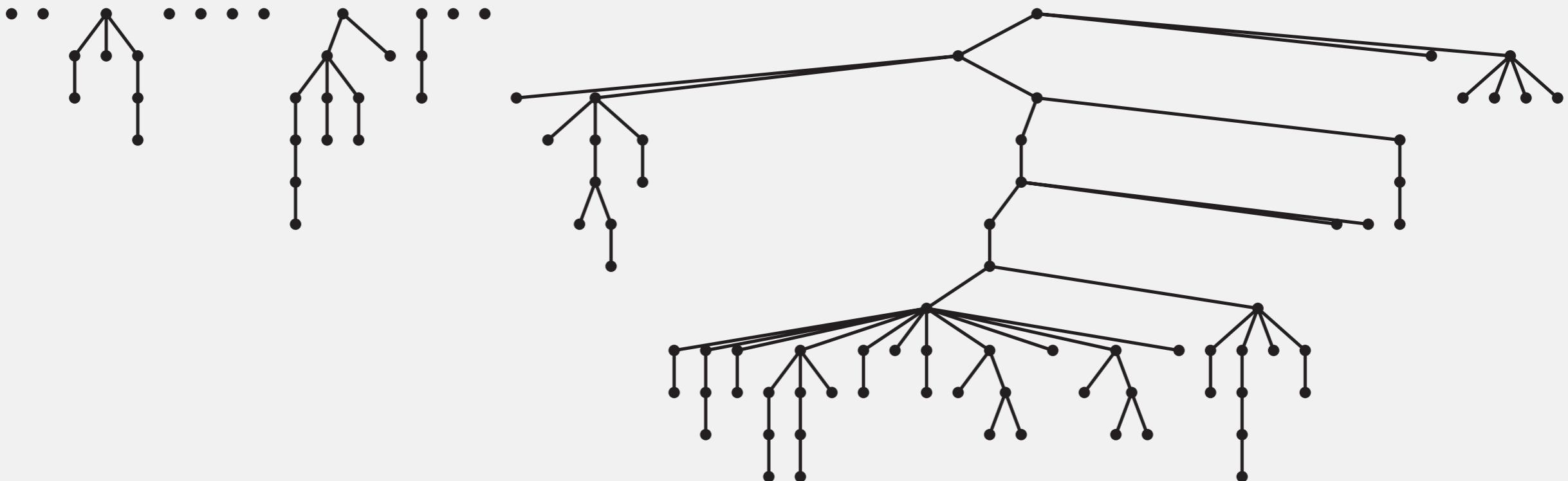
---



0	1	2	3	4	5	6	7	8	9	
<b>parent[]</b>	6	2	6	4	6	6	6	2	4	4

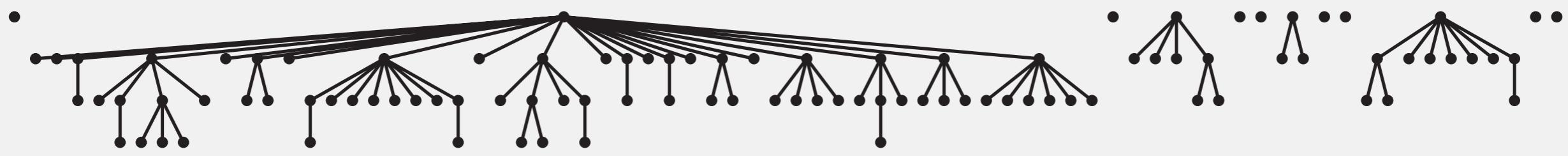
# Quick-union vs. weighted quick-union: larger example

quick-union



*average distance to root: 5.11*

weighted



*average distance to root: 1.52*

Quick-union and weighted quick-union (100 sites, 88 `union()` operations)

## Weighted quick-union: Java implementation

---

**Data structure.** Same as quick-union, but maintain extra array `size[i]` to count number of elements in the tree rooted at `i`, initially 1.

**Find.** Identical to quick-union.

**Union.** Modify quick-union to:

- Link root of smaller tree to root of larger tree.
- Update the `size[]` array.

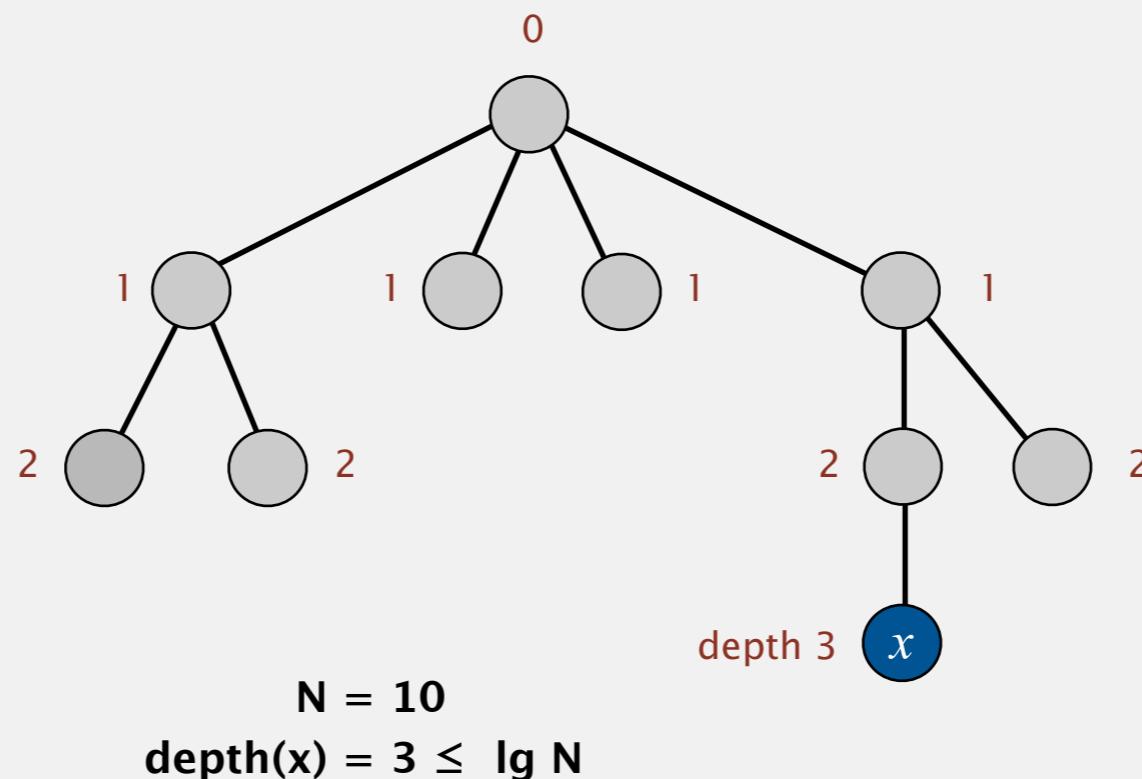
```
int i = find(p);
int j = find(q);
if (i == j) return;
if (size[i] < size[j]) { parent[i] = j; size[j] += size[i]; }
else                      { parent[j] = i; size[i] += size[j]; }
```

# Weighted quick-union analysis

## Running time.

- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

Proposition. Depth of any node  $x$  is at most  $\lg N$ . ← in computer science,  
lg means base-2 logarithm



