

# $\lambda$ -calculus

**Andrés Sicard-Ramírez**

(Last modification: October 21, 2010)

---

## References

- **Textbook:** J. R. Hindley and J. Seldin. *Lambda-calculus and Combinators. An Introduction*. Cambridge University Press, 2008.
- Henk Barendregt and Erik Barendsen. Introduction to Lambda Calculus. Revisited edition, Mar. 2000. Eprint: [www.cs.ru.nl/~henk/](http://www.cs.ru.nl/~henk/), 2000.
- Henk Barendregt. *The Lambda Calculus. Its Syntax and Semantics*. Elsevier, revised edition, 2004. Sixth impression.
- Lawrence C. Paulson. Foundations of functional programming. Eprint: <http://www.cl.cam.ac.uk/~lp15/>, 2000.

---

# What is the $\lambda$ -calculus?



Invented by Alonzo Church  
(around 1930s).

- The goal was to use it in the **foundation** of mathematics. Intended for studying **functions** and **recursion**.
- Computability model.
- Model of untyped functional programming languages.

---

# Introduction

- $\lambda$ -calculus is a collection of several formal systems
- $\lambda$ -notation
  - Anonymous functions
  - Currying

---

# Introduction

- $\lambda$ -calculus is a collection of several formal systems
- $\lambda$ -notation
  - Anonymous functions
  - Currying

$\lambda$ -terms: (inductive definition)

Basis:

$$v \in V \Rightarrow v \in \lambda\text{-terms (atom)}$$

$$c \in C \Rightarrow c \in \lambda\text{-terms (atom)}$$

Inductive step:

$$M, N \in \lambda\text{-terms} \Rightarrow (MN) \in \lambda\text{-terms (application)}$$

$$M \in \lambda\text{-terms}, x \in Vars \Rightarrow (\lambda x.M) \in \lambda\text{-terms (abstraction)}$$

where  $V/C$ : Set of variables/constants.

---

## Introduction (cont.)

Conventions and syntactic sugar:

- $M \equiv N$  means the syntactic identity

---

## Introduction (cont.)

Conventions and syntactic sugar:

- $M \equiv N$  means the syntactic identity
- Application associates to the left  
 $MN_1 \dots N_k$  means  $(\dots((MN_1)N_2)\dots N_k)$

---

## Introduction (cont.)

Conventions and syntactic sugar:

- $M \equiv N$  means the syntactic identity
- Application associates to the left  
 $MN_1 \dots N_k$  means  $(\dots((MN_1)N_2)\dots N_k)$
- Application has higher precedence  
 $\lambda x.PQ$  means  $(\lambda x.(PQ))$



---

## Introduction (cont.)

Conventions and syntactic sugar:

- $M \equiv N$  means the syntactic identity
- Application associates to the left  
 $MN_1 \dots N_k$  means  $(\dots((MN_1)N_2)\dots N_k)$
- Application has higher precedence  
 $\lambda x.PQ$  means  $(\lambda x.(PQ))$
- $\lambda x_1x_2 \dots x_n.M$  means  $(\lambda x_1.(\lambda x_2.(\dots (\lambda x_n.M) \dots )))$

---

## Introduction (cont.)

Conventions and syntactic sugar:

- $M \equiv N$  means the syntactic identity
- Application associates to the left  
 $MN_1 \dots N_k$  means  $(\dots((MN_1)N_2)\dots N_k)$
- Application has higher precedence  
 $\lambda x.PQ$  means  $(\lambda x.(PQ))$
- $\lambda x_1x_2 \dots x_n.M$  means  $(\lambda x_1.(\lambda x_2.(\dots(\lambda x_n.M) \dots)))$

Example.

$(\lambda xyz.xz(yz))uvw \equiv (((((\lambda x.(\lambda y.(\lambda z.((xz)(yz))))))u)v)w).$

---

# Term-structure and substitution

Substitution  $([N/x]M)$ :

The result of substituting  $N$  for every free occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes.

---

# Term-structure and substitution

Substitution  $([N/x]M)$ :

The result of substituting  $N$  for every free occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes.

$$[N/x]x \equiv N \quad (1)$$

$$[N/x]a \equiv a \quad \text{for all atoms } a \neq x \quad (2)$$

$$[N/x](PQ) \equiv [N/x]P [N/x]Q$$

---

# Term-structure and substitution

Substitution  $([N/x]M)$ :

The result of substituting  $N$  for every **free** occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes.

$$[N/x]x \equiv N \quad (1)$$

$$[N/x]a \equiv a \quad \text{for all atoms } a \neq x \quad (2)$$

$$[N/x](PQ) \equiv [N/x]P [N/x]Q \quad (3)$$

$$[N/x](\lambda x.P) \equiv \lambda x.P \quad (4)$$

$$[N/x](\lambda y.P) \equiv \lambda y.P \quad y \neq x, x \notin FV(P)$$

---

## Term-structure and substitution

Substitution  $([N/x]M)$ :

The result of substituting  $N$  for every **free** occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes.

$$[N/x]x \equiv N \quad (1)$$

$$[N/x]a \equiv a \quad \text{for all atoms } a \not\equiv x \quad (2)$$

$$[N/x](PQ) \equiv [N/x]P [N/x]Q \quad (3)$$

$$[N/x](\lambda x.P) \equiv \lambda x.P \quad (4)$$

$$[N/x](\lambda y.P) \equiv \lambda y.P \quad y \not\equiv x, x \notin FV(P) \quad (5)$$

$$[N/x](\lambda y.P) \equiv \lambda y.[N/x]P \quad \begin{array}{l} y \not\equiv x, x \in FV(P), \\ y \notin FV(N) \end{array} \quad (6)$$

