

# Минимално покриващо дърво

MINIMUM SPANNING TREE

Лекция 12 по СДА, Софтуерно Инженерство  
Зимен семестър 2019-2020г  
д-р Милен Чечев

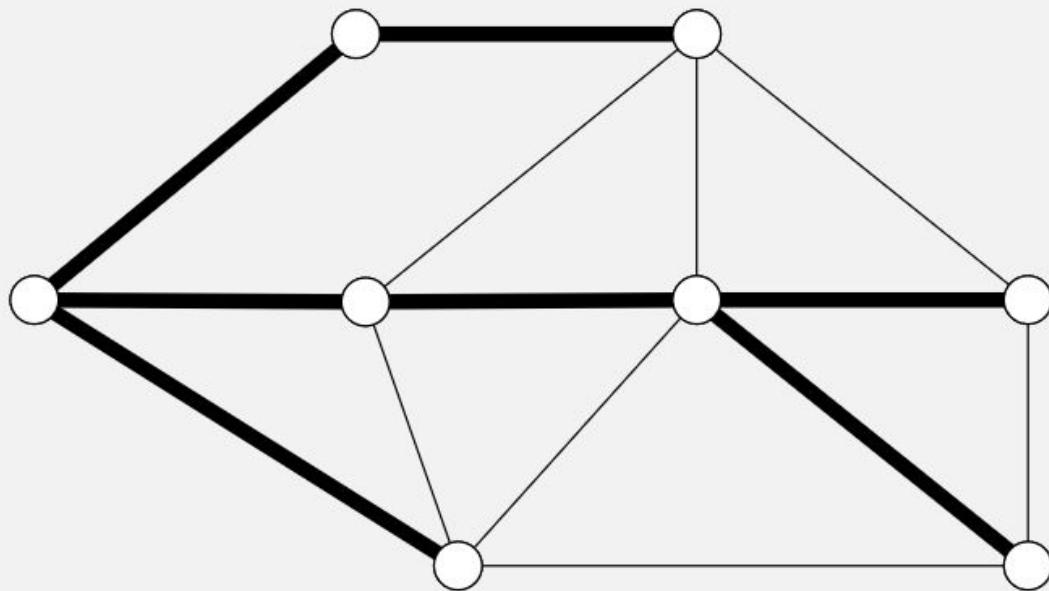
# Проблем:

Даден граф да се трансформира към дърво(да няма цикли в графа) оставяйки в графа такива ребра, че сумата им да е минимална.

\*разглеждаме проблема за ненасочен граф с тегла по ребрата

Def. A **spanning tree** of  $G$  is a subgraph  $T$  that is:

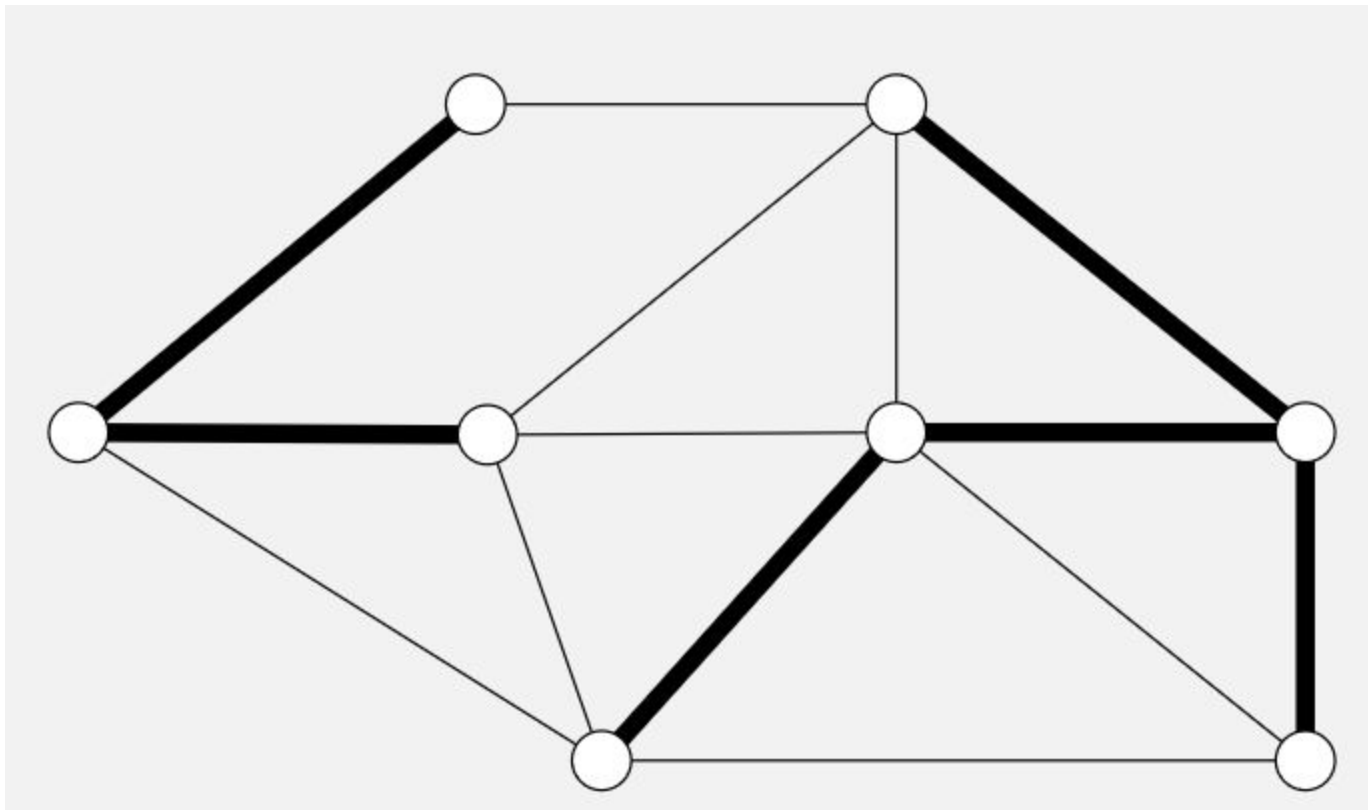
- A tree: connected and acyclic.
- Spanning: includes all of the vertices.



