5 6 <sup>1</sup>Department of Earth Sciences, Montana State University, Bozeman, Montana, 59717, USA. 7 <sup>2</sup>GeoSpatial Core Facility, Montana State University, Bozeman, Montana, 59717, USA. 8 <sup>3</sup>Department of Environmental Health Sciences, Mailman School of Public Health, Columbia 9 University, New York, New York, 10032, USA. 10 <sup>4</sup>Department of Geography and Atmospheric Science, University of Kansas, Lawrence, KS, 66045, USA. 11 12 <sup>5</sup>Joint Institute for Regional Earth System Science and Engineering, University of California, 13 Los Angeles, Los Angeles, California, 90095, USA. 14 \* Correspondence to: robbie.parks@columbia.edu and cascade.tuholske1@montana.edu 15

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Trends and disparities of hazardous heat exposure among incarcerated people in the

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**United States** 

Anne E. Nigra<sup>3</sup>, Robbie M. Parks<sup>3\*</sup>

Date received: December 19th, 2023

19 The ~2 million incarcerated people in the United States face growing heat-related health **Deleted:** Incarcerated Deleted: US are at high risk for 20 risks. We evaluated exposure to potentially hazardous heat for 4,078 continental US Deleted: illness and death. However, a comprehensive assessment of heat conditions at US 21 carceral facilities during 2016-2020. We found that state-run carceral facilities in Texas carceral facilities is required. Here, we **Deleted:** recent 22 and Florida accounted for 52% of total exposure, despite holding 12% of all incarcerated Deleted: trends 23 people. Further, the number of hot days per year increased during 1982-2020 for 1,739 Deleted: - defined as number of days annually the maximum wet bulb globe temperature (WBGT<sub>max</sub>) exceeded 28°C - during 1982-2020 at 24 carceral facilities, primally located in the Southern US. We highlight the urgency for **Deleted:** holding ~2 million incarcerated people. On average, 25 enhanced infrastructure, health system interventions, and treatment of incarcerated Deleted: experienced 41.25 million person-days of exposure annually, with state prisons contributing 26 people, especially under climate change, 61%, and encountered 5.5 more potentially hazardous heat days annually compared to the 27 remainder of the US population. An estimated 915,627 people (45% of total) were incarcerated in 28 Incarcerated people in the United States (US) are at high risk for heat-related morbidity and 1,739 facilities with an increasing number of days per year WBGT<sub>max</sub> exceeded 28°C; southern facilities experienced the most rapid changes. Our 29 mortality due to their physical confinement, social isolation, and high rates of chronic mental findings Deleted: urgent need 30 and physical illnesses. Unlike the <u>large</u> majority of the <u>US</u> population, who have access to **Deleted:** reform in the air conditioning (central and any air conditioning equipment). — the most effective individual-31 Deleted: as **Deleted:** intensifies hazardous heat exposure 32 level intervention to mitigate heat exposure<sup>1</sup> – many of the 2 million incarcerated people<sup>5</sup> are Deleted: mortality<sup>1-3</sup> Deleted: 4 in the 44 states that do not universally provide air conditioning in carceral facilities. 6.7 33 Deleted: vast 34 **Deleted:** United States Deleted: 5 35 Identifying where incarcerated people are exposed to hazardous heat conditions is fundamental Deleted: people<sup>6</sup> 36 to advancing environmental justice for one of the most marginalized and disempowered Deleted: .8 **Deleted:** United States 37 communities in the US.3 Yet researchers and policymakers have largely overlooked how heat Deleted: impacts incarcerated people, <sup>3,8,9</sup> in part due to perceptions that their physical suffering is Deleted: , 10 38 **Deleted:** United States 39 justified.<sup>3</sup> As climate change accelerates, the US will experience more frequent, intense, and **Deleted:** waves<sup>11</sup> Deleted: 40 longer heat waves that may disproportionately affect incarcerated people.<sup>8</sup> While previous work has assessed how heat impacts incarcerated people in the United States,2 there is a 41 critical need to quantify potentially hazardous heat conditions at carceral facilities. 9, 10 Without this 42 Here, we evaluate recent exposure to and the trends of potentially hazardous heat conditions knowledge, the impact of more frequent periods of elevated heat<sup>11</sup> on incarcerated people cannot be contextualized nor framed against future climate during 1982-2020 for all 4,078 operational and populated carceral facilities (referring to 43 projections. Identifying where incarcerated peop ... [1] Deleted: -