---

## Term-structure and substitution

Substitution  $([N/x]M)$ :

The result of substituting  $N$  for every free occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes.

$$[N/x]x \equiv N \quad (1)$$

$$[N/x]a \equiv a \quad \text{for all atoms } a \not\equiv x \quad (2)$$

$$[N/x](PQ) \equiv [N/x]P [N/x]Q \quad (3)$$

$$[N/x](\lambda x.P) \equiv \lambda x.P \quad (4)$$

$$[N/x](\lambda y.P) \equiv \lambda y.P \quad y \not\equiv x, x \notin FV(P) \quad (5)$$

$$[N/x](\lambda y.P) \equiv \lambda y.[N/x]P \quad y \not\equiv x, x \in FV(P), \quad (6)$$

$$y \notin FV(N)$$

$$[N/x](\lambda y.P) \equiv \lambda z.[N/x][z/y]P \quad y \not\equiv x, x \in FV(P), \quad (7)$$

$$y \in FV(N)$$

where in the last equation,  $z$  is chosen to be a variable  $\notin FV(NP)$ .

---

## Term-structure and substitution (cont.)

Example.

$[(\lambda y.vy)/x](y(\lambda v.xv)) \equiv y(\lambda z.(\lambda y.vy)z)$  (with  $z \neq v, y, x$ ).



---

## Term-structure and substitution (cont.)

$\alpha$ -conversion or changed of bound variables: Replace  $\lambda x.M$  by  $\lambda y.[y/x]M$  ( $y \notin FV(M)$ ).

$\alpha$ -congruence ( $P \equiv_\alpha Q$ ):

$P$  is changed to  $Q$  by a finite (perhaps empty) series of  $\alpha$ -conversions.

Example. Whiteboard.

---

## Term-structure and substitution (cont.)

$\alpha$ -conversion or changed of bound variables: Replace  $\lambda x.M$  by  $\lambda y.[y/x]M$  ( $y \notin FV(M)$ ).

$\alpha$ -congruence ( $P \equiv_\alpha Q$ ):

$P$  is changed to  $Q$  by a finite (perhaps empty) series of  $\alpha$ -conversions.

**Example.** Whiteboard.

**Theorem.** The relation  $\equiv_\alpha$  is a equivalence relation.

---

## $\beta$ -reduction

$\beta$ -contraction ( $\cdot \triangleright_{1\beta} \cdot$ ):

$(\lambda x.M)N$  :  $\beta$ -redex

$[N/x]M$ : contractum

$(\lambda x.M)N \triangleright_{1\beta} [N/x]M$

$P \triangleright_{1\beta} Q$ : Replace an occurrence of  $(\lambda x.M)N$  in  $P$  by  $[N/x]M$ .

Example. Whiteboard.

---

## $\beta$ -reduction

$\beta$ -contraction ( $\cdot \triangleright_{1\beta} \cdot$ ):

$(\lambda x.M)N$  :  $\beta$ -redex

$[N/x]M$ : contractum

$(\lambda x.M)N \triangleright_{1\beta} [N/x]M$

$P \triangleright_{1\beta} Q$ : Replace an occurrence of  $(\lambda x.M)N$  in  $P$  by  $[N/x]M$ .

Example. Whiteboard.

$\beta$ -reduction ( $P \triangleright_{\beta} Q$ ):

$P$  is changed to  $Q$  by a finite (perhaps empty) series of  $\beta$ -contractions and  $\alpha$ -conversions.

Example.  $(\lambda x.(\lambda y.yx)z)v \triangleright_{\beta} zv$ .

---

## $\beta$ -reduction (cont.)

$\beta$ -normal form: A term which contains no  $\beta$ -redex.

$\beta$ -nf: The set of all  $\beta$ -normal forms.

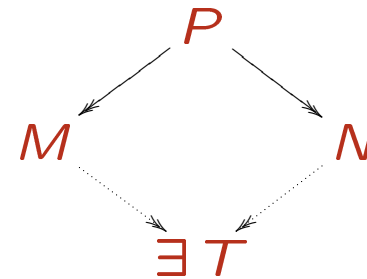
Example. Whiteboard.

---

## $\beta$ -reduction (cont.)

Theorem (The Church-Rosser theorem for  $\triangleright_\beta$  (the diamond property)).

$$\frac{P \triangleright_\beta M \quad P \triangleright_\beta N}{\exists T. M \triangleright_\beta T \wedge N \triangleright_\beta T}$$

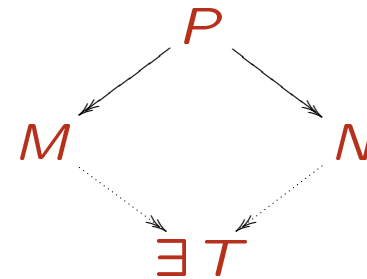


---

## $\beta$ -reduction (cont.)

Theorem (The Church-Rosser theorem for  $\triangleright_\beta$  (the diamond property)).

$$\frac{P \triangleright_\beta M \quad P \triangleright_\beta N}{\exists T. M \triangleright_\beta T \wedge N \triangleright_\beta T}$$



**Corollary.** If  $P$  has a  $\beta$ -normal form, it is unique modulo  $\equiv_\alpha$ ; that is, if  $P$  has  $\beta$ -normal forms  $M$  and  $N$ , then  $M \equiv_\alpha N$ .