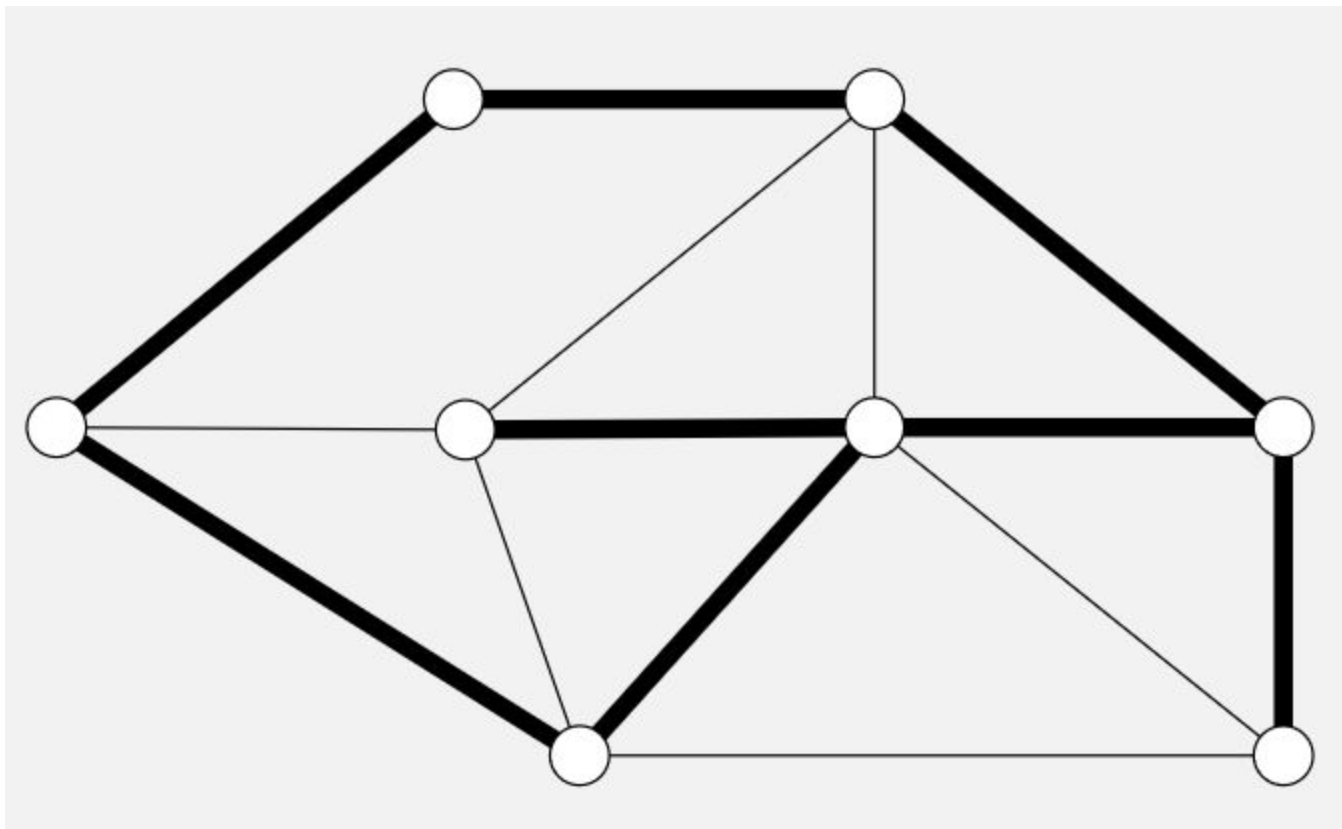
graph  $G$

spanning tree  $T$

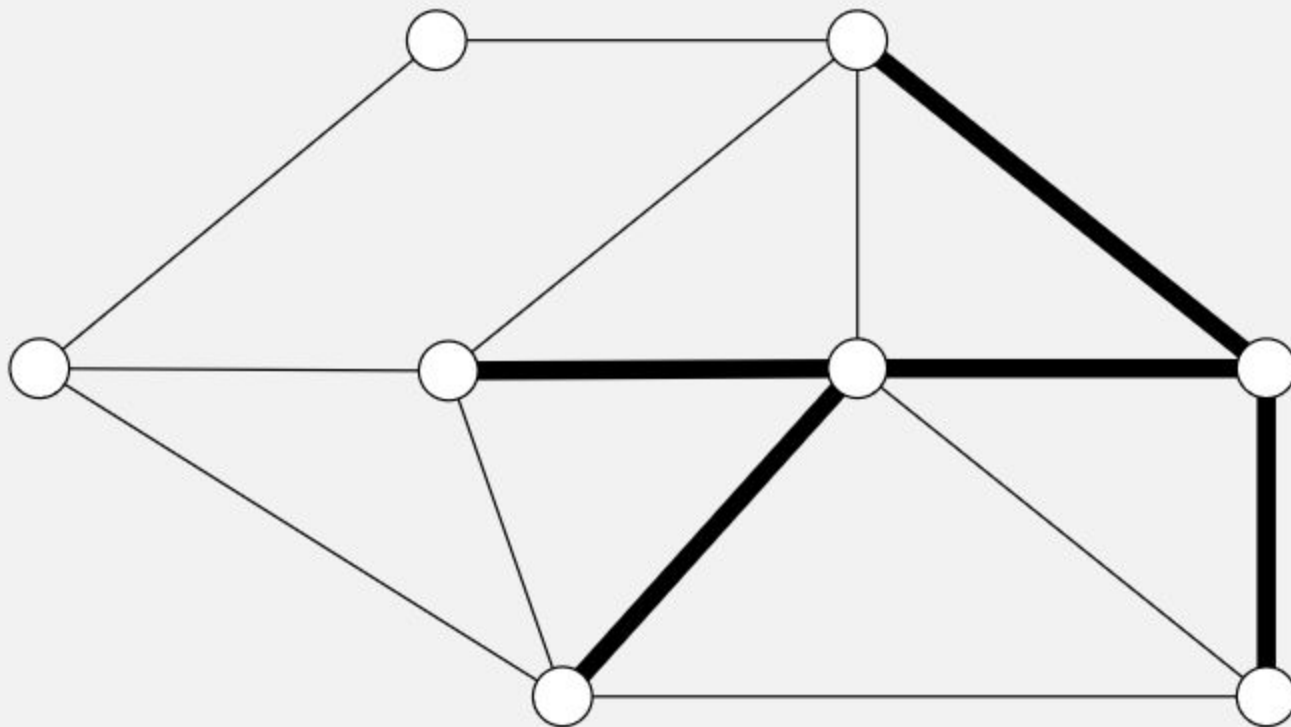
Това покриващо дърво ли е ?



