# SPENCER FREI

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Google Scholar

### **EMPLOYMENT**

Senior Research Scientist, Google DeepMind

2025-present

Assistant Professor, Department of Statistics, University of California, Davis

2023-2024

- Member of the Graduate Group in Computer Science
- Long-term participant at the Simons Institute Fall 2024 program on Modern Paradigms in Generalization

Postdoctoral Fellow, Simons Institute for the Theory of Computing, UC Berkeley

2021-2023

- Mentors: Peter Bartlett and Bin Yu.
- Visitor at École polytechnique fédérale de Lausanne (EPFL), Sep. & Oct. 2022, hosted by Emmanuel Abbé.

## **EDUCATION**

Ph.D, Statistics, UCLA — Co-advisors: Quanquan Gu and Ying Nian Wu.	2015–2021
MSc., Mathematics, University of British Columbia, Vancouver	2013–2015
BSc., Mathematics, McGill University, Montréal.  — First class honours.	2009–2013

# **PUBLICATIONS AND PREPRINTS**

(see also Google Scholar)

- 1. Alexander Tsigler, Luiz F. O. Chamon, **S. Frei**, Peter L. Bartlett. Benign overfitting and the geometry of the ridge regression solution in binary classification. Preprint, arXiv:2503.07966.
- 2. Usman Anwar, Johannes von Oswald, Louis Kirsch, David Krueger, **S. Frei**. Understanding in-context learning of linear models in transformers through an adversarial lens. *TMLR* 2025.
- 3. Shuning Shang, Roey Magan, Zhiwei Xu, **S. Frei**, Gal Vardi, Wei Hu. Benign overfitting in single-head attention. Preprint, arXiv:2410.07746.
- 4. **S. Frei** and Gal Vardi. Trained transformer classifiers generalize and exhibit benign overfitting in-context. *ICLR* 2025.
- 5. Nikhil Ghosh, **S. Frei**, Wooseok Ha, Bin Yu. The effect of SGD batch size on autoencoder learning: Sparsity, sharpness and feature learning. *JMLR* 2025.
- 6. Neil Mallinar\*, Austin Zane\*, **S. Frei**, Bin Yu. Minimum-norm interpolation under covariate shift. *ICML* 2024.
- 7. Ruiqi Zhang, S. Frei, Peter L. Bartlett. Trained transformers learn linear models in-context. JMLR 2024.
- 8. Zhiwei Xu, Yutong Wang, **S. Frei**, Gal Vardi, Wei Hu. Benign overfitting and grokking in ReLU networks for XOR cluster data. *ICLR* 2024.
- 9. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nati Srebro. The double-edged sword of implicit bias: Generalization vs. robustness in ReLU networks. *NeurIPS* 2023.
- 10. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nathan Srebro. Benign overfitting in linear classifiers and leaky ReLU networks from KKT conditions for margin maximization. *COLT 2023*.
- 11. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nati Srebro, Wei Hu. Implicit bias in leaky ReLU networks trained on high-dimensional data. *ICLR 2023* (**Spotlight**).

- 12. **S. Frei**, Niladri Chatterji, Peter L. Bartlett. Random feature amplification: Feature learning and generalization in neural networks. *JMLR* 2023.
- 13. **S. Frei**, Niladri Chatterji, Peter L. Bartlett. Benign overfitting without linearity: Neural network classifiers trained by gradient descent for noisy linear data. *COLT* 2022.
- 14. **S. Frei\***, Difan Zou\*, Zixiang Chen\*, Quanquan Gu. Self-training converts weak learners to strong learners in mixture models. *AISTATS* 2022.
- 15. **S. Frei** and Quanquan Gu. Proxy convexity: A unified framework for the analysis of neural networks trained by gradient descent. *NeurIPS 2021*.
- 16. Difan Zou\*, **S. Frei\***, Quanquan Gu. Provable robustness of adversarial training for learning halfspaces with noise. *ICML 2021*.
- 17. **S. Frei**, Yuan Cao, Quanquan Gu. Provable generalization of SGD-trained neural networks of any width in the presence of adversarial label noise. *ICML* 2021.
- 18. **S. Frei**, Yuan Cao, Quanquan Gu. Agnostic learning of halfspaces with gradient descent via soft margins. *ICML 2021* (**Long Talk**).
- 19. Ariana E. Anderson, Mirella Diaz-Santos, **S. Frei** *et al.* Hemodynamic latency is associated with reduced intelligence across the lifespan: an fMRI DCM study of aging, cerebrovascular integrity, and cognitive ability. *Brain Structure and Function*, 2020.
- 20. **S. Frei**, Yuan Cao, Quanquan Gu. Algorithm-dependent generalization bounds for overparameterized deep residual networks. *NeurIPS 2019*.
- 21. **S. Frei** and Edwin Perkins. A lower bound for  $p_c$  in range-R bond percolation in two and three dimensions. *Electronic Journal of Probability* 21(56), 2016.
- 22. **S. Frei**, Kathryn Lockwood, Greg Stewart, Justin Boyer, Burt S. Tilley, On thermal resistance in concentric residential geothermal heat exchangers. *Journal of Engineering Mathematics* 86(1), 2014.