*Proof.* Whiteboard.



---

## $\beta$ -equality

$\beta$ -equality or  $\beta$ -convertibility ( $P =_{\beta} Q$ ):

Exist  $P_0, \dots, P_n$  such that

- $P_0 \equiv P$
- $P_n \equiv Q$
- $(\forall i \leq n-1)(P_i \triangleright_{1\beta} P_{i+1} \quad \vee \quad P_{i+1} \triangleright_{1\beta} P_i \quad \vee \quad P_i \equiv_{\alpha} P_{i+1})$



---

## $\beta$ -equality

$\beta$ -equality or  $\beta$ -convertibility ( $P =_\beta Q$ ):

Exist  $P_0, \dots, P_n$  such that

- $P_0 \equiv P$
- $P_n \equiv Q$
- $(\forall i \leq n-1)(P_i \triangleright_{1\beta} P_{i+1} \vee P_{i+1} \triangleright_{1\beta} P_i \vee P_i \equiv_\alpha P_{i+1})$

Theorem (Church-Rosser theorem for  $=_\beta$ ).

$$\frac{P =_\beta Q}{\exists T. P \triangleright_\beta T \wedge Q \triangleright_\beta T}$$

*Proof.* Whiteboard.



---

## $\beta$ -equality (cont.)

**Corollary.** If  $P, Q \in \beta\text{-nf}$  and  $P =_{\beta} Q$ , then  $P \equiv_{\alpha} Q$ .

**Corollary.** The relation  $=_{\beta}$  is non-trivial (not all terms are  $\beta$ -convertible to each other).

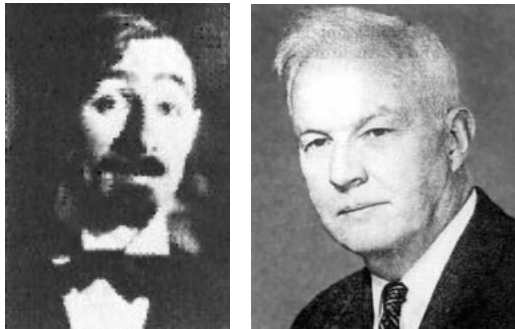
*Proof.* Whiteboard.



---

# What is the Combinatory Logic?

- Combinatory logic



Invented by Moses Schönfinkel (1920) and Haskell Curry (1927).

Intended for clarify the role of quantified variables.

- **Idea:** To do logic and mathematics without use bound variables.
- **Combinators:** Operators which manipulate expressions by cancellation, duplication, bracketing and permutation.

---

## Introduction

Example (Informally). The commutative law for addition

$$\forall xy. x + y = y + x,$$

can be written as

$$A = CA,$$

where  $Axy$  represents  $x + y$  and  $C$  is a combinator with the property

$$Cfxy = fyx.$$

---

## Introduction (cont.)

Example (Combinators).

$\mathbf{B}fgx = f(gx)$ : A composition operator

$\mathbf{B}'fgx = g(fx)$ : A reversed composition operator

$\mathbf{I}x = x$ : The identity operator

$\mathbf{K}xy = x$ : A projection operator

$\mathbf{S}fgx = fx(gx)$ : A stronger composition operator

$\mathbf{W}fx = fxx$ : A doubling operator

---

## Introduction (cont.)

**CL**-terms: (inductive definition)

Basis:

$$v \in V \Rightarrow v \in CL\text{-terms}$$

$$c \in C \Rightarrow c \in CL\text{-terms}$$

Inductive step:

$$X, Y \in CL\text{-terms} \Rightarrow (XY) \in CL\text{-terms}$$

where

$V$  : Set of variables

$C = \{\mathbf{I}, \mathbf{K}, \mathbf{S}, \dots\}$  : Set of atomic constants

---

## Introduction (cont.)

$FV(X)$ : The set of variables occurring in  $X$ .

Atoms, basic combinators and combinator: A atom is a variable or atomic constant. The basic combinators are  $I$ ,  $K$  and  $S$ . A combinator is a  $CL$ -term whose only atoms are basic combinators.

---

## Introduction (cont.)

$FV(X)$ : The set of variables occurring in  $X$ .

Atoms, basic combinators and combinator: A atom is a variable or atomic constant. The basic combinators are  $I$ ,  $K$  and  $S$ . A combinator is a  $CL$ -term whose only atoms are basic combinators.

Substitution ( $[U/x]Y$ ): The result of substituting  $U$  for every occurrence of  $x$  in  $Y$ :

$$\begin{aligned} [U/x]x &\equiv U \\ [U/x]a &\equiv a && \text{for all atoms } a \neq x \\ [U/x](VW) &\equiv ([U/x]V [U/x]W) \end{aligned}$$



---

## Weak reduction

Weak redex: The  $CL$ -terms  $IX$ ,  $KXY$  and  $SXYZ$ .

---

## Weak reduction

Weak redex: The  $CL$ -terms  $IX$ ,  $KXY$  and  $SXYZ$ .

Weak contraction ( $U \triangleright_{1w} V$ ):

Replace an occurrence of a weak redex in  $U$  using:

$IX$  by  $X$ ,  
 $KXY$  by  $X$ ,  
 $SXYZ$  by  $XZ(YZ)$ .

---

## Weak reduction

Weak redex: The  $CL$ -terms  $IX$ ,  $KXY$  and  $SXYZ$ .

