

Abstract

The equatorial spread F (ESF) is an ionospheric phenomenon related to plasma density irregularities at F-region heights. In Peru, the Jicamarca radar operating in the JULIA (Jicamarca Unattended Long-term Investigations of the Ionosphere and Atmosphere) mode has been used to measure the coherent backscatter signals generated by the ESF for many years. The measured power is plotted in Range-Time-Intensity (RTI) maps, and the database of these observations is available in Madrigal. Different morphological patterns of the ESF (bottom-type, bottomside, radar plumes, and E-echo) can be identified in the RTI maps. This study automatically identifies and classifies the ESF patterns in the RTI power maps measured with JULIA. The classification is based on machine learning algorithms using open-source tools. In particular, the Random Forest (RF), Extreme Gradient Boosting (XGBoost), and Neural Networks (NN) techniques are tested. Statistical pattern information and upper atmospheric physical parameters are used as features for classification.

Data and Methodology

The data set used for this work was 127 RTI maps corresponding to January and July 2020. The methodology involved two stages: building training data, and training a model using RF, XGBoost and NN methods. Figure 1 illustrates the inputs and outputs of this stage.

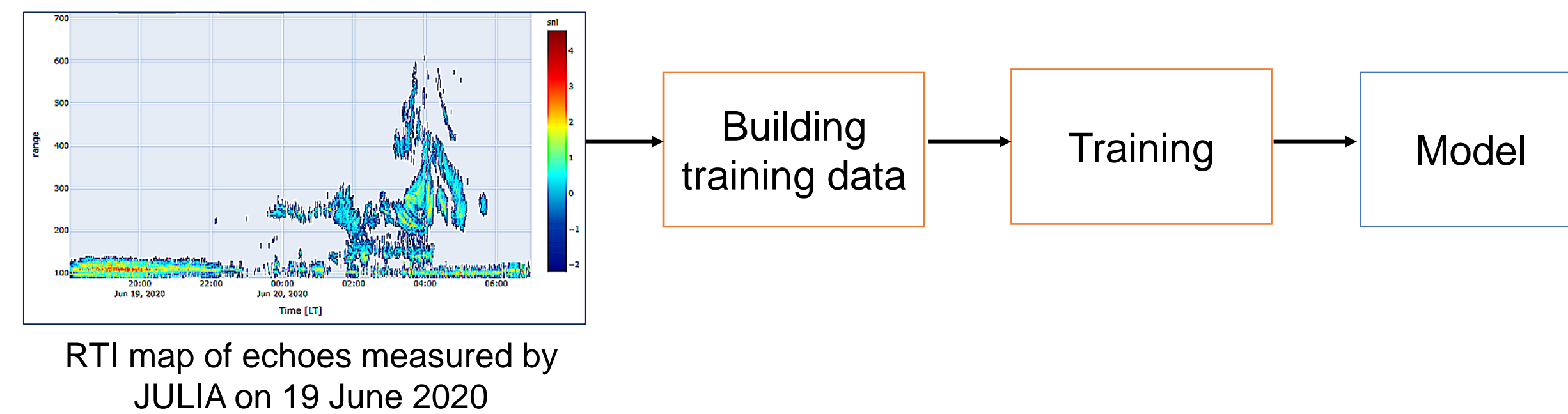


Figure 1: Methodology Block Diagram

Building training data

In this stage, we prepared the data sets to train and test the model. First, the RTI map was cleaned to avoid information unrelated to the ESF; therefore, minor artifacts were removed. Second, we manually labeled four patterns (bottom-type, bottom-side, radar plumes, and E-echoes) in each RTI map (Figure 2). These classifications follow the description in [1], [2], and [3]. Third, following the receipt in [4], we decreased the resolution of the RTI maps, where each power value corresponds to an average of a matrix made by a resolution of 15 min and 15 km in height (Figure 3). Then, statistical properties of the power that describe the texture content of a region were calculated. In total, six statistical properties were determined: mean, standard deviation, smoothness, the third moment of the power histogram, uniformity, and entropy. Finally, the measured power, the six statistical properties, the range, the time, vertical drift, horizontal drift, and the F10.7 solar flux index, were merged in a stack of 12 bands (Figure 4).

