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Exception and Interrupt Handling in ARM

Architectures and Design Methods for Embedded Systems
Summer Semester 2006

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Abstract

We discuss exceptions and interrupt handling techniques in ARM processors and see how the ARM architecture works in this area to know how are these techniques suitable for embedded systems to achieve the time constraints and safety requirements. Exception and interrupt handling is a critical issue since it affect directly the speed of the system and how fast does the system respond to external events and how does it deal with more than one external event at the same time by assigning priorities to these events.

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Abbreviations

ISR	Interrupt Service Routine
SWI	Software Interrupt
IRQ	Interrupt Request
FIQ	Fast Interrupt Request
ARM	Advanced RISC Machines
RISC	Reduced Instruction Set Computers
SVC	Supervisor
CPSR	Current Program Status Register
SPSR	Saved Program Status Register
LDR	Load Register
STR	Store Register
DMA	Direct Memory Access

1 Introduction

Exceptions are so important in embedded systems, without exception the development of systems would be a very complex task. With exceptions we can detect bugs in the application, errors in memory access and finally debug it by placing breakpoints and building the program with debugging information.

Interrupts which are kinds of exceptions are essential in embedded systems. It enables the system to deal with external events by receiving interrupt signals telling the CPU that there is something to be done instead of the alternative way of doing the same operation by the polling mechanism which wastes the CPU time in looping forever checking some flags to know that the event occurred.

Due to the fact that systems are going more complex day after day, we have nowadays systems with more than one interrupt source. That is why an interrupt handling scheme is needed to define how different cases will be handled. We may need priorities to be assigned to different interrupts and in some other cases we may need nested handling capabilities.

We introduce the ARM processor itself to see its different modes of operation and then we have an overview of the register set. This is because dealing with interrupts and exceptions causes the ARM core to switch between these modes and copy some of the registers into other registers to save the core state before switching to the new mode. In the next chapter we introduce exceptions and see how the ARM processor handles exceptions. In the third chapter we define interrupts and discuss mechanisms of interrupt handling on ARM. In the fourth chapter we provide a set of standard interrupt handling schemes. And finally some remarks regarding these schemes and which one is suitable to which application.

The main source of information provided in this paper is mainly the book “ARM System Developer’s Guide” ^[1].

1.1 ARM modes of operation

The ARM processor internally has 7 different modes of operation, they are as follows; User mode: It is used for normal program execution state, FIQ mode: This mode is used for interrupts requiring fast response and low latency like for example data transfer with DMA, IRQ mode: This mode is used for general interrupt services, Supervisor mode: This mode is used when operating system support is needed where it works as protected mode, Abort mode: selected when data or instruction fetch is aborted, system mode: Operating system privilege mode for users and undefined mode: When undefined instruction is fetched. The following table summarizes the 7 modes:

Processor Mode	Description
User (<i>usr</i>)	Normal program execution mode
FIQ (<i>fiq</i>)	Fast data processing mode
IRQ (<i>irq</i>)	For general purpose interrupts
Supervisor (<i>svc</i>)	A protected mode for the operating system
Abort (<i>abt</i>)	When data or instruction fetch is aborted
Undefined (<i>und</i>)	For undefined instructions
System (<i>sys</i>)	Operating system privileged mode

1.2 ARM Register set

Register structure in ARM depends on the mode of operation. For example we have 16 (32-bit) registers named from R0 to R15 in ARM mode (*usr*).

Registers R0 to R12 are general purpose registers, R13 is stack pointer (*SP*), R14 is subroutine link register and R15 is program counter (*PC*).

R16 is the current program status register (*CPSR*) this register is shared between all modes and it is used by the ARM core all the time and it plays a main role in the process of switching between modes.

In other modes some of these 16 registers are visible and can be accessed and some others are not visible and can't be accessed. Also some registers are available with the same name but as another physical register in memory which is called (*banked*), existence of such banked registers decreases the effort needed when context switching is required since the new mode has its own physical register space and no need to store the old mode's register values.

So in ARM7 we have a total of 37 physical registers and the following figure shows the ARM7 register set.