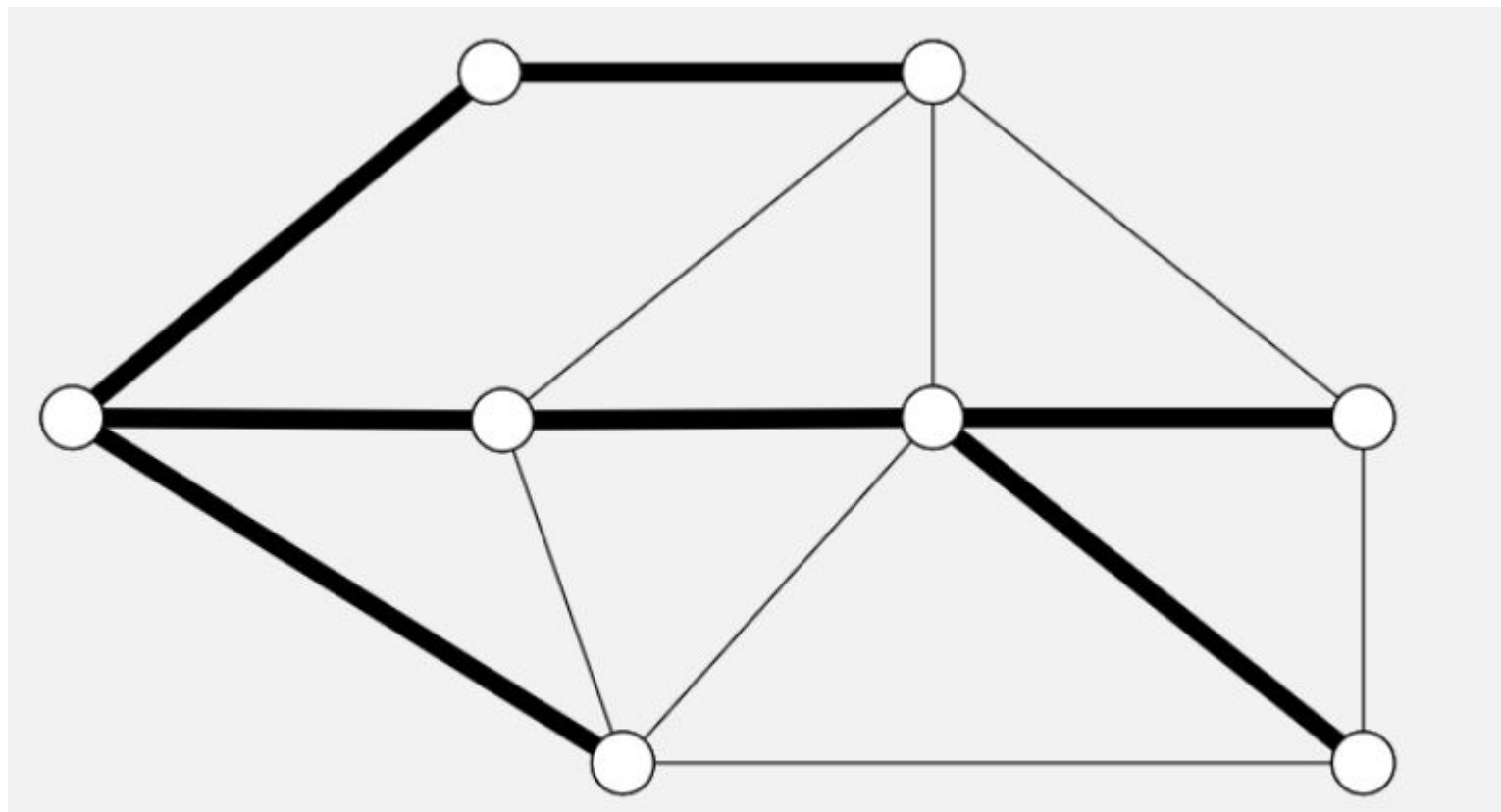
Това покриващо дърво ли е ?



Това покриващо дърво ли е ?

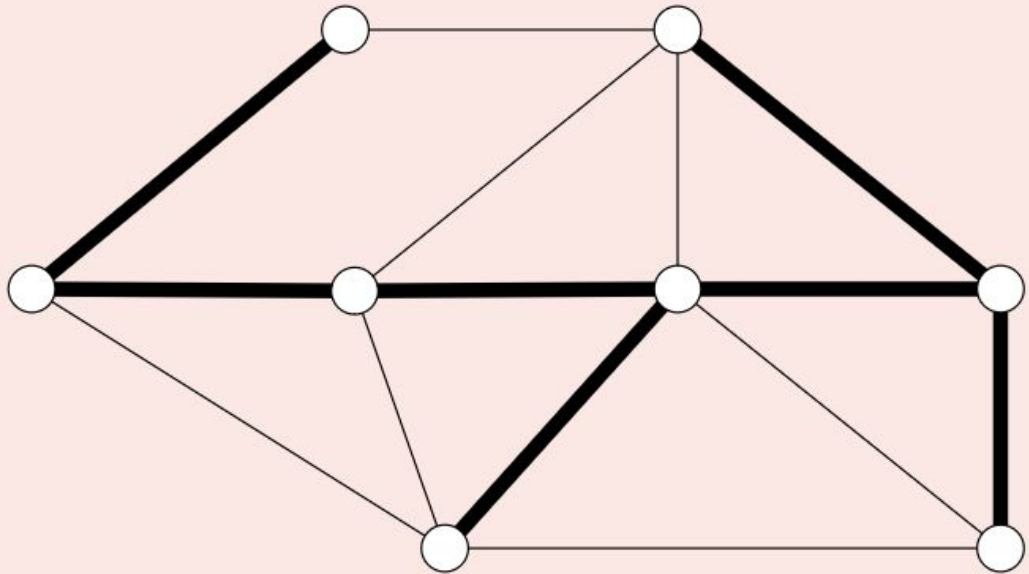


Това покриващо дърво ли е ?



Let  $T$  be a spanning tree of a connected graph  $G$  with  $V$  vertices.  
Which of the following statements are true?

- A.  $T$  contains exactly  $V - 1$  edges.
- B. Removing any edge from  $T$  disconnects it.
- C. Adding any edge to  $T$  creates a cycle.
- D. All of the above.