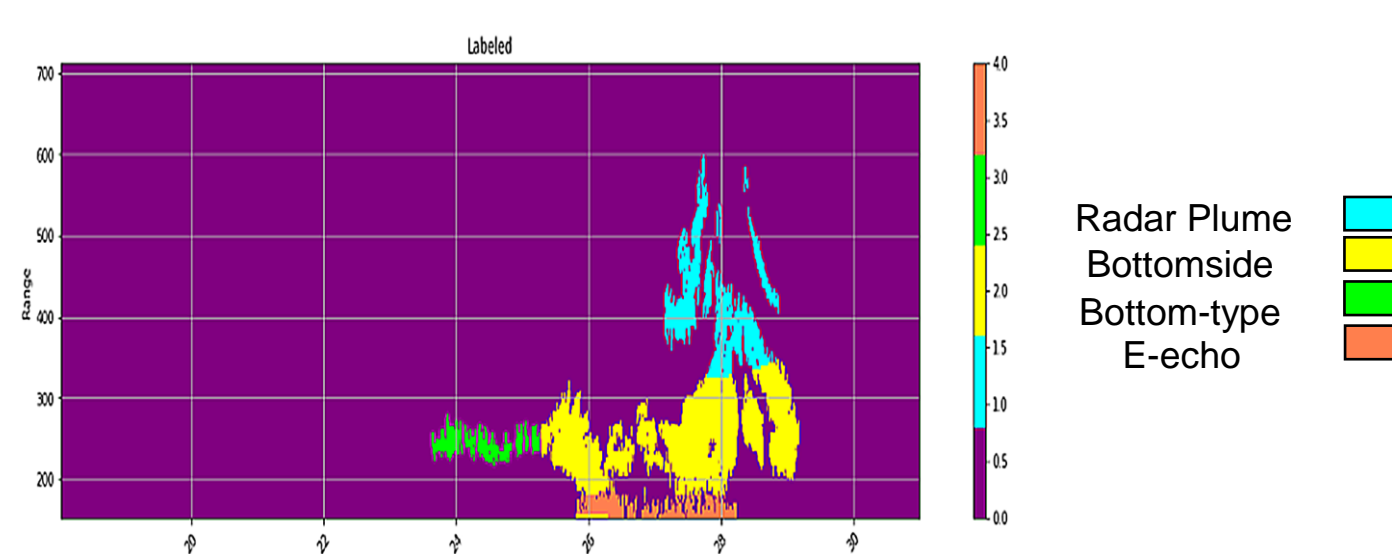


Figure 2: Manually label

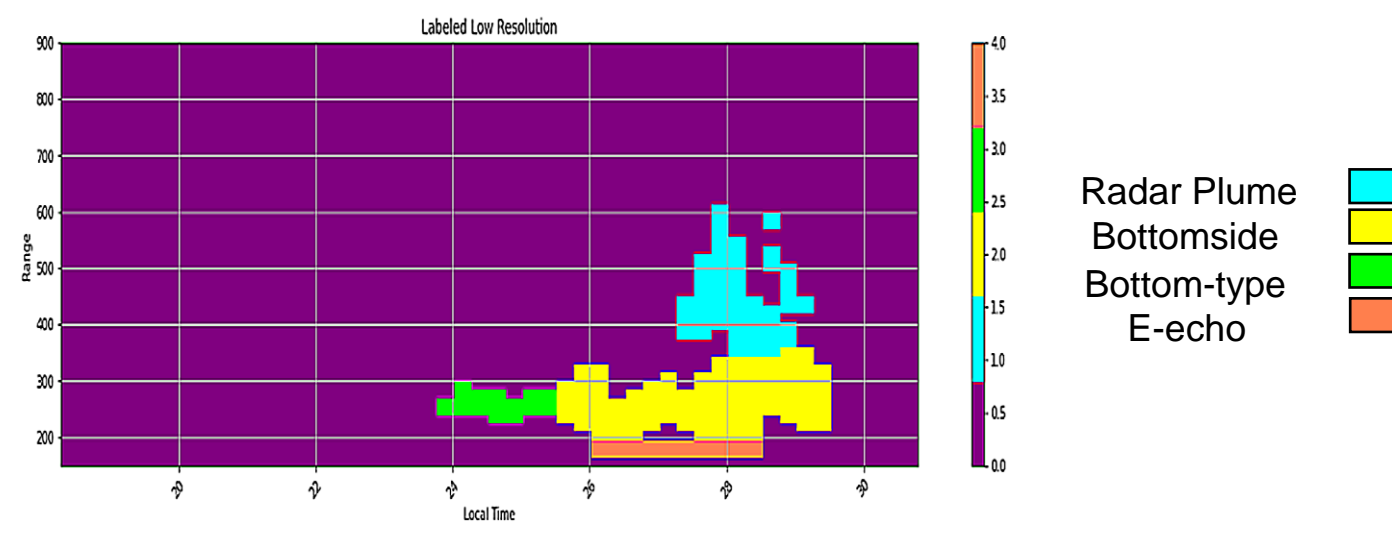


Figure 3: Low resolution

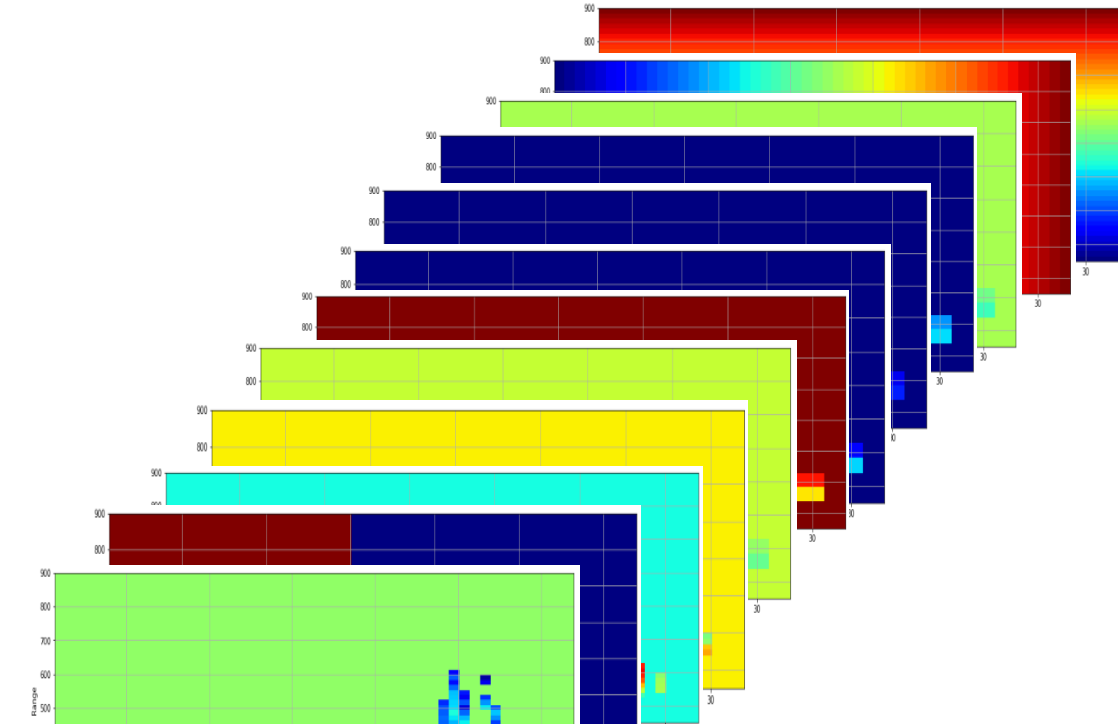


Figure 4: Stack of statistical textures, range, time, vertical drift, horizontal drift, F10.7, and power.

Training model

This stage involves training RF and XGBoost, and NN machine learning methods. We installed Scikit-Learn, and TensorFlow libraries to run the methods. The data set generated in the previous stage (Table 1) was divided into 2/3rd for training and 1/3rd for testing. The *K-fold cross-validation* feature was used, and we split the training set into five random subsets named folds. Then, the classification algorithm was trained and evaluated five times, picking a different fold for evaluation every time and training on the other four folds (Figure 5). Grid Search and Randomized Search were used to Fine-Tune the hyperparameters. Table 2 shows the setting parameters for each model.

