

# **ECE 172A: Introduction to Image Processing Sampling and Acquisition of Images: Part II**

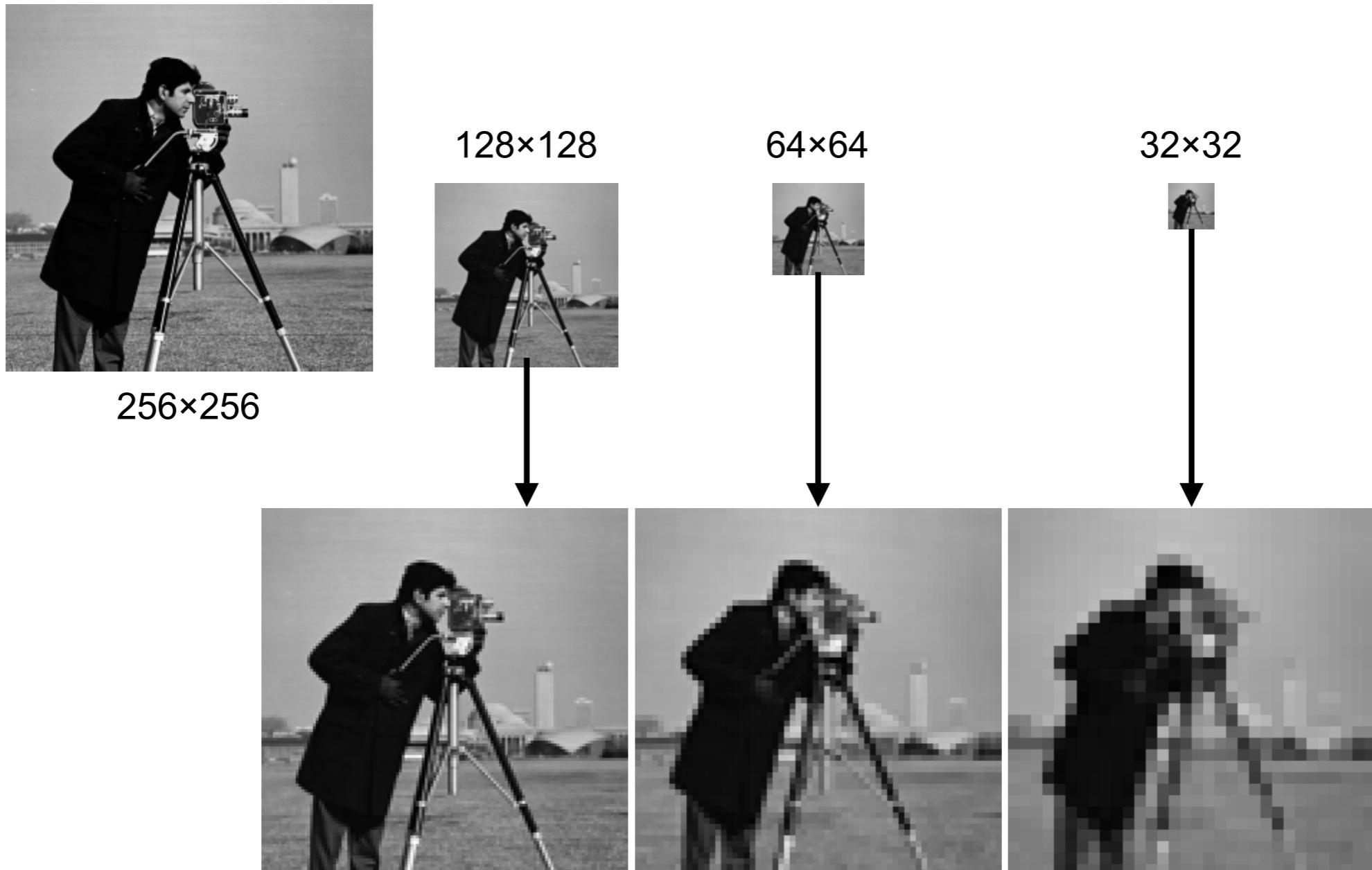
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# Outline

- Sampling Theory ✓
  - Review 1D Sampling Theory
  - Sampling in Two Dimensions
- Acquisition Systems ✓
  - Real Acquisition Systems
  - Aliasing Problems
- Image Quantization
  - Uniform Quantizer
  - Minimum-Error (Lloyd-Max) Quantizer
  - Grayscale vs. Spatial Resolution Tradeoff

# Effect of Reducing Spatial Resolution



There is a trade-off between number of gray levels and resolution

Quantization

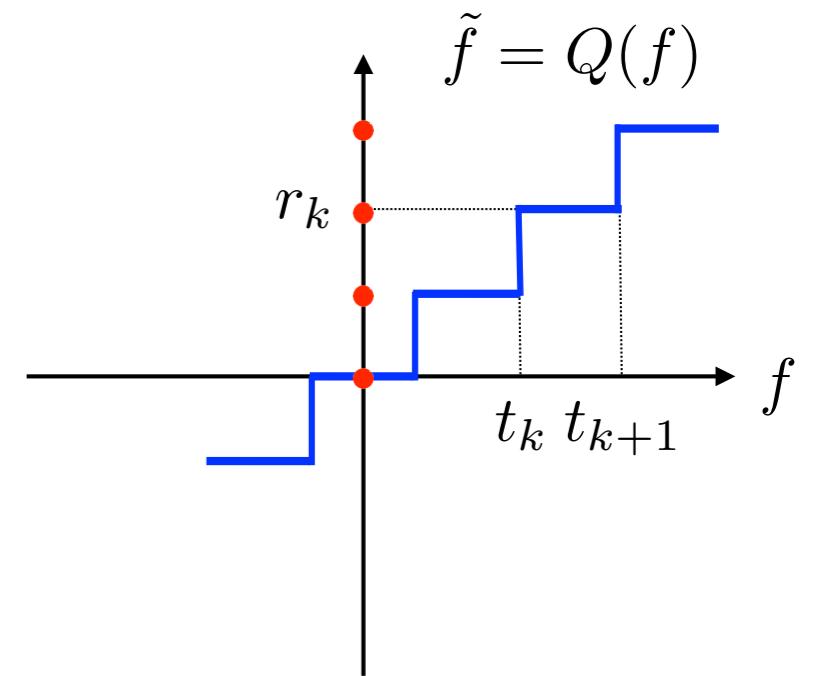
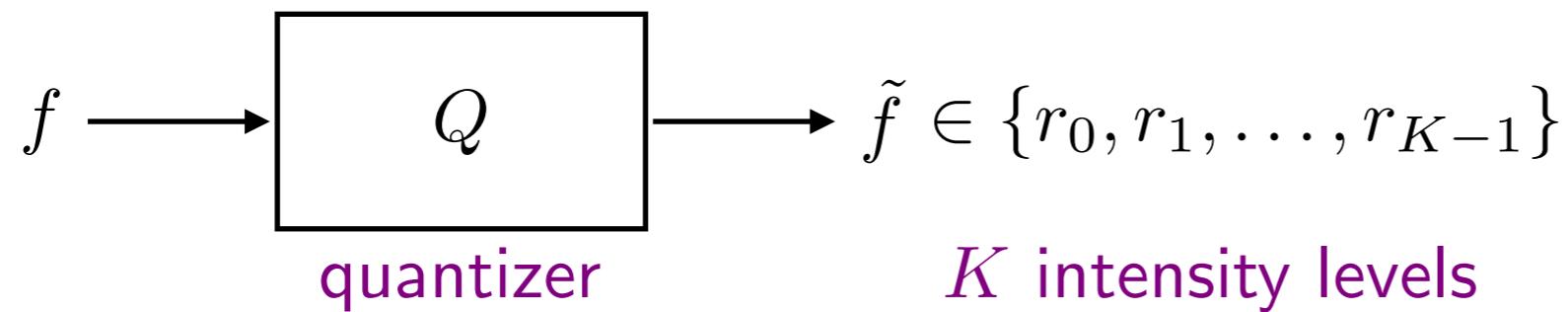
# Image Quantization