## PRIOR INDUSTRY RESEARCH EXPERIENCE

Applied Scientist Intern, Amazon Alexa AI, Cambridge, MA

Summer 2020

— Worked on natural language understanding using Transformer-based multilingual language models.

Student Researcher, Chatterbaby/UCLA School of Medicine, Los Angeles, CA

2018-2020

— Developed deep learning models for audio analysis of infant cries that were deployed in Chatterbaby app (100k+ downloads on Android). Employed 20 hr/week when not teaching.

Biostatistical Consultant, Ritter Pharmaceuticals, Los Angeles, CA

2017-2019

— Consulted on the analysis of clinical trial data using linear regression and mixed effects models.

Student Researcher, Blackthorn Therapeutics/UCLA School of Medicine, Los Angeles, CA 2016–2018

 Analyzed MRI and neuropsychiatric data using generalized linear models for a biotech company-sponsored project. Employed 20 hr/week when not teaching.

### **HONORS**

- Selected for NeurIPS 2023 Tutorial, "Reconsidering Overfitting in the Age of Overparameterized Models"
- Rising Star in Machine Learning, University of Maryland, 2022.
- Best Reviewer Award: ICML 2020, ICML 2021, NeurIPS 2021, ICLR 2022.
- Dissertation Year Fellowship, UCLA, 2020–2021.

<sup>\*</sup> indicates equal contribution.

- Most Promising Computational Statistician, UCLA, 2016.
- Research Fellowship, Montréal Institut des sciences mathématiques, 2012.