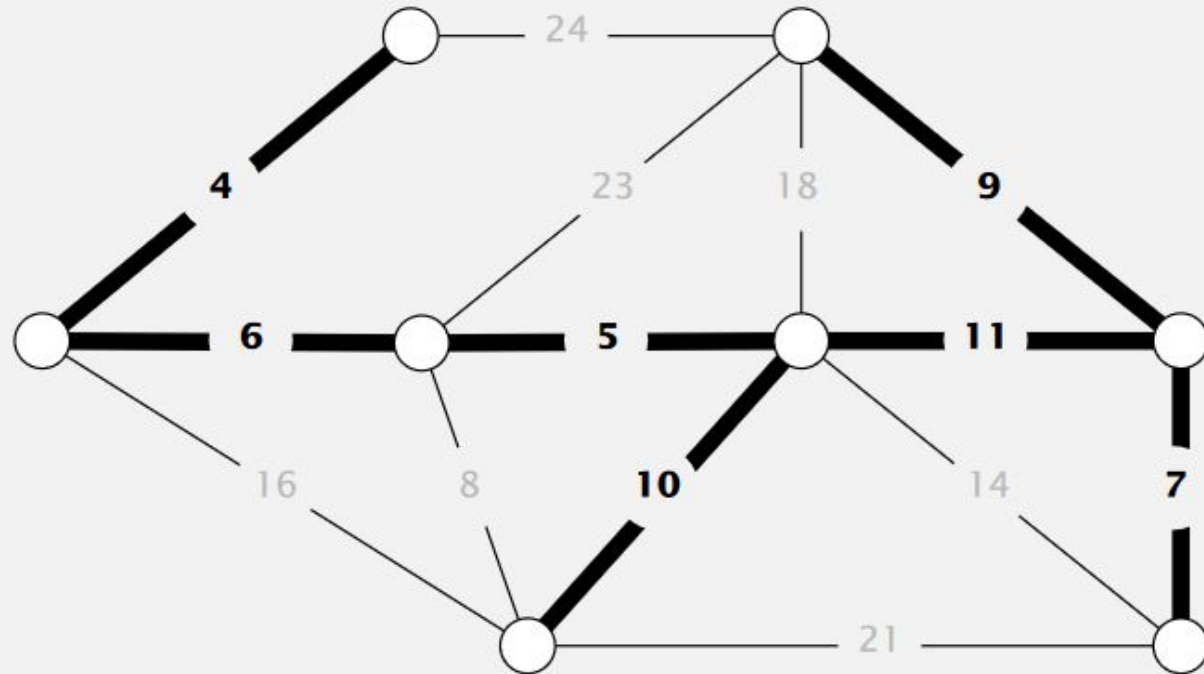


spanning tree  $T$  of graph  $G$



**Input.** Connected, undirected graph  $G$  with positive edge weights.

**Output.** A spanning tree of minimum weight.

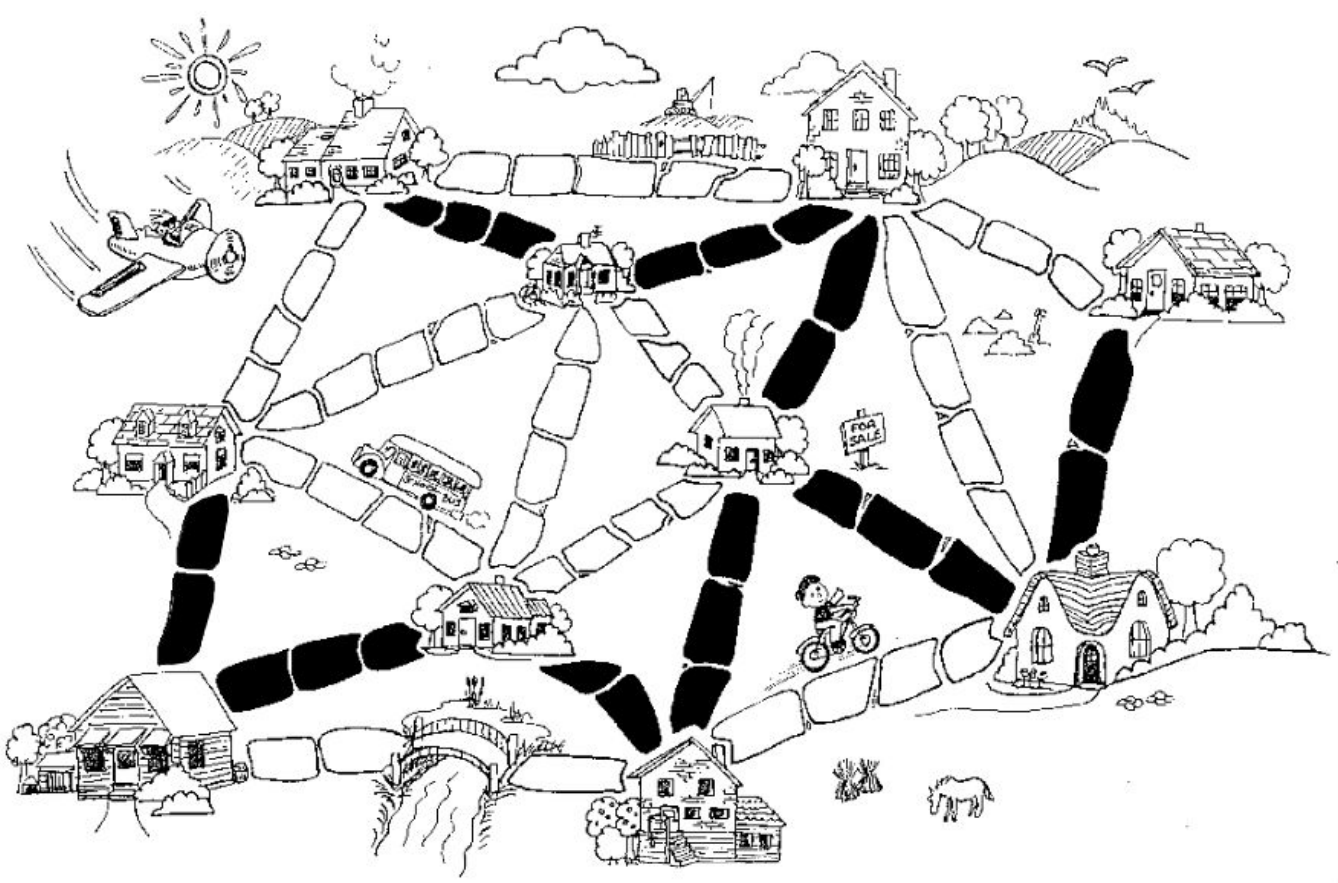


**minimum spanning tree  $T$**

(weight = 52 = 4 + 6 + 10 + 5 + 11 + 9 + 7)