- Quantizer Specification
- Histogram
- Uniform Quantization
- Minimum-Error (Lloyd-Max) Quantizer
- Grayscale vs. Spatial Resolution Tradeoff
- Dithering

# Quantizer Specification

What even is a quantizer?

- Images have real-valued intensity values  $f = f(\mathbf{x}) \in \mathbb{R}$



- Quantization thresholds:  $t_k \quad k = 0, \dots, K$
- Quantized output:  $r_k \quad k = 0, \dots, K - 1$

$$\tilde{f} = Q(f) = r_k \iff f \in [t_k, t_{k+1})$$

**Exercise:** Come up with a 256 gray-level quantizer for images with grayscale intensity values  $f \in [0, 1]$ .

# Histograms: A Probabilistic Viewpoint

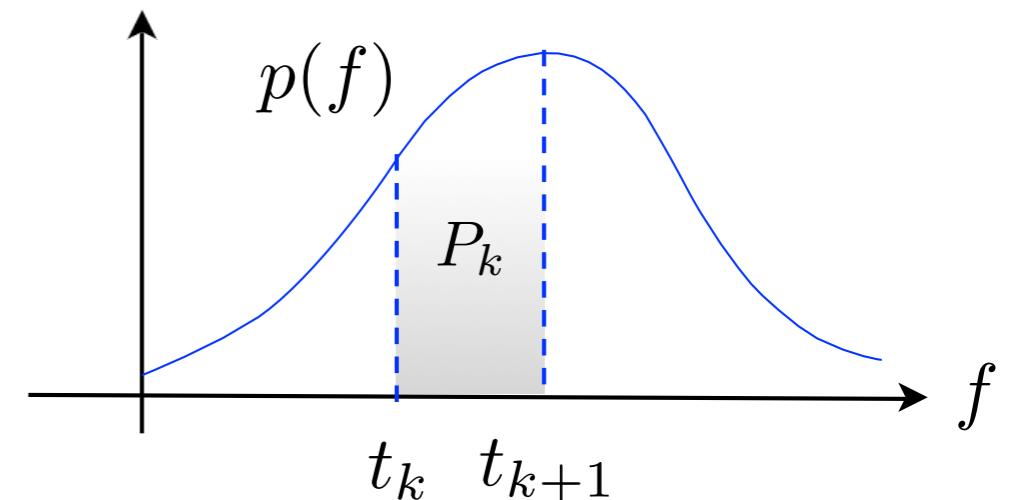
How do we know how to quantize?

Look at the **distribution** of gray levels

- Gray-level probability density function (p.d.f.)

$$p(f) = \lim_{\Delta \rightarrow 0} \left\{ \frac{1}{\Delta} \frac{\# \text{ of pixels with gray level } \in [f, f + \Delta)}{\# \text{ of total pixels}} \right\} \geq 0$$

- Normalization:  $\int_{-\infty}^{\infty} p(f) df = 1$
- Mean:  $\mu = \mathbf{E}[F] = \int_{-\infty}^{\infty} f p(f) df, \quad F \sim p(f)$
- Variance:  $\sigma^2 = \mathbf{E}[(F - \mu)^2] = \int_{-\infty}^{\infty} (f - \mu)^2 p(f) df, \quad F \sim p(f)$



What is the probability that a gray level is in  $[t_k, t_{k+1})$ ?