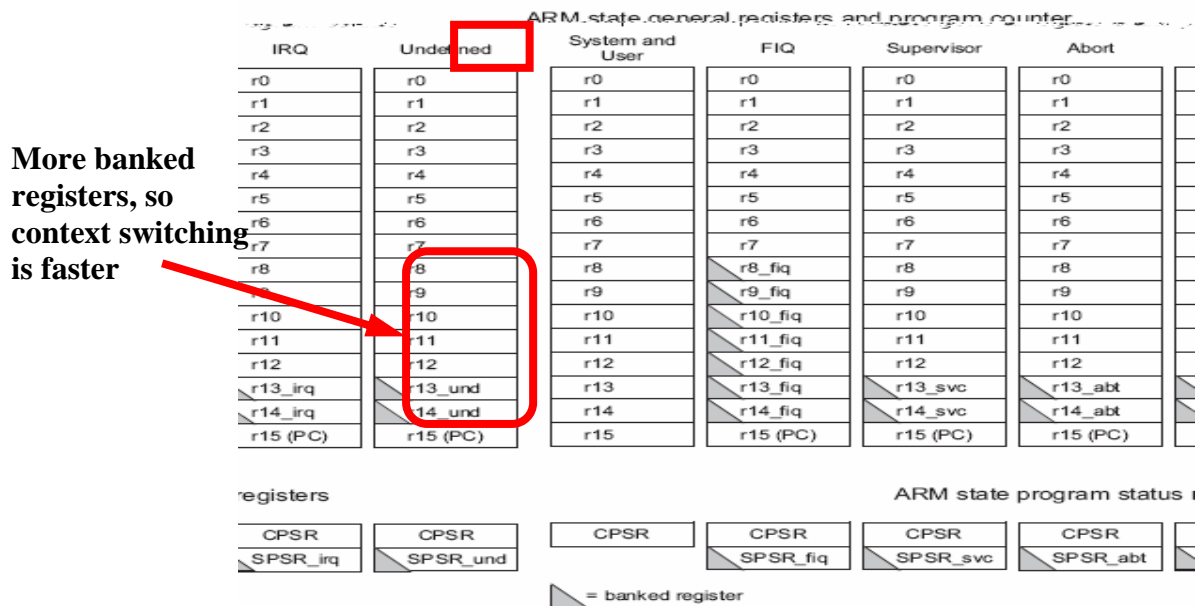


Figure 1: Register Organization in ARM ^[5]

As we can see the banked registers are marked with the gray colour. We can notice that in the FIQ mode there are more banked registers, this is to speed up the context switching since there will be no need to store many registers when switching to the FIQ mode. We may need only to store the values of registers r0 to r7 if the FIQ handler needs to use those registers, but registers r8_fiq to r14_fiq are specific registers for the FIQ mode and can't be accessed by any other mode (they become invisible in other modes).

2 ARM Exceptions

An exception is any condition that needs to halt normal execution of the instructions ^[1]. As an example of exceptions the state of resetting ARM core, the failure of fetching instructions or memory access, when an external interrupt is raised or when a software interrupt instruction is executed. There is always software associated with each exception, this software is called exception handler. Each of the ARM exceptions causes the ARM core to enter a certain mode automatically also we can switch between different modes manually by modifying the CPSR register. The following table summarises different exceptions and the associated mode of operation on ARM processor.

Exception	Mode
Fast Interrupt Request	FIQ
Interrupt Request	IRQ
SWI and RESET	SVC
Instruction fetch or memory access failure	ABT
Undefined Instruction	UND

2.1 Vector Table

It is a table of instructions that the ARM core branches to when an exception is raised. These instructions are placed in a specific part in memory and its address is related to the exception type. It always contains a branching instruction in one of the following forms:

- **B <Add>**
This instruction is used to make branching to the memory location with address “Add” relative to the current location of the pc.
- **LDR pc, [pc, #offset]**
This instruction is used to load in the program counter register its old value + an offset value equal to “offset”.
- **LDR pc, [pc, #-0xff0]**
This instruction is used only when an interrupt controller is available, to load a specific ISR address from the vector table. The vector interrupt controller (VIC) is placed at memory address 0xfffff000 this is the base address of the VIC. The ISR address is always located at 0xfffff030.
- **MOV pc, #immediate**
Load in the program counter the value “immediate”.