109 prisons, jails, immigration detention facilities, and other carceral facilities) in the continental 110 US (Methods, Supplementary Information). We define potentially hazardous heat as the 111 number of days per year where the indoor maximum wet bulb globe temperature (WBGT<sub>max</sub>) exceeds 28°C, the threshold defined by the US National Institute for Occupational Safety and 112 113 Health (NIOSH) for acclimated populations to limit humid heat exposure under moderate 114 workloads (234–349 W). 10 115 116 During 2016-2020, there were, on average, 41.3 million person-days of heat exposure annually 117 at carceral facilities in the US. State prisons accounted for 61% (24.5 million person-days) of total exposure (Figure 1a), followed by county jails (11.1 million person-days; 27%). The 118 119 estimated 145,240 people in Texas and 98,941 in Florida housed in state-run carceral facilities 120 in 2018 12% of all incarcerated people in the US, accounted for 52% of total exposure 121 (Figure 1a). At 118 carceral facilities, largely in southern California, Arizona, Texas, and 122 inland Florida, experienced on average, 75 days or more per year where WBGT<sub>max</sub> exceeded 123 28°C (Figure 1b). Air conditioning in carceral facilities in these states is spotty or relies on a 124 less effective cooling system like evaporative cooling where air conditioning even exists 6.7 125 Across all <u>US</u> carceral facilities, the Starr County Jail, a county facility in Rio Grande, TX, that 126 held 249 people in 2018, experienced the largest number of day per year WBGT<sub>max</sub> exceeded 127 28°C on average during 2016, 2020 (126.2 days per year). We include additional analyses by 128 further carceral facility types in the Supplementary Information (Supplementary Figures 3 -129 4). 130 131 During 1982-2020, carceral facility locations were, on average, exposed to 5.5 more days per 132 year where WBGT<sub>max</sub> exceeded 28°C annually compared to locations without carceral facilities

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**Deleted:** <sup>12</sup> WBGT is a heat stress metric widely used in environmental epidemiology to assess associations between heat and human health across a range of contexts. <sup>13,14</sup> WBGT accounts for the non-linear interactions between air temperature, humidity, air speeds, and solar radiation. <sup>15</sup> But given that incarcerated people spend the vast majority of their time indoors and thus solar radiation is negligible, here we estimate indoor, or shaded, WBGT<sub>max</sub> (Supplementary Information). <sup>15</sup> Exposure is defined as the number of days per year that WBGT<sub>max</sub> exceeded 28°C multiplied by the total estimated incarcerated population exposed (person-days per year). <sup>16</sup>

Deleted: Our objectives are to (1) characterize recent heat exposure at each carceral facility location and by facility type and state; (2) measure how heat exposure at carceral facility locations compares with the rest of the population nationally and by state; and (3) calculate the changes in the number of days per year WBGT<sub>max</sub> exceeded 28°C at carceral facilities since the 1980s. For objectives (1) and (2), we focus on recent years (2016 − 2020) because we are interested in the current heat exposure. For objective (3), we focus on the entire 1982 − 2020 period because we are interested in long-term trends. The underlying, carceral facility-level daily WBGT<sub>max</sub> records during 1982 − 2020 and the derived data used in our analysis are publicly available (Data and Code Availability). ¶