Weak contraction ( $U \triangleright_{1w} V$ ):

Replace an occurrence of a weak redex in  $U$  using:

$IX$  by  $X$ ,  
 $KXY$  by  $X$ ,  
 $SXYZ$  by  $XZ(YZ)$ .

Weak reduction ( $U \triangleright_w V$ ):

$U$  is changed to  $V$  by a finite (perhaps empty) series of weak contractions.

---

## Weak reduction

Weak redex: The  $CL$ -terms  $IX$ ,  $KXY$  and  $SXYZ$ .

Weak contraction ( $U \triangleright_{1w} V$ ):

Replace an occurrence of a weak redex in  $U$  using:

$IX$  by  $X$ ,  
 $KXY$  by  $X$ ,  
 $SXYZ$  by  $XZ(YZ)$ .

Weak reduction ( $U \triangleright_w V$ ):

$U$  is changed to  $V$  by a finite (perhaps empty) series of weak contractions.

Weak normal form: A  $CL$ -term which contains no weak redex.

---

## Weak reduction (cont.)

Example. (whiteboard)

- $B \equiv S(KS)K$ . Then  $BXYZ \triangleright_w X(YZ)$ .

---

## Weak reduction (cont.)

Example. (whiteboard)

- $B \equiv S(KS)K$ . Then  $BXYZ \triangleright_w X(YZ)$ .
- $W \equiv SS(KI)$ . Then  $WXY \triangleright_w XYY$ .

---

## Weak reduction (cont.)

Example. (whiteboard)

- $B \equiv S(KS)K$ . Then  $BXYZ \triangleright_w X(YZ)$ .
- $W \equiv SS(KI)$ . Then  $WXY \triangleright_w XYY$ .
- $WWW \triangleright_w WWW \triangleright_w \dots$

---

## Weak reduction (cont.)

Example. (whiteboard)

- $B \equiv S(KS)K$ . Then  $BXYZ \triangleright_w X(YZ)$ .
- $W \equiv SS(KI)$ . Then  $WXY \triangleright_w XYY$ .
- $WWW \triangleright_w WWW \triangleright_w \dots$

Theorem (Church-Rosser theorem for  $\triangleright_w$ ).

$$\frac{P \triangleright_w M \quad P \triangleright_w N}{\exists T. M \triangleright_w T \wedge N \triangleright_w T}$$



---

## Weak reduction (cont.)

Example. (whiteboard)

- $B \equiv S(KS)K$ . Then  $BXYZ \triangleright_w X(YZ)$ .
- $W \equiv SS(KI)$ . Then  $WXY \triangleright_w XYY$ .
- $WWW \triangleright_w WWW \triangleright_w \dots$

Theorem (Church-Rosser theorem for  $\triangleright_w$ ).

$$\frac{P \triangleright_w M \quad P \triangleright_w N}{\exists T. M \triangleright_w T \wedge N \triangleright_w T}$$

Corollary (Uniqueness of nf). A  $CL$ -term can have at most one weak normal form.

---

## Weak equality

Weak equality or weak convertibility ( $X =_w Y$ ):

Exist  $X_0, \dots, X_n$  such that

- $X_0 \equiv X$
- $X_n \equiv Y$
- $(\forall i \leq n - 1)(X_i \triangleright_{1w} X_{i+1} \quad \vee \quad X_{i+1} \triangleright_{1w} X_i)$

---

## Weak equality

Weak equality or weak convertibility ( $X =_w Y$ ):

Exist  $X_0, \dots, X_n$  such that

- $X_0 \equiv X$
- $X_n \equiv Y$
- $(\forall i \leq n - 1)(X_i \triangleright_{1w} X_{i+1} \quad \vee \quad X_{i+1} \triangleright_{1w} X_i)$

Theorem (Church-Rosser theorem for  $=_w$ ).

$$\frac{X =_w Y}{\exists T. X \triangleright_w T \wedge Y \triangleright_w T}$$

---

## Weak equality

Weak equality or weak convertibility ( $X =_w Y$ ):

Exist  $X_0, \dots, X_n$  such that

- $X_0 \equiv X$
- $X_n \equiv Y$
- $(\forall i \leq n-1)(X_i \triangleright_{1w} X_{i+1} \quad \vee \quad X_{i+1} \triangleright_{1w} X_i)$

Theorem (Church-Rosser theorem for  $=_w$ ).

$$\frac{X =_w Y}{\exists T. X \triangleright_w T \wedge Y \triangleright_w T}$$

**Corollary.** If  $X$  and  $Y$  are distinct weak normal forms, then  $X \neq_w Y$ ; in particular  $\mathbf{S} \neq_w \mathbf{K}$ . Hence  $=_w$  is non-trivial in the sense that not all terms are weakly equal.

---

## Fixed-point combinators

Idea: For every term  $F$  there is a term  $X$  such

$$FX =_{\beta} X.$$

The term  $X$  is called a **fixed-point** of  $F$ .

---

## Fixed-point combinators

**Idea:** For every term  $F$  there is a term  $X$  such

$$FX =_{\beta} X.$$

The term  $X$  is called a **fixed-point** of  $F$ .

**Theorem.**  $\forall F \exists X. FX =_{\beta} X$ .

*Proof.* Let  $W \equiv \lambda x. F(xx)$ , and let  $X \equiv WW$ . Then

$$\begin{aligned} X &\equiv (\lambda x. F(xx))W \\ &=_{\beta} F(WW) \\ &\equiv FX \end{aligned}$$



---

## Fixed-point combinators (cont).

**Fixed-point combinator:** A fixed-point combinator is any combinator  $Y$  such  $YF =_{\beta} F(YF)$ , for all terms  $F$ .