# ${\bf TALKS} \qquad \qquad ^{\vee} \ {\bf indicates} \ {\bf virtual} \ {\bf presentation}$

<ul> <li>"Generalization and Benign Overfitting In-Context in Trained Transformer Classifiers"</li> <li>Columbia University, Statistics Seminar</li> </ul>	April 2025
<ul> <li>"Reconsidering Overfitting in the Age of Overparameterized Models" (three hour tutorial)</li> <li>NeurIPS 2023 Tutorial, presented with Vidya Muthukumar and Fanny Yang</li> </ul>	December 2023
<ul> <li>"Learning Linear Models In-Context with Transformers"         <ul> <li>University of Southern California, Department of Data Sciences and Operations Seminar</li> <li>UCLA, Department of Statistics and Data Science Seminar</li> <li>École normale supérieure, INRIA SIERRA Seminar</li> <li>Sorbonne Université and Paris Diderot University, Statistics Seminar</li> <li>Apple Machine Learning Research, Cupertino</li> <li>University of Basel, Department of Mathematics and Computer Science Seminar</li> <li>University of Oxford, Computational Statistics and Machine Learning Seminar</li> <li>University of Cambridge, Machine Learning Group</li> <li>Google DeepMind, London</li> <li>Imperial College London, Imperial + AI Seminar</li> <li>The Alan Turing Institute, London, Rough Paths Interest Group<sup>V</sup></li> <li>Stanford University, Tengyu Ma group meeting</li> </ul> </li> </ul>	October 2024 May 2024 April 2024 April 2024 November 2023 November 2023 October 2023 October 2023 October 2023 October 2023 October 2023 September 2023
<ul> <li>— Google Research, In-Context Learning Reading Group<sup>∨</sup></li> <li>• "Benign Overfitting from KKT Conditions for Margin Maximization"</li> <li>— Conference on Learning Theory, Bangalore</li> </ul>	August 2023  July 2023
<ul> <li>"Implicit Regularization and Benign Overfitting for Neural Networks in High Dimensions"</li> <li>Youth in High Dimensions Workshop, International Center for Theoretical Physics, Trieste</li> <li>UC Berkeley, Department of Biostatistics Seminar</li> <li>University of British Columbia, Mathematics of Information, Learning, and Data Seminar</li> <li>University of Alberta, Dept. of Mathematical and Statistical Sciences, Statistics Seminar</li> <li>EPFL, Fundamentals of Learning and Artificial Intelligence Seminar</li> </ul>	May 2023 April 2023 January 2023 October 2022 September 2022
<ul> <li>"Statistical and Computational Phenomena in Deep Learning"</li> <li>University of Wisconsin-Madison, Department of Statistics Seminar</li> <li>University of British Columbia, Department of Statistics Seminar</li> <li>University of Illinois, Urbana-Champaign, Department of Statistics Seminar</li> <li>University of Michigan, Department of Statistics Seminar</li> <li>University of British Columbia, Department of Mathematics Colloquium</li> <li>Northwestern University, Department of Statistics and Data Science Seminar</li> <li>University of California, Davis, Department of Statistics Seminar</li> <li>University of California, Davis, Department of Mathematics Colloquium</li> <li>Boston University, Department of Mathematics and Statistics Seminar</li> <li>University of California, San Diego, Department of Mathematics Colloquium</li> <li>University of California, Irvine, Department of Statistics Seminar</li> </ul>	February 2023 February 2023 February 2023 January 2023 December 2022 December 2022
<ul> <li>"Statistical Learning Theory, Optimization, and Neural Networks" (two hour tutorial)</li> <li>— Simons Institute, Deep Learning Theory Workshop &amp; Summer School</li> </ul>	August 2022
<ul> <li>"Benign Overfitting without Linearity"</li> <li>University of Southern California, Symposium on Frontiers of Machine Learning and AI</li> <li>Joint Statistical Meetings, Washington, D.C.</li> </ul>	November 2022 August 2022

— Conference on Learning Theory, London	July 2022	
— ETH Zürich, Data, Algorithms, Combinatorics, & Optimization Seminar	June 2022	
— Harvard University, Probabilitas Seminar <sup>∨</sup>	May 2022	
<ul> <li>— University of Toronto, Statistics Research Day<sup>∨</sup></li> </ul>	May 2022	
<ul> <li>— University of British Columbia, Christos Thrampoulidis group meeting<sup>∨</sup></li> </ul>	April 2022	
— Theory of Overparameterized Machine Learning Workshop <sup>∨</sup>	April 2022	
— Google Research, Algorithms Seminar <sup>∨</sup>	March 2022	
— Oxford University, Yee Whye Teh group meeting <sup>∨</sup>	March 2022	
— NSF/Simons Mathematics of Deep Learning Seminar <sup>∨</sup>	March 2022	
• "Random Feature Amplification: Feature Learning and Generalization in Neural Networks	3''	
— EPFL, Nicolas Flammarion group meeting	October 2022	
— Microsoft Research, Machine Learning Foundations Seminar   √	April 2022	
— Columbia University, Daniel Hsu group meeting <sup>∨</sup>	April 2022	
— Theory of Overparameterized Machine Learning Workshop <sup>∨</sup>	April 2022	
• "Self-Training Converts Weak Learners to Strong Learners in Mixture Models"		
— Simons Institute for the Theory of Computing, Deep Learning Theory Symposium	December 2021	
• "Proxy Convexity: A Unified Optimization Framework For Neural Networks Trained by Gradient Descent"		
— Simons Institute for the Theory of Computing, Meet the Fellows Welcome Event	September 2021	
• "Generalization of SGD-Trained Neural Networks in the Presence of Adversarial Label Noise"		
— ETH Zürich, Young Data Science Researchers Seminar <sup>∨</sup>	April 2021	
— Johns Hopkins University, Machine Learning Seminar <sup>∨</sup>	April 2021	

### PROFESSIONAL SERVICE

• Workshop co-organizer: Deep Learning Theory Workshop & Summer School, Simons Institute for the Theory of Computing, Berkeley, 2022.