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(Figure 2a). However, there was a considerable amount of variation by year, with a maximal

disparity of 9.8 more days at carceral facilities than locations without carceral facilities in 1998 and a minimal disparity of 3.5 days in 1994. Arizona, California, and Nevada ranked as the top three states with the greatest exposure disparities (Figure 2a). Carceral facilities in Arizona experienced 13.1 more days per year than the rest of the state and 40.9 more days compared to the entire continental United States during 1982, 2020 on average. Statistics comparing the Deleted: - 2020 on average. characteristics of incarcerated and non-incarcerated people are found in Supplementary Tables 1 and 2. In 2018, 915,627 people in the United States, 45% of the estimated total incarcerated Deleted: An estimated population, were housed in 1,739 carceral facilities with an annual increase in the number of days per year WBGT<sub>max</sub> exceeded 28°C during 1982-2020 (Figure 2b). These facilities are Deleted: primarily located in the Southern US, which faced the greatest number of potentially hazardous **Deleted:** United States heat days per year since 1982 (Figure 2b). Carceral facilities in Florida experienced on-average **Deleted:** At the state level, carceral 22.1 more days in 2020 compared to 1982, the greatest increase in humid heat days for all continental states, consistent with previous work finding that the largest relative increases in heat stress are expected at latitudes closer to the equator, The greatest overall increase, relative Deleted: 18 **Deleted:** in number of humid heat days to the state was for Webb County Jail, TX, with 58.7 more days than the rest of Texas in 2020 compared with 1982 (Figure 2c). We also present results from Figures 1 and 2 with alternative thresholds of 26°C and 30°C (Supplementary Figures 5 - 8). The majority of carceral facilities in the Southern US have experienced a rapid increase in **Deleted:** United States potentially hazardous heat exposure since the 1980s and are located in states that do not have mandatory conditioning access for state-run institutions. 6.7 While physically this rapid increase **Deleted:** indoor temperature requirements Deleted: .8 in heat exposure is a result of anthropogenic climate change, land-cover and land-use change, Deleted: both Deleted: chance and including an urban heat island effect caused by the materials used to construct carceral

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215	facilities, this geographic disparity reflects state-level criminal justice policies, as Southern		Deleted: ,16	)
216	states have the highest imprisonment rates in the <u>US</u> (though not necessarily highest jailing	************	<b>Deleted:</b> United States	)
217	rates 13 and the inherent differential effects of climate change. Throughout the country,		<b>Deleted:</b> ), <sup>17,19</sup>	)
218	including in the Northeast and Midwest, many locations with carceral facilities also			
219	experienced an increasing number of days WBGT exceeded 28°C compared to other locations.			
220	This continuing intensification limits the effectiveness of heat-mitigation plans (if they exist at			
221 222	all) at non-air-conditioned facilities, 11		Deleted: 17	)
223	That we found carceral facilities are systematically exposed to an increasing number of			
224	potentially hazardous heat days compared to other areas of the <u>US</u> is plausible for several		<b>Deleted:</b> United States	)
225	reasons. First, carceral facilities are often built where there is availability of low-cost land and			
226	limited resistance of local communities $\frac{14}{v}$ In many states, areas that meet these criteria are in		Deleted: 20	)
227	sparsely populated desert or swampy environments $\frac{5}{2}$ Zoning laws in urban environments and		Deleted: 6	)
228	security issues also favor construction in isolated, desert-like areas 44 The lack of disparity we		Deleted: 20	)
229	identify in Florida is an exception likely due to the north-south climate gradient, with a relative			
230	dearth of carceral facilities in the most hot-humid, but economically wealthy and densely			
231	populated southern tip. We found that the top-four most exposed states to potentially hazardous			
232	heat days per year were Texas, Florida, Arizona, and Louisiana, all of which do not provide			
233	universal air conditioning to all their prisons, potentially creating a double burden of increased		Deleted: 21	)
234	exposure and vulnerability.			
235				
236	Incarcerated people have few options to reduce the impact of hazardous heat <sup>3,7,9</sup> and these		Deleted: heat 3,	)
237	marginalized communities are often disproportionately susceptible to the effect of heat	-	Deleted: ·10	)
238	exposure given preexisting health conditions. An estimated 43% of the state prison population			
239	has a previous mental health <u>diagnosis<sup>15</sup></u> and people on psychotropic medications are at		Deleted: diagnosis <sup>22</sup>	)