---

## Fixed-point combinators (cont).

**Fixed-point combinator:** A fixed-point combinator is any combinator  $Y$  such  $YF =_{\beta} F(YF)$ , for all terms  $F$ .

**Theorem.** (Turing)  $Y \equiv UU$ , where  $U \equiv \lambda ux.x(ux)$  is a fixed-point combinator. (Whiteboard)

**Theorem.** (Curry and Rosenbloom)  $Y \equiv \lambda f.VV$ , where  $V \equiv \lambda x.f(xx)$  is a fixed-point combinator. (Whiteboard)



---

## Fixed-point combinators (cont).

**Fixed-point combinator:** A fixed-point combinator is any combinator  $Y$  such  $YF =_{\beta} F(YF)$ , for all terms  $F$ .

**Theorem.** (Turing)  $Y \equiv UU$ , where  $U \equiv \lambda ux.x(ux)$  is a fixed-point combinator. (Whiteboard)

**Theorem.** (Curry and Rosenbloom)  $Y \equiv \lambda f.VV$ , where  $V \equiv \lambda x.f(xx)$  is a fixed-point combinator. (Whiteboard)

**Corollary.** For every term  $Z$  and  $n \geq 0$ , the equation

$$xy_1 \dots y_n = Z$$

can be solved for  $x$ . That is, there is a term  $X$  such that

$$Xy_1 \dots y_n =_{\beta} [X/x]Z.$$

*Proof.*  $X \equiv Y(\lambda xy_1 \dots y_n.Z)$  (whiteboard). □

---

## Reduction strategies

Idea: Proving that a given term has no normal form.

Contraction ( $X \triangleright_R Y$ ):

$X \triangleright_R Y$ :  $R$  is a redex in  $X$  and  $Y$  is the result of contracting  $R$  in  $X$ .

Example.  $(\lambda x. (\lambda y. yx)z)v \triangleright_{(\lambda y. yx)z} (\lambda x. zx)v$ .

---

## Reduction strategies

**Idea:** Proving that a given term has no normal form.

**Contraction** ( $X \triangleright_R Y$ ):

$X \triangleright_R Y$ :  $R$  is a redex in  $X$  and  $Y$  is the result of contracting  $R$  in  $X$ .

**Example.**  $(\lambda x.(\lambda y.yx)z)v \triangleright_{(\lambda y.yx)z} (\lambda x.zx)v$ .

**Reduction:** A reduction  $\rho$  is a finite or infinite sequence of contractions separated by  $\alpha$ -conversions

$$X_1 \triangleright_{R_1} Y_1 \equiv_\alpha X_2 \triangleright_{R_2} \dots$$

---

## Reduction strategies

Idea: Proving that a given term has no normal form.

Contraction ( $X \triangleright_R Y$ ):

$X \triangleright_R Y$ :  $R$  is an redex in  $X$  and  $Y$  is the result of contracting  $R$  in  $X$ .

Example.  $(\lambda x. (\lambda y. yx)z)v \triangleright_{(\lambda y. yx)z} (\lambda x. zx)v$ .

Reduction: A reduction  $\rho$  is a finite or infinite sequence of contractions separated by  $\alpha$ -conversions

$$X_1 \triangleright_{R_1} Y_1 \equiv_\alpha X_2 \triangleright_{R_2} \dots$$

Question: Given an initial term  $X$ , there is some way of choosing a reduction that will terminate if  $X$  has a normal form?

---

## Reduction strategies (cont.)

**Outermost (maximal) redex:** A redex is called outermost iff it is not contained in any other redex.

**Normal-order evaluation (left-most reduction) (call-by-name):** In every contraction, the contracted redex is the leftmost outermost.

**Eager evaluation (call-by-value):** A redex is reduced only when its right hand side has reduced to a canonical form (variable, constant or lambda abstraction).

---

## Reduction strategies (cont.)

**Outermost (maximal) redex:** A redex is called outermost iff it is not contained in any other redex.

**Normal-order evaluation (left-most reduction) (call-by-name):** In every contraction, the contracted redex is the leftmost outermost.

**Eager evaluation (call-by-value):** A redex is reduced only when its right hand side has reduced to a canonical form (variable, constant or lambda abstraction).

**Example.** (Whiteboard).

1.  $(\lambda y.a)\Omega$ , where  $\Omega \equiv (\lambda x.xx)(\lambda x.xx)$
2.  $(\lambda x.xx)((\lambda y.yy)(\lambda z.zz))$

---

## Reduction strategies (cont.)

Theorem (Standardization theorem (left-most reduction theorem)). If a term  $X$  has a normal form  $X^*$ , then the normal-order evaluation of  $X$  is finite and ends at  $X^*$ .

---

## $\lambda$ -calculus and inconsistencies

- $\lambda$ -calculus + logic: Curry paradox<sup>1</sup>
- $\lambda$ -calculus + set theory: Russell paradox<sup>2</sup>

---

<sup>1</sup>J. Barkley Rosser. Highlights of the history of lambda-calculus. *Annals of the History of Computing*, 6(4):337–349, 1984.

<sup>2</sup>Paulson, 2000, sec. 4.6.