Table 1: Total number of pixels per class.

| Class | N. Of Pixels |
|----------------------|--------------|
| Class 1: Radar Plume | 6699 |
| Class 2: Bottomside | 9704 |
| Class 3: Bottom-type | 2793 |
| Class 4: E-Echos | 662 |

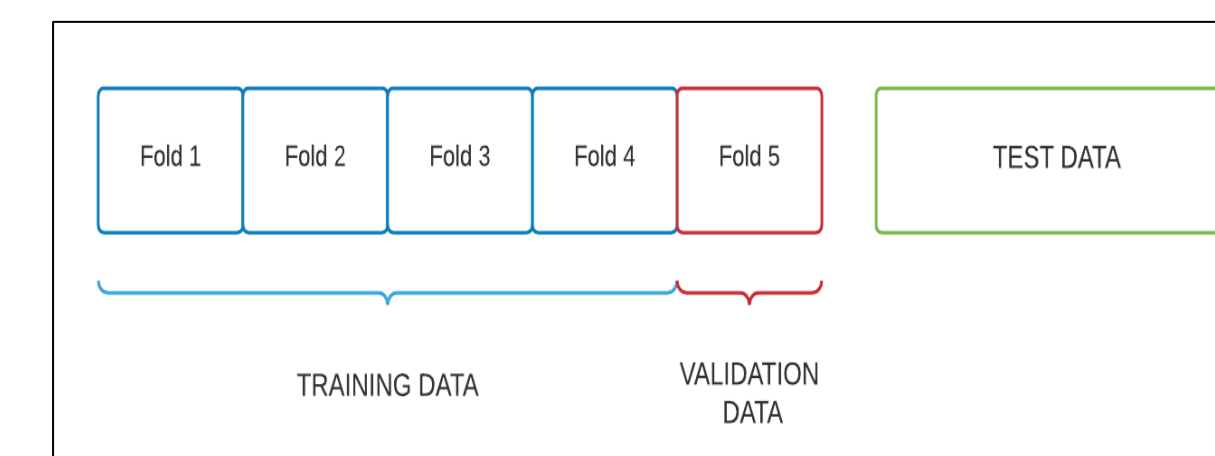


Figure 5: Train, Validation and Test data.

Table 2: Model configurations.

| RF | XGBoost | NN |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> Number of trees : 243 Measure of the split quality: GINI Max depth of the tree: 18 Max features for best split: log2(12). | <ul style="list-style-type: none"> Max depth of a tree: 9 Learning rate: 0.2 Number of boosting rounds: 150 | <ul style="list-style-type: none"> Learning rate: 0.0007 Number of hidden layers: 3 Number of neurons: 49 Optimizer: Adam. Activation: RELU. Loss function: Categorical Crossentropy. Number of epoch: 42. |

Results

The metric evaluation for each model is the accuracy and confusion matrix shown in Figures 6, 7, and 8. These results correspond to the test data set. Moreover, each model was applied to three RTI maps that were not used for training and testing each model. Figures 10 to 21 illustrate the comparison between the original data and each model's prediction. In addition, tables 3, 4 and 5 show the accuracy of each model.

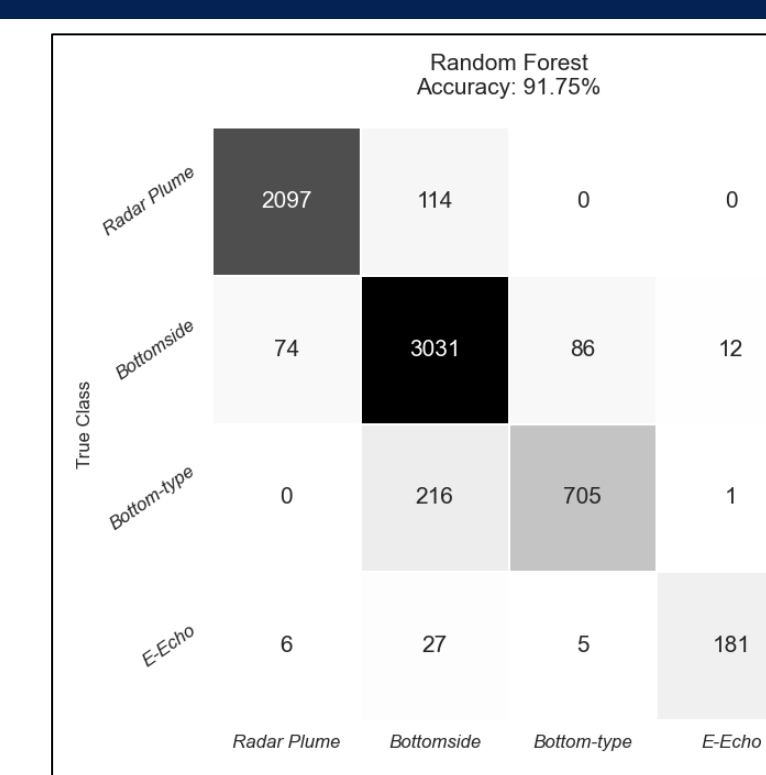


Figure 6: Confusion Matrix and accuracy of RF using test data.

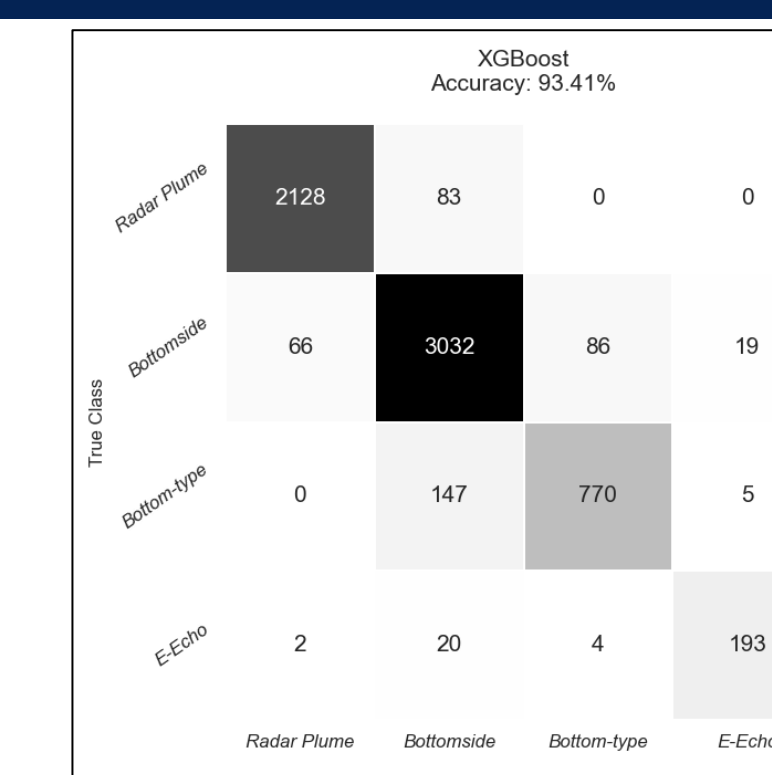


Figure 7: Confusion Matrix and accuracy of XGBoost using test data.