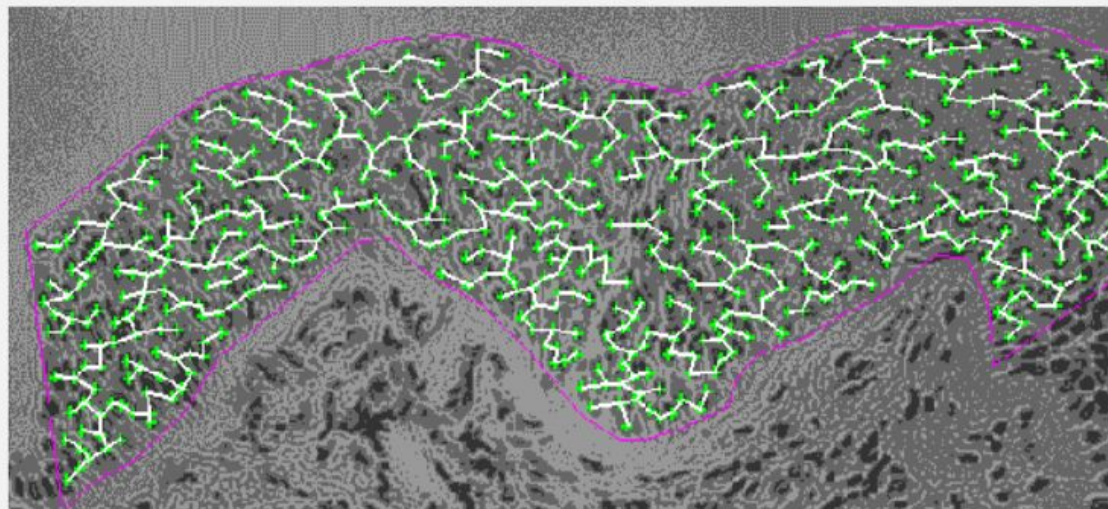
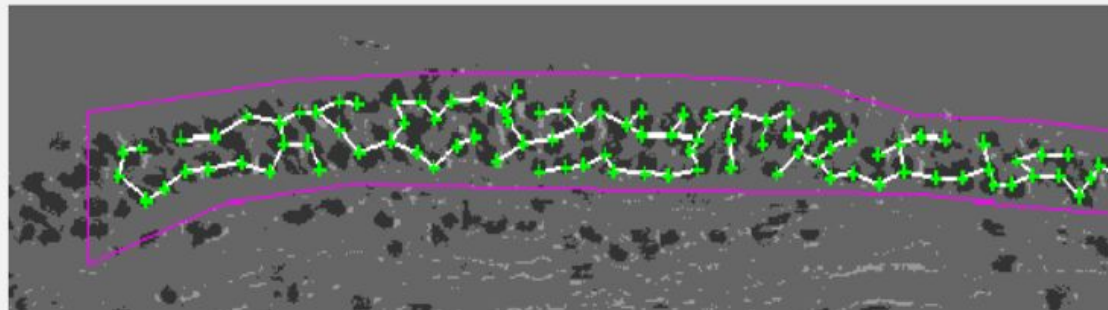
**Brute force.** Try all spanning trees?

# Network design



# Medical image processing

MST describes arrangement of nuclei in the epithelium for cancer research



MST is fundamental problem with diverse applications.

- Cluster analysis.
- Real-time face verification.
- LDPC codes for error correction.
- Image registration with Renyi entropy.
- Curvilinear feature extraction in computer vision.
- Find road networks in satellite and aerial imagery.
- Handwriting recognition of mathematical expressions.
- Measuring homogeneity of two-dimensional materials.  
Model locality of particle interactions in turbulent fluid flows.
- Reducing data storage in sequencing amino acids in a protein.
- Autoconfig protocol for Ethernet bridging to avoid cycles in a network.
- Network design (communication, electrical, hydraulic, computer, road).
- Approximation algorithms for **NP**-hard problems (e.g., TSP, Steiner tree).

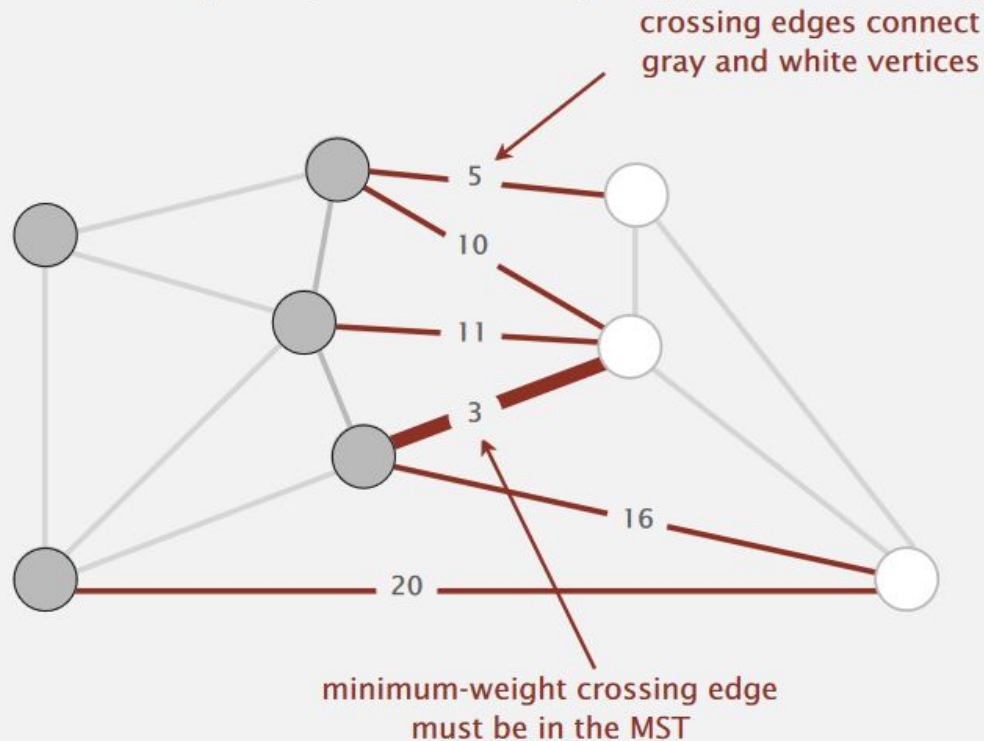


# Cut property

**Def.** A **cut** in a graph is a partition of its vertices into two (nonempty) sets.

**Def.** A **crossing edge** connects a vertex in one set with a vertex in the other.

**Cut property.** Given any cut, the crossing edge of min weight is in the MST.



## Cut property: correctness proof

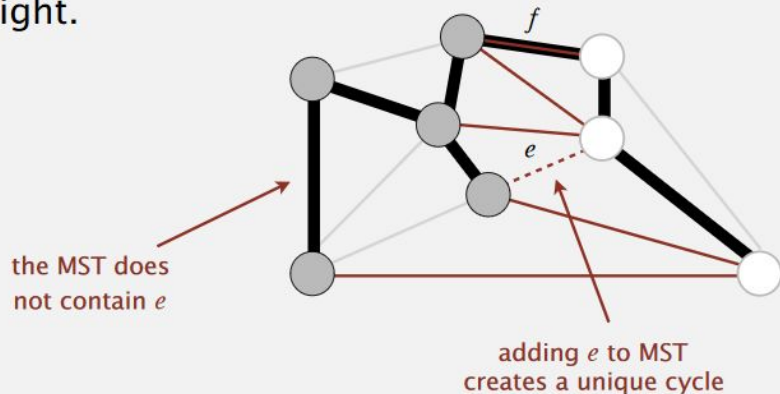
Def. A **cut** is a partition of a graph's vertices into two (nonempty) sets.

Def. A **crossing edge** connects two vertices in different sets.