# Histograms: A Probabilistic Viewpoint (cont'd)

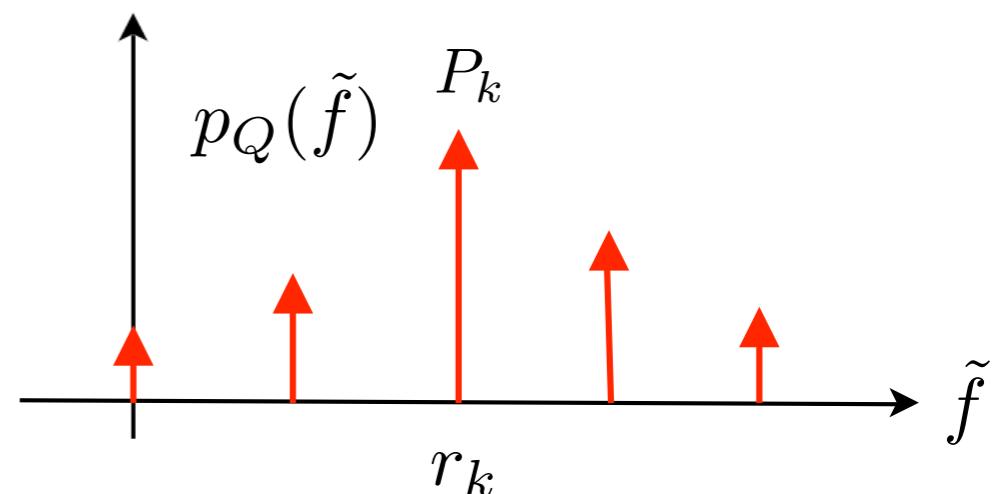
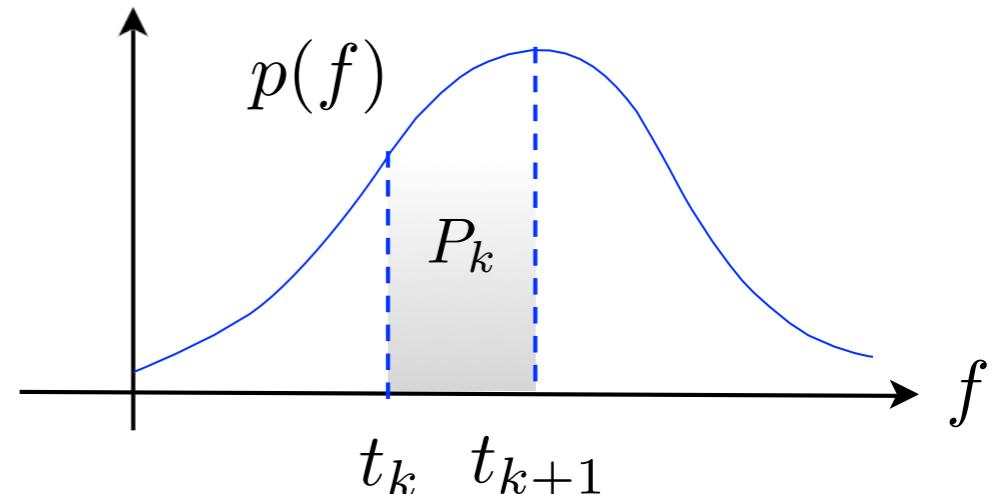
Given a quantizer  $Q$ , what is the corresponding **quantized histogram**?

If  $F \sim p(f)$ , what is the probability distribution of  $\tilde{F} = Q(F)$ ?

- Quantized histogram

$$p(f) \rightarrow p_Q(\tilde{f}) = \sum_{k=0}^{K-1} P_k \delta(\tilde{f} - r_k)$$

$$\text{where } P_k = \mathbf{P}(f \in \text{bin}_k) = \int_{t_k}^{t_{k+1}} p(f) df$$

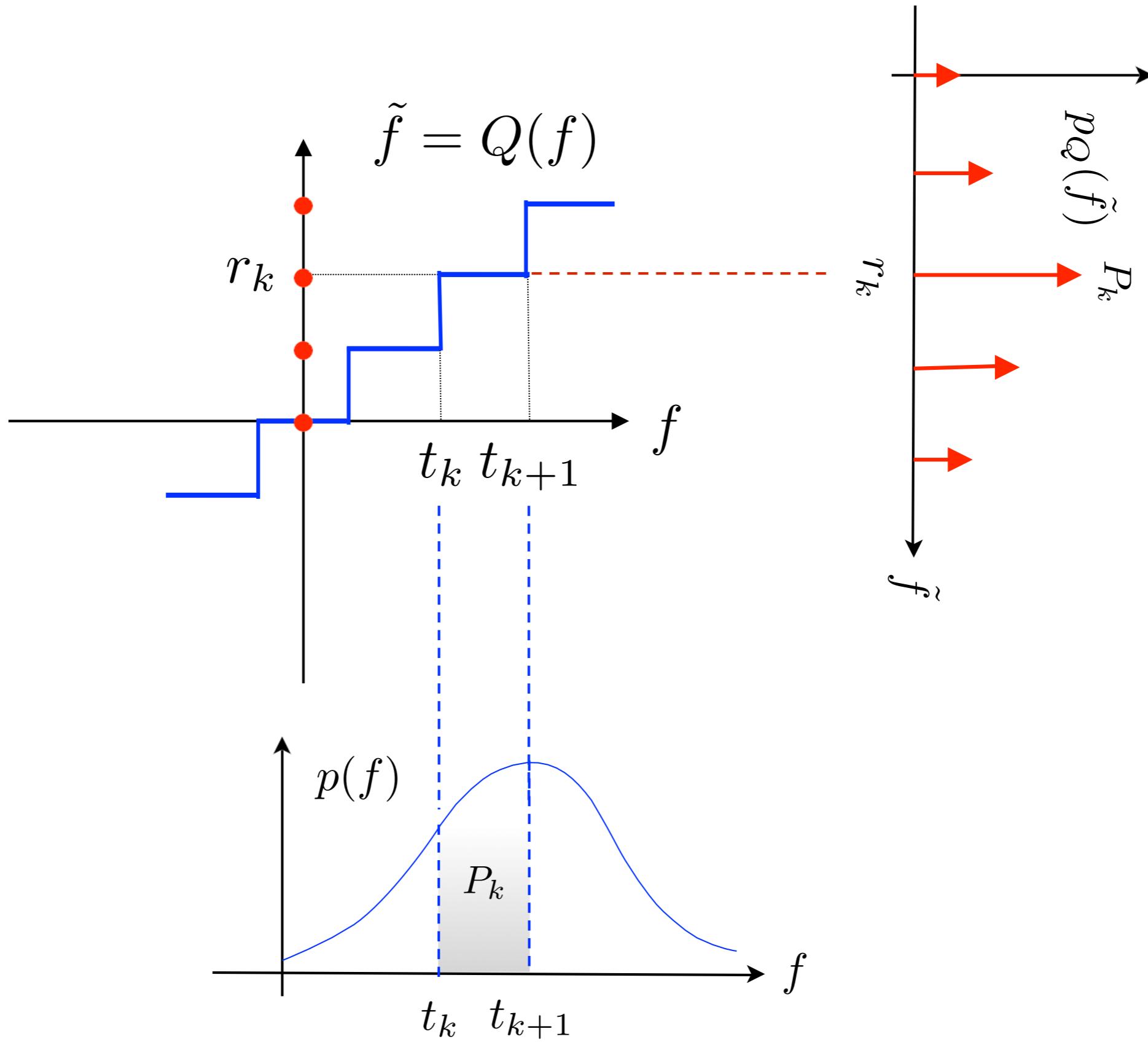


equivalent to a probability mass function (p.m.f.)

How can we measure the performance of a quantizer?