# Weighted quick-union analysis

## Running time.

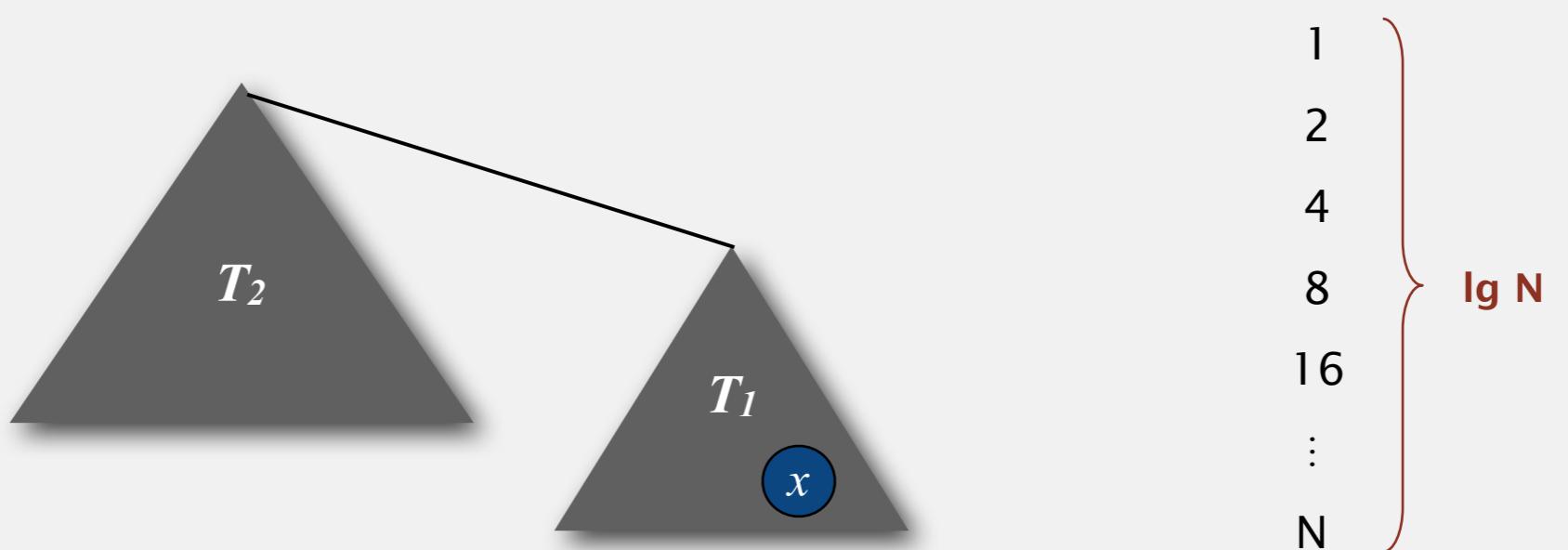
- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

**Proposition.** Depth of any node  $x$  is at most  $\lg N$ . ← in computer science,  
lg means base-2 logarithm

**Pf.** What causes the depth of element  $x$  to increase?

Increases by 1 when root of tree  $T_1$  containing  $x$  is linked to root of tree  $T_2$ .

- The size of the tree containing  $x$  at least doubles since  $|T_2| \geq |T_1|$ .
- Size of tree containing  $x$  can double at most  $\lg N$  times. Why?



# Weighted quick-union analysis

---

## Running time.

- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

**Proposition.** Depth of any node  $x$  is at most  $\lg N$ .

algorithm	initialize	union	find
<b>quick-find</b>	$N$	$N$	1
<b>quick-union</b>	$N$	$N^\dagger$	$N$
<b>weighted QU</b>	$N$	$\log N^\dagger$	$\log N$

$\dagger$  includes cost of finding two roots

# Summary

---

**Key point.** Weighted quick union makes it possible to solve problems that could not otherwise be addressed.

algorithm	worst-case time
<b>quick-find</b>	$M N$
<b>quick-union</b>	$M N$
<b>weighted QU</b>	$N + M \log N$
<b>QU + path compression</b>	$N + M \log N$
<b>weighted QU + path compression</b>	$N + M \lg^* N$

**order of growth for  $M$  union-find operations on a set of  $N$  elements**

**Ex.** [10<sup>9</sup> unions and finds with 10<sup>9</sup> elements]

- WQUPC reduces time from 30 years to 6 seconds.
- Supercomputer won't help much; good algorithm enables solution.

# Algorithms

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## 1.5 UNION-FIND

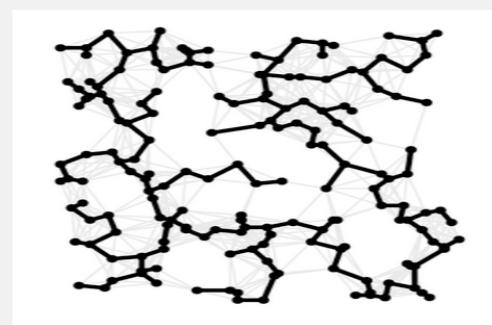
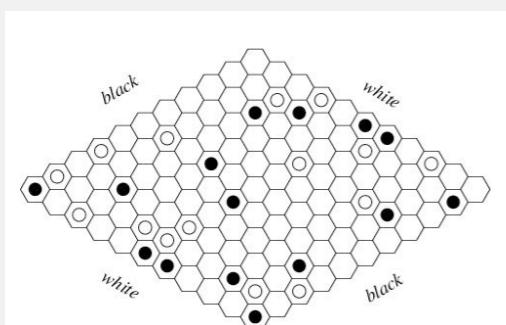
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- ▶ *dynamic-connectivity problem*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Union-find applications

---

- Percolation.
- Games (Go, Hex).
- Least common ancestor.
- ✓ Dynamic-connectivity problem.
  - Equivalence of finite state automata.
  - Hoshen-Kopelman algorithm in physics.
  - Hinley-Milner polymorphic type inference.
  - Kruskal's minimum spanning tree algorithm.
  - Compiling equivalence statements in Fortran.
  - Morphological attribute openings and closings.
  - Matlab's bwlabel() function in image processing.

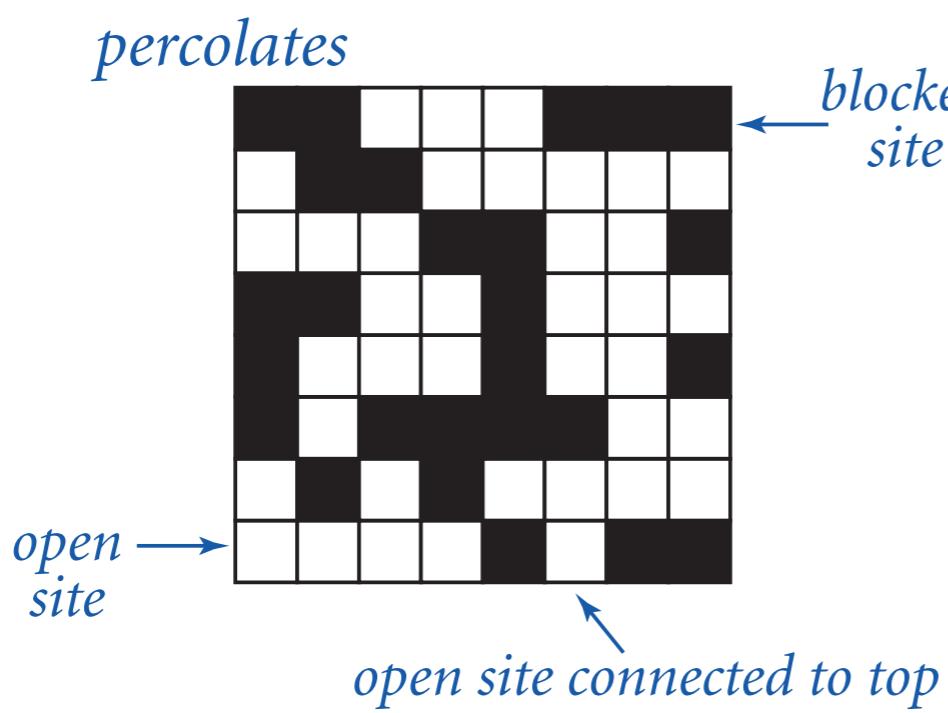


# Percolation

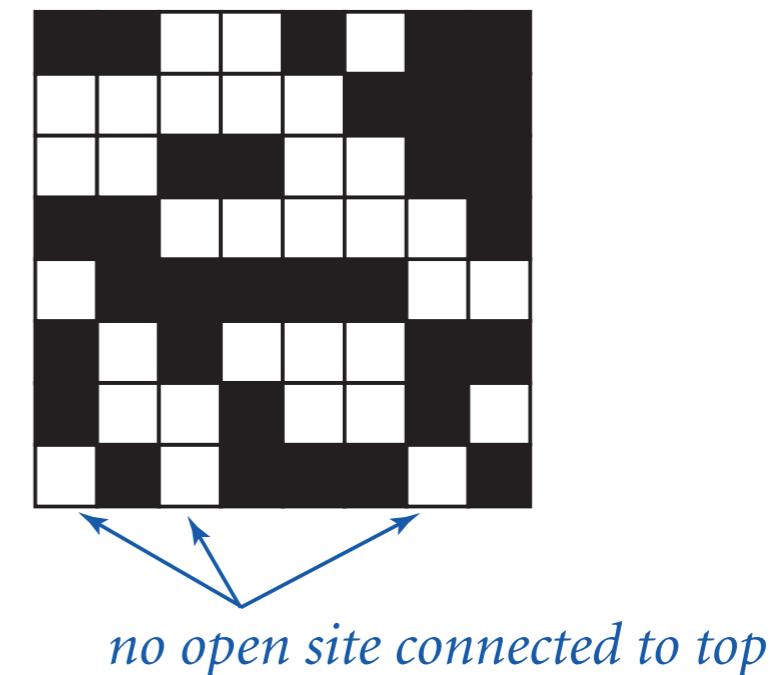
An abstract model for many physical systems:

- $N$ -by- $N$  grid of sites.
- Each site is open with probability  $p$  (and blocked with probability  $1 - p$ ).
- System **percolates** iff top and bottom are connected by open sites.

if and only if



*does not percolate*



# Percolation

---

An abstract model for many physical systems:

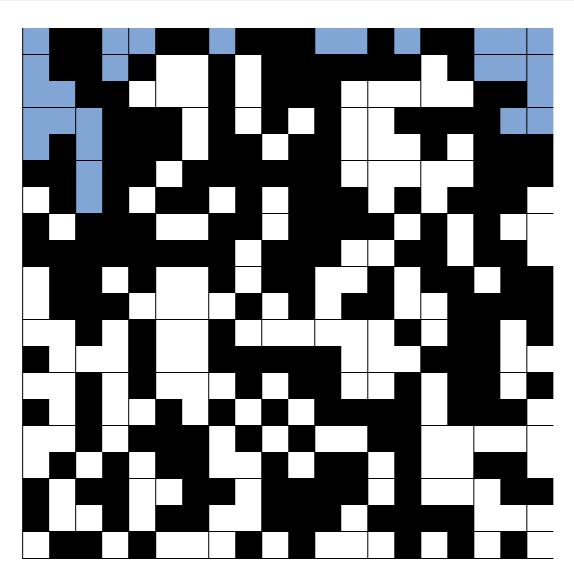
- $N$ -by- $N$  grid of sites.
- Each site is open with probability  $p$  (and blocked with probability  $1 - p$ ).
- System **percolates** iff top and bottom are connected by open sites.

model	system	vacant site	occupied site	percolates
electricity	material	conductor	insulated	conducts
fluid flow	material	empty	blocked	porous
social interaction	population	person	empty	communicates

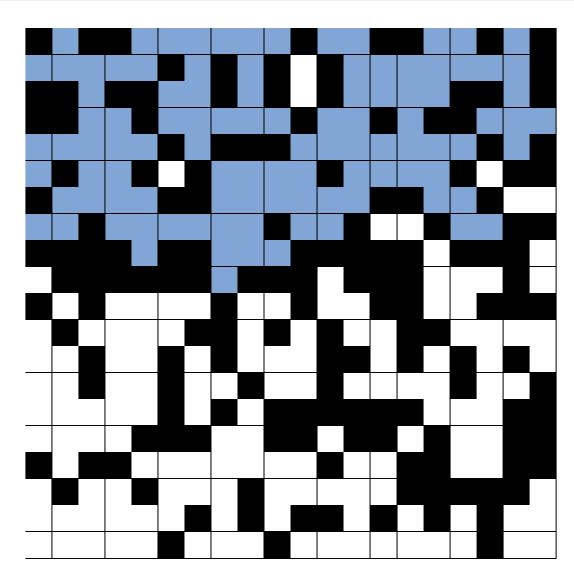
# Likelihood of percolation

---

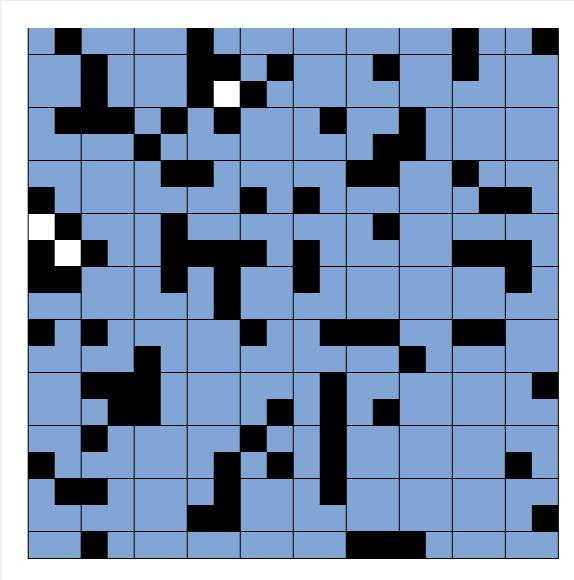
Depends on grid size  $N$  and site vacancy probability  $p$ .



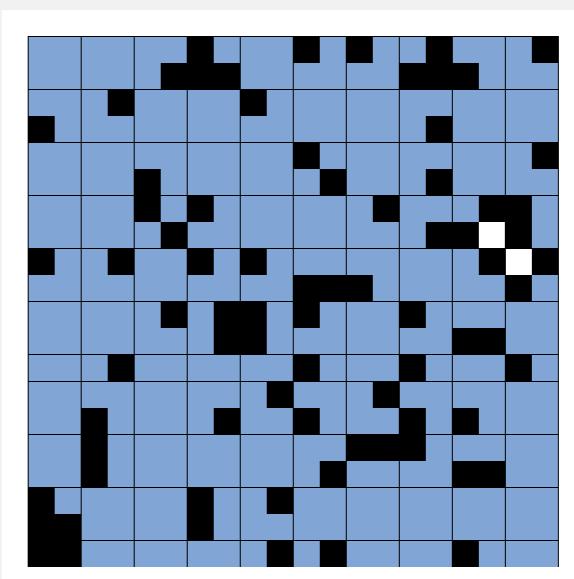
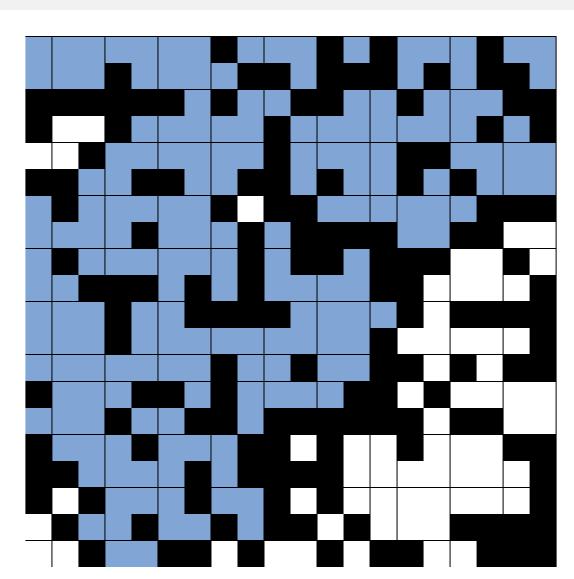
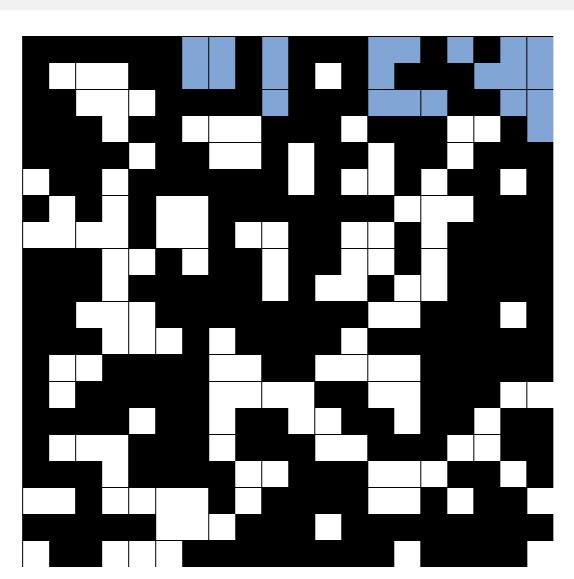
**p low (0.4)**  
does not percolate