252	increased risk for heat illness. Exposure to elevated heat can also cause both acute health	(	Deleted: 10,23
253	effects, such as heat stroke or mortality, and long-term damage. For example, chronic		
254	dehydration strains kidney function and those with chronic heat exposure have been shown to		
255	have higher rates of kidney disease. Such vulnerabilities are especially relevant given		Deleted: 13
256	restrictive prison policies with respect to drinking water and other potential heat-adaptation		
257	tools 3	-(	Deleted: <sup>24</sup>
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258			
259	Though there have been recent declines, the incarcerated population of the <u>US</u> has in increased		<b>Deleted:</b> United States
260	by 500% over the past four decades, 18 People of color are overrepresented in carceral facilities	(	Deleted: <sup>25</sup>
261	and compose an estimated two-thirds of the total incarcerated population. The prison		<b>Deleted:</b> have consistently been
262	population is also aging, with 1 in 7 serving life in prison, potentially resulting in potentially		Deleted: <sup>26</sup>
263	greater heat vulnerability to those incarcerated. Structural racism manifests in persistently		
264	higher proportions and rates of incarcerated people being people of color, 20 Acknowledging	(	Deleted: <sup>27</sup>
265	and accounting for the role structural racism plays in incarceration, is critical to understand both		<b>Deleted:</b> communities of color
266	key vulnerabilities to heat as well as contextualizing solutions to exposure to heat. Appropriate		
267	preparation for periods of elevated heat is also critical. For example, seasonal forecasts could		
268	help facilities prepare for summer heat waves to reduce the impacts of hazardous conditions		
269	for incarcerated communities.		
270			
271	Our work highlights how incarcerated populations in the <u>US</u> are systematically exposed to	(	<b>Deleted:</b> United States
272	potentially hazardous heat with the greatest exposure and rates of increase concentrated in		
273	state-run institutions. Federal, state, and local laws mandating safe temperature ranges,		
274	enhanced social and physical infrastructure, and health system interventions could mitigate the		
275	effect of hazardous heat. Underlying this is the need for a fundamental overhaul to the		<b>Deleted:</b> heat exposure on this underserved and overburdened group.
1 276	perception and treatment of incarcerated people in environmental public health policy and		overourdened group.

regulatory action. Further work is critical to comprehensively characterize the vulnerability of the United States incarcerated population to heat, as well as how heat impacts health, to build reliable and validated datasets of cooling mechanisms in prisons and jails, to directly measure indoor temperatures in prisons and jails, and to deploy adaptation measures to mitigate the worst impacts of climate-related stressors. Doing so is critical to environmental justice, particularly for incarcerated people with limited social and political agency.

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## Methods

We assigned daily WBGT<sub>max</sub> estimates to 4,078 carceral facility locations for the United States during 1982 - 2020. WBGT<sub>max</sub> is constructed from high-resolution (4 km) daily maximum 2m air temperatures ( $T_{max}$ ) and maximum vapor pressure deficit ( $VPD_{max}$ ) from the PRISM dataset. T<sub>max</sub> and  $VPD_{max}$  are used to construct daily maximum heat index ( $HI_{max}$ ) following the US National Weather Service's procedure, which is converted to indoor, or shaded, WBGT<sub>max</sub> using a quadratic transform that assumes fixed wind speeds (0.5 m s<sup>-1</sup>) and no radiated heat (Supplementary Information). Facility location and population data is from Homeland Infrastructure Foundation-Level Data (HIFLD), produced by the Department of Homeland Security.