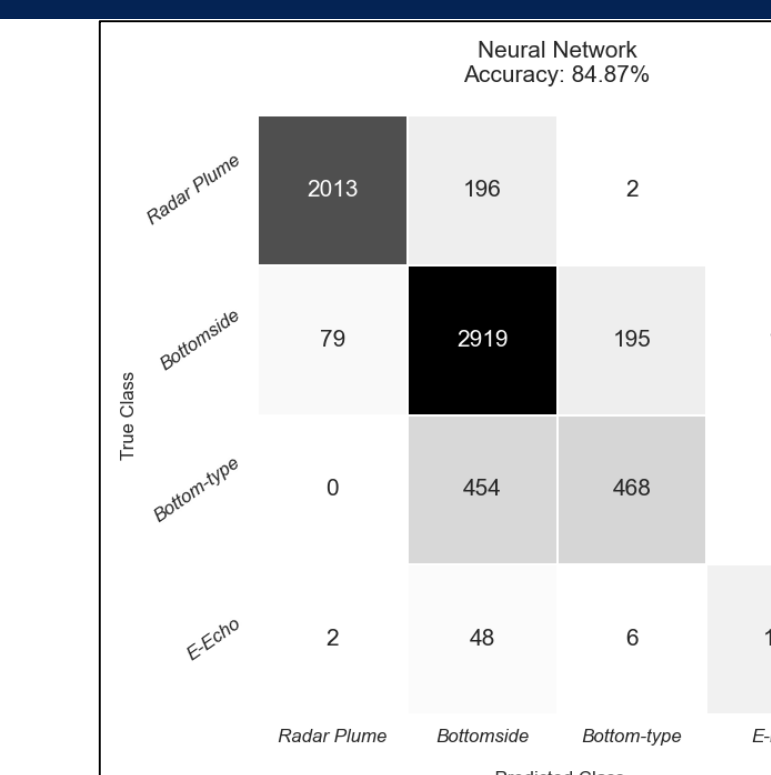


Figure 8: Confusion matrix and accuracy of NN using test data.

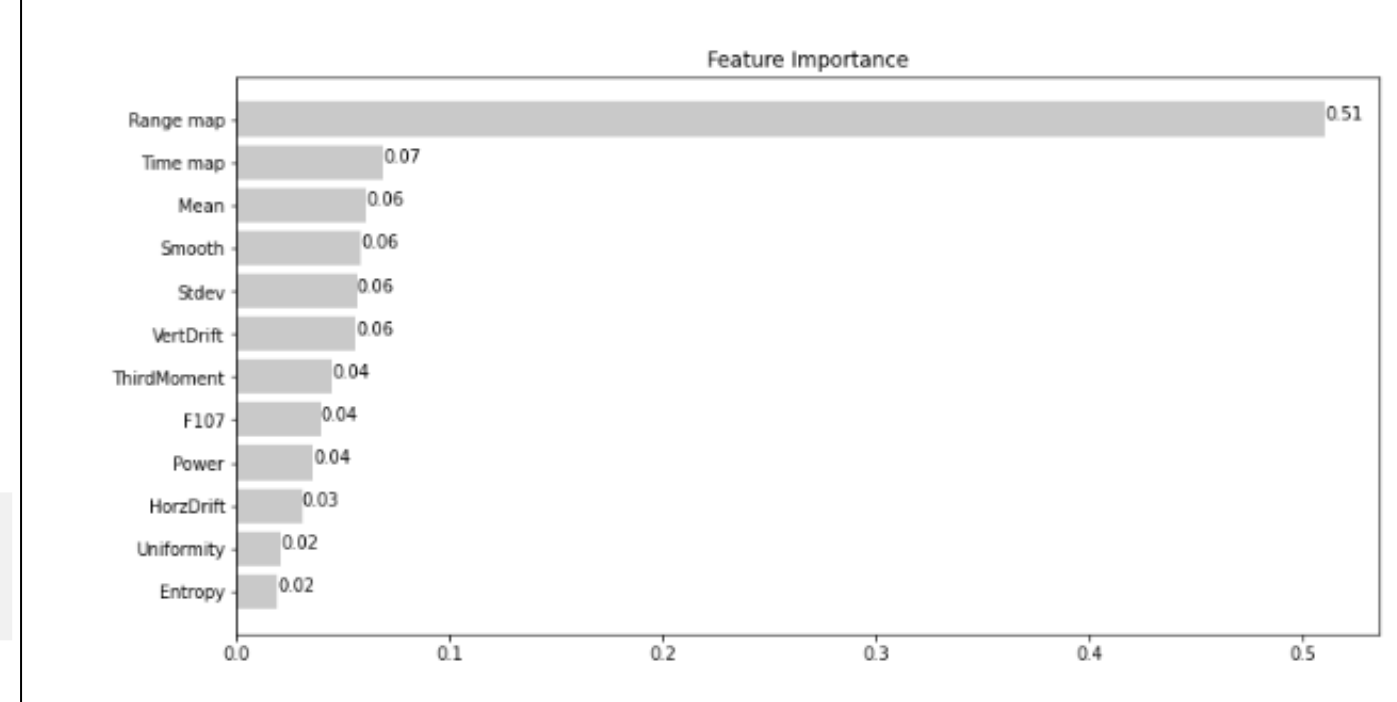


Figure 9: Feature Importance result from RF method.

