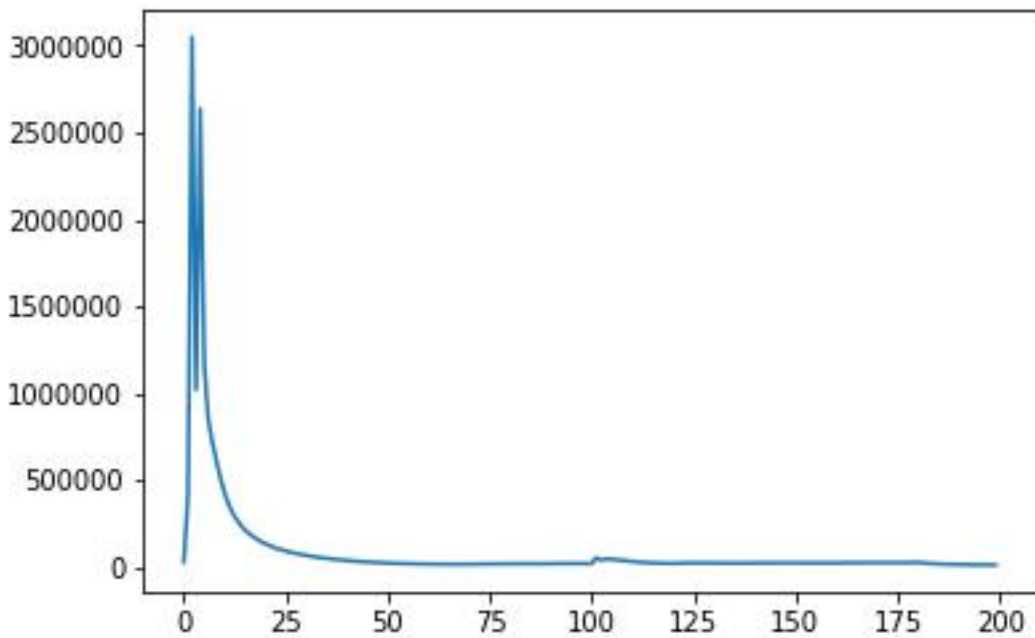


1.5. validation accuracy:

	Finetune	freeze
On pretrain	0.3529	0.3529
After training	0.9281	0.9477

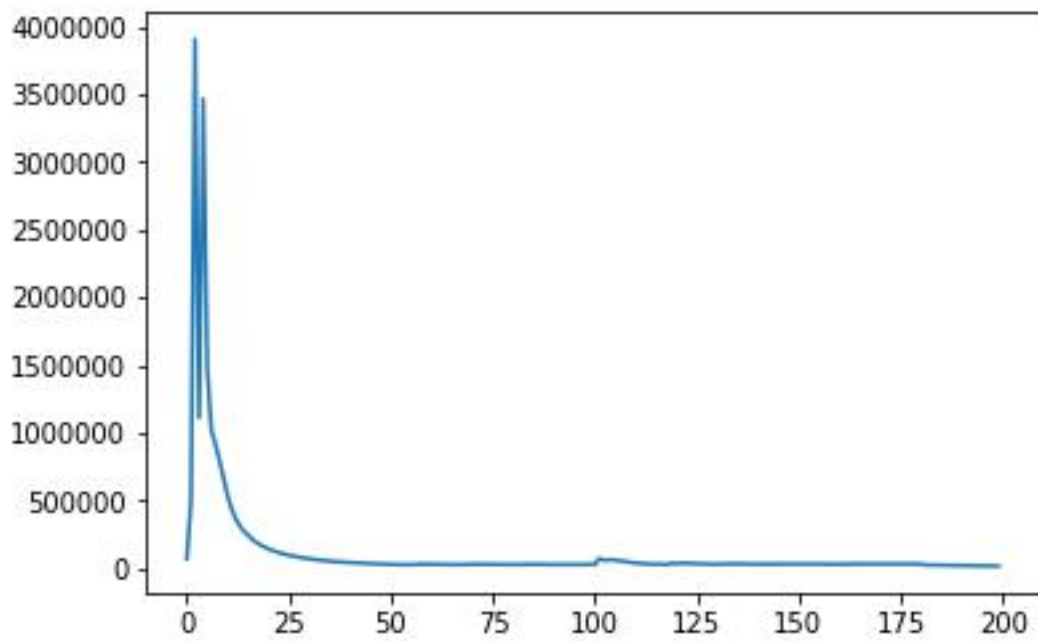
2.4

Composition VII + Tübingen:



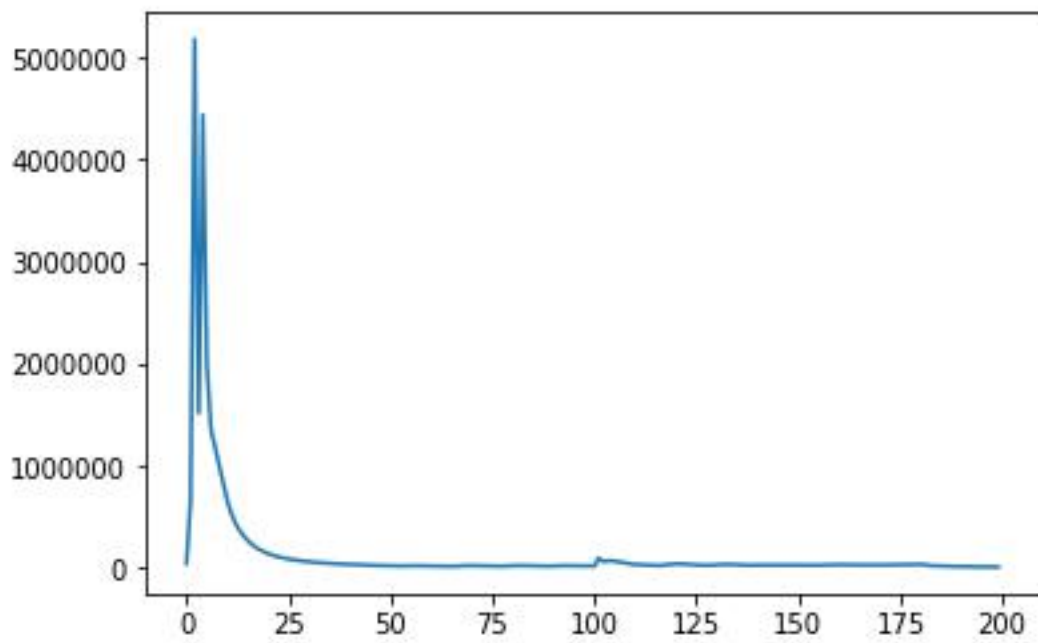
Yuanhang Luo

Scream + Tübingen:



Yuanhang Luo

Starry Night + Tubingen:



3.2 Let  $[1 - h_{t_i}^2]$  denote a vector with the i-th element equals to  $1 - h_{t_i}^2$ .

\* means element wise product.

$$\frac{\partial L}{\partial b_i} = \frac{\partial L}{\partial h_{t_i}} \frac{\partial h_{t_i}}{\partial b_i} = \frac{\partial L}{\partial h_{t_i}} (1 - h_{t_i}^2). \quad \text{So, } \frac{\partial L}{\partial b} = \frac{\partial L}{\partial h_t} * [1 - h_{t_i}^2]$$

$$\frac{\partial L}{\partial x_{t_i}} = \frac{\partial L}{\partial h_{t_i}} \frac{\partial h_{t_i}}{\partial x_{t_i}} = \frac{\partial L}{\partial h_{t_i}} (1 - h_{t_i}^2) W_{x_i} \quad \text{So, } \frac{\partial L}{\partial x_t} = W_x^T ([1 - h_{t_i}^2] * \frac{\partial L}{\partial h_t})$$

$$\frac{\partial L}{\partial h_{t-1_i}} = \frac{\partial L}{\partial h_{t_i}} \frac{\partial h_{t_i}}{\partial h_{t-1_i}} = \frac{\partial L}{\partial h_{t_i}} (1 - h_{t_i}^2) W_{h_i} \quad \text{So, } \frac{\partial L}{\partial h_{t-1}} = W_h^T ([1 - h_{t_i}^2] * \frac{\partial L}{\partial h_t})$$

$$\frac{\partial L}{\partial W_{x_i}} = \frac{\partial L}{\partial h_{t_i}} \frac{\partial h_{t_i}}{\partial W_{x_{ij}}} = \frac{\partial L}{\partial h_{t_i}} [1 - h_{t_i}^2] x_{t_j} \quad \text{So, } \frac{\partial L}{\partial W_x} = (\frac{\partial L}{\partial h_t} * [1 - h_{t_i}^2]) x_t^T$$

$$\frac{\partial L}{\partial W_{h_i}} = \frac{\partial L}{\partial h_{t_i}} \frac{\partial h_{t_i}}{\partial W_{h_{ij}}} = \frac{\partial L}{\partial h_{t_i}} [1 - h_{t_i}^2] h_{t-1_j} \quad \text{So, } \frac{\partial L}{\partial W_h} = (\frac{\partial L}{\partial h_t} * [1 - h_{t_i}^2]) h_{t-1}^T$$