Address	Exception	Mode on entry
0x00000000	Reset	Supervisor
0x00000004	Undefined instruction	Undefined
0x00000008	Software interrupt	Supervisor
0x0000000C	Abort (prefetch)	Abort
0x00000010	Abort (data)	Abort
0x00000014	Reserved	Reserved
0x00000018	IRQ	IRQ
0x0000001C	FIQ	FIQ

At these addresses we find a jump instruction like that:

ldr pc, [pc, #_IRQ_handler_offset]

Figure 2 An exact vector table with the branching instruction^[5]

We can notice in the vector table that the FIQ exception handler is placed at the end of the vector table, so no need for a branching instruction there; we can place the exception handler directly there so handling begins faster by eliminating the time of branching.

2.2 Exception priorities

Since exceptions can occur simultaneously so we may have more than one exception raised at the same time, the processor has to have a priority for each exception so it can decide which of the currently raised exceptions is more important. The following table shows various exceptions that occur on the ARM and their associated priorities.

Exception	Priority	I bit	F bit
Reset	1	1	1
Data Abort	2	1	-
FIQ	3	1	1
IRQ	4	1	-
Pre-fetch abort	5	1	-
SWI	6	1	-
Undefined instruction	6	1	-

We should notice the difference between prioritization of exceptions (when multiple exceptions are valid at the same time), and the actual exception handler code. Exception handlers are themselves liable to interruption by exceptions, and so we have the two bits called F-bit and I-bit. The F-bit determines if exceptions can be ranked at all or not, when it is 1 so no other exceptions can be raised. And the I-bit is the same but for IRQ exceptions. The Undefined Instruction and SWI cannot occur at the same time because they are both caused by an instruction entering the execution stage of the ARM instruction pipeline, so are mutually exclusive and thus they have the same priority.

2.3 Link Register Offset

The link register is used to return the **PC** (after handling the exception) to the appropriate place in the interrupted task. It is modified based on the current **PC** value and the type of exception occurred. For some cases it should point to the next instruction after the exception handling is done and in some other cases it should return to one or 2 previous instructions to repeat those instructions after the exception handling is done. For example, in the case of IRQ exception, the link register is pointing initially to the last executed instruction + 8, so after the exception is handled we should return to the old **PC** value + 4 (next instruction) which equals to the old **LR** value - 4. Another example is the data abort exception, in this case when the exception is handled, the **PC** should point to the same instruction again to retry accessing the same memory location again.

2.4 Entering and exiting an exception handler

Here are the steps that the ARM processor does to handle an exception ^[5]:

- Preserve the address of the next instruction.
- Copy **CPSR** to the appropriate **SPSR**, which is one of the banked registers for each mode of operation.
- Force the **CPSR** mode bits to a value depending on the raised exception.
- Force the **PC** to fetch the next instruction from the exception vector table.
- Now the handler is running in the mode associated with the raised exception.
- When handler is done, the **CPSR** is restored from the saved **SPSR**.
- **PC** is updated with the value of (**LR** – offset) and the offset value depends on the type of the exception.

And when deciding to leave the exception handler, the following steps occurs:

- Move the Link Register **LR** (minus an offset) to the **PC**.
- Copy **SPSR** back to **CPSR**, this will automatically changes the mode back to the previous one.
- Clear the interrupt disable flags (if they were set).

3 Interrupts

There are two types of interrupts available on ARM processor. The first type is the interrupt caused by external events from hardware peripherals and the second type is the SWI instruction.

The ARM core has only one FIQ pin, that is why an external interrupt controller is always used so that the system can have more than one interrupt source which are prioritized with this interrupt controller and then the FIQ interrupt is raised and the handler identifies which of the external interrupts was raised and handle it.

3.1 How are interrupts assigned?

It is up to the system designer who can decide which hardware peripheral can produce which interrupt request. By using an interrupt controller we can connect multiple external interrupts to one of the ARM interrupt requests and distinguish between them.

There is a standard design for assigning interrupts adopted by system designers:

- SWIs are normally used to call privileged operating system routines.
- IRQs are normally assigned to general purpose interrupts like periodic timers.
- FIQ is reserved for one single interrupt source that requires fast response time, like DMA or any time critical task that requires fast response.