Table 3: Accuracy results for RTI map measured on 29 June 2020.

| MODEL | Accuracy % |
|---------|------------|
| RF | 86.15 |
| XGBoost | 78.46 |
| NN | 46.15 |

Table 4: Accuracy results for RTI map measured on 6 April 2020.

| MODEL | Accuracy % |
|---------|------------|
| RF | 77.88 |
| XGBoost | 76.92 |
| NN | 49.68 |

Table 5: Accuracy results for RTI map measured on 3 April 2020.

| MODEL | Accuracy % |
|---------|------------|
| RF | 40.48 |
| XGBoost | 28.57 |
| NN | 88.10 |

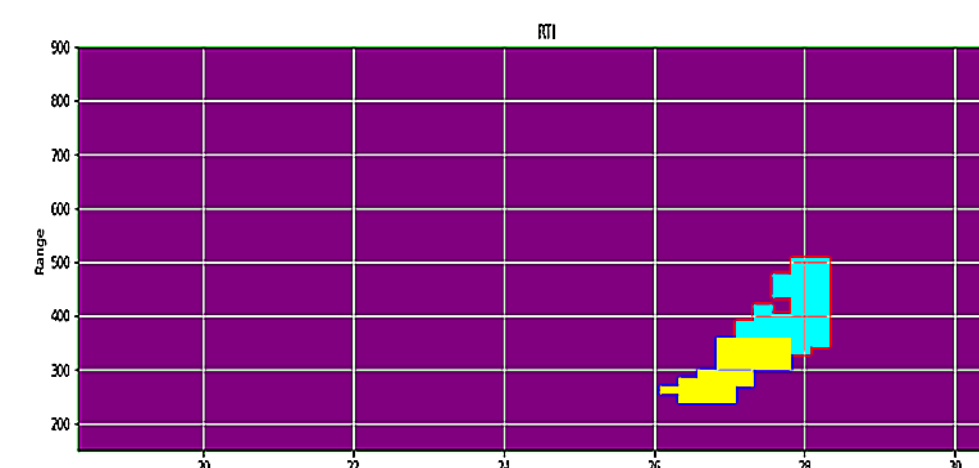


Figure 10: Original RTI map labeled.

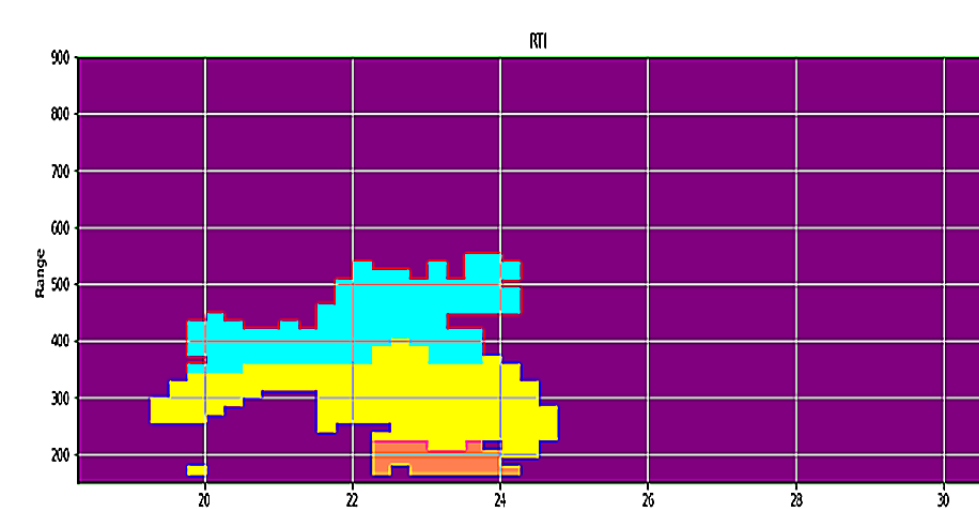


Figure 14: Original RTI map labeled.

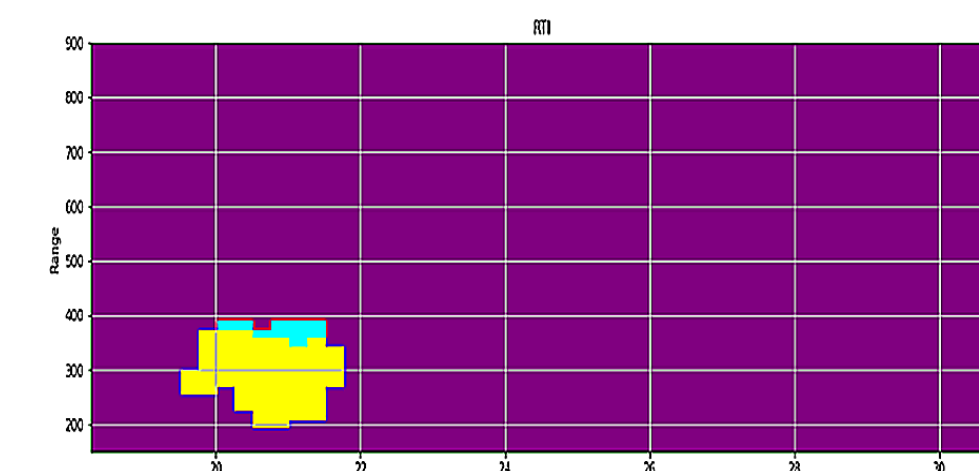


Figure 18: Original RTI map labeled.

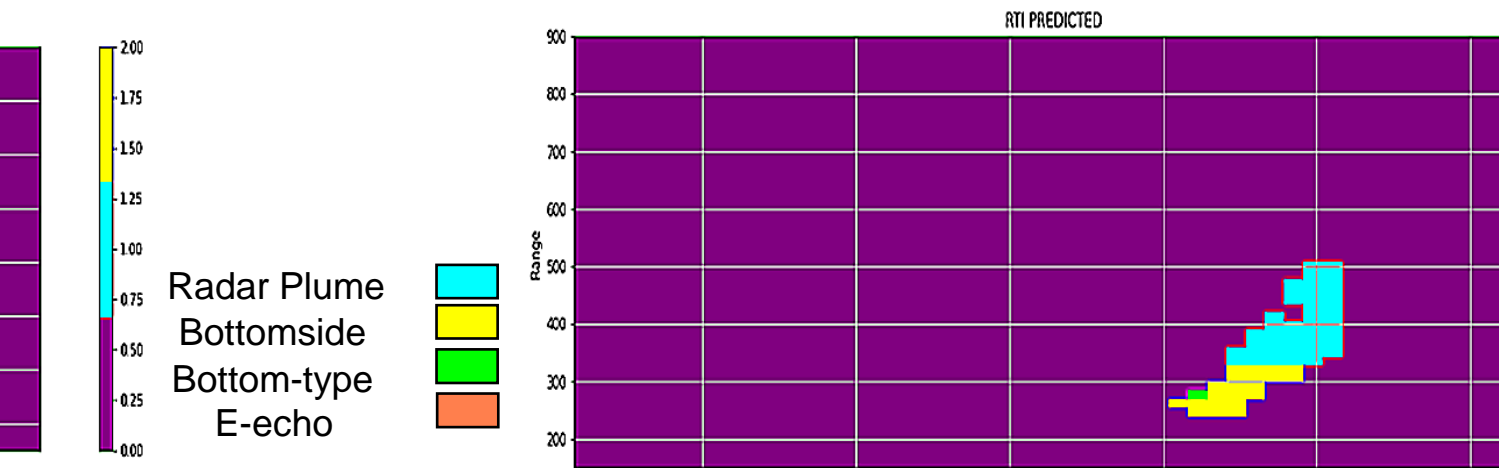


Figure 11: RF prediction.