3.4 For each timestep:

Recursively define:  $\frac{\partial L}{\partial \tilde{h}_t} = \frac{\partial L}{\partial h_t} + \frac{\partial L}{\partial h_{t-1}}$ .

$$\frac{\partial L}{\partial h_{t-1}} = W_h^T ([1 - h_{t_i}^2] * \frac{\partial L}{\partial \tilde{h}_t}).$$

So at timestep t,  $\frac{\partial L}{\partial \tilde{h}_t} = \sum_{a=0}^{T-t} ((w_h^T)^{T-t-a} \frac{\partial L}{\partial h_{T-a}} \prod_{c=a}^{T-t-1} [1 - h_{(T-c)_i}^2])$ ,  $\Pi$  is element wise product here.

$$\text{So } \frac{\partial L}{\partial b} = \sum_{t=1}^T \frac{\partial L}{\partial \tilde{h}_t} * [1 - h_{t_i}^2]$$

$$\frac{\partial L}{\partial W_h} = \sum_{t=1}^T (\frac{\partial L}{\partial \tilde{h}_t} * [1 - h_{t_i}^2]) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_x} = \sum_{t=1}^T (\frac{\partial L}{\partial \tilde{h}_t} * [1 - h_{t_i}^2]) x_t^T$$

$$\frac{\partial L}{\partial h_0} = W_h^T ([1 - h_{1_i}^2] * \frac{\partial L}{\partial \tilde{h}_1})$$

$$\frac{\partial L}{\partial x_t} = W_x^T ([1 - h_{t_i}^2] * \frac{\partial L}{\partial \tilde{h}_t})$$

## 4.2

$\frac{\partial L}{\partial c_t}$  is the aggregate gradient for  $c_t$ .

$$\frac{\partial L}{\partial c_{t-1}} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial c_{t-1}} = \frac{\partial L}{\partial c_t} * f_t$$

$$\frac{\partial L}{\partial b^o} = \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial O_t} \frac{\partial O_t}{\partial b^o} = \frac{\partial L}{\partial h_t} * \tanh(C_t) * o_t * (1 - o_t)$$

$$\frac{\partial L}{\partial b^c} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial \tilde{c}_t} \frac{\partial \tilde{c}_t}{\partial b^c} = \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2)$$

$$\frac{\partial L}{\partial b^i} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial i_t} \frac{\partial i_t}{\partial b^i} = \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t)$$

$$\frac{\partial L}{\partial b^f} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial f_t} \frac{\partial f_t}{\partial b^f} = \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t)$$

$$\frac{\partial L}{\partial W_x^o} = \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial O_t} \frac{\partial O_t}{\partial W_x^o} = \left( \frac{\partial L}{\partial h_t} * \tanh(C_t) * o_t * (1 - o_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^c} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial \tilde{c}_t} \frac{\partial \tilde{c}_t}{\partial W_x^c} = \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^i} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial i_t} \frac{\partial i_t}{\partial W_x^i} = \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^f} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial f_t} \frac{\partial f_t}{\partial W_x^f} = \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_h^o} = \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial O_t} \frac{\partial O_t}{\partial W_h^o} = \left( \frac{\partial L}{\partial h_t} * \tanh(C_t) * o_t * (1 - o_t) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^c} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial \tilde{c}_t} \frac{\partial \tilde{c}_t}{\partial W_h^c} = \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^i} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial i_t} \frac{\partial i_t}{\partial W_h^i} = \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^f} = \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial f_t} \frac{\partial f_t}{\partial W_h^f} = \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial x_t} = \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial O_t} \frac{\partial O_t}{\partial x_t} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial \tilde{c}_t} \frac{\partial \tilde{c}_t}{\partial x_t} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial i_t} \frac{\partial i_t}{\partial x_t} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial f_t} \frac{\partial f_t}{\partial x_t}$$

$$= (W_x^o)^T \left( \frac{\partial L}{\partial h_t} * \tanh(C_t) * o_t * (1 - o_t) \right) + (W_x^c)^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) +$$

$$(W_x^i)^T \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) + (W_x^f)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right)$$

$$\begin{aligned}
\frac{\partial L}{\partial h_{t-1}} &= \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial O_t} \frac{\partial O_t}{\partial h_{t-1}} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial \tilde{c}_t} \frac{\partial \tilde{c}_t}{\partial h_{t-1}} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial i_t} \frac{\partial i_t}{\partial h_{t-1}} + \frac{\partial L}{\partial c_t} \frac{\partial c_t}{\partial f_t} \frac{\partial f_t}{\partial h_{t-1}} \\
&= (W_h^o)^T \left( \frac{\partial L}{\partial h_t} * \tanh(C_t) * o_t * (1 - o_t) \right) + (W_h^c)^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) + \\
&\quad (W_h^i)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) + (W_h^f)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right)
\end{aligned}$$