We then define potentially hazardous heat frequency as the number of days per year where the maximum wet bulb globe temperature (WBGT<sub>max</sub>) exceeded 28°C, the threshold used by the US National Institute for Occupational Safety and Health (NIOSH) for acclimated populations to limit heat exposure under moderate workloads (234–349 W)<sup>4</sup> and it is used widely in environmental epidemiological research.<sup>5-6</sup> Exposure during 2016 - 2020 is measured by multiplying the number of incarcerated people housed at each carceral facility in 2018 by the average number of days WBGT<sub>max</sub> exceeded 28°C per year during 2016 - 2020. Annual disparity between incarcerated and locations without carceral facilities is measured by taking the population-weighted difference between the number of days WBGT<sub>max</sub> exceeded 28°C at the location of a facility and the rest of the state. Population weighting fairly reflects the experience of a population to heat stress. To measure the annual rate of change in annual heat exposure, we fit linear regressions to the count of days WBGT<sub>max</sub> exceeded 28°C per year for each facility. For a more detailed explanation of methods, see the online Supplementary Information.

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 temperature exposure and kidney-related conditions in New York State: The influence
 of temperature metrics across four dimensions. Environment International, 173, 107783.

498	Data availability	
499	Daily 4-km PRISM data during 1982-2020 and HIFLD data are freely available at	Deleted: from
500	https://prism.oregonstate.edu/recent/ and https://hifld-geoplatform.opendata.arcgis.com,	Deleted: to
501	respectively. National Center for Health Statistics (NCHS) bridged-race dataset (Vintage 2020)	
502	is available during 1990-2020 https://www.cdc.gov/nchs/nvss/bridged_race.htm and from the	Deleted: from
503	US Census Bureau before 1990 https://www.census.gov/data/tables/time-	Deleted: to
504	series/demo/popest/1980s-county.html.	
505		
506	Code availability	
507	All code to reproduce this work, as well as underlying daily $WBGT_{max}$ for each carceral facility	
508	during 1982 <sub>x</sub> 2020 and analytical products used here, are freely available at	Deleted: -
509	https://github.com/sparklabnyc/temperature_prisons_united_states_2024.	<b>Deleted:</b> [Github link provided upon publication].
510		
511	<u>Correspondence</u>	
512	Correspondence should be addressed to Robbie M. Parks (robbie.parks@columbia.edu) and	
513	Cascade Tuholske (cascade.tuholske 1@montana.edu)	
514		
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521	Institute of Environmental Health Sciences grant P30ES009089. R.S. was supported by the	

528	National Institute of Environmental Health Sciences grant T32 ES007322. R.M.P are V.D.L
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530	
531	Author contributions
532	C.T. and R.M.P. designed research; C.T., V.D.L., and R.M.P. performed research; C.T. and
533	R.M.P. contributed analytic tools; C.T., V.D.L, Y.A., C.R, and R.M.P analyzed data; and C.T.
534	V.D.L., R.S., A.E.N. and R.M.P wrote the paper with assistance from Y.A. and C.R.
535	
536	Competing interests statement
537	The authors have no competing interests to declare

Figure 1. Mean annual exposure during 2016<sub>z</sub>2020 to potentially hazardous heat in carceral facilities within the continental United States (N=4,078), measured by: (a) the number of person-days WBGT<sub>max</sub> exceeded 28°C for incarcerated people by state and carceral facility type; and (b) the number of days WBGT<sub>max</sub> exceeded 28°C for each carceral facility.

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544	Figure 2. (a) Population-weighted difference between the annual number of days WBGT <sub>max</sub>	
545	exceeded 28°C at the location of carceral facilities versus all other locations in the continental	
546	United States during 1982, 2020, overall and stratified by state, ordered by average population-	Deleted: -
547	weighted difference, (b) the total change in the number of number of days $WBGT_{max}$ exceeded	
548	28°C per year for each carceral facility in the continental United States during 1982_2020, and	Deleted: -
549	(c) the total change in disparity in number of number of days WBGT $_{max}$ exceeded 28°C per	
550	year for each carceral facility in the continental United States, compared with the rest of the	
551	state the carceral facility is located, during 1982, 2020.	Deleted: -