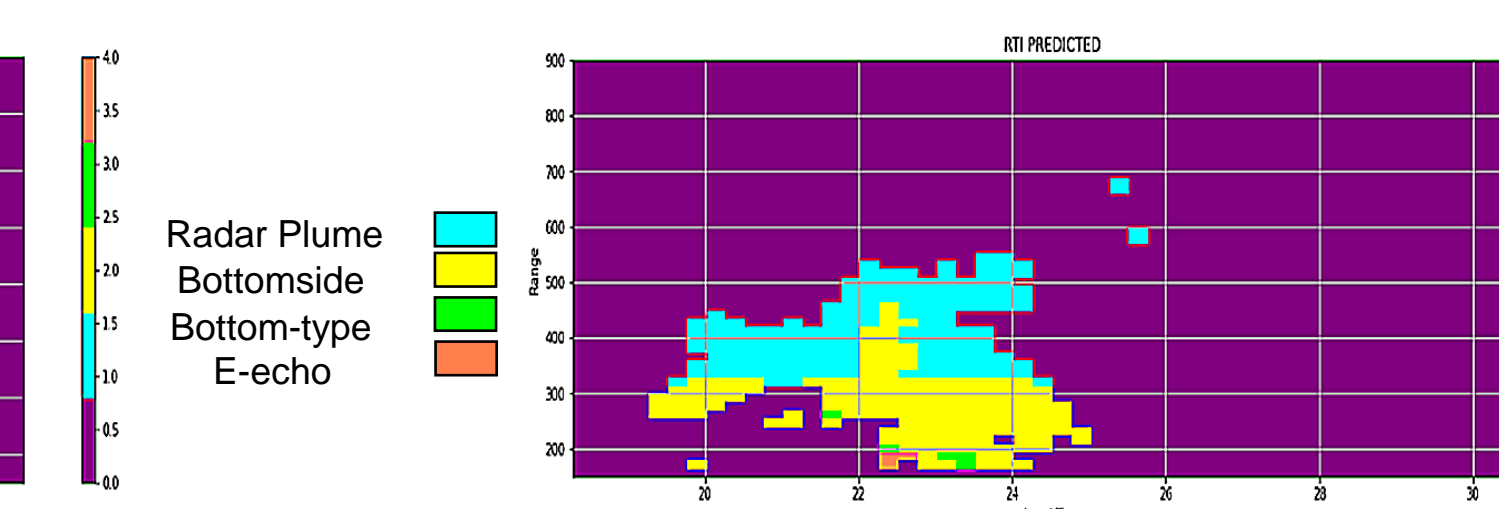


Figure 15: RF prediction.

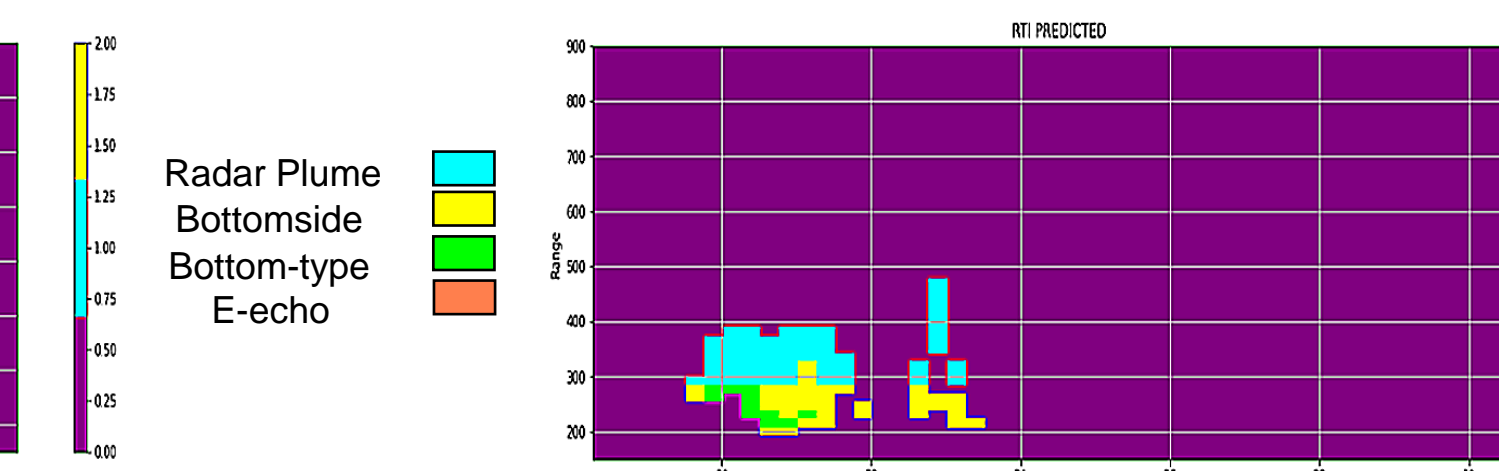


Figure 19: RF prediction.