**Cut property.** Given any cut, the min-weight crossing edge  $e$  is in the MST.

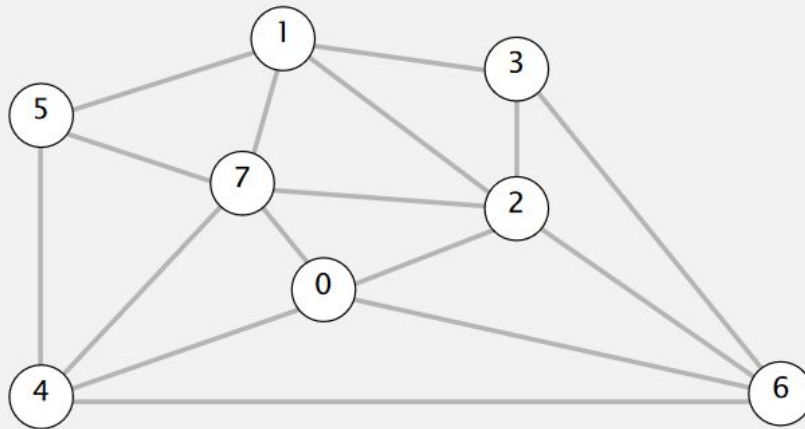
**Pf.** [by contradiction] Suppose  $e$  is not in the MST.

- Adding  $e$  to the MST creates a cycle.
- Some other edge  $f$  in cycle must be a crossing edge.
- Removing  $f$  and adding  $e$  is also a spanning tree.
- Since weight of  $e$  is less than the weight of  $f$ , that spanning tree has lower weight.
- Contradiction. ▀



## Greedy MST algorithm

- Start with all edges colored gray.
- Find cut with no black crossing edges; color its min-weight edge black.
- Repeat until  $V - 1$  edges are colored black.



an edge-weighted graph

0-7	0.16
2-3	0.17
1-7	0.19
0-2	0.26
5-7	0.28
1-3	0.29
1-5	0.32
2-7	0.34
4-5	0.35
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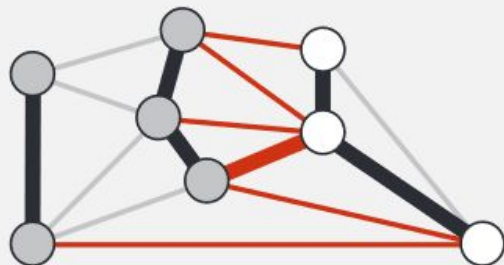
# Greedy MST algorithm: correctness proof

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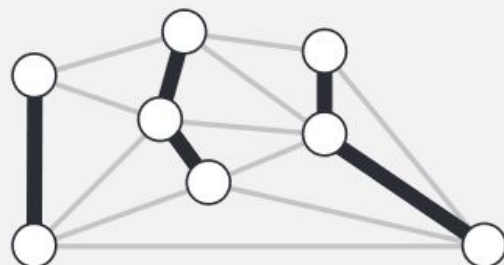
**Proposition.** The greedy algorithm computes the MST.

**Pf.**

- Any edge colored black is in the MST (via cut property).
- Fewer than  $V-1$  black edges  $\Rightarrow$  cut with no black crossing edges.  
(consider cut whose vertices are any one connected component)



a cut with no black crossing edges



fewer than  $V-1$  edges colored black



# Алгоритъм на Крускал

- Сортираме всички ребра в нарастващ ред.
- Докато покриващото дърво няма  $V-1$  ребра
  - Избираме следващо по-големина ребро от графа
  - Ако то не създава цикъл със вече избраните ребра за покриващото дърво, включваме реброто като част от покриващото дърво

# Алгоритъм на Крускал - демо

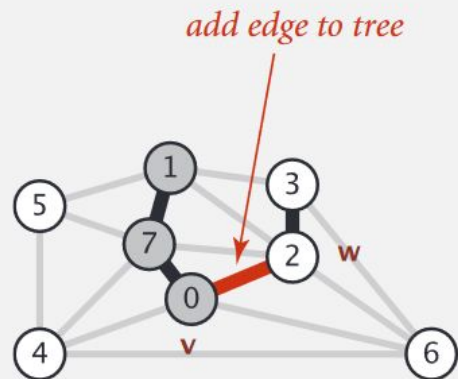
<http://www.cs.princeton.edu/courses/archive/fall18/cos226/demos/43DemoKruskal.pdf>

# Kruskal's algorithm: correctness proof

**Proposition.** [Kruskal 1956] Kruskal's algorithm computes the MST.

**Pf.** [Case 1] Kruskal's algorithm adds edge  $e = v-w$  to  $T$ .

- Vertices  $v$  and  $w$  are in different connected components of  $T$ .
- Cut = set of vertices connected to  $v$  in  $T$ .
- By construction of cut, no edge crossing cut is in  $T$ .
- No edge crossing cut has lower weight. Why?
- Cut property  $\Rightarrow$  edge  $e$  is in the MST.



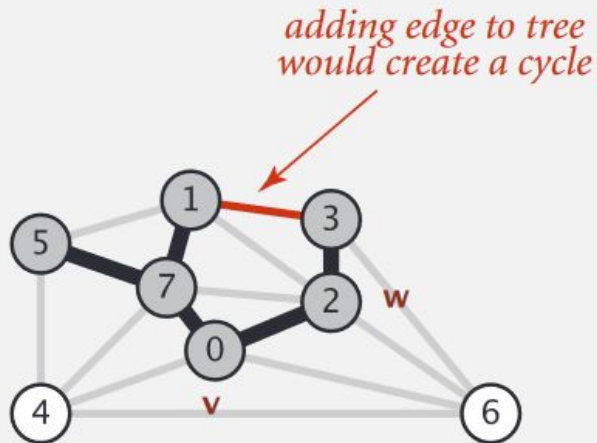
# Kruskal's algorithm: correctness proof

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**Proposition.** [Kruskal 1956] Kruskal's algorithm computes the MST.

**Pf.** [Case 2] Kruskal's algorithm discards edge  $e = v-w$ .

- From Case 1, all edges in  $T$  are in the MST.
- The MST can't contain a cycle. ▀

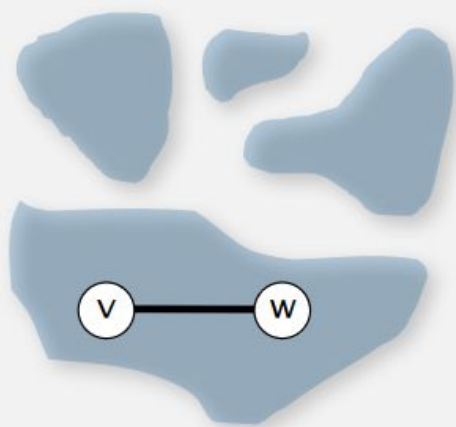


## Kruskal's algorithm: implementation challenge

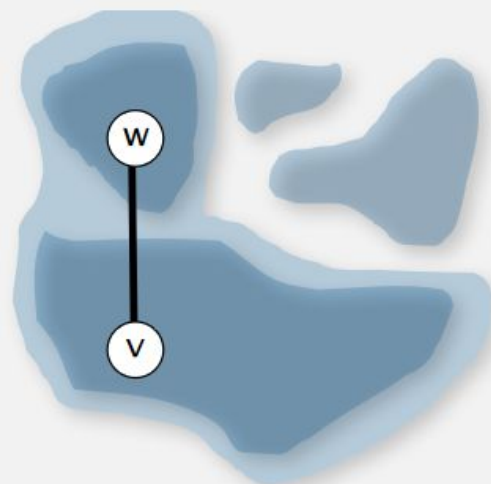
**Challenge.** Would adding edge  $v-w$  to tree  $T$  create a cycle? If not, add it.