Mean-squared error:  
 $\mathbf{E}[(F - \tilde{F})^2], \quad F \sim p(f)$

# Histograms: A Probabilistic Viewpoint (cont'd)



# MSE Analysis of the Uniform Quantizer

- Setup

$$r_k = k \Delta + r_0$$

$$t_k = \frac{r_k + r_{k-1}}{2}$$

Typically:

- 0-255 (256 gray levels)
- 0-1 (binary)

Pixel budget:

- 8 bits
- 1 bit

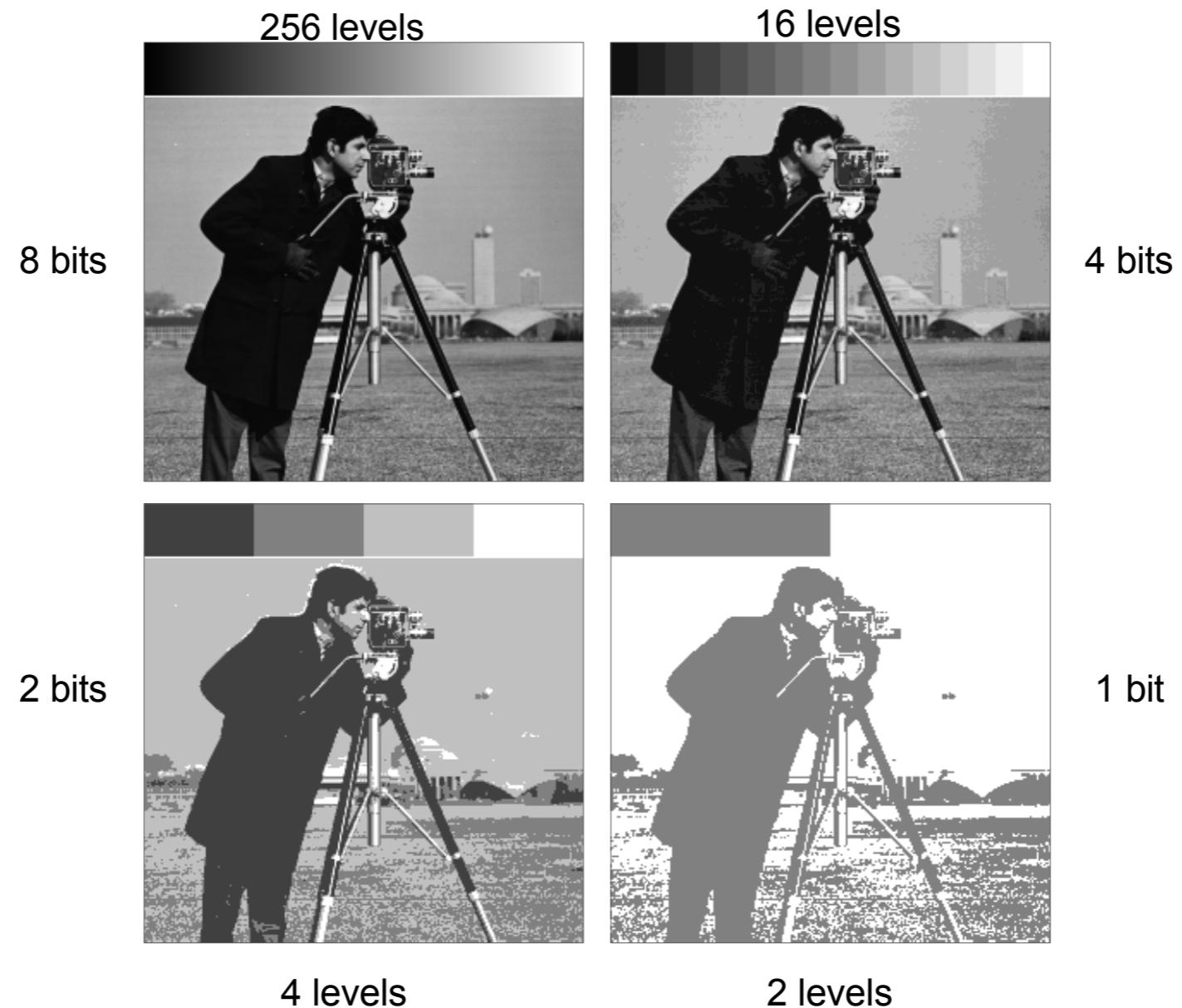
Human visual system can only distinguish about 60 gray levels (allegedly)

**Exercise:** Estimate the **quantization error**  $E[(F - \tilde{F})^2]$ ,  $F \sim p(f)$   
(You may assume that  $K$  is large)

$$E[(F - \tilde{F})^2] = \sum_{k=0}^{K-1} \int_{t_k}^{t_{k+1}} (f - r_k)^2 p(f) df \approx \sum_{k=0}^{K-1} \int_{-\Delta/2}^{\Delta/2} e^2 \frac{P_k}{\Delta} de = \frac{\Delta^2}{12}$$

large  $K$  hypothesis  
(high gray-level resolution)

