



ELEMENTARY WAVELETS AND THE
INTO $C(K)$ EXTENSION PROPERTY

A Dissertation

by

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in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

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Simultaneous
Dilation and
Translation Tilings of
 \mathbb{R}^n

Darrin Speegle (joint with Marcin Bownik)

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Major Subject: Mathematics



Tilings

Definition

A collection of measurable sets $\{W_j \subset \mathbb{R}^n : j \in J\}$ is a *measurable tiling* of \mathbb{R}^n if

- $m(W_j \cap W_{j'}) = 0$ whenever $j \neq j'$, and
- $\bigcup_{j \in J} W_j = \mathbb{R}^n$ up to a set of measure zero.



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Definition

Let A be an invertible, $n \times n$ matrix and let $\Gamma \subset \mathbb{R}^n$ be a full-rank lattice. An (A, Γ) *wavelet set* is a measurable set W such that

$\{A^j(W) : j \in \mathbb{Z}\}$ is a measurable tiling of \mathbb{R}^n and

$\{W + k : k \in \Gamma\}$ is a measurable tiling of \mathbb{R}^n .



Examples

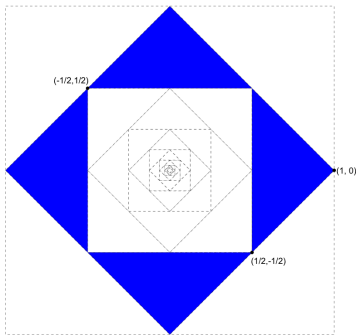
1. Let $A = 2$ and $\Gamma = \mathbb{Z}$. The set $W = [-1, -1/2] \cup [1/2, 1]$ is an (A, \mathbb{Z}) wavelet set.



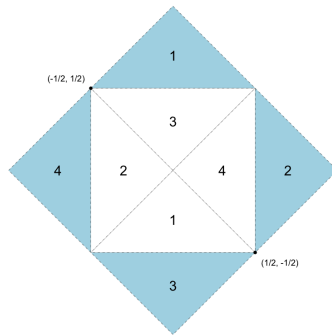
Examples

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2. Let $A = \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$. The shaded set below is an (A, \mathbb{Z}^2) wavelet set.

Dilation Tiling of \mathbb{R}^2



Translation Tiling of \mathbb{R}^2





Relationship to wavelets

If W is an (A, Γ) wavelet set and ψ is the inverse Fourier transform of I_W , then ψ is a (B, Γ^*) orthogonal wavelet. That is,

$$\{ |\det B|^{j/2} \psi(B^j x + k) : j \in \mathbb{Z}, k \in \Gamma^* \}$$

is an orthogonal basis for $L^2(\mathbb{R}^n)$. Here, $B = A^T$ and Γ^* is the dual lattice of Γ .



Question

Question For which pairs (A, Γ) does there exist a wavelet set?



Source of Question

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CHAPTER VI

CONCLUSION

In Chapter II, it is shown that for any expansive matrix D , there exist wavelet sets with respect to D . Somewhat surprisingly, an example was recently constructed by the author which shows that being expansive is not a necessary condition on a matrix D in order for there to exist wavelet sets. This answers a question posed in [ILP]. A natural question, then, would be to characterize all matrices for which there are wavelet sets. Similar types of questions have been considered by Lagarias and Wang [LW], where they have attempted to characterize all matrices for which there exist Haar type wavelets.



Me in 1995





Previous Results

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Previous Results

- If $|\det A| = 1$, then no (A, Γ) wavelet set exists. Can and will assume $|\det A| > 1$.
- (Dai-Larson-S 1997) If all eigenvalues of A are greater than 1 in modulus, then (A, Γ) wavelets exist.
- (S 2004) If A is 2×2 and both eigenvalues are greater than or equal to one in modulus, then (A, Γ) wavelets exist.



Ionascu-Wang (2006)

Theorem

Let A be 2×2 matrix with $|\det A| > 1$ and let Γ be a full rank lattice in \mathbb{R}^2 . Let λ_1 and λ_2 be the eigenvalues of A such that $|\lambda_1| \geq |\lambda_2|$. There exists an (A, Γ) wavelet set if and only if

$$|\lambda_2| \geq 1, \text{ or}$$

$$|\lambda_2| < 1 \text{ and } \ker(A - \lambda_2 \mathbf{I}) \cap \Gamma = \{0\}.$$



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Remark

The key to (IW) was showing that $\ker(A - \lambda_2 \mathbf{I}) \cap \Gamma = \{0\}$ implies that $\liminf \#(A^{-j}(B(0, 1)) \cap \Gamma) = 1$. It turns out these are equivalent in \mathbb{R}^2



Key Object

Remark

The key object of study is the limiting behavior as $j \rightarrow \infty$ of

$$\# \left(A^{-j}(B(0, R) \cap \Gamma) \right)$$



Higher Dimension Different

First noticed by Khintchine (1926), things are very different dimension 3 than dimension 2. The characterizing condition (ii) in the previous Theorem has several possible restatements in higher dimensions when the smallest eigenvalue of A is less than one in modulus. For example, these four statements are all equivalent in dimension $n = 2$ when $|\det A| > 1$.