**Efficient solution.** Use the **union-find** data structure.

- Maintain a set for each connected component in  $T$ .
- If  $v$  and  $w$  are in same set, then adding  $v-w$  would create a cycle.
- To add  $v-w$  to  $T$ , merge sets containing  $v$  and  $w$ .



Case 2: adding  $v-w$  creates a cycle



Case 1: add  $v-w$  to  $T$  and merge sets containing  $v$  and  $w$

## Kruskal's algorithm: running time

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**Proposition.** Kruskal's algorithm computes MST in time proportional to  $E \log V$  (in the worst case).

Pf.

operation	frequency	time per op
<b>SORT</b>	1	$E \log E$
<b>UNION</b>	$V - 1$	$\log V^\dagger$
<b>FIND</b>	$2 E$	$\log V^\dagger$

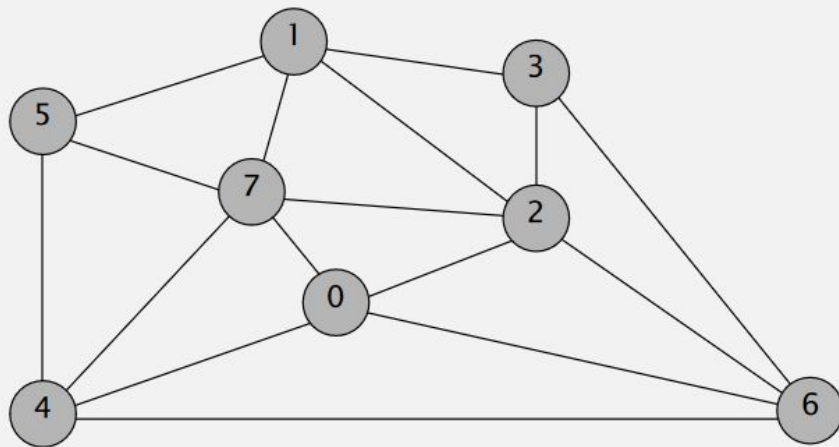
← same as  $E \log V$   
if no parallel edges

† using weighted quick union

## Prim's algorithm

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- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



an edge-weighted graph

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# Алгоритъм на Прим - демо

<http://www.cs.princeton.edu/courses/archive/fall18/cos226/demos/43DemoPrim.pdf>



## Prim's algorithm: proof of correctness

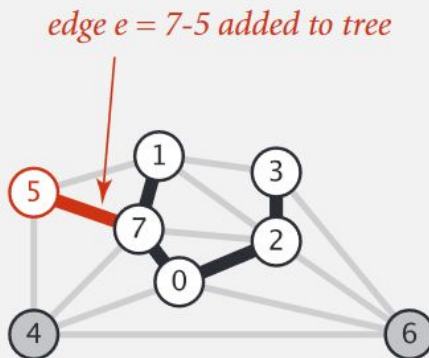
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**Proposition.** [Jarník 1930, Dijkstra 1957, Prim 1959]

Prim's algorithm computes the MST.

**Pf.** Let  $e$  = min weight edge with exactly one endpoint in  $T$ .

- Cut = set of vertices in  $T$ .
- No crossing edge is in  $T$ .
- No crossing edge has lower weight.
- Cut property  $\Rightarrow$  edge  $e$  is in the MST. ▀



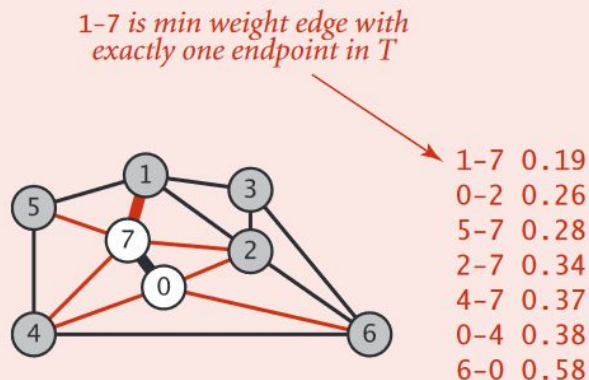
## Minimum spanning trees:

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**Challenge.** Find the min weight edge with exactly one endpoint in  $T$ .

**How difficult to implement?**

- A. 1
- B.  $\log E$
- C.  $V$
- D.  $E$

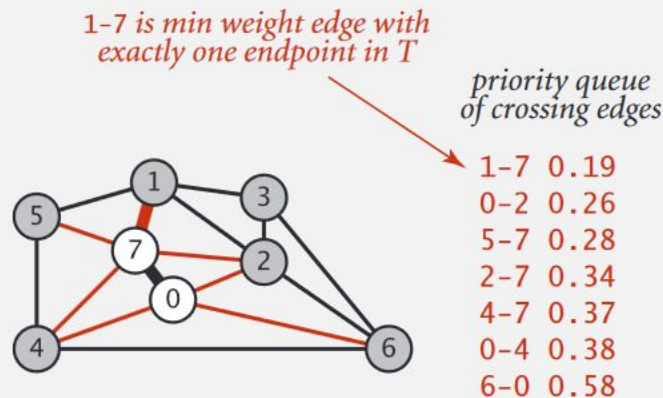


## Prim's algorithm: lazy implementation

**Challenge.** Find the min weight edge with exactly one endpoint in  $T$ .

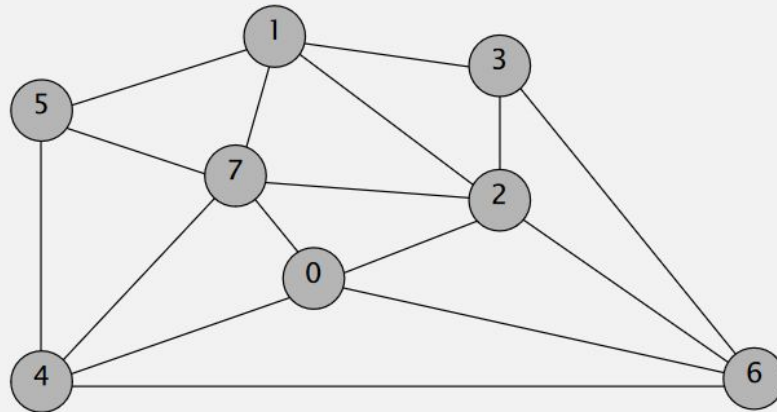
**Lazy solution.** Maintain a PQ of **edges** with (at least) one endpoint in  $T$ .