# Example of Uniform Quantization



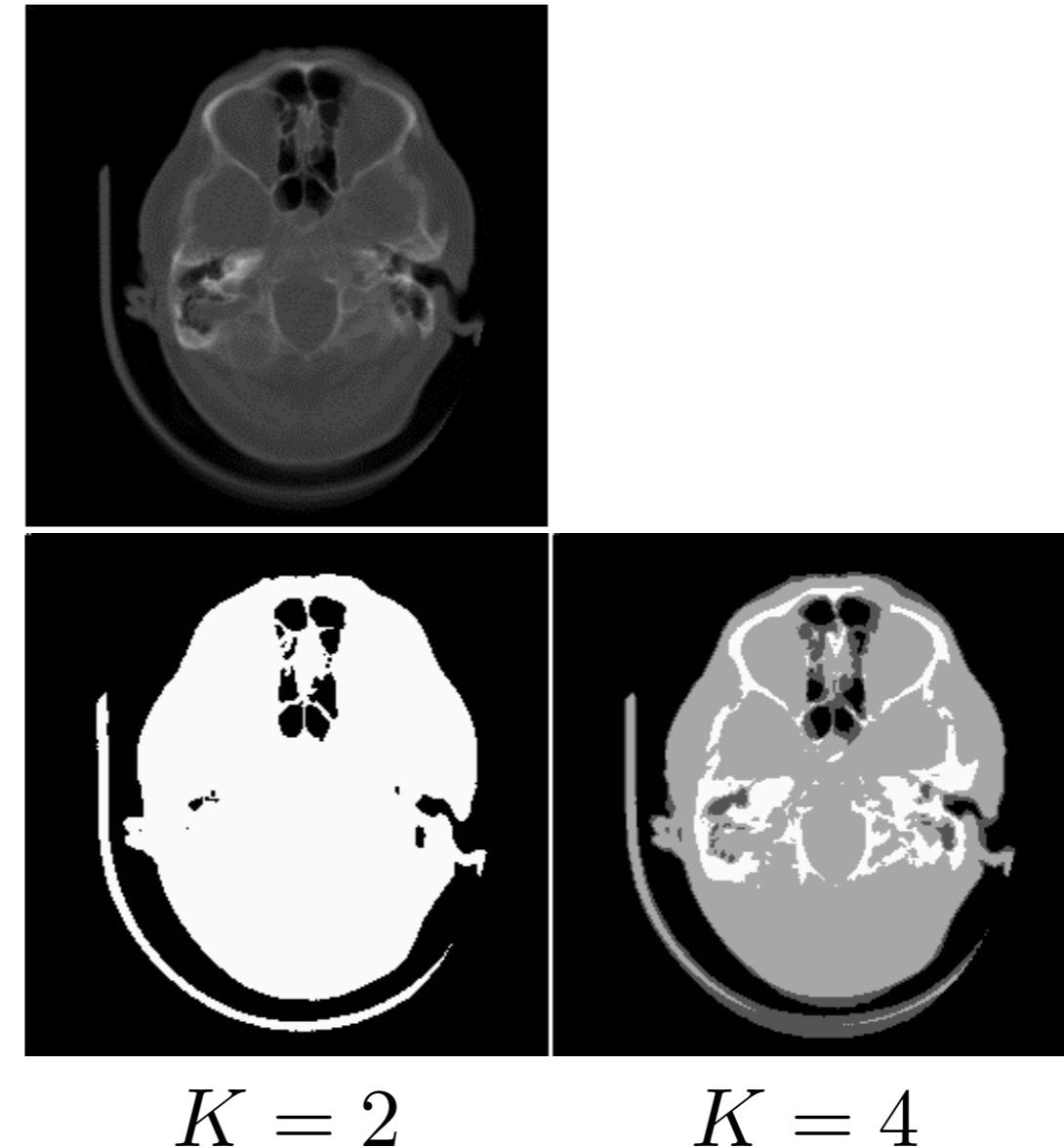
Can we do something **better**?

Nonuniform  
quantization?

Minimize the  
MSE directly?

# Nonuniform Quantization and Segmentation

Search for the “optimal” threshold values to segment images



Minimum mean squared  
error solutions:

For a given  $K$ , find the MMSE thresholds = Lloyd-Max quantizer

# MMSE/Lloyd-Max Quantization

**Goal:** For a fixed  $K$ , minimize

$$\varepsilon^2 = \mathbf{E}[(F - \tilde{F})^2]$$

When  $p(f)$  is uniform, the uniform quantizer is optimal

$$= \int_{t_0}^{t_K} (f - \tilde{f})^2 p(f) df = \sum_{k=0}^{K-1} \int_{t_k}^{t_{k+1}} (f - r_k)^2 p(f) df$$

over  $t_k$  and  $r_k$ .

How do we do this?

Take the partial derivatives w.r.t.  $t_k$  and  $r_k$ , set them equal to 0, and solve

$$\text{Hint: } \int_a^b g(x) dx = \int_{-\infty}^b g(x) dx - \int_{-\infty}^a g(x) dx = G(b) - G(a) \Rightarrow \frac{\partial}{\partial a} \int_a^b g(x) dx = -g(a)$$

$$\bullet \frac{\partial \varepsilon^2}{\partial t_k} = 0 \Rightarrow t_k = \frac{r_k + r_{k-1}}{2}$$

(midpoint solution is optimal)

$$\bullet \frac{\partial \varepsilon^2}{\partial r_k} = 0 \Rightarrow r_k = \frac{\int_{t_k}^{t_{k+1}} f p(f) df}{\int_{t_k}^{t_{k+1}} p(f) df}$$

$= \mathbf{E}[F \mid F \in [t_k, t_{k+1}]]$   
(conditional mean is optimal)

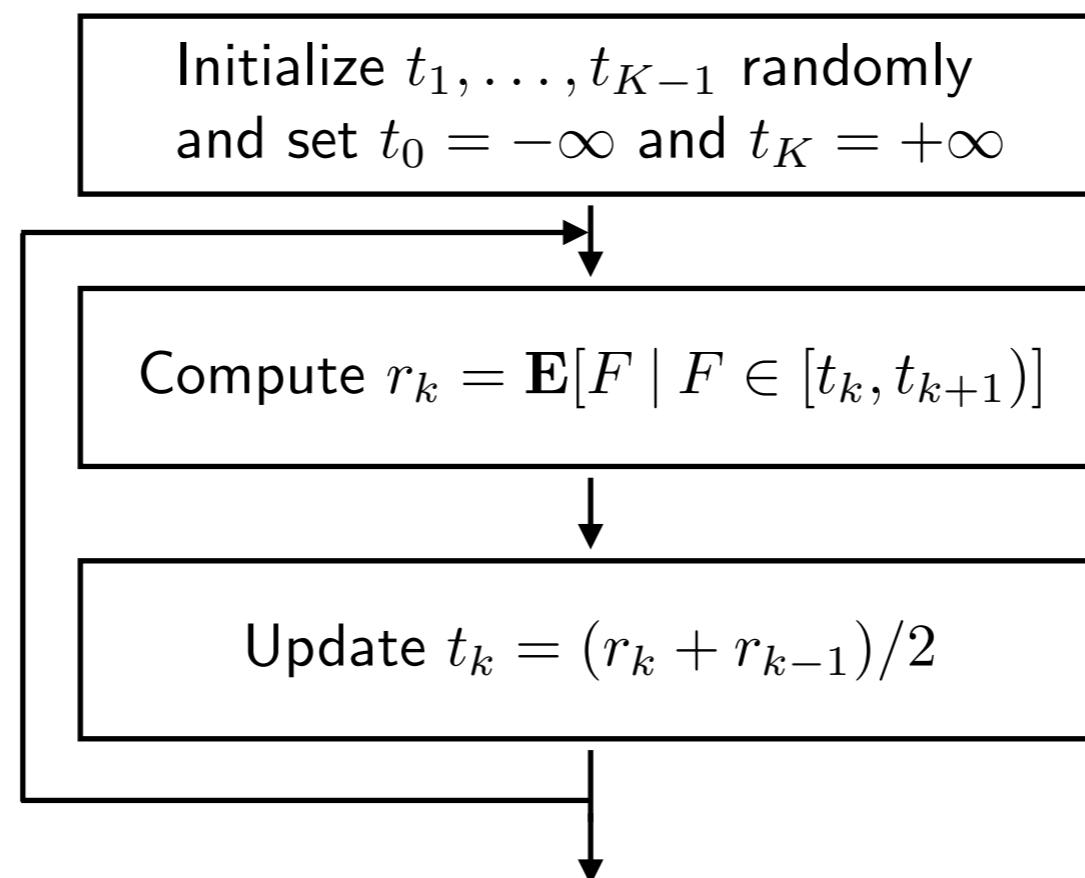
# MMSE/Lloyd-Max Quantization Algorithm

How do we realize this with an algorithm?