**p medium (0.6)**  
percolates?



**p high (0.8)**  
percolates



empty open site  
(not connected to top)



full open site  
(connected to top)



blocked site

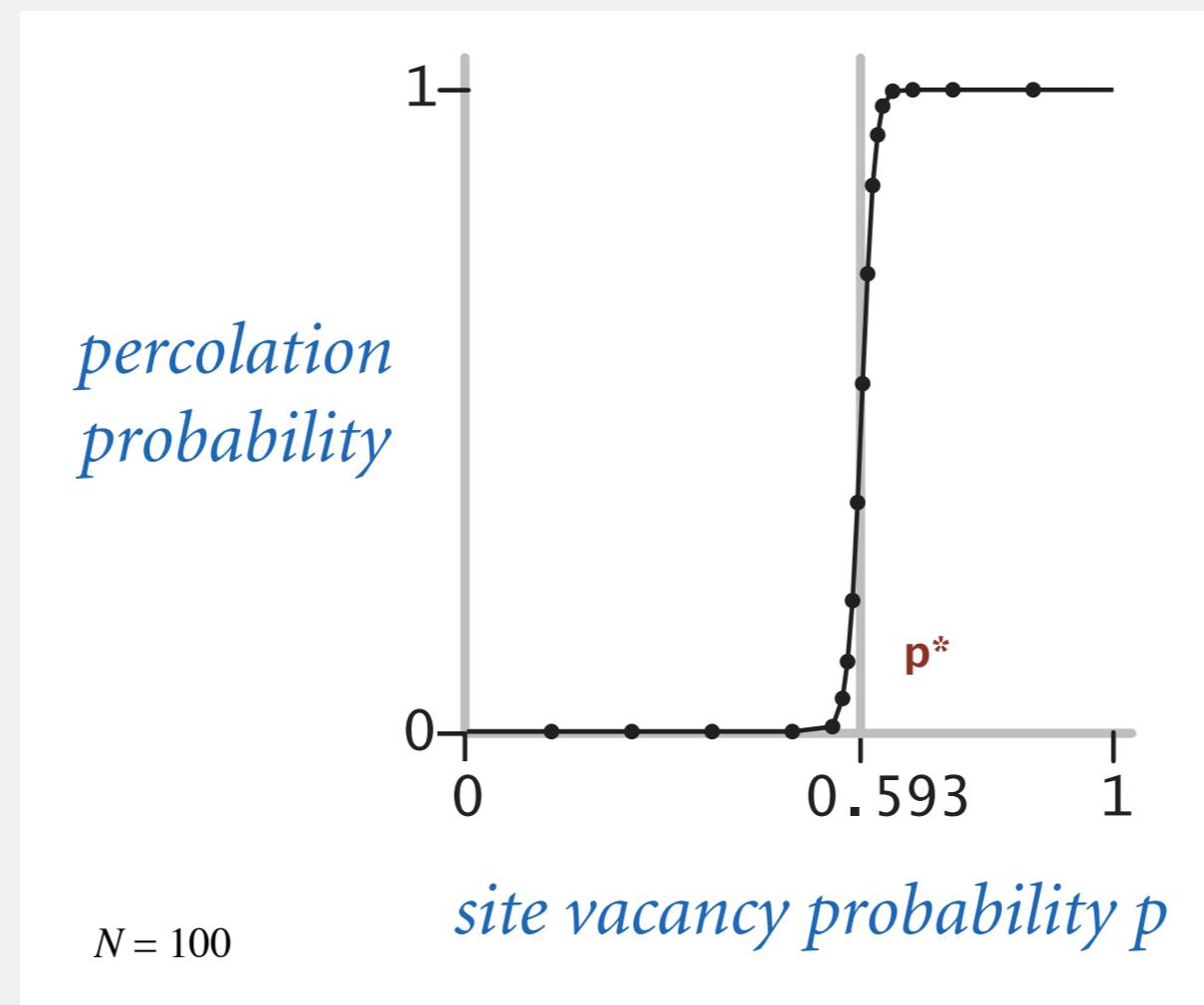
# Percolation phase transition

---

When  $N$  is large, theory guarantees a sharp threshold  $p^*$ .

- $p > p^*$ : almost certainly percolates.
- $p < p^*$ : almost certainly does not percolate.

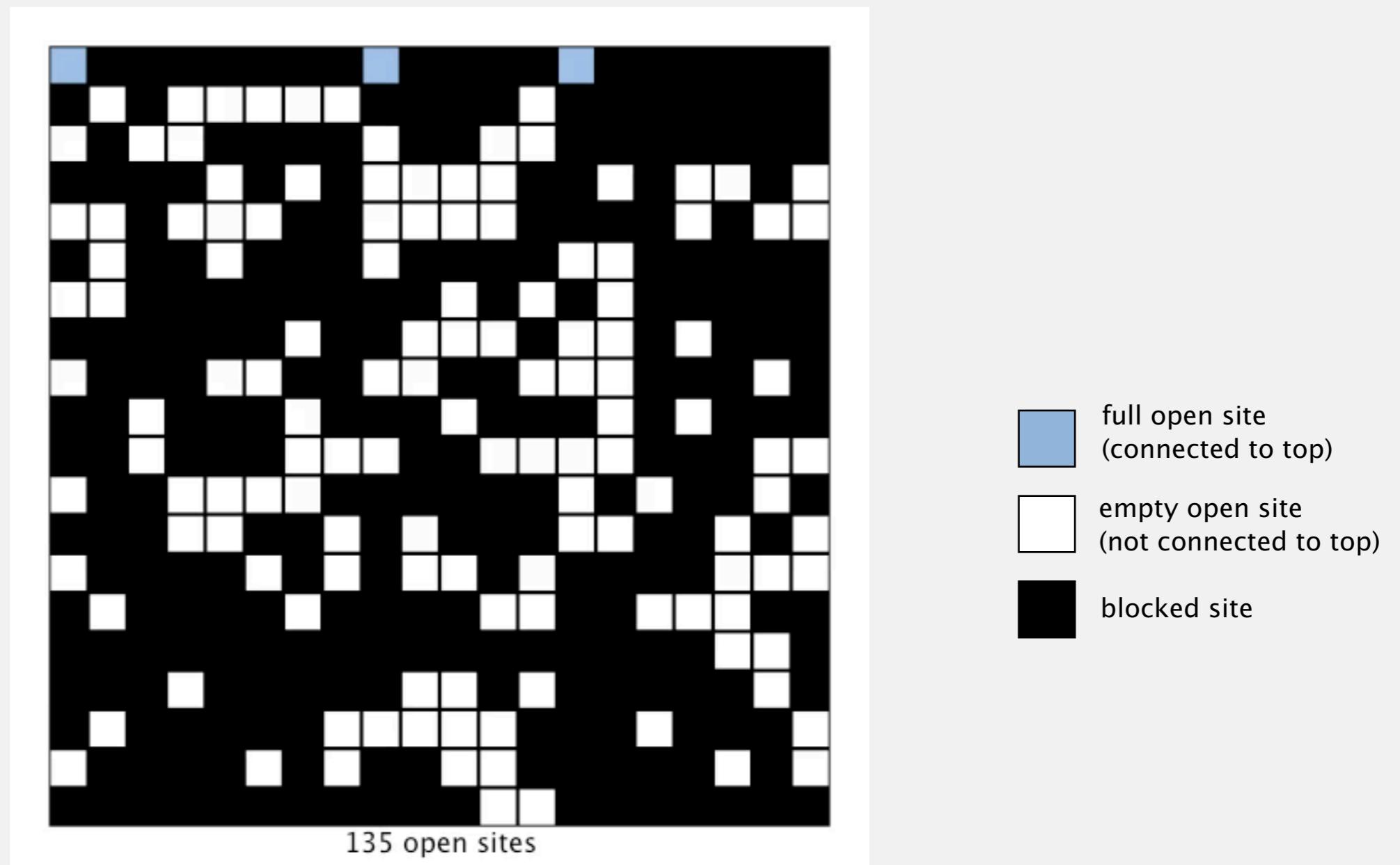
Q. What is the value of  $p^*$  ?



# Monte Carlo simulation

---

- Initialize all sites in an  $N$ -by- $N$  grid to be blocked.
- Declare random sites open until top connected to bottom.
- Vacancy percentage estimates  $p^*$ .
- Repeat many times to get more accurate estimate.



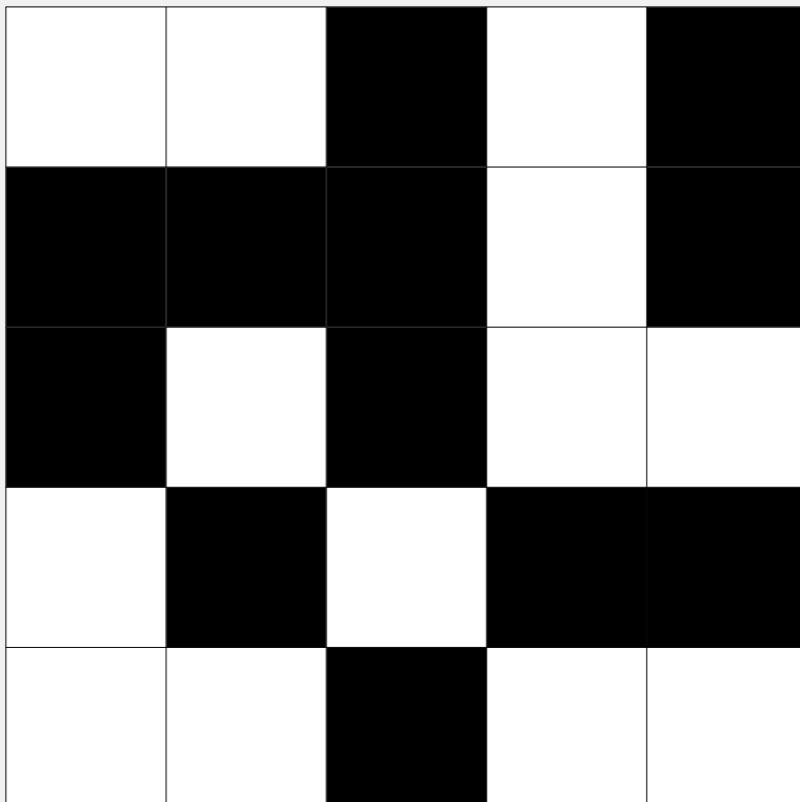
# Dynamic-connectivity solution to estimate percolation threshold