- Key = edge; priority = weight of edge.
- DELETE-MIN to determine next edge  $e = v-w$  to add to  $T$ .
- If both endpoints  $v$  and  $w$  are marked (both in  $T$ ), disregard.
- Otherwise, let  $w$  be the unmarked vertex (not in  $T$ ):
  - add  $e$  to  $T$  and mark  $w$
  - add to PQ any edge incident to  $w$  (assuming other endpoint not in  $T$ )



## Prim's algorithm: lazy implementation demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



an edge-weighted graph

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## Lazy Prim's algorithm: running time

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**Proposition.** Lazy Prim's algorithm computes the MST in time proportional to  $E \log E$  and extra space proportional to  $E$  (in the worst case).

 minor defect

Pf.

operation	frequency	binary heap
DELETE-MIN	$E$	$\log E$
INSERT	$E$	$\log E$

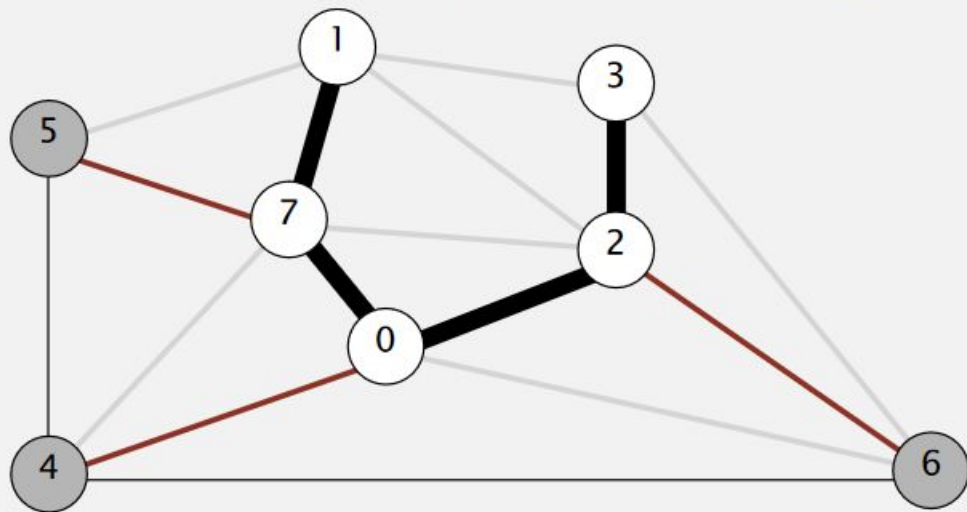
## Prim's algorithm: eager implementation

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**Challenge.** Find min weight edge with exactly one endpoint in  $T$ .

**Observation.** For each vertex  $v$ , need only **lightest** edge connecting  $v$  to  $T$ .

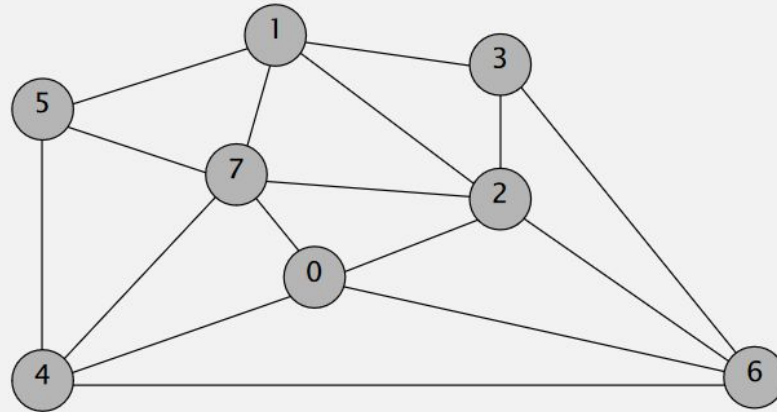
- MST includes at most one edge connecting  $v$  to  $T$ . Why?
- If MST includes such an edge, it must take lightest such edge. Why?





## Prim's algorithm: eager implementation demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



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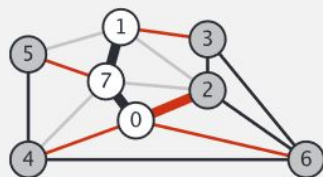
# Prim's algorithm: eager implementation

**Challenge.** Find min weight edge with exactly one endpoint in  $T$ .

PQ has at most one entry per vertex

**Eager solution.** Maintain a PQ of **vertices** connected by an edge to  $T$ , where priority of vertex  $v$  = weight of lightest edge connecting  $v$  to  $T$ .

- Delete min vertex  $v$ ; add its associated edge  $e = v-w$  to  $T$ .
- Update PQ by considering all edges  $e = v-x$  incident to  $v$ 
  - ignore if  $x$  is already in  $T$
  - add  $x$  to PQ if not already on it
  - **decrease priority** of  $x$  if  $v-x$  becomes lightest edge connecting  $x$  to  $T$



0			
1	1-7	0.19	
2	0-2	0.26	
3	1-3	0.29	
4	0-4	0.38	
5	5-7	0.28	
6	6-0	0.58	
7	0-7	0.16	

red: on PQ

black: on MST



## Prim's algorithm: Complexity

Depends on PQ implementation:  $V$  INSERT,  $V$  DELETE-MIN,  $\leq E$  DECREASE-KEY.

PQ implementation	INSERT	DELETE-MIN	DECREASE-KEY	total
unordered array	1	$V$	1	$V^2$
binary heap	$\log V$	$\log V$	$\log V$	$E \log V$
d-way heap	$\log_d V$	$d \log_d V$	$\log_d V$	$E \log_{E/V} V$
Fibonacci heap	$1^\dagger$	$\log V^\dagger$	$1^\dagger$	$E + V \log V$

$^\dagger$  amortized

Bottom line.

- Array implementation optimal for complete graphs.
- Binary heap much faster for sparse graphs.
- 4-way heap worth the trouble in performance-critical situations.
- Fibonacci heap best in theory, but not worth implementing.

# Това е всичко за днес.

Какво следва:

- Лекция 4 за графи. Ойлеров и Хамилтонов цикъл в граф. Дефиниция на NP complete проблем. Контролно 6.
- 24.01.2018 13:00 в зала 210 и 130 в химическият факултет - изпит

Текущите резултати може да намерите тук:

<https://docs.google.com/spreadsheets/d/1dInefFlryJkJU5vTgw3O6udexO7-MJuAowQin9IFOp/edit?usp=sharing>