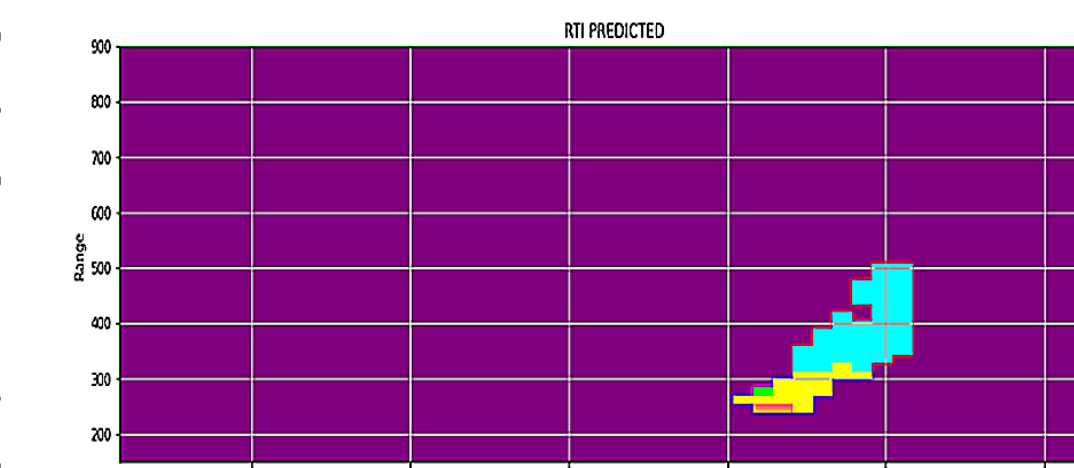


Figure 12: XGBoost prediction.

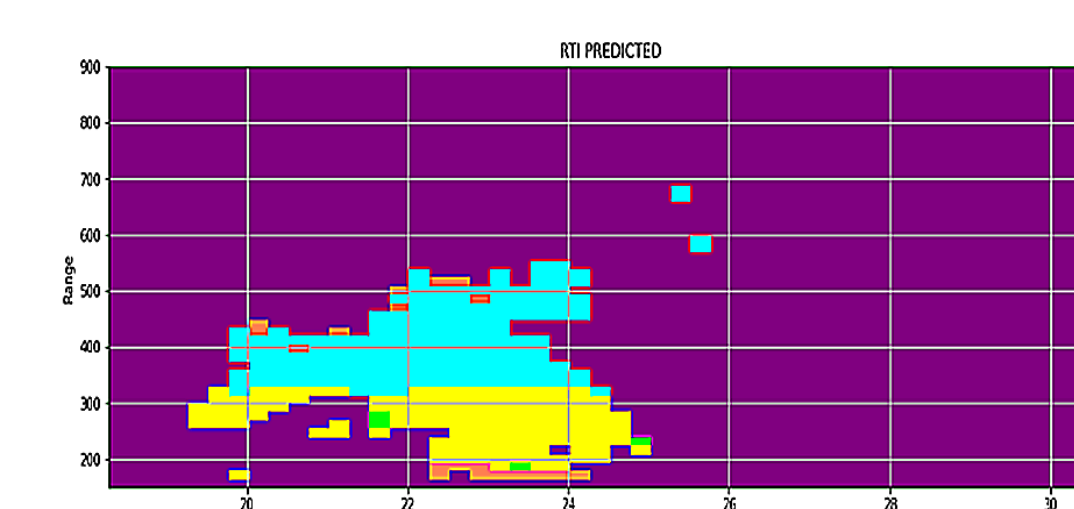


Figure 16: XGBoost prediction.

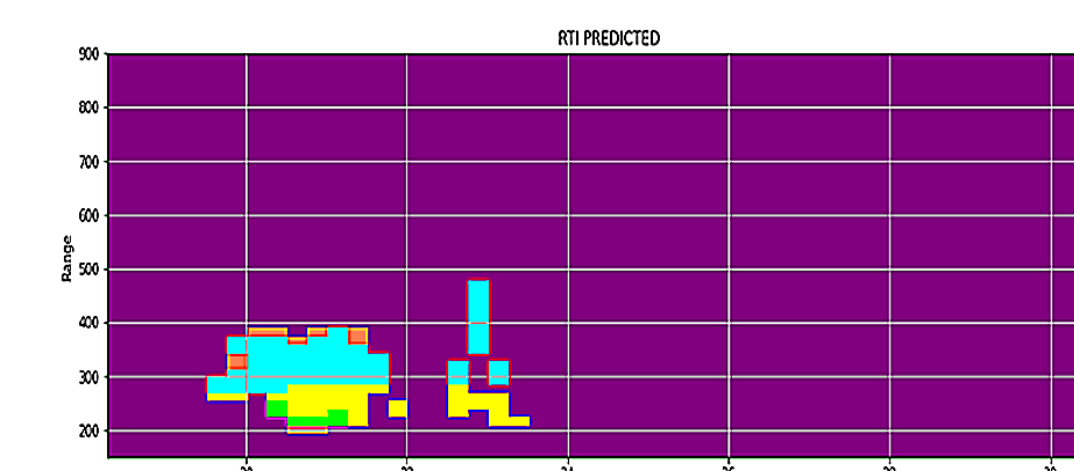


Figure 20: XGBoost prediction.

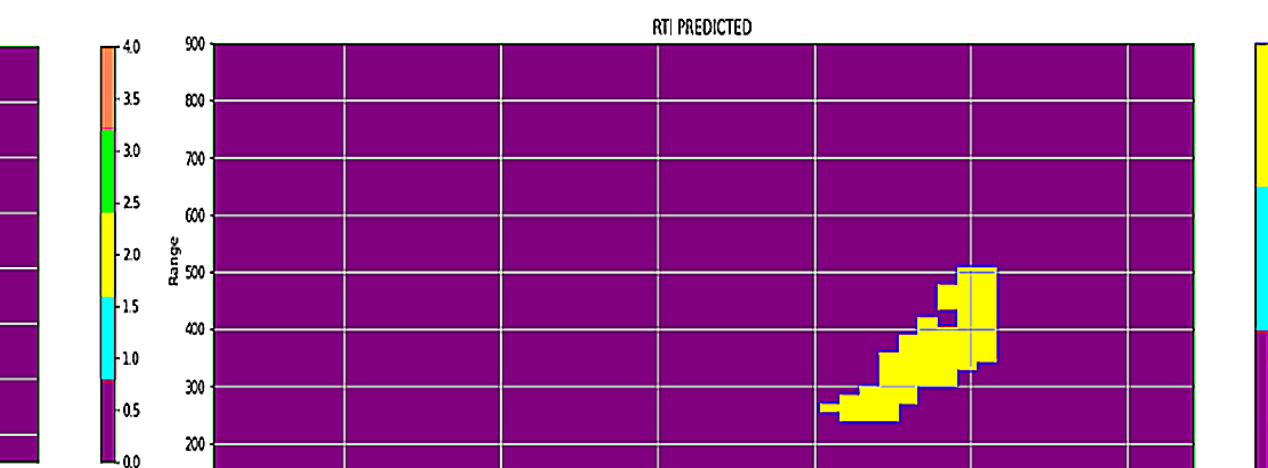


Figure 13: NN prediction.