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- for every sublattice $\Lambda \subset \Gamma$, if $V = \text{span}(\Lambda)$ and $d = \dim V$, then

$$\liminf_{j \rightarrow \infty} m_d(A^{-j}(\mathbf{B}(0, 1)) \cap V) < \infty,$$

where m_d denotes the Lebesgue measure on the subspace V ,



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where m_d denotes the Lebesgue measure on the subspace V ,

- if V is the space spanned by the eigenvectors associated with eigenvalues less than one in modulus, then $V \cap \Gamma = \{0\}$.



Main Result

Theorem (Bownik-S, Am J Math, to appear)

Let A be an $n \times n$ matrix with $|\det A| > 1$. Let $\Gamma \subset \mathbb{R}^n$ be a full rank lattice. Then, there exists an (A, Γ) wavelet set if and only if

$$\sum_{j=1}^{\infty} \frac{1}{\# |A^{-j}(\mathbf{B}(0, 1)) \cap \Gamma|} = \infty,$$



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Remark

The condition above is in between

for every $R > 0$, $\liminf_{j \rightarrow \infty} \# |A^{-j}(\mathbf{B}(0, R)) \cap \Gamma| < \infty$,

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Application

Theorem (Bownik-S)

Let A be an $n \times n$ matrix such that $|\det A| > 1$ and all eigenvalues of A are greater than or equal to one in modulus. Then, for every full rank lattice Γ , there exists an (A, Γ) wavelet set.



Application

Theorem (Bownik-S)

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Remark

This is the largest class of matrices A with $|\det A| > 1$ for which (A, Γ) wavelet set exists regardless of the choice of the lattice Γ .



Key Ingredients

Theorem (Margulis 1971)

Let Γ be a full rank lattice in \mathbb{R}^n and let U be a unipotent matrix (all eigenvalues 1). There exists $\delta > 0$ such that

$$\liminf_{j \rightarrow \infty} \#(U^{-j}B(0, \delta) \cap \Gamma) = 1.$$



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Theorem (Cheshmavar-Führ (2020))

Suppose that A is an $n \times n$ invertible matrix. Then, there exists a positive constant c and an $n \times n$ matrix \tilde{A} such that all eigenvalues of \tilde{A} are positive and

$$A^j(\mathbf{B}(0, r/c)) \subset \tilde{A}^j(\mathbf{B}(0, r)) \subset A^j(\mathbf{B}(0, cr)) \quad \text{for all } j \in \mathbb{Z}, r > 0.$$



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Remark

Cheshmavar-Führ allows us (essentially) to restrict to matrices with **positive** eigenvalues.



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2. Write $A = \begin{bmatrix} A_1 & 0 \\ 0 & A_2 \end{bmatrix}$ where all eigenvalues of A_1 are larger than 1, and all eigenvalues of A_2 are equal to 1. Let T denote the $n \times n$ matrix $T = \begin{bmatrix} I & 0 \\ 0 & A_2 \end{bmatrix}$.



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3. Theorem [M] implies there exists $\epsilon > 0$ such that $\liminf \#(T^{-j}(B(0, \epsilon)) \cap \Gamma) = 1$.
4. For large j , $A^{-j}(B(0, \epsilon)) \subset T^{-j}(B(0, \epsilon))$, so

$$\liminf \#(A^{-j}(B(0, \epsilon)) \cap \Gamma) = 1$$

and $\sum_{j=1}^{\infty} \frac{1}{\#|A^{-j}(B(0, \epsilon)) \cap \Gamma|} = \infty$. Thus, (A, Γ) wavelet sets exist.



Summary

- Understanding the limiting behavior of $\# (A^{-j}B(0, 1) \cap \Gamma)$ is crucial to understanding when (A, Γ) wavelet sets exist.



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- Understanding the limiting behavior of $\# (A^{-j}B(0, 1) \cap \Gamma)$ is crucial to understanding when (A, Γ) wavelet sets exist.
- There are theorems and ideas developed over the last 100 years which are useful to understand this.
- Wavelet sets exist if and only if $\sum_{j=1}^{\infty} \frac{1}{\#|A^{-j}(B(0,1)) \cap \Gamma|} = \infty$



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- There are theorems and ideas developed over the last 100 years which are useful to understand this.
- Wavelet sets exist if and only if $\sum_{j=1}^{\infty} \frac{1}{\#|A^{-j}(\mathbf{B}(0,1)) \cap \Gamma|} = \infty$
- Conjecture: (A^T, Γ^*) orthogonal wavelets exist if and only if $\sum_{j=1}^{\infty} \frac{1}{\#|A^{-j}(\mathbf{B}(0,1)) \cap \Gamma|} = \infty$