---

Q. How to check whether an  $N$ -by- $N$  system percolates?

A. Model as a **dynamic-connectivity problem** problem and use **union-find**.

$$N = 5$$



open site

blocked site

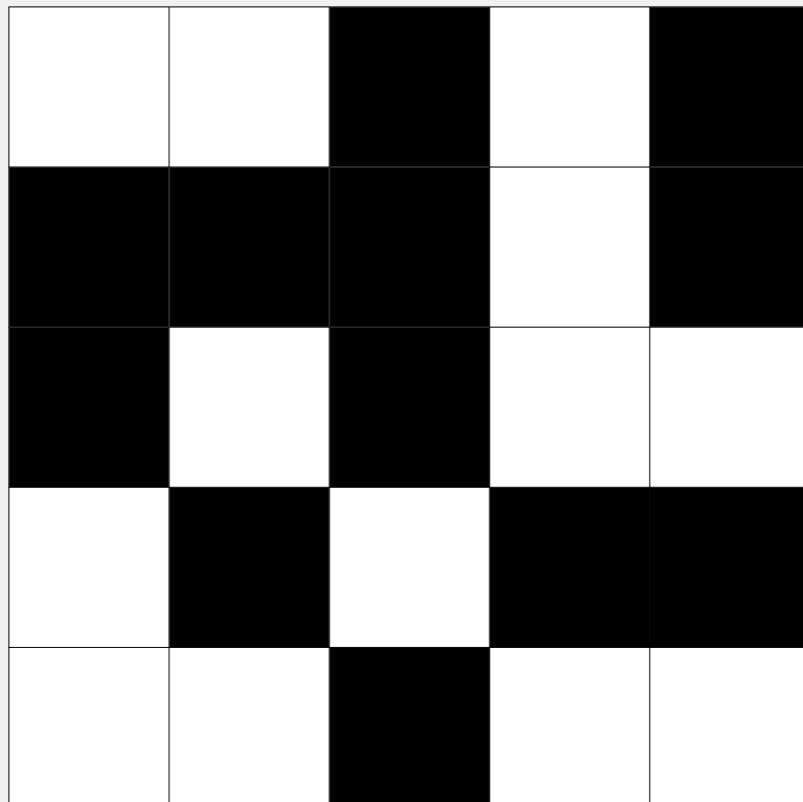
# Dynamic-connectivity solution to estimate percolation threshold

---

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site, named 0 to  $N^2 - 1$ .

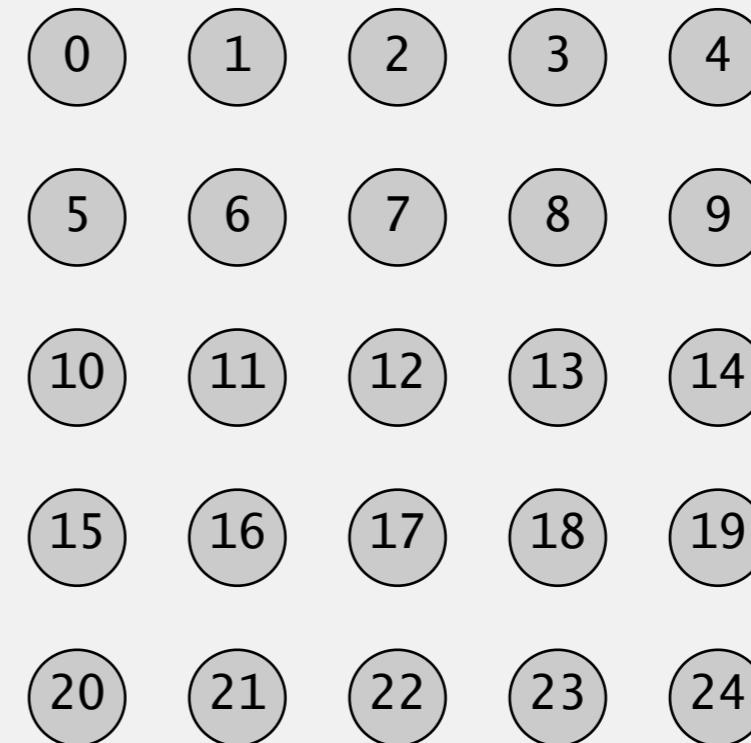
$N = 5$



open site



blocked site

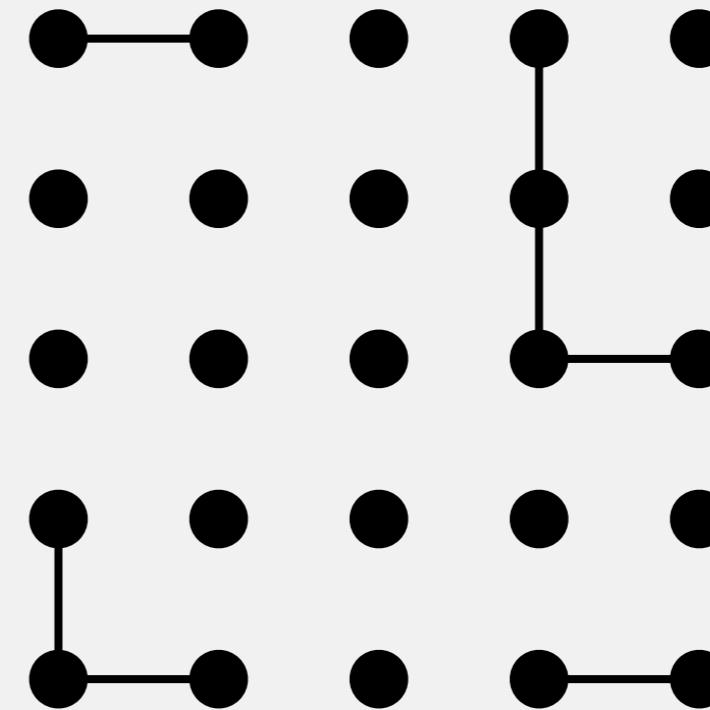
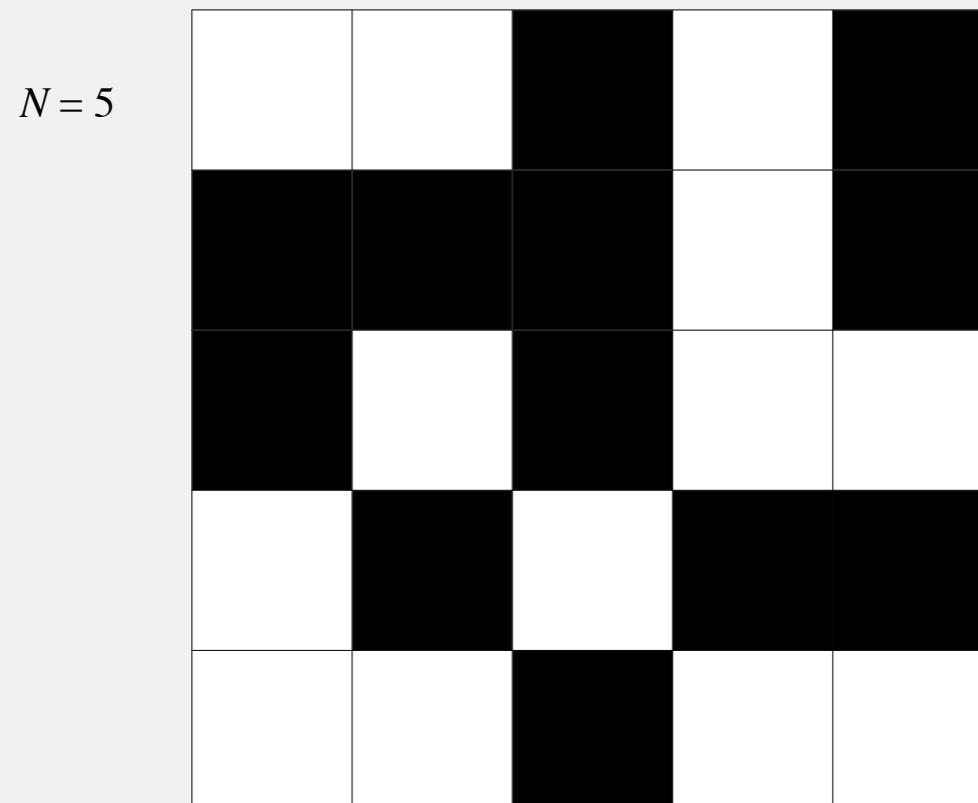


# Dynamic-connectivity solution to estimate percolation threshold

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site, named 0 to  $N^2 - 1$ .
- Add edge between two adjacent sites if they both open.