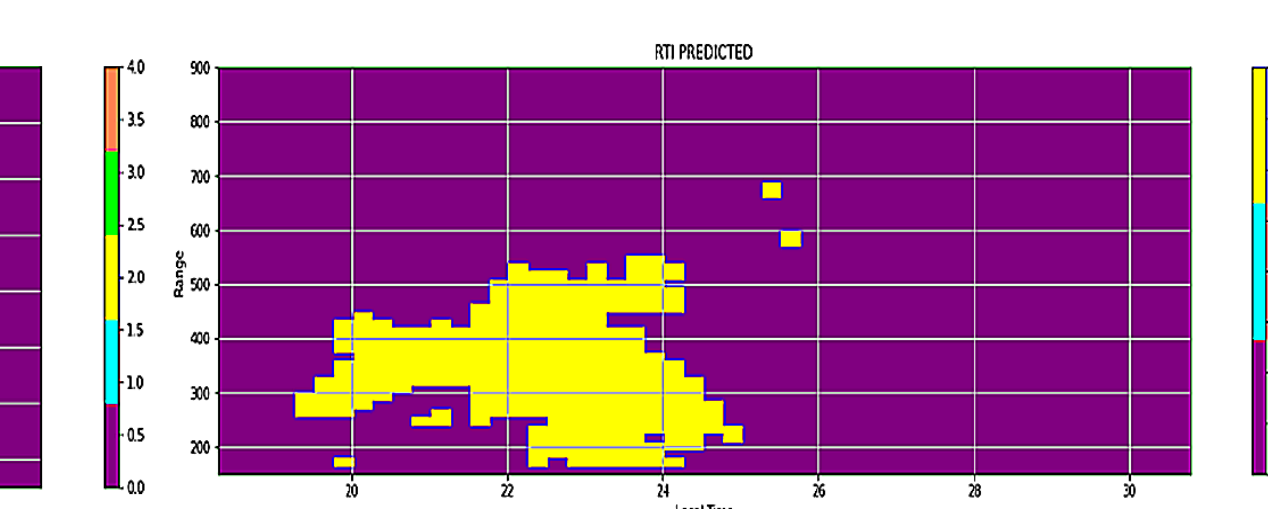


Figure 17: NN prediction.

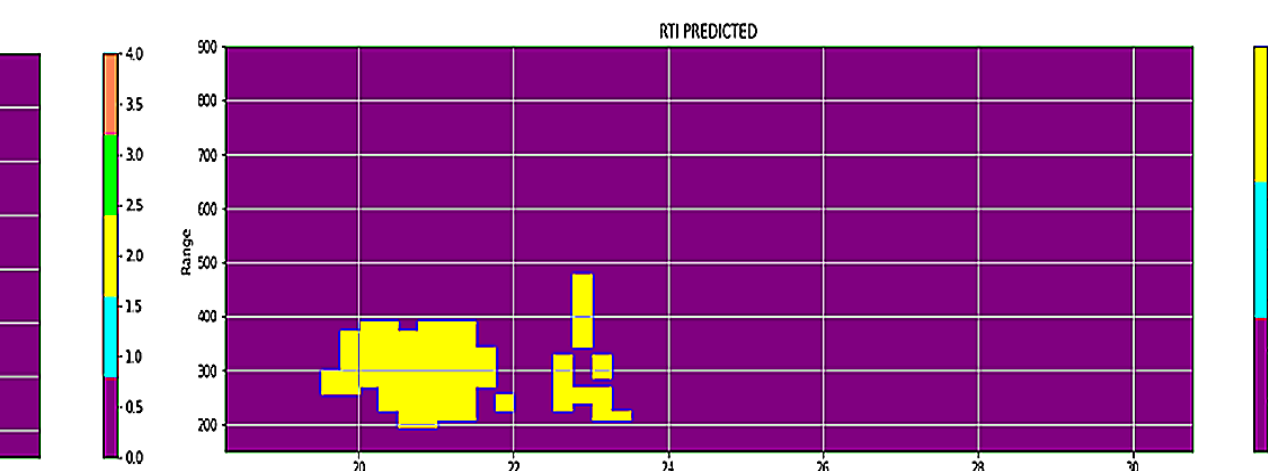


Figure 21: NN prediction.

Discussions and Conclusions

- Three machine learning algorithms are tested for ESF classification. XGBoost (93.41%) reach better results than Random Forest (91.75%) and Neural Network (84.87%) using the test data set. However, during the model application, Random Forest shows better results.
- On the other hand, we can observe that the models tend to predict radar plumes and bottomside. For instance, the NN application results are biased mainly to the bottomside class. This behavior is caused by the unbalanced data per class. Therefore, we need to add more bottom-type and E-echo data to improve the results.
- Future work will focus on using a Convolutional Neural Network since it considers the morphology of the data. Moreover, other upper atmospheric parameters at the equatorial region can be added as features.

References

- [1] Hysell, D. L., & Burcham, J. D. (1998). JULIA radar studies of equatorial spread F. Journal of Geophysical Research, 103(A12), 29,155– 29,167. <https://doi.org/10.1029/98JA02655>.
- [2] Hysell, D. L. (2000). An overview and synthesis of plasma irregularities in equatorial spread F. Journal of Atmospheric and Solar-Terrestrial Physics, 62 (12), 1037-1056. [https://doi.org/10.1016/S1364-6826\(00\)00095-X](https://doi.org/10.1016/S1364-6826(00)00095-X)
- [3] Hysell, D. L., & Burcham, J. D. (2002). Long term studies of equatorial spread F using the JULIA radar at Jicamarca. Journal of Atmospheric and Solar-Terrestrial Physics, 64 (12-14), 1531-1543. [https://doi.org/10.1016/S1364-6826\(02\)00091-3](https://doi.org/10.1016/S1364-6826(02)00091-3)
- [4] Zhan, W., F. S. Rodrigues, and M. A. Milla. 2018. "On the Genesis of Postmidnight Equatorial Spread F: Results for the American/ Peruvian Sector." Geophysical Research Letters 45(15): 7354-7361, <https://doi.org/10.1029/2018GL078822>

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