3.2 Interrupt Latency

It is the interval of time between from an external interrupt signal being raised to the first fetch of an instruction of the ISR of the raised interrupt signal.

System architects must balance between two things, first is to handle multiple interrupts simultaneously, second is to minimize the interrupt latency.

Minimization of the interrupt latency is achieved by software handlers by two main methods, the first one is to allow nested interrupt handling so the system can respond to new interrupts during handling an older interrupt. This is achieved by enabling interrupts immediately after the interrupt source has been serviced but before finishing the interrupt handling. The second one is the possibility to give priorities to different interrupt sources; this is achieved by programming the interrupt controller to ignore interrupts of the same or lower priority than the interrupt being handled if there is one.

3.3 IRQ and FIQ exceptions

Both exceptions occur when a specific interrupt mask is cleared in the *CPSR*. The ARM processor will continue executing the current instruction in the pipeline before handling the interrupt. The processor hardware go through the following standard procedure:

- The processor changes to a specific mode depending on the received interrupt.
- The previous mode *CPSR* is saved in *SPSR* of the new mode.
- The *PC* is saved in the *LR* of the new mode.
- Interrupts are disabled, either IRQ or both IRQ and FIQ.
- The processor branches to a specific entry in the vector table.

Enabling/Disabling FIQ and IRQ exceptions is done on three steps; at first loading the contents of *CPSR* then setting/clearing the mask bit required then copy the updated contents back to the *CPSR*.

3.4 Interrupt stack

Exception handling uses stacks extensively because each exception has a specific mode of operation, so switching between modes occurs and saving the previous mode data is required before switching so that the core can switch back to its old state successfully. Each mode has a dedicated register containing a stack pointer. The design of these stacks depends on some factors like operating system requirements for stack design and target hardware physical limits on size and position in memory. Most of ARM based systems has the stack designed such that the top of it is located at high memory address. A good stack design tries to avoid stack overflow because this causes instability in embedded systems.

In the following figure we have two memory layouts which show how the stack is placed in memory:

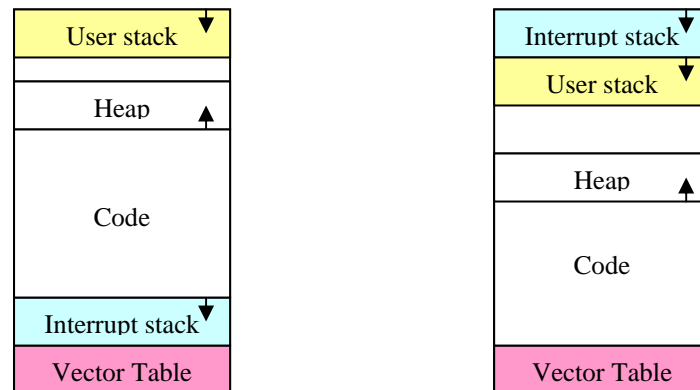


Figure 3 Typical Memory Layouts

The first is the traditional stack layout. The second layout has the advantage that when overflow occurs, the vector table remains untouched so the system has the chance to correct itself.

4 Interrupt handling schemes

Here we introduce some interrupt handling schemes with some notes on each scheme about its advantages and disadvantages.

4.1 Non-nested interrupt handling

This is the simplest interrupt handler. Interrupts are disabled until control is returned back to the interrupted task. So only one interrupt can be served at a time and that is why this scheme is not suitable for complex embedded systems which most probably have more than one interrupt source and require concurrent handling. Figure 5 shows the steps taken to handle an interrupt:

Initially interrupts are disabled, When IRQ exception is raised and the ARM processor disables further IRQ exceptions from occurring. The mode is changed to the new mode depending on the raised exception. The register **CPSR** is copied to the **SPSR** of the new mode. Then the **PC** is set to the correct entry in the vector table and the instruction there will direct the **PC** to the appropriate handler. Then the context of the current task is saved a subset of the current mode non banked register. Then the interrupt handler executes some code to identify the interrupt source and decide which ISR will be called. Then the appropriate ISR is called. And finally the context of the interrupted task is restored, interrupts are enabled again and the control is returned to the interrupted task.