## 4.4

For each timestep:

Recursively define:  $\frac{\partial L}{\partial \tilde{h}_t} = \frac{\partial L}{\partial h_t} + \frac{\partial L}{\partial h_{t-1}}$

$$\begin{aligned}
\frac{\partial L}{\partial h_{t-1}} &= (W_h^o)^T \left( \frac{\partial L}{\partial \tilde{h}_t} * \tanh(C_t) * o_t * (1 - o_t) \right) + (W_h^c)^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) + \\
&\quad (W_h^i)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) + (W_h^f)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right)
\end{aligned}$$

$$\frac{\partial L}{\partial h_{t-1}} = W_h^T \left( [1 - h_{t_i}^2] * \frac{\partial L}{\partial \tilde{h}_t} \right).$$

So at timestep t,  $\frac{\partial L}{\partial \tilde{h}_t} = \sum_{a=0}^{T-t} ((W_h^T)^{T-t-a} \frac{\partial L}{\partial h_{T-a}} \prod_{c=a}^{T-t-1} [1 - h_{(T-c)_i}^2])$

So at timestep t,  $\frac{\partial L}{\partial \tilde{h}_t} = \sum_{a=0}^{T-t} (((W_h^o)^T)^{T-t-a} \frac{\partial L}{\partial h_{T-a}} \prod_{k=a}^{T-t-1} \tanh(C_{T-k}) * o_{T-k} * (1 - o_{T-k})), \quad \Pi$   
is element wise product here.

$$\frac{\partial L}{\partial b^o} = \sum_{t=1}^T \frac{\partial L}{\partial \tilde{h}_t} * \tanh(C_t) * o_t * (1 - o_t)$$

$$\frac{\partial L}{\partial b^c} = \sum_{t=1}^T \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2)$$

$$\frac{\partial L}{\partial b^i} = \sum_{t=1}^T \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t)$$

$$\frac{\partial L}{\partial b^f} = \sum_{t=1}^T \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t)$$

$$\frac{\partial L}{\partial W_x^o} = \sum_{t=1}^T \left( \frac{\partial L}{\partial \tilde{h}_t} * \tanh(C_t) * o_t * (1 - o_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^c} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^i} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_x^f} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) x_t^T$$

$$\frac{\partial L}{\partial W_h^o} = \sum_{t=1}^T \left( \frac{\partial L}{\partial \tilde{h}_t} * \tanh(C_t) * o_t * (1 - o_t) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^c} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^i} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) h_{t-1}^T$$

$$\frac{\partial L}{\partial W_h^f} = \sum_{t=1}^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) h_{t-1}^T$$

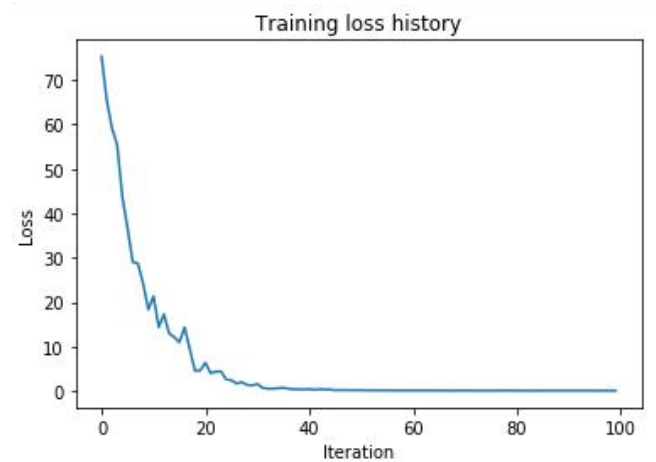
$$\begin{aligned} \frac{\partial L}{\partial x_t} &= (W_x^o)^T \left( \frac{\partial L}{\partial \tilde{h}_t} * \tanh(C_t) * o_t * (1 - o_t) \right) + (W_x^c)^T \left( \frac{\partial L}{\partial c_t} * i_t * (1 - \tilde{c}_t^2) \right) + \\ &\quad (W_x^i)^T \left( \frac{\partial L}{\partial c_t} * \tilde{c}_t * i_t * (1 - i_t) \right) + (W_x^f)^T \left( \frac{\partial L}{\partial c_t} * c_{t-1} * f_t * (1 - f_t) \right) \end{aligned}$$