4 possible neighbors: left, right, top, bottom



open site



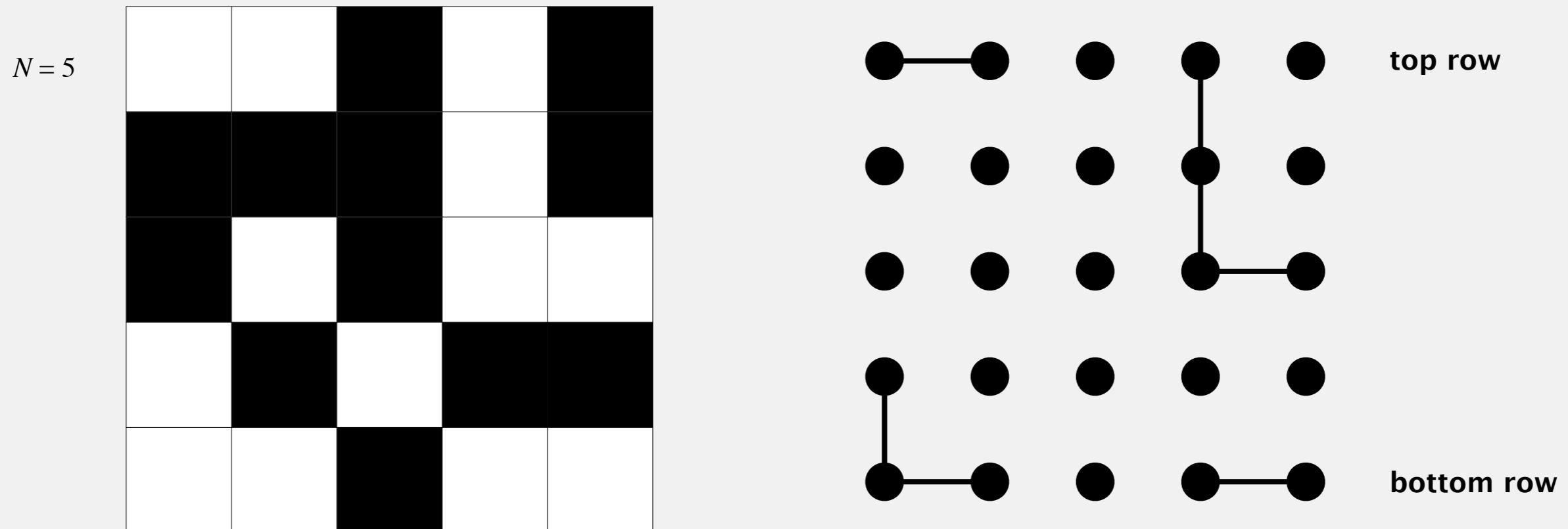
blocked site

# Dynamic-connectivity solution to estimate percolation threshold

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site, named 0 to  $N^2 - 1$ .
- Add edge between two adjacent sites if they both open.
- Percolates iff any site on bottom row is connected to any site on top row.

brute-force algorithm:  $N^2$  connected queries

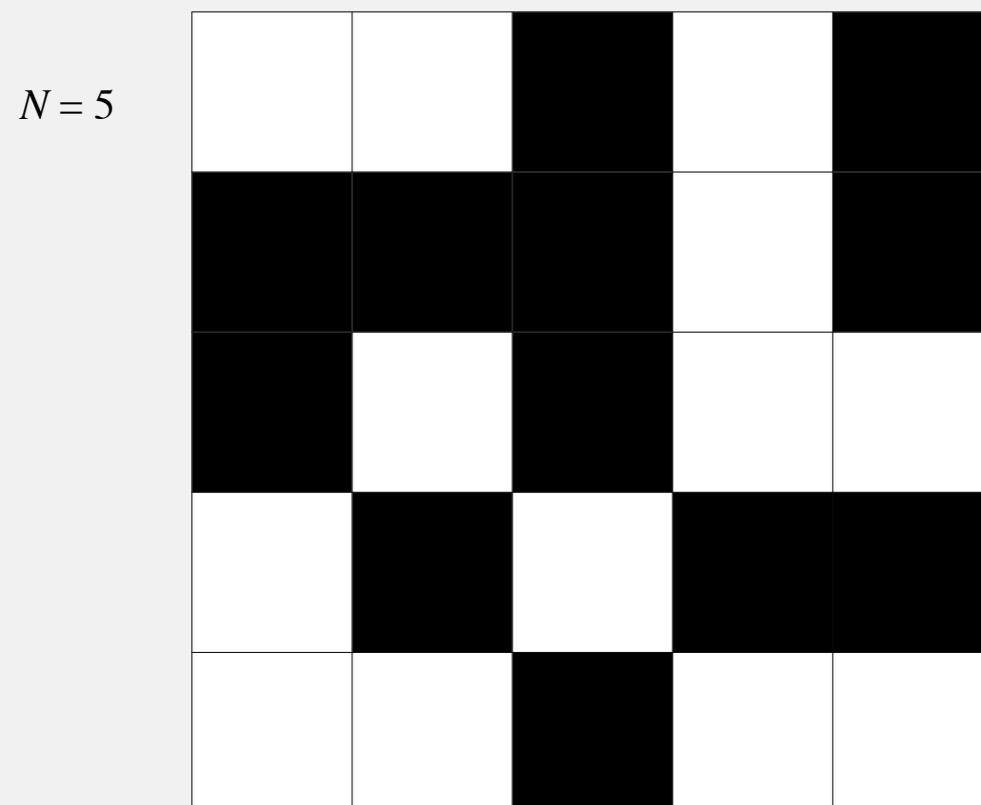


# Dynamic-connectivity solution to estimate percolation threshold

Clever trick. Introduce 2 virtual sites (and edges to top and bottom).

- Percolates iff virtual top site is connected to virtual bottom site.

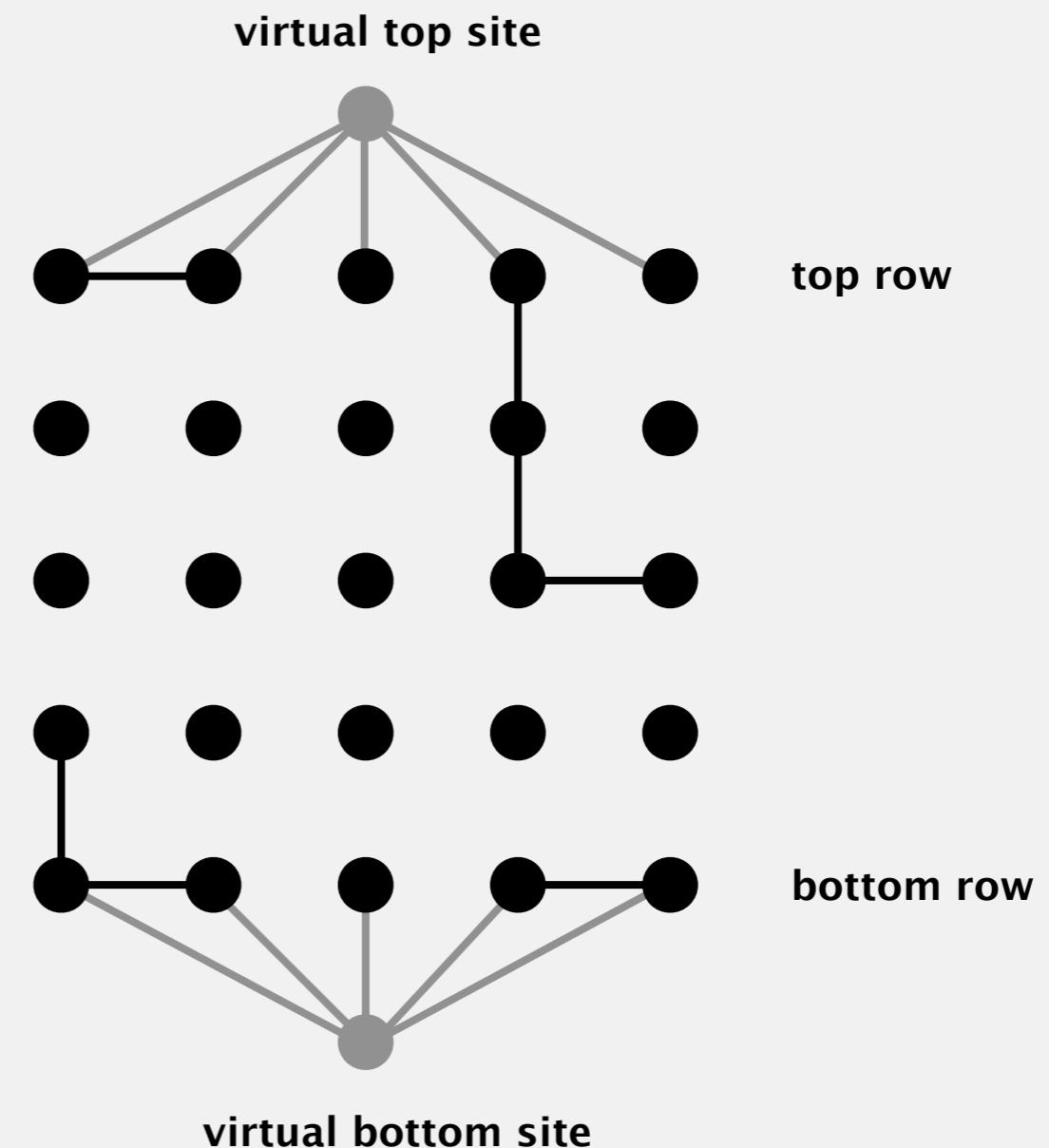
more efficient algorithm: only 1 connected query