---

# Encoding data in the $\lambda$ -calculus

(From: Paulson, 2000, ch. 3)

Booleans:

$$\mathbf{true} \equiv \lambda xy.x$$

$$\mathbf{false} \equiv \lambda xy.y$$

$$\mathbf{if} = \lambda pxy.pxy$$

where

$$\mathbf{if\ true\ } MN =_{\beta} M$$

$$\mathbf{if\ false\ } MN =_{\beta} N$$

---

## Encoding data in the $\lambda$ -calculus (cont.)

Ordered pairs:

$$\begin{aligned} pair &\equiv \lambda xyf.fxy \\ fst &\equiv \lambda p.p \text{ true} \\ snd &= \lambda p.p \text{ false} \end{aligned}$$

where

$$\begin{aligned} fst(pair\ MN) &=_{\beta} M \\ snd(pair\ MN) &=_{\beta} N \end{aligned}$$

---

## Encoding data in the $\lambda$ -calculus (cont.)

Natural numbers:

Notation:

$$X^n Y \equiv \underbrace{X(X(\dots(XY)\dots))}_{n \text{ 'X's'}} \quad \text{if } n \geq 1 ,$$
$$X^0 Y \equiv Y.$$

The Church numerals:

$$\overline{n} \equiv \lambda f x. f^n x$$

---

## Encoding data in the $\lambda$ -calculus (cont.)

Some operations:

$$\mathbf{add} \equiv \lambda m n f x. m f (n f x)$$

$$\mathbf{mult} \equiv \lambda m n f x. m (n f) x$$

$$\mathbf{isZero} \equiv \lambda n. (\lambda x. \mathbf{false}) \mathbf{true}$$

where

$$\mathbf{add} \, \overline{m} \, \overline{n} =_{\beta} \overline{m + n}$$

$$\mathbf{mult} \, \overline{m} \, \overline{n} =_{\beta} \overline{m \times n}$$

$$\mathbf{isZero} \, \overline{0} =_{\beta} \mathbf{true}$$

$$\mathbf{isZero} \, \overline{n + 1} =_{\beta} \mathbf{false}$$

---

## Recursion using fixed-points

Example. (Informally<sup>3</sup>)

$$fac \equiv \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * fac (n - 1)$$

---

<sup>3</sup>Simon Peyton Jones. *The Implementation of Functional Programming Languages*. New York: Prentice-Hall International, 1987.

---

## Recursion using fixed-points

Example. (Informally<sup>3</sup>)

$$fac \equiv \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * fac (n - 1)$$
$$fac \equiv \lambda n. (\dots fac \dots)$$

---

<sup>3</sup>Simon Peyton Jones. *The Implementation of Functional Programming Languages*. New York: Prentice-Hall International, 1987.

---

## Recursion using fixed-points

Example. (Informally<sup>3</sup>)

$$fac \equiv \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * fac (n - 1)$$
$$fac \equiv \lambda n. (\dots fac \dots)$$
$$fac \equiv (\lambda f n. (\dots f \dots)) fac$$

---

<sup>3</sup>Simon Peyton Jones. *The Implementation of Functional Programming Languages*. New York: Prentice-Hall International, 1987.

---

## Recursion using fixed-points

Example. (Informally<sup>3</sup>)

$$fac \equiv \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * fac (n - 1)$$
$$fac \equiv \lambda n. (\dots fac \dots)$$
$$fac \equiv (\lambda f n. (\dots f \dots)) fac$$
$$h \equiv \lambda f n. (\dots f \dots) \quad \text{-- not recursive!}$$
$$fac \equiv h fac \quad \text{-- } fac \text{ is a fixed-point of } h!$$

---

<sup>3</sup>Simon Peyton Jones. *The Implementation of Functional Programming Languages*. New York: Prentice-Hall International, 1987.



---

## Recursion using fixed-points

Example. (Informally<sup>3</sup>)

$$fac \equiv \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * fac (n - 1)$$
$$fac \equiv \lambda n. (\dots fac \dots)$$
$$fac \equiv (\lambda f n. (\dots f \dots)) fac$$
$$h \equiv \lambda f n. (\dots f \dots) \quad \text{-- not recursive!}$$
$$fac \equiv h \, fac \quad \text{-- } fac \text{ is a fixed-point of } h!$$
$$fac \equiv \mathbf{Y} h$$

---

<sup>3</sup>Simon Peyton Jones. *The Implementation of Functional Programming Languages*. New York: Prentice-Hall International, 1987.

---

## Recursion using fixed-points (cont.)

Example (cont.).

$$\begin{aligned} fac\ 1 &\equiv \mathbf{Y}h\ 1 \\ &=_{\beta} h(\mathbf{Y}h)\ 1 \\ &\equiv (\lambda f n. (\dots f \dots))(\mathbf{Y}h)\ 1 \\ &\triangleright_{\beta} \text{if } 1 = 0 \text{ then } 1 \text{ else } 1 * (\mathbf{Y}h\ 0) \\ &\triangleright_{\beta} 1 * (\mathbf{Y}h\ 0) \\ &=_{\beta} 1 * (h(\mathbf{Y}h)\ 0) \\ &\equiv 1 * ((\lambda f n. (\dots f \dots))(\mathbf{Y}h)0) \\ &\triangleright_{\beta} 1 * (\text{if } 0 = 0 \text{ then } 1 \text{ else } 1 * (\mathbf{Y}h\ (-1))) \\ &\triangleright_{\beta} 1 * 1 \\ &\triangleright_{\beta} 1 \end{aligned}$$

---

# Representing the computable functions

Representability:

Let  $\phi$  a partial function  $\phi : \mathbb{N}^n \rightarrow \mathbb{N}$ . A term  $X$  represents  $\phi$  iff

$$\begin{aligned} \phi(m_1, \dots, m_n) = p &\Rightarrow X\overline{m_1} \dots \overline{m_n} =_{\beta} \overline{p}, \\ \phi(m_1, \dots, m_n) \text{ does not exits} &\Rightarrow X\overline{m_1} \dots \overline{m_n} \text{ has no nf.} \end{aligned}$$

---

# Representing the computable functions

Representability:

Let  $\phi$  a partial function  $\phi : \mathbb{N}^n \rightarrow \mathbb{N}$ . A term  $X$  represents  $\phi$  iff

$$\begin{aligned}\phi(m_1, \dots, m_n) = p &\Rightarrow X\overline{m_1} \dots \overline{m_n} =_{\beta} \overline{p}, \\ \phi(m_1, \dots, m_n) \text{ does not exist} &\Rightarrow X\overline{m_1} \dots \overline{m_n} \text{ has no nf.}\end{aligned}$$

**Example.** The successor function  $suc(n) = n + 1$  is represented by

$$suc \equiv \lambda n f x. f(n f x)$$

---

# Representing the computable functions

## Representability:

Let  $\phi$  a partial function  $\phi : \mathbb{N}^n \rightarrow \mathbb{N}$ . A term  $X$  represents  $\phi$  iff

$$\begin{aligned}\phi(m_1, \dots, m_n) = p &\Rightarrow X\overline{m_1} \dots \overline{m_n} =_{\beta} \overline{p}, \\ \phi(m_1, \dots, m_n) \text{ does not exist} &\Rightarrow X\overline{m_1} \dots \overline{m_n} \text{ has no nf.}\end{aligned}$$

**Example.** The successor function  $suc(n) = n + 1$  is represented by

$$suc \equiv \lambda n f x. f(n f x)$$

**Theorem (Representation of Turing-computable functions).** In  $\lambda$ -calculus every Turing-computable function can be represented by a combinator.

---

# Undecidability

Gödel number  $\#M$ :

$$\begin{aligned}\#x_i &= 2^i \\ \#(\lambda x_i. M) &= 3^i 5^{\#M} \\ \#(MN) &= 7^{\#M} 11^{\#N}\end{aligned}$$

Notation:  $\ulcorner M \urcorner = \overline{\#M}$

**Theorem.** (Double fixed-point theorem)  $\forall F \exists X. F \ulcorner X \urcorner =_{\beta} X$

*Proof.* (Whiteboard)



---

## Undecidability (cont.)

**Theorem.** (Rice's theorem for the  $\lambda$ -calculus) Let  $A \subset \lambda\text{-terms}$  such  $A$  is non-trivial (i.e.  $A \neq \emptyset$ ,  $A \neq \lambda\text{-terms}$ ). Suppose that  $A$  is closed under  $=_\beta$  (i.e.  $M \in A, M =_\beta N \Rightarrow N \in A$ ). Then  $A$  is not recursive, that is  $\#A = \{\#M \mid M \in A\}$  is not recursive.

*Proof.* (Whiteboard)<sup>4</sup>



**Theorem.** The set  $NF = \{M \mid M \text{ has a normal form}\}$  is not recursive.

*Proof.*  $NF$  is not trivial and it is closed under  $=_\beta$ .



---

<sup>4</sup>Henk Barendregt. Functional programming and lambda calculus. In J. van Leewen, editor, *Handbook of Theoretical Computer Science*, volume B, pages 321–363. Elsevier, 1990.

---

# ISWIM: $\lambda$ -calculus as a programming language

(From: Paulson, 2000, ch. 3)



- ISWIM: If you See What I Mean
- P. J. Landin. The next 700 programming languages. *Commun. ACM*, 9(3):157–166, 1966



---

## ISWIM features

Simple declaration:

$$\textit{let } x = M \textit{ in } N \quad \equiv \quad (\lambda x.N)M$$

Example.

- $\textit{let } n = \bar{0} \textit{ in suc } n$
- $\textit{let } m = \bar{0} \textit{ in } (\textit{let } n = \bar{1} \textit{ in add } m \ n)$

Function declaration:

$$\textit{let } fx_1 \dots x_k = M \textit{ in } N \quad \equiv \quad (\lambda f.N)(\lambda x_1 \dots x_k.M)$$

Example.  $\textit{let suc } n = \lambda fx.f(nfx) \textit{ in suc } \bar{0}$

---

## ISWIM features (cont.)

Recursive declaration:

$$\mathbf{letrec} \ fx_1 \dots x_k = M \ \mathbf{in} \ N \quad \equiv \quad (\lambda f.N)(\mathbf{Y}(\lambda fx_1 \dots x_k.M))$$

Example.

$$\mathbf{letrec} \ fact \ n = \mathbf{if} \ (n == 0) \ 1 \ (n * fact(n-1)) \ \mathbf{in} \ fact \ 0$$

Pairs:

$(M, N)$  : pair constructor

$\mathbf{fst}, \mathbf{snd}$  : projections

$$\mathbf{let} \ \lambda(x, y).E \quad \equiv \quad \lambda z.(\lambda xy.E)(\mathbf{fst}z)(\mathbf{snd}z)$$

Example.

$$\mathbf{let} \ (x, y) = (\bar{2}, \bar{3}) \ \mathbf{in} \ \mathbf{add} \ x \ y$$

---

# The formal theory $\lambda\beta$ of $\beta$ -equality

Formulas:  $M = N$ , where  $M, N \in \lambda$ -terms.