$$\begin{aligned} \frac{\partial L}{\partial h_0} &= (W_h^o)^T \left( \frac{\partial L}{\partial \tilde{h}_1} * \tanh(C_1) * o_1 * (1 - o_1) \right) + (W_h^c)^T \left( \frac{\partial L}{\partial c_1} * i_1 * (1 - \tilde{c}_1^2) \right) + \\ &\quad (W_h^i)^T \left( \frac{\partial L}{\partial c_1} * c_0 * f_1 * (1 - f_1) \right) + (W_h^f)^T \left( \frac{\partial L}{\partial c_1} * c_0 * f_1 * (1 - f_1) \right) \end{aligned}$$

5.4.

In the prediction, I am **not** including <START> inside the predicted caption, because it is only initial state. And according to the code rnn.py, "The first element of captions should be the first sampled word, not the <START> token."

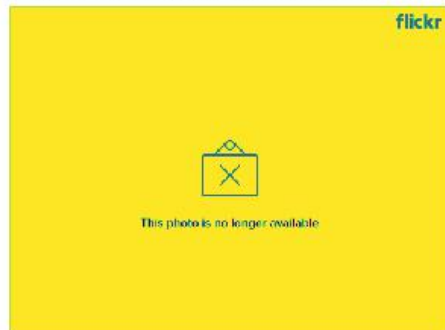
RNN:



train  
the snowboarder is doing tricks jumping in the air <END>  
GT:<START> the snowboarder is doing tricks jumping in the air <END>



train  
a bathroom with track <UNK> and a white toilet near a sink <END>  
GT:<START> a bathroom with track <UNK> and a white toilet near a sink <END>





val  
a red <UNK> with a <UNK> <UNK> in the the <END>  
GT:<START> an open luggage bag near a laptop <END>

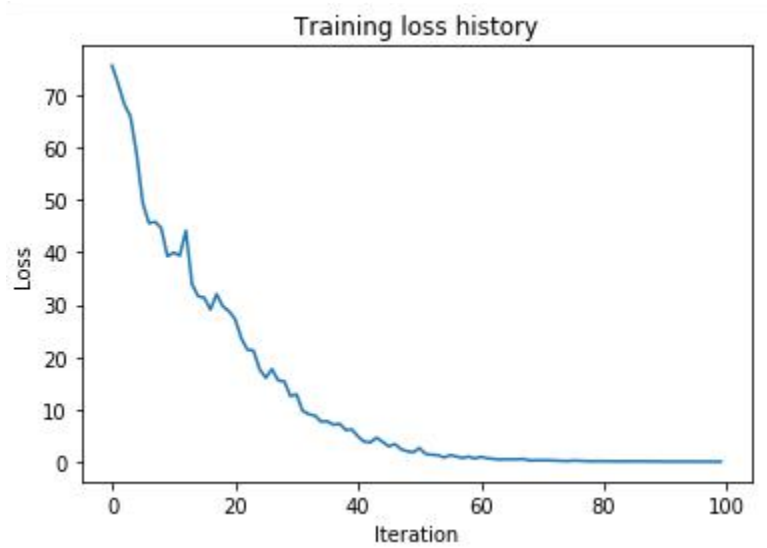


val  
a number of the mirror <END>  
GT:<START> the blue train engine <UNK> black smoke as it <UNK> down the track <END>



Yuanhang Luo

LSTM:



train  
the <UNK> of an empty restaurant decorated in wood and leather <END>  
GT:<START> the <UNK> of an empty restaurant decorated in wood and leather <END>



train  
a kitchen is <UNK> lit by the light above the stove <END>  
GT:<START> a kitchen is <UNK> lit by the light above the stove <END>



val  
a female is <UNK> in a tree <END>  
GT:<START> a lady with an apple <UNK> towards her <UNK> <END>



val  
a city street with traffic and tall buildings <END>  
GT:<START> a yellow school bus driving down a street with a red car <UNK> behind it <END>



5.5

I got a better model in image\_captioning\_better.py. With BLEU validation scores higher than 0.25 (in 5.4, the BLEU validation scores is around 0.15).

6. test performances:

1. 92.14%
2. 94.76%
3. 94.84%
4. 95.88%
5. 92.98%