Does something seem funny?

**Solution:** Iterate back and forth between the  $t_k$  and the  $r_k$

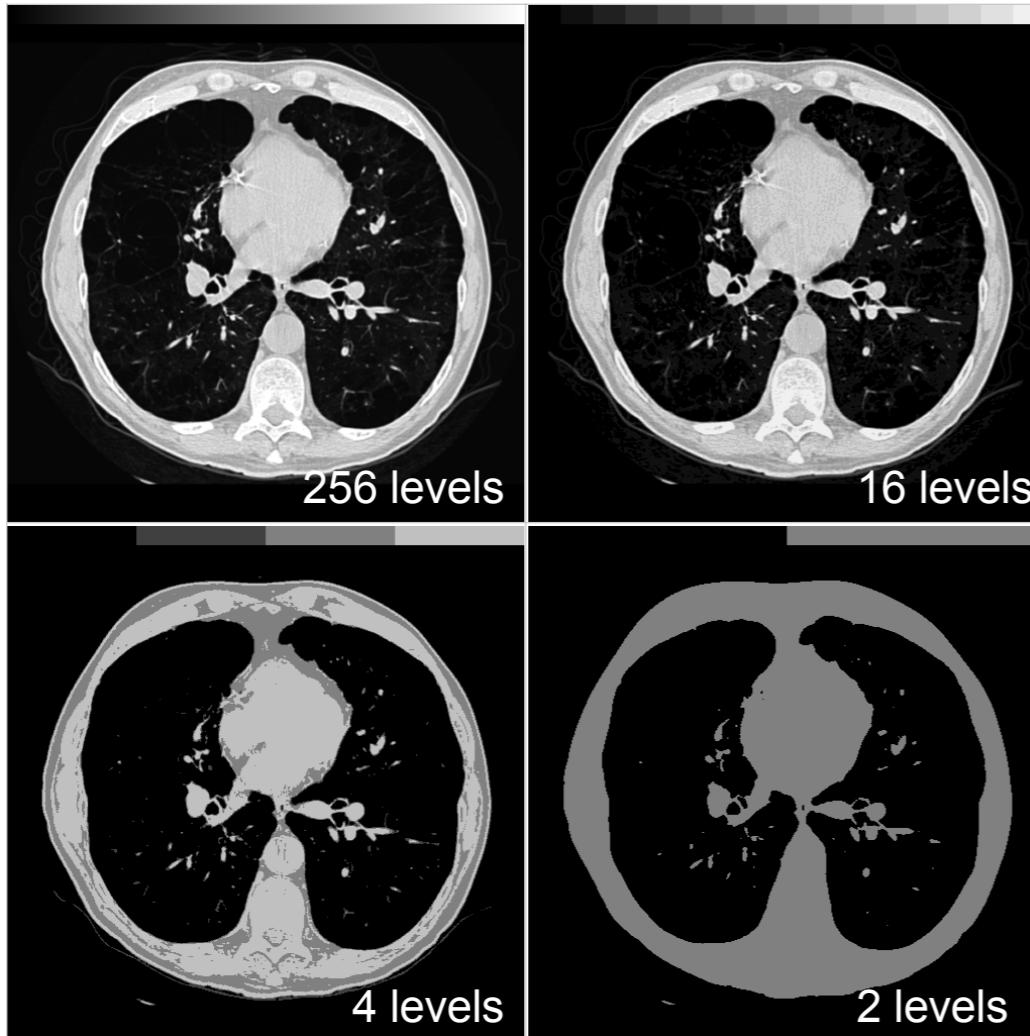
iterate until convergence



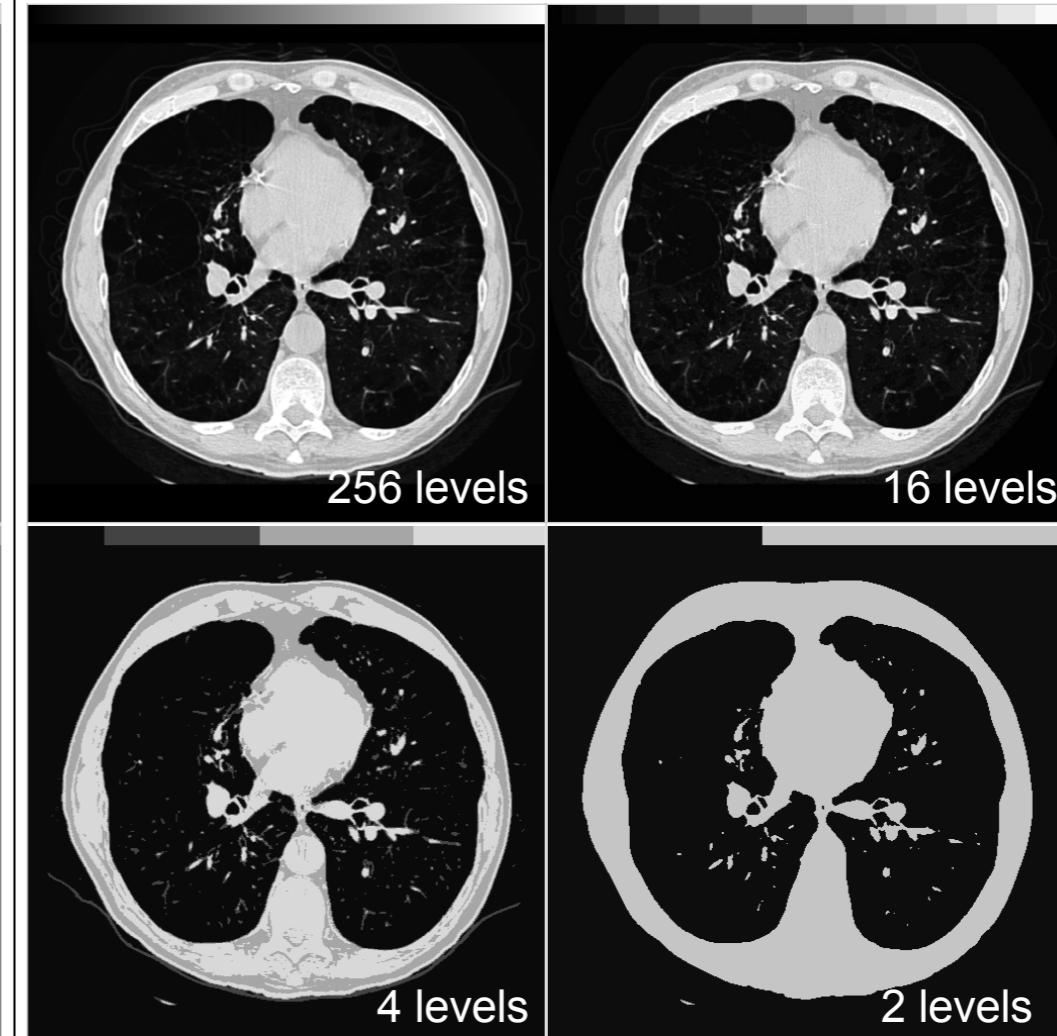
- Efficient implementation
  - $r_k$  can be computed directly from the histogram
  - Recursive update
- Generalizations
  - Non-quadratic cost functions
  - Multivariate extensions (e.g., RGB)
  - This is just the  $K$ -means algorithm