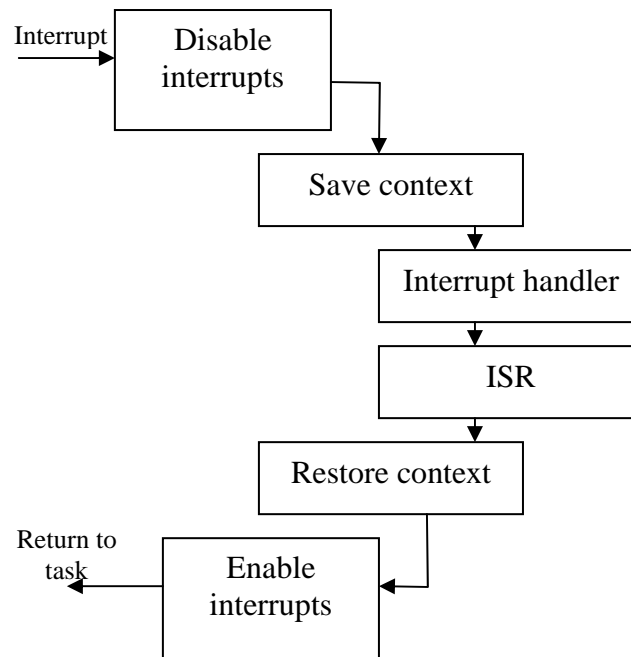


Figure 4 Simple non nested interrupt handlers

4.1.1 Non-nested interrupt handling summary:

- Handle and service individual interrupts sequentially.
- High interrupt latency.
- Relatively easy to implement and debug.
- Not suitable for complex embedded systems.

4.2 Nested interrupt handling

In this handling scheme handling more than one interrupt at a time is possible. This is achieved by re-enabling interrupts before the handler has fully served the current interrupt. This feature increases the complexity of the system but improves the latency. The scheme should be designed carefully to protect the context saving and restoration from being interrupted. The designer should balance between efficiency and safety by using defensive coding style that assumes problems will occur.

The goal of nested handling is to respond to interrupts quickly and to execute periodic tasks without any delays. Re-enabling interrupts requires switching out of the IRQ mode to user mode to protect link register from being corrupted. Also performing context switch requires emptying the IRQ stack because the handler will not perform switching if there is data on the IRQ stack, so all registers saved on the IRQ stack have to be transferred to task stack. The part of the task stack used in this process is called ***stack frame***.

The main disadvantage of this interrupt handling scheme is that it doesn't differ between interrupts by priorities, so lower priority interrupt can block higher priority interrupts.