---

# The formal theory $\lambda\beta$ of $\beta$ -equality

Formulas:  $M = N$ , where  $M, N \in \lambda$ -terms.

Axiom-schemes:

- $(\alpha) \quad \lambda x.M = \lambda y.[y/x]M \quad \text{if } y \in FV(M),$
- $(\beta) \quad (\lambda x.M)N = [N/x]M,$
- $(\rho) \quad M = M.$

---

## The formal theory $\lambda\beta$ of $\beta$ -equality

Formulas:  $M = N$ , where  $M, N \in \lambda$ -terms.

Axiom-schemes:

$$(\alpha) \quad \lambda x.M = \lambda y.[y/x]M \quad \text{if } y \in FV(M),$$

$$(\beta) \quad (\lambda x.M)N = [N/x]M,$$

$$(\rho) \quad M = M.$$

Rules of inference:

$$(\mu) \quad \frac{M = M'}{NM = NM'} \quad (\nu) \quad \frac{M = M'}{MN = M'N} \quad (\tau) \quad \frac{M = N \quad N = P}{M = P}$$

$$(\xi) \quad \frac{M = M'}{\lambda x.M = \lambda x.M'} \quad (\sigma) \quad \frac{M = N}{N = M}$$

---

## The formal theory $\lambda\beta$ of $\beta$ -equality (cont.)

Deductions:  $\lambda\beta, A_1, \dots, A_n \vdash B$  (There is a deduction of  $B$  from the assumptions  $A_1, \dots, A_n$  in  $\lambda\beta$ ).

Theorems:  $\lambda\beta \vdash B$  (The formula  $B$  is probable in  $\lambda\beta$ ).

---

## The formal theory $\lambda\beta$ of $\beta$ -equality (cont.)

Deductions:  $\lambda\beta, A_1, \dots, A_n \vdash B$  (There is a deduction of  $B$  from the assumptions  $A_1, \dots, A_n$  in  $\lambda\beta$ ).

Theorems:  $\lambda\beta \vdash B$  (The formula  $B$  is probable in  $\lambda\beta$ ).

**Remark:**  $\lambda\beta$  is an equational theory and it is a logic-free theory (there are not logical connectives or quantifiers in its formulae).

---

## The formal theory $\lambda\beta$ of $\beta$ -equality (cont.)

**Example.** Let  $M$  and  $N$  two closed terms

$$\frac{(\lambda x.(\lambda y.x))M = [M/x]\lambda y.x \equiv \lambda y.M}{(\lambda x.(\lambda y.x))MN = (\lambda y.M)N} (\nu) \quad \frac{(\lambda y.M)N = [N/y]M \equiv M}{(\lambda x.(\lambda y.x))MN = M} (\tau)$$

That is to say,  $\lambda\beta \vdash (\lambda xy.x)MN = M$ .



---

## The formal theory $\lambda\beta$ of $\beta$ -equality (cont.)

Example. Let  $M$  and  $N$  two closed terms

$$\frac{(\lambda x.(\lambda y.x))M = [M/x]\lambda y.x \equiv \lambda y.M}{(\lambda x.(\lambda y.x))MN = (\lambda y.M)N} (\nu) \quad \frac{(\lambda y.M)N = [N/y]M \equiv M}{(\lambda x.(\lambda y.x))MN = M} (\tau)$$

That is to say,  $\lambda\beta \vdash (\lambda xy.x)MN = M$ .

Theorem.

$$M =_{\beta} N \iff \lambda\beta \vdash M = N.$$

---

## The formal theory $\lambda\beta$ of $\beta$ -reduction

Similar to the formal theory of  $\beta$ -equality, but:

1. Formulas:  $M \triangleright_{\beta} N$ .
2. To change '=' by ' $\triangleright_{\beta}$ '.
3. Remove the rule  $(\sigma)$ .

---

## The formal theory $\lambda\beta$ of $\beta$ -reduction

Similar to the formal theory of  $\beta$ -equality, but:

1. Formulas:  $M \triangleright_{\beta} N$ .
2. To change '=' by ' $\triangleright_{\beta}$ '.
3. Remove the rule  $(\sigma)$ .

Theorem.

$$M \triangleright_{\beta} N \iff \lambda\beta \vdash M \triangleright_{\beta} N.$$

---

## The formal theory $\lambda\beta$ of $\beta$ -reduction

Similar to the formal theory of  $\beta$ -equality, but:

1. Formulas:  $M \triangleright_{\beta} N$ .
2. To change '=' by ' $\triangleright_{\beta}$ '.
3. Remove the rule  $(\sigma)$ .

Theorem.

$$M \triangleright_{\beta} N \iff \lambda\beta \vdash M \triangleright_{\beta} N.$$

Remark: Formal theories for combinatory logic.

---

## The formal theory $\lambda\beta$ of $\beta$ -reduction

Similar to the formal theory of  $\beta$ -equality, but:

1. Formulas:  $M \triangleright_{\beta} N$ .
2. To change '=' by ' $\triangleright_{\beta}$ '.
3. Remove the rule  $(\sigma)$ .

Theorem.

$$M \triangleright_{\beta} N \iff \lambda\beta \vdash M \triangleright_{\beta} N.$$

Remark: Formal theories for combinatory logic.

Remark:  $\lambda\beta$  is not a first-order theory.