# Lloyd-Max Quantization

uniform quantization

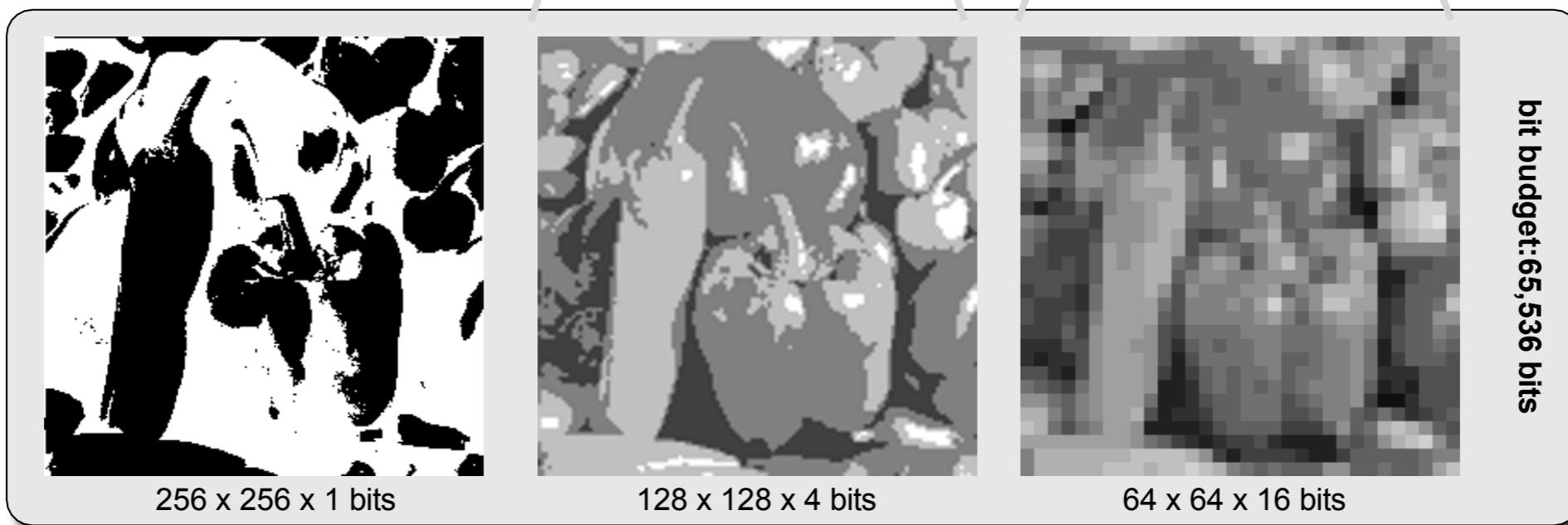
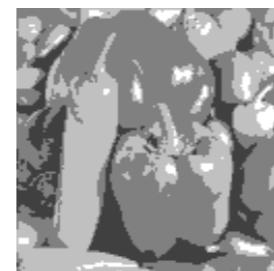


Lloyd-Max quantization



- Other useful properties:
  - Unbiased estimate:  $\mathbf{E}[\tilde{F}] = \mathbf{E}[F]$
  - Error  $E = F - \tilde{F}$  is **orthogonal** to the quantized value:  $\mathbf{E}[\tilde{F}E] = 0$