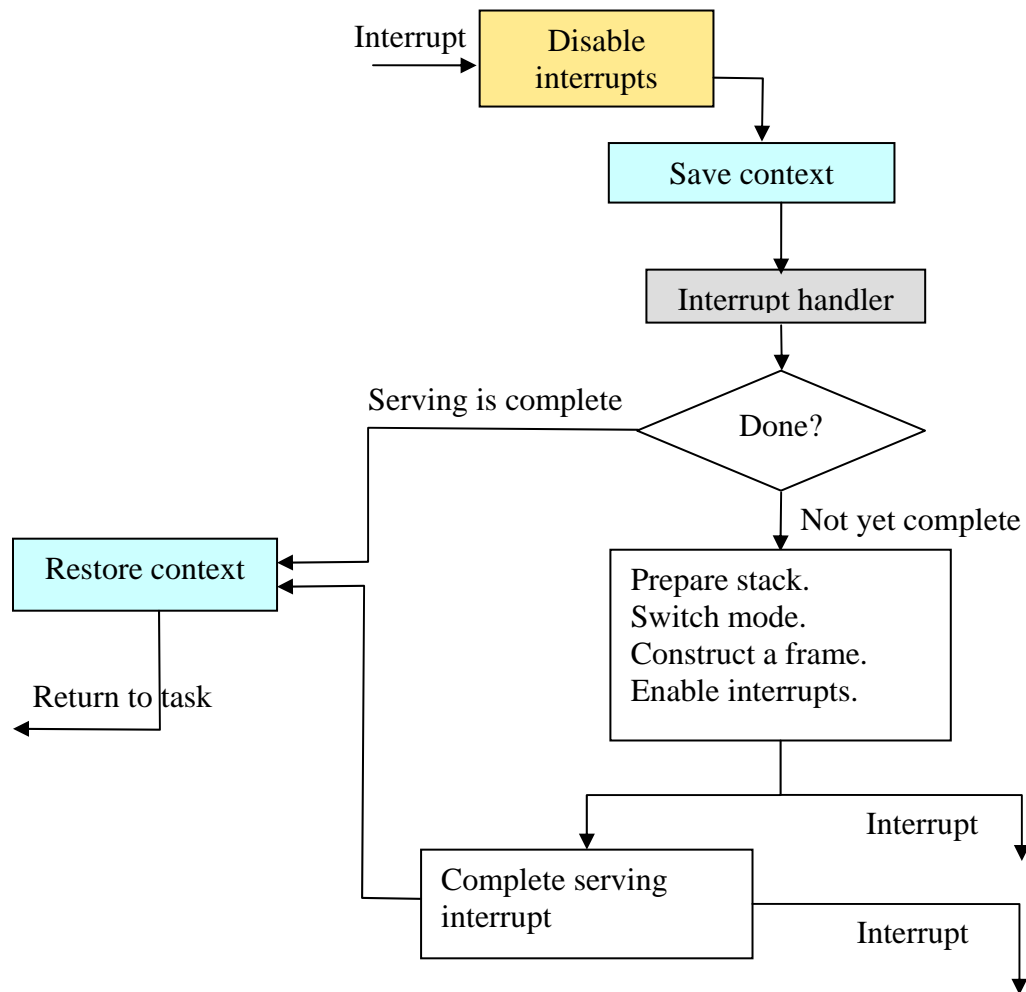


Figure 5 Nested Interrupt Handling

4.2.1 Nested interrupt handling summery:

- Handle multiple interrupts without a priority assignment.
- Medium or high interrupt latency.
- Enable interrupts before the servicing of an individual interrupt is complete.
- No prioritization, so low priority interrupts can block higher priority interrupts.

4.3 Prioritized simple interrupt handling

In this scheme the handler will associate a priority level with a particular interrupt source. A higher priority interrupt will take precedence over a lower priority interrupt. Handling prioritization can be done by means of software or hardware. In case of hardware prioritization the handler is simpler to design because the interrupt controller will give the interrupt signal of the highest priority interrupt requiring service. But on the other side the system needs more initialization code at start-up since priority level tables have to be constructed before the system being switched on.