open site

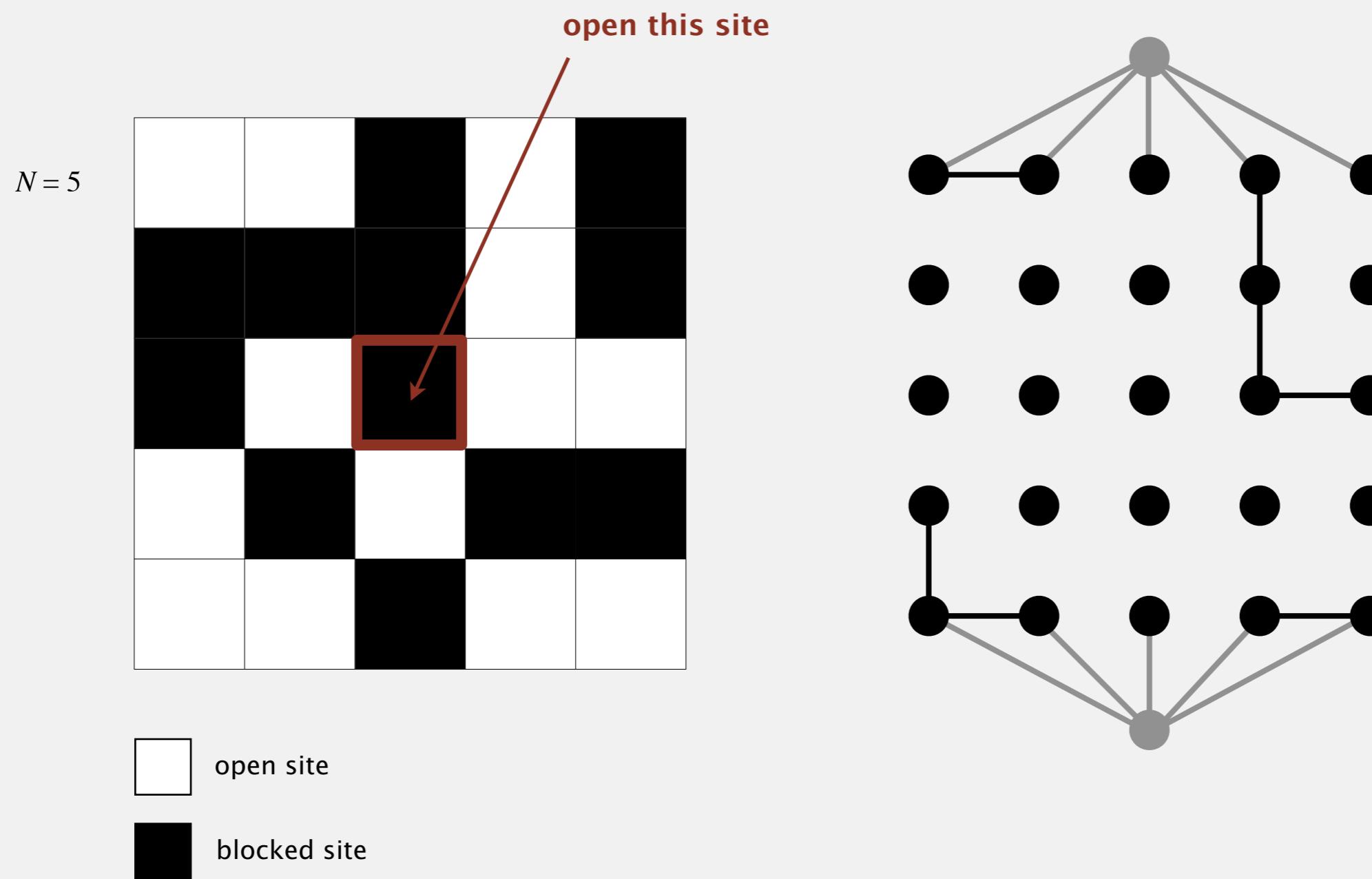


blocked site



# Dynamic-connectivity solution to estimate percolation threshold

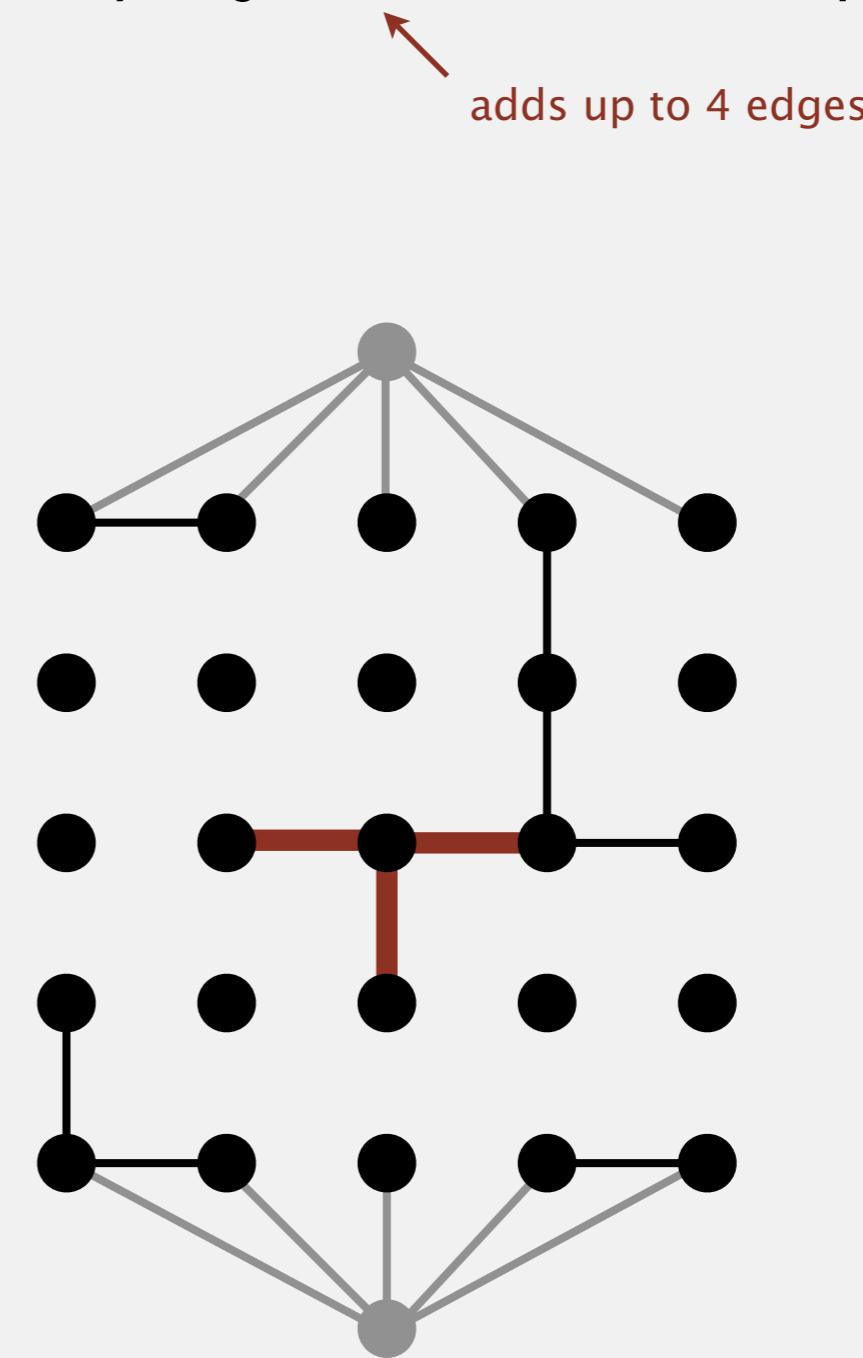
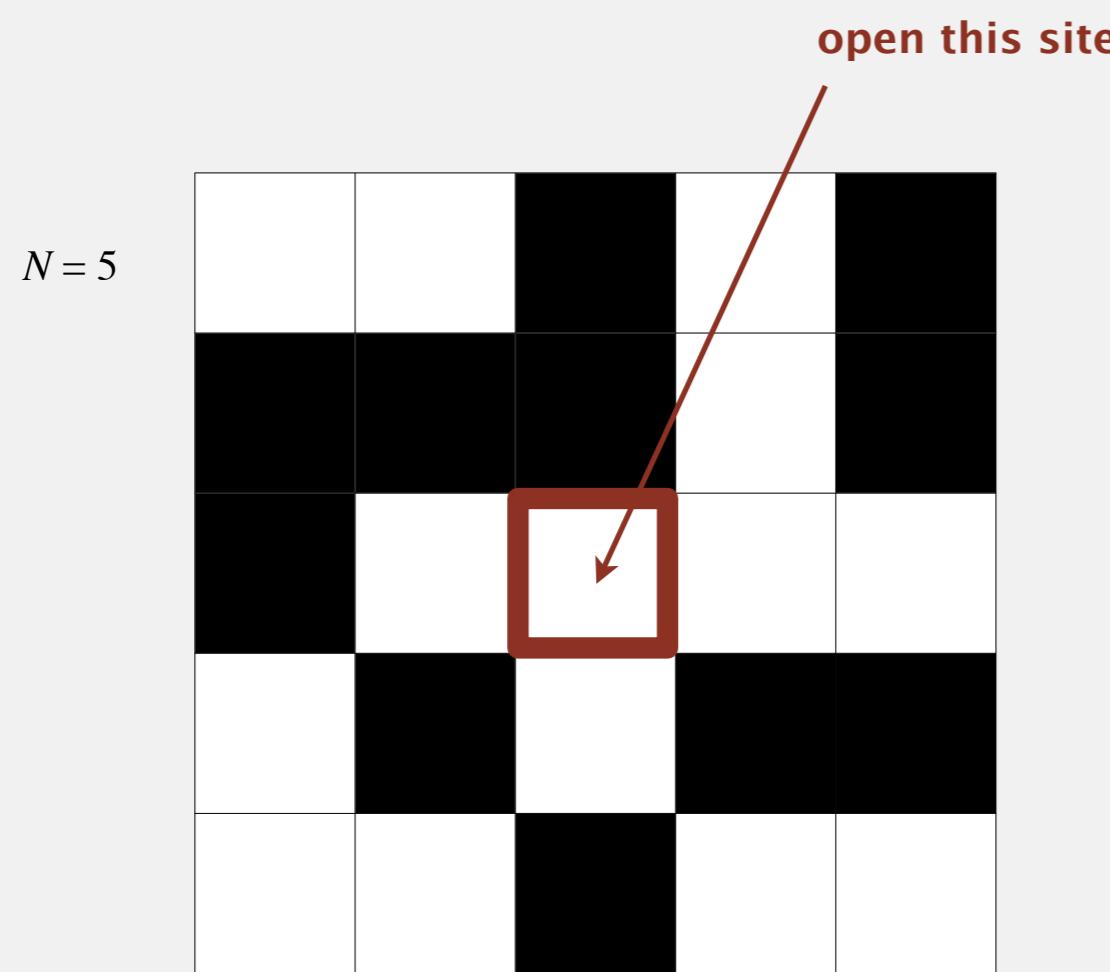
Q. How to model opening a new site?



# Dynamic-connectivity solution to estimate percolation threshold

Q. How to model opening a new site?

A. Mark new site as open; add edge to any adjacent site that is open.



open site

blocked site

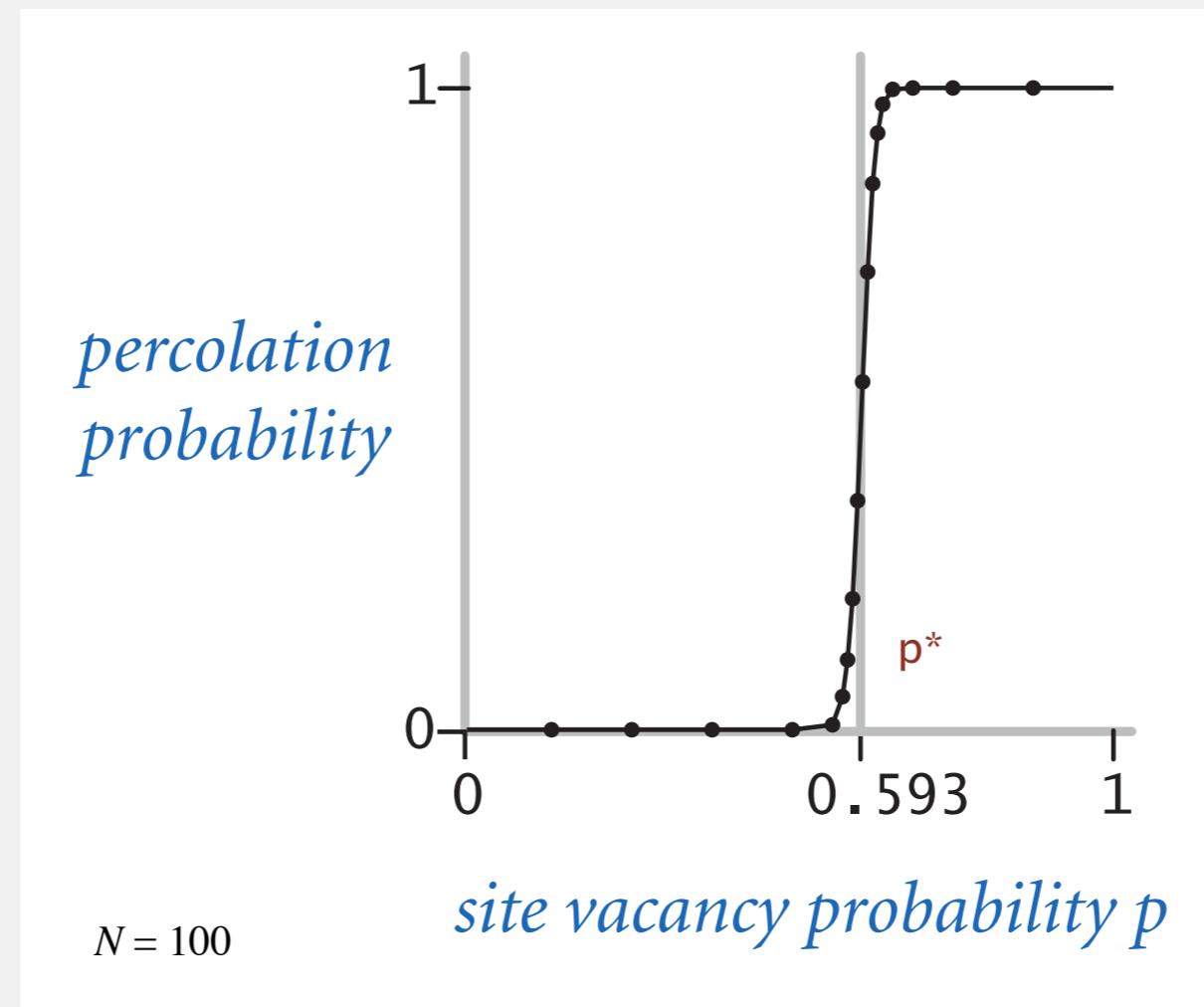
# Percolation threshold

---

Q. What is percolation threshold  $p^*$  ?

A. About 0.592746 for large square lattices.

constant known only via simulation



Fast algorithm enables accurate answer to scientific question.

# **Subtext of today's lecture (and this course)**

---

## **Steps to developing a usable algorithm.**

- Model the problem.
- Find an algorithm to solve it.
- Fast enough? Fits in memory?
- If not, figure out why.
- Find a way to address the problem.
- Iterate until satisfied.

## **The scientific method.**

## **Mathematical analysis.**