# Grayscale vs. Spatial Resolution Tradeoff



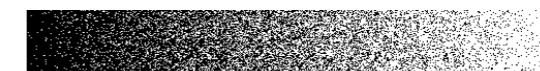
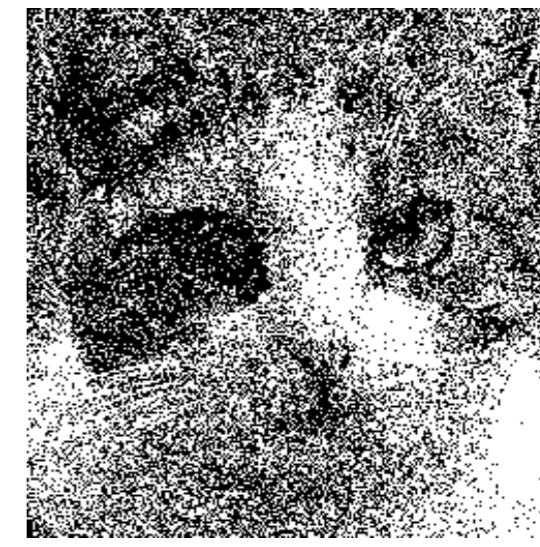
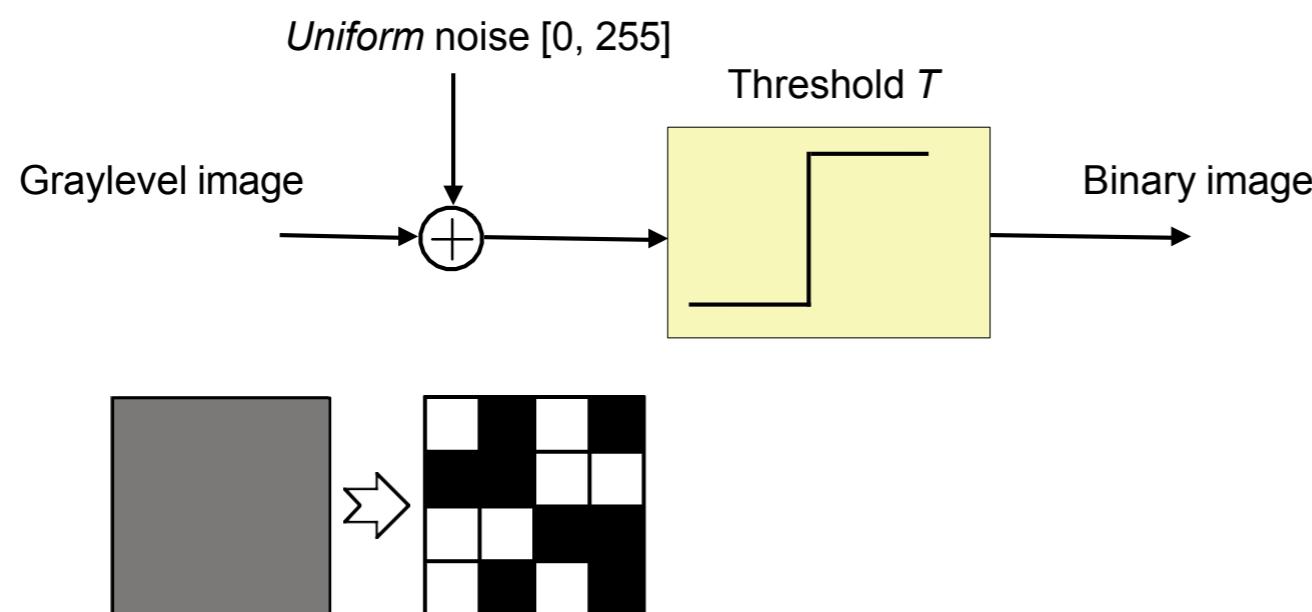
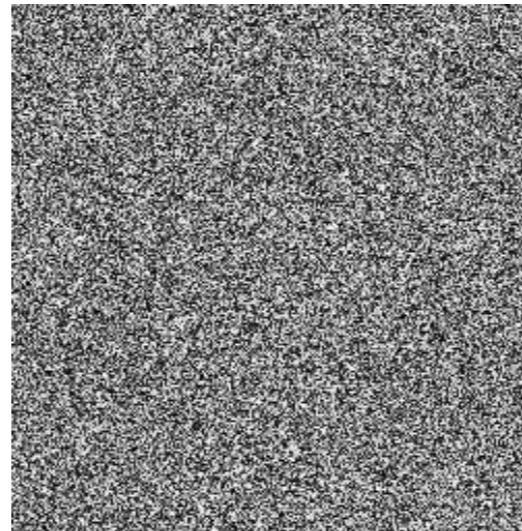
These all use the same number of bits!

# Need for Binary Images

- Some devices can only render binary output (e.g., printers, fax machines, etc.)
  - ink or no ink!
- Lucky coincidence: The human visual system locally integrates black-and-white information and sees the “average”
- Exploit tradeoff between spatial resolution and grayscale resolution
- Implemented by “Raster Image Processors” (RIPs) in printing systems

Can we do better than just thresholding?

# Dithering



grayscale ramp

# Dithering Example



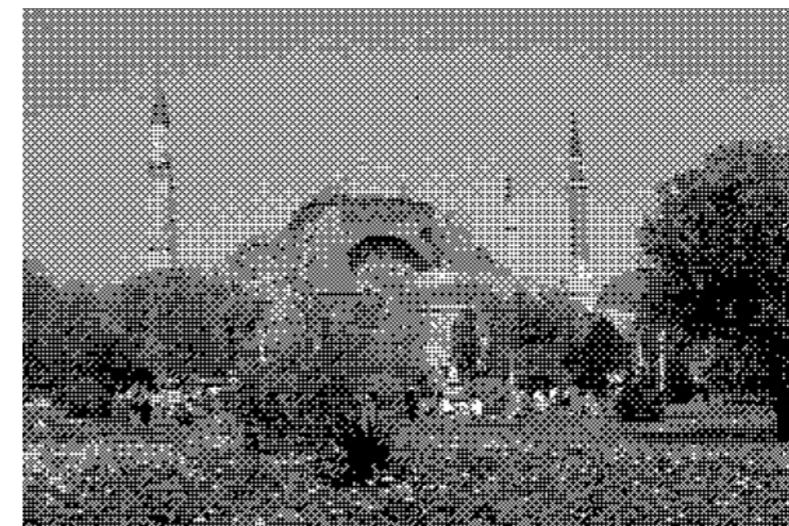
grayscale image



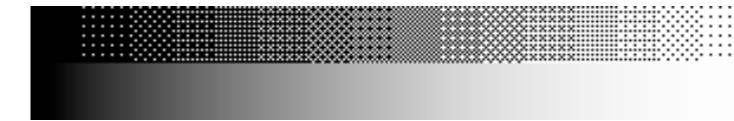
fixed threshold



random dithering



ordered dithering



# Summary

- Ideal sampling is modeled as a multiplication with a sequence of Dirac impulses (ideal sampling function). In the frequency domain, this corresponds to a convolution with a sequence of Diracs with a reciprocal spacing.
- Sampling periodizes the Fourier transform of the image.
- The periodization pattern can be predicted from the Fourier transform of the ideal sampling function (also a sequence of Dirac impulses).
- Perfect recovery is possible only if the image is sampled at or above the Nyquist frequency  $\omega_{\max}/2$ .
- Undersampling produces aliasing. It can be prevented by ideal lowpass prefiltering prior to sampling. True acquisition systems include a sampling aperture which acts as a lowpass prefilter, thereby reducing aliasing.
- During acquisition, the intensity values of the individual pixels are quantized (A-to-D conversion).
- Uniform quantization is the most common. Monochrome monitors typically display 256 gray levels.
- Alternatively, the quantization steps may be selected to minimize the mean squared error (Max-Lloyd quantizer). This also yields an effective segmentation algorithm.
- To some extent, grayscale resolution can be traded for spatial resolution. This tradeoff can be exploited via dithering.