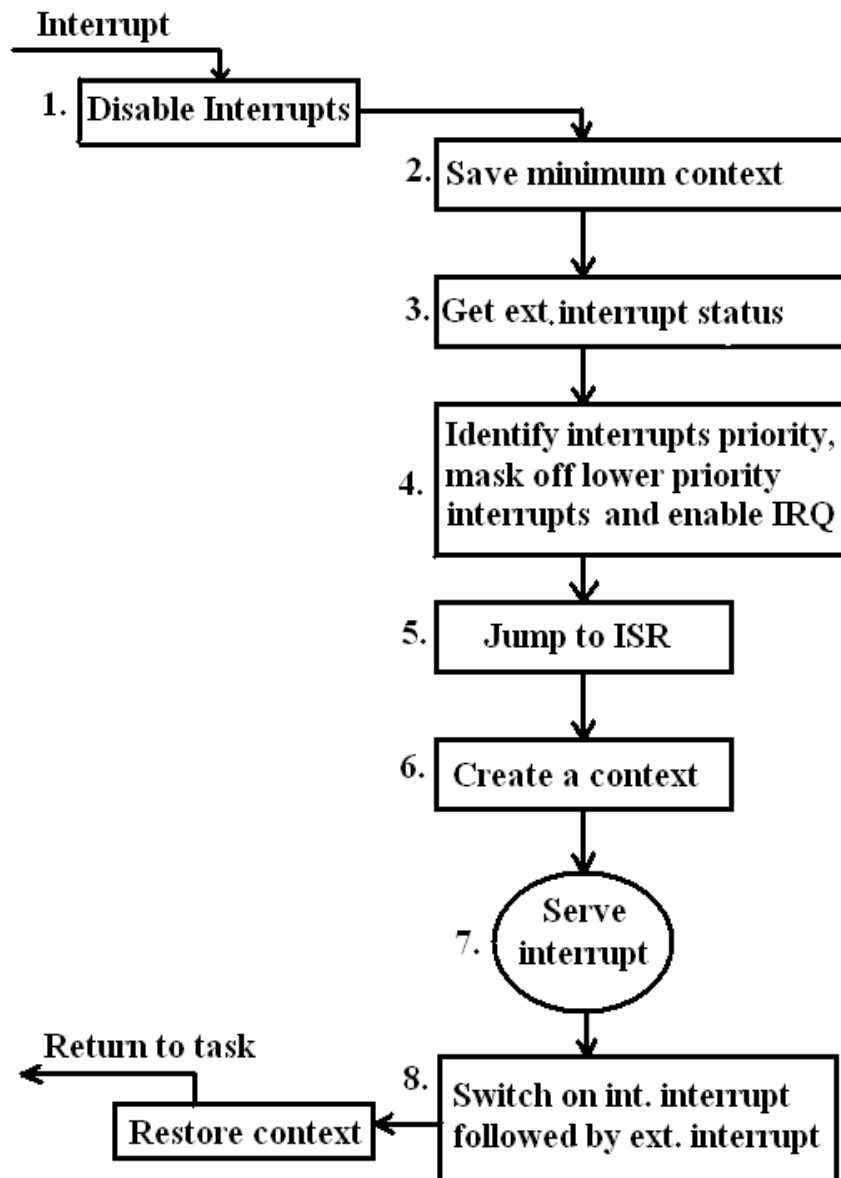


Figure 6 Priority Interrupt Handler^[1]

When an interrupt signal is raised, a fixed amount of comparisons with the available set of priority levels is done, so the interrupt latency is deterministic but at the same point this could be considered a disadvantage because both high and low priority interrupts take the same amount of time.

4.3.1 Prioritized simple interrupt handling summery:

- Handle multiple interrupts with a priority assignment mechanism.
- Low interrupt latency.
- Deterministic interrupt latency.
- Time taken to get to a low priority ISR is the same as for high priority ISR.

4.4 Other schemes

There are some other schemes for handling interrupts, designers have to choose the suitable one depending on the system being designed.

4.4.1 Re-entrant interrupt handler:

The basic difference between this scheme and the nested interrupt handling is that interrupts are re-enabled early on the re-entrant interrupt handler which can reduce interrupt latency. The interrupt of the source is disabled before re-enabling interrupts to protect the system from getting infinite interrupt sequence. This is done by using a mask in the interrupt controller. By using this mask, prioritizing interrupts is possible but this handler is more complex.

4.4.2 Prioritized standard interrupt handler:

It is the alternative approach of prioritized simple interrupt handler; it has the advantage of low interrupt latency for higher priority interrupts than the lower priority ones. But the disadvantage now is that the interrupt latency is non-deterministic.

4.4.3 Prioritized grouped interrupt handler:

This handler is designed to handle large amount of interrupts by grouping interrupts together and forming a subset which can have a priority level. This way of grouping reduces the complexity of the handler since it doesn't scan through every interrupt to determine the priority. If the prioritized grouped interrupt handler is well designed, it will improve the overall system response times dramatically, on the other hand if it is badly designed such that interrupts are not well grouped, then some important interrupts will be dealt as low priority interrupts and vice versa. The most complex and possibly critical part of such scheme is the decision on which interrupts should be grouped together in one subset.

5 Final remarks

Which interrupt handling scheme to use?

We can't decide on one interrupt handling scheme to be used as a standard in all systems, it depends on the nature of the system and how many interrupts are there, how complex is the system and so on. For example; when our system has only periodic tasks then no need for prioritized handling scheme, since all of our tasks have equal importance. And when our system has a hardware interrupt from an external source that has hard real time determinism and must be processed quickly, using prioritized schemes is better. Another point is the number of interrupt sources, when we have large amount of interrupts, using grouped priority handling scheme is a good choice.

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