March 2021

February 2021

- Area Chair/Senior PC for conferences: NeurIPS 2023, ALT 2024, NeurIPS 2024, ALT 2025, ICML 2025, ICLR 2026.
- Area Chair for workshops: Theoretical Foundations of Foundation Models @ ICML 2024.
- Reviewer for journals: Annals of Statistics, Journal of Machine Learning Research, Bernoulli, SIAM Journal on Mathematics of Data Science, Neural Computation, Mathematics of Operations Research, Transactions on Machine Learning Research.
- Reviewer for conferences: ICML 2020, NeurIPS 2020, AISTATS 2021, ICML 2021, NeurIPS 2021, ICLR 2022, AISTATS 2022, ICML 2022, ICLR 2023, COLT 2023, ICLR 2025.
- Reviewer for workshops: Theory of Overparameterized Machine Learning (TOPML) 2021, ICML Workshop on Overparameterization: Pitfalls & Opportunities (ICMLOPPO) 2021, TOPML 2022, NeurIPS Workshop on Mathematics of Modern Machine Learning (M3L) 2023, NeurIPS Workshop on Robustness of Few-Shot Learning in Foundation Models (R0-FoMo) 2023.
- Reviewer, ENVISION Research Competition for Women in STEM, 2022.
- Volunteer for Queer in AI.

# CONFERENCE, WORKSHOP, AND PROGRAM PARTICIPATION

— Max-Planck-Institute MiS, Machine Learning Seminar ∨

— NSF/Simons Mathematics of Deep Learning Seminar 

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- MFO Workshop on Modern and Emerging Phenomena in Machine Learning. Oberwolfach, 2026.
- Modern Paradigms in Generalization Program (Long-term Participant), Simons Institute, Berkeley, 2024.
- International Conference on Learning Representations. Vienna, 2024.
- Neural Information Processing Systems. New Orleans, 2023.
- Conference on Learning Theory. Bangalore, 2023.

- Youth in High Dimensions. Trieste, 2023.
- Joint Statistical Meetings. Washington, DC, 2022.
- Deep Learning Theory Summer Cluster. Simons Institute for the Theory of Computing, Berkeley, 2022.
- Conference on Learning Theory. London, 2022.
- Deep Learning Theory Symposium. Simons Institute for the Theory of Computing, Berkeley, 2021.
- Mathematical/Scientific Foundations of Deep Learning Annual Meeting. Simons Foundation, New York, 2021.
- ICML Workshop on Overparameterization: Pitfalls and Opportunities. Online, 2021.
- Theory of Overparameterized Machine Learning (TOPML) Workshop. Rice University (online), 2021; 2022.
- Theory of Deep Learning Special Quarter. TTIC/Northwestern Institute for Data, Econometrics, Algorithms, and Learning (online) 2020.
- Neural Information Processing Systems. Vancouver, BC, 2019.
- Emerging Challenges in Deep Learning Workshop. Simons Institute, Berkeley, 2019.
- Summer School in Probability. Pacific Institute for the Mathematical Sciences, Vancouver, BC, 2014.

#### TEACHING EXPERIENCE

- UC Davis, Department of Statistics
- Instructor, Stats 35B: Statistical Data Science II, Winter 2024.
- Instructor, Stats 250: Theoretical Foundations of Modern AI, Winter 2024.
- Organizer, Stats 290: Seminar in Statistics. Winter 2024.
- UCLA, Department of Statistics
- TA, Stats 100C: Linear Models, Spring 2020.
- TA, Stats 102C: Monte Carlo Methods, Fall 2019.
- TA, Stats 100B: Mathematical Statistics, Winter 2016.
- TA, Stats 100A: Probability Theory, Fall 2016.
- TA, Stats 10: Intro to Statistics, Summer 2016.

### PROGRAMMING LANGUAGES AND SKILLS

Python, JAX with TPUs, PyTorch with GPUs, R, Matlab.